

1 Global assessment of primate vulnerability to extreme climatic events

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26 **Abstract**

27 Climate change driven alterations in the extent and intensity of extreme weather  
28 events may have catastrophic consequences on primate populations. Using a trait-  
29 based approach, we assessed the vulnerability of the world's 607 primate taxa to  
30 impacts of cyclones and droughts – two types of extreme climatic events that are  
31 expected to increase and/or intensify in the coming decades. We identified 16% of  
32 primate taxa that are vulnerable to cyclones particularly those in Madagascar; 22% of  
33 primate taxa were vulnerable to droughts which are mainly found in Malaysia  
34 Peninsula, North Borneo, Sumatra, and tropical moist forests of West Africa. These  
35 findings will help facilitate the prioritization of primate conservation efforts and call  
36 for increased efforts to investigate the context-specific mechanisms underpinning  
37 primates' vulnerability to extreme climatic events.

38

39 **Introduction**

40 Nonhuman primates are iconic elements of tropical ecosystems delivering key  
41 ecological processes and supporting the delivery of a variety of benefits to people<sup>1-3</sup>.  
42 However, ~60% of the world's primate species are threatened with extinction largely  
43 as a result of deforestation, habitat fragmentation, large scale agriculture and cattle-  
44 ranching, overexploitation, and urbanisation<sup>4,5</sup>. Along with rapid land use change,  
45 long-term variation in human-induced climate change is an emergent and accelerating  
46 threat to primate survival. Observed changes in the distribution and intensity of  
47 extreme climatic events (e.g., cold waves, droughts, cyclones, tornadoes, wildfires), in  
48 particular, may have catastrophic consequences on wildlife populations<sup>6,7</sup>, including  
49 primates.

50 A recent analysis showed that, ~6% of the worlds' terrestrial mammals are  
51 "significantly" exposed to cyclones and ~23% to droughts<sup>8</sup>; this assessment also  
52 revealed that compared to other mammalian orders, primates represent the proportion  
53 with the highest degree of exposure to cyclones and droughts in the recent past.  
54 Potential vulnerability to extreme climatic events is not currently considered when  
55 assessing extinction risk, indicating that the proportion of primates at the brink of  
56 extinction could be higher than currently estimated ~60%, should a number of them  
57 be particularly vulnerable to extreme climatic events. Impacts of cyclones and  
58 droughts have been found on sifakas, lemurs, langurs and Neotropical primate  
59 species<sup>9-15</sup>. Therefore, it is urgent to pinpoint which species or subspecies require  
60 particular conservation attention.

61 With the viability of species and ecosystems being increasingly threatened by  
62 climate change<sup>16,17</sup>, vulnerability assessments have become a key tool for identifying  
63 those species that are likely to be most vulnerable, thereby informing adaption  
64 planning and management under uncertainty<sup>18</sup>. Species vulnerability to climate  
65 change is broadly defined as a function of three main elements: exposure, sensitivity  
66 and adaptive capacity, and various frameworks with different levels of complexity  
67 have been proposed based on this rationale<sup>19</sup>. In the case of species, vulnerability to  
68 extreme climatic events has been related to the nature of the event, including its extent,  
69 frequency, and intensity<sup>6</sup>. Vulnerability is also expected to be mediated by the  
70 intrinsic biological traits (e.g. dispersal capacity, diet breadth, habitat preferences) that  
71 mould species' ability to withstand (sensitivity) or adjust to extreme climatic events  
72 (adaptive capacity)<sup>20,21</sup>. Admittedly, other extrinsic threats, particularly from  
73 anthropogenic origin, may increase overall vulnerability<sup>22</sup>, and so attention has

74 increasingly been placed on these factors in Climate Change Vulnerability  
75 Assessments (CCVAs).

76 Following the IUCN-SSC Guidelines for Assessing Species Vulnerability to  
77 Climate Change<sup>18</sup>, we conducted a vulnerability assessment to impacts of cyclones  
78 and droughts for the world's primates. The assessment incorporated primates'  
79 sensitivities and adaptive capacities associated with their intrinsic biological traits —  
80 referred collectively as “intrinsic susceptibility” — in relation to their exposure to  
81 cyclones and droughts. Primates' conservation status was considered as a proxy of  
82 extrinsic pressure level apart from extreme climatic events in the assessment. Our  
83 study was designed to identify the primates requiring urgent conservation attention,  
84 and the hotspot areas where they are concentrated, highlighting those vulnerable to  
85 cyclones and/or droughts and having a high extinction risk.

86

### 87 **Vulnerability of primate taxa to cyclones and droughts**

88 Of the 607 assessed primate taxa (referring to primate species and sub-species), 100  
89 were found vulnerable to cyclone impacts, 134 were vulnerable to drought impacts,  
90 and 19 were vulnerable to both cyclone and drought impacts. In this study, we defined  
91 a primate taxon as “susceptible” if it was prone to cyclone/drought impacts for its  
92 intrinsic sensitivity/low-adaptive capacity. We identified that 457 taxa (75.3%) were  
93 susceptible but non-exposed to cyclone impacts; 382 taxa (62.9%) were susceptible  
94 but non-exposed to drought impacts (Supplementary Table S1).

95 We plotted the global distribution of assessed taxa exposed to and susceptible to  
96 cyclone impacts (Fig. 1a) and drought impacts (Fig. 1b). Bivariate maps were used to  
97 highlight areas with high richness of taxa that are (i) susceptible only, (ii) significantly

98 exposed only, and (iii) both susceptible and exposed, hence, indicating hotspots of  
99 primate vulnerability.

100 Over the past 4 decades, cyclones of Category 4 and 5 impacted on terrestrial  
101 regions including the Caribbean Islands, coastal areas of the Gulf of Mexico, the  
102 North Madagascar, the main islands of Philippines, Japan, and coastal areas of the  
103 Bay of Bengal (Supplementary Fig. S1). Madagascar is the region with the highest  
104 richness of taxa vulnerable to cyclone impacts (Fig. 1a), where 6%, 30% and 40% of  
105 the total 103 taxa were assessed as extremely high, highly and moderately vulnerable  
106 (Fig. 1c). Comparatively, percentages of cyclone-vulnerable taxa were greatly lower  
107 in the other three regions where primates occur: Mainland Africa (0 species), Asia  
108 (10%, 17 taxa) and Neotropics (3%, 5 taxa) (Fig. 1c). In these regions, the vulnerable  
109 taxa were mainly distributed in tropical forests across Mexico's Yucatan Peninsula,  
110 tropical forests of Central America, and the lowland forests lying in eastern Mainland  
111 Southeast Asia. We found 161 taxa that were extremely high susceptible but not  
112 significantly exposed to cyclone impacts in western Amazon rainforest, and in  
113 tropical moist forests of Central Africa, Sri Lanka and Borneo (Fig. 1a).

