- 1 **Background:** Glioma imaging, used for diagnostics, treatment planning and follow-up, is
- 2 currently based on standard magnetic resonance imaging (MRI) modalities (T1 contrast-
- 3 enhancement for gadolinium-enhancing gliomas and T2/ Fluid attenuated inversion
- 4 recovery (FLAIR) hyperintensity for non-enhancing gliomas). The diagnostic accuracy of
- 5 these techniques for the delineation of gliomas is suboptimal.
- 6 **Objective**: To assess the diagnostic accuracy of advanced neuroimaging compared with
- 7 standard MRI modalities for the detection of diffuse glioma infiltration within the brain.
- 8 **Methods:** A monocenter, prospective, diagnostic observational study in adult patients
- 9 with a newly diagnosed, diffuse infiltrative glioma undergoing resective glioma surgery.
- 10 Forty patients will be recruited in three years. Advanced neuroimaging will be added to
- 11 the standard preoperative MRI. Serial neuronavigated biopsies in and around the glioma
- boundaries, obtained immediately preceding resective surgery, will provide
- 13 histopathologic and molecular characteristics of the regions of interest, enabling
- 14 comparison with quantitative measurements in the imaging modalities at the same
- 15 biopsy sites.
- **Expected outcome:** We hypothesize that a combination of positron emission
- tomography, MR spectroscopy and standard MRI will have a superior accuracy for
- 18 glioma delineation compared to standard MRI alone. In addition, we anticipate that
- advanced imaging will correlate with the histopathologic and molecular characteristics
- of glioma.
- 21 **Discussion:** In this clinical study, we determine the diagnostic accuracy of advanced
- 22 imaging in addition to standard MRI to delineate glioma. The results of our study can be
- valuable for the development of an improved standard imaging protocol for glioma
- 24 treatment.

2526

#### General information

- 27 The study is titled: 'Frontiers in advanced imaging of unexplored glioma regions
- 28 (FRONTIER study)' (www.trialregister.nl, unique identifier NTR5354). Overall study
- 29 dates are September 2014 to September 2017. Funding agencies: Cancer Center
- 30 Amsterdam and the Dutch Cancer Society.
- 31 Investigation site: VU University Medical Center (VUmc), P.O. Box 7057, 1007 MB
- 32 Amsterdam, The Netherlands.
- Principle investigator: P.C. de Witt Hamer, MD, PhD, Department of Neurosurgery.

- Investigators: W.P. Vandertop, MD, PhD, and N. Verburg, MD, Department of
- Neurosurgery; J.C. Reijneveld, MD, PhD, Department of Neurology; P. Wesseling, MD,
- 36 PhD, Department of Pathology; P.J.W. Pouwels, PhD, Department of Physics & Medical
- 37 Technology; F. Barkhof, MD, PhD, R. Boellaard, MSc, PhD and O.S. Hoekstra, MD, PhD,
- 38 Department of Nuclear Medicine & PET Research.

39

40

### Rationale and background information

- 41 Gliomas represent 80-90% of parenchymal brain tumors in adults with an incidence of
- 42 5.9 per 100.000 person-years: approximately 1000 patients per year in The
- Netherlands. <sup>1</sup> Most gliomas show extensive infiltration in the brain parenchyma. These
- so-called diffuse gliomas universally recur, without exception resulting in death despite
- 45 standard treatment, which consists of as extensive as possible resection, followed by
- 46 radiation and chemotherapy.
- Both resective surgery and adjuvant radiation therapy are based on T1 contrast-
- 48 enhancement for gadolinium-enhancing gliomas and on T2/ Fluid attenuated inversion
- 49 recovery (FLAIR) hyperintensity volume outlines for non-enhancing gliomas. This
- strategy is founded on early and preliminary observations, and has remained unchanged
- 51 since. <sup>2, 3</sup> Diffuse gliomas recur locally in the vast majority of patients, even after
- seemingly radical surgical removal and radiation therapy with 2 cm margins. This, and
- 53 the fact that glioma infiltration has been demonstrated to extend up to two centimeters
- beyond standard MRI outlines, underscores that up till now delineation of these
- neoplasms has been less than optimal. 4-7
- 56 Several publications provide arguments for underestimation of the spread of diffuse
- 57 gliomas using standard MRI and potential benefit from advanced MRI and positron
- 58 emission tomography (PET) imaging. Advanced imaging, such as diffusion-weighted
- 59 imaging (DWI), perfusion-weighted imaging (PWI), magnetic resonance spectroscopy
- 60 (MRS) and PET, has been shown to be able to identify tumor in areas of normal standard
- 61 MRI signal. 8-10
- 62 Our study addresses a clinically relevant research question, which so far has not been
- adequately answered: What is the best neuroimaging approach to discriminate areas
- 64 with glioma infiltration from brain tissue without glioma cells?

