# Projection-Resolved Optical Coherence Tomographic Angiography of Retinal Plexuses in Retinitis Pigmentosa

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**Short Title:** OCT angiography of retinitis pigmentosa

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#### Introduction

Retinitis pigmentosa (RP) is a group of hereditary retinal diseases characterized by progressive degeneration of rod and cone photoreceptors. To date, RP has been associated with mutations in at least 64 genes,<sup>1</sup> and inheritance can follow autosomal recessive, autosomal dominant, or X-linked recessive patterns.<sup>1,2</sup> Affected individuals typically experience nyctalopia in adolescence, followed by loss of peripheral vision, and eventually loss of central vision.<sup>1</sup> RP is a leading cause of blindness in individuals under 60 years of age.<sup>3</sup> Global prevalence is about 1 in 4000, with a total of over 1 million affected individuals worldwide.<sup>2,4</sup>

A wide array of therapeutic approaches to RP have been considered.<sup>5,6</sup> However, in order for these treatments to be successfully tested in clinical trials, consistent and well-defined measurements of disease progression are needed to evaluate therapeutic outcomes. Currently, clinical assessment consists of measures that include: visual acuity, visual fields, cone and rod electroretinograms (ERG), and optical coherence tomography (OCT).<sup>1</sup> Since good visual acuity can persist for many years even in patients with severe retinal degeneration, clinical studies typically employ ERG amplitudes and visual field sensitivity to assess disease severity, progression, response to treatment.<sup>7-9</sup> However, ERG and visual fields can demonstrate relatively high test-retest variability.<sup>10,11</sup> ERGs are often extinguished in many patients with RP, and visual field measurements are subjective and dependent on patient cooperation. In contrast, OCT<sup>12</sup> can provide reliable and objective structural information of the retina that has been found to correlate with retinal function.<sup>13-15</sup>

Fluorescein angiography (FA) has previously been used for qualitative evaluation of vascular changes in RP.<sup>16</sup> Attenuation of retinal and choroidal vasculature is typical in patients with RP.<sup>2,17,18</sup> However, FA is invasive, exposes the patient to potentially damaging light, and is not depth-resolved. This results in a time-consuming process that does not distinguish between different layers of retinal circulation.<sup>19,20</sup> More recently, OCT angiography (OCTA),<sup>21</sup> a functional extension of OCT technology, has been proposed as a noninvasive modality for three-dimensional visualization and quantification of retinal macro- and micro-vasculature.<sup>22-24</sup> Characterizing the changes in retinal hemodynamics using OCTA might help better understand the pathophysiology of RP.<sup>25</sup> Furthermore, quantifying vascular changes might provide an objective and reliable substitute for monitoring RP progression and response to treatment since correlation was previously reported between retinal perfusion and visual function.<sup>26, 27</sup>

Conventional OCTA images suffer from projection artifacts, in which flow signal from superficial blood vessels is projected onto deeper vascular plexuses.<sup>22,24,28</sup> This limits the ability to accurately separate and quantify OCT angiograms into the three distinct macular vascular layers described in histologic studies: superficial vascular complex (SVC), intermediate capillary plexus (ICP), and deep capillary plexus (DCP).<sup>29</sup> Thus, previous investigations of OCTA in RP described microvascular changes in up to 2 vascular layers; the superficial and deep capillary plexuses,<sup>30-32</sup> dividing the ICP

between the superficial and deep layers. Two layer segmentation could lead to inaccurate quantification of retinal perfusion.

Our group has recently developed projection-resolved OCTA (PR-OCTA) algorithm<sup>33,34</sup> to significantly suppress projection artifacts, allowing for proper segmentation of retinal circulation, and in turn more reliable visualization and quantification of microvasculature.<sup>35-45</sup> In this study, we aim to characterize the alterations in perfusion in all three retinal plexuses, as well as the relationship between vascular and structural changes in RP patients.

