### Patient Reported Outcome Measuring Tools in Cataract Surgery – Clinical Comparison in a Tertiary Hospital.

## Short title

Comparison of Patient Reported Outcome Measuring Tools in Cataract

Surgery.

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### 1 Abstract

# 2 Purpose

- 3 To assess the performance of Patient Reported Outcome Measure (PROM)
- 4 questionnaires to and determine their appropriateness for routine use in
- 5 cataract patients.

## 6 Setting

7 Moorfields Eye Hospital, London, United Kingdom.

## 8 Design

9 Prospective cohort study.

## 10 Method

- 11 Patients undergoing cataract surgery between February and March 2013
- 12 were recruited. Four questionnaires, including Catquest-9SF, EQ-5D and its
- 13 visual analog scale (VAS), NEI-SES and VF-8R were given to patients to
- 14 complete before surgery, 3 weeks post-surgery and 3 months post-surgery.
- 15 Rasch-analyzed data, when possible, was used to compare questionnaires'
- 16 performances. Statistical significance was calculated with paired student's t-
- 17 test. Pearson's correlation coefficients were determined between PROMs'
- 18 scores and visual acuity.
- 19
- 20

### 21 Results

22	Among the 1223 patients recruited, 675.29% and 61.8% completed 3 weeks
23	and 3 months follow-up respectively. Changes in mean scores for Catquest-
24	9SF, EQ-5D, EQ-VAS, NEI-SES and VF-8R at 3 weeks were <u>410120,86</u> %
25	(p <u>&lt;0</u> =.0001), <u>1.61-0.5</u> % (p= <u>0.610.77</u> ), 3. <u>37</u> 5% (p=0.0 <u>9</u> 8), 1 <u>6.125-8</u> %
26	(p=0. <u>1233</u> ) and 6 <u>1.763-</u> 2% (p<=0.00 <u>016</u> ) respectively. At 3 months, these
27	were 16257.423% (p<0.0001), 4.542.4% (p=0.164), 4.848% (p=0.09),
28	<u>54.6349.1% (p&lt;=0.00016) and 876.553% (p&lt;0.0001), respectively. Weak</u>
29	correlations were found between Catquest-9SF, NEI-SES and pre-operative
30	visual acuity. While all PROM questionnaires correlated to post-operative
31	visual acuity measures, the correlations were , and between Catquest-9SF,
32	NEI-SES, VF-8R, EQ-5D and post-operative visual acuity. variable and weak
33	at best.

34

### 35 Conclusion

- 36 It is feasible to assess patient reported outcome in cataract surgery as routine
- 37 practice. Improvements in visual function could be detected as early as 3
- 38 weeks post-surgery by Catquest-9SF and VF-8R, while cataract surgery may
- 39 exert a delayed effect on patient's socioemotional construct. Visual acuity
- 40 measures do not fully reflect patients' reported visual function and cLack of
- 41 correlations between PROMs and visual acuitylinicians should consider
- 42 assessment of raised the importance of assessing patient reported visual
- 43 function prior to cataract surgery in order to facilitate surgical decision-making.

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#### 44 Introduction

- 45 Cataract surgery is the commonest procedure performed in the public 46 healthcare system, the National Health Service (NHS), in the United Kingdom, 47 with around 330 000 cases performed in England.<sup>1</sup> Although there is clear 48 evidence of objective visual improvements from modern cataract surgery,<sup>2</sup> 49 based on visual acuity measurement, this fails to accurately evaluate patient 50 perceived benefits of the procedure.<sup>3</sup> 51 Patient-reported outcome measures (PROMs) examining health related 52 quality of life, functional status and symptom scores have increasingly been 53 recognized as important tools in patient centered care and in measuring the 54 value of health interventions.<sup>A</sup> Generic PROM, measured by EQ-5D, has been 55 a mandatory requirement for four types of high volume elective surgeries 56 performed in NHS England, namely hip and knee replacements, groin hernia 57 repair, and varicose vein surgery since 2009. However, dDespite the volume 58 of cases, cataract surgery is currently not included due to uncertainties of 59 validity and responsiveness of EQ-5D in these patients.<sup>4</sup> 60 The 51-item National Eye Institute Visual Function Questionnaire (NEI-VFQ) is considered the 'gold-standard' for assessing visual function.<sup>5</sup> A shortened 61 62 version of NEI-VFQ with 25 items (NEI-VFQ-25) has also been introduced in 63 the assessment of vision-related quality of life of patients with ocular diseases in cross-sectional studies.<sup>6-10</sup>, This Both versions have been used mainly in the 64 65 has remained largely a research setting tool, as they are However, it is
- 66 lengthy and time-consuming both for patients to complete and for clinicians to
- 67 analyze, making them difficult to implement therefore not practical in in-routine