66	Study	Goals	and	Ob	jectives
UU	Juuy	uvais	anu	$\mathbf{v}$	JUULIVUS

- 67 The goal of this study is thus to determine the best neuroimaging approach for glioma
- delineation.
- 69 The specific objectives are:
- 70 To assess the increase in diagnostic accuracy of adding advanced neuroimaging
- 71 modalities to standard MRI for the detection of diffuse glioma infiltration within
- the brain
- To correlate the information obtained by standard and advanced imaging to
- histologic and molecular characteristics of the tissue.
- We hypothesize that advanced neuroimaging, in combination with standard MRI, will
- have a superior diagnostic accuracy in comparison with standard MRI alone. Besides, we
- 77 hypothesize that histological and molecular characteristics of (different areas of) glioma
- 78 will correlate better with advanced imaging than with standard imaging.

79 80

### **Study Design**

81 The study design is a monocenter, prospective, diagnostic observational study.

82

## 83 Methodology

- 84 <u>Subjects</u>
- 85 *Inclusion criteria*
- Patients of 18 years and older with a MRI interpretation of a diffuse glioma by an
- 87 experienced neuroradiologist, and who have an indication for resective surgery; the
- indication confirmed by the multidisciplinary neuro-oncology tumor board.

89

- 90 Exclusion criteria
- Patients who are pregnant or have undergone previous brain surgery, cranial irradiation
- 92 or chemotherapy. Patients with other brain pathology on MRI, such as stroke or multiple
- 93 sclerosis. Patients with a tumor located infratentorially or in the spinal cord.

94

- 95 Withdrawal criteria
- Patients who do not successfully undergo one PET scan. A summary of all criteria is
- 97 given in table 1.

99	Study description
100	The study is separated into two phases (Figure 1). In both phases, standard and
101	advanced imaging will be performed pre-operatively (Table 2). Immediately preceding
102	resective surgery, serial image-guided neuronavigated biopsies in and around the
103	glioma boundaries will be obtained using a stereotactic drilling technique. $^{11}$ Two
104	samples are collected from each biopsy location, one for assessment of histopathologic
105	characteristics and one for molecular analysis.
106	Phase I is designed to decide on the optimal PET tracer, to simplify PET scanning
107	methodology and to develop a robust MRI protocol for glioma volume estimation. Eight
108	patients will receive a dynamic PET protocol with invasive blood sampling, and image-
109	derived carotid input function for metabolite analysis of
110	[18F-]Fluoroethyl-tyrosine (FET) and [11C-]Choline (CHO) tracers, as well as advanced
111	MR imaging. The data obtained will be used to establish a simplified PET protocol and to
112	determine which of both PET tracers will be further pursued in the next study phase.
113	To obtain a total sample size of 20 patients with a high-grade glioma (WHO grade III or
114	IV) and 20 with a low-grade glioma (WHO grade II), 20 additional patients will receive
115	single advanced MRI and selected simplified PET imaging in the second phase to
116	complete the data acquisition according to the sample size calculation for the main
117	research question.
118	
119	Outcome measures
120	MRI
121	MRI will be performed using the Philips Achieva whole-body 3.0T MR-scanner, equipped
122	with the standard head coil. Table 2 shows the different techniques.
123	
124	PET
125	PET will be performed using the Philips Gemini time-of-flight (TOF) PET-CT scanner or
126	the Philips Ingenuity TOF PET/MRI-scanner. After intravenous administration of 370
127	megabecquerel (MBq) of $[^{15}\mathrm{O}]H_2\mathrm{O}$ a 10 min dynamic scan is acquired. This is followed
128	by a 40 min dynamic scan after injection of 200 MBq CHO. With a minimum of 4 hours
129	after injection of CHO the FET scan will be performed the same day using 200 MBq FET
130	and a scan time of 90 minutes. During the scans manual blood samples are withdrawn in
131	order to calibrate the online collected arterial input functions and to derive a fully

