

1 Chromatography process development aided by a dye-based assay

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## 9 Abstract

10 The lifetime of chromatography resins typically averages between 10-300 cycles for the manufacture  
11 of a therapeutic protein. Developing and establishing the robustness of the method for each separation  
12 process represents a significant challenge, and is subject to extensive regulatory oversight. Here, we  
13 present a novel fluorescence-based assay for residual aggregated proteins to aid the evaluation of the  
14 extent of resin regeneration. The versatility of this method was demonstrated by using strong anion and  
15 cation exchange agarose resins Praesto Q and SP in conjunction with bovine serum albumin and  
16 monoclonal antibody feed materials. The assay entails applying a molecular rotor dye to a sample of  
17 free resin, and measuring the fluorescence intensity using a plate reader or visualising under confocal  
18 laser scanning microscope to gain a more detailed characterisation. Following five consecutive  
19 chromatography cycles, both methods revealed a 10-fold increase in fluorescence intensity along with  
20 a proportional reduction in dynamic binding capacity. Furthermore, the use of the assay suggested that  
21 fouling was dependent on spatial bead position in the column, bead channel structure, and cleaning  
22 conditions. This work presents a simple assay suitable for use in resin lifetime studies to enhance  
23 process understanding.

24 Keywords: Ion-exchange chromatography; Chromatography resin lifetime; Fluorescent dye; Confocal  
25 laser scanning microscopy

## 26 1. Introduction

27 A decrease in resin performance can lead to lower dynamic binding capacity, unsatisfactory product  
28 yield and purity, resulting in higher production costs or complete lot failures. The deterioration of  
29 chromatography resin occurs from accumulation of remaining biological material such as host cell  
30 proteins, exposure to harsh buffers, and mechanical compression resulting in a loss of function and  
31 performance [1]. Control and maintenance of resin performance is especially important for the repeated  
32 manufacture of injectable biological products, where costs and regulatory standards are high [2].

33 Levels of fouling caused by biological material can be reduced by tangential or dead-end depth filtration  
34 prior to column chromatography, in addition to selecting appropriate cleaning and storage procedures  
35 for chromatographic operations. It is essential to establish and validate an effective cleaning protocol  
36 for these operations during process development, which is generally achieved in several phases. First  
37 phase involves screening a large number of cleaning protocols whilst running them in parallel using  
38 scale-down high-throughput approach [3,4]. Next phase involves the identification of most effective  
39 protocols from the initial phase and testing them at a larger scale before a manufacturing run, or  
40 choosing one protocol to validate straight at the manufacturing scale [5,6]. An effective protocol will  
41 significantly increase resin lifetime, and reduce the overall production cost.

42 The deterioration of resin performance can be evaluated by employing a number of analytical  
43 techniques to measure critical quality attributes in the eluate such as gel electrophoresis, or by simply

44 measuring the turbidity, and absorbance. The use of standard gel electrophoresis technique has  
45 advanced into miniaturized devices (lab-on-a-chip), which can determine the amount of DNA, RNA,  
46 and protein [7]. Turbidity measurements taken at 320 nm can be used to analyse the clearance of high  
47 molecular weight (HMW) species and host cell impurities [4,8–10], whereas absorbance measurements  
48 at 280 nm can indicate the presence of the desired protein in the flow through, elution, and regeneration  
49 steps [11]. The amount of host cell DNA and protein detected indicates the effectiveness of the cleaning-  
50 in-place (CIP) and by extension the degree of fouling.

51 Alternative techniques for monitoring the extent of fouling rely on more complex analytical set-ups.  
52 Fourier transform infrared spectroscopy with attenuated total reflection sensor (ATR-FTIR) can  
53 monitor protein contaminant build up and Protein A ligand leaching, as well as predict dynamic binding  
54 capacity, which would be highly beneficial for usage *in situ* with small scale columns [12,13]. However,  
55 since the ATR technique can accurately measure only a layer of several micrometres, a mechanical bead  
56 compressor and a custom container are required, neither of which are compatible with current automated  
57 liquid handling systems (LHS).

58 To understand the impact of repeated cycles on a chromatography resin, microscopy-based techniques  
59 can be used to produce highly detailed images, providing insight into the extent and location of residual  
60 impurities. Scanning and transmission electron microscopes, for example, can reveal morphological  
61 changes to the bead surface under poor cleaning conditions [4,14–19]. However, dry samples are a  
62 prerequisite for most forms of electron microscopy imaging, which can compromise the bead structure  
63 [20]. In contrast, confocal microscopy enables the resin to remain hydrated, eliminating concerns about  
64 the impact of drying processes, and thereby facilitating studies on foulant distribution throughout the  
65 entire bead with the help of fluorescently tagged proteins (e.g., in Ref. [16,21]).

66 A non-invasive, fluorescence-based technique developed by Pathak and Rathore [22,23] allowed  
67 monitoring of fouling in real time using PreDicator™ plates without additional protein tags. The basis  
68 of this approach relied on differential amounts of tyrosine and phenylalanine residues in Protein A resin  
69 that absorbed at 303 nm, compared to the higher concentrations of tryptophan in foulants, which caused  
70 a shift in the absorbance spectra to 340 nm. This method demonstrated the capacity to monitor ligand  
71 leaching in addition to foulant accumulation. However, applicability of this technique has yet to be fully  
72 established, since testing has been confined to only a single type of resin.

73 In this study, we propose a sensitive and quick fluorescence dye-based method specific to aggregated  
74 proteins in the sample that can be used either in conjunction with automated LHS integrated with plate-  
75 based measurement of fluorescence or with confocal microscopy. The method employs a commercially  
76 available fluorescent dye PROTEOSTAT® that ceases its free rotation around a single bond, and  
77 fluoresces upon binding to protein aggregates. The dye provides a much greater sensitivity towards a  
78 broader variety of proteins and conditions compared to its early prototype Thioflavin T. It was

79 developed to have minimal fluorescence in the presence of monomers but displays a 20 to 90-fold  
80 increase in fluorescence upon binding to cross- $\beta$  spine structures [24], which are indicative of  
81 aggregates [25–27]. This is to our knowledge the first time this dye technology has been used to examine  
82 aggregates in separation materials.

