

1 Hash-based core genome multi-locus sequencing typing for  
2 *Clostridium difficile*

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16 Running title: Hash-cgMLST for *C. difficile* surveillance

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19

## 20 Abstract

21

22 **Background.** Pathogen whole-genome sequencing has huge potential as a tool to better  
23 understand infection transmission. However, rapidly identifying closely-related genomes  
24 among a background of thousands of other genomes is challenging.

25

26 **Methods.** We describe a refinement to core-genome multi-locus sequence typing (cgMLST)  
27 where alleles at each gene are reproducibly converted to a unique hash, or short string of  
28 letters (hash-cgMLST). This avoids the resource-intensive need for a single centralised  
29 database of sequentially-numbered alleles. We test the reproducibility and discriminatory  
30 power of cgMLST/hash-cgMLST compared to mapping-based approaches in *Clostridium*  
31 *difficile* using repeated sequencing of the same isolates (replicates) and data from  
32 consecutive infection isolates from six English hospitals.

33

34 **Results.** Hash-cgMLST provided the same results as standard cgMLST with minimal  
35 performance penalty. Comparing 272 replicate sequence pairs, using reference-based  
36 mapping there were 0, 1 or 2 SNPs between 262(96%), 5(2%) and 1(<1%) respectively. Using  
37 hash-cgMLST, 218(80%) replicate pairs assembled with SPAdes had zero gene differences,  
38 31(11%), 5(2%) and 18(7%) pairs had 1, 2 and >2 differences respectively. False gene  
39 differences were clustered in specific genes and associated with fragmented assemblies, but  
40 reduced using the SKESA assembler. Considering 412 pairs of infections within  $\leq 2$  SNPs, i.e.  
41 consistent with recent transmission, 376(91%) had  $\leq 2$  gene differences and 16(4%)  $\geq 4$ .  
42 Comparing a genome to 100,000 others took <1 minute using hash-cgMLST.

43

44 **Conclusion.** Hash-cgMLST is an effective surveillance tool for rapidly identifying clusters of  
45 related genomes. However, cgMLST/hash-cgMLST generates more false variants than  
46 mapping-based approaches. Follow-up mapping-based analyses are likely required to  
47 precisely define close genetic relationships.

48

49 **Introduction**

50 The rapid development of pathogen whole-genome sequencing offers huge potential for  
51 better understanding the epidemiology of many infections. When trying to intervene to stop  
52 transmission, it is often important to identify the most closely genetically-related organisms  
53 already sequenced, as these represent potential recent sources of infection or cases that  
54 share a common infection source. However, the rapidly growing scale of data generated  
55 makes identifying these closely-related genomes among a background of many thousands  
56 of other genomes very challenging.

57  
58 Three main approaches can be taken to identify closely-related genomes. Comparing single  
59 nucleotide polymorphisms (SNPs) identified following mapping to a reference genome  
60 offers high precision, e.g.<sup>1</sup> but, despite efforts to optimise computational approaches<sup>2</sup>, is  
61 relatively slow. In contrast, k-mer based approaches based on hash algorithms, e.g. MASH<sup>3</sup>  
62 and PopPUNK<sup>4</sup>, are fast, but the inherent and unstructured dimensionality reduction (e.g.  
63 summarising the whole genome as 500 hash strings selected on the basis of sorted hash  
64 strings) can reduce precision in fine-scale transmission analyses. Core genome multi-locus  
65 sequencing typing (cgMLST)<sup>5</sup> potentially provides a solution; genomes are summarised as a  
66 list of ~2000-3000 numbers, with each number representing the unique sequence of each  
67 core gene, i.e. structured dimensionality reduction. This summary enables more rapid  
68 comparisons as, taking the example of *Clostridium difficile*, only 2270 gene allele numbers  
69 need be compared,<sup>6</sup> rather than having to compare 4.3 million base pairs of sequence data  
70 for SNPs. A drawback of cgMLST as described to date is that it requires a centralised  
71 database of alleles of each gene to be maintained, so that cgMLST profiles generated by  
72 different laboratories are comparable. This centralised support can potentially be provided  
73 by academic, public health or commercial organisations, but any given scheme's  
74 sustainability is potentially limited by the funding available to support it. Additionally, for  
75 some pathogens, including *C. difficile*, several competing cgMLST/whole-genome-MLST  
76 schemes (e.g. Enterobase [University of Warwick, UK], cgmlst.org [Ridom GmbH, Germany]  
77 and BioNumerics [BioMérieux, France]) containing different genes and profiles have been  
78 developed; the latter two being associated with a commercial platform for processing  
79 sequencing data.

80  
81 We therefore propose an alternative to cgMLST as described to date. Instead of maintaining  
82 a database of alleles, each allele is reproducibly converted to a unique hash, or short string  
83 of letters. This compresses each item of identical data to the same smaller representation,  
84 based on the sequence of an allele alone. Therefore, this process can be undertaken  
85 independently in different laboratories without the need to maintain or subscribe to a  
86 central database, but still generates summary data in a reproducible form that can be  
87 exchanged by laboratories. This distributed approach avoids the potentially costly need  
88 maintain a central database.

