

# **Opportunistic crimes: Evaluation of DNA from regularly-used knives after a brief use by a different person**

Emma V. Butcher<sup>a,b</sup>, Roland A. H. van Oorschot<sup>c</sup>, Ruth M. Morgan<sup>a,d</sup>, Georgina E.  
Meakin<sup>a,d\*</sup>

<sup>a</sup> UCL Centre for the Forensic Sciences, 35 Tavistock Square, London, WC1H 9EZ, UK

<sup>b</sup> UCL Division of Biosciences, Medical Sciences Building, Gower Street, London, WC1E 6BT, UK

<sup>c</sup> Office of the Chief Forensic Scientist, Victoria Police Forensic Services Department, 31

Forensic Drive, Macleod 3085, Australia

<sup>d</sup> UCL Department of Security and Crime Science, 35 Tavistock Square, London, WC1H 9EZ, UK

\*Corresponding author: [g.meakin@ucl.ac.uk](mailto:g.meakin@ucl.ac.uk)

## **Key words**

Forensic DNA analysis; trace DNA; DNA transfer; DNA persistence; regular use; multiple users; DNA-TPPR

## **Abstract**

When evaluating trace DNA recovered from evidential items in forensic casework, it is crucial to consider how the DNA got there, and such evaluative interpretations should ideally be informed by published experimental data. A key activity-level question is whether the DNA obtained comes from the regular user, the last user (ostensibly the user at the time of the crime) or from indirect transfer events. The aim of this experiment was to provide data to contribute to answering this question, particularly when considering opportunistic crimes, in which an offender might grab the nearest item at hand required for their purpose, e.g. a weapon or tool, and therefore only handle it very briefly. Volunteers ('regular users') used knives in a prescribed manner to simulate regular use (one user per knife); DNA recovery by mini-tapes from these knives gave ~1-10 ng DNA, with <16% non-donor DNA from indirect transfer events. Different volunteers ('second users') then stabbed replicate sets of regularly-used knives into a foam block for either 2, 30 or 60 sec (on different occasions), with each timeframe in triplicate, and DNA was recovered from the knife handles using mini-tapes. For knives regularly-used by three of the four volunteers, the ratios of regular user to second user DNA were approximately 4:1, 2:1 and 1:1 for durations of use by the second user of 2, 30 and 60 sec, respectively. Analysis of the respective quantities of DNA showed that this trend resulted from a

35 decrease in regular user DNA via transfer to the second user's hands, rather than an  
36 increase in DNA deposition from the second user. However, for knives regularly-used by  
37 the fourth volunteer, DNA from the regular user remained at significantly higher quantities  
38 than DNA from the second user and unknown sources, irrespective of duration of use by  
39 the second user. Furthermore, one volunteer deposited a similar amount of DNA through  
40 regular use as the amount of indirectly-transferred unknown DNA deposited by another  
41 volunteer's hands. These observations indicate that caution should be taken when  
42 relying solely on absolute quantities of DNA to inform evaluative interpretations, and other  
43 parameters, such as profile quality and relative contributions to mixed profiles, should  
44 also be taken into account. To better assist activity level assessments, more extensive  
45 studies of this manner should be conducted to obtain probability distributions of different  
46 types of profiles resulting from this kind of activity.

47

## 48 **1. Introduction**

49 When evaluating trace DNA recovered from evidential items in forensic casework, it is  
50 now widely accepted that considerations at the activity level, that is how the DNA got  
51 there, are crucial. It is recommended that such activity level evaluations be informed by  
52 empirical data with that data coming from published (peer-reviewed) structured  
53 experiments where possible [1-4]. A key activity-level question when examining items in  
54 forensic casework for so-called "touch DNA" (i.e. DNA assumed to have been deposited  
55 during an action of touching), or trace DNA, is whether the DNA obtained comes from the  
56 regular user, the last user (ostensibly the user at the time of the crime) or from indirect  
57 transfer events. The aim of the experiment presented here is to produce data to  
58 contribute to answering this question.

59

60 There are a number of published studies that have started to address the general issue  
61 of interpreting DNA findings when there are multiple users of an item [5-16]. These  
62 studies fall into three categories: the touching or handling of clean surfaces by multiple  
63 individuals [5, 7, 8, 15], the regular use of fabric items (e.g. clothing, bedding etc.) that  
64 are then used by a different individual [6, 9-13], and the regular use of items made of  
65 hard non-porous substrates (e.g. pens, keyboards, screwdrivers etc.) that are then used  
66 by a different individual [9, 12, 14, 16]. It is this latter category that we are focusing on

67 here, as we consider the specific issue regarding the use of hand-held items (e.g. those  
68 that are comparable to items used as weapons or burglary tools) that have been regularly  
69 used by one individual and then used by a different 'one-off' user. To our knowledge,  
70 there are only three published studies that address this specific issue [9, 12, 16], which  
71 emphasises the need for research into this topic. Research is required to investigate the  
72 factors (e.g. shedder status and manner, frequency and duration of handling by the  
73 regular or second user) that may impact the DNA results obtained to understand how  
74 these factors can be accommodated in casework evaluation, where the specific details  
75 of the incident are likely to be unknown. Research is also required to provide the data to  
76 generate probability distributions of different types of profiles resulting from this kind of  
77 activity, and, with so few studies available addressing this specific topic, more extensive  
78 studies are needed before consistent results characterised by the majority of studies can  
79 be elucidated.