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- 68 <u>clinical practice-settings.</u>, and therefore has remained largely a research tool.
- 69 NEI-VFQ was also found to contain several design issues that reduces its
- 70 validity, namely multidimensionality (more than 1 construct in 1 score),
- 71 <u>questions that did not fit the construct, suboptimum targeting of item difficulty</u>
- 72 to person ability, and dysfunctional subscales.<sup>11</sup>
- 73 A shortened version of NEI-VFQ with 25 items (NEI-VFQ-25) has been used
- 74 more widely in the assessment of vision-related quality of life of patients with
- 75 ocular diseases in cross-sectional studies.<sup>6-8</sup>-More recently, shorter validated
- 76 questionnaires for cataract patients have been developed. These include VF-
- 8R and Catquest-9SF, <u>129,103</u> the latter of which has been successfully adopted
- 78 in Sweden since 1998 as part of the Swedish National Cataract Register and
- 79 is promoted by the International Consortium for Health Outcomes
- 80 Measurement for international use.<sup>44</sup>-<sup>14</sup> In the UK, However, so far there is no
- 81 consensus in the UK on a PROM for cataract surgery patients so far.

The aim of this study is to evaluate the feasibility of using PROMs in routine NHS service for cataract patients in <u>the</u> United Kingdom, and to compare the responsiveness of different tools in order to identify the best PROM for this purpose. To our knowledge, this is the first clinical study comparing PROMs for cataract patients in the United Kingdom.

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#### 88 Method

### 89 Study cohort

- 90 This was a prospective longitudinal study of consecutive patients scheduled
- 91 for cataract surgery at Moorfields Eye Hospital, London between February
- 92 and March 2013. Patients were recruited during their pre-operative
- 93 assessment. Eligible patients had cataracts in one or both eyes, were ≥40
- 94 years of age, were scheduled to have phacoemulsification and intraocular
- 95 lens implant insertion, were able to read and interpret English without
- 96 translation, and could give valid consent. We excluded patients with
- 97 significant <u>visual impairment from</u> ocular comorbidities in the eye that was to
- 98 be operated upon (e.g., advanced age related macular degeneration,
- 99 advanced glaucoma, uncontrolled diabetic retinopathy and maculopathy, and
- 100 other conditions that carried a guarded visual prognosis after cataract
- 101 surgery), and patients with psychiatric or cognitive diseases. <u>Those with</u>
- 102 stable ocular comorbidities not causing significant visual impairment were
- 103 included in this study (Table 1).
- 104 The study complied with the Declaration of Helsinki and was approved as a
- 105 part of service evaluation. Informed consent was obtained from all participants
- 106 in this study.

#### 107 Data collection

- 108 A study pack with four questionnaires, consisted of Catquest-9SF, EQ-5D,
- 109 NEI-SES and VF-8R was given to patients to complete. Clarifications and
- 110 support were given by nursing staff if patients were unable to understand the
- 111 questionnaire. In accordance with the design of all the questionnaires used,
- 112 patients were instructed to consider their situation during the 2 weeks prior to
- the assessment only. Data from one operated eye was recorded. In second
- 114 eye patients, no questionnaires were given within 2 weeks of completion of
- 115 the first eye surgery.
- 116 The same pack was subsequently mailed to the patients at 3 weeks and at 3
- 117 months post-surgery. Questionnaire response rates were enhanced by
- telephone call reminders at 3 weeks and 3 months post-surgery.
- 119 Clinical data for the subjects was retrieved from medical records. Data
- 120 collected included past medical history, pre- and post-operative corrected
- 121 distance visual acuity (CDVA) and refraction, slit-lamp biomicroscopy findings,
- 122 operation report, and any intra- and post-operative complications.

