


# 1 A Coalgebraic Perspective on Probabilistic Logic 2 Programming

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## 9 Abstract

10 Probabilistic logic programming is increasingly important in artificial intelligence and related fields  
11 as a formalism to reason about uncertainty. It generalises logic programming with the possibility of  
12 annotating clauses with probabilities. This paper proposes a coalgebraic perspective on probabilistic  
13 logic programming. Programs are modelled as coalgebras for a certain functor  $F$ , and two semantics  
14 are given in terms of cofree coalgebras. First, the cofree  $F$ -coalgebra yields a semantics in terms  
15 of derivation trees. Second, by embedding  $F$  into another type  $G$ , as cofree  $G$ -coalgebra we obtain  
16 a ‘possible worlds’ interpretation of programs, from which one may recover the usual distribution  
17 semantics of probabilistic logic programming.

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## 25 **1** Introduction

26 Probabilistic logic programming (PLP) [23, 5, 25] is a family of approaches extending the  
27 declarative paradigm of logic programming with the possibility of reasoning about uncertainty.  
28 This has been proven useful in various applications, including bioinformatics [6, 22], robotics  
29 [27] and the semantic web [29].

30 The most common version of PLP — on which for instance **ProbLog** is based [6], the  
31 probabilistic analogue of **Prolog** — is defined by letting clauses in programs to be annotated  
32 with mutually independent probabilities. As for the interpretation, *distribution semantics*  
33 [25] is typically used as a benchmark for the various implementations of PLP, such as **pD**,  
34 **PRISM** and **ProbLog** [24]. In this semantics, the probability of refuting a goal in a program is  
35 obtained as a sum of the probabilities of the *possible worlds* (sets of clauses) in which the goal  
36 is refutable. The distribution semantics is particularly interesting because it is compatible  
37 with the encoding of Bayesian networks as probabilistic logic programs [24], thus indicating  
38 that PLP can be effectively employed for Bayesian reasoning.

39 The main goal of this work is to present a coalgebraic perspective on PLP and its distribution  
40 semantics. We first consider the case of ground programs, that is, those without variables.  
41 Our approach is based on the observation — inspired by the coalgebraic treatment of ‘pure’  
42 logic programming [16] — that ground programs are in 1-1 correspondence with coalgebras



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43 for the functor  $\mathcal{M}_{pr}\mathcal{P}_f$ , where  $\mathcal{M}_{pr}$  is the finite multiset functor on  $[0, 1]$  and  $\mathcal{P}_f$  is the finite  
 44 powerset functor. We then provide two coalgebraic semantics for ground PLP.

45 ■ The first interpretation  $\llbracket - \rrbracket$  is in terms of execution trees called *stochastic derivation trees*,  
 46 which represent parallel SLD-derivations of a program on a goal. Stochastic derivation  
 47 trees are the elements of the cofree  $\mathcal{M}_{pr}\mathcal{P}_f$ -coalgebra on a given set of atoms  $\text{At}$ , meaning  
 48 that any goal  $A \in \text{At}$  can be given a semantics in terms of the corresponding stochastic  
 49 derivation tree by the universal property map  $\llbracket - \rrbracket$  to the cofree coalgebra.

50 ■ The second interpretation  $\langle\langle - \rangle\rangle$  recovers the usual distribution semantics of PLP. This  
 51 requires some work, as expressing probability distributions on the possible worlds needs a  
 52 different coalgebra type. We introduce *distribution trees*, a tree-like representation of the  
 53 distribution semantics, as the elements of the cofree  $\mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f$ -coalgebra on  $\text{At}$ , where  
 54  $\mathcal{D}_{\leq 1}$  is the sub-probability distribution monad. In order to characterise  $\langle\langle - \rangle\rangle$  as the map  
 55 given by universal property of distribution trees, we need a canonical extension of PLP  
 56 to the setting of  $\mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f$ -coalgebras. This is achieved via a ‘possible worlds’ natural  
 57 transformation  $\mathcal{M}_{pr}\mathcal{P}_f \Rightarrow \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f$ .

58 In the second part of the paper we recover the same framework for arbitrary probabilistic  
 59 logic programs, possibly including variables. The encoding of programs as coalgebras is  
 60 subtler. The space of atoms is now a presheaf indexed by a ‘Lawvere theory’ of terms  
 61 and substitutions. The coalgebra map can be defined in different ways, depending on the  
 62 substitution mechanism on which one wants to base resolution. For pure logic programs,  
 63 the definition by term matching is the best studied, with [17] observing that moving from  
 64 sets to posets is required in order for the corresponding coalgebra map to be well-defined  
 65 as a natural transformation between presheaves. A different route is taken in [3], where  
 66 the problem of naturality is neutralised via ‘saturation’, a categorical construction which  
 67 amounts to defining resolution by unification instead of term-matching.

68 In developing a coalgebraic treatment of PLP with variables, we follow the saturation route,  
 69 as it also allows to recover the term-matching approach, via ‘desaturation’ [3]. This provides  
 70 a cofree coalgebra semantics  $\llbracket - \rrbracket$  for arbitrary PLP programs, as a rather straightforward  
 71 generalisation of the saturated semantics of pure logic programs. On the other hand, extending  
 72 the ground distribution semantics  $\langle\langle - \rangle\rangle$  to arbitrary PLP programs poses some challenges: we  
 73 need to ensure that, in computing the distribution over possible worlds associated to each  
 74 sub-goal in the computation, each clause of the program is ‘counted’ only once. This is solved  
 75 by tweaking the coalgebra type of the distribution trees for arbitrary PLP programs, so that  
 76 some nodes are labelled with clauses of the program. Thanks to this additional information,  
 77 the term-matching distribution semantics of an arbitrary PLP goal is computable from its  
 78 distribution tree.

79 In light of the coalgebraic treatment of pure logic programming [16, 17, 2, 3], the  
 80 generalisation to PLP may not appear so surprising. In fact, we believe its importance is  
 81 two-fold. First, whereas the derivation semantics  $\llbracket - \rrbracket$  is a straight generalisation of the pure  
 82 setting, the distribution semantics  $\langle\langle - \rangle\rangle$  is genuinely novel, and does not have counterparts  
 83 in pure logic programming. Second, a paper dedicated to establishing the foundations of  
 84 coalgebraic PLP is a necessary preliminary step for a number of interesting applications:

- 85 ■ as mentioned, reasoning in Bayesian networks can be seen as a particular case of PLP,  
 86 equipped with the distribution semantics. Our coalgebraic perspective thus readily applies  
 87 to Bayesian reasoning, paving the way for combination with recent works [11, 12, 4]  
 88 modelling belief revision, causal inference and other Bayesian tasks in algebraic terms.
- 89 ■ the combination of logic programming and probabilities comes in different flavours [24]:  
 90 the more abstract viewpoint offered by coalgebra may provide a unifying perspective on

91 these approaches, as well as a formal connection with seemingly related languages such  
 92 as weighted logic programming [8] and Bayesian logic programming [13].

93 ■ the coalgebraic treatment of pure logic programming has been used as a formal justific-  
 94 ation [19, 14] for coinductive logic programming [15, 9]. Coinduction in the context of  
 95 probabilistic logic programs is, to the best of our knowledge, a completely unexplored  
 96 field, for which the current paper establishes semantic foundations.

97 We leave the exploration of these venues as follow-up work.

## 98 2 Preliminaries

99 **Signature, Terms, and Categories.** A *signature*  $\Sigma$  is a set of function symbols, each  
 100 equipped with a fixed finite arity. Throughout this paper we fix a signature  $\Sigma$ , and a  
 101 countably infinite set of variables  $Var = \{x_1, x_2, \dots\}$ . The  $\Sigma$ -terms over  $Var$  are defined as  
 102 usual. A *context* is a finite set of variables  $\{x_1, x_2, \dots, x_n\}$ . With some abuse of notation,  
 103 we shall often use  $n$  to denote this context. We say a  $\Sigma$ -term  $t$  is *compatible* with context  $n$   
 104 if the variables appearing in  $t$  are all contained in  $\{x_1, \dots, x_n\}$ .

105 We are going to reason about  $\Sigma$ -terms categorically using Lawvere theories. First, we  
 106 will use  $\mathbf{Ob}(\mathbf{C})$  to denote the set of objects and  $\mathbf{C}[C, D]$  for the set of morphisms  $C \rightarrow D$  in  
 107 a category  $\mathbf{C}$ . A  $\mathbf{C}$ -indexed *presheaf* is a functor  $F: \mathbf{C} \rightarrow \mathbf{Sets}$ .  $\mathbf{C}$ -indexed presheaves and  
 108 natural transformations between them form a category  $\mathbf{Sets}^{\mathbf{C}}$ . Recall that the (opposite)  
 109 Lawvere Theory of  $\Sigma$  is the category  $\mathbf{L}_{\Sigma}^{\text{op}}$  with objects the natural numbers and morphisms  
 110  $\mathbf{L}_{\Sigma}^{\text{op}}[n, m]$  the  $n$ -tuples  $\langle t_1, \dots, t_n \rangle$ , where each  $t_i$  is a  $\Sigma$ -term in context  $m$ . For modelling  
 111 logic programming, it is convenient to think of each  $n \in \mathbf{Ob}(\mathbf{L}_{\Sigma}^{\text{op}})$  as representing the context  
 112  $\langle x_1, \dots, x_n \rangle$ , and a morphism  $\langle t_1, \dots, t_n \rangle: n \rightarrow m$  as the substitution transforming  $\Sigma$ -terms  
 113 in context  $n$  to  $\Sigma$ -terms in context  $m$  by replacing each  $x_i$  with  $t_i$ . We shall also refer to  $\mathbf{L}_{\Sigma}^{\text{op}}$   
 114 morphisms simply as substitutions (notation  $\theta, \tau, \sigma, \dots$ ).

115 **Logic programming.** We now recall the basics of (pure) logic programming, and refer  
 116 the reader to [20] for a more systematic exposition. An *alphabet*  $\mathcal{A}$  consists of a signature  
 117  $\Sigma$ , a set of variables  $Var$ , and a set of predicate symbols  $\{P_1, P_2, \dots\}$ , each with a fixed  
 118 arity. Given an  $n$ -ary predicate symbol  $P$  in  $\mathcal{A}$ , and  $\Sigma$ -terms  $t_1, \dots, t_n$ ,  $P(t_1 \cdots t_n)$  is called  
 119 an *atom* over  $\mathcal{A}$ . We use  $A, B, \dots$  to denote atoms. Given an atom  $A$  in context  $n$ , and a  
 120 substitution  $\theta = \langle t_1, \dots, t_n \rangle: n \rightarrow m$ , we write  $A\theta$  for *substitution instance* of  $A$  obtained by  
 121 replacing each appearance of  $x_i$  with  $t_i$  in  $A$ . For convenience, we also use  $\{B_1, \dots, B_k\}\theta$   
 122 as a shorthand for  $\{B_1\theta, \dots, B_k\theta\}$ . Given two atoms  $A$  and  $B$  (over  $\mathcal{A}$ ), a *unifier* of  $A$  and  
 123  $B$  is a pair  $\langle \sigma, \tau \rangle$  of substitutions such that  $A\sigma = B\tau$ . *Term matching* is a special case of  
 124 unification, where  $\sigma$  is the identity substitution. In this case we say that  $\tau$  matches  $B$  with  
 125  $A$  if  $A = B\tau$ .

126 A (pure) logic program  $\mathbb{L}$  consists of a finite set of clauses  $\mathcal{C}$  in the form  $H \leftarrow B_1, \dots, B_k$ ,  
 127 where  $H, B_1, \dots, B_k$  are atoms.  $H$  is called the *head* of  $\mathcal{C}$ , and  $B_1, \dots, B_k$  form the *body* of  
 128  $\mathcal{C}$ . We denote  $H$  by  $\text{Head}(\mathcal{C})$ , and  $\{B_1, \dots, B_k\}$  by  $\text{Body}(\mathcal{C})$ . A *goal* is simply an atom. Since  
 129 one can regard a clause  $H \leftarrow B_1, \dots, B_k$  as the logic formula  $B_1 \wedge \cdots \wedge B_k \rightarrow H$ , we say  
 130 that a goal  $G$  is *derivable* in  $\mathbb{L}$  if there exists a derivation of  $G$  with empty assumption using  
 131 the clauses in  $\mathbb{L}$ .

132 The central task of logic programming is to check whether a goal  $G$  is *provable* in a  
 133 program  $\mathbb{L}$ , in the sense that some substitution instance of  $G$  is derivable in  $\mathbb{L}$ . The key  
 134 algorithm for this task is SLD-resolution, see e.g. [20]. We use the notation  $\mathbb{L} \vdash G$  to mean  
 135 that  $G$  is provable in  $\mathbb{L}$ .