## 83 2. Materials and methods

84

### 85 2.1. Materials

86 Lipid-free bovine serum albumin (BSA, Fraction V, Millipore Merck) was used in binding studies with  
87 Praesto Q 45  $\mu$ m jetted agarose resin (PuroLite, Llantrisant, Wales). IgG1 was kindly provided by  
88 Fujifilm Diosynth Biotechnologies, UK, and used with Praesto SP 45  $\mu$ m jetted agarose resin (PuroLite).  
89 Chemicals: Tris (Promega), sodium chloride (Merck Chemicals), sodium acetate and sodium hydroxide  
90 (VWR Chemicals), sodium citrate dihydrate and citric acid (Sigma-Aldrich) were used in fouling  
91 studies. Proteostat dye (Enzo Life Sciences, Exeter, England) was obtained to measure and visualise  
92 fouling with a fluorescence reader and confocal laser scanning microscopy (CLSM).

### 93 2.2. Controls for the dye assay

94 A number of controls including fresh resin sample, fresh resin with bound BSA, IgG1, and heat-  
95 denatured BSA were prepared in addition to the ones provided by the manufacturer: aggregated and  
96 lyophilised native lysozyme proteins as well as 1x assay buffer. Heat-denatured BSA sample was  
97 prepared by heating the protein at 65 °C for 35 min to form soluble aggregates [26]. Fresh resin with  
98 bound heat-denatured BSA and fresh BSA (both at 10 mg/mL concentration) or IgG1 samples were  
99 prepared using a miniature flow cell designed as described in [16] using conditions outlined in Table 1.  
100 The flow cell was packed with 50 % Praesto Q or SP slurry (total chamber volume 0.02 mL), washed  
101 with deionised water, equilibrated with Tris or sodium citrate buffer, loaded with 30 mg of BSA or 6  
102 mg of IgG1, respectively, and then washed again with the equilibration buffer to remove unbound  
103 protein. Treated resin was collected to be investigated with the dye.

104 Prior to these experiments, the amount of protein required to completely saturate the beads in a flow  
105 cell had to be determined. Therefore, fluorescent Texas Red® labelled BSA was purchased  
106 (Invitrogen™), mixed with fresh BSA in a 1:100 ratio [28], and 10 mg/mL of the mixture was loaded  
107 onto the flow cell. BSA adsorption was visualised in real time under Leica TCS SPE inverted confocal  
108 laser scanning microscopy (Leica Microsystems) for up to 60 min. After 30 min of loading, which  
109 equated to 30 mg of material, beads showed complete core saturation. CLSM settings were the same as  
110 described in [16].

### 111 2.3. Fouling studies using different cleaning reagents

112 Dynamic binding capacity (DBC) studies were performed on ÄKTA™ Pure 150 using Tricorn™ 5/50  
113 columns (both GE Healthcare, Uppsala, Sweden) packed according to the manufacturer's instructions.  
114 Bed height was kept at  $5\pm 0.2$  cm, packing quality was determined using 5 % acetone and maintained at  
115  $4675\pm 301$  (1SD) plates per meter and  $1.44\pm 0.06$  (1SD) asymmetry. Fouling study was performed using  
116 three flow rates: 125 cm/h (4 consecutive runs) followed by 50 cm/h (3 runs) and 37.5 cm/h (3 runs).  
117 200 mg of BSA was loaded per cycle, with conditions used as detailed in Table 1. Samples were taken  
118 from the upper part of the column to be investigated with the dye (Figure 1).

#### 119 2.4. Dye sensitivity through an increasing number of cycles

120 Another fouling study was performed in a similar manner as described in the previous section. Here,  
121 for simplicity purposes only one flow rate of 50 cm/h was chosen. The runs consisted of equilibration  
122 buffer 50 mM Tris, pH 8.5, elution buffer 50 mM Tris, 1.5 M NaCl, pH 8.5, and CIP buffer 1 M NaOH  
123 with a hold for 30 min. CIP was carried out after every cycle in an upwards column position. In addition,  
124 a monoclonal antibody was used to evaluate the sensitivity of the dye after 25 cycles with a load of up  
125 to 1 mg of IgG1 per cycle (condition e), Table 1).

#### 126 2.5. Sample preparation for imaging

127 Sample preparation and staining were carried out as detailed in the Proteostat product manual in Costar  
128 96-well black, clear bottom polystyrene plates (Fisher Scientific). Each well contained 98  $\mu$ L of 20 %  
129 resin slurry sample in water, and 2  $\mu$ L of Proteostat dye; plates were incubated in the dark for a  
130 minimum of 20 min.

#### 131 2.6. Fluorescence intensity measurement

132 Fluorescence intensity was measured using Tecan Safire<sup>2</sup>™ system (Tecan) with 30 seconds of orbital  
133 shaking prior to excitation at 550 nm wavelength, and emission at 600 nm wavelength. Samples were  
134 prepared in triplicate.

#### 135 2.7. Fluorescence visualisation

136 Leica TCS SPE inverted confocal microscopy (Leica Microsystems) was used to visualise particular  
137 areas of fluorescence (aggregation) in resin samples. Microscopy settings were the same throughout all  
138 experiments: magnification 40x with oil immersion, gain 900, intensity 40 %, pinhole 2, excitation  
139 wavelength 550-600 nm, and emission wavelength 600 nm. The usual flat or round bottom microscope  
140 slides failed to provide good quality images. Therefore, the flow cell was used to contain and provide  
141 hydrated environment for resin during imaging (other designs such as 96-well plates could be used with  
142 compatible microscopes). At least three images of each sample were taken, but only the most  
143 representative ones are shown in this paper.

## 144 3. Results and discussion

145

### 146 3.1. Assay development

#### 147 3.1.1. Dye interacts with the native proteins

148 Proteostat dye, to our knowledge, has not been combined with chromatography resins or indeed any  
149 separation materials, and therefore required a number of controls to be evaluated to ensure resin-dye or  
150 monomer-dye interactions would not interfere with the assay. Initial negative controls included fresh  
151 resin, fresh BSA and IgG1, bound BSA and IgG1, in addition to positive controls of fresh and bound  
152 heat-denatured BSA. Fresh agarose media Praesto Q and SP were shown to lack interactions with the  
153 dye leading to basal levels of fluorescence as expected (Fig. 2i). In contrast, despite possessing an all  
154  $\alpha$ -helix structure [29], bound BSA interacted with the dye producing a fluorescent ring pattern (Fig. 2iii  
155 and 3B). Similarly, outer ring patterns were visible for the bound IgG1 control, although more beads  
156 displayed fluorescence throughout the core (Fig. 2vi). Fluorescence readings for bound BSA and IgG1  
157 were 5 to 6-fold higher than those for the proteins alone (Fig. 3B).