80  
81 Pens have been used as hard, non-porous, plastic surfaces to represent surfaces  
82 encountered as tools or weapons in casework [9, 12]. In one study, the 'regular user'  
83 vigorously rubbed plastic pens for 30-60 sec on four consecutive days to give a total of  
84 3.5 min of use, and then second users wrote with the pens for various durations [12].  
85 Approximately equal proportions of DNA from the regular and second users were  
86 observed when the second users wrote with the pens for 1-30 min, with a greater  
87 contribution of DNA from the second users being observed when duration of use  
88 increased to more than 30 min [12]. In another study, pens were handled by the regular  
89 user for a minimum of 20 min per day for 10 consecutive days to give an average total of  
90 240 min of use, and then the second users handled the pens for 5, 30 or 120 min [9].  
91 Similar findings were observed in which approximately equal proportions of regular and  
92 second user DNA were recorded at 30 min of use by the second user, with the second  
93 user DNA tending to a major profile at 120 min of use [9]. Whilst this study reported that  
94 DNA from the regular user tended to be the major profile at 5 min of use by the second  
95 user [9], which differed from the findings by van Oorschot *et al.* [12], this is presumably  
96 due to the difference in total duration of initial use by the regular user (i.e. 240 min versus  
97 3.5 min).

98

99 However, in an opportunistic crime, an offender might grab the nearest item at hand, e.g.  
100 a weapon or tool, required for their purpose in that moment, and therefore handle it for a  
101 shorter timeframe of seconds rather than minutes. Pfeifer & Wiegand [16] start to address  
102 this by considering the effect of a second user handling burglary tools (screwdrivers,  
103 hammers and crowbars) for 30 sec after initial use by the 'owner'. They also investigated  
104 the impact of different types of handling by the second user, by considering how a burglar  
105 might intensely handle the tool to break into a property versus how the tool would normally  
106 be used. They found that DNA tended to be recovered from the second user rather than  
107 the owner when the tool was intensely used by the second user, although the owner only  
108 used the tools for 30 sec prior to use by the second user [16]. Here, we created 'regularly-  
109 used' knives in the same manner as Meakin *et al.* [17], such that the regular user handled  
110 knives for a total of 4 min across two days prior to use by a second individual, which is  
111 comparable to the study with multiple users by van Oorschot *et al.* [12]. The knives were  
112 then stabbed for 2, 30 or 60 sec by a different individual (second user) to assess the  
113 impact of shorter timeframes of second use on the DNA results obtained. In addition, we  
114 assessed the DNA data to consider whether changes in respective proportions of DNA  
115 from the regular and second users, with increased duration of use by the second user,  
116 are due to an increase in second user DNA deposition or a reduction in the persistence  
117 of regular user DNA.

118  
119

## 120 **2. Materials and Methods**

### 121 *2.1 Materials and volunteers*

122 Plastic-handled steak knives and stabbing apparatus (consisting of a plastic box  
123 containing a foam block covered in foil) were prepared and cleaned of DNA as described  
124 by Meakin *et al.* [17]. Four participants, denoted A, B, C and D, were selected from those  
125 who volunteered on the basis of availability to attend the laboratory at the times required.  
126 Each volunteer gave informed consent to participate, and provided a buccal swab from  
127 which a reference DNA profile was generated.

128

129 *2.2 Experimental set-up*

130 Before participating in the study, the four volunteers were instructed not to have contact  
131 with each other and to avoid touching any shared items for the duration of the study. The  
132 participants were also directed not to use anti-bacterial gels and not to wash their hands  
133 in the hour immediately prior to laboratory visits.

134  
135 Simulated 'regularly-used' knives were set up as described by Meakin *et al.* [17], such  
136 that each knife was handled by a single volunteer (referred to as the 'regular user') for a  
137 total of 4 min across two days. For each volunteer, three regularly-used knives were  
138 mini-taped to provide DNA samples as positive controls and three regularly-used knives  
139 were prepared each week for three consecutive weeks to give a total of 36 knives used  
140 in the following handling experiments.

141  
142 Each participant attended the laboratory individually for the three consecutive days after  
143 the preparation of regularly-used knives to give ~24 h between each visit. During  
144 attendance, the participant (referred to as the 'second user') selected a knife that had  
145 been regularly used by a different volunteer and then stabbed it into the stabbing  
146 apparatus at a rate of 1 stab per 2 s [17]. During each week, participants stabbed for 2,  
147 30 or 60 s, with a different knife used each day to give triplicate results for each duration  
148 of stabbing. DNA was recovered from each knife handle using a mini-tape within an hour  
149 of each stabbing event. The volunteers were paired at their convenience, such that when  
150 volunteers A, B, C and D were the regular users of the knives, volunteers B, A, D and C  
151 were the second users, respectively. The pairings remained the same throughout this  
152 study.