#### **Methods**

## Study Population

Patients and age-matched healthy volunteers were recruited from the Ophthalmic Genetics clinic at the Casey Eye Institute at Oregon Health & Science University (OHSU). An informed written consent was obtained from all participants. This prospective cross-sectional study was approved by the institutional review board of OHSU and all study procedures were done in accordance with the tenets of the Declaration of Helsinki. All participants underwent comprehensive ophthalmological examination. RP patients were diagnosed by an inherited retinal degeneration specialist based on: history, fundus appearance, OCT structure, kinetic and static perimetry, and electroretinograms. Patients were graded by an experienced ophthalmologist into three severity groups based on the horizontal width of central visual field to the V4e target. Mild group included patients with more than 110 degrees, moderate group was between 30 and 110 degrees, and the severe group included patients with less than 30 degrees of central visual field. Patients were excluded if they had any of the following: (1) retinal disease other than RP (2) systemic disease that has a known effect on retinal vasculature such as diabetes or hypertension (3) an ophthalmic condition that would interfere with OCT data acquisition such as severe nystagmus or media opacity (4) history of ocular trauma or major surgery other than uncomplicated cataract surgery. Exclusion criteria for control subjects included: ocular disease, inability to maintain fixation for scanning, visual acuity worse than 20/40, significant media opacity, or history of major ocular surgery.

#### OCT and OCT Angiography

All study participants underwent OCT scanning using a commercially available spectral domain OCT system (RTVue-XR, OptoVue, Inc.). The system has a repetition frequency of 70-kHz A-scans/sec. The device works at a center wavelength of 840 nm and a bandwidth of 45 nm, with axial and transverse resolutions of 5 µm and 22 µm in tissue, respectively. Macular 6x6 mm² scans were acquired. Each data set consisted of two registered volumetric scans with orthogonal directions (X-fast and Y-fast). Each volumetric scan consisted of 304 A-scans in the fast scanning direction at 304 locations in the slow scanning direction. Two B-scans were acquired at each location and the angiography data were obtained using a built-in commercial version of the split-

spectrum amplitude decorrelation angiography (SSADA) algorithm.<sup>21</sup> Thus, both structural and angiographic data were obtained simultaneously.

# Data Processing

An expert grader reviewed the acquired OCTA images for quality control. Scans with low signal strength index (SSI < 50), significant motion artifacts, defocusing, as well as off-center scans were excluded. The included structural OCT scans were segmented using a directional graph search method<sup>46</sup> (Figure 1). The retina was segmented at the inner limiting membrane (ILM), inner plexiform layer (IPL), inner nuclear layer (INL), outer plexiform layer (OPL), and Bruch membrane (BM).

Our novel reflectance-based projection-resolved (rbPR) OCTA algorithm<sup>34</sup> was used to suppress projection artifacts and visualize OCT angiograms of three distinct retinal vascular layers in the macula. *En face* angiograms were constructed by maximum projection of flow signal at specific slabs (Figure 1). The inner retinal slab was defined between the ILM and the outer boundary of OPL. The inner retina was then subdivided into 3 vascular layers; superficial vascular complex (SVC), intermediate capillary plexus (ICP), and deep capillary plexus (DCP). The SVC lies in the inner 80% of the ganglion cell complex (GCC: layers between ILM and outer boundary of IPL). The ICP located in the outer 20% of GCC and inner 50% of INL layer. The DCP was detected in the outer 50% of the INL and all of the OPL.

The *en face* OCT images were divided into parafoveal and perifoveal regions outside the manually-centered 0.6 mm-diameter foveal avascular zone (FAZ) (Figure 1). The parafoveal region was defined as an annulus region between the FAZ and an external circle of 3 mm diameter. The perifoveal region lies outside the parafoveal region, and extends to an external circle of 6 mm diameter.

Vessel density (VD) was measured from the *en face* OCT angiograms as the percent area of pixels occupied by vascular flow signal.<sup>22</sup> The average reflectance in the INL, OPL and ONL is used to adjust the threshold flow signal value to classify vessel versus static tissue on *en face* OCTA.<sup>47</sup> VD was calculated in the para- and peri-foveal regions (Figure 1). Even with projection resolution, large vessels in the SVC can still produce shadowing artifacts on the deeper layers. Therefore, areas of large vessel were identified from the inner retinal slab<sup>48</sup> and excluded from VD calculation in the ICP and DCP.

