

1 **Standardized MRI reporting using the PRECISE criteria and MRI/TRUS-fusion with**
2 **transperineal saturation biopsy to select men on active surveillance**

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4 Svenja Dieffenbacher, MD^{1,2*}; Joanne Nyarangi-Dix, MD^{1*}, Francesco Giganti, MD^{3,4}, David
5 Bonekamp, MD²; Claudia Kesch, MD^{1,5}; Maya B. Müller-Wolf, MD²; Viktoria Schütz, MD¹;
6 Claudia Gasch, MD¹; Gencay Hatiboglu, MD¹; Marcus Hauffe¹; Albrecht Stenzinger, MD⁶;
7 Stefan Duensing, MD¹; Heinz-Peter Schlemmer, MD²; Caroline M Moore, MD^{4,7}; Markus
8 Hohenfellner, MD¹ and Jan Philipp Radtke MD^{1,2}

9 ¹Department of Urology, University Hospital Heidelberg, Heidelberg, Germany

10 ²Department of Radiology, German Cancer Research Center (DKFZ), Heidelberg, Germany

11 ³Department of Radiology, University College London Hospital NHS Foundation Trust,
12 London, UK

13
14 ⁴Division of Surgery & Interventional Science, University College London, London, UK

15
16 ⁵Vancouver Prostate Centre, University of British Columbia, Vancouver, British Columbia,
17 Canada

18
19 ⁶Institute of Pathology, University of Heidelberg, Heidelberg, Germany

20 ⁷Department of Urology, University College London Hospitals NHS Foundation Trust,
21 London, UK

22
23 *These authors contributed equally as first authors

24
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27 **Correspondence to:**

28 Jan Philipp Radtke, M.D.

29 Department of Urology,

30 University of Heidelberg

31 Im Neuenheimer Feld 110,

32 69120 Heidelberg, Germany

33

34 Tel.: 0049/6221-5636321

35 Fax.: 0049/6221-56-5622

36 E-Mail: JanPhilipp.Radtke@med.uni-heidelberg.de

38 **ABSTRACT**

39 **Background:** Contemporary selection criteria for men with prostate cancer (PC)
40 suitable for active surveillance (AS) are unsatisfactory, leading to high disqualification
41 rates based on tumour misclassification. Conventional biopsy protocols are based on
42 standard 12-core-TRUS-biopsy.

43 **Objective:** We assessed the value of MRI-/TRUS-fusion-biopsy over a 4-year follow-
44 up in men on AS for low-risk PC.

45 **Design, Setting and Participants:** Between 2010 and 2018, a total of 273 men
46 were included. 157 men with initial 12-core-TRUS-biopsy and 116 men with initial
47 MRI-/TRUS-fusion-biopsy were followed by systematic and targeted transperineal
48 MRI-/TRUS-fusion-biopsies based on PRIAS-criteria. MR-imaging from follow-up
49 MRI/TRUS-fusion-biopsy was assessed using the PRECISE-scoring system.

50 **Outcome measurements and statistical analysis:**

51 AS-disqualification rates for patients on AS initially diagnosed by either 12-core
52 TRUS biopsy or MRI/TRUS-fusion-biopsy were compared using Kaplan-Meier
53 estimates, log-rank tests and regression analyses. We also analyzed the influence of
54 a negative primary MRI and PRECISE-scoring to predict AS-disqualification using
55 Kaplan-Meier estimates, log-rank tests and receiver operating characteristics (ROC)
56 curve analysis.

57 **Results and limitations:** 59% of men diagnosed by 12-core-TRUS-biopsy were
58 disqualified from AS based on results of subsequent MRI/TRUS-fusion-biopsy. In the
59 initial MRI-fusion-biopsy cohort, upgrading occurred significantly less frequently
60 (19%, $p < 0.001$). ROC-curve analyses demonstrated a good discrimination for the
61 PRECISE-score with an Area under the curve (AUC) of 0.83. No men with a
62 PRECISE-score of 1 or 2 (demonstrating absence or downgrading of lesions in
63 follow-up MRI) were disqualified from AS. In our cohort, a negative baseline MR scan

64 was not a predictor of non-disqualification from AS. Limitations include transperineal
65 approach and extended systematic biopsies used with MRI/TRUS-fusion-biopsy
66 which may not be representative for other centres.

67 **Conclusions:** MRI/TRUS-fusion biopsies allow a reliable risk classification for
68 **patients who are candidates for AS.** The application of the PRECISE scoring
69 system demonstrated good discrimination.

70

71 **Patient summary:**

72 In this manuscript, we investigated the value of multiparametric MRI and MRI/TRUS-
73 fusion biopsies for the assessment of AS reliability using the PRECISE criteria.
74 Standard TRUS-biopsies lead to significant underestimation of PC. In contrast,
75 MRI/TRUS-fusion biopsies allowed a more reliable risk classification. **For an**
76 **appropriate inclusion into AS, men should either receive an initial or a**
77 **confirmatory MRI/TRUS-fusion biopsy.**

78

79 **Twitter:**

80 Initial MRI&MRI/TRUS-fusion biopsies **allow reliable risk classification for men on**
81 **AS.**

82

83

84 **ABBREVIATIONS:**

85 AS: Active surveillance

86 ASIST: Active surveillance Magnetic Resonance Imaging Study

87 CI: Confidence interval

88 DCE: Dynamic contrast enhancement

89 DWI: Diffusion-weighted Imaging

90 ESUR: European Society of Urogenital Radiology

91 GS: Gleason score

92 IQR: Interquartile range

93 mpMRI: Multiparametric magnetic resonance imaging

94 MRI: Magnetic resonance imaging

95 NPV: Negative predictive value

96 PC: Prostate cancer

97 PIRADS: Prostate imaging-reporting and data system

98 PPV: Positive predictive value

99 PRIAS: Prostate Cancer Research International Active Surveillance

100 PRECISE: Prostate Cancer Radiological Estimation of Change in Sequential
101 Evaluation

102 PSA: Prostate specific antigen

103 RP: Radical prostatectomy

104 SB: Systematic transperineal saturation biopsy

105 STARD: Standards of Reporting of Diagnostic Accuracy

106 T2w: T2-weighted Imaging

107 TB: Targeted biopsy

108 TRUS: transrectal ultrasound

109

110 **1. INTRODUCTION:**

111 The introduction of prostate specific antigen (PSA)-screening for prostate cancer
112 (PC) diagnosis has led to a substantial decrease of PC-mortality while significantly
113 increasing detection of favorable-risk cancer [1,2]. Since half of PC diagnoses are
114 low-risk disease, active surveillance (AS) has been recognized as an important
115 alternative to radiation therapy or radical prostatectomy (RP) in low- and potentially
116 intermediate-risk disease [2–4]. Current data reveal good long-term cancer specific
117 survival and recommend AS as a safe treatment option [5]. However, despite using
118 strict inclusion criteria, histopathological reclassification and upgrading during AS
119 pose a great challenge in routine practice [6,7]. Drost et al. recently showed
120 escalation of strategy to invasive treatment in over 50% of the published PRIAS men
121 within the first years of AS [6].