153

154 *2.3 Processing of DNA samples*

155 Extraction, quantification and profiling of DNA from the mini-tapes, and extraction and  
156 profiling of DNA from the buccal swabs, were performed as described by Meakin *et al.*  
157 [17]. In brief, the QIAamp® DNA Investigator Kit (QIAGEN, Germany), the Quantifiler®  
158 Human DNA Quantification Kit (Applied Biosystems, USA), and the AmpF/STR® NGM  
159 SElect™ PCR Amplification Kit (Applied Biosystems, USA) using 10 µl DNA extracts  
160 were used for the mini-tape samples. DNA extracts from each individual knife handle

161 were quantified in duplicate to enhance accuracy; averages of these duplicate  
162 quantifications are used in Fig. 1a and 3. The buccal swabs were processed using the  
163 SwabSolution™ Kit (Promega, USA) with 2 µl extracts profiled using NGM SElect™. The  
164 30 cycle protocol was used for all samples and PCR products were then separated using  
165 the DNA Analyzer 3730x1 (Applied Biosystems, USA). DNA profiles were generated  
166 using GeneMapper® 4.0 software with a 100 rfu peak height threshold, as per the  
167 laboratory's internal validation study.

168

#### 169 *2.4 Data analyses*

170 Relative contributions of DNA from the regular user, second user and any non-donor  
171 sources (referred to as 'unknown' DNA) to the profiles obtained from the knife handles  
172 were determined by comparison to the reference DNA profiles of the regular and second  
173 users. These calculations used the relative peak height contributions from the unique  
174 alleles that could be attributed to each of the respective reference profiles at each locus,  
175 and averaged across the STR loci and across the three replicates per sample [17]. To  
176 determine the amount of DNA deposited by each user, the relative contributions were  
177 multiplied by the total amount of DNA recovered for each sample. Where the minimum  
178 numbers of contributors are stated, these were determined with consideration of both  
179 number of alleles and respective peak heights. SPSS® Version 22 (IBM) was used to  
180 examine any trends in or differences between datasets. Datasets per individual volunteer  
181 were normally distributed according to the Shapiro-Wilk test ( $p > 0.05$ ), enabling  
182 parametric statistics to be used for comparisons between volunteers and for investigating  
183 correlations with duration of stabbing. When data from different volunteers were  
184 combined (for example, to compare amounts of DNA detected from the regular user  
185 versus from unknown sources), these datasets were not normally distributed ( $p < 0.05$ ),  
186 such that the Mann-Whitney U test was used.

187

### 188 **3. Results**

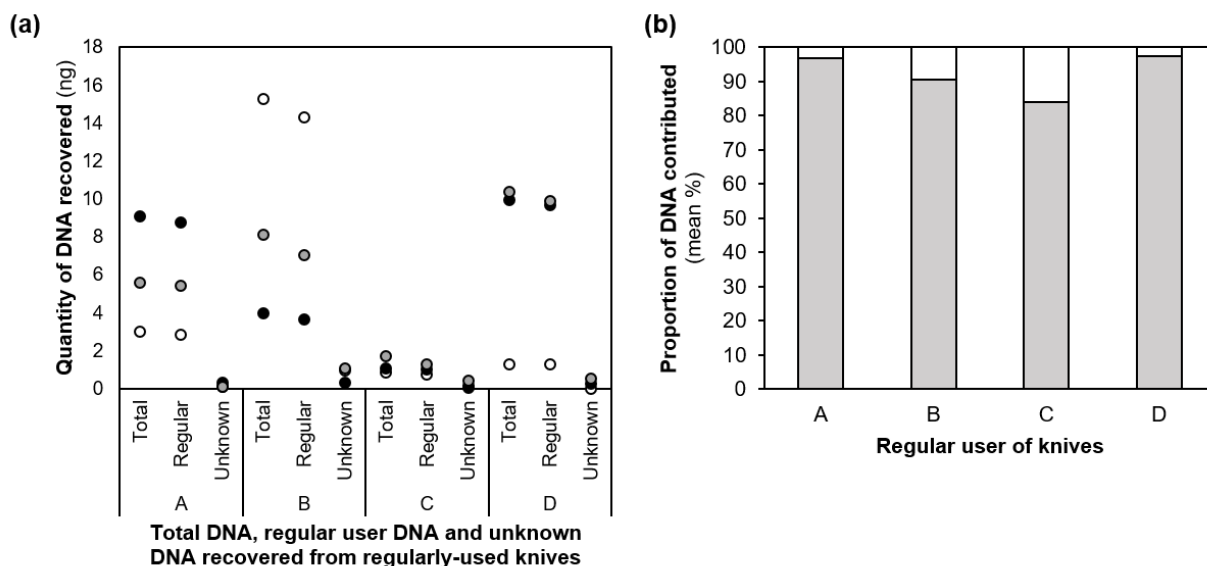
#### 189 *3.1 DNA recovered from knife handles after simulated regular use*

190 Prior to the handling experiments, knives that had been handled in a manner to simulate  
191 regular use were examined for DNA. The mean quantities of total DNA retrieved from

192 these knives used only by volunteers A, B, C and D were 5.9, 9.1, 1.2 and 7.2 ng,  
193 respectively; the quantities recovered for each of the three replicate knife handles are  
194 shown in Fig. 1a. These total DNA quantities varied both across replicates for the same  
195 volunteer and among samples obtained from different volunteers (Fig. 1a; ANOVA  
196  $F = 4.712$ ,  $p < 0.05$ ). Pairwise tests using the Student's  $t$ -test revealed DNA samples  
197 from knives handled by volunteers A, B and D were not significantly different, as also  
198 indicated by the range of quantities shown in Fig. 1a. However, samples recovered from  
199 knives handled by volunteer C contained significantly less DNA than those from the other  
200 volunteers ( $p < 0.05$  for the three comparisons; Fig. 1a).

