1	Analysis of unbound plasma concentration of oxcarbazepine and the 10-						
2	hydroxycarbazepine enantiomers by liquid chromatography with tandem mass						
3	spectrometry in healthy volunteers						
4							
5	Natalícia de Jesus Antunes ¹ ; Lauro Wichert-Ana ² ; Eduardo Barbosa Coelho ² ; Oscar Della						
6	Pasqua ^{3,4} ; Veriano Alexandre Junior ⁵ ; Osvaldo Massaiti Takayanagui ⁵ ; Maria Paula						
7	Marques ¹ ; Vera Lucia Lanchote ^{1*}						
8							
9	¹ Departamento de Análises Clínicas, Toxicológicas e Bromatológicas, Faculdade de						
10	Ciências Farmacêuticas de Ribeirão Preto, Universidade de São Paulo. Ribeirão Preto,						
11	SP, Brazil						
12	² Departamento de Clínica Médica, Faculdade de Medicina de Ribeirão Preto,						
13	Universidade de São Paulo. Ribeirão Preto, SP, Brazil						
14	³ Division of Pharmacology, Leiden Academic Centre for Drug Research, Leiden						
15	University, Leiden, The Netherlands						
16	⁴ University College London, School of Life and Medical Sciences, London, UK						
17	⁵ Departamento de Neurociências e Ciências do Comportamento, Faculdade de Medicina						
18	de Ribeirão Preto, Universidade de São Paulo. Ribeirão Preto, SP, Brazil						
19							
20	*Corresponding author:						
21	Vera Lucia Lanchote						
22	Faculdade de Ciências Farmacêuticas de Ribeirão Preto-USP						
23	Departamento de Análises Clínicas, Toxicológicas e Bromatológicas						
24	Avenida do Café s/n, Campus da USP						
25	14040-903, Ribeirão Preto, SP, Brasil						
26	lanchote@fcfrp.usp.br						
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31 This study describes the development and validation of a method for the analysis 32 of unbound plasma concentrations of oxcarbazepine (OXC) and of the enantiomers of its 33 active metabolite 10-hydroxycarbazepine (MHD) [S-(+)- and R-(-)-MHD] using liquid 34 chromatography with tandem mass spectrometry (LC-MS/MS). Additionally, the free 35 fraction of the drug is described in healthy volunteers (n=12) after the oral administration 36 of 300 mg OXC/12 h for 5 days. Plasma aliquots of 200 µL were submitted to 37 ultrafiltration procedure and 50 μ L of the ultrafiltrate were extracted with a mixture of 38 *tert*-butyl methyl ether: dichloromethane (2:1, v/v). OXC and the MHD enantiomers were 39 separated on a OD-H chiral phase column. The method was linear in the range of 4.0 to 40 2.0 µg/mL for OXC and of 20.0 to 6.0 µg/mL plasma for the MHD enantiomers. The limit of quantification was 4 ng for OXC and 20 ng for each MHD enantiomer/mL 41 42 plasma. The intra- and inter-day precision and inaccuracy were less than 15%. The free 43 fraction at the time of peak plasma concentration of OXC was 0.27 for OXC, 0.37 for S-44 (+)-MHD and 0.42 for R-(-)-MHD. Enantioselectivity in the free fraction of MHD was 45 observed, with a higher proportion of R-(-)-MHD compared to S-(+)-MHD. 46 47 Kev words oxcarbazepine, 10-hydroxycarbazepine, free fraction, LC-MS/MS,

- 48 enantiomers.
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54 1. INTRODUCTION

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Oxcarbazepine (OXC) is considered a prodrug and part of its anticonvulsant effect depends on its active 10-hydroxycarbazepine (MHD) metabolite which is formed by the rapid presystemic reduction of OXC. MHD contains a chiral center at position 10, but the R-(-)- and S-(+)-MHD enantiomers exert similar anticonvulsant effects in animal models [1–5]. The kinetic disposition of the MHD metabolite is enantioselective in healthy volunteers after administration of a single oral dose of OXC, with an area under the plasma concentration versus time curve (AUC) S-(+)/R-(-) ratio of 3.8 [6].