122 Popular AS-protocols are based on a standard transrectal ultrasound (TRUS) 12-
123 core- biopsy [8]. In the present era of magnetic resonance imaging (MRI) as a
124 diagnostic tool for PC detection, many studies have demonstrated advantages of
125 mpMRI as triage test reporting negative predictive values (NPV) of up to 96% [9,10].
126 In addition, Kasivisvanathan et al. recently **demonstrated** significantly higher
127 detection rates of significant PC using MRI and MRI-fusion-biopsy compared to 12-
128 core-TRUS-biopsy [11]. Similarly, Shaw et al. reported superiority of targeted
129 biopsies (TB) over standard TRUS-biopsies [12]. Recent studies emphasize the
130 benefit of additional MRI and MRI-guided biopsy for AS [7,13,14]. In a previously
131 published AS-cohort with a two-year follow-up, our group demonstrated a
132 significantly decreased AS disqualification with initial MRI/TRUS-fusion biopsy,
133 compared to initial 12-core-TRUS-biopsy [7]. Likewise, Hamoen et al. showed
134 additional value of initial MRI-guided-biopsy in AS [7,14]. Furthermore, the 2014 UK
135 NICE guidelines allow the use of MRI instead of or in addition to repeat biopsies

136 during surveillance [15]. On the contrary, the prospective, randomized Active
137 Surveillance Magnetic Resonance Imaging Study (ASIST) showed no significant
138 difference in the upgrading rate in men who underwent initial MRI/TRUS-fusion-
139 biopsy compared to 12-core-TRUS-biopsy [16].

140 Thus, the role of MRI and MRI/TRUS-fusion-biopsy for AS is not yet clearly defined
141 [17].

142 In this 4-year follow-up analysis we investigated AS-disqualification rates, according
143 to PRIAS-criteria, in men who entered AS based on either initial 12-core-biopsy or
144 initial MRI/TRUS-fusion-biopsy using MRI/TRUS-fusion-biopsies **as a** follow-up
145 assessment. Additionally, we also performed sub-analyses on the impact of a
146 negative primary MRI and standardized reporting of serial MRI studies over time
147 using the Prostate Cancer Radiological Estimation of Change in Sequential
148 Evaluation (PRECISE) criteria [18].

149 **2. MATERIAL AND METHODS:**

150 Study population

151 This retrospective single-center study was approved by the institutional review board
152 (S-011/2011, S-157/2018). All subjects provided written informed consent. In
153 compliance with the PRIAS-criteria, 273 men with low-risk PC (Gleason Score (GS) \leq
154 3+3, PSA < 10 ng/ml, clinical stage T1c-cT2a), maximum two tumor positive cores
155 and PSA-density \leq 0.2 ng/ml were enrolled in a prospective database assessing MRI-
156 targeted/TRUS-fusion-biopsy between 2010 and 2018 [8]. Two-year follow-up data of
157 149 men of the same cohort **has been** previously reported [7]. The primary biopsy
158 **(in both groups)** was initiated **due** to elevated PSA-levels (\geq 4 ng/ml), suspicious
159 PSA-kinetics and/or digital rectal examination.

160 Men with initial 12-core-TRUS-biopsy were diagnosed externally and referred to our
161 department for follow-up MRI/TRUS-fusion-biopsy one year after AS-inclusion
162 whereas initial MRI/TRUS-fusion-biopsy was performed by our department
163 **(Supplementary Material 1)**.

164

165 Imaging

166 Before MRI/TRUS-fusion-biopsy, MR images were acquired according to the
167 European Society of Urogenital Radiology (ESUR) guidelines using two different 3-
168 Tesla scanners (Magnetom Prisma and Biograph mMR, Siemens Healthcare,
169 Erlangen, Germany) (Supplementary **Material 2**). Of all men, 18% had an external
170 mpMRI-scan that was **considered** when MR-quality was adequate according to
171 ESUR guidelines. Reporting was done prospectively at our department by two
172 experienced urologists (HPS and DB with >12 years of experience in prostate
173 MRI) according to PI-RADSv 2.0. For men who received mpMRI in our department
174 PI-RADS was prospectively assessed according to PI-RADS-Version v2.0 [19,20].

175 For scans before 2015, assessment was prospectively done using PI-RADS v1.0, but
176 retrospective scoring using PI-RADS v2.0 was performed by two experienced
177 radiologists (HPS and DB). Assessment of serial MRI-examinations was **done**
178 according to the PRECISE recommendations (Supplementary **Material 3**) by a single
179 urologist (DB) blinded to clinical and histopathological data [18].

180

181 Biopsy protocol

182 All initial MRI/TRUS-fusion-biopsies and follow-up biopsies were performed
183 transperineally using the BiopSee fusion-system (MedCom, Darmstadt, Germany) or
184 the UroNav fusion-system (Invivo, Gainesville, Florida, United States of America)
185 [21]. A median of 26 cores was obtained from each patient, with 24 SB and 2 TB
186 cores [21]. The biopsy operator had access to all mpMRI-data and all biopsies were
187 sampled under live TRUS-visualization. **TB were conducted on PI-RADS \geq 2 before**
188 **2016 and afterwards on PI-RADS \geq 3 lesions.**

189 Follow-up biopsies were conducted with a minor deviation from the PRIAS-protocol
190 at one, two and 4 years after initial diagnosis of AS eligible PC, with knowledge of
191 MRI-biopsy results and localization of PC [8].

192

193 Histopathology

194 Histopathological analyses were performed under supervision of two dedicated
195 uropathologists (WR and AS with 8 and 12 years of experience, respectively)
196 according to International Society of Urological Pathology standards and since 2014
197 according to the modified analyses scheme [22].

198

199 Statistical Analysis

200 Differences in patients' characteristics between initial biopsy approach subgroups
201 were analyzed using Mann–Whitney-U-tests and Kolmogorov-Smirnov-tests.
202 Kaplan–Meier plots, log-rank tests, Cox-regression models and Hazard ratio were
203 estimated to predict the proportion of ongoing AS for i) men with initial 12-core-
204 TRUS-biopsy versus MRI/TRUS-fusion-biopsy and 12-core-TRUS-biopsy versus TB
205 or SB components of initial MRI/TRUS-fusion-biopsy, **ii) men with initial 12-core-**
206 **TRUS-biopsy who were not upgraded on confirmatory MRI/TRUS-fusion-biopsy**
207 **versus initial MRI/TRUS-fusion-biopsy and iii) for negative initial MRI (PI-RADS-**
208 **score < 3) versus suspicious initial MRI (PI-RADS score \geq 3).**
209 AS-disqualification was defined as GS \geq 3+4, PSA \geq 10 ng/ml, \geq 3 positive biopsy
210 cores, PSA-density > 0.2 ng/ml or clinical stage \geq T2b.
211 Using McNemar`s tests, we analyzed differences between SB and TB on MRI/TRUS-
212 fusion-biopsies.
213 The discrimination of PRECISE-scoring was assessed using Receiver operating
214 characteristics (ROC) curve analyses [18]. Additionally, we gave descriptive data for
215 PRECISE assessment results regarding AS-disqualification.
216 All statistical tests were performed two-sided with a significance level of 5%.
217 Statistical analyses were performed using SPSS Statistics V20 (IBM, Armonk, NY,
218 USA).
219 The reporting followed Standards of Reporting of Diagnostic Accuracy
220 (Supplementary **Material 4**) [23].

221

222 **3. RESULTS:**

223 Descriptive results, including biopsy parameters of the initial biopsy in both groups,
224 PI-RADS distribution at first MRI before MRI/TRUS-fusion-biopsy and clinical data
225 are shown in Tables 1, 2 and Supplementary Figure 1.