158 BSA is known to form dimers, trimers, and other oligomers, which are often seen in commercial BSA  
159 solutions when analysed on a size exclusion chromatography column [30]. These protein conformations  
160 can cause the dye to bind as it has an affinity for  $\beta$ -sheets that are present in dimers. A recent study with  
161 an early prototype Thioflavin T revealed that the dye exhibits a 6-fold higher affinity towards BSA  
162 dimers than monomers [31]. Conversely, there is also evidence to suggest that the dye can bind to  
163 specific parts of monomers, non- $\beta$ -sheet cavities [32–34], and therefore fails to distinguish between  
164 different protein conformations [35].

165 In order to understand which mechanism prevails in this work, several different approaches were  
166 undertaken. Firstly, BSA solution and IgG1 were loaded onto a size exclusion column, whereby  
167 chromatograms displayed 10 % and 7 % of HMW species, respectively (results not shown).  
168 Fluorescence results indicated that the dye interacted with 18 % and 15 % native BSA and IgG1 when  
169 compared to the resin bound proteins (Fig. 3B). This supports the previous theory that the Proteostat  
170 dye can also interact with a small percentage of monomers in addition to higher oligomers. However,  
171 the presence of such interactions is not sufficient to explain the ring pattern seen for both BSA and IgG1  
172 (Fig. 2iii, vi), and high fluorescence readings (Fig. 3B).

173 Next, we wanted to ensure that the ring pattern was not a result of incomplete bead saturation via an  
174 implementation of another dye. Texas Red labelled BSA was loaded onto the miniature flow cell  
175 column using the same feed and time conditions as the Proteostat dye experiments. Real-time confocal  
176 microscopy confirmed a complete bead core saturation with Texas Red labelled BSA after 30 min (Fig.  
177 2v) rejecting the ‘incomplete bead saturation’ hypothesis, and suggesting species other than intact

178 monomers were the cause origin of the ring structure. Another logical reason for ring formation has  
179 been suggested to be large protein size and small resin pores [36]. However, here appropriate resin pore  
180 size was selected, and live protein uptake as well as static binding results confirmed much higher levels  
181 of protein absorption than in the previous study [36].

182 It is possible to attribute native protein-dye interaction to partial protein unfolding and/or aggregation  
183 upon binding to ligands, since the fluorescence readings were significantly higher than those for both  
184 non-bound protein solutions (Fig. 3B). Whilst BSA has been shown to exhibit this behaviour only at  
185 low pH's of 3.0 to 4.5 [37], there has been substantial evidence to support such ligand interactions with  
186 antibodies [38–43]. Process conditions such as pH and salt were found to lead to on-column protein  
187 unfolding and aggregation. Such factors may be applicable to the IgG1 used in this study (Fig. 2vi),  
188 since this particular antibody contains high levels of charge variants. Changes to protein conformation  
189 upon binding may be enough to partially block resin pores impairing further protein uptake, thus  
190 creating rings of accumulated protein. Alternatively, charge-based structural changes may induce  
191 stronger or even irreversible binding to resin. Therefore, our working hypothesis is that the Proteostat  
192 dye interaction with bound native BSA and IgG1 can be explained as both presence of dimers and  
193 conformational changes to the protein structure upon adsorption.

#### 194 3.1.2. Heat-denatured protein blocks bead channels

195 Heat-denatured BSA and Proteostat dye interaction was anticipated as thermal stress above 65 °C  
196 causes a decrease in  $\alpha$ -helices while increasing  $\beta$ -sheet formation, resulting in aggregation [44]. This  
197 sample revealed an alternate fluorescence profile, whereby protein is primarily distributed on the  
198 surface of the bead with round, aggregate-like structures (Fig. 2ii) instead of thick rings observed for  
199 native BSA (Fig. 2iii). These aggregate-like structures may have occluded the resin pores preventing  
200 further protein uptake, as observed by limited permeation of the dye. Similar structures, primarily  
201 deposited on the resin surface with protruding protein aggregates and interacting with other beads, have  
202 also been visualised with scanning electron microscopy [4,16,45]. Additionally, measurement of  
203 fluorescence in a plate demonstrated approximately 4-fold higher levels of fluorescence when using  
204 heat-denatured instead of native BSA solution, which was comparable to the manufacturer's positive  
205 (aggregated lysozyme) control (Fig. 3B). In contrast, the fluorescence intensity for the bound protein  
206 was 1.4-fold lower than for the non-bound protein solution. This was possibly a result of heat-denatured  
207 protein not being able to move through to the core of the bead and eluting prematurely, as aggregate-  
208 like structures blocked the entrance (Fig. 2ii).

#### 209 3.1.3. Dye has high affinity to deliberately fouled resins

210 Having demonstrated sufficient sensitivity and selectivity from the initial controls, fouled resin samples  
211 were prepared. 1 mL columns were packed, and 10 cycles of BSA and 25 cycles of IgG1 were run with  
212 loadings of 200 mg and 1 mg per cycle, respectively. Equilibration was performed with 50 mM Tris at

213 pH 8.5, and BSA was eluted with 50 mM Tris, 1.5 M NaCl at pH 4.7 over 15 column volumes (CV)  
214 followed by a CIP cycle with 10 CV of 1 M NaOH. Equilibration buffer for the IgG1 runs was 10 mM  
215 sodium citrate at pH 5, and elution buffer had additional 0.5 M of NaCl. CIP was not performed for the  
216 IgG1 cycles as the elution step seemed to sufficiently remove small amounts of bound protein.  
217 Following the runs, the columns were unpacked and the fluorescence intensity was measured by  
218 applying the dye onto the samples. Confocal microscopy images revealed that both residual BSA and  
219 IgG1 molecules occupied resin following the elution step, and in case of BSA, after the CIP also (Fig.  
220 2iv and 2vii). The residual proteins occupied the entire bead with no particular patterns. We then  
221 decided to test whether different cleaning conditions could reduce the amount of residual protein.

