

Ultra-sensitive planoconcave optical microresonators for ultrasound sensing

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Exquisitely sensitive broadband detectors are needed to expand the capabilities of biomedical ultrasound, photoacoustic imaging and industrial ultrasonic non-destructive testing techniques. Piezoelectric transducers are near ubiquitous but achieving high sensitivity requires large element size and resonant material compositions leading to narrow directivity, poor frequency response characteristics and ultimately compromised image signal-to-noise-ratio and quality. Here, a generic new optical ultrasound sensing concept based on a novel planoconcave polymer microresonator is described. This achieves strong optical confinement (Q -factors $> 10^5$) resulting in very high sensitivity with excellent broadband acoustic frequency response and wide directivity. The concept is highly scalable in terms of bandwidth and sensitivity. To illustrate this, a family of microresonator sensors with broadband acoustic responses up to 40MHz and noise-equivalent-pressures as low as 1.6mPa/√Hz have been fabricated and comprehensively characterized in terms of their acoustic performance. In addition, their practical application to high resolution photoacoustic and ultrasound imaging is demonstrated. The highly favorable acoustic performance and design flexibility of the technology offers new opportunities to advance biomedical and industrial ultrasound based techniques.

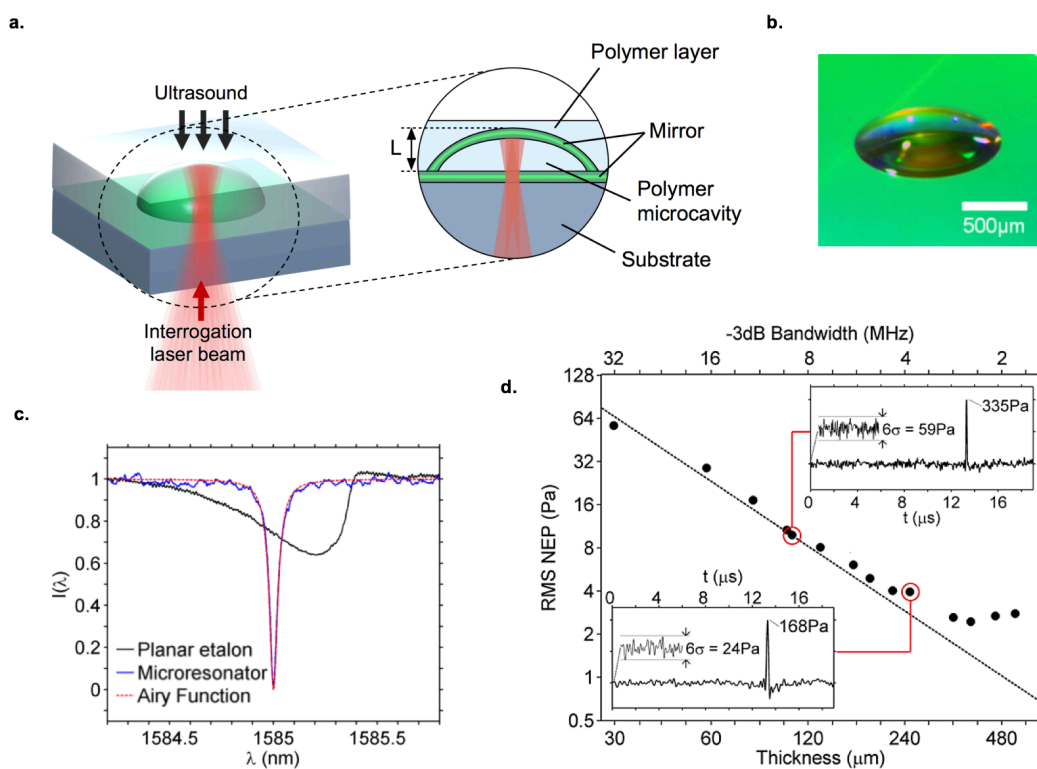
The sensitive detection of broadband ultrasound waves in the hundreds of kHz to tens of MHz range underpins techniques such as biomedical photoacoustic tomography and microscopy^{1,2}, clinical ultrasound imaging³ and industrial non-destructive evaluation and monitoring⁴⁻⁶. Piezoelectric ultrasound receivers represent the current state of the art but present two key acoustic performance limitations. Firstly, achieving the high acoustic sensitivities required for large imaging depths necessitates piezoelectric element sizes on a millimetre-centimetre scale which result in a highly directional response at MHz frequencies due to spatial averaging. This can have the counter-productive effect of degrading image SNR and fidelity in paradigms such as photoacoustic tomography or synthetic aperture pulse-echo ultrasound which require sub-wavelength detectors with a near omnidirectional response. Secondly, achieving the very highest sensitivities typically requires detectors that are fabricated from acoustically resonant piezoceramic materials. This can result in a sharply peaked frequency response thereby precluding a faithful representation of the incident acoustic wave and ultimately compromising image fidelity.

Optical ultrasound sensors offer an alternative that is beginning to challenge the current piezoelectric dominated landscape^{5,7-17}. This applies particularly to devices based on highly sensitive optically resonant structures such as micro-rings^{9,10}, Fabry-Pérot etalons^{5,7,12,14,17} and in-fibre Bragg gratings¹³. In terms of acoustic performance alone, their attraction is twofold. Firstly, extremely high sensitivity is theoretically possible due to the interaction length scaling provided by optically resonant structures. Secondly, they offer the prospect of low directional sensitivity at MHz frequencies since the acoustic element size is optically defined and can approach the micron-scale optical diffraction limit. However, it has proved challenging to realise both high sensitivity and wide directivity along with a uniform broadband frequency response, particularly with devices that can be scaled to achieve the high channel counts required for imaging applications. In this study, a new

53 generation of optical ultrasound sensors based on a high Q plano-concave microresonator that has the
 54 potential to meet these requirements is described. As well as excellent acoustic characteristics, a key
 55 distinguishing feature of this approach over existing methods is the design flexibility it offers allowing the
 56 acoustic performance to be finely tuned to match a wide range of applications. This enables realisation of a
 57 broadly applicable family of highly sensitive, micron scale broadband ultrasound sensors with unprecedented
 58 acoustic performance and versatility for biomedical and industrial ultrasound.

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 60 The sensors are based upon a solid planoconcave polymer microcavity formed between two highly reflective
 61 mirrors ($R > 98\%$) which is embedded in a layer of matching polymer so as to create an acoustically
 62 homogeneous planar structure as illustrated in figures 1a-b. The cavity is constructed by depositing a droplet of
 63 optically clear UV-curable liquid polymer onto a dielectric mirror coated polymer substrate (see methods). The
 64 droplet stabilises to form a smooth spherical cap under surface tension and is subsequently cured under UV-
 65 light. The second dielectric mirror coating is then applied, followed by the addition and curing of further
 66 polymer to create the encapsulating layer.