123

- 124 Patient-reported outcome measuring tool selection
- 125 Four preference-based patient-reported outcome instruments were selected
- 126 for this study. The 4 questionnaires were selected in order to cover 3 different
- 127 areas: generic health status, disease-specific health status, and
- 128 socioemotional status.

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129 EQ-5D was used as the tool for generic health status measurement. National 130 Institute of Clinical Excellence (NICE) and the UK Department of Health have 131 recommended the use of this questionnaire as part of a wider comparative 132 health-care economic analysis in other common procedures in England. It 133 consists of 5 questions concerning subject's self-reported health, and a visual 134 analogue scale (EQ-VAS) that allow subjects to report their perceived overall 135 status of general health. EQ-5D has not been recommended specifically for cataract patients,<sup>B</sup> but some recent studies have shown that it is responsive in 136 137 patients with visual impairments, although none of them were based in primarily English-speaking patient population.<sup>12-15-18</sup> 138 139 For disease-specific measuring tool, Catquest-9SF and VF-8R were selected. 140 Both questionnaires were specifically designed to capture visual function data 141 in cataract patients, and have previously been vigorously validated in Englishspeaking populations.<sup>9,1012,13</sup> Furthermore, a head-to-head study has shown 142 143 that Catquest-9SF to be superior to other questionnaires in cataract 144 patients.<sup>46\_19</sup> We decided to include VF-8R because its predecessor (VF-14) 145 was recommended for UK cataract patients.<sup>B</sup> However, we did not use VF-14 146 as it has not been Rasch-validated and is less responsive in detecting 147 longitudinal changes in visual function.<sup>47\_20</sup> 148 NEI-SES was chosen to assess the socioemotional changes brought about by 149 cataract surgeries. This questionnaire was based on the 39-item National Eye Institute Visual Function Questionnaire (NEI-VFQ). After validation and the 150 151 removal of redundancies by Pesudovs et al., NEI-SES was developed to 152 capture data measuring socioemotional construct.48\_11

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#### 154 Statistical analysis

Sociodemographic and clinical characteristics were analysed by descriptive
statistics. Subgroup analyses on patients undergoing cataract surgery for the
first time and for the second time were also performed.

158 To assist data analysis, EQ-5D health states were converted to index values 159 as reported previously.<sup>19,2021,22</sup> Raw value of EQ-VAS was used, as no index 160 score conversion was available. For Catquest-9SF, VF-8R and NEI-SES, 161 Rasch adjusted scoring systems were preferred over summative (Likert) 162 scoring system. The advantages of using Rasch scoring include validated 163 scoring weighting, better precision in detecting change over time,<sup>47\_20</sup> and the 164 possibility of using parametric statistical techniques, allowing direct 165 comparison of the performances of the questionnaires. Rasch measuring 166 scale is linear and uses a unit known as logit, or log-odds unit, which is the 167 logarithm of odds ratio of the probability a person will endorse a particular 168 rating scale step over 1- the same probability, with persons of higher ability achieving a negative score.<sup>48\_11</sup> In other words, negative logit scores 169 170 represent better health states. The conversion was based on previously published articles for each questionnaire.9,10,1811-13 171 172 Visual acuity was assessed by Snellen-converted ETDRS. Corrected distance visual acuity data were analyzed with ipsilateral eye undergoing surgery 173

174 (CDVA), better-seeing eye (BEVA), worse-seeing eye (WEVA) and weighted

175 average of both eyes (WVA) as separate variables of interest. The WVA was

- based on 75% contribution by BEVA and 25% contribution by WEVA.<sup>2423</sup>
- 177 Data analysis was performed with Microsoft Excel for Mac 2011 (Microsoft
- 178 Corp, 2010). Association between continuous variables were examined using
- 179 the Pearson's correlation coefficient. Coefficients were considered strong
- 180 (>0.5), moderate (>0.35 0.50), weak (>0.20 0.35), and no correlation
- 181 (≤0.2). Statistical significance (P<0.05) was assessed using two-tailed paired
- 182 student's t-test after non-respondents were excluded from longitudinal data
- 183 analysis.

