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# Blood-based immune-endocrine biomarkers of treatment response in depression

**Running title:** Blood-based biomarkers of treatment response in depression

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43 **Abstract**

44 Antidepressant treatment for major depressive disorder remains suboptimal with response rates of just  
45 over 50%. Although treatment guidelines, algorithms and clinical keys are available to assist the clinician,  
46 the process of finding an effective pharmacotherapy to maximise benefit for the individual patient is largely  
47 by “trial and error” and remains challenging. This highlights a clear need to identify biomarkers of  
48 treatment response to help guide personalised treatment strategies. We have carried out the largest  
49 multiplex immunoassay based longitudinal study to date, examining up to 258 serum markers involved in  
50 immune, endocrine and metabolic processes as potential biomarkers associated with treatment response  
51 in 332 depression patients recruited from four independent clinical centres. We demonstrated for the first  
52 time that circulating Apolipoprotein A-IV, Endoglin, Intercellular Adhesion Molecule 1, Tissue Inhibitor of  
53 Metalloproteinases 1, Plasminogen Activator Inhibitor 1, Thrombopoietin, Complement C3, Hepatocyte  
54 Growth Factor and Insulin-like Growth Factor-Binding Protein 2 were associated with response to different  
55 antidepressants. In addition, we showed that specific sets of immune-endocrine proteins were associated  
56 with response to Venlafaxine (serotonin–norepinephrine reuptake inhibitor), Imipramine (tricyclic  
57 antidepressant) and other antidepressant drugs. However, we were not able to reproduce the literature  
58 findings on BDNF and TNF- $\alpha$ , two of the most commonly reported candidate treatment response markers.  
59 Despite the need for extensive validation studies, our preliminary findings suggest that a pre-treatment  
60 immune-endocrine profile may help to determine a patient’s likelihood to respond to specific  
61 antidepressant and/or alternative treatments such as anti-inflammatory drugs, providing hope for future  
62 personalised treatment approaches.

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**Keywords:** Depression; Antidepressant Treatment Response; SNRI; TCA; Blood-based; Biomarker

## 82 **Introduction**

83 Antidepressant drugs are currently the mainstay of treatment for major depressive disorder (MDD).  
84 However, their effectiveness is suboptimal and highly variable due to disease heterogeneity and individual  
85 differences in drug metabolism, pharmacokinetics and toxicity (Miller and O'Callaghan, 2013). Although  
86 treatment guidelines (Maudsley (Taylor et al., 2015), NICE (NICE, 2010)), algorithms (Texas Medication  
87 Algorithm) (Suehs BT AT et al., 2008)) and clinical keys are available to assist the clinician to optimise  
88 outcome and reduce side-effects of treatment, the process of finding an effective pharmacotherapy  
89 to maximise benefit for the individual patient is largely by "trial and error" and remains challenging.  
90 Typically, it takes at least four weeks for an initial response to be observed and six to 12 weeks or more to  
91 attain remission with an initial antidepressant drug prescribed at an adequate dose (Rush et al., 2009).  
92 While response rates are on average 53.8% (37.3% for placebo response) (Papakostas and Fava, 2009),  
93 patients need to remain on an initially prescribed medication for many weeks to determine whether it will  
94 be effective. The non-responders are then prescribed another drug of the same or a different class,  
95 medication doses are adjusted and/or combinations are tested. This process may take many months until  
96 recovery is achieved (Keitner et al., 1992) and, many patients experience difficulty with side effects  
97 including weight gain, anxiety, decrease in libido and gastrointestinal symptoms. Crucially, the consequence  
98 of a lengthy treatment process is lack of medication compliance, which can result in poor treatment  
99 response. Large studies have shown that medication compliance drops significantly with duration of  
100 treatment (Olfson et al., 2006). Lack of compliance and failure to respond to antidepressants contribute  
101 heavily towards reduction of quality of life and productivity. Healthcare costs are also increased along with  
102 the risk of relapse and suicide (Miller and O'Callaghan, 2013).

103 As a result, the need to develop reliable treatment response predictors to guide personalised  
104 treatment strategies is becoming a pressing clinical need. Decades of research effort have now laid the  
105 foundation towards achieving this goal. Studies have consistently demonstrated molecular changes in both  
106 the central nervous system and the periphery in MDD patients (Chan et al., 2014; Kaestner et al., 2005),  
107 including alterations in the endocrine system involving the hypothalamic-pituitary-adrenal axis (HPA),  
108 carbohydrate/lipid metabolism and most prominently, the immune/inflammatory system. For instance, a

109 chronic low grade inflammatory response and activation of cell-mediated immunity is frequently observed  
110 in depression (Berk et al., 2013). Exogenous cytokine and endotoxin infusions have been found to induce  
111 depressive-like symptoms in some individuals (Udina et al., 2012). Antidepressant drugs have been shown  
112 to decrease production of pro-inflammatory cytokines and increase release of anti-inflammatory cytokines  
113 (Maes et al., 1999). Remission is often accompanied by a normalisation of inflammatory markers and non-  
114 response, at least in some cases, is associated with persistently elevated levels of such biomarkers  
115 (Hannestad et al., 2011). Anti-inflammatory drugs have also been found to ameliorate depressive  
116 symptoms in MDD patients, but these drugs may only be effective in specific subgroups of patients  
117 (Hashimoto, 2015).

118         The important question, which arises from these findings, is that it may be possible to sub-stratify  
119 patients based on their immune-endocrine profile. This differential profile could reflect the individual  
120 differences in efficacy and responses to antidepressant and/or anti-inflammatory drugs. Recently, a  
121 number of candidate treatment response predictors have been proposed including Brain-Derived  
122 Neurotrophic Factor (BDNF), Tumour Necrosis Factor Alpha (TNF- $\alpha$ ), Interleukin-6 (IL-6), S100 calcium-  
123 binding protein B (S100-B) (Abou-Saleh et al., 1998; Papakostas, 2012), C-Reactive Protein (CRP) (Uher et  
124 al., 2014), Macrophage Migration Inhibitory Factor (MIF), Interleukin-1- $\beta$  (IL-1 $\beta$ ) (Cattaneo et al., 2016) and  
125 circulating leukocyte subpopulations (Grosse et al., 2016). Functional and structural brain imaging  
126 predictors have also been reported (Phillips et al., 2015). Studies examining pharmacological (drug-  
127 metabolizing enzymes) and genomic predictors have also shown promise (Leuchter et al., 2009).  
128 Nevertheless, to date, the most reliable predictors identified have been the symptomatic and physiologic  
129 features of patients that emerge early in the course of treatment, which unfortunately still lack sensitivity  
130 and specificity.