Outer and inner retinal thickness were measured to assess the structural changes. Outer retinal thickness was calculated between the outer boundary of OPL and BM. Meanwhile, inner retinal thickness was measured from the ILM to the outer boundary of OPL. Care was taken during image acquisition to ensure scans were not tilted to avoid the variation in the intensity of the Henle layer. The distance between layers' boundaries

were measured at each A-scan to generate color thickness maps of the outer and inner retinal slabs (Figure 1).

## Statistical Analysis

Statistical analysis was performed using Microsoft Excel 2013 (Microsoft Office, Microsoft Corporation, Redmond, WA) and SPSS v. 24.0 (IBM Corporation, Armonk, NY). Vessel density and thickness measurements are presented as mean and standard deviation (SD) of the included subjects in each group. Analysis of variance (ANOVA) test was used to assess if there is significant difference in age and visual acuity between groups. If statistically significant difference was found using ANOVA, Games-Howell nonparametric post hoc test would be used to confirm where the differences occurred between groups. Generalized estimating equations (GEE) was used to compare the vascular, structural, and functional measurements between the groups, accounting for the within-subject correlations arising from using both eyes from the same subjects. Significant p values were adjusted for multiple comparisons using Holm-Bonferroni method. Likelihood ratio Chi-square test was used to assess the association between history of CME and VF severity in RP patients. Spearman's rank correlation coefficient and scatter plots were used, after averaging measurements from both eyes, to assess the correlation between VD in retinal plexuses and outer retinal thickness in the perifoveal region.

#### Results

## Subject Demographics and Clinical Data

Forty four eyes from 26 RP patients (mean age ± SD, 48.4 ± 18.2 years; range, 18-85 years) and age-matched 34 eyes from 26 normal controls (mean age  $\pm$  SD, 48.5  $\pm$  23.7 years; range, 22-85 years) were included. All patients had clinical manifestations of RP and 14 patients were genetically confirmed. Detected genetic mutations are available as Supplemental Material at AJO.com. RP patients were subdivided into two groups based on the presence of cystoid macular edema (CME) (20 eyes from 13 subjects had no history of CME; 22 eyes from 13 subjects had CME). CME was extracted from clinical records and based on the clinical assessment of OCT appearance. There was no significant difference in age between groups (ANOVA, p = 0.94). Visual acuity was statistically different between the groups (ANOVA, p < 0.001). Healthy subjects had significantly better visual acuity than both groups of RP patients (Games-Howell post hoc test, p < 0.002). Patients in the CME group tended to have a slightly worse central vision than patients with no history of CME. However, the mean visual acuity was statistically equivalent in RP patients with and without CME (Games-Howell post hoc test, p = 0.38). Similarly, patients in both groups did not show significant differences in the horizontal width of their central visual field (GEE, p = 0.53). Additionally, no significant association was observed between the presence of CME and VF severity in patients (Likelihood ratio Chi-square test, p = 0.82). Characteristics of the included participants and eyes are presented in Table 1.

#### Structural OCT

Cross-sectional OCT images and thickness maps in RP patients showed outer retinal loss with relative sparing in the fovea (Figure 2). Loss of photoreceptors including the ellipsoid zone (EZ) and ONL layers was observed in all patients with varying degree of disease severity. Tissue loss was more pronounced in the periphery. The tissue loss was quantified by the significant reduction in outer retinal thickness in RP patients (with and without CME) compared to controls in the para- and peri-foveal regions (Table 2). The outer retinal degeneration was more severe in CME patients compared to the patients without CME (-48.3% change, p < 0.001).