136 **Probabilistic logic programming.** We now recall the basics of PLP; the reader may consult  
 137 [7, 6] for a more comprehensive introduction. A probabilistic logic program  $\mathbb{P}$  based on a  
 138 logic program  $\mathbb{L}$  assigns a probability label  $r$  to each clause  $\mathcal{C}$  in  $\mathbb{L}$ , denoted as  $\text{Label}(\mathcal{C})$ . One  
 139 may also regard  $\mathbb{P}$  as a set of probabilistic clauses of the form  $r :: \mathcal{C}$ , where  $\mathcal{C}$  is a clause in  $\mathbb{L}$ ,  
 140 and each clause  $\mathcal{C}$  is assigned a unique probability label  $r$  in  $\mathbb{P}$ . We also refer to  $r :: \mathcal{C}$  simply  
 141 as clauses.

142 **► Example 1.** As our leading example we introduce the following probabilistic logic program  
 143  $\mathbb{P}^{al}$ . It models the scenario of Mary’s house alarm, which is supposed to detect burglars, but  
 144 it may be accidentally triggered by an earthquake. Mary may hear the alarm if she is awake,  
 145 but even if the alarm is not sounding, in case she experiences an auditory hallucination  
 146 (paracusia). The language of  $\mathbb{P}^{al}$  includes 0-ary predicates `Alarm`, `Eearthquake`, `Burglary`, and  
 147 1-ary predicates `Wake(-)`, `Hear_alarm(-)` and `Paracusia(-)`, and signature  $\Sigma_{al} = \{\text{Mary}^0\}$   
 148 consisting of a constant. We do not have variables here, so  $\mathbb{P}^{al}$  is a ground program. For  
 149 readability we abbreviate `Mary` as `M` in the program.

0.01 ::	<code>Earthquake</code>	$\leftarrow$	0.01 ::	<code>Paracusia(M)</code>	$\leftarrow$
0.2 ::	<code>Burglary</code>	$\leftarrow$	0.6 ::	<code>Wake(M)</code>	$\leftarrow$
0.5 ::	<code>Alarm</code>	$\leftarrow$ <code>Earthquake</code>	0.8 ::	<code>Hear_alarm(M)</code>	$\leftarrow$ <code>Alarm</code> , <code>Wake(M)</code>
0.9 ::	<code>Alarm</code>	$\leftarrow$ <code>Burglary</code>	0.3 ::	<code>Hear_alarm(M)</code>	$\leftarrow$ <code>Paracusia(M)</code>

151 As a generalisation of the pure case, in probabilistic logic programming one is interested  
 152 in the *probability* of a goal  $G$  being refutable in a program  $\mathbb{P}$ . There are potentially multiple  
 153 ways to define such probability— in this paper we focus on the *distribution semantics* [7].

154 The probability of refuting a goal is computed in the distribution semantics as follows.  
 155 Given a probabilistic logic program  $\mathbb{P} = \{p_1 :: \mathcal{C}_1, \dots, p_n :: \mathcal{C}_n\}$ , let  $|\mathbb{P}|$  be its underlying  
 156 pure logic program, namely  $|\mathbb{P}| = \{\mathcal{C}_1, \dots, \mathcal{C}_n\}$ . A *sub-program*  $\mathbb{L}$  of  $|\mathbb{P}|$  is a logic program  
 157 consisting of a subset of the clauses in  $|\mathbb{P}|$ . This justifies using  $\mathcal{P}(|\mathbb{P}|)$  to denote the set of  
 158 all sub-programs of  $|\mathbb{P}|$ , and using  $\mathbb{L} \subseteq |\mathbb{P}|$  to denote that  $\mathbb{L}$  is a sub-program of  $\mathbb{P}$ . The  
 159 central concept of the distribution semantics is that  $\mathbb{P}$  determines a distribution  $\mu_{\mathbb{P}}$  over the  
 160 sub-programs  $\mathcal{P}(|\mathbb{P}|)$ : for any  $\mathbb{L} \in \mathcal{P}(|\mathbb{P}|)$ ,  $\mu_{\mathbb{P}}(\mathbb{L}) := \prod_{\mathcal{C}_i \in \mathbb{L}} p_i \prod_{\mathcal{C}_j \in |\mathbb{P}| \setminus \mathbb{L}} (1 - p_j)$ . The value  
 161  $\mu_{\mathbb{P}}(\mathbb{L})$  is called the *probability* of the sub-program  $\mathbb{L}$ . For an arbitrary goal  $G \in \text{At}$ , the *success*  
 162 *probability*  $\text{Pr}_{\mathbb{P}}(G)$  of  $G$  w.r.t. program  $\mathbb{P}$  is then defined as the sum of the probabilities of  
 163 all the sub-programs of  $\mathbb{P}$  in which  $G$  is refutable:

$$164 \quad \text{Pr}_{\mathbb{P}}(G) := \sum_{|\mathbb{P}| \supseteq \mathbb{L} \vdash G} \mu_{\mathbb{P}}(\mathbb{L}) = \sum_{|\mathbb{P}| \supseteq \mathbb{L} \vdash G} \left( \prod_{\mathcal{C}_i \in \mathbb{L}} p_i \prod_{\mathcal{C}_j \in |\mathbb{P}| \setminus \mathbb{L}} (1 - p_j) \right) \quad (1)$$

165 Intuitively one can regard every clause in  $\mathbb{P}$  as an event, then every sub-program  $\mathbb{L}$  can be  
 166 seen as a possible world, and  $\mu_{\mathbb{P}}$  is a distribution over the possible worlds.

167 **► Example 2.** For the program  $\mathbb{P}^{al}$ , consider the goal `Hear_alarm(M)`. By definition (1), we  
 168 can compute its success probability  $\text{Pr}_{\mathbb{P}^{al}}(\text{Hear\_alarm}(\text{M}))$ , and the result is 0.091102896.

### 3 Ground case

169  
 170 In this section we introduce a coalgebraic semantics for *ground* probabilistic logic program-  
 171 ming, i.e. for those programs where no variable appears. Our approach consists of two parts.  
 172 First, in Subsection 3.1, we represent PLP logic programs as coalgebras and their executions  
 173 as a final coalgebra semantics (Subsection 3.2)— this is a straight generalisation of the  
 174 coalgebraic treatment of pure logic programs given in [16]. Next, in Subsection 3.3 we show

175 how to represent the distribution semantics in terms as a final coalgebra, via a transformation  
 176 of the coalgebra type of logic programs. Appendix A shows how the probability of a goal is  
 177 effectively computable from the above representation.

### 178 3.1 Coalgebraic Representation of PLP

179 A ground program will be represented as a coalgebra for the composite  $\mathcal{M}_{pr}\mathcal{P}_f: \mathbf{Sets} \rightarrow$   
 180  $\mathbf{Sets}$  of the finite probability functor  $\mathcal{M}_{pr}: \mathbf{Sets} \rightarrow \mathbf{Sets}$  and the finite powerset functor  
 181  $\mathcal{P}_f: \mathbf{Sets} \rightarrow \mathbf{Sets}$ . The definition of  $\mathcal{M}_{pr}$  deserves some further explanation. It can be  
 182 seen as the finite multiset functor based on the commutative monoid  $([0, 1], 0, \vee)$ , where  
 183  $a \vee b := 1 - (1 - a)(1 - b)$ . That is to say, on objects,  $\mathcal{M}_{pr}(A)$  is the set of all *finite probability*  
 184 *assignments*  $\varphi: A \rightarrow [0, 1]$  with a finite support  $\text{supp}(\varphi) := \{a \in A \mid \varphi(a) \neq 0\}$ . For  $\varphi$  with  
 185 support  $\{a_1, \dots, a_k\}$  and values  $\varphi(a_i) = r_i$ , it will often be convenient to use the standard  
 186 notation  $\varphi = \sum_{i=1}^k r_i a_i$  or  $\varphi = r_1 a_1 + \dots + r_k a_k$ , where the purely formal “+” here should  
 187 not be confused with the addition in  $\mathbb{R}$ . On morphisms,  $\mathcal{M}_{pr}(h: A \rightarrow B)$  maps  $\sum_{i=1}^k r_i a_i$  to  
 188  $\sum_{i=1}^k r_i h(a_i)$ .

189 Fix a ground probabilistic logic program  $\mathbb{P}$  on a set of ground atoms  $\text{At}$ . The definition of  
 190  $\mathbb{P}$  can be encoded as an  $\mathcal{M}_{pr}\mathcal{P}_f$ -coalgebra  $p: \text{At} \rightarrow \mathcal{M}_{pr}(\mathcal{P}_f(\text{At}))$ , as follows. Given  $A \in \text{At}$ ,

$$191 \quad p(A): \quad \mathcal{P}_f(\text{At}) \quad \rightarrow \quad [0, 1]$$

$$\quad \{B_1, \dots, B_n\} \quad \mapsto \quad \begin{cases} r & \text{if } r :: A \leftarrow B_1, \dots, B_n \text{ is a clause in } \mathbb{P} \\ 0 & \text{otherwise.} \end{cases}$$

192 Or, equivalently,  $p(A) := \sum_{(r::A \leftarrow B_1, \dots, B_n) \in \mathbb{P}} r \{B_1, \dots, B_n\}$ .

193 ► **Example 3.** Consider program  $\mathbb{P}^{al}$  from Example 1. The set of ground atoms  $\text{At}_{al}$  is  
 194  $\{\text{Alarm}, \text{Earthquake}, \text{Burgary}, \text{Wake}(M), \text{Paracusia}(M), \text{Hear\_alarm}(M)\}$ . Here are some values  
 195 of the corresponding coalgebra  $p_{al}: \text{At}_{al} \rightarrow \mathcal{M}_{pr}\mathcal{P}_f\text{At}_{al}$ :

$$196 \quad p_{al}(\text{Hear\_alarm}(M)) = 0.8\{\text{Alarm}, \text{Wake}(M)\} + 0.3\{\text{Paracusia}(M)\} \quad p_{al}(\text{Earthquake}) = 0.01\{\}$$

198 ► **Remark 4.** One might wonder why not simply adopt  $\mathcal{P}_f(\mathcal{P}_f(-) \times [0, 1])$  as the coalgebra  
 199 type for PLP. Note that this encoding would not have 1 – 1 correspondence with ground PLP  
 200 programs: a clause  $C \in \mathcal{P}_f(\text{At})$  may be associated with different probabilities in  $[0, 1]$ , which  
 201 violates the standard definition of PLP programs.

### 202 3.2 Derivation Semantics

203 In this section we are going to construct the final  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(-)$ -coalgebra, thus providing  
 204 a semantic interpretation for probabilistic logic programs based on  $\text{At}$ .

205 Before the technical developments, we give an intuitive view on the semantics that the  
 206 final coalgebra is going to provide. We shall represent each goal as a *stochastic derivation*  
 207 *tree* in the final coalgebra. These trees are the probabilistic version of and-or derivation trees,  
 208 which represent parallel SLD-resolutions in pure logic programming [10].

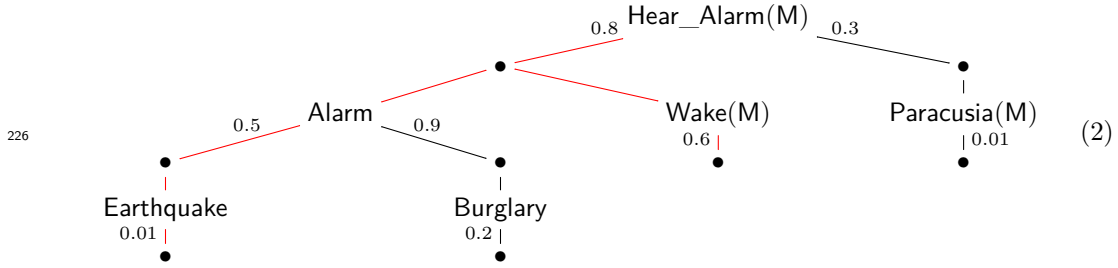
209 ► **Definition 5 (Stochastic derivation trees).** *Given a ground PLP program  $\mathbb{P}$  and a ground*  
 210 *atom  $A$ , the stochastic derivation tree for  $A$  in  $\mathbb{P}$  is the possibly infinite tree  $\mathcal{T}$  such that:*

- 211 1. *Every node is either an atom-node (labelled with an atom  $A' \in \text{At}$ ) or a clause-node*  
 212 *(labelled with  $\bullet$ ). They appear alternatingly in depth, in this order. The root is an*  
 213 *atom-node labelled with  $A$ .*

- 214 2. Each edge from an atom-node to its (clause-)children is labelled with a probability value.  
 215 3. Suppose  $s$  is an atom-node with label  $A'$ . Then for every clause  $r :: A' \leftarrow B_1, \dots, B_k$  in  
 216  $\mathbb{P}$ ,  $s$  has exactly one child  $t$ , the edge  $s \rightarrow t$  is labelled with  $r$ , and  $t$  has exactly  $k$  children  
 217 labelled with  $B_1, \dots, B_k$ , respectively.