226 The disqualification rate after 48-month follow-up was 59% (93 men) for initial
227 standard-12-core-biopsy. In 66 men, AS-disqualification occurred after the first, in 19
228 men after the second and in 8 men after the third MRI/TRUS-fusion follow-up biopsy.
229 The main reason for disqualification was histopathological upgrading. Minor
230 upgrading to GS 3+4 tumors occurred in 60 men, whereas 17 men showed biopsy
231 results with major histopathological upgrading to $\geq 4+3$ tumors. 16 men were
232 disqualified due to PSA-progression or number of positive GS 3+3 cores. In contrast,
233 men with initial MRI/TRUS-fusion-biopsy showed a significantly lower (19%, 22 men)
234 disqualification rate and fewer histopathological upgrading (minor upgrading in 15
235 men, no major upgrading). Data on disqualification, including RP specimen, if
236 available, are given in Table 2.

237 Using Kaplan–Meier analyses, men initially diagnosed by MRI/TRUS-fusion-biopsy
238 had a significantly higher eligibility for ongoing AS in 4-year follow-up (81% versus
239 41%; $p < 0.001$)(Figure 1a). The Hazard ratio for AS-disqualification of 12-core-TRUS-
240 biopsy was 2.56 (Confidence interval 1.70-3.85). Only the approach (MRI/TRUS-
241 fusion versus 12-core-TRUS) was a significant predictor of AS eligibility. Results of
242 multivariate Cox-regression analyses are provided in Table 3. The median time of 22
243 months on AS in the initial MRI/TRUS-fusion biopsy subgroup was significantly
244 longer compared to 12 months in the initial 12-core-TRUS biopsy subgroup
245 ($p = 0.039$). **We provided additional information on men whose AS-eligibility**
246 **based on initial 12-core TRUS-biopsy was confirmed by MRI/TRUS-fusion**

247 **follow-up biopsy compared to men on AS based initial MRI/TRUS-fusion**
248 **(Supplementary Material 5).**

249 Differentiating between SB and TB as parts of the MRI/TRUS-fusion-biopsy versus
250 12-core-TRUS, both (TB or SB) were significant predictors of AS-qualification (Table
251 3). Data on TB and SB are given in Table 4. For all follow-up biopsies combined,
252 upgrading was significantly higher on TB compared to SB ($p=0.046$).

253

254 Furthermore, we assessed the role of negative primary MRI during AS-follow-up
255 **(Table 2, Supplementary Material 6).**

256

257 PRECISE-scores were available for 69 men after initial 12-core-biopsy and 89 men
258 after initial MRI/TRUS-fusion-biopsy (Table 5). The probability of AS-disqualification
259 was higher when serial-MRI had a PRECISE score of 4 or 5 (Table 5). The
260 discrimination between absence and presence of AS-disqualification using
261 PRECISE-score was demonstrated with a ROC of 0.83 (Figure 2). In this cohort, no
262 patient on AS with a PRECISE-score of 1 or 2 on serial-MRI was disqualified from AS
263 during 4-year follow-up (Table 5).

264 **4. DISCUSSION:**

265 To our knowledge, our study is one of the first **to compare** the outcome of men who
266 initially underwent combined transperineal saturation and MRI/TRUS-fusion-biopsy
267 versus initial 12-core-TRUS-biopsy in a mid-term follow-up period. All men received
268 follow-up examinations by mpMRI and transperineal MRI/TRUS-fusion-biopsy.

269 MRI/TRUS-fusion-biopsies, in particular the TB component, detected upgrading to
270 $GS \geq 3+4$ from $GS = 3+3$ after initial 12-core-TRUS-biopsy in 59% **in contrast to**
271 **recent publications where upgrading occurred in 23-44%** [14,24–26]. This
272 variance might be explained by differences fusion-technique and more extensive SB
273 in our cohort. **Then again**, reclassification rates **of approx.** 50% for 12-core TRUS-
274 biopsies compared to MRI have been recently demonstrated [9].

275 Using Kaplan-Meier plots, we found a statistically significant lower probability of AS-
276 disqualification in men who had initial MRI/TRUS-fusion-biopsies, not only for the
277 previously reported 2-year follow-up, but also for longer term follow-up [7]. **The**
278 **strategy of MRI-targeted and extended transperineal systematic biopsies was**
279 **associated with lower rates of subsequent upgrading. Therefore, it is a more**
280 **accurate strategy to rule out coexisting higher-grade disease at initial workup**
281 **than in standard 12-core TRUS-biopsy.** This is contrary to the ASIST-Trial, which
282 demonstrated no significant difference between men randomized to TRUS biopsy
283 and those randomized to MRI-targeted biopsy using a 2 core-targeted biopsy
284 approach. However, there were significant differences between individual centers in
285 this study, with **two centers** showing lower rates of **upgrading** in MRI-targeted
286 biopsy and one showing significantly higher rates, indicating that MRI/TRUS-fusion-
287 biopsy performance varies with center specific radiologist and operator experience
288 [16]. Additionally, in our cohort, both, initial and follow-up MRI/TRUS-fusion-biopsy on
289 AS were performed using a higher **number** of cores for SB (24 versus 12) [16].

290 Performing MRI/TRUS-fusion-biopsy with a median number of 24 SB cores demands
291 precise analyses of additional TB utility to upgrade low-risk PC as improved AS
292 confirmation could be solely based on the difference in the number of SB cores [7].
293 However, on Kaplan-Meier and Cox regression analyses both SB and TB of
294 MRI/TRUS-fusion biopsies were significant predictors of AS-confirmation. Moreover,
295 using McNemar's tests, we could demonstrate that reclassification on follow-up
296 biopsy was significantly higher on TB compared to SB ($p=0.046$). This is in the line
297 with Frye et al., demonstrating superiority of TB, but contrary to a recent publication
298 **stating** that SB was superior to TB for AS-disqualification on one-year follow-up
299 biopsy [14,27]. In our cohort, accurate risk stratification and AS-confirmation on
300 follow-up is mainly associated with TB. Since 6% (55/85) upgrades were not detected
301 by TB alone, SB should not yet be omitted [14,17,28]. We acknowledge that we did
302 not analyze differences in SB approaches (12-core-TRUS-biopsy versus extended
303 24-core transperineal scheme). However, Pham et al. demonstrated that an
304 extended 24-core scheme does not affect the disqualification rate significantly and it
305 is debatable whether 12-core-TRUS-biopsy remains the standard of care in the light
306 of the results of PROMIS and PRECISION [9,11].

307

308 We further evaluated the ability of PI-RADS- and PRECISE-scoring to appropriately
309 predict PC and AS-disqualification. Focusing on PI-RADS, 99 men had a primarily
310 negative MRI (PI-RADS 1 or 2) defined as negative MRI before AS inclusion in the
311 MRI/TRUS-fusion-biopsy subgroup or as negative MRI at one-year follow-up for the
312 initial 12-core-TRUS-biopsy subgroup. Out of those, 25 men (27%) had AS-
313 disqualification during 4-year follow-up. Using Kaplan-Meier plots we could
314 demonstrate, analogous to Olivier et al., that there was no statistical significant
315 difference between men who had a negative primary MRI compared to a suspicious

316 primary MRI (PI-RADS 3-5) [29]. However, the overall disqualification rate of 27% for
317 men with primarily negative MRI was higher compared to Olivier et al. (12%) [29].
318 Differences might be explained by the longer follow-up in our cohort [29].