114 Since the 1970s, aridity has been frequently detected over Africa, southern Europe,  
115 Arabian Peninsula, South and Southeast Asia, the Caribbean region and central South  
116 America (Supplementary Fig. S2). Asia is the region with the highest richness of taxa  
117 vulnerable to drought impacts (Fig. 1b), where 1%, 15% and 17% of the total 171 taxa  
118 were assessed as extremely high, highly and moderately vulnerable (Fig. 1d). These  
119 taxa concentrate in the tropical moist forests on Malaysia Peninsula, Sumatra, North  
120 Borneo and Sri Lanka. Following Asia, 8% and 16% of the total 150 taxa in Mainland  
121 Africa were assessed as highly and moderately vulnerable to drought impacts,  
122 respectively (Fig. 1d). These taxa are distributed in the tropical moist forests of

123 Guinea, Sierra Leone, Liberia and Central Africa (Fig. 1b). The percentages of  
124 primate taxa vulnerable to drought impacts are comparatively low in forests and xeric  
125 shrublands covering Madagascar's coastal areas (17%, 17 species), as well as in the  
126 tropical forests of Neotropics (14%, 26 species) (Fig. 1d). Thirty-three taxa were  
127 found extremely high susceptible but not significantly exposed to drought impacts in  
128 central-western Amazon rainforest, tropical moist forests in Gabon, Congo and West  
129 Africa, moist forests of eastern side of Madagascar, and dry forests of the lower  
130 reaches of the Mekong in Southeast Asia (Fig. 1b).

131

### 132 **Vulnerable and threatened primate taxa**

133 We examined vulnerability categories of 607 taxa to cyclone and drought impacts  
134 and their conservation status in the IUCN Red List of Threatened Species<sup>23</sup>, to  
135 highlight the vulnerable taxa at risk of extinction. We identified 89 threatened taxa  
136 that were vulnerable to cyclone impacts, 72 of which are distributed in Madagascar;  
137 89 threatened taxa that were vulnerable to drought impacts, concentrating in lowland  
138 moist forests of Sierra Leone and Liberia in Africa, and Sumatra, Borneo in Southeast  
139 Asia (Fig. 2a). The hotspot of threatened taxa overlaps the hotspot of cyclone-  
140 vulnerable taxa in the moist forests lying on the eastern side of Madagascar, and  
141 overlaps the hotspot of drought-vulnerable taxa in lowland forests of North Borneo  
142 and Northern Sumatra (Fig. 2a). Over 90% of the taxa vulnerable to cyclones impacts  
143 and 65% of the taxa vulnerable to drought impacts are threatened to become extinct,  
144 among which 23 and 26 taxa are "Critically Endangered", respectively (Fig. 2b). Four  
145 "Critically Endangered" taxa (*Trachypithecus poliocephalus leucocephalus*, *T.*  
146 *poliocephalus poliocephalus*, *Varecia rubra* and *Propithecus diadema*) are extremely

147 high vulnerable to cyclone impacts. Two “Endangered” taxa (*Macaca sinica aurifrons*  
148 and *M. sinica opisthomelas*) are extremely high vulnerable to drought impacts.

149

## 150 **Conclusions**

151 Under the framework of CCVAs, we identified 16% of the world’s primates as  
152 vulnerable to impacts of cyclones and 22% vulnerable to impacts of droughts. Our  
153 study also highlighted the hotspots of the taxa exposed and susceptible to cyclones  
154 and droughts, to facilitate the prioritization of sites for effective risk mitigation. As  
155 extreme climatic events are expected to increase in frequency and/or intensity<sup>24</sup>,  
156 identifying taxa at risk under their impacts would help to improve conservation  
157 planning to cope with relevant threats. Primate taxa are anticipated to have relatively  
158 lower vulnerability if they are not significantly exposed to cyclones or droughts, but  
159 they may have latent risk of being vulnerable due to possible variations of the pattern,  
160 frequency and/or intensity of extreme climatic events<sup>25</sup>. The exposed taxa with  
161 relatively lower susceptibility were assumed to have greater capability to cope with  
162 impacts of cyclones and droughts, and hence to represent a lower priority for risk  
163 mitigation actions in the near future<sup>25</sup>. However, it is uncertain whether these primates’  
164 attributes would enable them to survive with more severe impacts if exposure  
165 increases, and so monitoring will continue to be necessary.

166 Global predictions based on different dynamic models indicate that global  
167 warming may trigger an increase in the averaged intensity of cyclones, while the  
168 globally averaged frequency of cyclones is predicted to decrease<sup>26</sup>. As a result, we  
169 could not assume that exposed primates would be at higher pressure from cyclones in  
170 the future relative to the past, but some predicted variations are cautionary for primate  
171 conservation in certain areas. For example, higher resolution modelling projected

172 substantial increases in the frequency of the most intense cyclones, namely cyclones  
173 of Category 4 and 5 in Saffir-Simpson Scale, despite of a decrease in the globally  
174 averaged frequency of cyclones<sup>26,27</sup>. Empirical evidence of cyclones' negative effects  
175 on wild primate populations is accumulating<sup>28,29</sup>. Likewise, theoretical approaches  
176 predict a substantial increase in the risk of local extirpations under cyclone impacts,  
177 particularly for populations in isolation and experiencing human-driven habitat loss<sup>30</sup>.  
178 Should the frequency of the most intense cyclones increase, vulnerability of primates  
179 may be compromised directly and/or indirectly due to the more recurrent exposure to  
180 cyclone-driven environmental disturbance.

181 Arid conditions are moreover projected to remain stable or increase in the 21<sup>st</sup>  
182 century over most of Africa, southern Europe and the Middle East, most of the  
183 Americas, Australia, and Southeast Asia<sup>31</sup>. These predictions indicate that  
184 conservation efforts are especially needed for the Indo-Malay region where we  
185 identified the highest richness of taxa vulnerable to drought impacts. Such a tendency  
186 is also alarming for the regions inhabited by a high number of taxa highly susceptible  
187 to drought impacts, as the intensification or expansion of arid conditions may cause  
188 more taxa to be exposed to more severe impacts. The influence of human-induced  
189 global warming on droughts is still controversial due to different metrics being  
190 adopted for quantifying droughts<sup>32,33</sup>. Despite of this, much of the extra heat added  
191 into the climate system by recent warming is expected to increase the rate of drying  
192 on land, establishing droughts more quickly with greater intensity and longer  
193 duration<sup>34</sup>. The potential changes would threaten survival of primates through impacts  
194 on their primary living resource — forest trees by increasing tree defoliation and  
195 mortality, or triggering sudden disruptive effects on insect-fungal defoliation

196 dynamics<sup>35</sup>. These conditions may challenge the thresholds of sensitivity and/or  
197 adaptive capacity of primates.

198 Our findings revealed that a large percentage of primates vulnerable to impacts of  
199 cyclones and droughts are currently listed as “Threatened” in the IUCN Red List. So  
200 far, few studies have revealed the interactions between impacts of extreme climatic  
201 events and other stressors on primate populations. Nevertheless, we anticipated that  
202 the species of higher threatened categories might be less capable of maintaining long-  
203 term population persistence, as other extrinsic stressors that reduce species’ resilience  
204 and/or resistance to population decline may further increase vulnerability to extreme  
205 climatic events<sup>15,30</sup>. Great risk brought by potential ecological synergisms may lead to  
206 a bleak future, where a major extinction of primates may be coming sooner than  
207 previously anticipated.

208 Globally, the hotspots of primates vulnerable to cyclone and drought impacts are  
209 under severe threats of habitat loss and population decline caused by anthropogenic  
210 threats. For instance, in Madagascar, only 10~20% of the original habitats of primates  
211 remain, which are highly fragmented and inadequately protected<sup>36,37</sup>. Much of those  
212 habitats are exposed to illegal logging, mining and slash-and-burn agriculture, while  
213 people experience profound poverty and turmoil of politics<sup>38</sup>. Southeast Asia was  
214 identified as another hotspot of primate species vulnerable to drought impacts, where  
215 the biota has been continuously threatened by the destruction of forest and human  
216 population growth<sup>39</sup>. Expansion of palm agriculture has destructed at least 45% of the  
217 forest area in Southeast Asia along with fast human population growth<sup>40</sup>. The latter  
218 consequently drove severe population declines in Sumatran orangutan (*Pongo abelii*)  
219 and Bornean orangutan (*Pongo pygmaeus*)<sup>41</sup>, which were both vulnerable to severe  
220 droughts. Population loss caused by hunting is the secondary threat for primate

221 populations in Southeast Asia<sup>5</sup>. In Borneo, hunting was estimated causing an annual  
222 loss of 1,950 to 3,100 individuals to orangutans<sup>42</sup>, posing a serious threat to the  
223 sustainable existence of these drought-vulnerable primates.