218 The final coalgebra semantics  $\llbracket - \rrbracket_p$  for a program  $\mathbb{P}$  will map a goal  $A$  to the stochastic  
 219 derivation tree representing all possible SLD-resolutions of  $A$  in  $\mathbb{P}$ .

220 ► **Example 6.** Continuing Example 1,  $\llbracket \text{Hear\_alarm}(\text{M}) \rrbracket_{p_{al}}$  is the stochastic derivation  
 221 tree below. The subtree highlighted in red represents one of the successful refutations  
 222 of  $\text{Hear\_alarm}(\text{M})$  in  $p_{al}$ : indeed, note that a single child is selected for each atom-node  
 223  $A$  (corresponding to a clause matching  $A$ ), all children of any clause-node are selected  
 224 (corresponding to the atoms in the body of the clause), and the subtree has clause-nodes as  
 225 leaves (all atoms are proven).



227 Any such subtree describes a refutation, but does not yield a probability value to be associated  
 228 to a goal— this is the remit of the distribution semantics, see Example 10 below.

229 In the remaining part of the section, we construct the cofree coalgebra for  $\mathcal{M}_{pr}\mathcal{P}_f$  via  
 230 a so-called terminal sequence [28], and obtain  $\llbracket - \rrbracket_p$  from the resulting universal property.  
 231 We report the steps of the terminal sequence as they are instrumental in showing that the  
 232 elements of the cofree coalgebra can be seen as stochastic derivation trees.

► **Construction 7.** The terminal sequence for the functor  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(-) : \mathbf{Sets} \rightarrow \mathbf{Sets}$   
 consists of sequences of objects  $\{X_\alpha\}_{\alpha \in \mathbf{Ord}}$  and arrows  $\{\delta_\beta^\alpha : X_\alpha \rightarrow X_\beta\}_{\beta < \alpha \in \mathbf{Ord}}$  constructed  
 by the following inductive definitions:

$$X_\alpha := \begin{cases} \text{At} & \alpha = 0 \\ \text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(X_\xi) & \alpha = \xi + 1 \\ \lim\{\delta_\xi^\chi \mid \xi < \chi < \alpha\} & \alpha \text{ is limit} \end{cases} \quad \delta_\beta^\alpha := \begin{cases} \pi_1 & \alpha = 1, \beta = 0 \\ \text{id}_{\text{At}} \times \mathcal{M}_{pr}\mathcal{P}_f(\delta_\xi^{\xi+1}) & \alpha = \beta + 1 = \xi + 2 \\ \text{the limit projections} & \alpha \text{ is limit, } \beta < \alpha \\ \text{universal map to } X_\beta & \beta \text{ is limit, } \alpha = \beta + 1 \end{cases}$$

233 ► **Proposition 8.** The terminal sequence for the functor  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(-)$  converges to a limit  
 234  $X_\gamma$  such that  $X_\gamma \cong X_{\gamma+1}$ .

235 **Proof.** We need to verify the assumptions of [28, Corollary 3.3]. It is well-known that  
 236  $\mathcal{P}_f$  is  $\omega$ -accessible, and  $\mathcal{M}_{pr}$  has the same property, see e.g. [26, Prop. 6.1.2]. Because  
 237 accessibility is defined in terms of colimit preservation, it is clearly preserved by composition,  
 238 and thus  $\mathcal{M}_{pr}\mathcal{P}_f$  is also accessible. It remains to check that it preserves monics. For  $\mathcal{M}_{pr}$ ,  
 239 given any monomorphism  $i : C \rightarrow D$  in  $\mathbf{Sets}$ , suppose  $\mathcal{M}_{pr}(i)(\varphi) = \mathcal{M}_{pr}(i)(\varphi')$  for some  
 240  $\varphi, \varphi' \in \mathcal{M}_{pr}(C)$ . Then for any  $d \in D$ ,  $\mathcal{M}_{pr}(i)(\varphi)(d) = \mathcal{M}_{pr}(i)(\varphi')(d)$ . If we focus on the  
 241 image  $i[C]$ , then there is an inverse function  $i^{-1} : i[C] \rightarrow C$ , and  $\mathcal{M}_{pr}(i)(\varphi) = \mathcal{M}_{pr}(i)(\varphi')$

242 implies that  $\varphi(i^{-1}(d)) = \varphi'(i^{-1}(d))$  for any  $d \in i[C]$ . But this simply means that  $\varphi = \varphi'$ . As  
 243 the same is true for  $\mathcal{P}_f$  and the property is preserved by composition, we have that  $\mathcal{M}_{pr}\mathcal{P}_f$   
 244 preserves monics. We can then conclude by [28, Corollary 3.3] that the terminal sequence for  
 245  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f$  converges to the cofree  $\mathcal{M}_{pr}\mathcal{P}_f$ -coalgebra on  $\text{At}$ . ◀

246 Note that  $X_{\gamma+1}$  is defined as  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(X_\gamma)$ , and the above isomorphism makes  
 247  $X_\gamma \rightarrow \text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(X_\gamma)$  the final  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f$ -coalgebra— or, in other words, *cofree*  $\mathcal{M}_{pr}\mathcal{P}_f$ -  
 248 *coalgebra* on  $\text{At}$ . As for the tree representation of the elements of  $X_\gamma$ , recall that elements of  
 249 the cofree  $\mathcal{P}_f\mathcal{P}_f$ -coalgebra on  $\text{At}$  can be seen as and-or trees [16]. By replacing the first  $\mathcal{P}_f$   
 250 with  $\mathcal{M}_{pr}$ , effectively one adds probability values to the edges from and-nodes to or-nodes  
 251 (which are edges from atom-nodes to or-nodes in our stochastic derivation trees), as in (2).  
 252 Thus stochastic derivation trees as in Definition 5 are elements of  $X_\gamma$ . The action of the  
 253 coalgebra map  $\cong: X_\gamma \rightarrow \text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(X_\gamma)$  is best seen with an example: the tree  $\mathcal{T}$  in  
 254 (2) (an element of  $X_\gamma$ ) is mapped to the pair  $\langle \text{Hear\_alarm}(\text{M}), \varphi \rangle$ , where  $\varphi$  is the function  
 255  $\mathcal{P}_f(X_\gamma) \rightarrow [0, 1]$  assigning 0.8 to the set consisting of the subtrees of  $\mathcal{T}$  with root  $\text{Alarm}$  and  
 256 with root  $\text{Wake}(\text{M})$ , 0.3 to the singleton consisting to the subtree of  $\mathcal{T}$  with root  $\text{Paracusia}(\text{M})$ ,  
 257 and 0 to any other finite set of trees.

258 With all the definitions at hand, it is straightforward to check that  $\llbracket - \rrbracket_p$  mapping  $A \in \text{At}$   
 259 to its stochastic derivation tree in  $p$  makes the following diagram commute

$$\begin{array}{ccc}
 \text{At} & \xrightarrow{\llbracket - \rrbracket_p} & X_\gamma \\
 \downarrow \langle id, p \rangle & & \downarrow \cong \\
 \text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(\text{At}) & \xrightarrow{id \times \mathcal{M}_{pr}\mathcal{P}_f(\llbracket - \rrbracket_p)} & \text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(X_\gamma)
 \end{array}$$

261 and thus by uniqueness it coincides with the  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f$ -coalgebra map provided by the  
 262 universal property of the final  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f$ -coalgebra  $X_\gamma \rightarrow \text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(X_\gamma)$ .

### 263 3.3 Distribution Semantics

264 This section gives a coalgebraic definition of the usual *distribution semantics* of probabilistic  
 265 logic programming. As in the previous section, before the technical developments we gather  
 266 some preliminary intuition. Recall from Section 2 that the core of the distribution semantics  
 267 is the probability distribution over the sub-programs (sets of clauses) of a given program  $\mathbb{P}$ .  
 268 These sub-programs are also called (possible) worlds, and the distribution semantics of a  
 269 goal is the sum of the probabilities of all the worlds in which it is refutable.

270 In order to code this information as elements of a final coalgebra, we need to present it  
 271 in tree-shape. Roughly speaking, we form a distribution over the sub-programs along the  
 272 execution tree. This justifies the following notion of *distribution trees*.

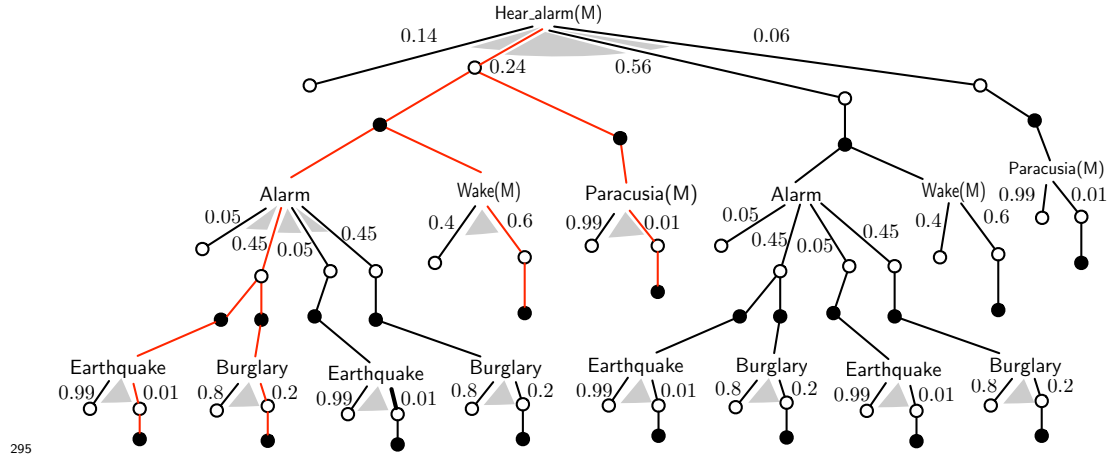
273 ▶ **Definition 9** (Distribution trees). *Given a PLP program  $\mathbb{P}$  and an atom  $A$ , the distribution*  
 274 *tree for  $A$  in  $\mathbb{P}$  is the possibly infinite tree  $\mathcal{T}$  satisfying the following properties:*

- 275 1. *Every node is exactly one of the three kinds: atom-node (labelled with an atom  $A \in \text{At}$ ),*  
 276 *world-node (labelled with  $\circ$ ), clause-node (labelled with  $\bullet$ ). They appear alternatingly in*  
 277 *this order in depth. The root is an atom-node labelled with  $A$ .*
- 278 2. *Every edge from an atom-node to its (world-)children is labelled with a probability value,*  
 279 *and they sum up to one.*
- 280 3. *Suppose  $s$  is an atom-node labelled with  $A'$ , and  $C = \{C_1, \dots, C_m\}$  is the set of all the*  
 281 *clauses in  $\mathbb{P}$  whose head is  $A'$ . Then  $s$  has  $2^m$  children, each standing for a subset*  
 282  *$X$  of  $C$ . If a child  $t$  stands for  $X$ , then the edge  $s \rightarrow t$  is labelled with probability*

283  $\prod_{C \in X} \text{Label}(C) \cdot \prod_{C' \in C \setminus X} (1 - \text{Label}(C'))$ — recall that  $\text{Label}(C)$  is the probability labelling  
 284  $C$ . Also,  $t$  has exactly  $|X|$  children, each standing for a clause  $C \in X$ . If a child  $u$  stands  
 285 for  $C = r :: A' \leftarrow B_1, \dots, B_k$ , then  $u$  has  $k$  children, labelled with  $B_1, \dots, B_k$  respectively.

286 Comparing distribution trees with stochastic derivation trees (Definition 5), one can  
 287 observe the addition of another class of nodes, representing possible worlds. Moreover, the  
 288 possible worlds associated with an atom-node (a goal) must form a probability distribution—  
 289 as opposed to stochastic derivation trees, in which probabilities labelling parallel edges do  
 290 not need to share any relationship. An example of the distribution tree associated with a  
 291 goal is provided in the continuation of our leading example (Examples 1 and 6).

292 ► **Example 10.** In the context of Example 1, the distribution tree of  $\text{Hear\_alarm}(M)$  is  
 293 depicted below, where we use grey shades to emphasise sets of edges expressing a probability  
 294 distribution. Also, note the  $\circ$ s with no children, standing for empty worlds.