131         With this in mind, we carried out the largest multiplex immunoassay based study, to date, to  
132 identify candidate blood-based biomarkers of treatment response in serum from 332 MDD patients  
133 recruited from four independent clinical centres.

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## 136 **Materials and Methods**

### 137 **Clinical cohorts**

138 MDD patients were recruited consecutively from four independent clinical centres. Cohorts 1 (n=30) and 2  
139 (n=26) were from the Department of Psychiatry of the Erasmus Medical Center (MC), the Netherlands.  
140 Cohort 3 (n=38) was from the Department of Psychiatry, University of Magdeburg, Germany. Cohort 4  
141 (n=21) was from the Department of Psychiatry, University of Muenster, Germany. Cohort 5 (n=217)  
142 consisted of a subset of the multi-site Netherlands Study of Depression and Anxiety (NESDA) cohort.  
143 Informed written consent was given by all participants. Study protocols, sample collection and analysis  
144 methods were approved by the respective institutional ethical committees and review boards and were in  
145 compliance with the Standards for Reporting of Diagnostic Accuracy (STARD) (Bossuyt et al., 2003). Patients  
146 from all cohorts were fasting at the time of blood collection. For cohorts 1-4, diagnosis was carried out  
147 using the Diagnostic and Statistical Manual of Mental Disorders, Text Revision (DSM-IV-TR)(API, 2000).  
148 Depression symptom severity was determined using the Hamilton Rating Scale for Depression, 17-item-  
149 version (HAM-D) (**Table 1**).

150         The Erasmus MC patients were from a double-blind randomised clinical trial aimed to compare the  
151 efficacy of a plasma level-targeted dose of imipramine (tricyclic antidepressant (TCA)) and high-dose  
152 venlafaxine (serotonin-norepinephrine reuptake inhibitor (SNRI)) in severely depressed inpatients. For the  
153 present study, a subset of 56 patients from the trial was included based on availability of baseline serum  
154 samples. All patients were antidepressant medication free for at least one week prior to baseline  
155 assessment. Following baseline assessment, 30 patients were initiated on treatment with Venlafaxine  
156 (doses increased gradually to 300–375 mg/day, cohort 1) and 26 patients were initiated on treatment with  
157 Imipramine (doses adjusted to a blood level of 200–300 ng/ml, cohort 2) for seven weeks. Note that  
158 patients from the venlafaxine and imipramine arms are referred as cohorts 1 and 2, respectively,  
159 throughout the text to facilitate description of results and discussion.

160         Clinical assessments involved determination of psychiatric history, assessment of adequacy of  
161 treatment(s) in the current episode using the Antidepressant Treatment History Form, medical history and  
162 physical examination including vital signs and routine laboratory assessments. Exclusion criteria included

163 acute indication for electroconvulsive therapy (ECT), mental retardation, alcohol or substance dependence  
164 within three months of enrolment, any serious chronic somatic illnesses or medications affecting mood and  
165 contraindications for study medication. Notably, the percentage of patients from cohort 1 and 2 that took  
166 anxiolytics (mostly lorazepam before the night, all of which stayed under the predefined maximum dosage  
167 of lorazepam 3 mg) was 10%. The design of the main double-blind randomized clinical trial was previously  
168 described in detail (Vermeiden et al., 2013).

169 The 38 patients from cohort 3 were either drug-naïve (n=27) or medication free for at least six  
170 weeks (n=11) prior to baseline assessment. All 21 patients from cohort 4 were medication free for at least  
171 one week prior to baseline interview. Following baseline assessment, patients from both cohorts 3 and 4  
172 were initiated on mixed antidepressant treatment (i.e. different antidepressant drugs administered either  
173 as monotherapy or combination therapy) for six and 4-26 weeks, respectively (**Table 1**). The choice of  
174 antidepressant medication regimen was based on psychiatrist's clinical experience. Information on  
175 antidepressant medication use prior to hospitalisation was confirmed by direct contact with the treating  
176 family physicians and relatives. Exclusion criteria included pregnant women, infections, immune and  
177 autoimmune diseases, cancer or systemic diseases, cardiovascular disorders, diabetes, antibiotic and  
178 immune -suppressant/-modulatory treatment, substance abuse, alcohol or drug addiction, renal  
179 insufficiency, other neurologic or neuropsychiatric disorders and severe trauma. Exclusions were based on  
180 self-report, physician's report or by physical examination.

181 Cohort 5 was a subset of the NESDA cohort, an ongoing multi-site naturalistic longitudinal cohort  
182 study. This is a 2981 participant cohort (18–65 yrs), including 2329 individuals with lifetime or current  
183 anxiety and/or depressive disorders and 652 healthy controls. Participants were recruited from the general  
184 population, primary and specialised mental healthcare centres between 2004 and 2007. Exclusion criteria  
185 at baseline included lack of fluency in Dutch or another primary clinical psychiatric disorder (e.g. bipolar,  
186 psychotic, obsessive compulsive or severe addiction disorders). Details of the rationale, objectives and  
187 methods of this study are reported elsewhere (Penninx et al., 2008). Diagnoses of MDD were based on the  
188 Composite International Diagnostic Interview (CIDI), Lifetime Version 2.1 (WHO Lifetime Version 2.1), which  
189 establishes diagnoses according to DSM-IV criteria (Hardeveld et al., 2013). Depression severity was

190 determined using the 30-item Inventory of Depressive Symptoms Self-Report Questionnaire (IDS-SR<sub>30</sub>)  
191 (Rush et al., 1996). For the present study, we analysed 217 NESDA individuals with a current diagnosis of  
192 MDD (six-month recency) who initiated treatment with an antidepressant (could be from multiple classes)  
193 during the two year follow-up period and, who at year two (Ty2), were still on antidepressant use. Patients  
194 who had no overnight fast before baseline blood draw and women who were pregnant or over 32 days  
195 since last menstruation were not included in this sample. Patients that were on lengthy (over 2 months)  
196 antidepressant use already at baseline were also excluded in order to focus on persons who were  
197 antidepressant free or only recently initiated antidepressant use.