319

320 **One strength** of this study is the application of the PRECISE recommendations in an
321 AS cohort [18]. Using PRECISE scoring the AUC in ROC curve analysis was 0.83,
322 illustrating a high diagnostic **competence**. When only PRECISE-scores of 1-2 are
323 taken into account, the NPV of a resolved or reduced lesion on MRI (PRECISE-score
324 1 or 2) for GS-upgrading was 100% in our cohort. This was comparable to recent
325 publications, reporting NPVs of 96-100% for a pristine MRI [3,30]. However all used a
326 different index test, performing standard 12-core-TRUS-biopsies [3,30]. In contrast,
327 transperineal saturation biopsy as an index test may lead to decreased NPV of
328 mpMRI for GS $\geq 3+4$ PC, which is also demonstrated by a higher disqualification rate
329 in our entire cohort, but results in improved patient selection, as mpMRI still misses
330 approximately 10% of index lesions compared to final RP specimen [21].

331

332 The question arises if AS-inclusion based on the initial MRI/TRUS-fusion biopsy and
333 an unsuspecting follow-up MRI may allow to **abandon** follow-up biopsy. **In case of a**
334 **PRECISE-score** of 1-2 the risk of upgrading was 0% in our cohort. This is similar to
335 Panebianco et al., who followed negative MRI for four years and demonstrated a
336 NPV of 96% [10]. Thus, using MRI and PRECISE might **allow** to **monitor** AS
337 patients without rebiopsy or **performing a rebiopsy** only **in** men with a PRECISE-
338 **score** ≥ 3 on follow-up. However, the number of patients to corroborate these findings
339 is small and longer follow-up is needed.

340

341 Our study has some limitations. We did not perform multi-rater reading of mpMRI and
342 PRECISE-scoring which may influence detection sensitivity. However, results of NPV
343 for MRI in our selected cohort are comparable to those reported in recent AS and
344 large-scale publications [11,25]. Representing real-life clinical routine, radiologists
345 and operators were not blinded to clinical data and MRI-results.

346 The **requirements** for performing mpMRI, transperineal fusion-biopsy and extended
347 SB are certainly higher than those for standard biopsies. **We acknowledge that a**
348 **comparison of initial 12-core TRUS-biopsy and MRI/TRUS-fusion biopsy with**
349 **transperineal extended SB may cause some bias.** However, at the beginning of
350 data accrual in 2010, most AS protocols, including the PRIAS protocol (and the
351 UCSF and Johns Hopkins protocols) included men to AS based on a standard
352 12-core TRUS [8,31]. At our institution we chose a different biopsy approach
353 since 2010. Besides the fact that this comparison is not optimal in terms of a
354 randomized prospective trial, it represents a real-life scenario. However, what
355 was done was a test of surveillance protocol standard (12-core TRUS-biopsy)
356 versus MRI/TRUS-fusion biopsy. More important and besides the comparison
357 between initial MRI/TRUS-fusion and initial 12-core TRUS-biopsy, our data
358 support that initial MRI and MRI/TRUS-fusion are reliable tools to stratify men
359 to AS with good mid-term eligibility. To account for men who are not eligible
360 for AS but seem to have low-risk PC based on 12-core TRUS, a confirmatory
361 biopsy is mandatory. Based on our data, a MRI/TRUS-fusion-biopsy is an
362 appropriate approach to do so . This is in accordance with recent updated
363 PRIAS-criteria (<https://www.prias-project.org>).

364 **Additionally**, the cost effectiveness of mpMRI and TB has been demonstrated [32].
365 Although our follow-up has grown to 48 months, a longer follow-up, like in the
366 Toronto and PRIAS data, is lacking. We used only the PRIAS-protocol, while other

367 protocols for the assessment of long-term probability of AS are available [5].
368 However, the performance of the PRIAS-protocol has recently been shown to be
369 comparable with other protocols [8,33]. We acknowledge that contrary to original
370 PRIAS-protocol we performed a second MRI/TRUS-fusion follow-up biopsy after two
371 years.

372 The endpoint of our analysis was AS-eligibility and not the more sophisticated
373 disease-specific mortality rate in long-term follow-up **which** is associated with
374 excellent results [5]. However, the endpoint of disease-specific mortality may be
375 debatable in a cohort of low-risk PC patients exhibiting long-term disease-specific
376 survival of at least 90%. Furthermore the number of PC-invaded cores (≤ 2 ,
377 according to the PRIAS-protocol) was not adapted to our biopsy approach with
378 median 26 cores [8]. **This might have implications on the tumor burden.**
379 **However, the percentage rate on initial biopsy was comparable for both groups**
380 **(Supplementary Material 5), core involvement caused AS-disqualification in**
381 **very few cases in our cohort and the predictive value of absolute numbers of**
382 **PC-positive cores is debatable [24].**

383 **Next, performing transperineal extended SB in all patients has implications for**
384 **both cost and resource utilization and is a technique that may not be widely**
385 **available outside of selected academic centers. Additionally, the approach**
386 **using transperineal SB does not provide information if the same results for SB**
387 **could also be achieved using standard 12-core TRUS as SB.**

388 Lastly, it is **controversial** if upgrading to GS=3+4 with minimal pattern 4 disease
389 should automatically disqualify from AS [4,34].

390

391 **5. CONCLUSION:**

392 Initial MRI/TRUS-fusion biopsy leads to **long** AS-eligibility and **minor** upgrading in
393 the 4-year AS period. **After initial 12-core TRUS-biopsy, confirmatory (MRI/TRUS**
394 **fusion) biopsy is necessary for reliable AS-inclusion.** For detection of GS-
395 upgrading, both TB and SB are necessary. Discrimination of the PRECISE-score for
396 AS-disqualification was good. Using PRECISE, it might be **possible** to follow-up AS
397 patients without rebiopsy or **only performing a rebiopsy in** men with a PRECISE ≥ 3
398 on follow-up.

399

400 **6. CONFLICTS OF INTEREST:**

401 None declared.

402

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405

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533

534 **Table legends:**

535 Table 1:

536 Patients' demographics including baseline clinical parameters, initial biopsy results
537 for both subgroups, MRI results of the initial MRI/TRUS-fusion biopsy subgroup and
538 Mann-Whitney-U-test/Kolmogorov-Smirnov-test for differences between subgroups

539 Table 2:

540 Clinical parameters, biopsy results, histopathology of upgrading and PRECISE-score
541 information for patients who were disqualified from AS, stratified into initial biopsy
542 approach subgroups

543 Table 3:

544 Multivariate cox regression analysis for: (A) analysis of initial 12-core TRUS-biopsy
545 versus MRI/TRUS-fusion biopsy to detect upgrading and (B) analysis of initial 12-
546 core TRUS-biopsy versus separate TB and SB component of MRI/TRUS-fusion
547 biopsy

548 Table 4:

549 Results of targeted versus systematic biopsies in MRI/TRUS-fusion biopsy

550 Table 5:

551 Detection accuracy of PRECISE-score to detect AS-disqualification

552

553 **Figure legends:**

554 Figure 1:

555 a) Kaplan-Meier plot for the probability of ongoing active surveillance for men with
556 initial standard biopsy versus initial MRI/TRUS-fusion biopsy for 4-years follow-up,
557 according to PRIAS-criteria and b) Kaplan-Meier plot for the probability of ongoing
558 active surveillance for men with initial standard biopsy versus the TB or the SB
559 component of the initial MRI/TRUS-fusion biopsy for 4-year follow-up, according to
560 PRIAS-criteria

561 Figure 2:

562 ROC curve analysis for PRECISE-score in the entire cohort

563

564 **Supplementary Material:**

565 **Supplementary Material 1:**

566 **Study flowchart with inclusion and exclusion criteria**

567

568 **Supplementary Material 2:**

569 **Sequence parameters of multiparametric MRI protocol**

570

571 **Supplementary Material 3:**

572 **Assessment of likelihood for radiologic progression on magnetic resonance imaging**