## 222 3.2. Assay utilization to understand fouling

### 223 3.2.1. Strip and CIP steps are crucial in resin regeneration

224 In order to further evaluate the selectivity of the dye as well as to understand what causes fouling, effects  
225 of different cleaning solutions were investigated (Table 1). The routine cleaning procedure for an ion  
226 exchange resin uses a high salt concentration strip buffer followed by 1 M sodium hydroxide solution,  
227 and therefore variations of this method were selected. Consecutive DBC runs were performed using  
228 three different flow rates (125 cm/h for the first 4 consecutive runs, 50 cm/h for the next 3 runs, and  
229 37.5 cm/h for the final 3 runs) followed by a particular cleaning regime after every cycle (see Table 1).  
230 Total ten cycles with 200 mg loading each were performed for all buffer conditions.

231 After ten DBC runs with fresh BSA, the upper resin fraction in the column (1.7 cm) was collected and  
232 the degree of fouling quantified by fluorescence using a plate reader before visualisation under confocal  
233 microscopy. Both techniques revealed that using a cleaning regime consisting exclusively of 1 M NaOH  
234 (without a strip step) would result in the highest level of fluorescence up to 29,000 RFU indicating the  
235 highest degree of fouling compared to other conditions of 6,000 RFU (Fig. 3B and 3C). This was also  
236 in agreement with a 5-fold reduction in DBC at 10% breakthrough for 1 M NaOH condition after 10  
237 cycles (Fig. 3A). The maximum DBC at 10% breakthrough was found to be around 60 mg/mL, 95  
238 mg/mL and 98 mg/mL for 2.4 min, 6 min and 8 min residence time, respectively. Therefore, a strip step  
239 was shown to be crucial for column CIP, whereas a sodium hydroxide step alone was insufficient, and  
240 led to a dramatic loss in binding capacity. These results support previous findings, whereby both NaOH  
241 and NaCl were required to provide appropriate clearance of host cell impurities [21,46].

242 Quantitative fluorescence measurements indicated no significant differences amongst the three salt  
243 buffer conditions (Fig. 3B), whereas confocal microscopy revealed variation in fluorescence amongst  
244 individual beads (Fig. 3C). This is particularly visible in images (iv) and (v), where a single sample was  
245 imaged under different laser intensities: 40 and 20 per cent, respectively. High levels of protein  
246 aggregation caused by a 1 M NaOH clean were expected to affect more or less all beads equally;

247 however, numerous beads exhibited very low levels of fluorescence at 20 % laser intensity (Fig. 3C,  
248 image (v)). We hypothesized that this could be a result of the sampling of a mixed population of beads  
249 from the column, which would contain populations from both axial and radial dimensions within the  
250 column.

### 251 3.2.2. Fouling heterogeneity is present at column level

252 Data from initial fouling experiments revealed a non-uniform fluorescence pattern amongst the beads  
253 requiring further studies to identify the cause of these variations. It is hypothesised that this variation  
254 may be influenced by bead position in the column [18,19]. Logically the upper part of the column,  
255 which is subject to exposure to the highest protein loading, would contain the most extensive levels of  
256 residual aggregated protein when compared to the lower part of the column. Consequently, the columns  
257 were dissected into five parts: top filter, top, middle, bottom, and bottom filter, following DBC runs  
258 with one cleaning regime (15 CV gradient strip with 50 mM Tris, 1.5 M NaCl at pH 8.5, and 10 CV  
259 CIP with 1 M NaOH plus 30 min hold). Additional IgG1 fouling runs were then performed as described  
260 in the 2.4. Methods section. Briefly, 1 mg of IgG1 was loaded for each cycle followed by a 30 CV  
261 gradient elution with 10 mM citrate, 0.5 M NaCl, pH 5, and no CIP.

262 The amount of residual aggregated protein increased with cycle number as seen from a decline in  
263 binding capacity and increase in fluorescence intensity (Fig. 4). The chosen experimental flow rate (50  
264 cm/h), which was equal to 6 minutes of residence time, and high BSA load (200 mg) provided maximum  
265 bead saturation resulting in severe levels of fouling. As a result of long residence time resin binding  
266 capacity dropped from 100 g/L to a mere 10 g/L only after five binding cycles (Fig. 4A, line graph).  
267 Whilst this was not representative of a typical number of chromatography cycles, the dye was sensitive  
268 enough to differentiate between severe fouling conditions, and thus would be suitable for less  
269 pronounced cases. In contrast, experiments run at a higher flow rate of 125 cm/h (providing 2.4 minutes  
270 of residence time) resulted in no decrease in DBC, and undetectable fluorescence under confocal  
271 microscopy after 15 cycles (results not shown). Consequently, due to time constraints, the latter  
272 experimental conditions were discontinued, and the more extreme fouling case was studied as a proof  
273 of concept.

274 Fouling was found to begin in the upper part of the column and continue through to the lower part as  
275 the number of cycles increased (Fig. 4). The most upper part of the column exhibited highest  
276 fluorescence intensity of 900 RFU during the first cycle followed by a gradual increase in fluorescence  
277 for up to 7,900 RFU over the following 2-4 cycles. It culminated with lowest filter section being still  
278 reasonably foulant-free after the final 5<sup>th</sup> cycle with only 5,000 RFU (Fig. 4A). Fluorescence data from  
279 plate reader measurements and confocal microscopy were concordant with the overall DBC  
280 calculations, whereby reduction in capacity was representative of the residual protein accumulation in  
281 the upper parts of the column.