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 71 **Figure 1 | Planoconcave optical microresonator ultrasound sensor.** **a**, Sensor schematic (L = cavity thickness). The sensor
 72 comprises a planoconcave polymer microcavity encapsulated in a planar polymer layer. **b**, Photograph of polymer
 73 microcavity prior to application of the encapsulating polymer layer. **c**, Measured cavity transfer function of a planoconcave
 74 microresonator sensor ($Q=30,000$, finesse=148, visibility=0.96) and a planar fused silica etalon ($Q=3,300$, finesse=17,
 75 visibility=0.22) of equal thickness ($100\mu\text{m}$) and reflectivity (98%), measured with the same interrogation laser beam waist
 76 ($\omega_0 = 12.5\mu\text{m}$, where w_0 is the $1/e^2$ beam radius). **d**, RMS noise-equivalent pressure (NEP) over a measurement
 77 bandwidth equal to the -3dB bandwidth of each sensor, except for the $30\mu\text{m}$ sensor for which the measurement
 78 bandwidth was 20MHz (see methods). The dotted line shows the expected trend with sensitivity increasing with thickness.
 79 Inset figures show extracts from the acoustic waveforms (original length $200\mu\text{s}$) measured by $100\mu\text{m}$ ($Q=64,000$) and
 80 $250\mu\text{m}$ ($Q=108,000$) thick sensors in response to a plane wave monopolar acoustic pulse produced by a laser ultrasound
 81 source (see methods). The temporal pulse widths of the measured waveforms are 81ns for the $100\mu\text{m}$ sensor and 165ns
 82 for the $250\mu\text{m}$ sensor (the values quoted are the full width half maxima of the pulses). The zoomed in sections of the noise
 83 show 6 times the root-mean-squared value (RMS).

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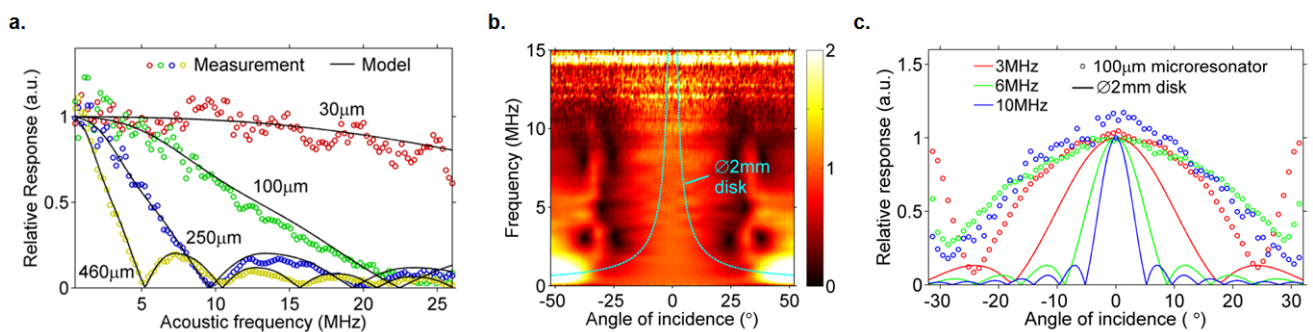
86 The sensor is operated by illuminating it with a focussed continuous-wave laser beam at a wavelength λ_b tuned
87 to the edge of the cavity resonance. Under these conditions, the stress due to an incident acoustic wave
88 modulates the cavity optical thickness producing a corresponding modulation in the reflected optical power
89 which is detected by a photodiode. The magnitude of the reflected power modulation, and thus the sensitivity
90 of the sensor, is dependent upon the sharpness of the resonance. In order to optimise this, the cavity geometry
91 is carefully designed such that when illuminated by a tightly focussed interrogation laser beam, the top mirror
92 curvature is perfectly matched to that of the diverging beam. This precisely corrects for the divergence by
93 refocussing the light upon each round trip and preventing the beam from walking off laterally as it would in a
94 planar etalon. As a consequence, it is possible to achieve a very high degree of optical confinement as
95 demonstrated in figure 1c, which shows the cavity transfer function (CTF) of a 100 μm thick planoconcave
96 microresonator sensor of 98% mirror reflectivity interrogated with a 12.5 μm beam waist. The CTF is extremely
97 sharp with a very high Q-factor of 30,000, moreover it is near-indistinguishable from the Airy function; the
98 theoretical CTF for a perfectly confined optical field. For comparison, also plotted in figure 1c is the CTF of a
99 planar etalon of the same thickness and mirror reflectance interrogated with an identical beam waist. The
100 planar etalon CTF bears little resemblance to the Airy function, with a distorted asymmetric shape¹⁸, an order-
101 of-magnitude lower Q-factor and poor visibility due to the beam walk-off arising from the limited optical
102 confinement in the planar cavity. This illustrates the key advantage of the planoconcave cavity over the well-
103 established polymer planar Fabry-Pérot etalon ultrasound sensor⁷. The latter has been shown capable of
104 providing excellent photoacoustic image quality¹⁹. However its sensitivity and thus imaging depth is limited by
105 its relatively modest Q-factor due to the beam walk-off that arises when illuminated by a tightly focused laser
106 beam as required to achieve small element size for low directional sensitivity.

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108 The strong optical confinement afforded by the planoconcave microresonator design creates the opportunity
109 to maximise sensitivity in two ways. The first is by increasing the mirror reflectivity, trapping light for longer
110 and increasing the number of significant round trips in the cavity, leading to a higher Q-factor and thus a higher
111 CTF gradient at λ_b . The second is by increasing the cavity thickness L . This results in a greater change in optical
112 thickness for a given acoustic pressure since the sensor responds to the spatial average of the acoustic field.
113 The latter results in a reduction in acoustic bandwidth with increasing L and the ensuing trade-off between
114 sensitivity and bandwidth presents the opportunity to create a family of sensors optimised for different
115 applications. By contrast, increasing either the reflectivity or the thickness of a planar etalon exacerbates beam
116 walk-off thereby reducing the Q-factor and thus sensitivity. To illustrate the sensitivity-bandwidth scaling of the
117 concept, a family of 14 sensors were designed and fabricated with different thicknesses ranging from 30 μm to
118 530 μm and a maximum mirror reflectivity of 99.3%. The sensors were characterised in terms of their
119 sensitivity, frequency response, and directivity.

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121 Sensitivity was assessed by measuring the noise equivalent pressure (NEP), which was estimated for each
122 sensor based on its response to a broadband (1-70MHz) monopolar acoustic pulse produced by a plane wave
123 laser ultrasound source (see methods). The pressure output of the source was calibrated with reference to a
124 primary standard, certified by the UK National Physics Laboratory. NEP values are quoted without signal
125 averaging and for a measurement bandwidth equal to the -3dB bandwidth of the sensor under test. To
126 illustrate the scaling of NEP with sensor thickness, figure 1d shows the NEP of all 14 sensors, also indicating the
127 reducing bandwidth as well as example acoustic waveforms obtained using the 100 μm and 250 μm thick
128 sensors (inset figures). As expected, figure 1d shows that the NEP improves with increased thickness (and
129 decreased bandwidth) for $L < 250\mu\text{m}$. For example, the NEP of the 100 μm sensor is 9.8Pa (over an 8.9MHz
130 measurement bandwidth, 3.3mPa/VHz) and that of the 250 μm sensor is lower at 4Pa (over a 3.8MHz
131 measurement bandwidth, 2mPa/VHz). For $L > 250\mu\text{m}$ the improvement in NEP declines and reaches a plateau.
132 This is due to a combination of several factors including optical absorption in the cavity, laser phase noise, and
133 mismatches between the curvature of the concave surface of the cavity and that of the beam. The minimum
134 NEP obtained is that of the 340 μm thick sensor at 2.6Pa (over a 2.8MHz measurement bandwidth,
135 1.6mPa/VHz). This very low NEP is approximately an order-of-magnitude better than that of the planar Fabry-
136 Pérot sensor⁷. Moreover, it is comparable to that reported for high sensitivity piezoelectric transducers used
137 for deep tissue photoacoustic breast imaging that are four or five orders-of-magnitude greater in acoustic
138 element size²⁰ and exhibit significantly poorer acoustic frequency response and directivity as discussed below.