201  
202 To calculate the quantities of DNA deposited through direct handling of the knives versus  
203 via indirect transfer events, the proportions of the DNA profiles obtained that could be  
204 attributed to the regular user versus unknown sources of DNA were first determined.  
205 These showed that, of the DNA profiles from the knives handled by volunteers A, B and  
206 D, 91-97% came from the regular user with 3-9% coming from unknown sources  
207 (Fig. 1b). The minimum number of contributors to the unknown component of these  
208 profiles ranged from 1 to 2 with a mean of 1.0. A higher proportion of unknown DNA at  
209 16% was observed for knives handled by volunteer C (Fig. 1b), which was attributed to  
210 DNA from their romantic partner, as previously observed when volunteer C participated  
211 in a previous study (as volunteer X in [17]). When the total DNA quantities recovered  
212 were multiplied by these proportions, the mean quantities of DNA attributed to the regular  
213 user were 5.7, 8.3, 1.0 and 7.0 ng, and those attributed to indirectly-transferred DNA were  
214 0.19, 0.86, 0.20 and 0.19 ng, for the knives handled by volunteers A, B, C and D,  
215 respectively. The range of quantities are shown as individual data points for each  
216 replicate knife handle in Fig. 1a. Comparison of the DNA quantities attributed to the  
217 regular user with those from unknown sources, across all four volunteers' samples  
218 combined, showed that significantly more DNA was deposited from the regular user than  
219 from unknown sources (Mann Whitney  $U = 12.0$ ,  $p < 0.001$ ). For the DNA attributed to  
220 the regular user, full profiles were observed for volunteers A, B and D, but some allele  
221 drop-out was observed for volunteer C to give partial profiles of 83-93%.

222



223

224

225

**Fig. 1.** Quantities of DNA (a) and respective proportions of DNA (b) contributing to the DNA mixtures recovered from the handles of simulated regularly-used knives. In (a), quantities of DNA are from the three replicate knives (white, grey and black dots) corresponding to the total DNA recovered, the DNA attributed to the regular user, and the DNA attributed to unknown sources. In (b), proportions of DNA are means of three replicate knives contributed from the regular user (light grey bars) and other unknown sources (white bars).