282 The fouling pattern seen for the IgG1 cycles is similar to that seen in the BSA example. The aggregate  
283 concentration was similar throughout the different parts of the column, where the overall fluorescence  
284 intensity was reasonably low and comparable to that of BSA after 2 cycles (Fig. 4). The fluorescence  
285 may have been reduced or eliminated if additional CIP cycles had been performed, which has been  
286 shown to improve resin recovery [21]. Furthermore, there was a clear sample heterogeneity that can be  
287 attributed to the spatial bead location and flow properties in that particular sample [16,17,19]. The  
288 hypothesis that small resin beads were fouled first was rejected because the fluorescence was  
289 comparable for larger resin beads (Fig. 4B). In addition, residual protein was distributed throughout the  
290 entire column length, which can be expected due to a high number of cycles. Nevertheless, no particular  
291 areas were found to be affected by round aggregate formation apart from the evidence of bead-to-bead  
292 contact once the laser intensity was reduced to 20 % for IgG1 samples, whereas ‘spotting’ patterns  
293 could be seen after 2 cycles throughout the whole column and at the bottom filter after 4 and 5 cycles  
294 for BSA containing samples (Fig. 4B). Such behaviour is likely to indicate that sodium hydroxide  
295 causes BSA proteins to form lumps, which are then likely to obstruct smaller pores.

### 296 3.2.3. Fouling heterogeneity is present at bead level

297 Whilst the dye assay demonstrated an application for resin lifetime studies, it has also revealed a high  
298 sensitivity towards spatial foulant distribution patterns at a bead level. Protein deposits after cleaning  
299 were identified in different parts of the bead: surface (Fig. 5i, ii), core (Fig. 5iii), and intraparticle voids  
300 (Fig. 5iv, v).

301 Two particular foulant arrangements were identified on bead surfaces using the dye assay (Fig. 5i, ii).  
302 Firstly, foulants were primarily deposited on the surface of the beads forming large interacting  
303 structures, which caused pore blockage and reduced the rate of protein uptake (Fig. 5i), an observation  
304 which has been seen in other reports [16–19,47,48]. Moreover, fluorescence patterns were comparable  
305 to the heat-denatured BSA control (Fig. 2ii) further supporting the dye’s selectivity for the aggregates.  
306 Secondly, gaps in bead surface fluorescence were detected (Fig. 5ii), which were indicative of particle-  
307 particle contact in a packed column resulting in uneven protein uptake [16,17,46,49]. Residual surface  
308 protein build-up was shown to be dependent on the spatial hierarchy in the column and proximity to  
309 other beads.

310 An overlay of CLSM and transmitted light displayed a fluorescent bead core and a ‘halo’ surface lining  
311 (Fig. 5iii). Residual aggregated proteins may have primarily collected in the core rather than the surface,  
312 which was much more accessible to the flow of cleaning reagents [19]. Providing chromatography  
313 columns are saturated to >70 % instead of 100 % as in this study, the effect to the core may not be as  
314 significant. This is especially true for biologics capture steps using Protein A resin at high linear velocity  
315 and short residence time, as proteins may not have sufficient time to utilise the full volume of the bead  
316 [50,51]. Otherwise, consecutive rounds of hold-wash cycles using 1 M NaOH could provide a more

317 effective clean that would allow to reach the centre of the bead once each layer of residual protein had  
318 been washed.

319 Another area of significant aggregate deposition was observed inside and around the intraparticle voids  
320 (Fig. 5iv, v). These voids were detected by transmitted light and confocal microscopy, and previously  
321 by both transmission and electron microscopy [14,15], and X-ray computed tomography [52]. Whilst  
322 the intraparticle voids did not facilitate protein uptake during the loading step (Fig. 5vi), they had  
323 distinct affinity towards the fluorescent dye suggesting high aggregate presence (Fig. 5iv and 5v).  
324 Despite having minimal role in protein uptake, the voids proved to be highly fluorescent during the  
325 elution step as discussed by Angelo et al. [15]. It was hypothesized that particularly low ligand density  
326 in the voids and surrounding narrow channels played a role in both binding and elution steps. We  
327 support this hypothesis, as such large empty spaces can act as isolated entities by either having very  
328 few or no connections to other channels. Consequently, the flow to and from the voids would be limited  
329 leading to uneven or poor diffusion resulting in increased amount of foulant build up. Although voids  
330 on the surface of the bead were more accessible to the flow, cleaning reagents were ineffective for both  
331 types of voids: present inside and outside of the beads (Fig. 5iv and 5v).

332 These results demonstrate that contrary to prevailing understanding [14,15], voids can play a significant  
333 role in a chromatography process. Such intraparticle structures often form during the bead  
334 emulsification process, and is a result of oil-in-water-in-oil double emulsions [53]. Agarose droplets  
335 (water phase) are formed with the help of surfactants (oil phase), which can get trapped inside the  
336 agarose droplets causing the appearance of intraparticle inclusions. An increase in intraparticle  
337 inclusion formation could alter the effective protein binding area resulting in reduced dynamic binding  
338 capacity. In addition, the inclusions could lead to an increase in residual protein accumulation, as it  
339 could not be removed using traditional cleaning reagents due to spatial channel constraints. Similar  
340 hypotheses have been presented [47,48], whereby small intraparticle granules were thought to hinder  
341 access to other channels and ligands. Nevertheless, the process of intraparticle void formation can be  
342 controlled by reducing the stirrer speed and slowing down agarose addition into the oil phase [54,55].  
343 The introduced changes could potentially eliminate this type of fouling phenomenon.

#### 344 4. Concluding remarks

345

346 With the aid of the Proteostat dye, we unveiled a number of different fouling patterns. Firstly, fouling  
347 was found to be non-uniform across the entire column length, whereby the upper part of the column  
348 was most affected as it was in constant contact with the load material. Secondly, there were differences  
349 across the bead populations in specific radial sections, possibly due to variation in liquid flow and access  
350 to the bead. Thirdly, the extent of fouling was influenced by the bead structure: pore accessibility and  
351 presence of voids. Possession of multiple voids and dead-end channels resulted in higher amount of

352 residual protein because of obstructed protein and liquid accessibility and manoeuvrability.  
353 Furthermore, the assay provided evidence to support the concept of changes in protein conformation  
354 due to protein-ligand interaction, as the outer bead areas were particularly fluorescent. Finally, it has  
355 been shown visually that different cleaning reagents have different modes of interaction with the bound  
356 protein proving that a salt strip is key in the resin regeneration process.