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140 As well as high sensitivity, a smooth well behaved frequency response that is sufficiently broadband to capture
 141 all relevant frequencies in an acoustic pulse is important. If this criterion is not met, as in the case of most
 142 piezoceramic transducers, waveforms and reconstructed images can be distorted. The planoconcave
 143 microresonator sensor is specifically designed such that it forms an acoustically homogeneous, semi-infinite
 144 polymer structure as shown in figure 1a. In conjunction with the good acoustic impedance matching to both
 145 the polymer backing substrate and the surrounding coupling medium, this design minimises internal acoustic
 146 reflections. A uniform, smooth frequency response characteristic of an acoustically non resonant broadband
 147 detector can therefore be expected. Figure 2a shows the measured responses of a representative subset of
 148 four sensors, measured using the same broadband laser ultrasound source described above (see methods). The
 149 response is indeed smooth in all cases, with a gradual roll-off to the first zero which occurs at the frequency at
 150 which the acoustic wavelength is exactly equal to the cavity thickness and in excellent agreement with theory
 151 ²¹. The broadband nature of the frequency response is further illustrated by the waveforms in figure 1d. These
 152 show clean monopolar signals, free from artefacts or ringing, with the shorter pulse duration of the 100 μ m
 153 sensor signal (81ns) relative to that of the 250 μ m sensor signal (165ns) consistent with the broader bandwidth
 154 of the former.
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 159 **Figure 2 | Acoustic frequency response and directivity** a, Measured frequency response for a range of sensors of different
 160 thickness compared with model data²¹. b, 100 μ m sensor directional response map (normalised to $\theta=0^{\circ}$) with contour line
 161 showing the 50% cut-off for the modelled response of a disk-shaped purely spatially averaging sensor of diameter 2mm. c,
 162 Directional response of 100 μ m sensor at selected frequencies as compared to the modelled response of a disk-shaped
 163 spatially averaging receiver of diameter 2mm. For all data: $\omega_0 = 12.5\mu$ m.
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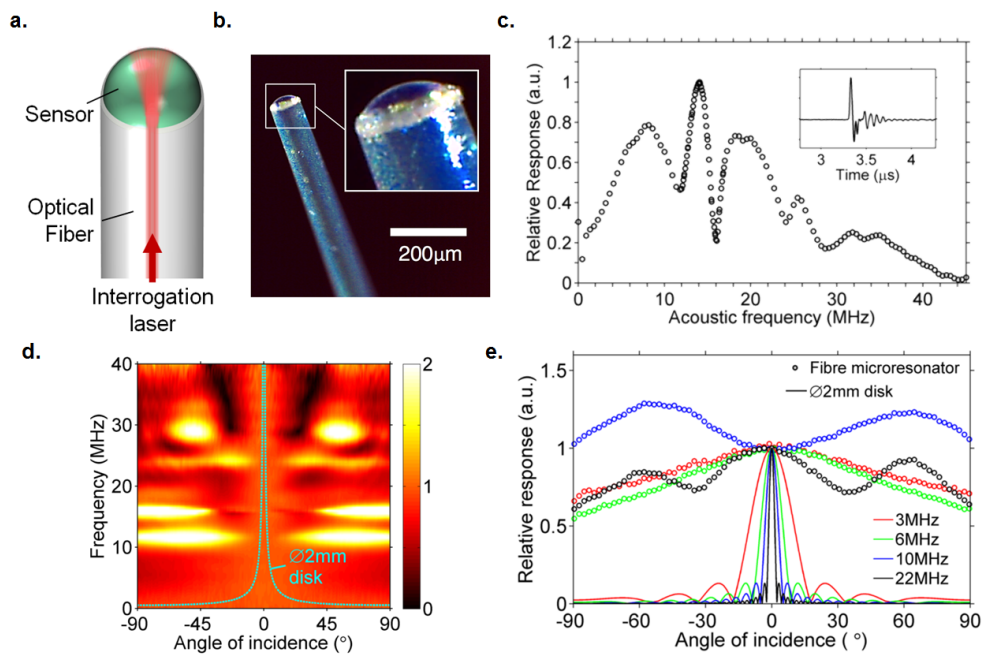
165 Along with the NEP measurements in figure 1, the frequency response data in figure 2 illustrates the design
 166 flexibility of the concept. The frequency response of the 30 μ m sensor demonstrates that it is possible to obtain
 167 very broad bandwidths, on the order of tens of MHz, as required for high-resolution imaging applications such
 168 as photoacoustic microscopy and endoscopic ultrasound. At the other end of the scale, the most sensitive
 169 sensors (NEP <5Pa) with cut-off (first null) frequencies up to 10MHz or less lend themselves to cm scale deep
 170 tissue photoacoustic and ultrasound imaging; for example, broadband ultrasound signals traversing 3cm or
 171 more of breast tissue are bandlimited by frequency dependent acoustic attenuation to the extent that their
 172 frequency content beyond 5MHz is negligible²². At this length scale, the signals are also very weak (a few
 173 Pascals or less) so this case is very well-matched to the thick, low-frequency microresonator sensors that offer
 174 the highest sensitivity.
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176 The complete characterisation of an ultrasound receiver requires measuring its directional response which to a
 177 first approximation is defined by its element size. The directivity is of critical importance for imaging techniques
 178 such as photoacoustic tomography and diagnostic ultrasound imaging which employ back projection, phased
 179 array or other synthetic aperture methods that require point-like omnidirectional receivers. Poor directivity
 180 (non-smooth or with a narrow angular range) not only introduces image artefacts but can seriously
 181 compromise image SNR²². Figure 2b shows the directivity of the 100 μ m planoconcave microresonator sensor
 182 measured using the laser ultrasound source (see methods). This example is chosen as representative since all
 183 of the sensors were interrogated with the same laser beam waist which (to a first approximation) defines the
 184 acoustic element size. The response exhibits a well behaved smooth roll-off from normal incidence to minima
 185 between 25 and 30 $^{\circ}$. Beyond these minima the response is more variable though there is strong sensitivity at

186 most angles and frequencies up to the extent of the measurement at $\pm 52^\circ$ and 15MHz. To put this into
 187 perspective, in order to achieve a sensitivity comparable to that of the 100 μm microresonator sensor, it is
 188 estimated that a circular piezoelectric PVDF receiver (which, being fabricated from a polymer has comparable
 189 broadband frequency response characteristics to the microresonator sensor and thus provides a fair
 190 comparison) would require an element diameter of 2mm (see methods). For a receiver of this size, a highly
 191 frequency-dependent and relatively narrow directional response can be expected. This is shown in figure 2b
 192 and 2c which compare the modelled directional response of a 2mm diameter circular ultrasound receiver with
 193 the measured directivity of the 100 μm microresonator (see methods). The microresonator provides a superior
 194 directivity in that its response is significantly less directional. This is particularly evident at higher frequencies;
 195 for example, at 10MHz, the angle of the first minimum of the 100 μm thick microresonator sensor is 30°
 196 compared to 5° for the 2mm circular receiver. Note that the modelled response of the 2mm receiver is a best
 197 case scenario since it is based on the assumption that its directivity is defined by spatial averaging alone. In
 198 practice, additional acoustic interactions influence the directivity of real PDVF receivers resulting in an even
 199 more directional response than indicated in figure 2b¹⁶.