231

232

233

### 3.2 Respective contributions from both users to mixed DNA profiles obtained

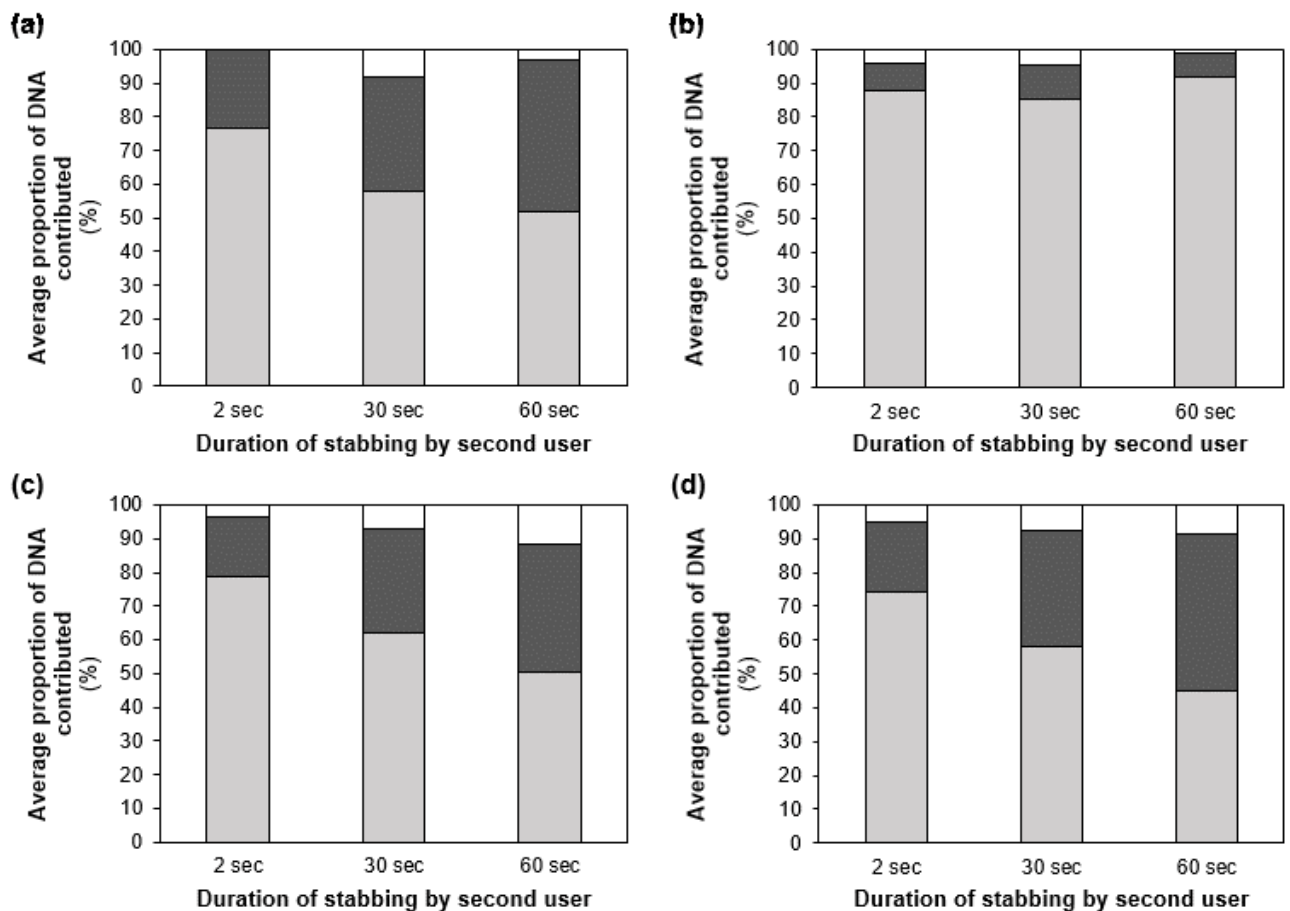
234

Each 'regularly-used' knife was then stabbed into the stabbing apparatus for a set period of time by a different user, and DNA recovered from the knife handles. For the knives that had been regularly used by volunteers A, C and D, when the second user stabbed the knife for 2 sec, the proportion of regular user to second user DNA was approximately 4:1 (Fig. 2a, c & d). This changed to approximately 2:1 when the second user stabbed for 30 sec, and to approximately 1:1 when the second user stabbed the knife for 60 sec (Fig. 2a, c & d). These observations showed a significant correlation for the decrease in proportion of regular user DNA with increasing duration of stabbing by the second user (Pearson  $r = -0.56, -0.49, -0.49$  for knives initially handled by volunteers A, C and D, respectively;  $p < 0.001$ ). A corresponding significant correlation for the increase in proportion of second user DNA with increasing stabbing duration was also observed (Pearson  $r = -0.52, -0.38, -0.45$  for knives initially handled by volunteers A, C and D, respectively;  $p < 0.001$ ). However, these correlations were not observed for knives

246



247 regularly handled by volunteer B and subsequently stabbed into the apparatus by  
 248 volunteer A. For these knives, the proportion of regular user DNA remained high at 85-  
 249 92%, irrespective of the duration of stabbing by the second user (Fig. 2b).



250  
 251 **Fig. 2.** Proportions of DNA contributed from the regular user (light grey bars), second user (dark grey bars)  
 252 and other unknown sources (white bars) to the mixed DNA profiles recovered from knife handles that had  
 253 been regularly-used by volunteers A (a), B (b), C (c) and D (d) and then stabbed in the foam block by a  
 254 second user for 2, 30 or 60 sec.

255  
 256 **3.3 DNA quantities deposited from the users and unknown sources**

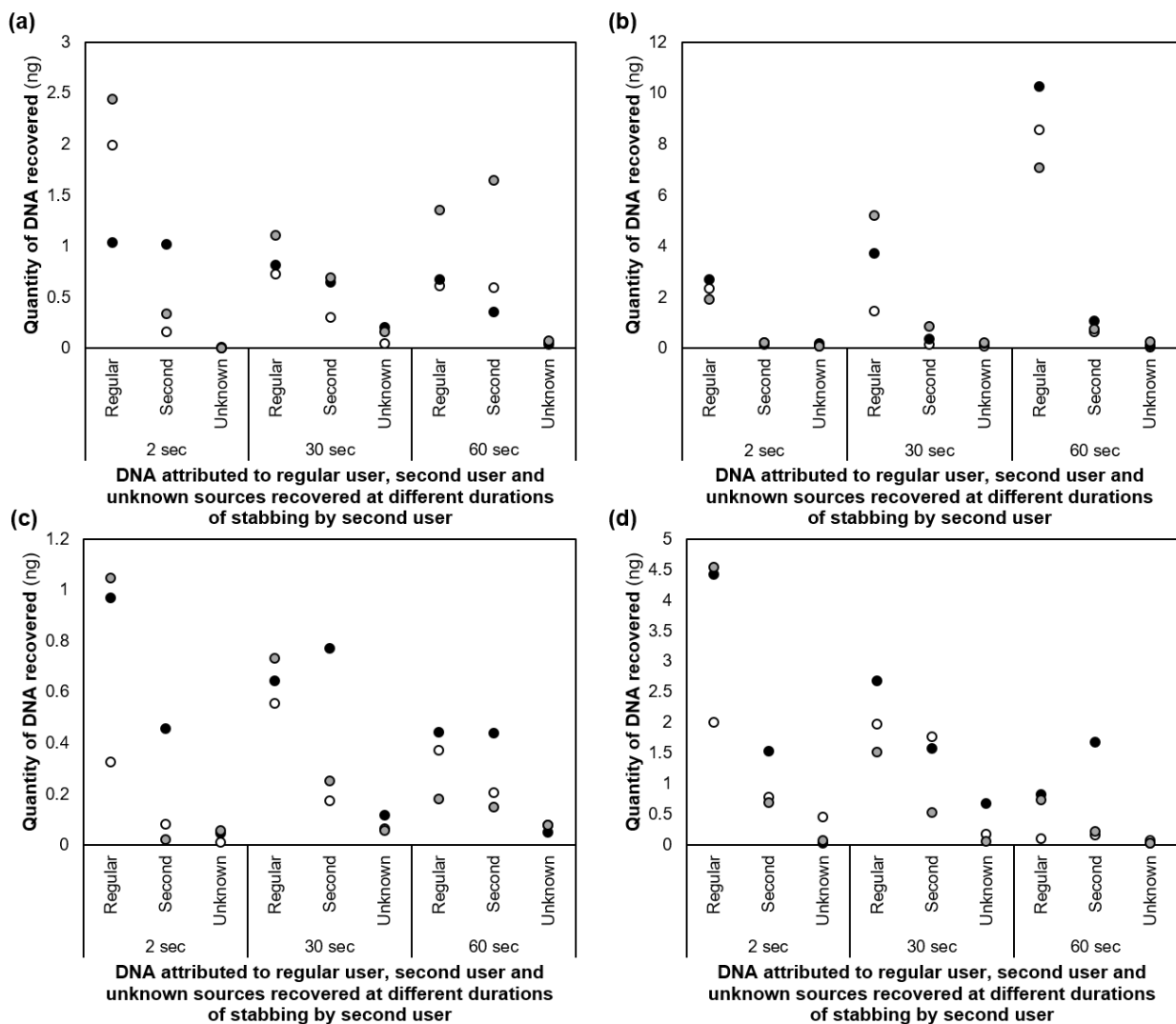
257 To determine the quantities of DNA deposited by the two users and to interrogate the  
 258 observed trends further, the respective proportions of DNA in Fig. 2 were multiplied by  
 259 the total quantities of DNA recovered for each knife handle. The quantities of DNA  
 260 attributed to the regular user, second user, and unknown non-donor sources are  
 261 presented individually for each replicate knife handle in Fig. 3. For the knives initially