573 **in men on active surveillance according to PRECISE publication**

574

575 **Supplementary Material 4:**

576 **Standards of Reporting of Diagnostic Accuracy (STARD) checklist**

577

578 **Supplementary Material 5:**

579 **Kaplan-Meier plot for the probability of ongoing active surveillance for men**

580 **with initial standard biopsy versus initial MRI/TRUS-fusion biopsy for 4-years**

581 **follow-up, according to PRIAS-criteria. Men who underwent initial 12-core**

582 **TRUS-biopsy and suffered from Gleason score upgrading in the MRI/TRUS-**

583 **fusion biopsy after one year were not included into analysis.**

584

585 **Supplementary Material 6:**

586 Kaplan-Meier plot for the disqualification from active surveillance for men with initial
587 negative MRI versus suspicious initial MRI

588

Take Home Message:

Compared to standard TRUS-biopsy, initial MRI/TRUS-fusion-biopsy leads to longer AS-eligibility and decreased upgrading during 4-year AS follow-up. PRECISE-scoring for AS-disqualification demonstrated high diagnostic ability on external validation. Using PRECISE, it might be possible to abstain from rebiopsies during AS follow-up.

Table 1

Parameter	Initial 12-core TRUS biopsy subgroup with biopsy-proven low-risk prostate cancer (n=157)	Initial MRI/TRUS-fusion biopsy (n=116)	Mann-Whitney-U-test, Kolmogorov-Smirnov-test p-value
Median Age (IQR), years	69 (64-75)	69 (64-74)	0.94
Median PSA level (IQR), ng/ml	6.2 (4.7-7.7)	5.8 (4.5-7.0)	0.09
Men with MRI-suspicious lesions (PI-RADS Likert ≥ 2), no.		92	
Men without MRI-suspicious lesions		24	
Men with ≥ 2 suspicious lesions		41	
Maximum PI-RADS Likert score on mpMRI per patient			
2		15	
3		35	
4		30	
5		12	
Median No. of cores in initial biopsy (IQR)	12 (10-12)	26 (24-28)	<0.001
Median No. of systematic cores (IQR)	12 (10-12)	24 (24-24)	<0.001
Median No. of targeted cores (IQR)		2 (1-4)	
Median No. of core involvement in initial biopsy (IQR)	1 (1-2)	2 (1-3)	0.87
Median interval from first diagnosis to presentation (IQR), months	12 (9-15)		
No. of patients with positive digital rectal examination (DRE)(cT2a)	22	4	<0.001
Median prostate volume (IQR), in ml	41 (31-54)	43.0 (31.0-57.0)	0.97
Median PSA density level (IQR), in ml	0.15 (0.09-0.22)	0.15 (0.10-0.20)	0.24

IQR- Interquartile range, mpMRI- multiparametric Magnetic resonance imaging, DRE- digital rectal examination

PI-RADS- Prostate imaging reporting and data system, PC- prostate cancer, TRUS- transrectal ultrasound, PSA- prostate specific antigen

Table 2

Men with disqualification of active surveillance dichotomized according to initial biopsy method	Initial diagnosis by 12-core TRUS biopsy	Initial diagnosis by MRI/TRUS-fusion biopsy	Mann-Whitney-U-test, Kolmogorov-Smirnov-test, p-value
No. of patients (% of all diagnosed patients in subgroup)	93 (59)	22 (19)	<0.001
Median PSA level at disqualification in ng/ml (IQR)	6.2 (4.7-7.7)	5.8 (4.7-7.0)	0.633
Biopsy results in follow-up biopsies			
Median No. of cores (IQR)	26 (24-28)	26 (24-28)	0.995
Median No. of systematic cores (IQR)	24 (22-24)	24 (24-24)	0.889
Median No. of targeted cores (IQR)	2(2-4)	2 (1-5)	0.614
Median No. of involved cores per patient (IQR), % of positive cores	2 (1-4), 17	2 (1-3), 8	0.001
Type of reclassification/disqualification			
Pathologic progression, Grade only (GS≥3+4)	77	15	<0.001
Grade-related progression GS=3+4	60	15	<0.001
Grade-related progression GS=4+3	11	0	0.018
Grade-related progression GS≥4+4	6	0	0.025
Disqualification by number of cores (≥ 3 cores)	9	1	0.038
Disqualification by PSA, PSA-Progression	7	6	0.822
Median time to disqualification in months (IQR)	12 (9-16)	22 (20-25)	0.039
Disqualification in first follow-up MRI/TRUS-fusion biopsy	66	9	<0.001
Disqualification in second follow-up MRI/TRUS-fusion biopsy	19	11	0.043
Negative initial MRI			
No. of patients with negative initial MRI	15	10	0.427
PRECISE distribution of disqualified patients on confirmation mpMRI			
No. of disqualified patients eligible for PRECISE (serial MRI available)			
	7	22	
PRECISE Score 1	0	0	1.000
PRECISE Score 2	0	0	1.000
PRECISE Score 3	3	9	<0.001
PRECISE Score 4	2	13	<0.001
PRECISE Score 5	2	0	<0.001
Results of radical prostatectomy after AS disqualification (n=62)			
	n=49	n=13	
Gleason Score			0.008
3+3	2	2	
3+4	33	11	
4+3	7	0	
4+5	7	0	
T-Stage			0.043
pT2	36	11	
pT3a	10	2	
pT3b	3	0	
N-Status			0.299
N0	47	13	
N1	2	0	

GS- Gleason Score, IQR- Interquartile range, mpMRI- multiparametric Magnetic resonance imaging, SB- systematic biopsies, TB- targeted biopsies, PI-RADS- Prostate imaging reporting and data system, PC- prostate cancer, TRUS- transrectal ultrasound, PSA- prostate specific antigen

Table 3

A Cox regression for initial standard biopsy versus initial MRI/TRUS-fusion biopsy

Characteristics	Upgrading from Active surveillance		
	Hazard ratio	(95%-CI)	p value
Initial MRI/TRUS-fusion biopsy versus initial 12-core TRUS	0.25	0.10;0.61	0.002
No. of cores in intial biopsy	0.99	0.94;1.05	0.83
Age	1.02	0.99;1.04	0.09
PSA	0.97	0.90;1.04	0.33
PSA density	3.00	0.11;29.40	0.34

B Cox regression for initial standard biopsy versus initial TB or SB component of MRI/TRUS-fusion biopsy

Characteristics	Upgrading from Active surveillance		
	Hazard ratio	(95%-CI)	p value
Active surveillance confirmed by initial TB	0.35	0.26;0.84	0.011
Active surveillance confirmed by initial SB	0.75	0.63;0.96	0.047
Active surveillance confirmed by initial 12-core biopsy	1.82	0.83;4.67	0.31
No. of cores in initial biopsy	0.99	0.95;1.04	0.70
Age	1.01	0.99;1.04	0.62
PSA	0.97	0.81;1.04	0.39
PSA density	1.63	0.18;14.91	0.67

CI- confidence interval, MRI- Magnetic resonance imaging, PC- prostate cancer, PSA- prostate specific antigen, TRUS- transrectal ultrasound

Results of targeted versus systematic biopsies in MRI/TRUS-fusion biopsy

Initial MRI/TRUS-fusion biopsy (n=116) prior to AS inclusion

		Detected by MRI-targeted biopsies			
		No PCa	GS = 3+3	GS ≥ 3+4	Sum
Detected by Systematic biopsies	No PCa	0	13	0	13
	GS = 3+3	44	59	0	103
	GS ≥ 3+4	0	0	0	0
	Sum	44	72	0	116