#### 184 Results

185 One hundred and twenty-twohree patients were recruited for the study. Sixty-186 fivefour patients (523%) were male. Mean age of all subjects (± S.D.) was 187 70.72 ± 10.60 years. Sixty-eightnine patients (55.76.1%) had surgery on their 188 right eye; while 67 patients (54.5\*%) were undergoing cataract surgery for the 189 first time. Forty-nine Fifty-nine patients (48.40.0%) were White British and 190 Forty-one30 (33.624.4%) were Indian. Mean pre-operative CDVA, BEVA, 191 WEVA and WVA were 0.634±0.489, 0.267±0.2730, 0.654±0.504 and 192 0.367±0.2730 LogMAR, respectively. Post-operative mean CDVA, BEVA, 193 WEVA and WVA were 0.201±0.2630, 0.146±0.205, 0.357±0.358 and 194 0.201+±0.216 LogMAR, respectively. Peri-operative complications include 1 195 case of contained anterior radial capsular tear as well as 1 case of cystoid 196 macular oedema, 1 case of raised intraocular pressure and 1 case of post-197 operative uveitis, all of which settled after a short course of medical treatment. 198 Table 1 summarises the sociodemographic and clinical data. 199 Of the 1223 patient recruited, 821 (67.25.9%) patients responded at 3 weeks 200 after surgery, while 76 (61.8%) patients responded at 3 months after surgery. 201 Non-respondents at each point of follow-up were excluded from further 202 statistical analyses. Table 2 showed the median age, gender and ethnicity 203 distribution between respondents and non-respondents at 3 weeks and at 3 204 months. Age and gender distributions were similar between the two groups at 205 both time points, although the ethnic groups were slightly different between 206 respondents and non-respondents at both time points.

207	Patient responses at pre-operative assessment, at-3 weeks post-surgery and
208	at-3 months post-surgery are summarized in Table 3. All questionnaires
209	reported improvement in patient reported outcomes in relation to post-
210	operative CDVA improvement. Catquest-9SF reported the largest and
211	statistically significant changes at both 3 weeks and 3 months post-surgery
212	(1 <u>20.86</u> 1 <del>0.8</del> %, ₽ <u>p</u> <=0.0001 and 1 <u>62.42</u> <del>57.3</del> %, <u>p</u> ₽<0.0001, respectively). <u>VF-</u>
213	8R was also statistically significant at both time points (61.76%, p<0.0001 and
214	87.55, p<0.0001 respectively). Both VF-8R and NEI-SES reported statistically
215	significant changes at 3 months post-surgery ( <del>86.3<u>54.63</u>%, pP&lt;=0.00010005</del>
216	and 49.1%, P=0.0006 respectively). Neither EQ-5D health states nor the EQ
217	VAS showed change that achieved statistical significance at any time points.
218	Figure 1 illustrates the responses from patients at both 3 weeks and at 3
219	months post-surgery. Catquest-9SF and VF-8R showed changes that were
220	statistically significant results at all time points. NEI-SES did not show
221	statistically significant change in either of the patients subgroups until 3
222	months post-operatively. Neither EQ-5D nor EQ VAS showed change that
223	was statistically significant results at any time point.
h	
224	We further investigated the patients who responded to PROMs at both 3-week
225	and 3-month post-operatively. (Table 4) Sixty-four patients responded at both
226	time points. The changes in response to the different questionnaires were
227	similar to those observed when all patients were considered. Significant
228	improvements versus pre-operative responses were recorded by Catquest-
1	

- 229 <u>9SF and VF-8R at 3-weeks post-operatively. These two questionnaires and</u>
- 230 <u>NEI-SES also registered significant improvements from pre-operative</u>