63 The binding of drugs to plasma proteins affects different pharmacokinetic and pharmacodynamic parameters since only the free concentration is available for 64 distribution, elimination and receptor interaction [7,8]. Plasma protein binding of chiral 65 66 drugs can be enantioselective, affecting the pharmacological activity and 67 pharmacokinetic profile of these drugs [9]. In patients with trigeminal neuralgia, the 68 percentage of plasma protein binding was approximately 59% for OXC and 39% for the 69 MHD metabolite [10]. Plasma protein binding of MHD administered as enantiomeric 70 mixture to epileptic patients was 40% using equilibrium dialysis and 45% using 71 ultrafiltration [11], while *in vitro* studies report values of 30% for both MHD enantiomers 72 in rat and human plasma [12].

The methods for the separation of the unbound concentration of OXC and MHD described so far have used equilibrium dialysis or ultrafiltration [11-12], followed by HPLC with ultraviolet (UV) detection, for OXC and MHD as enantiomer mixture [10,11] or for the MHD enantiomers [12]. Regarding the methods for analysis of total concentration of oxcarbazepine and MHD enantiomers in plasma using LC-MS/MS, the quantification limit reported ranged from 12.5 to 50.0 ng for OXC and of 31.5 ng to 50
ng for each MHD enantiomer/mL plasma [13-15].

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80 There are no clinical data on the plasma protein binding of individual MHD 81 enantiomers. The present study describes the development and validation of a method for 82 the sequential analysis of the unbound concentration of OXC and MHD enantiomers in 83 plasma using ultrafiltration and liquid chromatography coupled to mass spectrometry 84 (LC-MS/MS). The method showing a quantification limit of 4.0 ng for OXC and of 20.0 85 ng for each MHD enantiomer/mL plasma, so far the most sensitive one, was used for 86 analysis of the free fraction of the drug in plasma samples collected at the time of peak plasma concentration (t_{max}) from healthy volunteers after the oral administration of 300 87 88 mg OXC/12 h for 5 days.

- 89
- 90 2. MATERIALS AND METHODS
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92 2.1. Analysis of the unbound concentration of OXC and of the MHD enantiomers

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94 2.1.1. Standard solution	ns and reagents
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96 Oxcarbazepine (99.6%) was purchased from USP (Rockville, USA) and the 97 racemic MHD metabolite (98%) from Toronto Research Chemicals (North York, 98 Canada). 4-Methylprimidone (internal standard, IS) was purchased from Sigma (St. 99 Louis, MO, USA). The solvents dichloromethane and *tert*-butyl methyl ether were 100 obtained from Mallinckrodt Baker (Phillipsburg, NJ, USA), hexane, methanol and 101 ethanol from Panreac Química SAL (Barcelona, Spain), and isopropanol from Tedia Way 102 (Fairfield, USA). All solvents were of chromatographic grade. Ammonium acetate was

103	obtained from Mallinckrodt Baker (Phillipsburg, Xalostoc, Mexico). The water used in
104	the experiment was purified with the Sinergy UV® system (Millipore, Molsheim, France).
105	Stock solutions were prepared in methanol at a concentration of 100 μ g OXC/mL
106	and 200 μ g MHD/mL. Dilutions were then prepared to obtain the working solutions at
107	concentrations of 0.008, 0.02, 0.04, 0.2, 0.4, 0.8, 1.6, 2.4 and 4 μ g OXC/mL methanol
108	and of 0.08, 0.2, 0.4, 2.0, 4.0, 8.0, 16, 24 and 40 μg MHD/mL methanol. The 4-
109	methylprimidone solution was prepared at a concentration of 200 μ g/mL methanol and
110	diluted to 40 μ g/mL.

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112 2.1.2. Sample preparation

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114 Aliquots (200 μ L) of blank plasma or plasma samples were transferred to a 115 Centrifree[®] ultrafiltration device (Millipore, Carrigtwohill, Ireland). The plasma 116 ultrafiltrate was obtained by centrifugation of the samples at 1,875 *g* for 40 min in a 117 centrifuge with a fixed-angle rotor (angle of 36°) (model NT 825, Nova Técnica, 118 Piracicaba, Brazil) refrigerated at 4°C.

119 Aliquots (50 μ L) of the ultrafiltrate were spiked with 25 μ L of the IS and extracted 120 with 2 mL of a mixture of *tert*-butyl methyl ether:dichloromethane (2:1, v/v). The tubes 121 were shaken for 40 min in a horizontal shaker (Marconi desktop reciprocating shaker, model MA 139/CTF) and then centrifuged for 10 min at 1,275 g (Hitachi[®] refrigerated 122 123 centrifuge, model CF8DL, Tokyo, Japan). The organic phases were separated and concentrated in a vacuum evaporation system (Christ[®], model RVC 2-25 CD plus, 124 125 Funkentstörungsgrad, Germany). The residues obtained were resuspended in 250 µL of 126 the mobile phase and 15 µL was used for chromatographic analysis.