Mc Nemar's test for systematic versus targeted biopsy $p < 0.001$

All follow-up biopsies

		Detected by MRI-targeted biopsies			
		No PCa	GS = 3+3	GS ≥ 3+4	Sum
Detected by Systematic biopsies	No PCa	79	12	12	103
	GS = 3+3	74	100	6	180
	GS ≥ 3+4	4	1	80	85
	Sum	157	113	98	368

Mc Nemar's test p-value for systematic versus MRI-targeted biopsy $p = 0.046$

Table 5

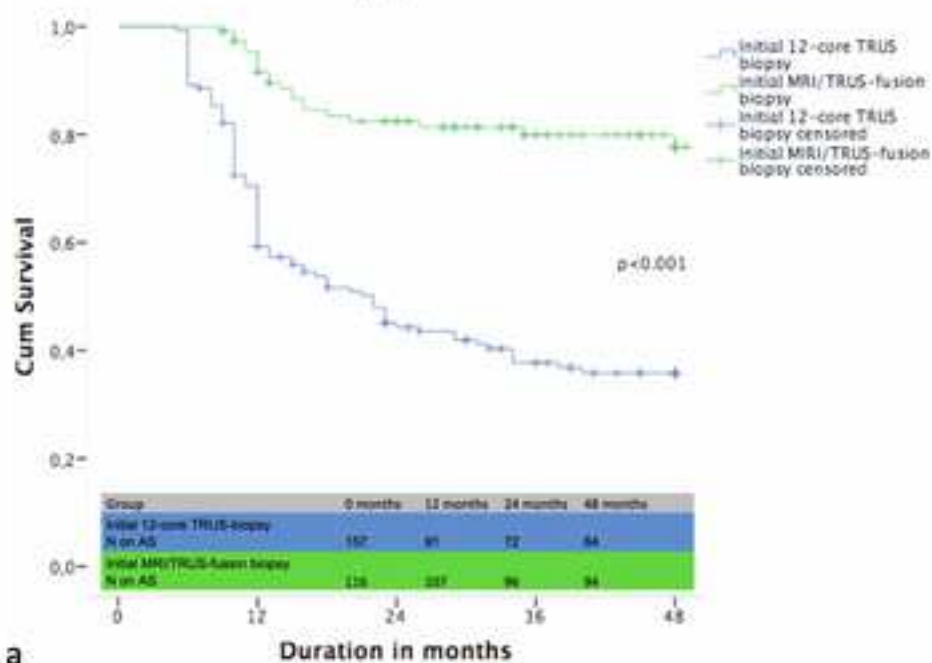
Detection accuracy of PRECISE score to detect AS-disqualification
 Entire cohort

PRECISE Score 69 men in the initial 12-core TRUS and 89 men in the MRI/TRUS-fusion subgroup

	AS disqualification			
PRECISE Score		no	yes	Sum
	1	14	0	14
	2	43	0	43
	3	59	12	71
	4	13	15	28
	5	0	2	2
	Sum	99	29	158

Figure 1
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Kaplan-Meier plot for AS disqualification of men with initial MRI/TRUS-fusion biopsy or initial 12-core TRUS



Kaplan-Meier plot for AS disqualification of men with AS confirmed by initial 12-core TRUS or by SB or TB of initial MRI/TRUS-fusion biopsy