357 The aggregate detection assay for chromatography resins demonstrated sensitivity, selectivity and  
358 robustness for both feed materials BSA and IgG1, and for different types of resin. The dye was able to  
359 distinguish between different cleaning regimes, number of cycles, and identify particular areas in the  
360 beads prone to fouling. Using a combination of a fluorescence plate reader and confocal microscopy,  
361 facilitated the identification of aggregation, and provided support for the dynamic binding capacity  
362 results. Based on the reduction in binding capacity and equivalent increase in total fluorescence, we  
363 estimate that acceptable fluorescence levels would be up to 20,000 RFU, which would provide up to 60  
364 mg/mL DBC. Sensitivity of the assay plateaus with increasing levels of fouling as it reaches >30,000  
365 RFU (<40 mg/mL DBC). The assay could be further improved with the implementation of a high-  
366 throughput liquid handling robot to permit the accurate and rapid screening of multiple samples.

367

## 368 Acknowledgements

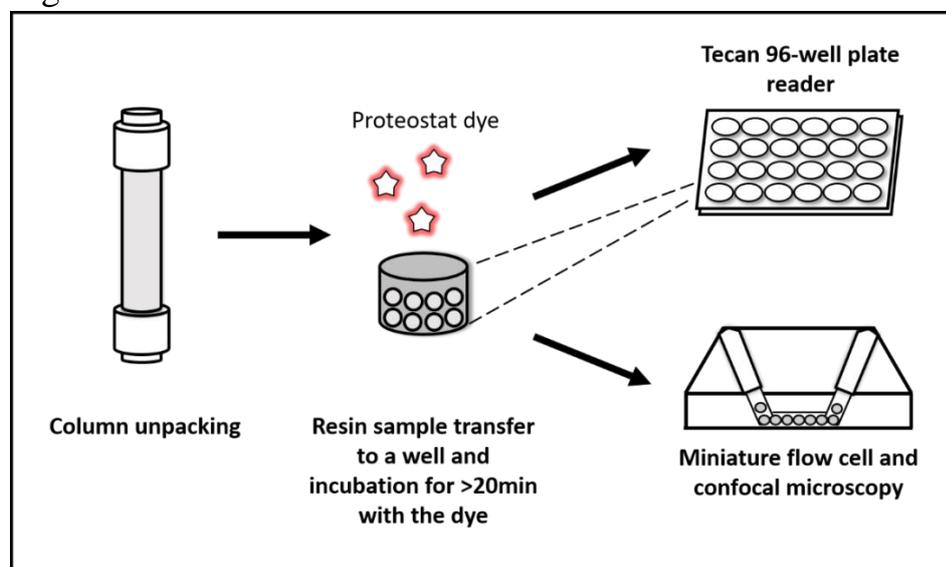
369 This work was supported by the UK Engineering and Physical Sciences Research Council (EPSRC)  
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371 *Table 1. Small scale chromatography conditions*

Condition	Feed material	Equilibration and wash	Gradient elution (5 CV)	Strip (100%B, 15 CV)	CIP
a)	Pure BSA	50 mM Tris, pH 8.5	+ 1.5 M NaCl, pH 4.7	Yes	1 M NaOH (30 min hold)
b)	Pure BSA	50 mM Tris, pH 8.5	+ 1.5 M NaCl, pH 4.7	Yes	No
c)	Pure BSA	50 mM Tris, pH 8.5	+ 1.5 M NaCl, pH 4.7	No	1 M NaOH (30 min hold)
d)	Pure BSA	50 mM Tris, pH 8.5	+ 1.5 M NaCl, pH 8.5	Yes	No
e)	IgG1 after Protein A	10 mM sodium citrate, pH 5	+ 0.5 M NaCl, pH 5	Yes	No