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130 Chromatographic analysis of unbound plasma concentrations of OXC and of the 131 MHD enantiomers in plasma was conducted as previously described by our research 132 group regarding the analysis of the drugs as total concentration [13]. The LC-MS/MS 133 system consisted of a Waters 1525µ binary gradient pump, 2777 automatic injector, TCM/CHM column oven, and XEVO TQ-S triple quadrupole mass spectrometer (Waters, 134 135 Milford, USA). 136 137 2.1.4. Method validation 138 139 The method was validated according to the recommendations of the National 140 Health Surveillance Agency (Agência Nacional de Vigilância Sanitária – ANVISA) 141 (Resolution RDC No. 27 for May 17, 2012) for bioanalytical methods. 142 The calibration curves were constructed in triplicate at concentrations 4.0, 10, 20, 143 100, 200, 400 and 800 ng and 1.2 and 2 µg OXC/mL plasma, and 20, 50, 100 and 500 ng 144 and 1.0, 2.0, 4.0, 6.0 and 10.0 µg of each MHD enantiomer/mL plasma. The linear 145 regression equations and correlation coefficients were obtained from the standard/IS peak 146 area ratios plotted against the respective plasma concentrations. 147 The limit of quantification was obtained by the analysis of 10 replicates of 148 ultrafiltrate samples spiked with OXC and MHD at concentrations of 4.0 ng OXC/mL 149 plasma and of 20.0 ng of each MHD enantiomer/mL plasma. 150 The precision and accuracy of the method were evaluated by intra- and inter-assay 151 studies. Five aliquots of each quality control (QC) of OXC (4.0 and 12 ng and 0.6, 0.96 152 and 1.6 µg OXC/mL plasma) and MHD (20.0 and 60.0 ng and 3, 4.8 and 8.0 µg of each

enantiomer/mL plasma) were analyzed in the same analytical run and in three days.

The matrix effect was evaluated by direct comparison of the peak areas of OXC, MHD enantiomers and IS injected directly into the mobile phase with the peak areas of the standard solutions (12.0 ng and 1.0 µg OXC/mL plasma, 60.0 ng and 5 µg of each MHD enantiomer/mL plasma, and IS) added to the blank plasma ultrafiltrates obtained from eight different volunteers, including four normal plasma samples, two lipemic samples and two hemolyzed samples.

For stability analysis, OXC (12.0 ng and 0.96 μg OXC/mL plasma) and MHD (60 ng and 4.8 μg of each enantiomer/mL plasma) QC samples were submitted to 6 h shortterm room temperature, three freezes–thaw (-20 to 25°C) cycles and 24 h in the autoinjector at 4°C stability tests. The samples were also kept frozen at -70°C for 6 months to evaluate long-term stability. The results of the quality control analysis were compared with the nominal value.

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167 **2.2. Clinical protocol**

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The clinical protocol was performed as described in a previous study from our group [16]. Briefly, the project was approved by the Ethics Committees of the School of Pharmaceutical Sciences of Ribeirão Preto, University of São Paulo, and of the University Hospital of the Ribeirão Preto School of Medicine, University of São Paulo (Protocol No. 214). All subjects agreed to participate in the study by signing the free informed consent form. Twelve adults, non-obese healthy volunteers (22 to 45 years), non-smokers, with hepatic, renal and cardiac functions in the normal range, were included in the study.

The subjects received 300 mg OXC/12 h (Trileptal[®], 300-mg tablets, Novartis,
Basel, Switzerland) for 5 days. On the fifth day, after administration of the 9th dose of
OXC with 200 mL water, serial blood samples (5 mL) were collected through an

180 period of 12 h (zero, 0.25, 0.5, 0.45, 1, 1.5, 2, 2.5, 3, 3.5, 4, 5, 6, 8, 10, and 12 h).

181 The plasma samples for chromatographic analysis were obtained by centrifugation 182 (850 g, 10 min) of the blood samples and stored at -70°C until the time of analysis.