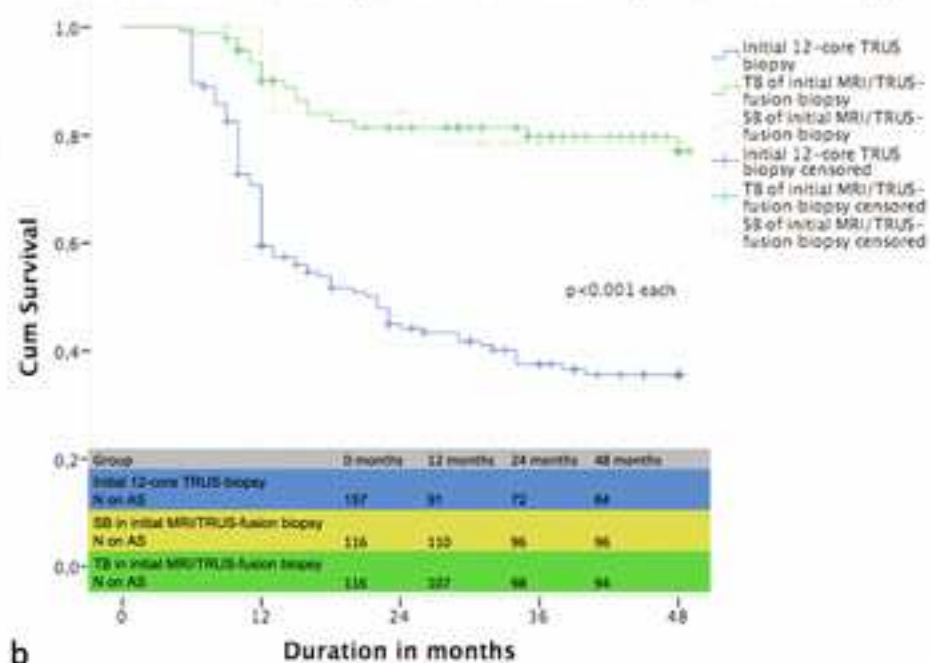
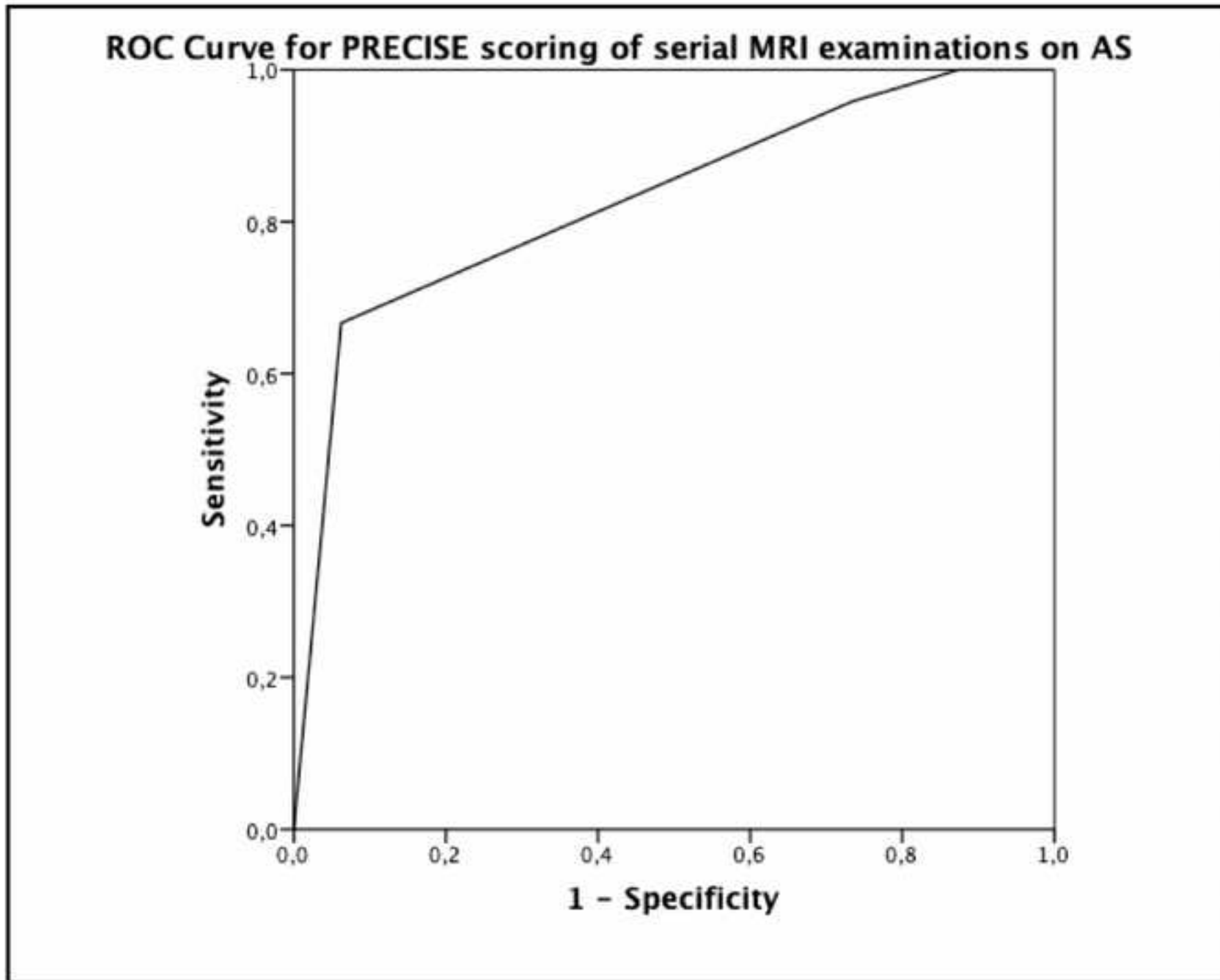
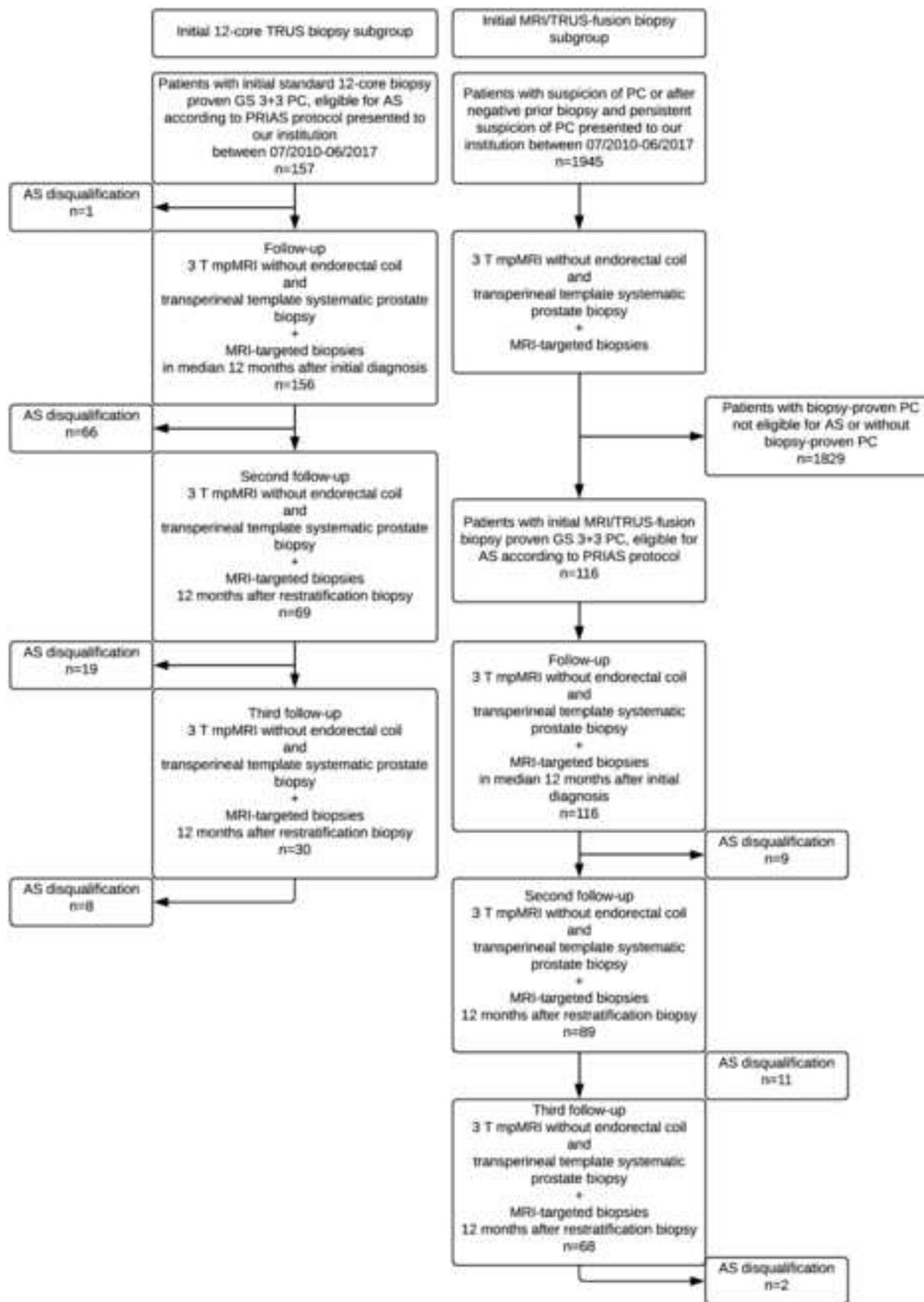


Figure 2
[Click here to download high resolution image](#)





Supplementary Material 2

Parameter	T1 TSE	T2 TSE	epi-2D	DCE TWIST
TR ms/ TE ms	792/11	5120/143	3100/52	4.42/2.2
Flip angle (°)	90	90	90	15
ETL length/ Epi- factor	72	12	96	x
Averages	2	4	5	x
b-value	x	x	0, 50, 100, 150, 200, 250, 800, 1000	x
Section thickness (mm)	5	3	3	1,5
FOV (mm)	320	300	280	400
Resolution	1.1 x 1.0	0.8 x 0.7	2.2 x 2.2	1.6 x 1.6
Acquisition time (min)	03:51	04:14	05:04	05:18