295  
 296 In the literature, the distribution semantics usually associates with a goal a single probability  
 297 value (1), rather than a whole tree. However, given the distribution tree it is straightforward  
 298 to compute such probability. The subtree highlighted in red above describes a refutation of  
 299  $\text{Hear\_alarm}(M)$  with probability 0.000001296 (= the product of all the probabilities in the  
 300 subtree). The sum of all the probabilities associated to such “refutation” subtrees yields the  
 301 usual distribution semantics (1)— the computation is shown in detail in Appendix A.

302 In the remainder of this section, we focus on the coalgebraic characterisation of distribution  
 303 trees and the associated semantics map. Our strategy will be to introduce a novel coalgebra  
 304 type  $\mathcal{D}_{\leq 1} \mathcal{P}_f \mathcal{P}_f$ , such that distribution trees can be seen as elements of the cofree coalgebra.  
 305 Then, we will provide a natural transformation  $\mathcal{M}_{pr} \Rightarrow \mathcal{D}_{\leq 1} \mathcal{P}_f$ , which can be used to  
 306 transform stochastic derivation trees into distribution trees. Finally, composing the universal  
 307 properties of these cofree coalgebras will yield the desired distribution semantics.

308 We begin with the definition of  $\mathcal{D}_{\leq 1} \mathcal{P}_f$ . This is simply the composite  $\mathcal{D}_{\leq 1} \mathcal{P}_f : \mathbf{Sets} \rightarrow \mathbf{Sets}$ ,  
 309 where  $\mathcal{D}_{\leq 1}$  is the *sub-probability distribution* functor. Recall that  $\mathcal{D}_{\leq 1}$  maps  $X$  to the set of  
 310 sub-probability distributions with finite supports on  $X$  (i.e., convex combinations of elements  
 311 of  $X$  whose sum is less or equal to 1), and acts component-wise on functions.

312 ► **Remark 11.** Note that we cannot work with full probabilities here, since a goal may not  
 313 match any clause. In such a case there is no world in which the goal is refutable and its  
 314 probability in the program is 0.



315 The next step is to recover distribution trees as the elements of the  $\mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f$ -cofree  
 316 coalgebra on  $\text{At}$ . This goes via a terminal sequence, similarly to the case of  $\mathcal{M}_{pr}\mathcal{P}_f$  in the  
 317 previous section. The terminal sequence for  $\text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f(-) : \mathbf{Sets} \rightarrow \mathbf{Sets}$  is constructed  
 318 as the one for  $\text{At} \times \mathcal{M}_{pr}\mathcal{P}_f(-) : \mathbf{Sets} \rightarrow \mathbf{Sets}$  (Construction 7), with  $\mathcal{D}_{\leq 1}\mathcal{P}_f$  replacing  $\mathcal{M}_{pr}$ .

319 ► **Proposition 12.** *The terminal sequence of  $\text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f(-)$  converges at some limit  
 320 ordinal  $\chi$ , and  $(\lambda_\chi^{X+1})^{-1} : Y_\chi \rightarrow \text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f Y_\chi$  is the final  $\text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f$  coalgebra.*

321 **Proof.** As for Proposition 8, by [28, Cor. 3.3] it suffices to show that  $\mathcal{D}_{\leq 1}\mathcal{P}_f$  is accessible and  
 322 preserves monos. Both are simple exercises; in particular, see [1] for accessibility of  $\mathcal{D}_{\leq 1}$ . ◀

323 The association of distribution trees with elements of  $Y_\chi$  is suggested by the type  
 324  $\text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f$ . Indeed,  $\text{At} \times \mathcal{D}_{\leq 1}$  is the layer of atom-nodes, labelled with elements of  $\text{At}$  and  
 325 with outgoing edges forming a sub-probability distribution; the first  $\mathcal{P}_f$  is the layer of world-  
 326 nodes; the second  $\mathcal{P}_f$  is the layer of clause-nodes. The coalgebra map  $Y_\chi \rightarrow \text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f Y_\chi$   
 327 associates a goal to subtrees of its distribution trees, analogously to the coalgebra structure  
 328 on stochastic derivation trees in the previous section.

329 The last ingredient we need is a translation of stochastic derivation trees into distribution  
 330 trees. We formalise this as a natural transformation  $\text{pw} : \mathcal{M}_{pr} \Rightarrow \mathcal{D}_{\leq 1}\mathcal{P}_f$ . The naturality of  
 331  $\text{pw}$  can be checked with a simple calculation.

332 ► **Definition 13.** *The “possible worlds” natural transformation  $\text{pw} : \mathcal{M}_{pr} \Rightarrow \mathcal{D}_{\leq 1}\mathcal{P}_f$  is  
 333 defined by  $\text{pw}_X : \varphi \mapsto \sum_{Y \subseteq \text{supp}(\varphi)} r_Y Y$ , where each  $r_Y = \prod_{y \in Y} \varphi(y) \cdot \prod_{y' \in \text{supp}(\varphi) \setminus Y} (1 - \varphi(y'))$ .  
 334 In particular, when  $\text{supp}(\varphi)$  is empty,  $\text{pw}_X(\varphi) = 0$ .*

335 Now we have all the ingredients to characterise the distribution semantics coalgebraically,  
 336 as the morphism  $\langle\langle - \rangle\rangle_p : \text{At} \rightarrow Y_\chi$  defined by the following diagram, which maps  $A \in \text{At}$  to  
 337 its distribution tree in  $p$ .

$$\begin{array}{ccccc}
 & & \langle\langle - \rangle\rangle_p & & \\
 & \text{At} & \xrightarrow{\quad \llbracket - \rrbracket_p \quad} & X_\gamma & \xrightarrow{\quad ! \quad} & Y_\chi \\
 & \downarrow \langle \text{id}_{\text{At}}, p \rangle & & \downarrow \cong & & \downarrow \cong \\
 \text{At} \times \mathcal{M}_{pr}\mathcal{P}_f\text{At} & \xrightarrow{\text{id}_{\text{At}} \times \mathcal{M}_{pr}\mathcal{P}_f(\llbracket - \rrbracket_p)} & & \text{At} \times \mathcal{M}_{pr}\mathcal{P}_f X_\gamma & & \\
 \downarrow \text{id}_{\text{At}} \times \text{pw}_{\mathcal{P}_f(\text{At})} & & & \downarrow \text{id}_{\text{At}} \times \text{pw}_{\mathcal{P}_f X_\gamma} & & \\
 \text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f\text{At} & \xrightarrow{\text{id}_{\text{At}} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f(\llbracket - \rrbracket_p)} & & \text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f X_\gamma & \xrightarrow{\text{id}_{\text{At}} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f(!)} & \text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f Y_\chi \\
 & & & & & \downarrow \cong \\
 & & & & & Y_\chi
 \end{array} \tag{3}$$

338  
 339 Note the use of  $\text{pw}$  to extend probabilistic logic programs and stochastic derivation trees  
 340 to the same coalgebra type as distribution trees. Then the distribution semantics  $\langle\langle - \rangle\rangle_p$   
 341 is uniquely defined by the universal property of the final  $\text{At} \times \mathcal{D}_{\leq 1}\mathcal{P}_f\mathcal{P}_f$ -coalgebra. By  
 342 uniqueness, it can also be computed as the composite  $! \circ \llbracket - \rrbracket_p$ , that is, first one derives  
 343 the semantics  $\llbracket - \rrbracket_p$ , then applies the translation  $\text{pw}$  to each level of the resulting stochastic  
 344 derivation tree, in order to turn it into a distribution tree.

## 345 4 General Case

346 We now generalise our coalgebraic treatment to arbitrary probabilistic logic programs and  
 347 goals, possibly including variables. The section has the same structure as the one devoted to

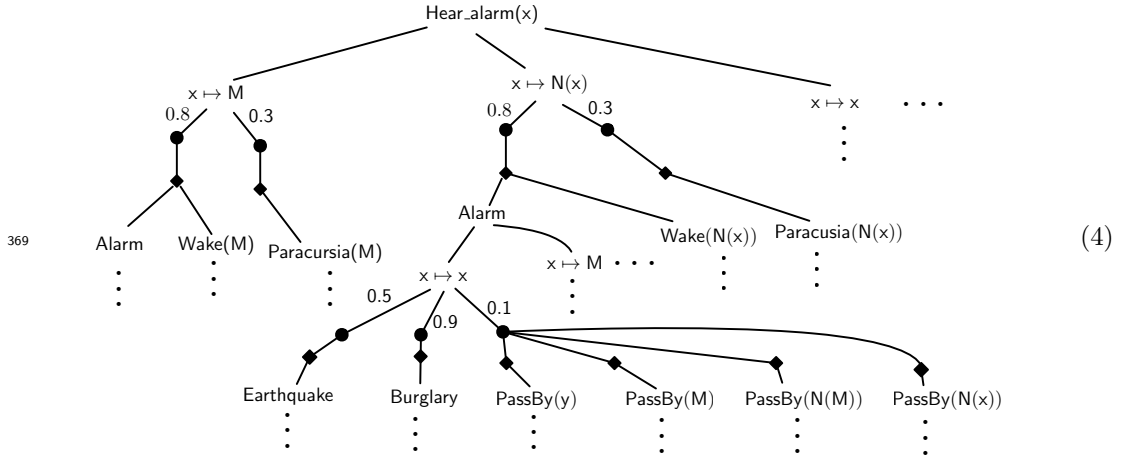
348 the ground case. First, in Subsection 4.2, we give a coalgebraic representation for general PLP,  
 349 and equip it with a final coalgebra semantics in terms of stochastic derivation trees. Next, in  
 350 Subsection 4.3, we study the coalgebraic representation of the distribution semantics. We  
 351 begin by introducing our leading example— an extension of Example 1.

352 ► **Example 14.** We tweak the ground program of Example 1. Now it is not just Mary that  
 353 may hear the alarm, but also her neighbours. There is a small probability that the alarm  
 354 rings because someone passes too close to Mary’s house. However, we can only estimate the  
 355 possibility of paracusia and being awake for Mary, not the neighbours. The revised program,  
 356 which by abuse of notation we also call  $\mathbb{P}^{al}$ , is based on an extension of the language in  
 357 Example 1: we add a new 1-ary function symbol  $\text{Neigh}^1$  to the signature  $\Sigma_{al}$ , and a new  
 358 1-ary predicate  $\text{PassBy}(-)$  to the alphabet. Note the appearance of a variable  $x$ .

0.01 :: Earthquake $\leftarrow$ 0.2 :: Burglary $\leftarrow$ 0.6 :: Wake(Mary) $\leftarrow$ 0.01 :: Paracusia(Mary) $\leftarrow$ 0.8 :: Wake(Neigh(x)) $\leftarrow$ Wake(x)		0.5 :: Alarm $\leftarrow$ Earthquake 0.9 :: Alarm $\leftarrow$ Burglary 0.1 :: Alarm $\leftarrow$ PassBy(x) 0.3 :: Hear_alarm(x) $\leftarrow$ Paracusia(x) 0.8 :: Hear_alarm(x) $\leftarrow$ Alarm, Wake(x)
---	--	---

360 As we want to maintain our approach a direct generalisation of the coalgebraic semantics [3]  
 361 of pure logic programs, the derivation semantics  $\llbracket - \rrbracket$  for PLP will represent resolution by  
 362 *unification*. This means that, at each step of the computation, given a goal  $A$ , one seeks  
 363 substitutions  $\theta, \tau$  such that  $A\theta = H\tau$  for some head  $H$  of a clause in the program. As  
 364 a roadmap, we anticipate the way this computation is represented in terms of stochastic  
 365 derivation trees (Definition 20 below), with a continuation of our leading example.

366 ► **Example 15.** In the context of Example 14, the tree for  $\llbracket \text{Hear\_alarm}(x) \rrbracket_{\mathbb{P}^{al}}$  is (partially)  
 367 depicted below. Compared to the ground case (Example 6), now substitutions applied on  
 368 the goal side appear explicitly as labels. We abbreviate  $\text{Neigh}$  as  $N$  and  $\text{Mary}$  as  $M$ .