224 As the pressures exerted by extreme climatic events on primates are unpreventable  
225 and uncontrollable, it is critical to maintain their resilience to catastrophic mortality  
226 and habitat loss caused by these events. These include efforts to minimize impacts,  
227 including maintaining spatial and temporal resources by well-connected protected  
228 area networks<sup>43</sup>, improving human living conditions to reduce illegal hunting, and  
229 developing sustainable land-use initiatives to mitigate primate-human conflicts<sup>5,44</sup>. To  
230 facilitate effective conservation responses, it is also important to increase the accuracy  
231 of risk assessment by revealing the synergisms between extreme climate events and  
232 ongoing non-climatic stressors.

233 Because the occurrence of extreme climatic events is difficult to predict  
234 accurately<sup>45,46</sup>, our study identified the areas that are most likely to be currently  
235 affected by cyclones and droughts by capturing variations of their occurrences in the  
236 recent 46 years. However, a bias could be introduced by overlapping recent extreme  
237 climatic events with current species geographic range when we calculated exposure,  
238 as many species ranges may have changed over the time. Therefore, if a species range  
239 has declined/increased over the past 46 years, we may underestimate/overestimate the  
240 exposure to cyclones/drought occurred during this period. The data we extracted for  
241 assessing intrinsic susceptibility are mostly based on observations from field studies,  
242 representing realized niches of the taxa. It may or may not be closely related to their  
243 fundamental niche. If some taxon has a broader fundamental niche, the extreme  
244 climatic conditions may not have such a significant impact. As with trait-based  
245 CCVAs, our analysis is subject to uncertainty in several elements of the framework: (i)

246 equal weight were assigned to each attribute without reflecting the fact that attributes  
247 contribute to different extent to species' vulnerability; (ii) arbitrary thresholds were  
248 chosen for scoring the two dimensions of vulnerability, which consequently generated  
249 relative results instead of the precise measures accounting for actual vulnerability of  
250 species<sup>19</sup>; (iii) the assessment did not address the possibility that sensitivity and/or  
251 adaptive capacity of species might change over time as a result of increased exposure.  
252 Despite of this, we believe the analysis facilitates prioritizing conservation efforts by  
253 enabling a comparison of relative vulnerability among taxa.

254 To reduce uncertainty, it is critical to build an evidence base for weighting  
255 characteristics, by clarifying mechanisms of how they influence primates'  
256 vulnerability to different extreme climatic events<sup>47,48</sup>. This will also help develop less  
257 data-intensive methods that may yield broadly similar results for the purpose of  
258 conservation planning and decision making<sup>49</sup>. Solid efforts are required for gathering  
259 relevant data from long-term monitored primate populations that periodically  
260 experience extreme climate events, to improve the parameterization of thresholds  
261 associated with vulnerability. Demographic and behavioral responses to past extreme  
262 climatic events should receive more attention, as they carry information on resistant  
263 or adaptive mechanisms that might change species sensitivity or adaptive capacity.  
264 Quantification of these responses is suggested to be incorporated into future  
265 assessments to enhance ecological robustness of the framework<sup>50</sup>.

266 This study identified primate species facing a relatively high vulnerability to  
267 impacts of cyclones and droughts as well as the regions where they are expected to be  
268 at greatest risk from increased exposure. As anthropogenic pressures on these species  
269 and places prevail, we contribute to a more comprehensive yet worrying portray of  
270 primates' struggle for survival. Our findings are expected to encourage researchers to

271 reduce data uncertainties through targeted data collection by conducting context-  
272 specific assessments of vulnerable species' populations, and so determine immediate  
273 action plans and minimize potentially irreversible losses in the long term.

274

## 275 **Methods (online-only)**

### 276 **Species distribution data**

277 We obtained the geographic distribution maps of 607 primate taxa, referring to  
278 primate species and sub-species, from IUCN Red List assessment<sup>23</sup> which uses the 3<sup>rd</sup>  
279 edition of Mammal Species of the World as its mammal taxonomy<sup>51</sup>. These maps  
280 were generated using bounding polygons associated with different certainty of a  
281 taxon's presence in an area coded as "extant", "possible extant", "possibly extinct",  
282 "extinct", and "presence uncertain"<sup>52</sup>. To focus on areas where individuals are most  
283 likely to occur, we selected polygons with presence category coded as "extant", as  
284 they indicate that individuals are known or thought very likely to occur presently in  
285 the area<sup>52</sup>. In doing so we omitted three taxa (*Hylobates lar yunnanensis*, *Mandrillus*  
286 *leucopaheus ssp*, *Ptilocolobus waldroneae*, and *Saguinus nigricollis ssp*) since  
287 presence polygons with this level of certainty were lacking. Four species on the IUCN  
288 Red List (*Cebus brunneus*, *Ptilocolobus pennantii*, *Pithecia milleri*, *Pithecia*  
289 *vanzolinii*) which lack distribution data were discarded. We also excluded three  
290 species (*Cebus capucinus*, *Cercopithecus wolfi*, *Chiropotes sagulatus*) that have not  
291 been assessed by the IUCN Red List but are listed in the Catalogue of Life<sup>53</sup>, and one  
292 newly described species (*Hoolock tianxing*)<sup>54</sup>.

293

### 294 **Quantification of exposure**

295 Cyclone data and quantification of cyclone exposure score

296 We extracted cyclone data from the joint database UNEP/GRID-Geneva<sup>55</sup> available in  
297 the Global Risk Data Platform (<http://preview.grid.unep.ch/>) that allows visualising  
298 and extracting data of different extreme weather and earth-system events. The  
299 UNEP/GRID-Geneva database uses satellite remote sensing to detect hurricane tracks  
300 and estimates areas affected using wind speed buffers available as GIS vector data for  
301 the period 1970 to 2015<sup>55</sup>. Each cyclone in the dataset is classified into discrete  
302 categories based on the Saffir-Simpson wind scale which measures the intensity of  
303 cyclones in terms of their highest sustained wind speed. Those having a maximum  
304 speed between 63-119 km/h are considered the least intense (cyclones not reaching  
305 category 1), and those equal or greater than 252 km/h are the most intense (cyclones  
306 category 5)<sup>56</sup>. We classified cyclone events based on their wind speed intensity ( $w$ ) on  
307 a scale from 1 to 6, and integrated these scores into a cyclone exposure metric (See  
308 below). A higher weight was thus assigned to cyclones with a higher category in the  
309 Saffir-Simpson scale.

310 To quantify the percentage of each primate taxon's range exposed to cyclones ( $E$ ),  
311 we overlaid the extant range of each primate taxon (using the ArcGIS software,  
312 version 10.0) one at a time with the path of each cyclone event for the 46-year time  
313 window. The taxa with a "significant" cyclone exposure were defined as those in  
314 which at least 25% of their extant range overlapped with one or more cyclone events<sup>8</sup>.  
315 For the taxa that met this criterion, we further identified those that were exposed on  
316 average to at least one cyclone event within the duration of one generation length<sup>23,57</sup>.  
317 Generation length is defined as the average age of parents of the current cohort,  
318 reflecting the turnover rate of breeding individuals in a population<sup>57</sup>. One hundred and  
319 twenty-two taxa met these two exposure criteria and were kept for further analysis.