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184 **2.3. Pharmacokinetic and statistical analyses**

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186 The total plasma concentrations of OXC and of the MHD enantiomers obtained 187 in a previous study from our group [16] were used to determine the time to peak plasma 188 concentration (t_{max}) for each volunteer (n=12). The unbound plasma concentration of 189 OXC and MHD enantiomers was only evaluated at t_{max} for each volunteer.

190 The free fraction (f_u) in plasma of OXC and of the MHD enantiomers was191 determined as follows:

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$$f_u = \frac{\text{unbound plasma concentration}}{\text{total plasma concentration}}$$

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194 Statistical analysis was performed using the GraphPad Instat[®] software for the 195 calculation of means and 95% confidence intervals. The Student *t*-test for paired data was 196 used to evaluate enantiomer ratios different from unity for MHD. The level of 197 significance was set at 5% in all tests.

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199 **3. RESULTS AND DISCUSSION**

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201 The present study describes the sequential analysis of unbound plasma 202 concentrations of OXC and MHD enantiomers in healthy volunteers (n=12) treated with

205 This method was developed based on a previous study from our group [13] 206 analyzing total concentration; however, in this study an ultrafiltration step was added using the Centrifree[®] ultrafiltration device to separate the free drug from that bound to 207 208 plasma proteins. During the ultrafiltration process, small molecules (free drug) present in 209 the aqueous component of plasma are forced by the pressure of the gradient to pass 210 through the selectively permeable membrane and are collected in the ultrafiltrate. The 211 protein-bound drug does not cross the membrane because of its large size and 212 consequently does not reach the ultrafiltrate [17]. OXC and the MHD enantiomers were 213 separated on a Chiralcel[®] OD-H chiral phase column (150 x 4.6 mm) using 214 hexane:ethanol:isopropanol (80:15:5, v/v/v) as the mobile phase and a run time of 215 approximately 15 min (Figure 1).

The method was linear over the concentration range of $4.0 \text{ ng} - 2.0 \mu \text{g/mL}$ plasma for OXC and 20.0 ng - 6.0 μ g of each enantiomer/mL plasma for MHD, with correlation coefficients higher than 0.99 (Tables 1 and 2). The wide intervals comprise all concentrations tested.

The present method is more sensitive than those reported in the literature, with limits of quantification of 4.0 ng OXC/mL plasma (Table 1) and of 20.0 ng of each MHD enantiomer/mL plasma (Table 2) using aliquots of only 50 μ L of the ultrafiltrate [10-12]. Low limits of quantification are fundamental for the evaluation of the free fraction of OXC, a drug that exhibits low plasma concentrations as a result of its short elimination half-life (approximately 1.5 h) and of the dose interval of 12 h [13]. The coefficients of variation obtained in the precision studies and the percent inter- and intra-assay accuracy were less than 15%, guaranteeing reproducibility and repeatability of the results (Tables 1 and 2).

229 There is practically no matrix effect in the ionization of free OXC or MHD 230 enantiomers in human plasma. Values close to 100% (97. 26, 94.27 and 98.87 for OXC, 231 R-(-)-MHD and S-(+)-MHD, respectively) with coefficients of variation lower than 15 % 232 (13.31, 4.55 and 5.74 % for OXC, R-(-)-MHD and S-(+)-MHD, respectively) were 233 observed when the responses of OXC, MHD enantiomers and IS added to blank plasma 234 ultrafiltrates (4 normal plasma samples, 2 lipemic samples, and 2 hemolyzed samples) 235 were compared to the responses of OXC, MHD enantiomers and IS in methanol solution. 236 OXC and the MHD enantiomers were stable in plasma ultrafiltrates during three 237 freeze-thaw cycles, when kept for 4 h at room temperature, after processing for 24 h in 238 the autoinjector, and after storage at -70°C for 6 months, as indicated by the relative 239 standard errors of less than 15% in all analyses (Table 3).

The method developed and validated for the analysis of the unbound concentration of OXC and of the MHD enantiomers showed validation parameters compatible with the analysis of samples collected at t_{max} of OXC.