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### 199 **Definitions of treatment response**

200 Treatment response was defined as at least 50% reduction in HAMD sum scores from baseline to endpoint  
201 (weeks 4-26) for cohorts 1-4 and, at least 50% reduction in IDS sum scores from baseline to endpoint (year  
202 two) for cohort 5.

203

### 204 **Serum preparation and multiplex immunoassay**

205 Standard operating protocols were followed for serum sample preparation across all clinical centres, as  
206 described previously (Chan et al., 2015b). The Multi-Analyte Profiling immunoassay platform  
207 (DiscoveryMAP) was used to measure the concentrations of 258 (cohort 1), 256 (cohort 2), 190 (cohort 3),  
208 147 (cohort 4) and 243 (cohort 5) proteins in patient sera. The number of proteins measured in each cohort  
209 was different because different upgrade versions of the DiscoveryMAP platforms were used over time,  
210 each measuring slightly different proteins. The proteins measured were mainly involved in  
211 immune/inflammatory, endocrine and metabolic processes previously implicated in several psychiatric  
212 disorders including depression (Bot et al., 2015; Chan et al., 2015b; Haenisch et al., 2014). All assays were  
213 conducted in the Clinical Laboratory Improved Amendments (CLIA)-certified laboratory at Myriad-RBM  
214 (Austin, TX, USA) (described previously (Chan et al., 2015b)). All serum samples were stored at -80°C until  
215 analysis.

216

217 **Statistical analysis**

218 All statistical analyses were performed in R (<http://www.R-project.org/>) (RCoreTeam, 2013). Data from all  
219 cohorts were quality control (QC) assessed and pre-processed to exclude proteins with greater than 30%  
220 missing values (QC criteria), as described previously (Chan et al., 2015b). The remaining data with values  
221 below or above the detection limits were imputed by the minimum and maximum detected values,  
222 respectively. Data were  $\log_{10}$ -transformed to stabilise variance and approximate normality. Sample outliers  
223 were examined using principal components analysis (PCA) (Barnett and Lewis, 1978) and through inspection of  
224 quantile-quantile (Q-Q) plots. Linear regression analyses were carried out to identify biomarkers associated  
225 with treatment response. For each regression model, the pre-treatment protein levels were individually  
226 included as predictor variables and the absolute change in depression scores (absolute change in HAMD  
227 scores ( $\Delta$ HAMD) for cohorts 1-4 and IDS scores ( $\Delta$ IDS) for cohort 5) was modelled as the continuous  
228 outcome variable. This was done for each cohort. Covariate adjustment was accomplished through forward  
229 and backward stepwise regression, with selection based upon Bayesian Information Criterion (BIC). For  
230 cohorts 1-4, the clinical and sociodemographic covariates adjusted for included baseline HAMD scores, age,  
231 gender and BMI. For cohort 5, a larger set of sociodemographic covariates were available for adjustment  
232 including baseline IDS scores, age, gender, BMI, anxiety diagnosis comorbidity, chronic diseases and  
233 somatic medication (**Table 1**). Regression diagnostics were examined to ensure that all the model  
234 assumptions were met. False discovery rate was controlled according to Benjamini and Hochberg  
235 (Benjamini and Hochberg, 1995). However, given that this was an exploratory study, unadjusted P-values of  
236 less than 0.05 were considered to be worth further study. Biological and molecular functions were assigned  
237 using Swissprot (UniProt, 2015).

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## 242 **Results**

### 243 **Patient characteristics and treatment response rates**

244 The baseline patient characteristics are described in **Table 1**. Briefly, patients from cohorts 1 and 2 were on  
245 average 10 years older than patients from cohorts 3-5. While cohort 1 had an equal proportion of male and  
246 female patients with an average BMI of 23, the remaining cohorts had more females (58-67%) and a higher  
247 BMI of 25-26. Contrary to cohorts 1-4, patients who were either drug-naive or antidepressant medication  
248 free for one or six weeks, approximately 79% of cohort 5 patients were receiving antidepressants at  
249 baseline for less than two months. In addition, unlike cohort 1-4 patients who were recruited from  
250 specialised mental healthcare, cohort 5 patients were from the general population (7%), primary care (26%)  
251 and specialised mental healthcare (67%). Finally, approximately 71% of patients from cohort 5 also had a  
252 comorbid anxiety diagnosis, which was not the case for cohorts 1-4. The treatment response rates varied  
253 across the cohorts. High response rates of over 84% to 4-26 weeks treatment with mixed antidepressants  
254 were observed for drug-naive or medication free patients from cohorts 3 and 4. While response to seven  
255 weeks of treatment with Venlafaxine (cohort 1) was 63%, treatment with Imipramine (cohort 2) only  
256 resulted in a 27% response. Similarly, longer-term treatment of up to two years with mixed antidepressants  
257 in cohort 5 also only resulted in a response rate of 29%.

258

### 259 **Multiplex immunoassay data QC and pre-processing**

260 Approximately 16-30% of proteins failed QC (i.e. >30% missing values) across the five cohorts. The number  
261 of proteins left for analysis in each cohort was 218 (cohort 1), 211 (cohort 2), 150 (cohort 3), 115 (cohort 4)  
262 and 171 (cohort 5) (**Supplementary Table 1**). In total, 78 (31%) proteins were measured in all five cohorts,  
263 54 (22%) in any four cohorts, 56 (23%) in any three cohorts, 31 (12%) in any two cohorts and 29 (12%) in  
264 only one cohort.