In contrast, a significant increase in inner retinal thickness was observed in RP patients compared to healthy subjects in all sectors (Table 2). Patients with CME also showed cystic spaces within inner retinal layers. Cysts were located mainly within parafovea, and rarely in the more peripheral perifovea (Figure 2). These cystic changes were accompanied by a significant 18.5% increase in inner retinal thickness in the parafoveal region of CME eyes compared to RP patients without CME (p < 0.001). No significant difference was observed in the perifoveal inner retinal thickness of RP patients between the CME and no CME groups (p = 0.28).

## OCT Angiography

Qualitative assessment of *en face* OCT angiograms of RP patients showed vascular attenuation in retinal circulation compared to normal controls (Figure 2). The vascular loss was more noticeable in the peripheral portions of deeper plexuses.

The inner retinal slab comprises a combination of the SCV, ICP, and DCP. Quantitatively, significant reduction in VD in the perifoveal region of the inner retinal slab was observed in RP patients compared to normal controls. Patients with history of CME showed more severe hypoperfusion than the no CME group (-13.7%, p < 0.001, and -8.3%, p = 0.04, respectively). In contrast, VD of the inner retinal slab in the parafovea was statistically equivalent between groups (Table 2).

However, by investigating retinal plexuses individually significant findings emerge, especially in the perifoveal region (Table 2). The perifoveal region of the DCP showed the greatest extent of vascular change in RP groups compared to healthy controls, with 49.4% loss in CME eyes (p < 0.001) and 31.4% loss in RP patients without CME (p < 0.001). In addition, vessel density of the perifoveal ICP in the CME group showed a significant decrease compared to the control (p < 0.001). On the contrary, no significant difference was observed for the VD of the perifoveal SVC in RP eyes. In the parafovea, although there was no significant difference in VD in the ICP or DCP compared to controls, a small but significant increase in VD was detected in the SVC of RP eyes with CME (8.3%, p = 0.005).

Correlation between perifoveal vessel density and outer retinal thickness

The perifoveal outer retinal thickness was plotted against the perifoveal VD in the SVC, ICP, and DCP. Patients with and without CME were plotted separately. A very strong positive correlation was observed between perifoveal outer retinal thickness and VD in the DCP of patients without CME (Spearman's rho = 0.96, p < 0.001) (Figure 3). Additionally, VD of the ICP correlated moderately (rho = 0.52) with outer retinal thickness, yet it was marginally insignificant (p = 0.07). No correlation between VD and retinal thickness was detected in the SVC, as well as in patients with CME (rho < 0.36, p > 0.22).

#### **Discussion**

Retinal vascular attenuation is a hallmark of RP, especially in advanced disease.<sup>2,49</sup> In the present study, we used PR-OCTA to characterize vascular loss in the three distinct macular plexuses and two regions in RP patients. The greatest changes were observed in the perifoveal regions of the deeper retinal plexuses, the DCP and ICP. In contrast, no vascular loss was detected in the SVC. We also observed decreased outer retinal thickness and increased inner retinal thickness in RP patients compared to the agematched healthy controls. Positive correlation was found between vessel density in the perifoveal DCP and ICP, and outer retinal thickness in RP patients without history of CME. However, interestingly this correlation was lost in RP patients with CME. There was not a correlation of retinal thickness and SVC density of either group.

In RP, retinal pathology often begins in the mid-periphery, with central vision remaining relatively preserved until later stages of the disease.<sup>2</sup> Histopathologic studies reveals that shortening of photoreceptors' outer segments is the earliest change in RP, with subsequent death and decreased number of rods and cones.<sup>50</sup> These findings agree with our structural OCT results of EZ defects and decreased outer retinal thickness, especially in the more peripheral regions.<sup>51-55</sup> Patients with CME showed more outer retinal degeneration than RP patients without history of CME, which could indicate that presence of CME might be associated with more advanced forms of RP. However, the history of CME did not correlate with the severity of the disease as measured by visual fields. Alternatively, macular atrophy which can occur in some forms of RP may be a risk factor for CME. We observed an increase of inner retinal thickness in RP patients with and without CME. Aside from the increased thickness resulting from inner retinal cysts in the parafovea, thickening has been also hypothesized to occur due to remodeling by retinal neurons and glial proliferation.<sup>51</sup> Several retinal modifications, including cellular hypertrophy, hyperplasia, neuronal migration, and network rewiring, have been previously described within the inner retina of RP patients.<sup>52</sup> Several studies have previously reported similar results of EZ disruption and thinning of ONL, 53-55 as well as thickening of inner retinal layers. 56,57