320 We then scaled exposure to one generation length of the assessed taxon because  
321 different population turnover rates of taxa may influence risk of population decline<sup>58</sup>.  
322 Based on the weighted mean of exposure to individual cyclones and average number  
323 of cyclones within a primate taxon's generation length, the cyclone exposure score  
324 (hereafter,  $C_{ES}$ ) for each taxon was calculated as:

325

$$\frac{(E_a \times w_a + E_b \times w_b + \dots E_n \times w_n)}{w_a + w_b + \dots w_n} \times \frac{N_c}{46} \times T_{gl}$$

326 where  $E_a, E_b \dots E_n$  is the exposure of a primate taxon, expressed as percentage overlap  
327 between each cyclone's polygon and the taxon's range, to individual cyclones  $a, b$  to  
328  $n$  between 1970 and 2015 weighted by intensity  $w_a, w_b \dots w_n$ , respectively.  $N_c$  is  
329 number of cyclones that occurred in the taxon's range during 1970-2015, and  $T_{gl}$   
330 (years) is one generation length of the taxon (Working examples see Supplementary  
331 Appendix 1).

332

333 Drought data and quantification of drought exposure score

334 We used global monthly average Standardized Precipitation Index (SPI) data obtained  
335 from the Full Data Reanalysis Product of Global Precipitation Climatology Center<sup>59</sup>.

336 The dataset contains SPI values from 1970 to 2017 on a  $1^\circ \times 1^\circ$  equally spaced

337 longitude/latitude grid. SPI data of 12-month timescale was chosen to assess the

338 effects of droughts<sup>60</sup>. We targeted grids with SPI-12 values  $\leq -1.5$  which indicates

339 the occurrence of severe dry conditions<sup>61</sup>. Drought polygons with these SPI-12 values

340 were delimited by grouping grids of same dryness frequency ( $f_a, f_b \dots$  and  $f_n$ ) in the

341 past 567 months (from 1971 to 2017). We then calculated the percentage of a taxon's

342 extant range overlapping with each of these drought polygons ( $E_a, E_b \dots E_n$ ). We

343 identified drought exposed taxa following the same approach that was used for

344 identifying cyclone exposed taxa (25% range exposure, and occurrence of droughts  
345 within one generation). One hundred and fifty-six taxa met the two drought exposure  
346 criteria, and hence were kept for the calculation of a drought exposure score ( $D_{ES}$ )  
347 based on the exposure to drought events ( $E$ ) and polygons of each drought frequency  
348 ( $f$ ) using the formula:

349

$$(E_a \times f_a + E_b \times f_b + \dots E_n \times f_n) \times T_{gl}$$

350

351 where  $E_a, E_b, \dots, E_n$  is the exposure of a primate taxon, expressed as percentage  
352 overlap between drought polygon and the taxon's range, to drought with a certain  
353 frequency  $f_a, f_b, \dots, f_n$  from 1970 to 2017; and  $T_{gl}$  (years) is the generation length of the  
354 assessed primate taxon. In our study, SPI-12  $\leq$  -1.5 was considered as a single  
355 drought intensity scale, and weighted mean was not applied for calculating  $D_{ES}$   
356 (Working examples see Supplementary Appendix 1).

357

### 358 **Quantification of intrinsic susceptibility**

359 Indicators of sensitivity and adaptive capacity

360 Based on ecological and extinction risk theory, we conducted a literature review to  
361 identify biological intrinsic traits that are likely to influence primates' sensitivity and  
362 adaptive capacity to cyclones and droughts, which resulted in 11 traits (Table 1).

363 Extinction risk associated with island endemism was not included, as the effect of  
364 geographic isolation is usually beyond the time scale this study focused on (one  
365 generation length time). Trait data were extracted from the peer-reviewed literature  
366 and general accounts of the natural history and ecology of the taxa available in the  
367 online databases IUCN Red List<sup>23</sup>, Encyclopedia of Life<sup>62</sup>, PanTHERIA<sup>63</sup> and Animal

368 Diversity Web<sup>64</sup>. The traits were evaluated on their mechanisms of affecting intrinsic  
369 susceptibility – heightening sensitivity and/or lowering adaptive capacity (Table 1).  
370 Impacts of extreme climatic events can have a direct effect on the state of individuals,  
371 and/or indirectly by changing the biophysical environment where these individuals  
372 occur<sup>6</sup>. In this regard, cyclone impacts on taxa are expected to derive from high winds  
373 and intense rainfall leading to mortality of individuals and loss of vegetation cover  
374 with higher defoliation of the canopy compared with the understory<sup>65,66,67</sup>. Drought  
375 impacts on primates (e.g., population decline and/or recruitment failure) derive from  
376 high temperatures and lack of precipitation which can trigger fires<sup>68</sup>. These factors  
377 can affect individual survival by influencing vegetation (e.g., used as food or shelter)  
378 and water availability<sup>15,69,70</sup>.

379

380 Scoring intrinsic susceptibility

381 We used a scoring system (from 1 to 6) to quantify the biological traits associated  
382 with intrinsic susceptibility to cyclones and droughts for each primate taxon. Score 3  
383 in the system is a neutral value, above/below which cyclones and droughts are  
384 expected to be harmful/beneficial to the taxon. Because we assumed cyclones or  
385 droughts would generally have negative impacts on the primates, all traits were  
386 assigned scores above 3. For the traits described by categorical variables, we scored  
387 them according to the clear categorical thresholds. For the traits described by  
388 continuous variables, we set scoring thresholds by equally dividing their value range  
389 into 3 sub-ranges (Fig. 3).

390 For 607 taxa, we scored 0-9 traits (mean = 7, median = 6) associated with  
391 susceptibility to cyclone impacts, and 1-9 traits (mean = 7, median = 7) associated  
392 with susceptibility to drought impacts (Supplementary dataset 1). To account for the

393 uncertainty in trait data, we assigned a confidence level (0 “data deficient”, 1  
394 “moderate confidence” or 2 “good confidence”) to each trait. “Data deficient” (0) was  
395 assigned to the traits without data available for the assessed taxon or from closely  
396 related subspecies, and thus these traits would not be used for susceptibility  
397 assessment. “Moderate confidence” (1) was assigned to the traits whose data was not  
398 available for the assessed taxon, but can be estimated from the data of closely related  
399 subspecies, or congeneric species in the case of estimating “generation length”.  
400 “Good confidence” (2) refers to the case that trait data are from reliable sources that  
401 can be retrieved, such as peer-reviewed papers, books, or online databases. These  
402 confidence levels were then used to calculate the reliability of the susceptibility score  
403 for each taxon (Supplementary dataset 1).