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## 267 **Biomarkers associated with antidepressant treatment response**

268 The biomarkers associated with antidepressant treatment response identified were predominantly involved  
269 in immune/inflammatory processes and blood coagulation followed by endocrine and growth factor  
270 signalling (**Table 2 and Supplementary Table 2**). A total of 11 baseline proteins were associated with  
271 response to Venlafaxine treatment (cohort 1). Of these, nine were negatively ( $-\beta$ ) associated with response  
272 (i.e. a lower pre-treatment protein level is associated with better response) and two were positively ( $\beta$ )  
273 associated with response (i.e. a higher pre-treatment protein level is associated with better response).  
274 Twenty-five proteins were associated with response to Imipramine (cohort 2) including 12 negative and 13  
275 positive associations. While 19 (four negative and 15 positive) proteins were associated with response to  
276 six weeks of treatment with mixed antidepressants (cohort 3), another 23 (10 negative and 13 positive)  
277 were associated with response to 4-26 weeks of treatment with mixed antidepressants (cohort 4). Tissue  
278 Inhibitor of Metalloproteinases 1 (TIMP-1) and Intercellular Adhesion Molecule 1 (ICAM-1) along with two  
279 acute phase proteins, Plasminogen Activator Inhibitor 1 (PAI-1) and Thrombopoietin (TPO), were the four  
280 biomarkers of treatment response in common between these two cohorts. Finally, a total of 12 proteins  
281 were associated with response to longer-term treatment of two years with mixed antidepressants in the  
282 naturalistic NESDA cohort 5, including nine negative and three positive associations.

283 Notably, the proteins associated with response to the different antidepressant treatments across  
284 the various cohorts were largely different or potentially antidepressant specific with only nine proteins in  
285 common in at least two out of the five cohorts. These proteins included Apolipoprotein A-IV (ApoA-IV),  
286 Endoglin, ICAM-1, PAI-1, TIMP-1, TPO, Complement C3 (C3), Hepatocyte Growth Factor (HGF) and Insulin-  
287 like Growth Factor-Binding Protein 2 (IGFBP-2) (**Table 2**). ApoA-IV was the most reproducible biomarker of  
288 treatment response as it was significantly associated with response to treatment in three out of five  
289 cohorts. Lower pre-treatment ApoA-IV levels were associated with better response to seven weeks of  
290 treatment with Venlafaxine ( $\beta=-26.47$ ,  $p=0.001$ ) and six weeks with mixed antidepressants (cohort 3:  $\beta=-$   
291  $8.24$ ,  $p=0.029$ ) but poorer response to a longer-term treatment with mixed antidepressants in the  
292 naturalistic cohort 5 ( $\beta=9.39$ ,  $p=0.027$ ) (**Figure 1**). Endoglin, also known as CD105, was another notable  
293 biomarker of treatment response. While it was only measured in three cohorts (1, 2 and 5), it associated

294 with response to treatment in all three cohorts. For instance, lower pre-treatment levels were associated  
295 with better response to treatment with Venlafaxine ( $\beta=-22.61$ ,  $p=0.035$ ) and Imipramine ( $\beta=-34.56$ ,  
296  $p=0.011$ ), respectively, but poorer response to longer-term treatment with mixed antidepressants in cohort  
297 5 ( $\beta=22.89$ ,  $p=0.004$ ). ICAM-1 was also associated with response to antidepressant treatment in three  
298 cohorts. Higher pre-treatment levels were associated with better response to mixed antidepressants in  
299 both cohorts 3 ( $\beta=22.31$ ,  $p=0.001$ ) and 4 ( $\beta=20.37$ ,  $p=0.001$ ) but poorer response to Venlafaxine treatment  
300 ( $\beta=-21.08$ ,  $p=0.035$ ) (**Figure 1**). The remaining six proteins were also associated with response to several  
301 treatments. For example, higher pre-treatment levels of the three blood coagulation proteins, PAI-1, TIMP-  
302 1 and TPO, were associated with better response to mixed antidepressant treatment in both cohorts 3 and  
303 4. On the other hand, the immune/inflammatory protein, C3, and the growth factor signalling proteins, HGF  
304 and IGFBP-2, were associated with response to Imipramine and mixed antidepressants (cohorts 3 or 4),  
305 inversely (**Figure 1**).

306

#### 307 **Validation the ApoA-IV findings by liquid-chromatography mass spectrometry (LC-MS<sup>E</sup>)**

308 Of the nine candidate biomarkers of treatment response described above, two including ApoA-IV and  
309 Endoglin were not measured in cohort 4 due to use of an older version of the DiscoveryMAP. In order to  
310 validate these results, we examined LC-MS<sup>E</sup> data from an unpublished study measuring over 400 proteins  
311 in serum from 25 MDD patients (mean age (44 years) and gender (7 males, 18 females)). Seventeen of  
312 which were also part of our cohort 4. For details of the LC-MS<sup>E</sup> approach see **Supplementary Material**. We  
313 found that Endoglin was not measurable by LC-MS<sup>E</sup> but ApoA-IV was and its pre-treatment levels were  
314 indeed significantly associated with response to 4-26 weeks of mixed antidepressant treatment ( $\beta=-15.60$ ,  
315  $p=0.034$ ), validating the results from the other three cohorts (**Figure 1**).

316

317

## 318 Discussion

319 Promising candidate biomarkers of treatment response have emerged from recent studies. In the present  
320 study, we extended these findings by profiling up to 258 pre-treatment immune/inflammatory, endocrine  
321 and metabolic serum proteins from 332 MDD patients recruited from multiple independent clinical centres.