231	responses at 3-months post-operatively. However, unlike Catquest-9SF and	
232	NEI-SES whose scores significantly improved between the two follow-ups,	
233	VF-8R did not register further significant improvement during this period. EQ-	
234	5D and EQ-VAS did not yield noticeable changes at either time points.	
235		
l 236	Subgroup analyses into the effect of first and second eye cataract surgery	
237	were performed and shown in Table 54. Catquest-9SF and VF-8R both was	
238	the only measuring tool that demonstrated statistically significant changes in	
239	both groups of patients at 3 weeks; whereas VF-8R demonstrated statistically	
240	significant change only in second eye patients at 3 weeks. Subgroup analysis	
241	of NEI-SES and EQ-5D performance in both sets of patients were similar to	
242	the overall results shown in Eigure 1.	
<b>1</b> 1 <b>2</b>		
243	Although considerable changes were recorded by VF-8R, these changes did	Formatted: Normal, Space After: 0 pt
	Although considerable changes were recorded by VF-8R, these changes did  not achieve statistical significance. EQ-5D and EQ-VAS did not yield	Formatted: Normal, Space After: 0 pt
243		Formatted: Normal, Space After: 0 pt
243 244	not achieve statistical significance. EQ-5D and EQ-VAS did not yield	Formatted: Normal, Space After: 0 pt
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243 244 245 246	not achieve statistical significance. EQ-5D and EQ-VAS did not yield noticeable changes. Table <u>6</u> 5 illustrates the Pearson's correlation coefficients between visual	Formatted: Normal, Space After: 0 pt
243 244 245 246 247	not achieve statistical significance. EQ-5D and EQ-VAS did not yield noticeable changes. Table <u>65</u> illustrates the Pearson's correlation coefficients between visual function and clinical variables. <u>Pre-operatively, only Catquest-9SF showed</u>	Formatted: Normal, Space After: 0 pt
243 244 245 246 247 248	not achieve statistical significance. EQ-5D and EQ-VAS did not yield noticeable changes. Table <u>65</u> illustrates the Pearson's correlation coefficients between visual function and clinical variables. <u>Pre-operatively, only Catquest-9SF showed</u> weak correlation to one of the four visual function variables (WEVA, r=0.22,	Formatted: Normal, Space After: 0 pt
243 244 245 246 247 248 249	not achieve statistical significance. EQ-5D and EQ-VAS did not yield noticeable changes. Table <u>65</u> illustrates the Pearson's correlation coefficients between visual function and clinical variables. <u>Pre-operatively, only Catquest-9SF showed</u> weak correlation to one of the four visual function variables (WEVA, r=0.22, P<0.05). All other PROM tools did not show any correction to visual function.	Formatted: Normal, Space After: 0 pt
243 244 245 246 247 248 249 250	not achieve statistical significance. EQ-5D and EQ-VAS did not yield noticeable changes. Table <u>6</u> 5 illustrates the Pearson's correlation coefficients between visual function and clinical variables. <u>Pre-operatively, only Catquest-9SF showed</u> weak correlation to one of the four visual function variables (WEVA, r=0.22, P<0.05). All other PROM tools did not show any correction to visual function. At 3-weeks post-surgery, Catquest-9SF, VF-8R, NEI-SES and EQ-5D were	Formatted: Normal, Space After: 0 pt

253 surgery, CDVA, BEVA and WVA were weakly correlated with VF-8R and NEI-

255 <u>VAS.</u>

- 256 We found no association between Catquest-9SF and either pre-operative
- 257 CDVA or BEVA, and weak correlation to WEVA and WVA (Pearson's

258 correlation coefficients 0.18, 0.14, 0.25 and 0.22, respectively). Similarly, we

259 found no association between NEI-SES and pre-operative CDVA and BEVA,

260 but weak correlation to WEVA and WVA (Pearson's correlation coefficients

261 0.11, 0.12, 0.17 and 0.14, respectively). VF-8R, EQ-5D and EQ VAS did not

262 appear associated with pre-operative visual function.

263 Post-operatively, Catquest-9SF was weakly correlated to all four parameters

264 of visual function at 3 weeks (Pearson's correlation coefficients 0.28, 0.32,

265 0.37 and 0.32 for CDVA, BEVA, WEVA and WVA, respectively). However, it

266 only remained correlated to WEVA at 3 months. VF-8R was only correlated to

267 WEVA at 3 weeks, but showed weak correlations with WEVA as well as

268 BEVA and WVA at 3 months. NEI-SES has a similar pattern of correlation to

269 visual function, showing weak correlations at 3 weeks to BEVA, WEVA and

270 WVA and correlation to WEVA only at 3 months post-surgery. In contrast, EQ-

271 5D only showed weak correlation to WEVA at 3 weeks and no correlation to

272 other parameters at any other time points. We found no evidence of

273 association between EQ VAS and any visual function parameters at any time

274 point.