404 We obtained susceptibility scores respectively to cyclone and drought impacts for  
405 each primate taxon, which were calculated with the trait scores based on the additive  
406 rule proposed by Graham et al.<sup>71</sup>:

407

$$Susceptibility = (S_a + S_b + \dots S_n) / N$$

408

409 where  $S_a, S_b, \dots S_n$  are scores assigned to trait  $a$  to  $n$ , and  $N$  is the number of traits  
410 used for assessment. The taxa with the lowest 25% of susceptibility scores ( $\leq 4.5$ )  
411 were assumed to be “non-significantly susceptible” to short-term and long-term  
412 impacts of cyclones or droughts whereas those with a score  $> 4.5$  were assessed as  
413 “significantly susceptible”. The reliability of susceptibility score per taxon was  
414 estimated by averaging confidence-level values of all traits, provided that the data was  
415 available for more than half of the traits. The reliability was identified as “Good” if

416 the average of confidence level values was  $> 1.5$ , and was identified as “Moderate” if  
417 not. The susceptibility was classified as “unknown”, when data was available for less  
418 than half of traits. For a working example of these calculations, see the  
419 Supplementary Information Appendix 1. Overall, we assessed susceptibility of 499  
420 and 506 taxa to impacts of cyclones and droughts, respectively. Among them, “good  
421 confidence” was assigned to 281 taxa for the assessment of cyclone susceptibility, and  
422 to 272 taxa for the assessment of drought susceptibility (Supplementary dataset 1).

423

#### 424 **Assessing species vulnerability**

425 Categorizing vulnerability in exposure and susceptibility dimensions

426 The vulnerability of primates to cyclones and droughts was assessed in two  
427 dimensions, namely, exposure and susceptibility. We first classified exposed and  
428 susceptible taxa separately into three levels: “significant”, “high”, and “extreme”  
429 based on their exposure and susceptibility scores, respectively. We did so using three  
430 classification approaches, namely, equal interval, lower-upper quartile and jensks  
431 (Supplementary Appendix 2).

432 The classification approach that generated the least variation to assessment results  
433 (Supplementary Table S4) was chosen to assign species into different categories in  
434 two vulnerability dimensions. Thus, the equal interval was chosen to assess primates’  
435 vulnerability to cyclone impacts whereas the lower-upper quartile was chosen to  
436 assess vulnerability to drought impacts. In this way, the total 607 taxa were classified  
437 into “non-significant”, “moderate”, “high”, “extreme” and “unknown” in exposure  
438 and susceptibility dimensions (Supplementary dataset 2).

439

440 Relative vulnerability index

441 We developed a matrix to combine both the exposure and susceptibility dimensions  
442 based on a published framework for assessing climate-related decline in recent  
443 historical range<sup>72</sup> (Supplementary Table S3). Based on this matrix, vulnerability of a  
444 taxon is assessed as “extremely high” when it was categorized as “extreme” in both  
445 susceptibility and exposure dimensions. For taxa categorized as “high” and/or  
446 “extreme” in both dimensions vulnerability was assessed as “high”. Taxa falling in  
447 the vulnerability categories “moderate”, “high”, and “extremely high” were defined as  
448 “vulnerable” while those determined “very low” and “low” were grouped together as  
449 “non-vulnerable”. Finally, if a primate taxon was categorized as “non-  
450 assessed/unknown” in either dimension, its vulnerability assessment was categorized  
451 as “unknown”.

452

453 Impacts of the stressors other than extreme climatic events

454 Conservation status represented by the IUCN Red List categories<sup>23</sup> of primate taxa  
455 (using the 2001 IUCN Red List Categories and Criteria version 3.1) was adopted as a  
456 proxy for incorporating the impacts of extrinsic stressors other than extreme climatic  
457 events into CCVA. The category of a species was applied to its subspecies if the  
458 IUCN Red List categories were not available for subspecies. In this regard, we  
459 referred taxa grouped in the categories “Critically Endangered”, “Endangered”, and  
460 “Vulnerable” as “Threatened”, whereas those classed as “Near Threatened, and Least  
461 Concern” are referred to as “Non-Threatened”. Seventeen “Data Deficient” taxa with  
462 range polygons coded as “extant” were also included in the assessment. We  
463 highlighted the taxa that were “Threatened” in the IUCN Red List and “Vulnerable”  
464 to cyclones and droughts, since the threats indicated by their conservation status and

465 extreme climatic events could have synergistic impacts on these taxa, and thus make  
466 them at higher risk compared with “Non-threatened” taxa.

467

468 **References of Methods**

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629

630

### 631 **Author contributions**

632 L.Z., and E.I.A., conceived and designed the study; G.M.M., G.C., N.P., and W.F.,  
633 contributed in the design of the vulnerability framework proposed by L.Z. and E.I.A.  
634 L.Z., E.I.A., and G.C., reviewed and collected data. L.Z. analysed data and all authors  
635 contributed greatly to the discussion of results; L.Z. wrote the initial draft of this  
636 manuscript and all authors contributed on improvements of the manuscript, and  
637 agreed the final version to be published.

638

### 639 **Competing interests**

640 The authors declare that they have no competing interests and that no institutional  
641 review board or institutional animal and welfare committee approval was needed for  
642 this study.

643

### 644 **Data availability**

645 The authors declare that the data supporting the findings of this study are either  
646 available through the references provided within the article or the supplemental  
647 materials. Additional data related to this paper may be requested from the  
648 corresponding author.

649

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797

#### 798 **Table Legends**

799 Table 1. Traits used for assessing inherent susceptibility (high sensitivity and low adaptive  
800 capacity) of primate taxa to impacts of cyclones (C), droughts (D) or both (C, D).

801

#### 802 **Figure Legends**

803 Fig. 1 Distribution of vulnerable primate taxa and number of taxa in each vulnerability  
804 category. Distribution of cyclone-vulnerable taxa (a): areas with high richness of cyclone-  
805 susceptible taxa only are shown in cyan, and those with cyclone-exposed taxa only are shown  
806 in pink; areas with high richness of cyclone-vulnerable taxa (the taxa susceptible and exposed  
807 to cyclones), are shown in purple. Distribution of drought-vulnerable taxa (b): areas with high  
808 richness of drought-susceptible taxa only are shown in orange, those with drought-exposed  
809 taxa only are shown in purple; areas with high richness of drought-vulnerable taxa (the taxa  
810 susceptible and exposed to droughts), are shown in maroon. Number of taxa in categories of  
811 cyclone vulnerability (c) and drought vulnerability (d) in each region. Mainland Africa  
812 includes small associated islands.

813

814 Fig. 2 Distribution and number of primate taxa that are threatened and vulnerable to cyclones  
815 and droughts. The distribution of taxa classified as “Threatened” by the IUCN Red List, taxa  
816 that are threatened and cyclone-vulnerable, and taxa that are threatened and drought-  
817 vulnerable are shown in (a). The number of cyclone-vulnerable taxa, and drought-vulnerable  
818 taxa in each vulnerability category (moderate, high, extremely high) are shown in (b). The  
819 IUCN Red List categories (VU, Vulnerable; EN, Endangered; CR, Critically Endangered; NT,  
820 Near Threatened; LC, Least Concern) of primate taxa in each vulnerability category are also  
821 shown.

822

823 Fig. 3 A framework for assessing intrinsic susceptibility of primate taxa under cyclone and  
824 drought impacts. Intrinsic susceptibility is composed of sensitivities and adaptive capacities  
825 associated with biological traits of assessed taxa. For each trait, we assigned a score  
826 indicating its effect (4, least; 6, most) in shaping intrinsic susceptibility to impacts of cyclones  
827 (C), droughts (D) or both (C, D). Susceptibility of primates lacking data for more than half of  
828 traits was considered as “unknown”. When data was available for over half of the traits and  
829 the average of trait scores > 4.5, the primate taxon was considered “significantly susceptible”,  
830 otherwise “non-significantly susceptible”. Reliability of susceptibility score of each taxon was  
831 estimated by averaging confidence-level values of all traits, if data were available for over  
832 half of the traits. Finally, the susceptibility scores of assessed taxa were divided into three  
833 ranges from low to high, and the taxon with a score falling within each range was assessed as  
834 “significant”, “high” or “extreme” susceptible, accordingly. †A sensitivity analysis was  
835 conducted using 3 approaches to classify susceptibility and exposure, and the average number  
836 of taxa classified into each vulnerability category was then calculated. The classification  
837 approach with the least variation from the average was adopted for vulnerability assessment  
838 (Classification approaches are described in Supplementary Appendix 2).