262 handled by volunteers A, C and D, although the quantities of DNA recovered from the  
263 regular user are quite varied, they appear to decrease with increasing duration of  
264 stabbing by the second user (Fig. 3a, c & d). However, although also varied, the  
265 quantities of DNA from the second user appear to stay at similar levels, irrespective of  
266 the duration of stabbing (Fig. 3a, c & d). A Pearson's correlation was used to examine  
267 whether the quantities of DNA were significantly related to the duration of stabbing. The  
268 observed decrease in quantity of regular user DNA was significantly correlated with  
269 increasing duration of stabbing by the second user for knives initially handled by  
270 volunteers A ( $r = -0.55$ ,  $p = 0.01$ ), C ( $r = -0.61$ ,  $p < 0.01$ ) and D ( $r = -0.80$ ,  $p < 0.001$ ). No  
271 significant correlations were observed between duration of stabbing and quantities of  
272 DNA from the second user ( $p > 0.3$  for all three volunteers' knives). Fig. 3b shows that  
273 no such decrease in regular user DNA was observed for volunteer B's knives;  
274 alternatively, the regular user DNA rather unexpectedly increased, particularly on the  
275 knives that were handled by the second user for 60 sec.

276

277 To consider further the amounts of DNA deposited by the two users and those coming  
278 from unknown non-donor sources, the results from the sets of knives initially handled by  
279 volunteers A, C and D were combined and examined using the Mann-Whitney U test.  
280 For these three volunteers, significantly more DNA was recovered from the regular user  
281 than the second user, when duration of stabbing by the second user lasted 2 sec  
282 ( $U = 42.0$ ,  $p < 0.001$ ) and 30 sec ( $U = 100.5$ ,  $p = 0.05$ ). However, when the stabbing  
283 lasted 60 sec, there was no significant difference between the quantities of DNA  
284 recovered from the two users ( $U = 132.0$ ,  $p = 0.34$ ), as per the observation of  
285 approximately 1:1 ratios in proportions of DNA from the two users (Fig. 2a, c and d). Also  
286 for these knives, the quantities of unknown DNA recovered from the knife handles were  
287 significantly lower than the DNA quantities attributed to either user ( $p < 0.001$ ). For the  
288 knives regularly used by volunteer B, DNA from the regular user was recovered at  
289 significantly greater quantities than those from the second user and those from unknown  
290 sources for all durations of stabbing ( $p < 0.01$ ; Fig. 2b & 3b). However, although the  
291 quantities of DNA from the second user were significantly higher than those from  
292 unknown sources for 2 and 60 sec stabbing durations ( $t = 2.9$ ,  $p = 0.015$  for 2 sec;  $t = 7.6$ ,  
293  $p < 0.001$  for 60 sec), there was no significant difference in the quantities of DNA

294 recovered from the second user and unknown sources for 30 sec duration of stabbing  
 295 ( $t = 2.1$ ,  $p = 0.088$ ). For all the knives handled by two users, the minimum number of  
 296 contributors to the unknown component of the DNA profiles obtained ranged from 1 to 2  
 297 with a mean of 1.3. This is essentially the same as the minimum number of contributors  
 298 to the unknown component of the DNA profiles obtained from the knives handled only by  
 299 the regular user (Section 3.1). This was surprising, as a higher number of contributors  
 300 might be expected in the unknown component of the second set of knives given that they  
 301 were handled by two individuals, each presumably contributing DNA from separate  
 302 unknown origins.