275

# 276 Discussion

277	Routine use of patient reported outcome measures could help patients and	
278	clinicians make better decisions, facilitate comparisons and stimulate	
279	improvements in the provision of healthcare. <sup>22_24</sup> To our knowledge, this is the	
280	first clinical study evaluating different PROM tools in the same cohort of	
281	patients as part of routine cataract surgery in the UK NHS. This study showed	
282	that cataract surgery has a positive impact on visual function and is best	
283	measured by Catquest-9SF as early as 3 weeks after surgery. We also	
284	showed possible delayed improvements in the socioemotional construct in	
285	patients undergoing cataract surgery, and poor correlations between PROMs	
286	results (i.e. visual function) and clinical parameters (i.e. visual acuity) in	
287	cataract patients.	
288 289	Cataract surgery positively impacts visual function and therefore quality of life as determined by all the instruments in this UK population, and similar to	
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289	as determined by all the instruments in this UK population, and similar to	Formatted: Superscript
289 290	as determined by all the instruments in this UK population <u>, and similar to</u> <u>findings from previous studies</u> have been reported by Desai and colleagues. <sup>25</sup>	Formatted: Superscript
289 290 291	as determined by all the instruments in this UK population <u>, and similar to</u> <u>findings from previous studies</u> have been reported by Desai and colleagues. <sup>25</sup> ( <u>ref</u> ). Both Catquest-9SF and VF-8R were highly sensitive to this change, with	Formatted: Superscript
289 290 291 292	as determined by all the instruments in this UK population, and similar to findings from previous studies have been reported by Desai and colleagues, $^{25}$ (ref). Both Catquest-9SF and VF-8R were highly sensitive to this change, with the logit scores improved significantly by 16257% and 868% at 3 months,	Formatted: Superscript
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289 290 291 292 293 294 295	as determined by all the instruments in this UK population, and similar to findings from previous studieshave been reported by Desai and colleagues, <sup>25</sup> (ref). Both Catquest-9SF and VF-8R were highly sensitive to this change, with the logit scores improved significantly by 16257% and 868% at 3 months, respectively. It has been shown previously that Catquest-9SF was the most responsive questionnaire of 16 instruments in a head-to-head study, including VF-8R in a Swedish patient cohort, <sup>(16)9</sup> but the authors advised caution in the	

choice for the measurement of patient reported outcomes in cataract surgeryin UK.

301	Previous PROM studies have reported outcomes between 3 to 6 months after
302	cataract surgery. <sup>9,4012,13</sup> We purposefully chose a much earlier timeframe of 3
 303	weeks after surgery as the first point of data collection, in order to assess the
304	feasibility of using PROMs as part of patients' routine post-operative care,
305	since UK patients most commonly return for their final post-operative review
306	at 2-6 weeks either in the hospital or at their community optometrist. <sup>C</sup> By
307	integrating PROMs with routine post-operative review, patient-reported
308	outcomes could be collected without further patient visits or the difficulties of
309	obtaining post-discharge questionnaire return, thereby improving patient
310	participation rates while minimizing administrative and resource costs.
н	
311	At 3 weeks post-surgery, Catquest-9SF detected statistically significant
312	improvements in visual function in patients who underwent their first cataract
313	surgery as well as those for the second time. In contrast, at 3 weeks post-
314	surgery status, VF-8R similarly produced statistically significant responses-in
315	both groups of patients but to a much smaller effect, especially in first eye
316	patients at 3 weeksonly in patients who underwent second eye cataract
317	surgery. Furthermore, we showed (Table 4) that although VF-8R responded to
318	early improvements in patient reported visual function, it was less sensitive in
319	capturing changes between early and later follow-up, suggesting a plateau
320	effect of its responsiveness. These findings suggest that Catquest-9SF
1	
321	performance is superior to that of VF-8R, as previously shown in a head-to-

head comparison study.<sup>18</sup> Our results also showed that, while improvements