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 201 The concept offers not only excellent broadband acoustic performance but flexibility of implementation. In
 202 addition to the above free-space sensors which can in principle be replicated to form 2D imaging arrays, the
 203 microresonator can also be formed on the tip of a single mode optical fibre to realise a highly miniaturised
 204 flexible probe-type ultrasound receiver. This is illustrated in figure 3 (a-b). In this example, we sought to further
 205 illustrate the high frequency scaling capability of the concept by forming a reduced cavity thickness ($L=16\mu\text{m}$)
 206 compared to those described above. The NEP at 3.5MHz is 9.3Pa over a 20MHz measurement bandwidth
 207 (2.1mPa/VHz) and, as shown in figure 3c, the response is broadband extending to approximately 40MHz. The
 208 response is less uniform than those of the free space devices in figure 2a owing to acoustic diffraction around
 209 the fibre tip, a common feature of probe-type ultrasound receivers¹⁶. The measured directivity (fig3d-e) shows
 210 that the sensor is effectively omnidirectional, with high sensitivity up to $\pm 90^\circ$, for most frequencies up to
 211 40MHz. It is assumed that this is due in part to the small illuminating beam radius ($\omega_0=5.2\mu\text{m}$), however a
 212 detailed theoretical understanding and modelling of the directivity is required to fully interpret these results
 213 and will form the basis of future work.

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218 **Figure 3 | Optical fibre microresonator sensor.** **a**, schematic. **b**, photograph. **c**, frequency response. Inset shows time
 219 domain waveform in response to the laser ultrasound source (see methods). **d**, directional response map (normalised to
 220 $\theta=0^\circ$) with contour line showing the 50% cut-off for the modelled response of a disk-shaped purely spatially averaging
 221 sensor of diameter 2mm. **e**, directional response at selected frequencies as compared to the modelled response of a disk-

222 shaped spatially averaging sensor of diameter 2mm. Data is shown for a 16 μ m thick planoconcave optical microresonator
223 fibre sensor.

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226 To demonstrate practical applicability to photoacoustic and ultrasound imaging, two exemplars chosen to
227 illustrate the benefits of the high broadband sensitivity and wide directivity provided by the technology are
228 shown in figure 4. For ease of implementation, fibre microresonator type sensors were used in these
229 demonstration examples.

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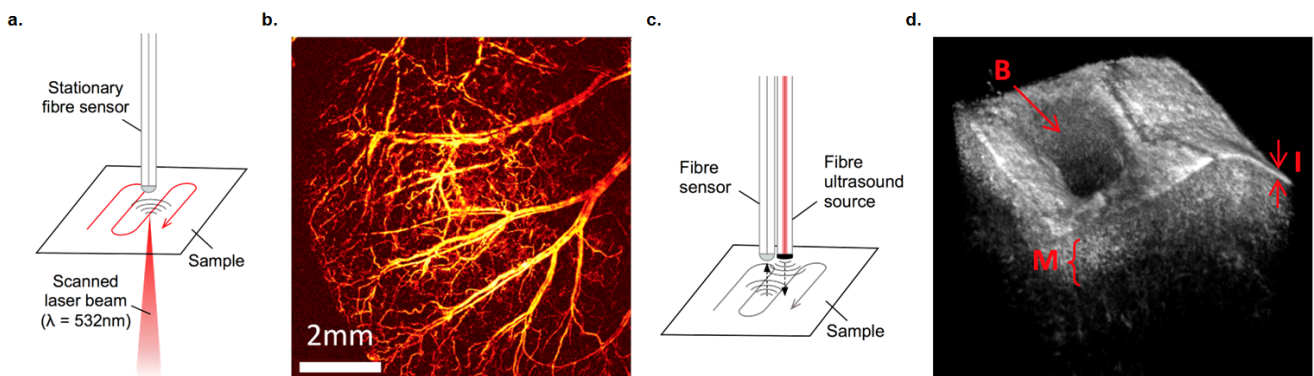
231 Figure 4a.b shows an optical-resolution photoacoustic microscopy (OR-PAM) image²³ of the mouse ear
232 acquired *in vivo* showing the microvasculature at the level of individual capillaries. This image was obtained by
233 scanning a pulsed focussed photoacoustic excitation laser beam of 7 μ m full-width-half-maximum over an 8mm
234 x 8mm area and recording the photoacoustic signals at each scan point with the sensor in a fixed position at
235 the centre of the scan area²⁴. The fibre sensor was located at a distance of 1.2mm from the skin surface and
236 ultrasound gel was used as the acoustic coupling medium. The high-contrast and large field-of-view
237 demonstrate the high sensitivity and near omnidirectional response of the sensor. The latter is most apparent
238 in the observation that at the lateral extremities of the scan area the sensor is recording photoacoustic waves
239 with a frequency content up to 40 MHz over an angular aperture of 75 degrees. The implementation in figure 4
240 not only illustrates the favorable acoustic performance of the sensor. It also illustrates a potential route to
241 achieving fast OR-PAM image acquisition over large areas since the near omnidirectional response of the
242 sensor allows it to remain stationary, thereby obviating the need for time consuming mechanical scanning
243 often used in conventional OR-PAM²⁵.

244

245 Figure 4c.d shows the second application example, a 3D high resolution pulse-echo ultrasound image of an *ex*
246 *vivo* porcine aorta sample. The image was obtained by raster scanning a fibre microresonator sensor and a
247 fibre-based laser ultrasound source (see methods) emitting broadband ultrasound pulses with a frequency
248 content extending to 30MHz. The returning echoes from subsurface tissue structures are recorded by the fibre
249 sensor and the 3D image was reconstructed using the k-wave toolbox²⁶. The image shows the inner layered
250 structure of the aorta wall and an ancillary branch departing the main vessel wall. The high resolution and
251 spatial fidelity of this image further illustrate the benefits of the sensitive omnidirectional characteristics of the
252 sensor since this imaging approach falls in the category of synthetic aperture techniques that require detection
253 over a large angular aperture.

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258 **Figure 4 | Imaging demonstrations.** **a**, schematic of fibre-microresonator sensor based optical resolution photoacoustic
259 microscopy (OR-PAM) experiment. **b**, OR-PAM image of mouse ear vasculature *in vivo*. The scan area was 8mm x 8mm
260 with a 20 μ m step-size which defines the lateral resolution. The excitation laser beam diameter (FWHM) was 7 μ m, λ =
261 578nm, with a 1.2ns pulse-duration, pulse repetition frequency (PRF) of 5 kHz and 800 nJ pulse energy. The objective lens
262 focal length was 50 mm. Image acquisition time was \approx 5 mins. Sensor bandwidth: 40MHz (L=16 μ m). Vertical resolution is
263 defined by the FWHM of the impulse response function (inset figure 3(c)) and was 36 μ m. Typical image SNR values near to
264 the centre and near to the edge are 141:1 (43.0 dB) and 28:1 (28.9 dB). **c**, schematic of all-fiber pulse-echo ultrasound
265 experiment (performed in water). The fiber ultrasound source comprised an optical fiber (200 μ m core diameter) with a
266 highly optically absorbing coating at its distal end irradiated with 1.2 ns laser pulses; the -6dB acoustic bandwidth of the

267 source was 29.2MHz. **d**, 3D pulse-echo ultrasound image of *ex vivo* porcine aorta, B = branching vessel, I = intima, M =
268 media. The scan area was 1cm x 1cm with a 50 μ m step-size. Sensor bandwidth: 55 MHz (L=12 μ m). The lateral and axial
269 resolutions were 94.2 μ m and 65.9 μ m respectively obtained by imaging a 7 μ m diameter carbon fibre.