303  
 304 **Fig. 3.** Quantities of DNA attributed to the regular user, second user and other unknown sources recovered  
 305 from knife handles that had been regularly-used by volunteers A (a), B (b), C (c) and D (d) and then stabbed  
 306 in the foam block by a second user for 2, 30 or 60 sec. DNA quantities are presented individually from  
 307 three replicate knives (white, grey and black dots).

## 308 4. Discussion

### 309 4.1 DNA deposition during regular use

310 During simulated regular use of the knives, volunteers deposited quantities of DNA in the  
311 1-10 ng range, consistent with findings by previous studies for comparable non-porous  
312 items that were either regularly used in a simulated manner or actually regularly used [9,  
313 12, 13, 17, 18]. Volunteer C deposited significantly less DNA than the other volunteers,  
314 also as previously observed when this volunteer participated as volunteer X in a prior  
315 study [17]. These observations provide further support for the concept of 'shedder  
316 status', which was first proposed by Lowe *et al* in 2002 [19] and is gaining wider  
317 acceptance in recent years [9, 17, 20-23]. DNA from unknown sources was also  
318 recovered, which had been indirectly-transferred to the knife handles via the hands of the  
319 participants. In general, this contributed to <16% of the mixed profiles obtained, as has  
320 been observed previously by numerous studies (e.g. [9, 12, 15, 17, 21]), with the slightly  
321 higher level being observed for volunteer C due to the transfer of DNA from their romantic  
322 partner.

323  
324 DNA quantities are being increasingly relied upon to assist in distinguishing between  
325 different activity scenarios when evaluating trace DNA evidence [24]. Here, when the  
326 total quantities of DNA were multiplied by the relative proportions of DNA attributed to the  
327 regular user and unknown sources, the quantities of DNA deposited by the regular user  
328 were significantly greater than those deposited from indirect transfer events. This  
329 suggests that it might be possible to use such data to help distinguish between DNA  
330 deposited directly via regular use and DNA indirectly deposited via the hands of the  
331 regular users. However, it is also important to note that the amount of regular user DNA  
332 recovered from volunteer C's knives ( $1.0 \pm 0.4$  ng) is similar to the amount of unknown  
333 DNA from volunteer B's knives ( $0.86 \pm 0.48$  ng). This could be due to volunteer C being  
334 a 'poor shedder', and suggests that caution should be taken when considering whether  
335 the quantity of DNA can be used to distinguish modes of transfer, particularly when the  
336 shedder statuses of the respective DNA contributors are not known. In such situations,  
337 it might therefore be more appropriate to consider the respective proportions of the DNA  
338 observed in mixed profiles obtained, rather than the absolute DNA quantities.

339

340 4.2 Effect of increased use by second user on DNA recovered

341 For knives regularly-handled by three of the four volunteers (A, C and D), an apparent  
342 trend was observed showing a significant decrease in proportion of regular user DNA  
343 with a corresponding significant increase in proportion of second user DNA, as duration  
344 of stabbing by the second user increased. Whilst a similar trend has been observed by  
345 previous studies when durations of handling by the second user were minutes to hours  
346 [9, 12], these data are the first to show that this trend can also occur when handling by  
347 the second user is for just two seconds to a minute. At 30 sec of use by the second user,  
348 DNA from the regular user was still observed at a higher proportion to that from the  
349 second user, which is in contrast to the findings by Pfeifer and Wiegand [16]. This is  
350 presumably due to differences in experimental design; firstly, the manner of handling by  
351 second users varied, and secondly, second users in that study handled tools that were  
352 either genuinely regularly-used, but had not been handled for at least two weeks prior to  
353 the study, or bought new and handled once for 30 sec by the designated 'owner'. Here,  
354 regular use was simulated through the handling of clean knives for a total of 4 min across  
355 the two days immediately prior to the second user handling the knives.

356  
357 Analysis of the DNA quantities attributed to the respective users revealed that the  
358 quantities of second user DNA remained similar across the knives, irrespective of the  
359 duration of use, which is consistent with the concept that increasing the duration of a  
360 single contact does not necessarily increase DNA deposition [18]. The increased  
361 proportion of DNA from the second user by 60 sec of use is therefore not due to an  
362 increase in deposition, but instead due to a decrease in the quantity of DNA from the  
363 regular user persisting on the knife handles, giving similar amounts of DNA from the two  
364 users and resulting in the approximately 1:1 ratio observed here and by other studies [9,  
365 12]. This decrease in quantity of regular user DNA, as duration of use by the second  
366 user increases, supports a previously proposed explanation by van Oorschot *et al.* [12],  
367 that there is simultaneous transfer of DNA from the knife handle to hand, such that the  
368 hand of the second user takes increasing amounts of DNA away from the knife handles  
369 with increasing duration of use. Differences in the nature of the two substrates coming  
370 into contact, i.e. hand versus plastic knife handle, might also contribute to this finding.