322 Firstly, we found that nine proteins, ApoA-IV, Endoglin, ICAM-1, PAI-1, TIMP-1, TPO, C3, HGF and  
323 IGFBP-2, were significantly associated with response to several antidepressants. Although validation is  
324 warranted, this finding supported a potential role of such biomarkers in treatment response. Equally, this  
325 finding also suggested a “generic” role of ApoA-IV in treatment response independent of drug or drug class  
326 **(Figure 1 and Table 2)**. Secondly, we showed that a distinct and specific set of immune-endocrine proteins  
327 were significantly associated with response to certain classes of antidepressants or indeed specific  
328 antidepressant drugs. This implied that in the future it may be possible to match the appropriate  
329 medication to a given patient based on their pre-treatment immune-endocrine profile. The fact that most  
330 of the biomarkers of treatment response identified have previously been implicated in depression further  
331 reinforces their role in treatment response **(Table 2** for supporting literature references). Importantly,  
332 despite the need for further validation, our findings may represent the first preliminary steps towards  
333 establishing robust treatment response predictors, providing hope for future personalised treatment  
334 approaches **(Figure 1)**.

335 The strength of our study was that we investigated patients (cohorts 1-4) who were free of major  
336 chronic illnesses and somatic medications as well as drug-naïve or medication free prior to baseline  
337 assessment and blood collection. These factors typically affect blood protein levels and some of the chronic  
338 illnesses are known to be linked with a greater risk to develop depression (Haddad et al., 2015). Treatment  
339 with antidepressant monotherapies (Venlafaxine and Imipramine) was also a strength of this study, as it  
340 enables identification of drug-specific biomarkers of treatment response. In the naturalistic cohort 5, we  
341 also statistically adjusted for these confounding factors in our regression models.

342 ApoA-IV is a circulating lipoprotein that regulates lipid absorption, transport and metabolism and,  
343 controls satiety (Stan et al., 2003). This protein has been reported to be increased in MDD (Bot et al., 2015)

344 and post-stroke depression patients (Zhan et al., 2014) and, has recently been included in a panel of  
345 biomarkers used to discriminate older adults with and without depressive symptoms (Arnold et al., 2012).  
346 Nevertheless, circulating ApoA-IV has not been previously associated with treatment response in MDD  
347 patients. We showed that patients with lower pre-treatment ApoA-IV levels responded better to treatment  
348 with Venlafaxine and mixed antidepressants in both cohorts 3 and 4. However, patients from the  
349 naturalistic NESDA cohort 5 with lower ApoA-IV levels had poorer responses to longer-term treatment of  
350 up to two years with mixed antidepressants. Note that there are fundamental differences between this and  
351 the shorter-term treatment cohorts 1-4. Given the naturalistic and non-interventional nature of cohort 5,  
352 medication compliance was not monitored during the two years of treatment and was only based on self-  
353 reports; most patients had a comorbid anxiety diagnosis, which can affect treatment efficacy (Fava et al.,  
354 2008); and, patients were not excluded on the basis of having comorbid chronic illnesses or taking somatic  
355 medications, although such variables were included as covariates in the backward and forward stepwise  
356 regression analysis.

357         Endoglin is involved in vascular regulation and angiogenesis, induces inflammation and release of  
358 angiogenic factors from inflammatory cells (Nassiri et al., 2011). This protein has not been previously  
359 implicated in depression or associated with antidepressant treatment response. In our study, Endoglin was  
360 not measured in two cohorts but it was significantly associated with response to treatment in all the  
361 cohorts where it was measured. Patients with lower pre-treatment Endoglin levels responded better to  
362 both Venlafaxine and Imipramine monotherapies but had poorer responses to longer-term treatment with  
363 mixed antidepressants in the NESDA cohort.

364         ICAM-1 is an immunoglobulin (Ig)-like cell-adhesion receptor that is involved in leukocyte adhesion  
365 and movement across the endothelium during inflammation. Expression is regulated by pro-inflammatory  
366 cytokines and stress (Mruk et al., 2014). ICAM-1 has been reported to be increased in both serum  
367 (Dimopoulos et al., 2006; Lesperance et al., 2004) and post-mortem brain tissue from depression patients  
368 (Thomas et al., 2000). We found that patients with higher pre-treatment ICAM-1 levels responded better to  
369 mixed antidepressants in cohorts 3 and 4 but had poorer responses to Venlafaxine treatment (**Figure 1**).

370 The remaining seven proteins that were associated with response to several antidepressants have  
371 all been previously implicated in depression. For example, the blood coagulation and positive acute phase  
372 (+AP) proteins, PAI-1, TIMP-1 and TPO have been shown to be increased in MDD patients (Domenici et al.,  
373 2010; Eskandari et al., 2005; Gorska-Ciebiada et al., 2016; Tsai et al., 2008). Genetic variants of the PAI-1  
374 gene have been linked to depression as well as antidepressant treatment response (Tsai et al., 2008). In our  
375 study, patients from cohorts 3 and 4 with higher pre-treatment levels of these three proteins responded  
376 better to mixed antidepressants. The immune/inflammatory protein, C3 (+AP) along with the growth factor  
377 signalling proteins, HGF (+AP) and IGFBP-2, were all associated with response to Imipramine and either  
378 short or long-term treatment with mixed antidepressants. While C3 and IGFBP-2 have both been found to  
379 be increased in MDD (Domenici et al., 2010; Powell et al., 2014), HGF has been reported to be both  
380 decreased (Russo, 2010) and increased (Arnold et al., 2012) in patients.