370 Resolution by unification as above will be implemented in two stages. The first step is  
 371 devising a map for term-matching. Assuming that the substitution instance  $A\theta$  of a goal  $A$   
 372 is already given, we define  $p$  performing term-matching of  $A\theta$  in a given program  $\mathbb{P}$ :

$$373 \quad p(A\theta): \{B_1\tau_i, \dots, B_k\tau_i\}_{i \in I \subseteq \mathbb{N}} \mapsto \begin{cases} r & (r :: H \leftarrow B_1, \dots, B_k) \in \mathbb{P} \text{ and} \\ & I \text{ contains all } i \text{ s.t. } A\theta = H\tau_i \\ 0 & \text{otherwise.} \end{cases} \quad (5)$$

374 Intuitively, one application of such map is represented in a tree structure as Example 15  
 375 by the first two layers of the subtree rooted at  $\theta$ . The reason why the domain of  $p(A)$  is  
 376 a *countable* set  $\{B_1\tau_i, \dots, B_k\tau_i\}_{i \in \mathbb{I}\mathbb{C}\mathbb{N}}$  of instances of the same body  $B_1, \dots, B_k$  is that the  
 377 same clause may match a goal with countably many different substitutions  $\tau_i$ . For example  
 378 in the bottom part of (4) there are countably infinite substitutions  $\tau_i$  matching the head of  
 379  $\text{Alarm} \leftarrow \text{PassBy}(x)$  to the goal  $\text{Alarm}$ , substituting  $x$  with  $\text{Mary}, \text{Neigh}(\text{Mary}), \text{Neigh}(x), \dots$   
 380 This will be reflected in the coalgebraic representation of PLP (see (7) below) by the use of  
 381 the countable powset functor  $\mathcal{P}_c$ .

382 In order to model arbitrary unification, the second step is considering all substitutions  
 383  $\theta$  on the goal  $A$  such that a term-matcher for  $A\theta$  exists. There is an elegant categorical  
 384 construction [3] packing together these two steps into a single coalgebra map. We will  
 385 present it in subsection 4.1, and then use it to present the derivation semantics anticipated  
 386 by Example 15 (Section 4.2). Finally we will give a coalgebraic view on the distribution  
 387 semantics for PLP (Section 4.3).

### 388 4.1 Coalgebraic Representation of PLP

389 Towards a categorification of general PLP, the first concern is to account for the presence of  
 390 variables in atoms. This is standardly done by letting the space of atoms on an alphabet  $\mathcal{A}$   
 391 be a presheaf  $\text{At}: \mathbf{L}_\Sigma^{\text{op}} \rightarrow \mathbf{Sets}$  rather than a set. Here the index category  $\mathbf{L}_\Sigma^{\text{op}}$  is the opposite  
 392 *Lawvere Theory* of  $\Sigma$  (see Section 2). For each  $n \in \text{Ob}(\mathbf{L}_\Sigma^{\text{op}})$ ,  $\text{At}(n)$  is defined as the set of  
 393  $\mathcal{A}$ -atoms in context  $n$ . Given a  $n$ -tuple  $\theta = \langle t_1, \dots, t_n \rangle \in \mathbf{L}_\Sigma^{\text{op}}[n, m]$  of  $\Sigma$ -terms in context  $m$ ,  
 394  $\text{At}(\theta): \text{At}(n) \rightarrow \text{At}(m)$  is defined by substitution, namely  $\text{At}(\theta)(A) = A\theta$ , for any  $A \in \text{At}(n)$ .

395 As observed in [17] for pure logic programs, if we naively try to model our specification  
 396 (5) for  $p$  as a coalgebra on  $\text{At}$ , we run into problems: indeed  $p$  is not a natural transformation,  
 397 thus not a morphism between presheaves. Intuitively, this is because the existence of a  
 398 term-matching for a goal  $A$  does not necessarily imply the existence of a term-matching  
 399 for its substitution instance  $A\sigma$ . For pure logic programs, this problem can be solved in at  
 400 least two ways. First, [17] relaxes naturality by changing the base category of presheaves  
 401 from  $\mathbf{Sets}$  to  $\mathbf{Poset}$ . We take here the second route, namely give a ‘saturated’ coalgebraic  
 402 treatment of PLP, generalising the modelling of pure logic programs proposed in [3]. This  
 403 approach has the advantage of letting us work with  $\mathbf{Sets}$ -based presheaves, and be still able  
 404 to recover term-matching via a ‘desaturation’ operation— see [3] and Appendix B.

405 **The Saturation Adjunction.** To this aim, we briefly recall the saturated approach from  
 406 [3]. The central piece is the adjunction  $\mathcal{U} \dashv \mathcal{K}$  on presheaf categories, as on the left below.

$$\begin{array}{ccc}
 \mathbf{Sets}^{\mathbf{L}_\Sigma^{\text{op}}} & \begin{array}{c} \xrightarrow{\mathcal{U}} \\ \perp \\ \xleftarrow{\mathcal{K}} \end{array} & \mathbf{Sets}^{|\mathbf{L}_\Sigma^{\text{op}}|} \\
 & & 
 \end{array}
 \qquad
 \begin{array}{ccc}
 |\mathbf{L}_\Sigma^{\text{op}}| & \xrightarrow{\iota} & \mathbf{L}_\Sigma^{\text{op}} \\
 \mathbf{F} \downarrow & \swarrow \mathcal{K}(\mathbf{F}) & \\
 \mathbf{Sets} & & 
 \end{array}
 \quad (6)$$

408 Here  $|\mathbf{L}_\Sigma^{\text{op}}|$  is the discretisation of  $\mathbf{L}_\Sigma^{\text{op}}$ , i.e. all the arrows but the identities are dropped. The  
 409 left adjoint  $\mathcal{U}$  is the forgetful functor, given by precomposition with the obvious inclusion  
 410  $\iota: |\mathbf{L}_\Sigma^{\text{op}}| \rightarrow \mathbf{L}_\Sigma^{\text{op}}$ .  $\mathcal{U}$  has a right adjoint  $\mathcal{K} = \text{Ran}\iota: \mathbf{Sets}^{|\mathbf{L}_\Sigma^{\text{op}}|} \rightarrow \mathbf{Sets}^{\mathbf{L}_\Sigma^{\text{op}}}$ , which sends every  
 411 presheaf  $\mathbf{F}: |\mathbf{L}_\Sigma^{\text{op}}| \rightarrow \mathbf{Sets}$  to its *right Kan extension* along  $\iota$ , as in the rightmost diagram  
 412 in (6). The definition of  $\mathcal{K}$  can be computed [21] as follows:

- 413 ■ on objects  $\mathbf{F} \in \text{Ob}(\mathbf{Sets}^{|\mathbf{L}_\Sigma^{\text{op}}|})$ , the presheaf  $\mathcal{K}(\mathbf{F}): \mathbf{L}_\Sigma^{\text{op}} \rightarrow \mathbf{Sets}$  is defined by letting  $\mathcal{K}(\mathbf{F})(n)$   
 414 be the product  $\mathcal{K}(\mathbf{F})(n) = \prod_{\theta \in \mathbf{L}_\Sigma^{\text{op}}[n, m]} \mathbf{F}(m)$ , where  $m$  ranges over  $\text{Ob}(\mathbf{L}_\Sigma^{\text{op}})$ . Intuitively,  
 415 every element in  $\mathcal{K}(\mathbf{F})(n)$  is a tuple with index set  $\bigcup_{m \in \text{Ob}(\mathbf{L}_\Sigma^{\text{op}})} \mathbf{L}_\Sigma^{\text{op}}[n, m]$ , and its component

416 at index  $\theta: n \rightarrow m$  is an element in  $F(m)$ . We follow the convention of [3] and write  
 417  $\dot{x}, \dot{y}, \dots$  for such tuples, and  $\dot{x}(\theta)$  for the component of  $\dot{x}$  at index  $\theta$ .

418 With this convention, given an arrow  $\sigma \in \mathbf{L}_\Sigma^{\text{op}}[n, n']$ ,  $\mathcal{K}(F)(\sigma)$  is defined by pointwise  
 419 substitution as the mapping of the tuple  $\dot{x}$  to the tuple  $\langle \dot{x}(\theta \circ \sigma) \rangle_{\theta: n' \rightarrow m}$ .

420 ■ On arrows, given a morphism  $\alpha: F \rightarrow G$  in  $\mathbf{Sets}^{|\mathbf{L}_\Sigma^{\text{op}}|}$ ,  $\mathcal{K}(\alpha)$  is a natural transformation  
 421  $\mathcal{K}(F) \rightarrow \mathcal{K}(G)$  defined pointwisely as  $\mathcal{K}(\alpha)(n): \dot{x} \mapsto \langle \alpha_m(\dot{x}(\theta)) \rangle_{\theta: n \rightarrow m}$ .

422 It is also useful to record the unit  $\eta: 1 \rightarrow \mathcal{KU}$  of the adjunction  $\mathcal{U} \dashv \mathcal{K}$ . Given a  
 423 presheaf  $F: \mathbf{L}_\Sigma^{\text{op}} \rightarrow \mathbf{Sets}$ ,  $\eta_F: F \rightarrow \mathcal{KU}F$  is a natural transformation defined by  $\eta_F(n): x \mapsto$   
 424  $\langle F(\theta)(x) \rangle_{\theta: n \rightarrow m}$ .

425 **Saturation in PLP.** We now come back to the question of the coalgebra structure on the  
 426 presheaf  $\text{At}$  modelling PLP. First, we are now able to represent  $p$  in (5) as a coalgebra map.  
 427 The aforementioned naturality issue is solved by defining it as a morphism in  $\mathbf{Sets}^{|\mathbf{L}_\Sigma^{\text{op}}|}$  rather  
 428 than in  $\mathbf{Sets}^{\mathbf{L}_\Sigma^{\text{op}}}$ , thus making naturality trivial. The coalgebra  $p$  will have the following type

$$429 \quad p: \mathcal{U}\text{At} \rightarrow \widehat{\mathcal{M}}_{pr} \widehat{\mathcal{P}}_c \widehat{\mathcal{P}}_f \mathcal{U}\text{At} \quad (7)$$

430 where  $\widehat{(\cdot)}$  is the obvious extension of  $\mathbf{Sets}$ -endofunctors to  $\mathbf{Sets}^{|\mathbf{L}_\Sigma^{\text{op}}|}$ -endofunctors, defined by  
 431 functor precomposition. With respect to the ground case, note the insertion of  $\widehat{\mathcal{P}}_c$ , the lifting  
 432 of the *countable* powerset functor, in order to account for the countably many instances of a  
 433 clause that may match the given goal (*cf.* the discussion below (5)).

434 ► **Example 16.** Our program  $\mathbb{P}^{al}$  (Example 14) is based on  $\text{At}_{al}: \mathbf{L}_{\Sigma_{al}}^{\text{op}} \rightarrow \mathbf{Sets}$ . Some  
 435 of its values are  $\text{At}_{al}(0) = \{\text{Mary}, \text{Neigh}(\text{Mary}), \text{Neigh}(\text{Neigh}(\text{Mary})), \dots\}$  and  $\text{At}_{al}(1) =$   
 436  $\{x, \text{Mary}, \text{Neigh}(x), \text{Neigh}(\text{Mary}), \dots\}$ . Part of the coalgebra  $p_{al}$  modelling the program  $\mathbb{P}^{al}$  is  
 437 as follows (*cf.* the tree (4)).

$$438 \quad (p_{al})_0(\text{Hear\_alarm}(\text{Mary})) = 0.8\{\{\text{Alarm}, \text{Wake}(\text{Mary})\}\} + 0.3\{\{\text{Parasusia}(\text{Mary})\}\}$$

$$439 \quad (p_{al})_1(\text{Alarm}) = 0.5\{\{\text{Earthquake}\}\} + 0.9\{\{\text{Burglary}\}\}$$

$$440 \quad \quad \quad + 0.1\{\{\text{PassBy}(\text{Mary})\}, \{\text{PassBy}(\text{Neigh}(\text{Mary}))\}, \{\text{PassBy}(\text{Neigh}(x))\}, \dots\}$$

442 The universal property of the adjunction (6) gives a canonical ‘lifting’ of  $p$  to a  $\mathcal{K}\widehat{\mathcal{M}}_{pr} \widehat{\mathcal{P}}_c \widehat{\mathcal{P}}_f \mathcal{U}$ -  
 443 coalgebra  $p^\sharp$  on  $\text{At}$ , performing unification rather than just term-matching:

$$444 \quad p^\sharp := \text{At} \xrightarrow{\eta_{\text{At}}} \mathcal{KU}\text{At} \xrightarrow{\mathcal{K}p} \mathcal{K}\widehat{\mathcal{M}}_{pr} \widehat{\mathcal{P}}_c \widehat{\mathcal{P}}_f \mathcal{U}\text{At} \quad (8)$$

where  $\eta$  is the unit of the adjunction, as defined above. Spelling it out,  $p^\sharp$  is the mapping

$$p_n^\sharp : A \in \text{At}(n) \mapsto \langle p_m(A\theta) \rangle_{\theta: n \rightarrow m}.$$

445 Intuitively,  $p_n^\sharp$  retrieves all the unifiers  $\langle \theta, \tau \rangle$  of  $A$  and head  $H$  in  $\mathbb{P}$ : first, we have  $A\theta \in \text{At}(m)$   
 446 as a component of the saturation of  $A$  by  $\eta_{\text{At}}$ ; then we term-match  $H$  with  $A\theta$  by  $\mathcal{K}p_m$ .