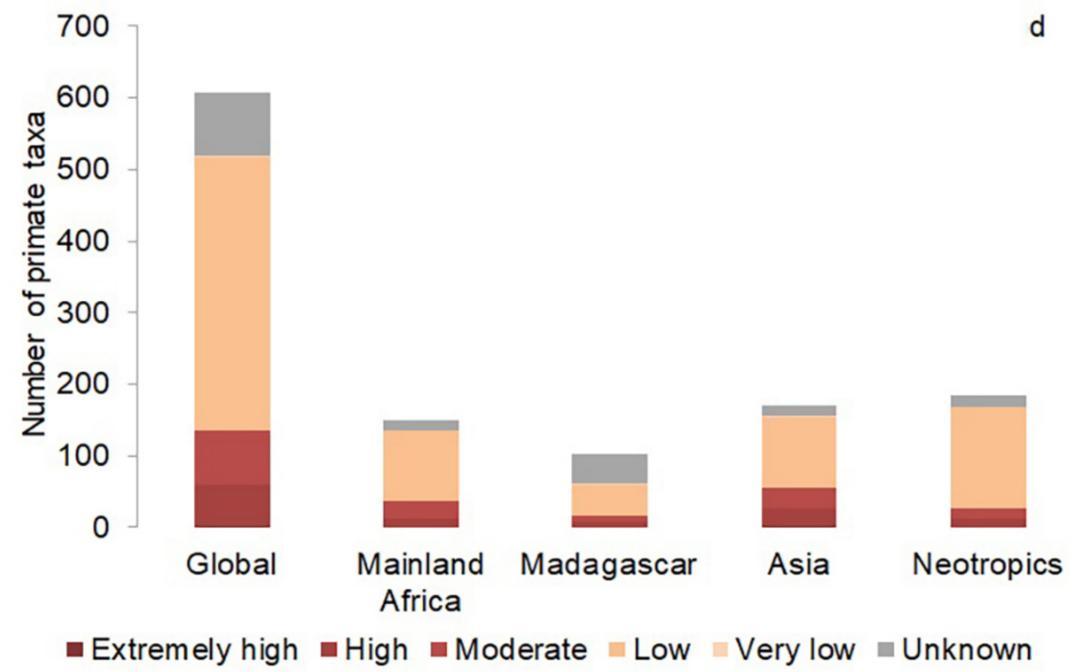
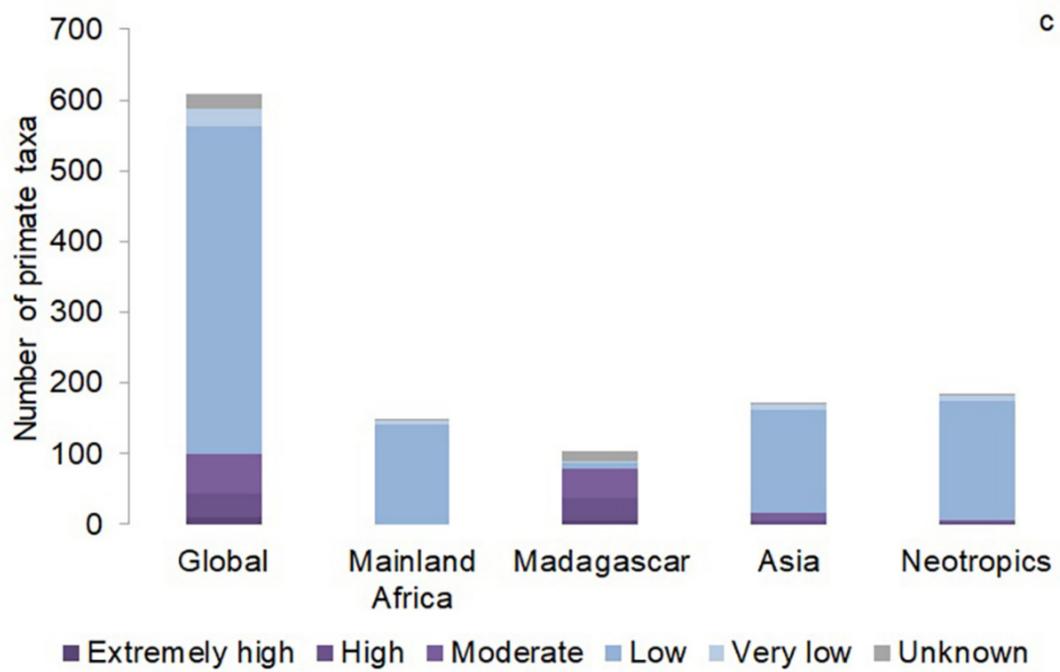
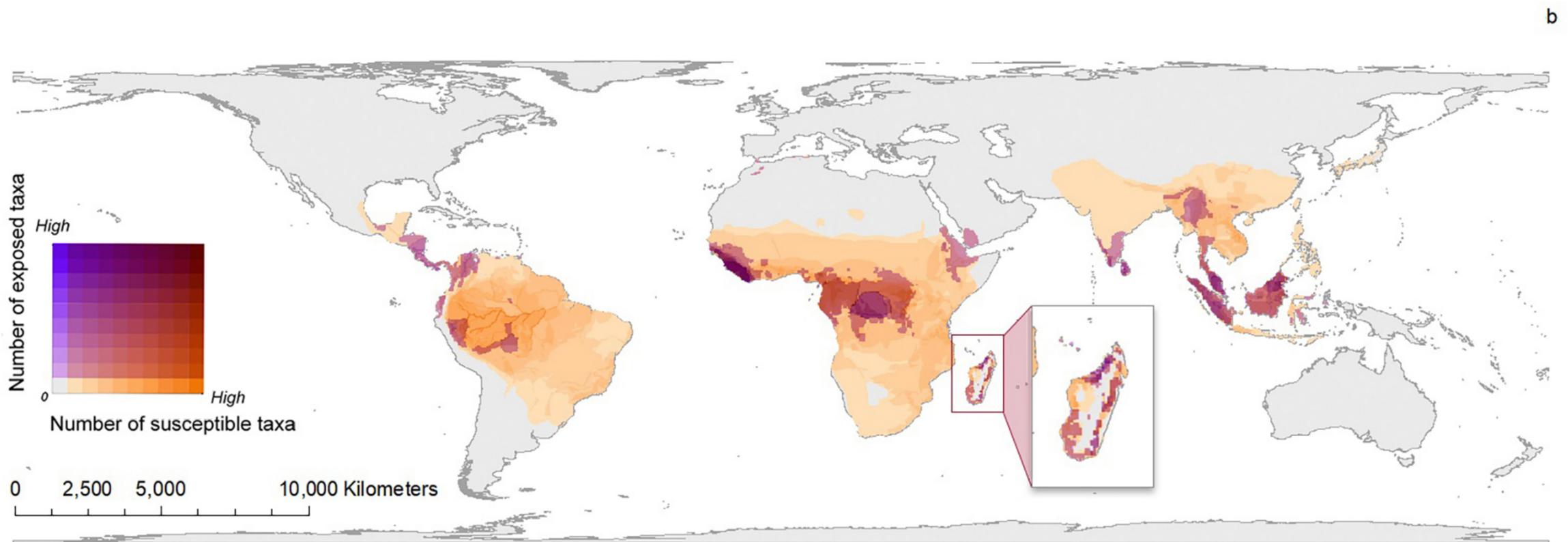
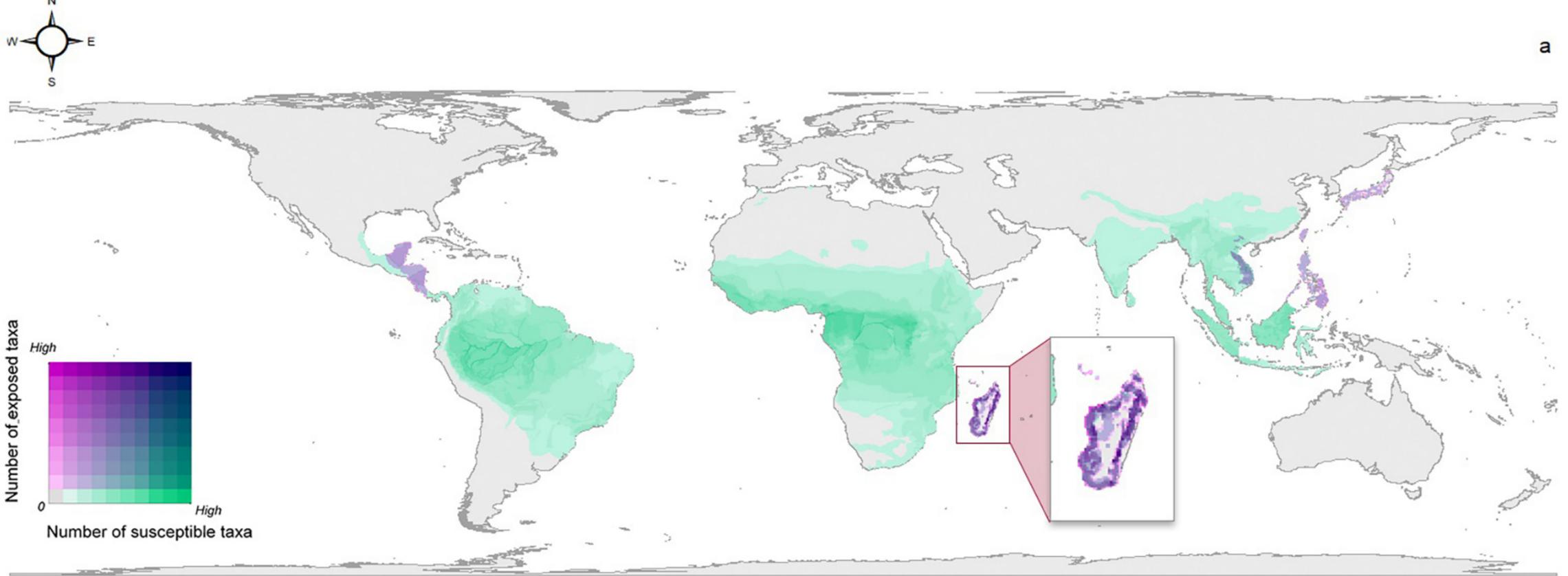
## Tables