243 The free fraction of OXC and of the MHD enantiomers in plasma samples 244 collected at t_{max} from each volunteer (n=12) after oral treatment with 300 mg OXC/12 h 245 for 5 days are presented in Table 4. Figure 2 shows the correlation between total and 246 unbound plasma concentrations of OXC, R-(-)-MHD and S-(+)-MHD, with r^2 higher than 247 0.54. The free fraction evaluated at t_{max} of OXC was 0.27 (0.22-0.31) for OXC, 0.42 248 (0.36-0.49) for R-(-)-MHD, and 0.37 (0.36-0.39) for S-(+)-MHD in the investigated 249 healthy volunteers, showing enantioselectivity in the plasma protein binding of MHD. 250 However, in an *in vitro* study of eslicarbazepine, Fortuna et al. [12] reported rates of about

30% for both enantiomers and the absence of enantioselectivity in the binding of the MHD enantiomers to human and rat plasma proteins. These differences between the results of the present study, a clinical study, and the *in vitro* findings might be explained by the fact that in *in vitro* protein-binding studies the compounds are added to plasma outside the organism, a method that may not necessarily reflect the *in vivo* situation [18]. Furthermore, the sample size of that study was small (n=3).

257

4. CONCLUSION

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The method for the sequential analysis of unbound plasma concentrations of OXC and MHD enantiomers using a Chiralcel[®] OD-H chiral phase column coupled to an LC-MS/MS system shows confidence limits that are compatible with the application to a clinical study of the free fraction in plasma samples collected at t_{max} after treatment of healthy volunteers with OXC (300 mg/12 h) for 5 days. The free fraction of MHD is enantioselective in healthy volunteers, with higher proportion of R-(-)-MHD (0.42) when compared to S-(+)-MHD (0.37).

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Figure 1 Chromatograms obtained in the analysis of oxcarbazepine and the MHD enantiomers unbound in plasma. (A) Ultrafiltrate from blank plasma, (B) Ultrafiltrate from blank plasma spiked with oxcarbazepine (0.2 µg/mL), MHD (0.1 µg of each enantiomer /mL) and internal standard (IS - 4-methylprimidone - 40 µg/mL) and (C) Ultrafiltrate from plasma of a healthy volunteer obtained 1 h after the last oral dose of 300 mg of oxcarbazepine. Figure 2 Correlation between total and unbound plasma concentration of OXC, R-(-)-MHD and S-(+)-MHD. r^2 = coefficient of determination.

	Unbound oxcarbazepine
Linearity	4.0 ng/mL - 2.0 μg/mL
Equation of the line	Y=2.2194x+-0.0009
r	0.9981
Limit of quantitation (ng/mL)	4.0
Precision (CV %, $n = 10$)	10.52
Accuracy (% Inaccuracy)	8.06
Intra-assay precision (CV %)	
4.0 ng/mL (n = 5)	9.4
12.0 ng/mL (n = 5)	5.79
$0.6 \mu g/mL \ (n=5)$	3.40
$0.96 \ \mu g/mL \ (n = 5)$	7.93
$1.6 \mu g/mL (1:1) (n=5)$	9.69
Interassay precision (CV %)	
4.0 ng/mL (n = 5)	9.18
12.0 ng/mL (n = 5)	7.70
$0.6 \ \mu g/mL \ (n = 5)$	9.50
$0.96 \ \mu g/mL \ (n = 5)$	7.59
$1.6 \mu g/mL (1:1) (n=5)$	9.16
Intra-assay accuracy (RSE %)	
4.0 ng/mL (n = 5)	9.37
12.0 ng/mL (n = 5)	-12.17
$0.6 \ \mu g/mL \ (n = 5)$	-9.8
$0.96 \ \mu g/mL \ (n = 5)$	-12.62
$1.6 \mu g/mL (1:1) (n=5)$	-14.16
Interassay accuracy (RSE %)	
4.0 ng/mL (n = 5)	10.17
12.0 ng/mL (n = 5)	-10.82
$0.6 \ \mu g/mL \ (n = 5)$	-3.55
$0.96 \ \mu g/mL \ (n = 5)$	-13.48
$1.6 \mu g/mL (1:1) (n=5)$	-11.66

Relative Standard Error (RSE) = $[(C_{obs}-C_{nominal})/C_{nominal}] \times 100.$