371

372 Interestingly, the above change in respective proportions of DNA from the two users was  
373 not observed for the knives that were regularly handled by volunteer B and then used by  
374 volunteer A. Fig. 1a shows that the average quantity of DNA recovered from the knives  
375 that were only handled by volunteer B appeared to be higher than the other volunteers,  
376 although the t-test showed this was not statistically significant. Rather unexpectedly, Fig.  
377 3b shows that the quantities of DNA deposited by volunteer B were higher in the samples  
378 where the knives were handled for longer durations by volunteer A. It is not clear why  
379 the quantities of DNA deposited by volunteer B varied in this manner; it may be an artefact  
380 of the intra-person variation in DNA deposits observed by Goray *et al.* [21], even though  
381 the volunteers handled the knives at similar times of day and were directed to handle the  
382 knives in the same manner each time. However, these higher quantities of DNA from  
383 volunteer B may be the reason why increasing the duration of use by volunteer A did not  
384 result in the decrease in regular user DNA observed in the other pairings of volunteers.  
385 Comparison of Fig.s 1a and 3 also shows that volunteer A deposited less DNA during the  
386 second handling experiment, than during the positive control sampling for regular use,  
387 which may also contribute to this finding. Correspondingly, the quantities of regular user  
388 DNA for volunteer B remained significantly greater than those from the second user  
389 (volunteer A) and those from unknown sources for all durations of use.

390

#### 391 *4.3 Further consideration of DNA quantities from direct and indirect transfer*

392 For the knives that were regularly handled by volunteers A, C and D and then used by a  
393 second user, indirectly-transferred unknown DNA was observed at significantly lower  
394 quantities than DNA from both users. This supports the observations that, when  
395 examining regularly-used items, DNA that has been transferred indirectly is detected at  
396 lower levels than that which has been directly transferred [9, 12], even during an  
397 experiment purposefully designed to investigate indirectly-transferred DNA [17].  
398 However, for the knives that were regularly handled by volunteer B, although DNA from  
399 the regular user was deposited at significantly greater amounts than indirectly-transferred  
400 unknown DNA, second user DNA was only recovered at significantly greater quantities  
401 than indirectly-transferred unknown DNA at 2 and 60 sec stabbing durations. For this  
402 pairing of volunteers, at 30 sec duration of use by the second user, similar quantities of  
403 DNA from the second user and unknown sources were recovered. This further

404 demonstrates that there are occasions when caution should be taken when relying solely  
405 on DNA quantity to distinguish between modes of transfer.

406

#### 407 *4.4 Concluding remarks*

408 DNA recovery from knives that were regularly-used in a simulated manner support prior  
409 observations that regular use of non-porous items deposits ~1-10 ng DNA, with variation  
410 depending on the donor's 'shedder status', and includes <16% non-donor DNA from  
411 indirect transfer events. The use of knives, initially regularly-used by three of four  
412 participants, for just 2-60 sec by a second user resulted in a decrease in contribution from  
413 regular user DNA with a simultaneous increase in contribution from second user DNA.  
414 Analysis of the quantities of DNA contributed revealed that this trend is due to a decrease  
415 in regular user DNA via transfer to the second user's hands, rather than an increase in  
416 DNA deposition from the second user. This should be investigated further using a larger  
417 sample size of participants and 'real-life' regularly-used knives and other non-porous  
418 items. In particular, participants that vary in their shedder status should be used, given  
419 that when knives were initially regularly-used by a volunteer who could be considered a  
420 'good shedder', subsequent use by a second user did not reduce the DNA present from  
421 the regular user, such that the proportion remained high and the quantity of DNA from  
422 the regular user remained significantly greater than that from the second user.

423

424 The data reported herein contribute to the data available for use in the determination of  
425 likelihood ratios addressing activity-level evaluations of DNA evidence in forensic  
426 casework, such as in cases of opportunistic crimes, when an offender might only briefly  
427 handle the nearest item at hand, e.g. a weapon or tool, required for their purpose in that  
428 moment. However, whilst the general trend described above was identified, it is important  
429 to acknowledge that, even with just four participants in this experiment, a deviation from  
430 that general trend was observed with knives regularly handled by one of the four  
431 volunteers. Furthermore, one volunteer deposited a similar amount of DNA through  
432 regular use as the amount of indirectly-transferred unknown DNA deposited by another  
433 volunteer's hands. These observations indicate that caution should be taken when  
434 relying solely on absolute quantities of DNA to inform evaluative interpretations. As has

435 been discussed previously [17], other parameters, such as profile quality and relative  
436 contributions to mixed profiles, should also be taken into account.

437  
438 Complex and variable scenarios are frequently encountered in forensic science and being  
439 able to offer reproducible and transparent inferences is important for robust forensic  
440 reconstructions [2]. The data presented in this study illustrate the broad value of  
441 developing casework informed empirical studies that can provide data to underpin  
442 evaluative interpretations of activity level propositions [4, 25]. To better assist activity  
443 level assessments, more extensive studies of this manner should be conducted to obtain  
444 probability distributions of different types of profiles resulting from this kind of activity. This  
445 will also enable an elucidation of consistent results characterised by the majority of  
446 studies for use in casework.

447

#### 448 **Conflicts of interest**

449 None.

450

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456

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