447 ► **Remark 17.** Note that the parameter  $n \in \text{Ob}(\mathbf{L}_\Sigma^{\text{op}})$  in the natural transformation  $p^\sharp$  fixes  
 448 the pool  $\{x_1, \dots, x_n\}$  of variables appearing in the atoms (and relative substitutions) that are  
 449 considered in the computation. Analogously to the case of pure logic programs [17, 3], it is  
 450 intended that such  $n$  can always be chosen “big enough” so that all the relevant substitution  
 451 instances of the current goal and clauses in the program are covered—note the variables  
 452 occurring therein always form a *finite* set, included in  $\{x_1, \dots, x_m\}$  for some  $m \in \mathbb{N}$ .

## 4.2 Derivation Semantics

Once we have identified our coalgebra type, the construction leading to the derivation semantics  $\llbracket - \rrbracket_{p^\#}$  for general PLP is completely analogous to the ground case. One can define the cofree coalgebra for  $\mathcal{K}\widehat{\mathcal{M}}_{pr}\widehat{\mathcal{P}}_c\widehat{\mathcal{P}}_f\mathcal{U}(-)$  by terminal sequence, similarly to Construction 7. For simplicity, henceforth we denote the functor  $\mathcal{K}\widehat{\mathcal{M}}_{pr}\widehat{\mathcal{P}}_c\widehat{\mathcal{P}}_f\mathcal{U}(-)$  by  $\mathcal{S}$ .

► **Construction 18.** The terminal sequence for  $\text{At} \times \mathcal{S}(-) : \mathbf{Sets}^{\mathbf{L}^{\text{op}}} \rightarrow \mathbf{Sets}^{\mathbf{L}^{\text{op}}}$  consists of a sequence of objects  $X_\alpha$  and morphisms  $\delta_\alpha^\beta : X_\beta \rightarrow X_\alpha$ , for  $\alpha < \beta \in \mathbf{Ord}$ , defined analogously to Construction 7, with  $p^\#$  and  $\mathcal{S}$  replacing  $p$  and  $\mathcal{M}_{pr}\mathcal{P}_f$ .

This terminal sequence converges by the following lemma.

► **Proposition 19.**  $\mathcal{S}$  is accessible, and preserves monomorphisms.

**Proof.** Since both properties are preserved by composition, it suffices to show that they hold for all the component functors. For  $\widehat{\mathcal{M}}_{pr}$ ,  $\widehat{\mathcal{P}}_c$  and  $\widehat{\mathcal{P}}_f$ , they follow from accessibility and mono-preservation of  $\mathcal{M}_{pr}$ ,  $\mathcal{P}_c$  and  $\mathcal{P}_f$  (see Proposition 8), as (co)limits in presheaf categories are computed pointwise. For  $\mathcal{K}$  and  $\mathcal{U}$ , these properties are proven in [3]. ◀

Therefore the terminal sequence for  $\text{At} \times \mathcal{S}(-)$  converges at some limit ordinal, say  $\gamma$ , yielding the final  $\text{At} \times \mathcal{S}(-)$ -coalgebra  $X_\gamma \xrightarrow{\cong} \text{At} \times \mathcal{S}(X_\gamma)$ . The derivation semantics is then defined  $\llbracket - \rrbracket_{p^\#} : \text{At} \rightarrow X_\gamma$  by universal property, as on the right.

$$\begin{array}{ccc}
 \text{At} & \xrightarrow{\llbracket - \rrbracket_{p^\#}} & X_\gamma \\
 \langle \text{id}_{\text{At}}, p^\# \rangle \downarrow & & \downarrow \cong \\
 \text{At} \times \mathcal{S}(\text{At}) & \xrightarrow{\text{id}_{\text{At}} \times \llbracket - \rrbracket_{p^\#}} & \text{At} \times \mathcal{S}(X_\gamma)
 \end{array} \quad (9)$$

A careful inspection of the terminal sequence constructing  $X_\gamma$  allows to infer a representation of its elements as trees, among which we have those representing computations by unification of goals in a PLP program. We call these *stochastic saturated derivation trees*, as they extend the derivation trees of Definition 5 and are the probabilistic variant of saturated and-or trees in [3]. Using (9) one can easily verify that  $\llbracket A \rrbracket$  is indeed the stochastic saturated derivation tree for a given goal  $A$ . Example 15 provides a pictorial representation of one such tree.

► **Definition 20** (Stochastic saturated derivation trees). *Given a probabilistic logic program  $\mathbb{P}$ , a natural number  $n$  and an atom  $A \in \text{At}(n)$ . The stochastic saturated derivation tree for  $A$  in  $\mathbb{P}$  is the possibly infinite tree  $\mathcal{T}$  satisfying the following properties:*

1. *There are four kinds of nodes: atom-node (labelled with an atom), substitution-node (labelled with a substitution), clause-node (labelled with  $\bullet$ ), instance-node (labelled with  $\blacklozenge$ ), appearing alternatively in depth in this order. The root is an atom-node with label  $A$ .*
2. *Each clause-node is labelled with a probability value.*
3. *Suppose an atom-node  $s$  is labelled with  $A' \in \text{At}(n')$ . For every substitution  $\theta : n' \rightarrow m'$ ,  $s$  has exactly one (substitution-) child  $t$  labelled with  $\theta$ . For every clause  $r :: H \leftarrow B_1, \dots, B_k$  in  $\mathbb{P}$  such that  $H$  matches  $A'\theta$  (via some substitution),  $t$  has exactly one (clause-) child  $u$ , and edge  $t \rightarrow u$  is labelled with  $r$ . Then for every substitution  $\tau$  such that  $A'\theta = H\tau$  and  $B_1\tau, \dots, B_k\tau \in \text{At}(m')$ ,  $u$  has exactly one (instance-) child  $v$ . Also  $v$  has exactly  $|\{B_1\tau, \dots, B_k\tau\}|$ -many (atom-) children, each labelled with one element in  $\{B_1\tau, \dots, B_k\tau\}$ .*

## 4.3 Distribution Semantics

In this section we conclude by giving a coalgebraic perspective on the distribution semantics  $\langle\langle - \rangle\rangle$  for general PLP. Mimicking the ground case (Section 3.3), this will be presented as an

491 extension of the derivation semantics, via a ‘possible worlds’ natural transformation. Also in  
 492 the general case, we want to guarantee that a single probability value is computable for a given  
 493 goal  $A$  from the corresponding tree  $\langle\langle A \rangle\rangle$  in the final coalgebra— whenever this probability is  
 494 also computable in the ‘traditional’ way (see (1)) of giving distribution semantics to PLP. In  
 495 this respect, the presence of variables and substitutions poses additional challenges, for which  
 496 we refer to Appendices A and B. In a nutshell, the issue is that the distribution semantics  
 497 counts the use of a clause in the program at most once, independently from how many  
 498 times that clause is used again in the computation. To account for this aspect in our tree  
 499 representation, we need to give enough information to determine which clause is used at  
 500 each step of the computation, so that a second use can be easily detected. Note that neither  
 501 our saturated derivation trees, nor a ‘naive’ extension of them to distribution trees, carry  
 502 such information: what appears in there is only the instantiated heads and bodies, but in  
 503 general one cannot retrieve  $A$  from a substitution  $\theta$  and the instantiation  $A\theta$ . This is best  
 504 illustrated via a simple example.

505 ► **Example 21.** Consider the following program, based on the signature  $\Sigma = \{a^0\}$  and two  
 506 1-ary predicates  $P, Q$ . It consists of two clauses:

$$507 \quad 0.5 :: P(x_1) \leftarrow Q(x_1) \mid 0.5 :: P(x_1) \leftarrow Q(x_2)$$

508 The goal  $P(a)$  matches the head of both clauses. However, given the sole information of the  
 509 next goal being  $Q(a)$ , it is impossible to say whether the first clause has been used, instantiated  
 510 with  $x_1 \mapsto a$ , or the second clause has been used, instantiated with  $x_1 \mapsto a, x_2 \mapsto a$ .

511 This observation motivates, as intermediate step towards the distribution semantics, the  
 512 addition of labels to clause-nodes in derivation trees, in order to make explicit which clause  
 513 is being applied. From the coalgebraic viewpoint, this just amounts to an extension of the  
 514 type of the term-matching coalgebra:

$$515 \quad \tilde{p}: \mathcal{U}\text{At} \rightarrow \widehat{\mathcal{M}}_{pr}(\widehat{\mathcal{P}}_c \widehat{\mathcal{P}}_f \mathcal{U}\text{At} \times (\mathcal{U}\text{At} \times \mathcal{U}\widehat{\mathcal{P}}_f \text{At})).$$

516 Note the insertion of  $(-) \times (\mathcal{U}\text{At} \times \mathcal{U}\widehat{\mathcal{P}}_f \text{At})$ , which allows us to indicate at each step the head  
 517  $(\mathcal{U}\text{At})$  and the body  $(\mathcal{U}\widehat{\mathcal{P}}_f \text{At})$  of the clause being used, its probability label being already  
 518 given by  $\widehat{\mathcal{M}}_{pr}$ . More formally, for any  $n$  and atom  $A \in \text{At}(n)$ , we define<sup>1</sup>

$$519 \quad \tilde{p}_n(A): \langle \{B_1\tau_i, \dots, B_k\tau_i\}_{i \in \mathcal{I} \subseteq \mathcal{N}}, \langle H, \{B_1, \dots, B_k\} \rangle \rangle \mapsto \begin{cases} r & (r :: H \leftarrow B_1, \dots, B_k) \in \mathbb{P}, H\tau_i = A \\ 0 & \text{otherwise} \end{cases}$$

520 As in the case of  $p$  in (7), we can move from term-matching to unification by using the universal  
 521 property of the adjunction  $\mathcal{U} \dashv \mathcal{K}$ , yielding  $\tilde{p}^\sharp: \text{At} \rightarrow \mathcal{K}\widehat{\mathcal{M}}_{pr}(\widehat{\mathcal{P}}_c \widehat{\mathcal{P}}_f \mathcal{U}\text{At} \times (\mathcal{U}\text{At} \times \mathcal{U}\widehat{\mathcal{P}}_f \text{At}))$ .  
 522 For simplicity henceforth we denote the functor  $\mathcal{K}\widehat{\mathcal{M}}_{pr}(\widehat{\mathcal{P}}_c \widehat{\mathcal{P}}_f \mathcal{U}(-) \times (\mathcal{U}\text{At} \times \widehat{\mathcal{P}}_f \text{At}))$  by  $\mathcal{R}$ .

523 We are now able to conclude our characterisation of the distribution semantics. The  
 524 ‘possible worlds’ transformation  $\text{pw}: \mathcal{M}_{pr} \Rightarrow \mathcal{D}_{\leq 1} \mathcal{P}_f$  (Definition 13) yields a natural trans-  
 525 formation  $\widehat{\text{pw}}: \widehat{\mathcal{M}}_{pr} \rightarrow \widehat{\mathcal{D}}_{\leq 1} \widehat{\mathcal{P}}_f$ , defined pointwise by  $\text{pw}$ . We can use  $\widehat{\text{pw}}$  to translate  $\mathcal{R}$  into  
 526 the functor  $\mathcal{K}\widehat{\mathcal{D}}_{\leq 1} \widehat{\mathcal{P}}_f(\widehat{\mathcal{P}}_c \widehat{\mathcal{P}}_f \mathcal{U}(-) \times (\mathcal{U}\text{At} \times \widehat{\mathcal{P}}_f \text{At}))$ , abbreviated as  $\mathcal{O}$ , which is going to give  
 527 the type of saturated distribution trees for general PLP programs.

<sup>1</sup> As noted in Remark 17, instantiating  $\tilde{p}$  to some  $n \in \text{Ob}(\mathbf{L}_\Sigma^{\text{op}})$  fixes a variable context  $\{x_1, \dots, x_n\}$  both for the goal and the clause labels. In practice, because the set of clauses is always finite, it suffices to chose  $n$  “big enough” so that the variables appearing in the clauses are included in  $\{x_1, \dots, x_n\}$ .