132 metabolite-corrected plasma input function. 133 134 Of each biopsy site qualitative (high, normal or low signal) and quantitative parameters 135 will be acquired by an experienced neuroradiologist and a nuclear medicine physician 136 (Table 2). 137 138 **Pathology** 139 Of each biopsy location one sample will be processed for histopathologic analysis and 140 the other sample for molecular analysis. Histopathologic analysis will be performed 141 using hematoxylin-and-eosin (H&E) staining and immunohistochemical markers to 142 assess cellularity, glioma infiltration, proliferation, microvascular changes, and necrosis. 143 Molecular analysis will include assessment of DNA mutations, deletions, amplifications 144 and RNA expression profiling. Two experienced neuropathologists will evaluate 145 independently, and blinded for the imaging results, all biopsies and designate those as: 146 normal brain tissue; diffuse glioma with few, moderate or many tumor cells in a 147 background of pre-existent brain tissue; highly cellular glioma without (apparent) 148 preexistent brain tissue remaining; uninformative. 149 150 **Discussion** 151 Few studies investigate the diagnostic accuracy of glioma delineation, and most of these 152 studies assess only one or two imaging modalities. This can at least partly be explained 153 by the logistic challenge of multimodality preoperative imaging and of obtaining multiple image-guided biopsies. Nevertheless, studies that provide a direct comparison 154 155 of multiple imaging modalities with histopathologic data are necessary to determine the 156 optimal imaging modality for the delineation of diffuse gliomas. Using combined PET-157 MRI will help to reduce the number of scans necessary for multimodality imaging, while 158 frameless stereotactic techniques will facilitate the acquisition of multiple image-guided 159 biopsies with good accuracy within a limited time. 160 161 The importance of adequate glioma delineation is underscored by reports describing 162 that (near) radiologically complete resection of MRI abnormalities (T1-weighted gadolinium-enhanced MRI for HGG and on T2/FLAIR-weighted MRI for LGG) is 163 correlated with improved survival. <sup>12-17</sup> A resection based on modalities with superior 164

165	delineation could result in even more complete resection and thus holds promise for
166	even longer survival, and conversely to identify patients with glioma infiltration beyond
167	meaningful surgical therapy, so that useless, and possibly harmful, resections can be
168	avoided. Moreover, evidence accumulates that subsequent therapeutic modalities are
169	more successful after resection that is as complete as possible. $^{16}$
170	
171	Trial status
172	Patient recruitment was initiated on September 1, 2014.
173	
174	Safety Considerations
175	Because neuronavigated biopsy has a risk of less than 2% of intracranial hemorrhage
176	with consequences for the patient, the number of biopsy trajectories is limited to three.
177	$^{18,19}$ Since the biopsy procedure is immediately followed by a craniotomy for tumor
178	resection, possible hemorrhages can be directly identified and removed. The tumor
179	resection will be performed according to standard care.
180	All adverse events reported spontaneously by the subject or observed by the investiga-
181	tor or his staff will be recorded in the protocol case report forms (CRF) using the
182	Common Terminology Criteria for Adverse Events classification. $^{20}$ All serious adverse
183	events (SAEs) will be reported through the web portal ToetsingOnline
184	( <a href="https://www.toetsingonline.nl">https://www.toetsingonline.nl</a> ) to the accredited Medical Ethical Committee (METC)
185	that approved the protocol. SAEs that result in death or are life threatening are reported
186	expeditiously.
187	
188	Follow-up
189	All patients will receive standard follow-up, which consists of postoperative clinical
190	admission for as long as needed and an outpatient appointment eight weeks after the
191	procedure. Apart from that, postoperative adjuvant chemo- and/or radiotherapy will be
192	installed according to histopathologic and molecular classification of the tumor, as
193	discussed postoperatively at the neuro-oncology tumor board meetings. All adverse
194	events will be followed until they have abated, or until a stable situation has been
195	reached.
196	
197	Data Management and Statistical Analysis

Data will be collected on electronic CRF (eCRF). The eCRF is only assessable by the