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323	in PROM could be recorded as early as 3-week post-surgery, further
324	significant improvement could be recorded up until 3-month post-surgery.
325	Further longer-term longitudinal study with Catquest-9SF may help
326	demonstrate the sustainability of the PROM changes after cataract surgery. A
327	previous study suggested that visual function improvement in patients
328	receiving unilateral cataract surgery was dependent on whether the fellow eye
329	has significant visual impairment (defined as CDVA ≤0.20). <sup>23</sup> However, this is
330	an unlikely explanation for our failure to detect an effect with VF-8R, since the
331	majority of our first eye patients had CDVA $< 0.20$ in the fellow eye (42 of 63,
332	66.7%). Visual function improvements in the first eye subgroup were
333	statistically significant when measured by VF-8R at 3 months status-post. We
334	therefore interpret our findings as providing evidence that Catquest-9SF
335	captures changes of visual function at an earlier time after cataract surgery
336	than VF-8R.
336 337	than VF-8R.
	than VF-8R.  A study by Shekhawat and colleagues has shown that cataract surgeries
337	4
337 338	A study by Shekhawat and colleagues has shown that cataract surgeries
337 338 339	A study by Shekhawat and colleagues has shown that cataract surgeries could improve patients' socioemotional status. <sup>D</sup> Changes in socioemotional
337 338 339 340	A study by Shekhawat and colleagues has shown that cataract surgeries could improve patients' socioemotional status. <sup>D</sup> Changes in socioemotional status have also been reported in cross-sectional studies involving patients
337 338 339 340 341	A study by Shekhawat and colleagues has shown that cataract surgeries could improve patients' socioemotional status. <sup>D</sup> Changes in socioemotional status have also been reported in cross-sectional studies involving patients undergoing other procedures, such as corneal transplants. <sup>24</sup> -However, to the
<ul> <li>337</li> <li>338</li> <li>339</li> <li>340</li> <li>341</li> <li>342</li> </ul>	A study by Shekhawat and colleagues has shown that cataract surgeries could improve patients' socioemotional status. <sup>D</sup> Changes in socioemotional status have also been reported in cross-sectional studies involving patients undergoing other procedures, such as corneal transplants. <sup>24</sup> -However, to the best of our knowledge, longitudinal socioemotional changes have not been
<ul> <li>337</li> <li>338</li> <li>339</li> <li>340</li> <li>341</li> <li>342</li> <li>343</li> </ul>	A study by Shekhawat and colleagues has shown that cataract surgeries could improve patients' socioemotional status. <sup>D</sup> Changes in socioemotional status have also been reported in cross-sectional studies involving patients undergoing other procedures, such as corneal transplants. <sup>24</sup> -However, to the best of our knowledge, longitudinal socioemotional changes have not been previously reported in cataract patients. In our study, cChanges in NEI-SES
<ul> <li>337</li> <li>338</li> <li>339</li> <li>340</li> <li>341</li> <li>342</li> <li>343</li> <li>344</li> </ul>	A study by Shekhawat and colleagues has shown that cataract surgeries could improve patients' socioemotional status. <sup>D</sup> Changes in socioemotional status have also been reported in cross-sectional studies involving patients undergoing other procedures, such as corneal transplants. <sup>24</sup> -However, to the best of our knowledge, longitudinal socioemotional changes have not been previously reported in cataract patients. In our study, cChanges in NEI-SES were delayed and only became were-statistically significant at-3 months after

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351 status. Neuroplasticity is the ability of the brain to reorganise its structure and 352 function in response to changes in the environment, and there is now a 353 growing body of evidence that neuroplasticity occurs in adult patients with 354 amblyopia and those after refractive surgery.<sup>26</sup> Patients with multifocal lens also seem to display neuroplasticity or "neuroadaptation" after surgery to 355 356 counteract the associated side effects, such as glare, halos and loss of 357 contrast sensitivity, and this process can take several months. While studies 358 of neuroplasticity in ophthalmology have focused on the visual cortex, we 359 hypothesise that changes in other areas of the brain, including ones 360 controlling social interactions and emotional status, also occur after cataract 861 surgery. Since changes in socioemotional construct appeared to take longer 362 than visual function to materialise, future research in this area should take into 363 account the timing of data collection. 364 In our study, EQ-5D and EQ-VAS did not respond were poorly responsive well 365 to cataract surgery. There have been conflicting reports of validity and sensitivity of EQ-5D in patients with visual or ophthalmic related conditions.<sup>4</sup> 366 367 Although There are some studies have showing n-good performances of EQ-368 5D in cataract patients, many of these which reports consist involve of patient 369 cohorts with very different ethnic compositions compared with to our this 370 study.<sup>42-4515-18</sup> Our current results do not support the use of EQ-5D in routine 371 assessment of patient reported visual function improvement after cataract 372 surgery.