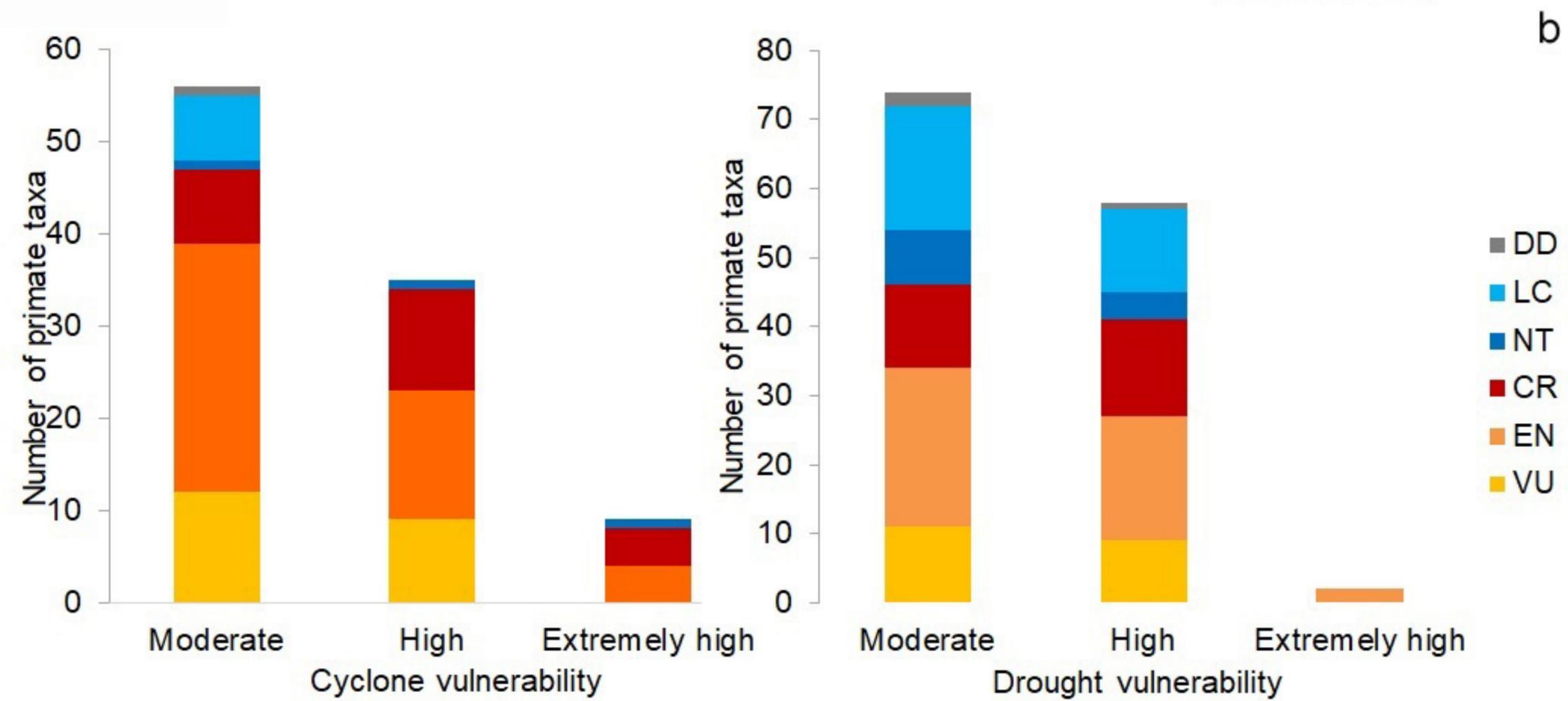
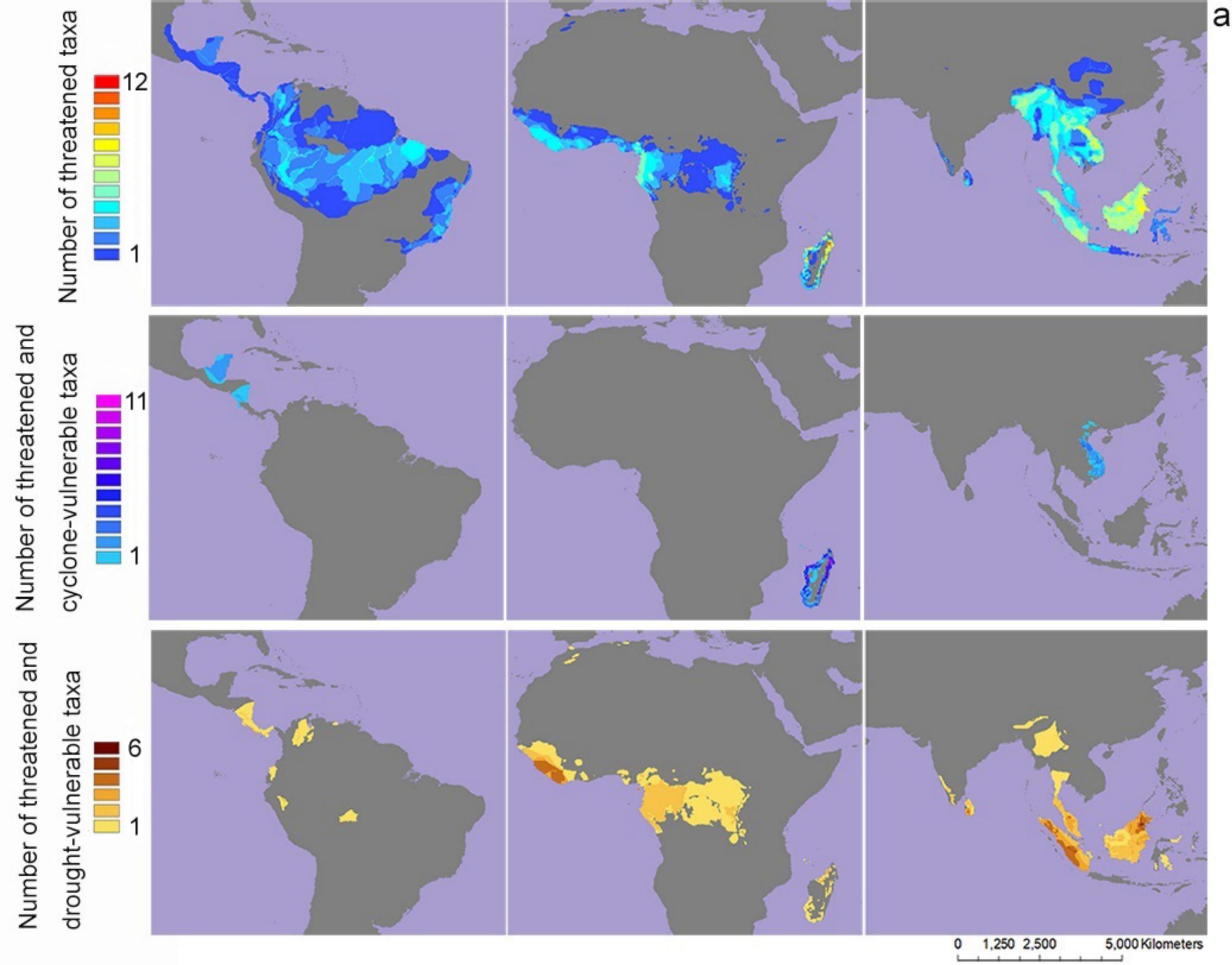
Table 1. Traits used for assessing inherent susceptibility (high sensitivity and low adaptive capacity) of primate taxa to impacts of cyclones (C), droughts (D) or both (C, D).