381

#### 382 **Limitations of the study**

383 The use of different versions of the multiplex immunoassay platform and difficulty to reliably measure over  
384 250 proteins covering a wide protein concentration range was a notable limitation of our study. Protein  
385 exclusion rate due to QC failure ranged between 16% and 30%. The overlap of proteins measured across all  
386 five cohorts was only 31%, followed by 22% in any four, 23% in any three and 12% in any two cohorts. This  
387 limitation directly compromises assessment of reproducibility of some of the candidate biomarkers of  
388 treatment response including, for example, Endoglin and some of the other biomarkers identified in only  
389 one cohort (**Table 2**). Also as a result, some of the previously reported treatment related proteins such as  
390 IL-6, S100-B, IL-1 $\beta$  and IFN- $\gamma$  (Janssen et al., 2010) could not be fully assessed. Consequently, future work  
391 attempting to improve consistency and reliability of protein measurement is warranted. On the other hand,  
392 we did reliably measure BDNF and TNF- $\alpha$ , two of the most commonly studied candidate biomarkers of  
393 treatment response (Mikoteit et al., 2014; Papakostas, 2012), but were not able to reproduce the reported  
394 findings in our study. We also acknowledge the fact that despite promising, some of our findings were in  
395 opposite directions across cohorts and failed to project to the naturalistic cohort. For instance, while the  
396 ApoA-IV and Endoglin associations with treatment response were consistent across the smaller shorter-

397 term treatment cohorts, the effects were in opposite direction in the larger naturalistic but fundamentally  
398 different longer-term treatment cohort 5. Similarly, opposite directions of association between ICAM-1, C3,  
399 HGF and IGFBP-2 and treatment response were observed across the venlafaxine or imipramine  
400 monotherapy cohorts (cohorts 1 and 2) and the mixed antidepressant treatment cohorts (cohorts 3 and 4).  
401 While these results could suggest that these proteins may play a role in treatment response depending on  
402 the class or specific antidepressant drug, the presence of inconsistencies across some of the key findings  
403 highlight the need for validation studies. The disagreement of results with the literature and importantly,  
404 within our own study may be explained by the heterogeneity of the patient population characterised by  
405 sociodemographic, lifestyle and clinical factors, disease comorbidities and concomitant medications.  
406 Differences in sample sizes and duration of treatment, variety of antidepressant medications administered,  
407 the heterogeneity of the concept “depression” and whether the original diagnosis of MDD was correct i.e.  
408 misdiagnosis of bipolar disorder in depressive phase, may also explain some of the inconsistencies, which  
409 altogether challenge the comparison of findings across cohorts. Finally, we acknowledge the fact that our  
410 findings are at very preliminary stages and are not immediately useful to every-day clinical practice.

411

412 In summary, we demonstrated for the first time that circulating ApoA-IV, Endoglin, ICAM-1, PAI-1, TIMP-1,  
413 TPO, C3, HGF and IGFBP-2 were associated with response to some antidepressant drugs and, that specific  
414 sets of immune-endocrine proteins were associated with response to certain classes or individual  
415 antidepressant drugs. These preliminary findings may represent early steps towards future personalised  
416 treatment approaches.

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## Tables

**Table 1. Patient clinical and sociodemographic characteristics at baseline**

a)

	Cohort 1 (n=30)	Cohort 2 (n=26)	Cohort 3 (n=38)	Cohort 4 (n=21)
<b>Numerical variables (mean   median (SD) [min-max])</b>				
Age	54   58 (9) [34-67]	54   56 (11) [32-82]	43   45 (12) [19-59]	42   42 (12) [22-59]
BMI (kg/m <sup>2</sup> )	23   23 (3) [15-31]	25   24 (4) [17-33]	26   25 (5) [16-43]	NR
HAMD score	24   24 (3) [18-31]	25   26 (3) [19-30]	22   22 (7) [9-37]	28   29 (5) [21-38]
Follow-up HAMD score	11   10 (8) [1-34]	18   19 (9) [1-36]	7   8 (4) [0-18]	7   7 (3) [0-14]
<b>Categorical variables</b>				
Follow-up time-point	Week 7	Week 7	Week 6	Week 4-26
<b>Response (HAMD reduction ≥50%) by follow-up</b>				
No	11 (37%)	19 (73%)	6 (16%)	1 (5%)
Yes	19 (63%)	7 (27%)	32 (84%)	20 (95%)
<b>Gender</b>				
Female	15 (50%)	17 (65%)	22 (58%)	14 (67%)
Male	15 (50%)	9 (35%)	16 (42%)	7 (33%)
<b>Baseline antidepressant medication</b>				
No*	30 (100%)	26 (100%)	38 (100%)	21 (100%)
Yes	0 (0%)	0 (0%)	0 (0%)	0 (0%)
<b>Follow-up antidepressant class</b>				
No	0 (0%)	0 (0%)	2 (5%)	0 (0%)
SNRI	30 (100%) <sup>#</sup>	0 (0%)	11 (29%)	6 (29%)
TCA	0 (0%)	26 (100%) <sup>†</sup>	1 (3%)	1 (5%)
NaSSa	0 (0%)	0 (0%)	8 (21%)	0 (0%)
SSRI	0 (0%)	0 (0%)	4 (11%)	7 (33%)
Others	0 (0%)	0 (0%)	2 (5%)	7 (33%)
Combination	0 (0%)	0 (0%)	10 (26%)	0 (0%)

NR, Not Recorded; SNRI, Serotonin–norepinephrine reuptake inhibitors; SSRI, Selective serotonin reuptake inhibitors; NaSSa, Noradrenergic and specific serotonergic antidepressants; TCA, Tricyclic antidepressants; BMI, Body Mass Index; HAMD, Hamilton Rating Scale for Depression, 17-item-version; #, SNRI (venlafaxine); †, TCA (Imipramine); No\*, drug-naïve or free for one or six weeks.

b)

	Cohort 5 (n=217)
<b>Numerical variables (mean   median (SD) [min-max])</b>	
Age	42   43 (12) [18-64]
Age of onset	28   25 (13) [5-59]
BMI (kg/m <sup>2</sup> )	27   25 (6) [15-53]
Drinks per week	8   1 (14) [0-66]
IDS-SR <sub>30</sub> score	36   35 (11) [13-63]
Follow-up IDS-SR <sub>30</sub> score	24   23 (13) [1-61]
<b>Categorical variables</b>	
Follow-up time-point	Year 2
<b>Response (IDS reduction ≥50%) by Year 2</b>	
No	154 (71%)
Yes	63 (29%)
<b>Antidepressant medication at baseline</b>	
No	45 (21%)
Yes	172 (79%)
<b>Follow-up antidepressant medication by Year 2</b>	
No	0 (0%)
SSRI	139 (64%)
Other	40 (18%)
TCA	15 (7%)
SNRI	6 (3%)
NaSSa	3 (1%)
Combination	14 (6%)
<b>Gender</b>	
Female	141 (65%)
Male	76 (35%)
<b>Sampling frame</b>	
General population	16 (7%)
Primary care	56 (26%)
Specialised mental health care	145 (67%)
<b>Chronic diseases</b>	