270

271 In summary, a family of planoconcave optical microresonator ultrasound sensors have been developed that
272 exploit strong optical confinement in order to deliver exquisite sensitivity. The concept offers several important
273 advantages over the current state of the art. In terms of acoustic performance alone, it is the unparalleled
274 combination of both high broadband sensitivity and wide angular detection aperture that most obviously
275 distinguishes it. This is most compellingly illustrated by the remarkable result in figure 4 in which the fibre optic
276 microresonator sensor exhibits a near omnidirectional response at frequencies up to at least 40MHz with an
277 NEP of just 10Pa; a level of acoustic performance that significantly outperforms current piezoelectric or optical
278 ultrasound detection technology. Achieving comparable directivity using a piezoelectric receiver for example
279 would require an element size on the order of 10 μ m which would be several orders of magnitude less
280 sensitive. As mentioned previously, isotropic detection is of crucial importance for achieving high SNR with
281 imaging techniques such as photoacoustic tomography or 3D pulse-echo synthetic aperture ultrasound. The
282 favourable combination of high sensitivity and directivity of the microresonator sensors could therefore pave
283 the way to extending the penetration depth of these imaging modalities. Moreover, the combination of wide
284 directivity and uniform broadband frequency response offers the prospect of better image quality than
285 achievable with current optical and piezoelectric based ultrasound detection methods.

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287 The technology offers significant design flexibility and scalability. Increasing the mirror reflectivities to increase
288 Q-factor along with the use of lower phase noise lasers or phase compensation techniques may provide
289 opportunities to further increase sensitivity potentially to the sub-Pa regime. Bandwidth can be adjusted by
290 appropriate selection of the cavity thickness. In this study sensors with bandwidths in the 1-40MHz range were
291 demonstrated since this frequency range encompasses most biological and industrial applications. However,
292 higher frequency devices extending beyond 100MHz for ultra-high resolution applications can in principle be
293 fabricated by forming a thinner cavity. The sensitivity-bandwidth scaling offered by the concept lends itself to a
294 wide range of applications from high resolution endoscopic clinical photoacoustic and ultrasound imaging
295 enabled by microresonator sensors operating at tens of MHz frequencies to sensors designed to operate in the
296 low MHz range with extremely high sensitivity for deep tissue photoacoustic imaging. Although this study has
297 focussed on detection at MHz frequencies, the sensors are responsive to lower frequencies, in principle down
298 to dc. This offers additional opportunities for passive acoustic emission sensing in industrial testing, machining
299 and process monitoring applications⁶ which typically require detection at sub-MHz frequencies. The technology
300 also offers versatility and flexibility of implementation. Feasibility has been demonstrated using individual free-
301 space devices with relatively large footprints but it is anticipated that these can be truncated to the width of
302 the interrogation laser beam (the active part of the sensor; \approx 30 μ m) and replicated at low cost to create high
303 density 2D imaging detector arrays using polymer fabrication methods such as inkjet printing, nanoimprinting
304 and UV embossing previously developed for microlens array fabrication²⁷. Such arrays could then be optically
305 addressed by single or multi-beam optical scanning or using structured full field illumination. Moreover, as
306 demonstrated, the microresonators can be formed at the tip of an optical fibre in order to realise an
307 inexpensive, flexible, highly miniaturised probe type receiver for endoscopic medical applications or limited
308 access industrial ultrasonic NDE and acoustic emission sensing. Low cost for disposable use, electrical passivity
309 and immunity to EMI permitting operation in electromagnetically noisy environments such as MRI scanners or
310 hostile industrial process facilities provide further advantages. Finally, all of the sensors described were
311 fabricated using dichroic dielectric coatings that are transparent in the 600-1200nm wavelength range allowing
312 the convenient backward-mode⁷ of photoacoustic imaging and sensing to be realised.

313

314 In conclusion, this concept offers a new and versatile generic approach to high performance ultrasound
315 detection with the potential to extend the capabilities of a wide range of biomedical and industrial
316 photoacoustic and ultrasound imaging and sensing techniques.

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320

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- 389

390 Methods

391

392

393 **Microresonator design and fabrication**

394

395 To fabricate the free-space sensors, small volumes (nL - μ L) of UV-curable adhesive or epoxy were deposited on
396 to mirror-coated substrates yielding free-standing liquid spherical caps. Under these conditions, the contact
397 angle is a constant based on the energetic properties of the specific surface and fluid within some range due to
398 hysteresis²⁸. Thus, varying the volume of fluid deposited allows the thickness to be adjusted. For invariant
399 contact angle, this also changes the base radius which scales with thickness as illustrated in the table below
400 which provides the dimensions of each fabricated free-space sensor. Deposition was performed using a robotic
401 plotting machine (GIX Microplotter II, Sonoplot, Middleton, WI, U.S.A.), by hand using a simple stamp, or by
402 dip-coating in the case of the fibre sensor, prior to curing. Dichroic mirror coatings were applied as described in
403 reference⁷.

404

| L (μ m) | \varnothing (mm) | ROC (mm) |
|--------------|--------------------|----------|
| 30 | 0.39 | 0.63 |
| 58 | 0.66 | 0.96 |
| 81 | 0.66 | 0.71 |
| 103 | 1.36 | 2.29 |
| 107 | 1.35 | 2.17 |
| 131 | 2.28 | 5.03 |
| 166 | 1.34 | 1.43 |
| 187 | 1.73 | 2.10 |
| 220 | 1.62 | 1.60 |
| 249 | 2.28 | 2.74 |
| 340 | 2.47 | 2.41 |
| 385 | 3.60 | 4.40 |
| 459 | 4.55 | 5.88 |
| 529 | 5.35 | 7.02 |

405

406 **Table 1 | Dimensions of free-space planoconcave microresonator sensors; L = thickness, \varnothing = base diameter (footprint),**
408 **ROC = radius of curvature.**

409

410 To establish the dimensions in Table 1, the sensor thickness was calculated from the (wavelength) free spectral
411 range FSR_{λ} (extracted from the cavity transfer function; CTF) using the relationship:

412

$$413 \quad FSR_{\lambda} = \frac{\lambda_0^2}{2Ln} \quad \text{Equation 1}$$

414

415 where λ_0 is the vacuum wavelength, L is the thickness and n is the refractive index. The in-plane diameter \varnothing
416 was measured from (reflection) images obtained by the optical scanner. The sensor ROC was calculated based
417 on the assumption that the sensor geometry was a perfect spherical cap using:

418

$$419 \quad ROC = \frac{L^2 + a^2}{2L} \quad \text{Equation 2}$$

420

421 where a is the base radius ($\varnothing/2$).

422

423

424 **Sensor interrogation**

425

426 The free space sensors were interrogated by illuminating them with a focused laser beam ($\omega_0=12.5\mu$ m)
427 provided by a tuneable continuous-wave laser source (Tunics T100S-HP/SCL, Yenista Optics). The interrogation
428 beam was positioned using a two axis galvanometer-based scanner⁷ and the reflected beam from the sensor
429 detected using a custom-designed AC and DC-coupled⁷ InGaAs photodiode (G9801-22, Hamamatsu). The fibre

430 sensors were directly coupled to the interrogation laser and photodiode via an optical circulator (6015-3-APC,
431 Thorlabs).