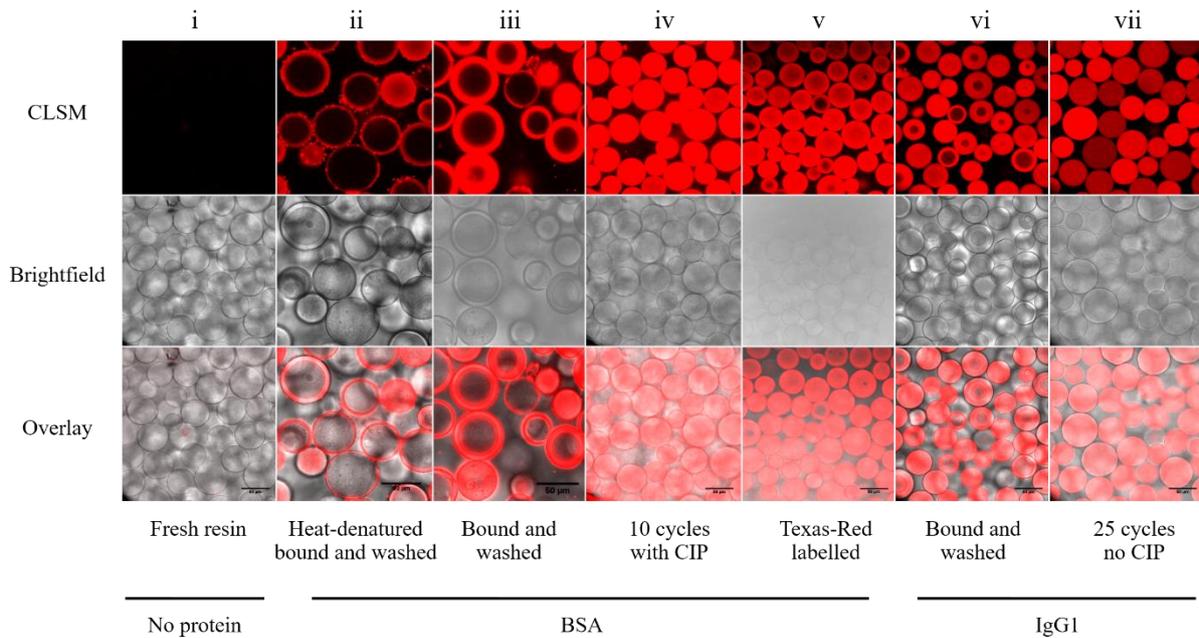
372

373 **Figures**



374

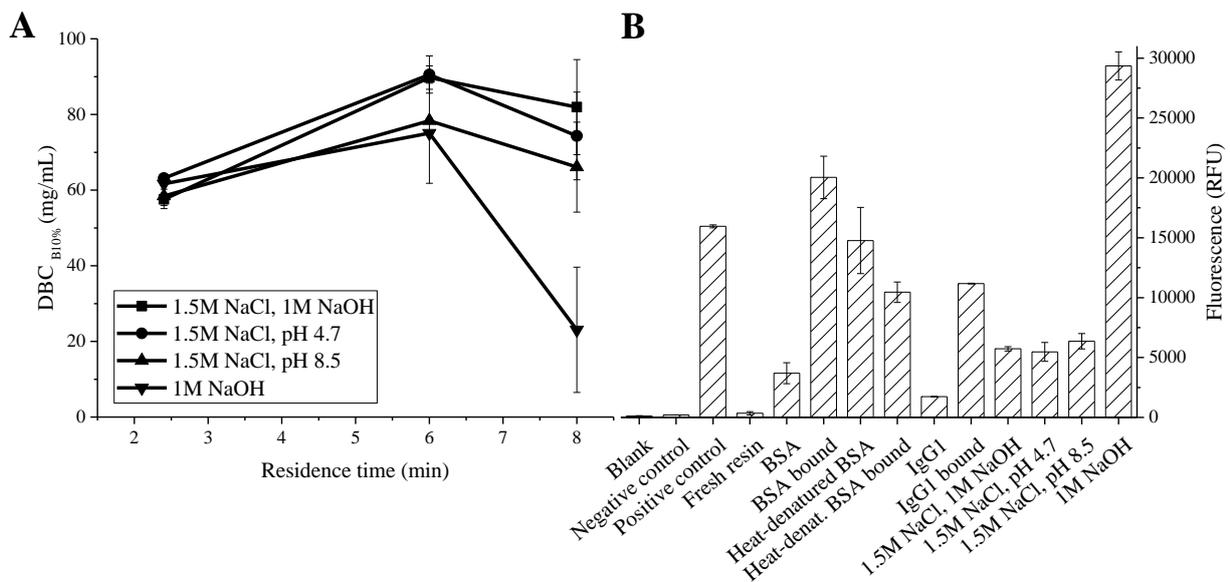
375 *Figure 1. Proteostat dye assay.*



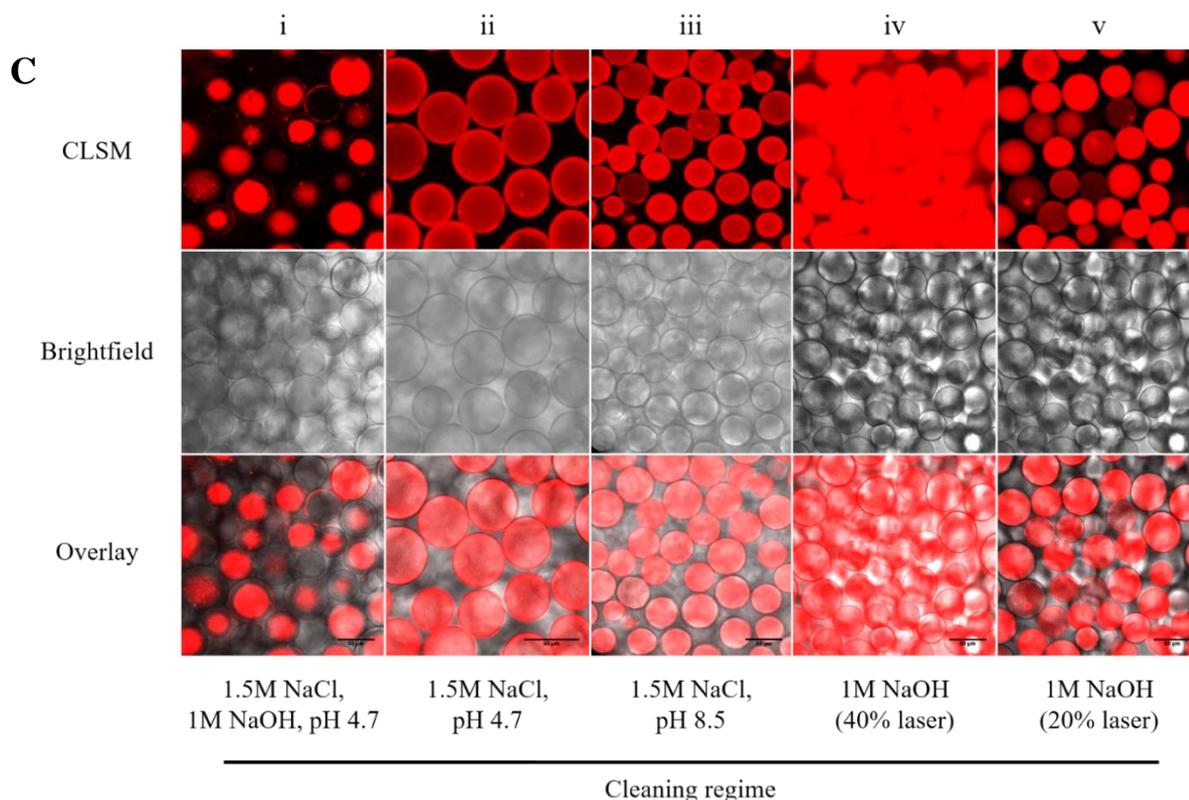
376

377 *Figure 2. Controls for the dye assay. CLSM images of fluorescence, transmitted light, and their overlay*  
 378 *are presented. At least three images were taken, and one representative example is shown. Laser*  
 379 *intensity was 40 %, excitation was 550 nm and emission was 600 nm for all samples. Images (ii) and*  
 380 *(iii) show a smaller section of a larger image for a clearer representation. Samples for images (ii), (iii)*  
 381 *and (iv) were obtained using the Proteostat dye, whereas sample (v) was imaged live using Texas Red*  
 382 *labelled BSA. Heat-denatured sample (ii) was produced after heating BSA at 65 °C for 35 min.*  
 383 *Equilibration buffer used for samples (iii), (iv) and (v) was 50 mM Tris at pH 8.5, and elution buffer*  
 384 *for sample (iv) contained additional 1.5 M NaCl followed by a 1 M NaOH clean. Similarly, equilibration*  
 385 *buffer for samples (vi) and (vii) was 10 mM sodium citrate at pH 5, and elution buffer for sample (vii)*  
 386 *contained 0.5 M NaCl and no CIP.*