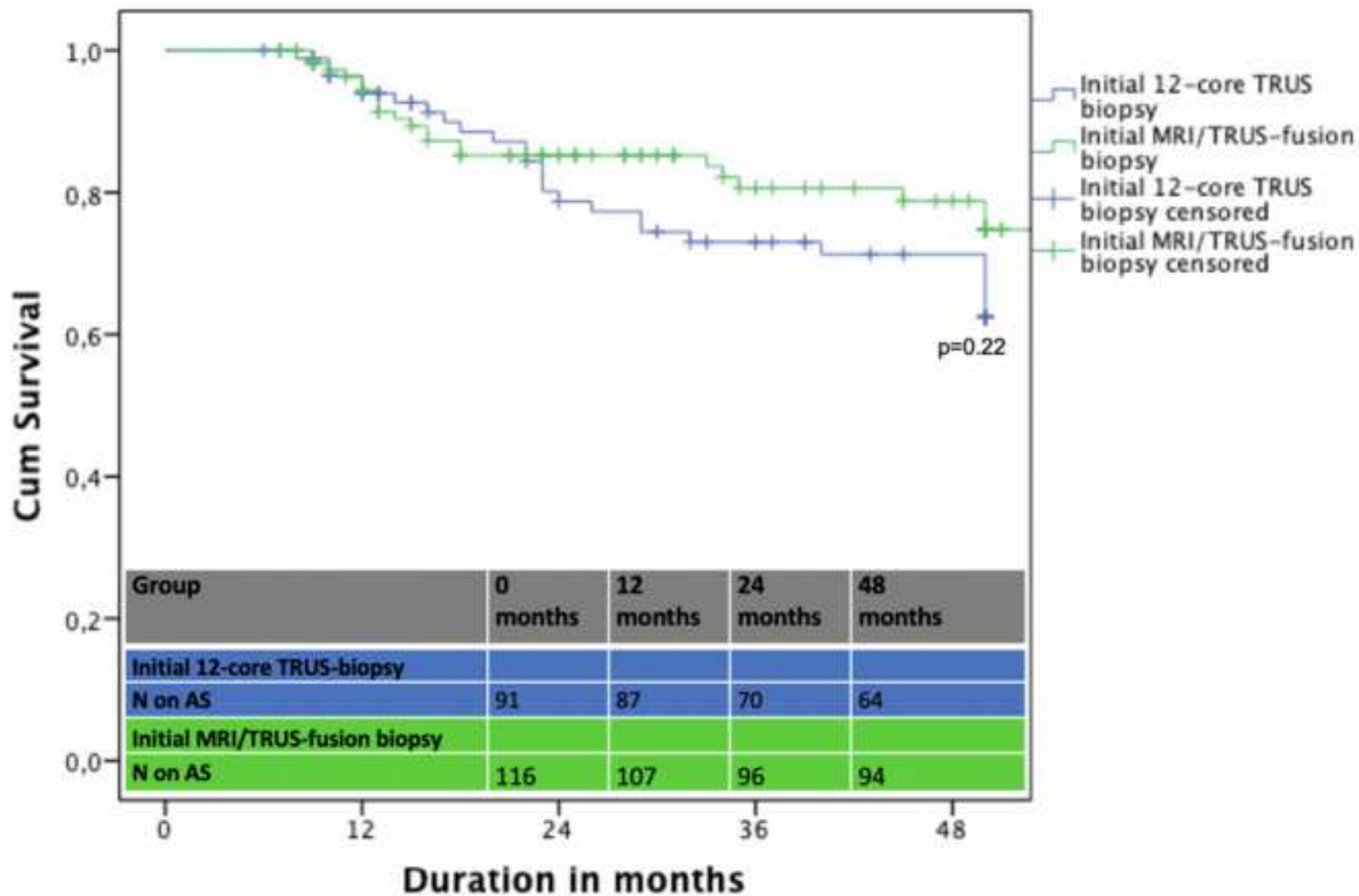
TR- Repetition Time, TE- Echo Time, ETL- Echo Train Length, FOV- Field of View, epi- Echo Planar Imaging, TSE- Turbo Spin Echo, TWIST- Time-resolved angiography With Interleaved Stochastic Trajectories, SE- Spin Echo, DCE- Dynamic contrast enhancement

Assessment of likelihood for radiologic progression on magnetic resonance imaging in men on active surveillance		
Likert	Assessment of likelihood of radiologic progression	Example
1	Resolution of previous features suspicious on MRI	Previously enhancing area no longer enhances
2	Reduction in volume and/or conspicuity of previous features suspicious on MRI	Reduction in size of previously seen lesion that remains suspicious for clinically significant disease
3	Stable MRI appearance: no new focal/diffuse lesions	Either no suspicious features or all lesions stable in size and appearance
4	Significant increase in size and/or conspicuity of features suspicious for prostate cancer	Lesion becomes visible on diffusion-weighted imaging; significant increase in size of previously seen lesion
5	Definitive radiologic stage progression	Appearance of extracapsular extension, seminal vesicle involvement, lymph node involvement, or bone metastasis

STARD checklist for reporting of studies of diagnostic accuracy

Section and Topic	Item #		On page #
TITLE/ABSTRACT/ KEYWORDS	1	Identify the article as a study of diagnostic accuracy (recommend MeSH heading 'sensitivity and specificity').	1
INTRODUCTION	2	State the research questions or study aims, such as estimating diagnostic accuracy or comparing accuracy between tests or across participant groups.	6, 7
METHODS			
<i>Participants</i>	3	The study population: The inclusion and exclusion criteria, setting and locations where data were collected.	8, Figure 1
	4	Participant recruitment: Was recruitment based on presenting symptoms, results from previous tests, or the fact that the participants had received the index tests or the reference standard?	8, Figure 1
	5	Participant sampling: Was the study population a consecutive series of participants defined by the selection criteria in item 3 and 4? If not, specify how participants were further selected.	8, Figure 1
	6	Data collection: Was data collection planned before the index test and reference standard were performed (prospective study) or after (retrospective study)?	8, 9, 10
<i>Test methods</i>	7	The reference standard and its rationale.	8, 9
	8	Technical specifications of material and methods involved including how and when measurements were taken, and/or cite references for index tests and reference standard.	8, 9
	9	Definition of and rationale for the units, cut-offs and/or categories of the results of the index tests and the reference standard.	8, 9
	10	The number, training and expertise of the persons executing and reading the index tests and the reference standard.	8, 9
	11	Whether or not the readers of the index tests and reference standard were blind (masked) to the results of the other test and describe any other clinical information available to the readers.	8, 9
<i>Statistical methods</i>	12	Methods for calculating or comparing measures of diagnostic accuracy, and the statistical methods used to quantify uncertainty (e.g. 95% confidence intervals).	9, 10
	13	Methods for calculating test reproducibility, if done.	N/A
RESULTS			
<i>Participants</i>	14	When study was performed, including beginning and end dates of recruitment.	11
	15	Clinical and demographic characteristics of the study population (at least information on age, gender, spectrum of presenting symptoms).	11, Table 1
	16	The number of participants satisfying the criteria for inclusion who did or did not undergo the index tests and/or the reference standard; describe why participants failed to undergo either test (a flow diagram is strongly recommended).	11, Table 1, Figure 1
<i>Test results</i>	17	Time-interval between the index tests and the reference standard, and any treatment administered in between.	11, 12, Table 1, Table 2
	18	Distribution of severity of disease (define criteria) in those with the target condition; other diagnoses in participants without the target condition.	11
	19	A cross tabulation of the results of the index tests (including indeterminate and missing results) by the results of the reference standard; for continuous results, the distribution of the test results by the results of the reference standard.	Tables 1,2 Figures 1,2 Supplement ary Material 5
	20	Any adverse events from performing the index tests or the reference standard.	Figure 1
<i>Estimates</i>	21	Estimates of diagnostic accuracy and measures of statistical uncertainty (e.g. 95% confidence intervals).	Tables 3, 4, 5
	22	How indeterminate results, missing data and outliers of the index tests were handled.	Figure 1
	23	Estimates of variability of diagnostic accuracy between subgroups of participants, readers or centers, if done.	Table 4
	24	Estimates of test reproducibility, if done.	N/A
DISCUSSION	25	Discuss the clinical applicability of the study findings.	13-17, 18

Kaplan-Meier plot for AS disqualification of men with initial MRI/TRUS-fusion biopsy or initial 12-core TRUS cleaned for men in initial 12-core TRUS subgroups who were disqualified by Gleason Score upgrading after 12 months



Kaplan-Meier plot for AS disqualification dichotomized in negative versus suspicious first MRI