432

433

434 **Noise equivalent pressure measurements**

435

436 The noise-equivalent pressure (NEP) is the pressure that provides a signal-to-noise ratio (SNR) of unity in the
437 low frequency limit where the acoustic wavelength is much larger than the cavity thickness and the frequency
438 response is flat^{2,7,21}. The NEP therefore represents the minimum detectable pressure and is given by

439

440

$$NEP = N/\psi \quad \text{Equation 3}$$

441

442 where ψ is the system pressure sensitivity in mV/kPa, and N is the root-mean-squared (RMS) noise level in mV.
443 To determine the NEP of the free-space microresonator sensors, a substitution method based on the use of a
444 broadband laser ultrasound source (see below) and a calibrated reference sensor was used as follows. The
445 pressure output of the laser ultrasound source was determined using a reference Fabry-Pérot (FP) ultrasound
446 sensor of known pressure sensitivity and flat frequency response from 0.5 to 75MHz (-3dB). Since the source
447 bandwidth (~70MHz) significantly exceeds that of the microresonator sensors, the signal measured by the
448 reference FP sensor was digitally low pass filtered using a cut-off frequency equal to the -3dB bandwidth of the
449 microresonator sensor under test. This yields the pressure p over the frequency range for which the
450 microresonator response is flat – i.e. the above mentioned low frequency limit. The reference sensor was then
451 replaced by the microresonator sensor and the measurement of the source output repeated under identical
452 conditions including application of the same low-pass filter. Using this measurement and p then enabled ψ to
453 be calculated. The noise N was measured by calculating the RMS value from a 100 μ s long segment of the
454 filtered waveform taken from immediately before the arrival of the acoustic pulse without signal averaging. By
455 measuring the noise simultaneously with the signal (in the same non-averaged waveform), it was ensured that
456 the noise was accurately captured under realistic practical operating conditions. The NEP was then obtained
457 from ψ and N using equation 3. Note that the above low pass filtering step applied to both reference and
458 sensor measurements is equivalent to band-limiting the acoustic source so that its frequency content lies
459 within the low frequency limit as defined above for an accurate representation of NEP. For additional
460 verification, a calibrated 1MHz transducer (which is well within the low frequency limit of all the sensors) was
461 also used to measure the NEP and found to be in close agreement.

462

463 The fibre microresonator sensor has a non-uniform frequency response (figure 3c) so its NEP was measured at
464 a single acoustic frequency using a calibrated 3.5MHz planar transducer, over a 20MHz measurement
465 bandwidth. The reference FP sensor and the 1MHz and 3.5MHz transducers were calibrated by comparison
466 with a PVDF membrane hydrophone that had been calibrated with reference to a primary standard by the
467 National Physical Laboratory, UK.

468

469

470 **Frequency response measurements**

471

472 Frequency response (figure 2a) was measured by comparison with a reference sensor of known frequency
473 response characteristics as follows. Firstly, an averaged waveform in response to a broadband monopolar
474 acoustic plane-wave (planar over a diameter of \approx 1cm) was acquired using each microresonator sensor. The
475 measurement was then repeated under identical conditions using a planar FP sensor⁷ with a flat frequency
476 response from 0.5 to 75MHz (-3dB)²¹ that acted as a reference. This bandwidth significantly exceeds that of the
477 microresonator sensors. It is therefore assumed that the reference FP sensor provides an accurate
478 representation of the frequency spectrum of the incident acoustic wave over the frequency range of interest.
479 The frequency response of each microresonator was then obtained by dividing the FFT (Fast Fourier Transform)
480 of the recorded signal by that of the reference waveform. In the case of the fiber sensor (figure 3c), a different
481 reference sensor was used with a still broader -3dB bandwidth of 130MHz.

482

483

484 **Frequency-dependent directivity measurements**

485

486 Directional response was measured by acquiring averaged signals in response to a laser-generated broadband
487 monopolar acoustic plane-wave rotated about the sensor interrogation point. Signals were captured at discrete
488 rotational increments of 0.5°. For display (figures 2b-c and 3d-e) the FFT of each signal was divided by that
489 obtained at normal incidence and the resultant map of relative response as a function of frequency and angle
490 was plotted. The fibre sensor directivity was acquired and processed in the same manner except that the
491 source was fixed and the fiber sensor rotated about a fixed point in the acoustic field.

492

493

494 **Laser-generated ultrasound sources**

495

496 The laser ultrasound source used to measure NEP, frequency response and directivity comprised a thin layer of
497 black spray-paint (PlastiKote® GLOSS SUPER) deposited on an 8mm thick, 2.5mm diameter
498 polymethylmethacrylate (PMMA) substrate. This was illuminated with a large (>2cm) diameter laser beam
499 emitted by a fibre-coupled 1064nm Q-switched laser (Minilite, Continuum Lasers or Big Sky Ultra, Quantel
500 Laser) so as to photoacoustically generate a broadband (1-70MHz) monopolar ultrasonic plane-wave. The
501 absolute pressure level was calculated using a planar Fabry-Pérot sensor with a theoretical -3dB bandwidth of
502 75MHz⁷, the sensitivity of which was calibrated with reference to a primary standard, certified by the UK
503 National Physics Laboratory.

504

505 The fibre laser ultrasound source used to acquire the data in figure 4d was a highly absorbing carbon nanotube
506 and polydimethylsiloxane (PDMS) layer deposited on to the tip of a 200µm diameter optical fibre and
507 irradiated with 1.5ns laser pulses at 1064nm^{29,30}.

508

509

510 **Comparison with piezoelectric sensor sensitivity**

511

512 The NEP of a 1mm diameter, 28µm thick PVDF needle hydrophone (Precision Acoustics) with a low noise
513 preamplifier adjacent to the PVDF element was measured using the procedure outlined above for the fibre
514 microresonator (see “noise equivalent pressure measurements”). The measured NEP was 55Pa (RMS) over a
515 20MHz measurement bandwidth. Assuming that sensitivity scales linearly with active area, a similar 2mm
516 diameter PVDF sensor would therefore be expected to have an NEP of 13.75Pa over 20MHz or 3.1mPa/VHz. As
517 this is comparable to the measured NEP of the 100µm microresonator (figure 1), the 2mm diameter sensor was
518 chosen as the basis for comparison when evaluating the directional response of the microresonator sensor
519 (figure 2b).

520

521

522 **Modelled directivity due to spatial averaging**

523

524 The directional sensitivity $D(\theta)$, of a circular piezoelectric receiver of diameter 2mm (figures 2b-c and 3d-e),
525 was modelled as that of a spatially averaging stiff disk³¹:

526

$$527 \quad D(\theta) = \frac{2J_1(ka \sin \theta)}{ka \sin \theta} \quad \text{Equation 4}$$

528

529 in which k is the acoustic wavenumber ($2\pi/\lambda$), a the element radius, and J_1 the first-order Bessel function.

530

531

532 **OR-PAM excitation beam fluence**

533

534 Due to the relatively low NA of the scan lens, the surface fluence was approximately 100mJ/cm² compared to
535 the ANSI 20mJ/cm² limit. At the focus, where the irradiance is highest however, the fluence was 2J/cm² and
536 comparable to that used in other OR-PAM in vivo imaging studies^{23,32}.

Supplementary information: Ultra-sensitive planoconcave optical microresonators for ultrasound sensing

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Additional imaging studies were performed to demonstrate the photoacoustic imaging performance of the microresonator sensors and compare it to that of the planar Fabry-Pérot (FP) etalon^{1,2} sensor. The latter has been comprehensively characterized in terms of its acoustic characteristics and photoacoustic imaging performance^{1,2} and thus provides a well-established benchmark for comparison.

Photoacoustic imaging in tomography mode using free-space planoconcave microresonator sensors.

Two studies were performed in which tissue phantoms were imaged in widefield photoacoustic tomography mode in order to compare the penetration depth and image quality of the planar FP sensor with that of the planoconcave microresonator sensors.