387



388



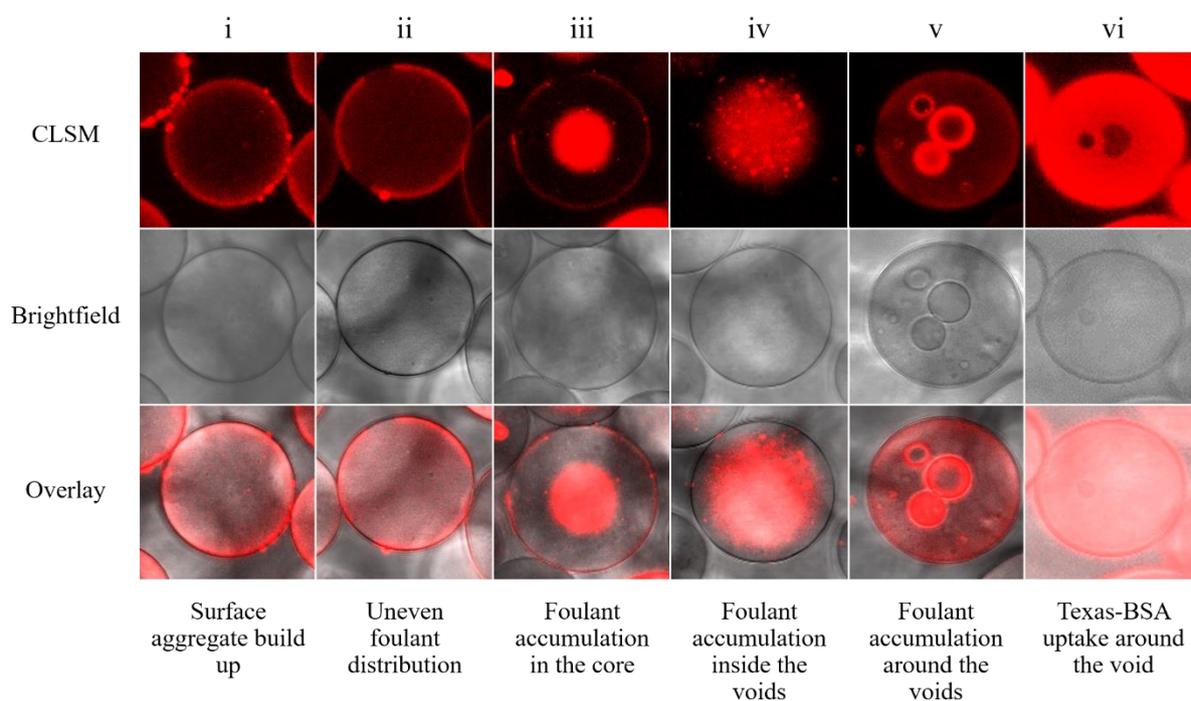
389

390 *Figure 3. Fouling with different cleaning conditions. A. Dynamic binding capacity of 10 cycles using*  
 391 *200 mg of pure BSA at different flow rates (125, 50 and 37.5 cm/h representing 2.4, 6 and 8 minutes of*  
 392 *residence time). Four cleaning regimes were chosen: (1) strip with 1.5 M NaCl at buffer pH 4.7 followed*  
 393 *by 1 M NaOH CIP, (2) strip with 1.5 M NaCl at buffer pH 4.7, no CIP, (3) strip with 1.5 M NaCl at*  
 394 *buffer pH 8.5, with no CIP, (4) no strip, only 1 M NaOH CIP (Table 1). Averages and 1SD were*  
 395 *calculated for 4, 3 and 3 consecutive runs. B. Fluorescence measurements using Tecan 96-well plate*  
 396 *reader. Samples were prepared in triplicate, averages and 1SD are shown. C. CLSM images of*  
 397 *fluorescence, transmitted light, and their overlay are presented. At least three images were taken, and*  
 398 *one representative example is shown. Laser intensity was 40 % unless specified otherwise, excitation*  
 399 *wavelength was 550 nm and emission was 600 nm for all samples.*

400



403 *Figure 4. Fouling heterogeneity at column level. A. Combined dynamic binding capacity and*  
 404 *fluorescence intensity results. Dynamic binding capacity at 10 % breakthrough over cycle number is*  
 405 *reflected as a line graph with measurements on the right y axis. DBC was performed with 200 mg of*  
 406 *BSA per cycle for up to 5 cycles, and with 25 mg of IgG1 per cycle for 25 cycles at 50 cm/h flow rate.*  
 407 *BSA cycles had a salt strip and a 1 M NaOH CIP step, whereas IgG1 cycles had only a 0.5 M NaCl*  
 408 *strip step. After each number of cycles (i.e., 1, 2, 4 and 5) resin was separated into sections (i.e., top,*  
 409 *middle, bottom), and stained with a dye followed by a fluorescence reading. Fluorescence intensity is*  
 410 *shown as a column graph with measurements on the left y axis. Samples for fluorescence readings were*  
 411 *prepared in triplicate, averages and 1SD are shown. B. CLSM images of fluorescence, transmitted*  
 412 *light, and their overlay are presented. At least three images were taken of top filter (0.2 cm), top (1.5*  
 413 *cm), middle (1.5 cm), bottom (1.5 cm), and bottom filter (0.2 cm) part of the column, and one*  
 414 *representative example image is shown. Laser intensity was 40 %, excitation wavelength was 550 nm*  
 415 *and emission was 600 nm for all samples.*



416

417 *Figure 5. Fouling heterogeneity at bead level. CLSM images of fluorescence, transmitted light, and*  
 418 *their overlay are presented. At least three images were taken, and one representative is shown. Images*  
 419 *(i-v) were generated using different cleaning conditions and taken from different locations in the*  
 420 *column. Image (vi) was generated using Texas Red labelled BSA in a flow cell during a real-time*  
 421 *loading step. Laser intensity was 40 %, excitation wavelength was 550 nm and emission was 600 nm*  
 422 *for all samples.*

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