As a simple extension of the developments in Section 4.2, we can construct the cofree  $\mathcal{R}$ -coalgebra  $\Phi \xrightarrow{\cong} \text{At} \times \mathcal{R}(\Phi)$  via a terminal sequence. Similarly, one can obtain the cofree  $\mathcal{O}$ -coalgebra  $\Psi \xrightarrow{\cong} \text{At} \times \mathcal{O}(\Psi)$ . By the universal property of  $\Psi$ , all these ingredients get together in the definition of the distribution semantics  $\langle\langle - \rangle\rangle_{\tilde{p}^\sharp}$  for arbitrary PLP programs  $\tilde{p}^\sharp$

$$\begin{array}{ccccc}
 & & \langle\langle - \rangle\rangle_{\tilde{p}^\sharp} & & \\
 & \text{At} & \xrightarrow{\quad !_\Phi \quad} & \Phi & \xrightarrow{\quad !_\Psi \quad} & \Psi \\
 & \downarrow \langle \text{id}_{\text{At}}, \tilde{p}^\sharp \rangle & & \downarrow \cong & & \downarrow \cong \\
 \text{At} \times \mathcal{R}\text{At} & \xrightarrow{\text{id}_{\text{At}} \times \mathcal{R}(!_\Phi)} & & \text{At} \times \mathcal{R}\Phi & & \text{At} \times \mathcal{O}\Psi \\
 \downarrow \text{id}_{\text{At}} \times \mathcal{K}_{\widehat{p\omega}} & & & \downarrow \text{id}_{\text{At}} \times \mathcal{K}_{\widehat{p\omega}} & & \downarrow \cong \\
 \text{At} \times \mathcal{O}\text{At} & \xrightarrow{\text{id}_{\text{At}} \times \mathcal{O}(!_\Phi)} & & \text{At} \times \mathcal{O}\Phi & \xrightarrow{\text{id}_{\text{At}} \times \mathcal{O}(!_\Psi)} & \text{At} \times \mathcal{O}\Psi
 \end{array}$$

528 where  $!_\Phi$  and  $!_\Psi$  are given by the evident universal properties, and show the role of the cofree  
 529  $\mathcal{R}$ -coalgebra  $\Phi$  as an intermediate step. The layered construction of final coalgebras  $\Psi$  and  $\Phi$ ,  
 530 together with the above characterisation of  $\langle\langle - \rangle\rangle_{\tilde{p}^\sharp}$ , allow to conclude that the distribution  
 531 semantics for the program  $\tilde{p}^\sharp$  maps a goal  $A$  to its *saturated distribution tree*  $\langle\langle A \rangle\rangle_{\tilde{p}^\sharp}$ , as  
 532 formally defined below.

533 **► Definition 22 (Saturated distribution tree).** *The saturated distribution tree for  $A \in \text{At}(n)$*   
 534 *in  $\mathbb{P}$  is the possibly infinite  $\mathcal{T}$  satisfying the following properties based on Definition 20:*

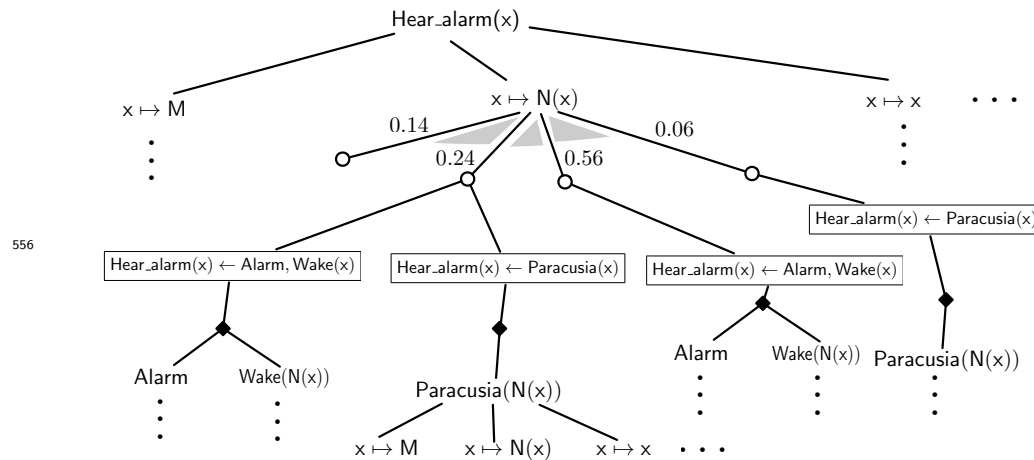
- 535 1. *There are five kinds of nodes: in addition to the atom-, substitution-, clause- and instance-*  
 536 *nodes, there are world-nodes. The world-nodes are children of the substitution-nodes, and*  
 537 *parents of the clause nodes. The root and the order of the rest nodes are the same as in*  
 538 *Definition 20, condition 1. The clause-nodes are now labelled with clauses of  $\mathbb{P}$ .*
- 539 2. *Suppose  $s$  is an atom node labelled with  $A' \in \text{At}(n')$ , and  $t$  is a substitution-child of  $s$*   
 540 *labelled with  $\theta: n' \rightarrow m$ . Let  $C$  be the set of all clauses  $C$  such that  $\text{Head}(C)$  matches  $A'\theta$ .*  
 541 *Then  $t$  has  $2^{|C|}$  world-children, each representing a subset  $X$  of  $C$ . If a child  $u$  represents*  
 542 *subset  $X$ , then the edge  $t \rightarrow u$  has probability label  $\prod_{C \in X} \text{Label}(C) \cdot \prod_{C' \in C \setminus X} \text{Label}(C')$ .*  
 543 *Also  $u$  has  $|X|$  clause-children, one for each clause  $C \in X$ , labelled with the corresponding*  
 544 *clause. The rest for clause-nodes and instance-nodes are the same as in Definition 20,*  
 545 *condition 3.*

546 **► Remark 23.** Note that, in principle, saturated distribution trees could be defined coal-  
 547 gebraically without the intermediate step of adding clause labels. This is to be expected:  
 548 coalgebra typically captures the one-step, “local” behaviour of a system. On the other hand,  
 549 as explained, the need for clause labels is dictated by a computational aspect involving the  
 550 depth of distribution trees, that is, a “non-local” dimension of the system.

551 We conclude with the pictorial representation of the saturated distribution tree of a goal in  
 552 our leading example.

553 **► Example 24.** In the context of Example 14, the tree  $\langle\langle \text{Hear\_alarm}(x) \rangle\rangle$  capturing the  
 554 distribution semantics of  $\text{Hear\_alarm}(x)$  is (partially) depicted as follows. Note the presence

555 of clauses labelling the clause-nodes.



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## 648 **A** Computability of the Distribution Semantics (Ground Case)

649 **Computing with distribution trees.** As a justification for our tree representation of the  
 650 distribution semantics, we claimed that the probability  $\Pr_{\mathbb{P}}(A)$  associated with a goal (see  
 651 (1)) can be straightforwardly computed from the corresponding distribution tree  $\langle\langle A \rangle\rangle_{\mathbb{P}}$ . This  
 652 appendix supplies such an algorithm. Note this serves just as a proof of concept, without  
 653 any claim of efficiency compared to pre-existing implementations. In the sequel we fix a  
 654 ground PLP program  $\mathbb{P}$  with atoms  $\text{At}$ , a goal  $A \in \text{At}$  and the distribution tree  $\mathcal{T}$  for  $A$  in  $\mathbb{P}$   
 655 (Definition 9). First, we may assume that  $\mathcal{T}$  does not contain loop (which implies that  $\mathcal{T}$  is  
 656 finite). Indeed, in the ground case loops only results from multiple appearance of an atom in  
 657 some path, which can be easily detected. We can prune the subtrees of  $\mathcal{T}$  rooted by atoms  
 658 that already appeared at an earlier stage: this does not affect the computation of  $\Pr_{\mathbb{P}}(A)$ ,  
 659 and it makes  $\mathcal{T}$  finite. Next, we introduce the concept of *deterministic* subtree. Basically a  
 660 deterministic subtree selects one world-node at each stage. Recall that every clause-node  
 661 in  $\mathcal{T}$  represents a clause in  $|\mathbb{P}|$ , whose head is the label of its atom-grandparent, and body  
 662 consists of the labels of its atom-children.

663 **► Definition 25.** *A subtree  $\mathcal{S}$  of  $\mathcal{T}$  is deterministic if (i) it contains exactly one child*  
 664 *(world-node) for each atom-node and all children for other nodes, and (ii) for any distinct*  
 665 *atom-nodes  $s, t$  in  $\mathcal{S}$  with the same label,  $s$  and  $t$  have their clause-grandchildren representing*  
 666 *the same clauses.*

667 The idea is that  $\mathcal{S}$  describes a computation in which the choice of a possible world (i.e., a  
 668 sub-program of  $\mathbb{P}$ ) associated to any atom  $B$  appearing during the resolution is uniquely  
 669 determined. Because of this feature, each deterministic subtree uniquely identifies a set of  
 670 sub-programs of  $\mathbb{P}$ , and together the deterministic subtrees of  $\mathcal{T}$  form a *partition* over the set  
 671 of these sub-programs (see Proposition 27 below).

672 Since  $\mathcal{T}$  is finite, it is clear that we can always provide an enumeration of its deterministic  
 673 subtrees. We can now present our algorithm, in two steps. First, Algorithm 1 computes the  
 674 probability associated with a deterministic subtree. Second, Algorithm 2 computes  $\Pr_{\mathbb{P}}(A)$   
 675 by summing up the probabilities found by Algorithm 1 on all the deterministic subtrees of  $\mathcal{T}$   
 676 which contains a refutation of  $A$ . Below we write  $\text{label}(s \rightarrow t)$  for the probability labelling  
 677 the edge from  $s$  to  $t$ .

---

**Algorithm 1** Compute probability of a deterministic subtree

---

**Input:** A deterministic subtree  $\mathcal{S}$  of  $\mathcal{T}$ **Output:** The probability of  $\mathcal{S}$ 

```

1: probList = []
2: for atom-node  $s$  in  $\mathcal{S}$  do
3:   if  $s$  has child then
4:     probList += label( $s \rightarrow \text{child}(s)$ )
5: if probList == [] then
6:   return 0
7: else prob = product of values in probList
8:   return prob

```

---



---

**Algorithm 2** Compute probability of a goal

---

**Input:** The distribution tree  $\mathcal{T}$  of  $A$  in  $\mathbb{P}$ **Output:** The success probability  $\text{Pr}_{\mathbb{P}}(A)$ 

```

1: probSuc = 0
2: for deterministic subtree  $\mathcal{S}$  of  $\mathcal{T}$  do
3:   if  $\mathcal{S}$  refutes  $A$  then
4:     probSuc += Algorithm 1( $\mathcal{S}$ )
5: return probSuc

```

---

678 The above procedure terminates because  $\mathcal{T}$  is finite and every for-loop is finite. We now  
679 focus on the correctness of the algorithm.

680 **Correctness.** As mentioned, a world-node in a deterministic subtree can be seen as a  
681 choice of clauses: one chooses the clauses represented by its clause-children, and discards the  
682 clauses represented by its “complement” world. For correctness, we make this precise, via  
683 the following definition.

684 **► Definition 26.** *Given a clause  $\mathcal{C}$  in  $\mathbb{P}$ , a deterministic subtree  $\mathcal{S}$  of  $\mathcal{T}$ , a world-node  $t$  and  
685 its atom-parent  $s$  in  $\mathcal{S}$ , we say  $t$  accepts  $\mathcal{C}$  if  $\text{Head}(\mathcal{C}) = \text{label}(s)$  and there is a clause-child  
686 of  $t$  that represents  $\mathcal{C}$ ;  $t$  rejects  $\mathcal{C}$  if  $\text{Head}(\mathcal{C}) = \text{label}(s)$  but no clause-child of  $t$  represents  $\mathcal{C}$ .  
687 We say  $\mathcal{S}$  accepts (rejects)  $\mathcal{C}$  if there exists a world-node  $t$  in  $\mathcal{S}$  accepts (rejects)  $\mathcal{C}$ .*

688 Note that Definition 25, condition (ii) prevents the existence of world-nodes  $t, t'$  in  $\mathcal{S}$  such  
689 that  $t$  accepts  $\mathcal{C}$  and  $t'$  rejects  $\mathcal{C}$ . Thus the notion that  $\mathcal{S}$  accepts (rejects)  $\mathcal{C}$  is well-defined.  
690 We denote the set of clauses accepted and rejected by  $\mathcal{S}$  by  $\text{Acc}(\mathcal{S})$  and  $\text{Rej}(\mathcal{S})$ , respectively.  
691 Then we can define the set  $\text{SubProg}(\mathcal{S})$  of sub-programs represented by  $\mathcal{S}$  as

$$692 \quad \text{SubProg}(\mathcal{S}) := \{\mathbb{L} \subseteq |\mathbb{P}| \mid \forall \mathcal{C} \in \text{Acc}(\mathcal{S}), \mathcal{C} \in \mathbb{L}; \forall \mathcal{C}' \in \text{Rej}(\mathcal{S}), \mathcal{C}' \notin \mathbb{L}\} \quad (10)$$

693 We will prove the correctness of the algorithm through the following basic observations on  
694 the connection between deterministic subtrees and the sub-programs they represent:

695 **► Proposition 27.** *Suppose  $\mathcal{S}$  is a deterministic subtree of the distribution tree  $\mathcal{T}$  of  $A$ .*

- 696 1.  $\{\text{SubProg}(\mathcal{S}) \mid \mathcal{S} \text{ is deterministic subtree of } \mathcal{T}\}$  forms a partition of  $\mathcal{P}(\mathbb{P})$ .
- 697 2. Either  $\mathbb{L} \vdash A$  for all  $\mathbb{L} \in \text{SubProg}(\mathcal{S})$  or  $\mathbb{L} \not\vdash A$  for all  $\mathbb{L} \in \text{SubProg}(\mathcal{S})$ .