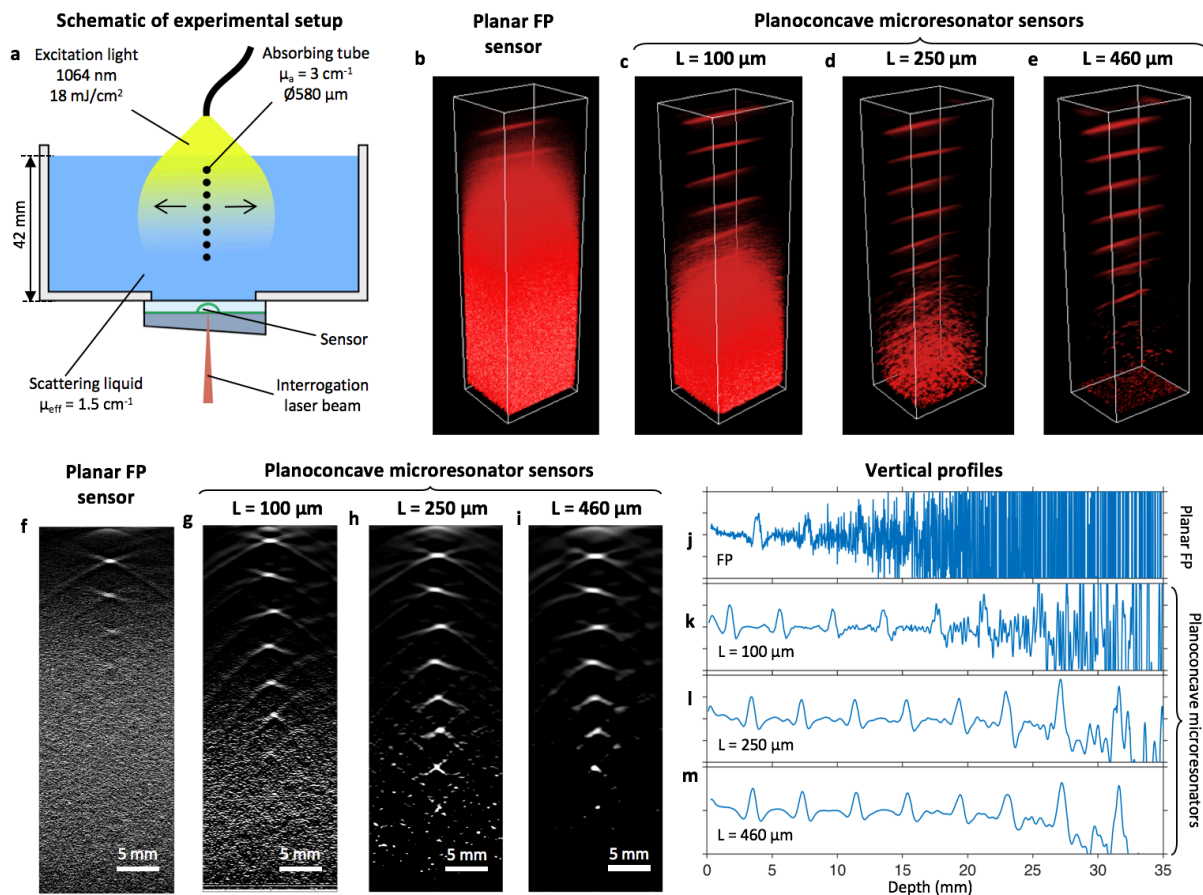
Penetration depth: 3 free-space planoconcave microresonator sensors representing the family of sensors presented figure 1 were used to image a deep phantom in order to investigate the penetration depth that could be achieved. The experimental arrangement is shown in supplementary figure 1(a) below. The tissue phantom was designed to be approximately tissue-realistic and deep (>4 cm) to provide an indicative estimate of the penetration depth that might be achievable when imaging biological tissues. It comprised 8 optically transparent polythene tubes filled with an absorbing dye with an absorption coefficient $\mu_a=3 \text{ cm}^{-1}$ which is similar to that of blood (90% blood oxygen saturation) at 750nm³. The tubes were immersed in Intralipid with a reduced scattering coefficient $\mu'_s=6\text{cm}^{-1}$ and a $\mu_a=0.12\text{cm}^{-1}$ yielding an effective attenuation coefficient of $\mu_{\text{eff}}=1.5 \text{ cm}^{-1}$ which is comparable to that of soft tissues at 750nm³. The phantom was illuminated with wide-field laser pulses emitted by a fibre-coupled 1064 nm Q-switched ND:YAG laser (Minilite, Continuum Lasers) with a pulse repetition frequency (PRF) of 20 Hz and a pulse-width of 6ns. The pulse energy at the fibre output was 14 mJ and the illuminated area at the liquid surface was $\approx 80 \text{ mm}^2$. The surface fluence was therefore approximately 18 mJ/cm^2 , below the maximum permissible exposure for human skin⁴. To acquire an image, a single static sensor was used and the tissue phantom mechanically scanned in two dimensions (2D), thereby emulating a 2D array of identical sensors. The phantom was scanned over a total area of $41 \text{ mm} \times 12 \text{ mm}$ in steps of $100 \mu\text{m}$ and $200 \mu\text{m}$. Acoustic waveforms were acquired after each step by an oscilloscope (TDS5K, Tektronix) triggered by a photodiode.

Prior to image reconstruction, the recorded acoustic waveforms were filtered using a low pass filter with a -3dB cut-off equal to the -3dB bandwidth of the sensor. 3D Images were then reconstructed using a reconstruction algorithm based on time reversal⁵. Following reconstruction, images were cropped to a region of interest of volume $15 \times 12 \times 42 \text{ mm}$ and subjected to a 1D fluence correction⁶ to aid visualisation. The images were then rendered in 3D using Volview (version 3.4, Kitware) and plotted in supplementary figures 1(b-e). 2D cross-sections were taken through the centre

51 of the 3D images, mapped to a linear colour scale and plotted in supplementary figures 1(f-i). Finally,
 52 vertical line profiles were taken through the centres of the tubes in each of the 2D cross-sectional
 53 images and plotted in supplementary figures 1(j-m).

54 The images show that the planoconcave microresonator sensors provide increased penetration
 55 depth compared to the planar sensor. The penetration depth increase over the planar sensor is 10mm
 56 for the 100 μ m sensor and 16mm for the 250 μ m and 460 μ m sensors. Moreover, as the optical
 57 properties of the tissue phantom are tissue-realistic, these figures provide an approximate indication
 58 of the extent to which the higher sensitivity of the planoconcave microresonator sensors might
 59 translate to increased penetration depth when imaging biological tissues.

60



61
 62

63 **Supplementary figure 1 | Comparison of photoacoustic image penetration depth obtained using a planar FP**
 64 **sensor and 3 planoconcave microresonator sensors in tomography mode. (a)** Schematic of the tissue phantom
 65 imaging setup. The phantom was composed of an optically scattering liquid (0.8% intralipid in DI water, $\mu_{\text{eff}} = 1.5$
 66 cm^{-1} at 1064 nm) with blood-vessel-like optically absorbing tubes (Indian ink solution, $\mu_a = 3 \text{ cm}^{-1}$, tube inner
 67 diameter $\text{\O}580 \mu\text{m}$),. (b-e), 3D renderings of reconstructed images obtained with (b) the FP sensor and (c) 100
 68 μm , (d) 250 μm and (e) 460 μm planoconcave microresonator sensors. (f-i), 2D cross-sections taken through the
 69 centre of each reconstructed 3D image. (j-m), vertical profiles through each cross-section.