	Unbound R-(-)-MHD	Unbound S-(+)-MHD
Linearity	20.0 ng/mL - 6.0 µg/mL	20.0 ng/mL - 6.0 µg/mL
Equation of the line	y=0.4039x+0.0019	y=0.4286x+0.0012
r	0.9988	0.9992
Limit of quantitation (ng/mL)	20.0	20.0
Precision (CV %, $n = 10$)	6.84	9.20
Accuracy (% Inaccuracy)	-9.83	-3.28
Intra-assay precision (CV %)		
20.0 ng/mL (n = 5)	7.71	10.20
60 ng/mL (n = 5)	14.52	8.48
$3.0 \mu g/mL \ (n = 5)$	7.52	8.09
$4.0 \mu g/mL \ (n = 5)$	12.3	8.74
$8.0 \mu g/mL (1:1) (n=5)$	12.29	8.16
Interassay precision (CV %)		
20.0 ng/mL (n = 5)	1.89	1.90
60 ng/mL (n = 5)	5.44	1.25
$3.0 \ \mu g/mL \ (n = 5)$	3.05	2.37
$4.0 \ \mu g/mL \ (n = 5)$	13.69	1.42
8.0 μ g/mL (1:1) (n = 5)	4.19	4.53
Intra-assay accuracy (RSE %)		
20.0 ng/mL (n = 5)	7.71	10.20
60 ng/mL (n = 5)	14.52	4.45
$3.0 \ \mu g/mL \ (n = 5)$	-7,61	-5.91
$4.0 \ \mu g/mL \ (n = 5)$	-6.98	-2.93
8.0 μ g/mL (1:1) (n = 5)	-13.51	-12.76
Interassay accuracy (RSE %)		
20.0 ng/mL (n = 5)	1.27	6.50
60 ng/mL (n = 5)	9.23	9.91
$3.0 \ \mu g/mL \ (n = 5)$	2.89	2.59
$4.0 \ \mu g/mL \ (n = 5)$	13.50	10.94
$8.0 \mu g/mL (1:1) (n=5)$	1.55	-1.31

412 Coefficient of variation (CV) = [(Standard deviation/mean) x 100]; r = linear correlation

413 coefficient; % Relative Standard Error (RSE) = $[(C_{obs}-C_{nominal})/C_{nominal}] \times 100.$

419	Table 3 Study of the stability method of analysis of unbound oxcarbazepine (OXC) and
420	the MHD enantiomers in plasma.

Concentration (µg/mL)	Short (4	t term h)	Freezing (3 cy	/thawing cles)	Post-pro (24	ocessing h)	Long (6 m	term onths)
	Precision (CV %)	Accuracy (RSE %)						
OXC								
12.0 ng/mL	11.53	-11.40	2.70	-14.60	5.26	-12.40	2.81	4.78
0.96 µg/mL	8.22	-13.21	8.30	-9.45	10.06	-12.97	-14.40	-10.78
R-(-)-MHD								
60.0 ng/mL	1.90	-2.70	5.23	2.57	2.65	14.50	8.77	5.87
$4.0\mu g/mL$	13.37	9.10	5.85	11.52	9.50	14.07	6.16	14.04
S-(+)-MHD								
60.0 ng/mL	1.95	-0.90	4.57	3.47	2.79	13.83	8.43	6.20
$4.0 \mu g/mL$	6.16	10.13	5.71	13.40	8.50	12.53	4.14	14.80

422 Coefficient of variation (CV) = [(Standard deviation/mean) x 100]; % Relative Standard

423 Error (RSE) = $[(C_{obs}-C_{nominal})/C_{nominal}] \times 100.$

Subject	t _{max}	OXC	R-(-)-MHD	S-(+)-MHD
1	0.5	0.18	0.39	0.37
1	1.0	0.10	0.59	0.07
2	1.0	0.23	0.43	0.38
3	0.5	0.39	0.54	0.43
4	1.0	0.32	0.42	0.40
5	0.75	0.37	0.44	0.38
6	1.0	0.25	0.62	0.37
7	1.5	0.23	0.38	0.35
8	2.0	0.26	0.19	0.39
9	0.5	0.23	0.45	0.35
10	2.5	0.30	0.42	0.36
11	1.0	0.13	0.37	0.32
12	2.0	0.31	0.42	0.39
Mean	1.19	0.27	0.42	0.37*
(95% CI)	(0.76-1.61)	(0.22-0.31)	(0.36-0.49)	(0.36-0.39)

Table 4 Free fraction of oxcarbazepine (OXC) and the MHD enantiomers in healthy 452

volunteers treated with 300 mg/12 h oxcarbazepine orally analysed at the time to reach 453 the maximum plasma concentration (t_{max}) . 454

455 *Paired Student's t-test, p< 0.05 (R-(-)-MHD vs S-(+)-MHD); tmax: time to reach maximum plasma concentration; 95% CI = 95% confidence interval. 456



OXC

R-(-)-MHD



S-(+)-MHD