698 3.  $\sum_{\mathbb{L} \in \text{SubProg}(\mathcal{S})} \text{Pr}_{\mathbb{P}}(\mathbb{L}) = \prod_{r_i \in \mathcal{S}} r_i$ , where the  $r_i$ s are all the probability labels appearing in  
699  $\mathcal{S}$  (on the atom-node  $\rightarrow$  world-node edges).

700 **Proof.**

- 701 1. Given any two distinct deterministic subtrees, there is an atom-node  $s$  such that the  
702 subtrees include distinct world-child of  $s$ . So by (10) the sub-programs they represent do  
703 not share at least one clause. Moreover, given a sub-program  $\mathbb{L}$ , one can always identify a  
704 deterministic subtree  $\mathcal{S}$  such that  $\mathbb{L} \in \text{SubProg}(\mathcal{S})$ , as follows: given the  $A$ -labelled root  
705 of  $\mathcal{T}$ , select the world-child  $w$  of  $A$  representing the (possibly empty) set  $X$  of all clauses  
706 in  $\mathbb{L}$  whose head is  $A$ ; then select the children (if any) of  $w$ , and repeat the procedure.  
707 2. Note that a sub-program  $\mathbb{L} \in \text{SubProg}(\mathcal{S})$  refutes the goal  $A$  iff  $\mathcal{S}$  contains a successful  
708 refutation of  $A$ , and the latter property is independent of the choice of  $\mathbb{L}$ .  
709 3. We refer to  $\prod_{r_i \in \mathcal{S}} r_i$  as the probability of the deterministic subtree  $\mathcal{S}$ . For each sub-  
710 program  $\mathbb{L} \in \text{SubProg}(\mathcal{S})$ , its probability can be written as

$$711 \quad \text{Pr}_{\mathbb{P}}(\mathbb{L}) = \prod_{\mathcal{C} \in \text{Acc}(\mathcal{S})} \text{Label}(\mathcal{C}) \cdot \prod_{\mathcal{C}' \in \text{Rej}(\mathcal{S})} (1 - \text{Label}(\mathcal{C}')) \cdot \text{Pr}_{\mathbb{P} \setminus (\text{Acc} \cup \text{Rej})}(\mathbb{L} \setminus \text{Acc}(\mathcal{S})) \quad (11)$$

712 Note that  $\text{SubProg}(\mathcal{S})$  can also be written as  $\{X \cup \text{Acc}(\mathcal{S}) \mid X \subseteq \mathbb{P} \setminus (\text{Acc}(\mathcal{S}) \cup \text{Rej}(\mathcal{S}))\}$ ,  
713 so

$$714 \quad \sum_{\mathbb{L} \in \text{SubProg}(\mathcal{S})} \text{Pr}_{\mathbb{P} \setminus (\text{Acc} \cup \text{Rej})}(\mathbb{L} \setminus \text{Acc}(\mathcal{S})) = 1. \quad (12)$$

715 Applying equation (12) to the sum of (11) over all  $\mathbb{L} \in \text{SubProg}(\mathcal{S})$ , we get

$$716 \quad \sum_{\mathbb{L} \in \text{SubProg}(\mathcal{S})} \text{Pr}_{\mathbb{P}}(\mathbb{L}) = \prod_{\mathcal{C} \in \text{Acc}(\mathcal{S})} \text{Label}(\mathcal{C}) \cdot \prod_{\mathcal{C}' \in \text{Rej}(\mathcal{S})} (1 - \text{Label}(\mathcal{C}')) \quad (13)$$

717 For each world-node  $t$  and its atom-parent  $s$ , we can use the terminology in Definition 26,  
718 and express  $\text{label}(s \rightarrow t)$  (see Definition 9) as

$$719 \quad \text{label}(s \rightarrow t) = \prod_{t \text{ accepts } \mathcal{C}} \text{Label}(\mathcal{C}) \cdot \prod_{t \text{ rejects } \mathcal{C}'} (1 - \text{Label}(\mathcal{C}')). \quad (14)$$

720 Applying (14) to the whole deterministic subtree  $\mathcal{S}$ , we obtain

$$721 \quad \sum_{\mathbb{L} \in \text{SubProg}(\mathcal{S})} \text{Pr}_{\mathbb{P}}(\mathbb{L}) \stackrel{(13)}{=} \prod_{\mathcal{C} \in \text{Acc}(\mathcal{S})} \text{Label}(\mathcal{C}) \cdot \prod_{\mathcal{C}' \in \text{Rej}(\mathcal{S})} (1 - \text{Label}(\mathcal{C}'))$$

$$722 \quad \stackrel{\text{Def.26}}{=} \prod_{(\text{world-node } t \text{ in } \mathcal{S})} \left[ \prod_{t \text{ accepts } \mathcal{C}} \text{Label}(\mathcal{C}) \cdot \prod_{t \text{ rejects } \mathcal{C}'} (1 - \text{Label}(\mathcal{C}')) \right]$$

$$723 \quad \stackrel{(14)}{=} \prod_{r_i \in \mathcal{S}} r_i$$

724

725 If we say two world-nodes  $t$  and  $t'$  are equivalent if their clause-children represent exactly the  
726 same clauses in  $\mathbb{P}$ , then the  $\prod_{(\text{world-node } t \text{ in } \mathcal{S})}$  in the above calculation visits every world-node  
727 exactly once modulo equivalence.  $\blacktriangleleft$

728 We can now formulate the success probability of  $A$  as follows

$$729 \quad \text{Pr}_{\mathbb{P}}(A) = \sum_{|\mathbb{P}| \supseteq \mathbb{L} \vdash A} \text{Pr}_{\mathbb{P}}(\mathbb{L}) \stackrel{(\text{Prop.27,1\&2})}{=} \sum_{\mathcal{S} \vdash A} \sum_{\mathbb{L} \in \text{SubProg}(\mathcal{S})} \text{Pr}_{\mathbb{P}}(\mathbb{L})$$

$$730 \quad \stackrel{(13)}{=} \sum_{\mathcal{S} \vdash A} \left[ \prod_{\mathcal{C} \in \text{Acc}(\mathcal{S})} \text{Label}(\mathcal{C}) \cdot \prod_{\mathcal{C}' \in \text{Rej}(\mathcal{S})} (1 - \text{Label}(\mathcal{C}')) \right] \stackrel{(\text{Prop.27,3})}{=} \sum_{\mathcal{S} \vdash A} \prod_{r_i \in \mathcal{S}} r_i$$

731

732 In words, this is exactly Algorithm 2: we sum up the probabilities of all deterministic subtrees  
 733  $\mathcal{S}$  of the distribution tree  $\mathcal{T}$  which contain a proof of  $A$ .

## 734 **B** Computability of the Distribution Semantics (General Case)

735 Computability of the distribution semantics for arbitrary PLP programs relies on the substitu-  
 736 tion mechanism employed in the resolution. This aspect deserves a preliminary discussion.  
 737 Traditionally, logic programming has both the theorem-proving and problem-solving per-  
 738 spectives [18]. From the problem-solving perspective, the aim is to find a refutation of the  
 739 goal  $\leftarrow G$ , which amounts to finding a proof of *some substitution instance* of  $G$ . From  
 740 the theorem-proving perspective, the aim is to search for a proof of the goal  $G$  itself as an  
 741 atom. The main difference is in the substitution mechanism of resolution: unification for  
 742 the problem-solving and term-matching for the theorem-proving perspective. We will first  
 743 explore computability within the theorem-proving perspective. As resolution therein is by  
 744 term-matching, the probability  $\text{Pr}_{\mathbb{P}}^{\text{TM}}(A)$  of proving a goal  $A$  in a PLP program  $\mathbb{P}$  is formulated  
 745 as  $\text{Pr}_{\mathbb{P}}^{\text{TM}}(A) := \sum_{|\mathbb{P}| \supseteq \mathbb{L} \Rightarrow A} \text{Pr}_{\mathbb{P}}(\mathbb{L})$ , where  $\mathbb{L} \Rightarrow A$  means that  $A$  is derivable in the sub-program  
 746  $\mathbb{L}$  (not to be confused with  $\mathbb{L} \vdash A$ , which stands for *some substitution instance* of  $A$  being  
 747 derivable in  $\mathbb{L}$ , see (1)).

748 In our coalgebraic framework, the distribution semantics for general PLP programs is  
 749 represented on “saturated” trees, in which computations are performed by unification.  
 750 However, following [3], one can define the *TM (Term Matching) distribution tree* of a goal  
 751  $A$  in a program  $\mathbb{P}$  by “desaturation” of the saturated distribution tree for  $A$  in  $\mathbb{P}$ . The  
 752 coalgebraic definition, for which we refer to [3], applies pointwise on the saturated tree the  
 753 counit  $\epsilon_{\mathcal{U}\text{At}}: \mathcal{U}\mathcal{K}\mathcal{U}\text{At} \rightarrow \mathcal{U}\text{At}$  of the adjunction  $\mathcal{U} \dashv \mathcal{K}$  (cf. (6)). The TM distribution tree  
 754 which results from ‘desaturation’ can be described very simply: at each layer of the starting  
 755 saturated distribution tree, one prunes all the subtrees which are not labelled with the identity  
 756 substitution  $\text{id} := x_1 \mapsto x_1, x_2 \mapsto x_2, \dots$ . In this way, the only remaining computation are  
 757 those in which resolution only applies a non-trivial substitution on the clause side, that is, in  
 758 which unification is restricted to term-matching.

759 **Computability of term-matching distribution semantics.** One may compute the  
 760 success probability  $\text{Pr}_{\mathbb{P}}^{\text{TM}}(A)$  in  $\mathbb{P}$  from the TM distribution tree of  $A$  in  $\mathbb{P}$ . The computation  
 761 goes similarly to Algorithm 2: the problem amounts to calculating the probabilities of those  
 762 deterministic subtrees of the distribution tree which prove the goal. We confine ourselves to  
 763 some remarks on the aspects that require extra care, compared to the ground case.

- 764 1. The probability  $\text{Pr}_{\mathbb{P}}^{\text{TM}}(A)$  is not computable in whole generality. It depends on whether  
 765 one can decide all the proofs of  $A$  in the pure logic program  $|\mathbb{P}|$ , and there are various  
 766 heuristics in logic programming for this task.
- 767 2. It is still possible to decide whether a subtree is deterministic, but the algorithm in the  
 768 general case is a bit subtler, as it is now possible that two different goals match the same  
 769 clause (instantiated in two different ways).
- 770 3. When calculating the probability of a deterministic subtree in the TM distribution tree,  
 771 multiple appearances of a single clause (possibly instantiated with different substitutions)  
 772 should be counted only once. In order to ensure this one needs to be able to identify  
 773 which clause is applied at each step of the computation described by the distribution  
 774 trees: this is precisely the reason of the addition of the clause labels in the coalgebra type  
 775 of these trees, as discussed in Section 4.3.

776 We conclude by briefly discussing the problem-solving perspective, in which resolution is

777 based on arbitrary unification rather than just term-matching. In standard SLD-resolution,  
778 computability relies on the possibility of identifying the *most general* unifier between a goal and  
779 the head of a given clause. This can be done also within saturated distribution trees, since  
780 saturation supplies *all* the unifiers, thus in particular the most general one. This means that,  
781 on principle, one may compute the distribution semantics based on most general unification  
782 from the saturated distributed tree associated with a goal, with similar caveats as the ones  
783 we described for the term-matching case. However, the lack of a satisfactory coalgebraic  
784 treatment of most general unifiers [3] makes us privilege the theorem-proving perspective  
785 discussed above, for which desaturation provides an elegant categorical formalisation. This  
786 is also in line with the series of works [17, 19] on coalgebraic (pure) logic programming, all  
787 based on term-matching as substitution mechanism.