0	102 (47%)
1 to 2	106 (49%)
Over 3	9 (4%)
<b>MDD Type</b>	
First episode	111 (51%)
Recurrent	106 (49%)
<b>Comorbid anxiety diagnosis</b>	
No	64 (29%)
Yes	153 (71%)
<b>Somatic medications at baseline</b>	
<b>Anti-inflammatory medication</b>	
No	207 (95%)
Yes	10 (5%)
<b>Heart medication</b>	
No	181 (83%)
Yes	36 (17%)
<b>Other psychiatric medications at baseline</b>	
<b>Antipsychotics</b>	
No	204 (94%)
Yes	13 (6%)
<b>Anxiolytics</b>	
No	185 (85%)
Yes	32 (15%)
<b>Benzodiazepines</b>	
No	173 (80%)
Yes	44 (20%)

657 **IDS-SR<sub>30</sub>**, Inventory of Depressive Symptoms Self-Report Questionnaire 30-item.

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<b>B Lymphocyte Chemoattractant (BLC)</b>		IIR	5.78	0.491	50.45	0.010	0.66	0.844	-0.89	0.620	---	---
<b>Tamm-Horsfall Urinary Glycoprotein (THP)</b>		IH	3.69	0.697	68.23	1.15E-04	-0.88	0.780	---	---	-0.34	0.942
<b>Apolipoprotein E (Apo E)</b>		LM	-5.51	0.515	36.06	0.011	-0.35	0.935	---	---	4.97	0.110
<b>Fetuin-A</b>	(Bot et al., 2015)	IIR	-16.20	0.281	5.27	0.758	-15.82	0.016	---	---	0.57	0.930
<b>CD5 Antigen-like (CD5L)</b>		IIR	5.77	0.599	-6.95	0.638	-12.63	0.045	---	---	-5.65	0.227
<b>Alpha-2-Macroglobulin (A2Macro)</b>	(Chan et al., 2015a; Ditzgen et al., 2012; Domenici et al., 2010; Rothermundt et al., 2001; Seidel et al., 1995)	IIR,BC	6.89	0.693	10.58	0.762	-12.20	0.016	8.52	0.207	-7.16	0.159
<b>Plasminogen Activator Inhibitor 1 (PAI-1)</b>	(Eskandari et al., 2005; Gorska-Ciebiada et al., 2016; Tsai et al., 2008)	BC,AG	11.40	0.385	31.99	0.063	14.60	0.025	20.92	0.001	-2.42	0.665
<b>Tissue Inhibitor of Metalloproteinases 1 (TIMP-1)</b>	(Domenici et al., 2010)	BC	26.21	0.246	-5.21	0.810	15.07	0.043	25.50	0.017	-14.11	0.097
<b>Thrombopoietin (TPO)</b>		BC	5.30	0.676	15.30	0.673	19.77	0.009	14.39	0.048	---	---
<b>Cortisol</b>	(Chan et al., 2015a; Cubala and Landowski, 2014; Karlovic et al., 2012; Maes et al., 1997; Owens et al., 2014; Papakostas et al., 2013; Rhebergen et al., 2015; Simic et al., 2013; Stetler and Miller, 2011; Wong et al., 2000)	ES,HPA	9.06	0.168	3.23	0.555	6.72	0.002	3.08	0.459	-2.70	0.478
<b>Vascular Endothelial Growth Factor (VEGF)</b>	(Arnold et al., 2012; Bot et al., 2015)	GFS,AG	9.82	0.248	1.16	0.906	9.31	0.035	0.77	0.877	-0.67	0.872
<b>Tenascin-C (TN-C)</b>	(Bot et al., 2015; Stelzhammer et al., 2014)	GFS	-2.30	0.754	6.96	0.566	11.35	0.002	3.63	0.294	-8.48	0.128
<b>Stem Cell Factor (SCF)</b>		GFS	6.07	0.572	-3.33	0.855	12.94	0.004	1.26	0.905	6.90	0.372
<b>Haptoglobin</b>	(Karaoulanis et al., 2014)	IIR	8.19	0.193	5.47	0.461	7.26	0.005	1.99	0.472	0.98	0.711
<b>Interleukin-1 receptor antagonist (IL-1ra)</b>	(Bot et al., 2015; Chan et al., 2015a; Kaestner et al., 2005; Lehto et al., 2010; Stelzhammer et al., 2014)	IIR	-1.31	0.809	18.05	0.084	8.48	0.036	3.68	0.196	0.61	0.921
<b>FASLG Receptor (FAS)</b>		IIR	13.09	0.339	-17.45	0.084	12.21	0.033	-6.27	0.305	6.90	0.073
<b>Carcinoembryonic Antigen (CEA)</b>	(Bot et al., 2015)	O	-5.41	0.336	-13.81	0.153	3.09	0.036	0.06	0.972	1.43	0.573
<b>Prostatic Acid Phosphatase (PAP)</b>		O	-19.35	0.051	-4.98	0.662	9.43	0.025	3.51	0.588	---	---
<b>Cystatin-C</b>	(Bot et al., 2015)	O	18.80	0.223	6.13	0.760	24.14	0.033	---	---	-9.25	0.344
<b>Progesterone</b>	(Abou-Saleh et al., 1998)	ES	-9.99	0.365	-2.26	0.870	4.16	0.130	-13.17	1.84E-04	3.44	0.462