Microvascular attenuation was observed on OCT angiograms of RP patients at the perifoveal regions of the DCP and ICP. Although it has been previously suggested that the vascular component of RP might play a primary role in disease pathophysiology, 49,58 it is more commonly accepted that the decrease in retinal perfusion is secondary to

photoreceptor degeneration.<sup>57,59,60</sup> The findings of the recent hyperoxia experiments suggest that deeper retinal plexuses, especially the DCP, contribute to the nourishment of outer retinal layers, along with choroidal circulation.<sup>39</sup> Thus, we expected that outer retinal degeneration will cause a decrease in metabolic demand, and consequently secondary vascular remodeling and attenuation. This hypothesis was further supported by the strong correlation between perifoveal changes in the VD in the DCP and ICP, and the perifoveal outer retinal thickness in RP patients with no history of CME. Based on the same concepts, we can explain the relative preservation of vessel density in the parafoveal regions. Most RP patients have preservation of foveal cones and retain good central visual function until late stages of the disease. Thus, one would not expect a significant drop in the metabolic demand nor a significant changes in vascular density.

Furthermore, it has been suggested that the photoreceptor loss and decreased outer retinal thickness can lead to increased oxygen diffusion from the choroid into the more superficial retina, <sup>25,61,62</sup> resulting in autoregulatory vasoconstriction and decrease in blood flow, <sup>25,57,63</sup> especially in the DCP and ICP, which are anatomically closer to the choroidal layers. On the other hand, the SVC was spared and no microvascular defects were observed. The SVC is expected to be responsible for blood supply to inner retinal layers, but not the outer retinal. Thus, pathophysiologically, we would predict the SVC to be spared to cover the metabolic needs of the preserved inner retina and their remodeling processes occurring in RP patients. Moreover, the SVC is located away from the choroid, compared to the deeper plexuses. Therefore, the superficial plexus would not be affected by the increased oxygen supply from the choroidal circulation.<sup>39</sup>

We did not find correlation between outer retinal thickness and VD in patients with history of CME, which might argue for different pathophysiological pathways in those retinas. Generally, the incidence of CME was associated with significant decrease in outer retinal thickness. Furthermore, we observed an increase in vessel density for eyes with CME at the same given thickness as compared to RP eyes without CME (Figure 3). We do not know whether the loss of photoreceptors or an inflammatory process associated with CME induced proliferation of blood vessels and formation of cysts, or if the presence of CME causes an increase in vasculature as an attempt to drain macular edema. However, the cross-sectional design of this study cannot establish causality. Another possible explanation is that CME predominantly develops within the INL,<sup>64</sup> leading to dislocation and/or disorganization of capillaries in the ICP and DCP.

Reduced perfusion in RP was previously investigated using FA,<sup>16</sup> color Doppler ultrasonography,<sup>65</sup> bidirectional laser Doppler velocimetry,<sup>25</sup> confocal laser Doppler flowmetry,<sup>66</sup> laser speckle flowgraphy,<sup>26</sup> and functional MRI.<sup>18,67</sup> Several recent studies used OCTA to characterize the microvascular changes. Generally, they reported decreased vessel density in RP patients compared to controls, which agrees with the findings of our study. However, these studies utilized the commercial software which uses a 2-layer scheme to divide retinal circulation into superficial and deep plexuses, leading to faulty placement of most of the ICP with the superficial plexus slab. Thus,

most of previous studies reported decreased vessel density in the deep plexus, as well as the superficial plexus, which is actually caused by the defects in the intermediate plexus, not the superficial. In addition, previous investigations observed decreased perfusion in the parafoveal region of RP patients, in which we found no statistically significant changes compared to age-matched healthy participants. These differences might be caused by variations in image processing methods. The variation in SSI and the presence of projection artifacts can substantially alter OCTA measurements. Hence, a projection-resolved and reflectance compensation algorithms were applied in our method to significantly eliminate the impacts of these artifacts. In contrast, commercial software does not employ such strategies, which can potentially introduce erroneous measurements and analyses.