months post-operatively. Socio-emotional changes brought about by medical

neuroplasticity could account for the delayed changes in socioemotional

interventions are currently poorly understood., We speculate that

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373 All the questionnaires, at best, showed weak correlation with visual acuity 374 status. Only WEVA was consistently weakly associated with Catquest-9SF 375 and NEI-SES at all time points. Similar findings have been reported 376 noted in patients who underwent cataract surgery<sup>27</sup> and in those with age-\$77 related macular degeneration.<sup>25\_28</sup> Our study therefore adds weight to their 378 findings and suggests that the severity of visual acuity impairment measured 379 in clinical settings may not fully reflect patient's visual function or their 380 perception of the severity of their health problems. We believe , and supports 381 the view that pre-operative assessment of patient-reported visual function and 382 severity of deterioration could be an important tool to help assist decision-383 making by both patients and clinicians. 384 Limitations in this study are that it has a near 30% non-respondent rate, 385 despite multiple telephone reminders during the study period, and raises 386 concerns on the feasibility of routine use of PROMs. Non-respondent rates of 387 around 50% are commonly reported in studies based on mail surveys.<sup>26,29</sup> 388 The use of PROMs at routine post-operative visits for cataract patients would 389 help resolve this issue. Although age and gender distribution was similar 390 between respondents and non-respondents, there were differences in the 391 ethnic distributions. Further work is needed to investigate any potential 392 differences in the responses by different ethnic groups in the questionnaires 393 we used. Furthermore, this study was based in a tertiary centre in a 394 metropolitan area; therefore the findings may not generalize across the UK. 395 We did not include other generic health status measuring tools due to 396 concerns of inducing interviewee fatigue, although further studies with

397 different generic PROMs, such as the Health-utilities index 3 (HUI-3) may

398 yield results that are more suitable for cost-utility analyses.

399 In conclusion, this study demonstrated the feasibility of collecting patient-

400 reported outcomes in cataract surgery in routine clinical practice.

401 Improvements in patient-reported visual function could be detected as early

as 3 weeks post-operatively, with Catquest-9SF being the most responsive

403 measuring tool both in first eye and second eye patients. Results from NEI-

404 SES suggest that cataract surgery could exert a delayed effect on patient's

405 socioemotional construct and further research in this area should be mindful

406 of the possible bias induced by timing of data collection. Generic PROMs

407 produce insufficient response to cataract surgery and should not be used in

408 these patients. Visual acuity measurements correlates poorly with patient-

409 reported visual function, and the incorporation of PROMs into routine practice

410 could assist clinical decision-making and in assessing the value of ophthalmic

411 interventions.

### 412 What was known

413	-	Patient Reported Outcome Measures (PROMs) are important in
414		assessing outcomes in patients undergoing medical interventions.
415	-	Previous reports have demonstrated the robustness of various PROMs
416		in cataract surgery.

# 417 What this paper adds

- 418 Catquest-9SF was shown to be the most responsive PROM tool in a
- 419 British cohort at an earlier follow-up time than previously reported.
- 420 Delayed response in NEI-SES suggests a possible late effect of
- 421 cataract surgery in socio-emotional construct.

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### 554 Figure legends

- 555 Figure 1. Box-plots of patient responses to (a) Catquest-9SF, VF-8R and NEI-
- 556 SES, and; (b) EQ-5D and EQ-VAS pre-operatively, at 3 week post-surgery
- and at 3 months post-surgery. For easier comparison, logit scores were
- 558 inverted to show improvement in health states captured by Catquest-9SF, VF-
- 559 8R and NEI-SES. For EQ-5D, index scores were used. For EQ-VAS,
- 560 percentages (in decimal) were used. Pre-operative white; 3 weeks post-
- 561 surgery: dotted; 3 months post-surgery: diagonals.

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