Betacellulin (BTC)	(Lu et al., 2013)	GFS	---	---	---	---	-0.84	0.877	-15.91	0.009	---	---
Insulin-like Growth Factor I (IGF-I)	(Deuschle et al., 1997; Weber-Hamann et al., 2009)	GFS,BC	---	---	---	---	-3.05	0.160	-2.39	0.040	---	---
Interleukin-4 (IL-4)	(Domenici et al., 2010; Simon et al., 2008)	IIR	---	---	---	---	---	---	-13.31	0.046	---	---
Eotaxin-3		IIR	---	---	---	---	---	---	-7.34	0.009	---	---
Interleukin-13 (IL-13)	(Chan et al., 2015a; Simon et al., 2008)	IIR	-4.14	0.487	11.92	0.289	1.51	0.792	-6.33	0.019	---	---
Alpha-Fetoprotein (AFP)		O	3.28	0.482	-6.67	0.322	1.63	0.376	-7.47	0.034	---	---
Angiotensin-Converting Enzyme (ACE)	(Chan et al., 2015a; Kaestner et al., 2005; Stelzhammer et al., 2014)	VR	-0.09	0.992	12.68	0.189	-7.97	0.228	-9.36	0.015	-0.47	0.932
Thrombospondin-1 (TSP-1)		BC	-40.25	0.095	54.70	0.073	2.41	0.466	23.49	0.005	-0.73	0.864
Insulin	(Arnold et al., 2012; Chan et al., 2015a; Domenici et al., 2010; Okamura et al., 2000)	CM	-4.63	0.366	-0.74	0.939	1.28	0.626	3.42	0.008	1.99	0.314
Thyroxine-Binding Globulin (TBG)	(Chan et al., 2015a)	ES,TS	-1.52	0.948	-3.39	0.886	-10.18	0.084	16.46	0.019	-5.68	0.411
C-Reactive Protein (CRP)	(Domenici et al., 2010)	IIR	3.29	0.113	1.73	0.478	1.63	0.118	2.97	0.023	-1.65	0.211
EN-RAGE	(Bot et al., 2015; Chan et al., 2015a; Kaestner et al., 2005; Stelzhammer et al., 2014)	IIR	-2.09	0.543	2.11	0.734	1.08	0.620	7.61	0.005	-0.25	0.909
Myeloperoxidase (MPO)	(Papakostas et al., 2013)	IIR	-1.01	0.788	15.17	0.105	2.09	0.521	10.99	0.011	0.66	0.764
Serum Amyloid P-Component (SAP)	(Domenici et al., 2010)	IIR	3.52	0.631	0.71	0.967	-1.26	0.849	13.22	0.005	1.59	0.777
Apolipoprotein(a) (Lp(a))	(Domenici et al., 2010)	LM	2.14	0.402	5.43	0.226	1.26	0.339	2.65	0.049	-0.01	0.995
Matrix Metalloproteinase-10 (MMP-10)	(Bot et al., 2015)	O	-19.53	0.050	-4.88	0.747	3.74	0.253	---	---	10.35	0.013
Collagen IV		O	-0.52	0.947	9.62	0.314	---	---	---	---	-7.87	0.023
Glucose-6-phosphate isomerase (G6PI)		CM,AG	---	---	---	---	---	---	---	---	-8.55	0.039
Insulin-like Growth Factor-Binding Protein 1 (IGFBP-1)		GFS	-2.03	0.546	1.92	0.769	---	---	---	---	-3.29	0.018
Beta-2-Microglobulin (B2M)	(Domenici et al., 2010)	IIR	22.90	0.079	0.70	0.967	6.94	0.344	17.18	0.107	-14.41	0.014
Immunoglobulin A (IgA)	(Gold et al., 2012)	IIR	-5.44	0.401	2.77	0.724	5.18	0.295	0.03	0.994	-7.41	0.039
Immunoglobulin M (IgM)	(Domenici et al., 2010)	IIR	-7.53	0.172	-2.26	0.743	-2.83	0.210	4.23	0.218	-9.80	0.003
Monocyte Chemoattractant Protein 1 (MCP-1)	(Bai et al., 2014; Chan et al., 2015a; Simon et al., 2008)	IIR,AG	-3.19	0.730	12.05	0.471	3.45	0.513	6.58	0.237	-12.92	0.003
Platelet-Derived Growth Factor BB (PDGF-BB)		GFS,AG	6.79	0.466	33.70	0.056	5.67	0.254	-4.41	0.618	-8.84	0.029
Prolactin (PRL)		ES	11.73	0.126	15.11	0.050	1.81	0.443	-3.05	0.086	-7.95	0.020

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$\beta$ , Regression Coefficient Estimates; P, P-value; Lit Ref, Literature References; ADs, Antidepressants; Func, Biological Function; AG, Angiogenesis; AR, Appetite Regulation; BC, Blood Coagulation; CM, Carbohydrate Metabolism; CA, Cell Adhesion; ES, Endocrine Signalling; GFS, Growth Factor Signalling; HPA, Hypothalamic-Pituitary-Adrenal Axis Signalling; IIR, Immune/Inflammatory Response; IH, Ion Homeostasis; LM, Lipid Metabolism; O, Other; TS, Thyroid Signalling; VR, Vascular Regulation. \*, LC-MS data as

690 this protein was not measured by the RBM platform in cohort 4. See Supplementary Table 1 for the full list of proteins measured  
691 across each cohort.

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## 697 **Figure legends**

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699 **Figure 1. Polar histogram showing the biomarkers of treatment response identified across the five**  
700 **independent cohorts.**

701 **Key: Direction of association** = direction of regression coefficient estimates, which can be positive ( $\beta$ ) or negative ( $-\beta$ ); **Positive ( $\beta$ )** = higher baseline protein level is associated with better response to treatment; **Negative ( $-\beta$ )** = lower  
702 baseline protein level is associated with better response to treatment; **Not significant** =  $P < 0.05$ ; **Not measured** = not  
703 measured by DiscMAP version used to profile samples in the respective cohort.  
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708 **Supplementary Figure 1. Association between treatment response and baseline APOA-IV levels in cohorts**  
709 **1, 3, 4 and 5.**

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