	Rationale	Reference
<b>Traits to assess high sensitivity</b>		
<u>Body mass</u> (C,D) (62,63,64) Average mass of individual adults (males and females)	Smaller taxa are less physically robust and so more likely to be sensitive to the strong winds and rainfall caused by cyclones; smaller body mass is also associated with relatively lower energy reserves, which increases sensitivity to food scarcity as a result of either cyclone or drought. Weakened individuals may ultimately die as a result of predation, starvation or disease.	(48,73-75)
<u>Day journey length</u> (C,D) (103) Daily distance travelled	During and following cyclones and droughts, survival may initially depend on the ability of individuals to range widely and find surviving pockets of resources. Taxa that make shorter day journeys are therefore more likely to experience nutritional stress.	(87,88)
<u>Diurnality</u> (D) (63, 64) Behaviour characterized by activity during daytime, with a period of inactivity at night	Diurnal taxa are more exposed to the extreme daytime temperatures associated with droughts, and therefore more vulnerable to hyperthermia.	(76-78)
<u>Home range size</u> (C) (63, 64) Size of the area within which daily activities of individuals are restricted	Due to local topography, there are areas comparatively less affected by cyclone disturbances. Taxa are less likely to find these areas within smaller home ranges.	(79,80)
<u>Litters per year</u> (C,D) (63, 64) Number of litters per female per year	Within the one generation assessment period, animals that produce a smaller number of litters per generation may be less able to recover quickly from a reduction in population size following droughts or cyclones. This in turn can make the population more vulnerable to extinction from other stochastic events.	(81,82)
<u>Mean group size</u> (C,D)* (63, 64) Number of individuals in group	Taxa that live in larger groups (due to higher predation pressure or intergroup competition) may be more vulnerable to food scarcity associated with cyclones and droughts because they require more food resources to maintain their larger groups.	(73, 96-98)
<u>Primary diet</u> (C,D) (62, 63, 103) Folivore, frugivore, insectivore, omnivore	During and following cyclones and droughts, fruit abundance decreases sharply while exploitable foliage may still exist. Likewise, plants are likely to regenerate foliage before bearing fruits during the recovery phase. Frugivorous, as opposed to folivores, are therefore more likely to experience nutritional stress. Insectivores may also find it difficult to supplement their diet, as invertebrates are negatively affected by these disturbances.	(83-86)
<b>Traits to assess low adaptive capacity</b>		
<u>Diet breadth</u> (C,D) (23, 62, 63) Number of different dietary categories** consumed	Taxa with selective, narrow diets are less able to diversify their foraging habits and therefore more likely to be affected by the loss of key resources during periods of food scarcity associated with cyclones and droughts.	(89-92)
<u>Habitat breadth</u> (C,D) (23,64,104) Number of different habitat types used	Taxa that specialize in certain habitats may require particular conditions of some microhabitat; once these have been disrupted or lost due to cyclones or droughts, such taxa may be difficult to adapt to disturbed or alternative habitats.	(93-95)
<u>Terrestriality</u> (C) (63) Use of habitat strata	Following a cyclone, semi-terrestrial and terrestrial taxa that have the flexibility to exploit both arboreal and terrestrial niches will be better able to adapt to the disturbed habitat than strictly arboreal species due to the disruption of the tree canopy.	(65, 99-100)
<u>Dispersal velocity</u> (D) (105) Speed at which a species is able to move beyond its home range, as a function of dispersal distances and dispersal frequencies in a year	Certain areas may become unsuitable due to drought for extended periods of time. Taxa that have the ability to move out of areas within its wider geographic range are most likely to avoid these areas affected by droughts.	(101,102)

\*Some primates (e.g. spider monkeys) are able to cope with negative post-hurricane consequences by reducing their group size<sup>106</sup>, and this behavioral flexibility increases their adaptive capacity to cyclone-related disturbance. Such data is lacking for most other primates, and thus we did not consider the degree of fission–fusion dynamics when scoring the mean group size.

\*\*Dietary categories were defined as vertebrate, invertebrate, fruit, flowers/nectar/pollen, leaves/branches/bark, seeds, grass and roots/tubers<sup>63</sup>.





Traits used to assess high sensitivity

Traits used to assess low adaptive capacity