99	principal and the study investigator. The eCRF will be completed on site by an
200	investigator. The principal investigator will review the collected data.
201	The number of biopsies and patients required to compare the area under the curve
202	(AUC) of the receiver operating characteristic (ROC) curves depend on the reference
203	AUC (t1), the minimal relevant AUC from the improved imaging (t2), the ratio of non-
204	tumor and tumor biopsies (ratio), the correlation of imaging within patients (r), the
205	average number of biopsies per patient (s), the correlation of histopathologic
206	quantification between biopsies within patients (rho), the type I error (alpha) and the
207	type II error (beta) $^{21-23}$ . Under the assumptions of t1 0.6, t2 0.8, ratio 0.25, r 0.5, s 6, rho
208	0.2, alpha 0.05 and beta 0.2, 20 patients per glioma target volume subgroup are
209	required. The overall study population then comprises 20 non-enhancing and 20
210	enhancing glioma patients, each stratum providing at least 120 biopsies. For testing the
211	correlation between simplified and full quantitative measurement of input function in
212	dynamic PET scanning a sample size of eight is mostly used in pilot studies. Due to the
213	experience with other trials we will include this number in phase I. In phase II 32
214	patients will be included to obtain the total of 40 patients from our sample size
215	calculation.
216	Continuous variables will be described as a mean with standard deviation if the
217	distribution is symmetric and as a median with minimum and maximum if it is skewed.
218	Categorical variables are presented as numbers with percentages. Data analysis will be
219	performed using R. AUCs are compared using a nonparametric resampling test using
220	pROC in R. <sup>24-26</sup> Next, multivariate logistic regression analysis modeling histopathology
221	by quantitative imaging is performed using Bayesian models.
222	
223	Quality Assurance
224	As the METC of VU University Medical Center (VUmc) decided it was unnecessary to
225	appoint a Data Safety Monitoring Board for this study, the progress of this study will be
226	monitored by the Clinical Research Bureau of VUmc.
227	
228	Expected Outcomes of the Study
229	We expect that advanced imaging in combination with standard imaging, will have a
230	superior diagnostic accuracy for glioma delineation compared with current standard

imaging. This delineation could help neurosurgeons, neurologists, radiation oncologists

232	and medical oncologists in their clinical decision-making. Next, studies comparing			
233	glioma resection or radiotherapy using standard versus standard plus advanced imaging			
234	can be conducted to investigate possible influences on clinical outcome.			
235	The expected correlation between advanced imaging and histologic and molecular			
236	characteristics could provide biomarkers for prognosis and choice of therapy, as well as			
237 238	further insight into glioma imaging			
239	Duration of the Project			
240	We anticipate that phase I will take 12 months and phase II 24 months, aiming for a total			
241	study duration of three years.			
<ul><li>242</li><li>243</li></ul>	Project Management			
244	The principal investigator, Dr. de Witt Hamer, will lead the study. Dr. Pouwels will be			
245	responsible for the MRS data, Dr. Barkhof for the MRI data, Dr Boellaard and Dr.			
246	Hoekstra for the PET data, and Dr. Wesseling for the pathology data. The study			
247	investigator, Mr. Verburg, MSc, will coordinate the logistics and of the study as well as			
248	the interpretation of the results.			
249				
250	Ethics			
251	The study is approved by the METC of VUmc and will be conducted according to the			
252	principles of the Declaration of Helsinki and in accordance with the Medical Research			
253	Involving Human Subjects Act. Explicit written consent will be obtained from all patients			
254	in this study.			
255	Disclosures			
256	Financial support was provided by grant CCA2012-2-05 of the Cancer Center			
257	Amsterdam (CCA) of the VU University Medical Center and grant OAA/H1/VU 2015-			
258	7502 of the Dutch Cancer Society.			
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# 335 Figures and Tables

338

341

- Table. 1 Inclusion/Exclusion/Withdrawal criteria. MRI = magnetic resonance imaging,
- 337 PET = positron emission tomography
- Fig. 1 Imaging protocol for different phases study. Cho = 11C-Choline, FET =
- 340 [18F]Fluoroethyl-tyrosine
- Table.2 Quantitative imaging parameters. MRI = magnetic resonance imaging, PET =
- positron emission tomography, FLAIR = Fluid attenuated inversion recovery, T/N ratio =
- tumor-to-normal radioactivity (PET) or signal intensity (MRI), MRS = Magnetic
- Resonance Spectroscopy, Cho = choline, NAA = N-acetyl aspartate, ASL = Arterial Spin
- Labeling, CBF = Cerebral Blood Flow, DTI = Diffusion Tensor Imaging, FA = Fractional
- Anisotropy, ADC = Apparent Diffusion Coefficient, DSC = Dynamic Susceptibility
- 348 Contrast, CBV = Cerebral Blood Volume, SUV = Standardized uptake value