70
 71

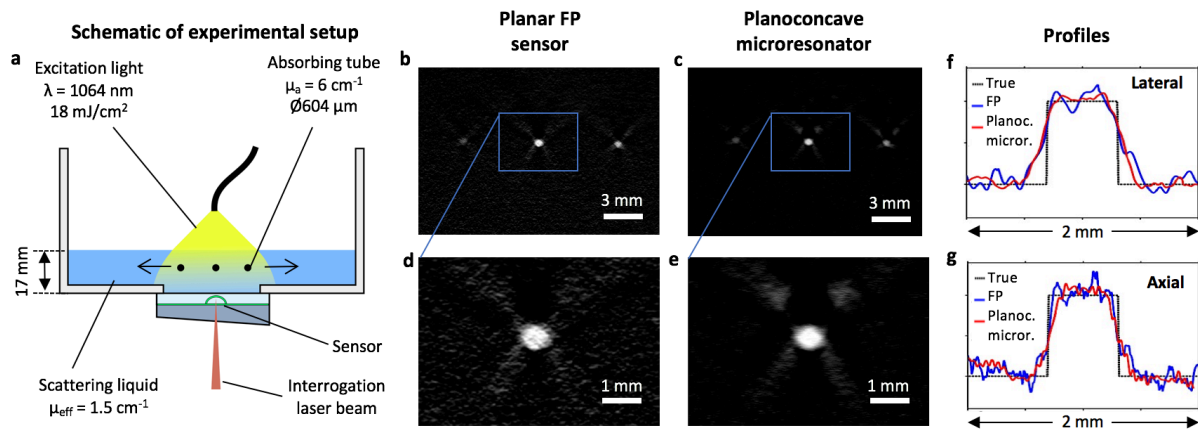
72 **Image quality.** Supplementary figures 1(f-i) suggest that the image quality provided by the
 73 planoconcave microresonator sensors is similar to that provided by the FP planar sensor. However,
 74 compared to typical PA images obtained with the FP sensor¹ the images show significant artefacts
 75 (manifesting as “X” shaped features centred upon each tube). These are a consequence of the
 76 experimental conditions; a combination of limited-aperture effects and artefacts due to the acoustic
 77 impedance mismatch between the tube walls and the surrounding Intralipid suspension. Images were

78 therefore acquired with the phantom positioned closer to the sensor plane to reduce limited aperture
79 effects and using tubes made of a different material with a reduced acoustic impedance mismatch.

80 The experimental arrangement is shown in supplementary figure 2(a) below. The phantom
81 comprised a row of 3 tubes made of a fluoropolymer blend (THV604-725-5, Paradigm Optics) with an
82 absorption coefficient of 6 cm^{-1} (still comparable to that of blood in the near infrared³). The row of
83 tubes was located at a distance of 8.5 mm from the sensor. Imaging was performed as described above
84 with a $130 \text{ }\mu\text{m}$ microresonator and a planar FP for comparison. The resultant images are shown in
85 supplementary figures 2(b-e).

86 The images are relatively artefact-free and provide a sharp, faithful representation of the three
87 tubes. Moreover, the planoconcave microresonator image is practically indistinguishable from that of
88 the FP with the only apparent difference being a clear improvement in SNR in the image acquired by
89 the planoconcave microresonator consistent with its lower NEP. As in the case of the images, the
90 profiles corresponding to the planoconcave microresonator and FP sensor are also very similar.

91 These results show that the planoconcave microresonator sensor mimics the excellent image quality
92 that has previous been demonstrated using the planar FP sensor^{1,2}. This is as expected since both
93 sensor types provide similarly well behaved frequency response and directional characteristics and it
94 is these characteristics that primarily define image quality.
95



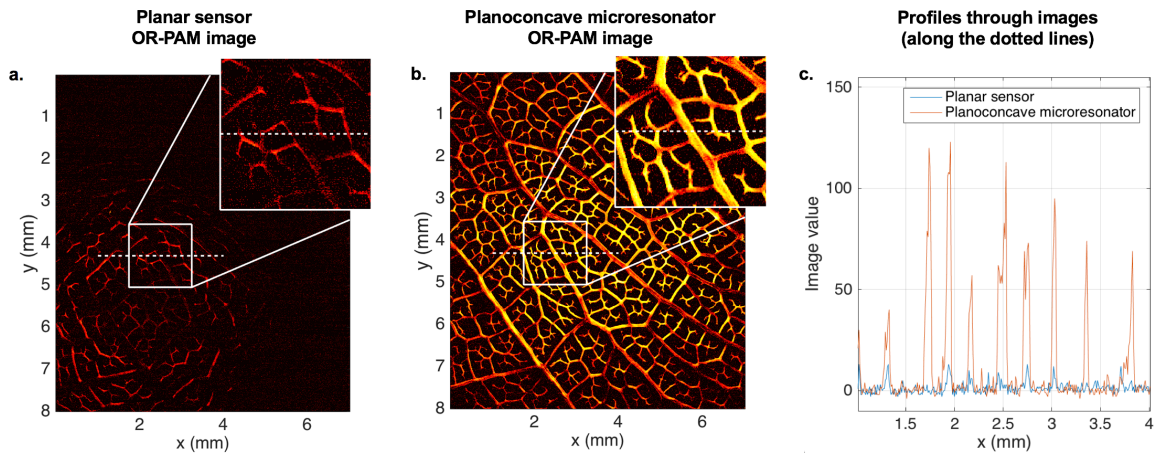
96
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98 **Supplementary figure 2 | Comparison of photoacoustic image quality obtained using a planar FP sensor and**
99 **a planoconcave microresonator sensor in tomography mode.** (a) Schematic of the imaging setup with the tissue
100 phantom which was composed of an optically scattering liquid (0.8% intralipid, $\mu_{\text{eff}} = 1.5 \text{ cm}^{-1}$ at 1064 nm) with
101 3 blood-vessel-like optically absorbing tubes (Indian ink solution, $\mu_a = 6 \text{ cm}^{-1}$, inner diameter $\varnothing 604 \text{ }\mu\text{m}$). (b-c), 2D
102 cross-sections taken through the reconstructed images obtained with (b) the FP sensor and (c) the $130 \text{ }\mu\text{m}$
103 planoconcave microresonator sensor, (d-e), zoomed in versions showing the central tube cross-section in detail.
104 (f) lateral and (g) vertical line profiles taken through the central tube with the ground truth based on the known
105 inner diameter of the tube.
106
107

108 ORPAM using fiber optic planoconcave microresonator sensors.

109 The performance of a fibre optic planoconcave microresonator sensor and a fiber optic planar FP
110 sensor were compared using the OR-PAM configuration shown in figure 4(a). As in the tomography-
111 mode examples above, a tissue phantom was imaged to provide a well-controlled comparison. The
112 phantom was a leaf skeleton dyed using Indian ink to provide photoacoustic contrast. The resultant
113 OR-PAM images are plotted in supplementary figures 3(a-b).

114 The image obtained with the planar FP sensor (fig. 3(a)) shows poor contrast due to its low
115 sensitivity and a small field of view due to its limited directivity. By contrast, the image obtained with
116 the planoconcave microresonator sensor shows much higher contrast due to its order of magnitude
117 higher sensitivity and significantly larger field of view due to its wider directivity. Profiles were taken
118 through both images at a region of relatively high contrast in the planar sensor image. These are
119 plotted in supplementary figure 3(c). It is evident in the profiles that the image SNR is significantly

120 higher in that of the planoconcave microresonator compared to that of the planar sensor. Overall the
 121 data in supplementary figure 3 shows that the fibre-optic planoconcave microresonator sensor
 122 provides improved imaging performance in OR-PAM.
 123
 124



125
 126
 127 **Supplementary figure 3 | Comparison of OR-PAM images** obtained using (a) planar FP sensor and (b)
 128 planoconcave microresonator sensor with (c) profiles taken through the images at the location indicated by the
 129 dotted lines. Insets: zoomed in regions of the images at the area of highest intensity in the planar sensor image.
 130 The imaged sample was a phantom comprising a leaf skeleton dyed with black ink to provide photoacoustic contrast.
 131

132
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