The findings of this study not only provided potential clinical trial endpoints, but also gave insight into the pathophysiology of RP. Still, there were several limitations that might need to be addressed in future work. Cross-sectional design, modest number of participants, and lack of genetic characterization are major limitations. Longitudinal studies with larger sample size and detailed genetic information might provide more conclusive results and reveal associations between microvascular alterations and specific gene mutations. Moreover, we did not correlate the microvascular parameters with functional parameters, including visual acuity, retinal sensitivity on microperimetry, and ERG.

In conclusion, OCT and PR-OCTA were able to characterize the structural and microvascular changes at distinct macular layers in the para- and peri-foveal regions of RP patients. They showed thinner outer retina, thicker inner retina, as well as decreased vessel density in the perifovea of DCP and ICP, with relative sparing of the SVC. Vessel density in the perifovea of DCP and ICP correlated strongly with outer retinal thickness in RP patients without history CME. However, these correlations breakdown with the development of CME, with an observed increase in vessel density. Our findings suggest that OCT and OCTA parameters might be able to provide better understanding of the pathophysiology of the disease, as well as monitoring disease progression and the response to experimental treatments.

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## **Figure Captions**

FIGURE 1. Optical coherence tomography (OCT) and OCT angiography (OCTA) data (6x6 mm² scans) from the left eye of a healthy participant representing the processing methods. (Top Left): Cross-sectional OCTA with color-coded flow signal overlaid on gray-scale reflectance signal. The segmented retinal layers are: internal limiting membrane (ILM), nerve fiber layer (NFL), ganglion cell layer (GCL), ganglion cell complex (GCC), inner plexiform layer (IPL), inner nuclear layer (INL), outer plexiform layer (OPL), outer nuclear layer (ONL), and Bruch's membrane (BM). (Top Right): Inner retinal OCT angiogram consisting of color-coded retinal plexuses; superficial vascular complex (SVC, violet), intermediate capillary plexus (ICP, green), and deep capillary plexus (DCP, blue). The yellow circles show the boundaries of perifoveal and parafoveal regions. The foveal avascular zone (solid circle, 0.6 mm diameter) was excluded from vessel density analysis. (Bottom Left): OCT angiograms of the SVC, ICP, and DCP. (Bottom Middle and): Color-coded inner and outer retinal thickness maps, respectively.

**FIGURE 2.** Optical coherence tomography (OCT) and OCT angiography (OCTA) 6x6 mm<sup>2</sup> macular scans from the right eyes of retinitis pigmentosa patients without cystoid macular edema (CME) (Top), and with CME (Bottom). Structural OCTA and thickness maps show outer retinal thinning that is most severe in the perifoveal region. There is relative sparing of the superficial vascular complex (SVC) compared to more severe capillary loss in the intermediate capillary plexus (ICP) and the deep capillary plexus (DCP).

**FIGURE 3.** The relationship between perifoveal outer retinal thickness and perifoveal vessel density in the superficial vascular complex (SVC, Top), intermediate capillary plexus (ICP, Middle), and deep capillary plexus (DCP, Bottom). Scatter plots were generated from retinitis pigmentosa (RP) patients with history of cystoid macular edema (CME, red) and without history of CME (No CME, blue). Spearman's *rho* and *p* values of the slope are based on Spearman's rank correlation test. No significant correlation was observed between the SVC and the outer retinal thickness. Moderate/strong correlations were found between the ICP/DCP vessel densities and outer retinal thickness in RP eyes without CME but not in patients with CME.