89  
90 This study has two main aims. Firstly, to demonstrate an implementation of hash-based  
91 cgMLST, and to test whether hash-cgMLST profiles can be compared without a significant  
92 performance penalty compared to standard cgMLST; and secondly to test the  
93 reproducibility and discriminatory power of cgMLST compared to SNP-based typing. The  
94 discriminatory power of cgMLST has been previously explored, e.g.<sup>6-9</sup>, however how cgMLST  
95 gene differences relate to SNP distances has not been comprehensively assessed. Instead it

96 is postulated that small numbers of SNPs are likely to fall in different genes, and so SNP  
97 distances and gene differences are likely to be similar for closely related isolates. We  
98 evaluate the extent to which this assumption holds. Related to this, only limited  
99 assessments of the reproducibility of cgMLST have been undertaken. The largest study to  
100 date involved the same *Staphylococcus aureus* DNA from 20 isolates undergoing sequencing  
101 in 5 laboratories.<sup>10</sup> In this setting, in 80 comparisons (i.e. 20 sequences from 4 laboratories  
102 compared with the baseline laboratory) only 3 false gene differences were identified. We  
103 investigate whether these results can be replicated in *C. difficile*.

104  
105

## 106 Methods

### 107 Hash-cgMLST

108 Using the cgMLST scheme of Bletz *et al*,<sup>6</sup> the first allele for each of the 2270 genes was used  
109 to create a BLAST search query. Following previous descriptions,<sup>6,10</sup> BLAST searches for each  
110 gene required a 90% identity match, a matched length  $\geq 99\%$  of the query length and the  
111 matched gene to be free from ambiguous characters or premature truncation. To avoid  
112 apparent truncated genes arising from misassembly we checked the number of stop codons  
113 in the gene sequence, and only retained matches with a single stop codon. To avoid  
114 truncation arising from contig breaks we ensured that BLAST matches included the start and  
115 end of the query sequence. Other BLAST search parameters were: “evalue=0.01,  
116 word\_size=11, penalty=-1, reward=1, gapopen=5, gapextend=2”. The resulting genes were  
117 either matched to the database available at cgmlst.org, i.e. standard cgMLST, or hashed  
118 using an md5 algorithm to create a 32-character hexadecimal string. Deletions relative to  
119 the search query, represented by dashes in the matched gene sequence were removed  
120 prior to generating the hash. This avoids false differences introduced by locally variable  
121 placement of these deletions introduced by BLAST. The resulting cgMLST and hash-cgMLST  
122 profiles were saved as json files, i.e. a format that could readily be exchanged between  
123 laboratories. Where no BLAST match was found for a gene in the scheme an empty value  
124 was recorded, and that gene excluded in pairwise comparisons.

125

126 The choice of md5 hash provides  $16^{32}$ , i.e.  $3.4 \times 10^{38}$  possible hashes. There is a theoretical  
127 chance of hash collisions, i.e. different sequences resulting in the same hash, but as the  
128 number of viable sequences for each gene in cgMLST databases is typically only tens to  
129 hundreds this is very unlikely. Importantly if a hash collision occurred this would result in  
130 genomes appearing falsely more similar, rather than falsely excluding potential  
131 transmission.

132

### 133 Sequence data

134 During whole-genome sequencing of *C. difficile* undertaken in Oxford and Leeds, UK we  
135 have routinely re-sequenced a subset of isolates as part of our internal quality assurance.  
136 We searched our database for isolates sequenced more than once. For a subset of these  
137 replicate sequences, the same extracted DNA was used to generate both sequences; for the  
138 remainder it was not documented in our laboratory information management system  
139 whether the same DNA extract was re-sequenced, or whether a fresh DNA extract was  
140 made from the same frozen isolate (Table S1). Paired-end sequence data for both types of  
141 replicate were generated using Illumina technology, including on various iterations of the

142 HiSeq platform and the MiSeq platform, with read lengths varying from 100-150bp in the  
143 majority of sequences (two 50bp sequences were also included).

144

145 To compare the discriminatory power of hash-cgMLST compared to SNP-based typing we  
146 processed 973 genomes from a previously published study of consecutive *C. difficile* over  
147 one year in six English hospitals using our hash-cgMLST and SNP pipelines.<sup>11</sup>

148

#### 149 Bioinformatic processing

150 For hash-cgMLST typing, raw sequence data underwent adapter trimming and quality  
151 trimming using bbduk.sh from the bbMap package (version 38.32).<sup>12</sup> Stringent quality  
152 trimming was applied following Mellmann *et al*,<sup>10</sup> both the left and right ends of each read  
153 were trimmed to a Q30 threshold (using bbduk parameters: "ktrim=r k=23 mink=11 hdist=1  
154 tpe tbo qtrim=rl trimq=30"). Following this the number of bases remaining in the trimmed  
155 reads was divided by the length of the 630 reference genome<sup>13</sup> (4290252 bp) to provide the  
156 mean high quality coverage, this was required to be  $\geq 50$  for a sequence to be included in  
157 the study. Appropriate quality trimming and adapter removal was confirmed using FastQC.<sup>14</sup>  
158 To check for contamination with non-*C. difficile* DNA, the species origin of sequence reads  
159 was classified using Kraken2<sup>15</sup> using the MiniKraken2\_v1 database (built from the refseq  
160 bacteria, archaea, and viral libraries).

161

162 Following Bletz *et al*,<sup>6</sup> reads were *de novo* assembled using SPAdes (version 3.11.1)<sup>16</sup>, with  
163 the "--careful" flag to reduce misassembly by using bwa-based mapping to confirm variants.  
164 Assembly quality metrics were obtained using the stats.sh script from bbmap.<sup>12</sup> Samples  
165 with assembly sizes (base pairs in contigs)  $>10\%$  above or below the median size were  
166 rejected. We also tested performance using SPAdes with an addition flag "--only-assembler"  
167 to disable SPAdes internal read correction procedure. As an additional comparison reads  
168 were also *de novo* assembled using SKESA (version 2.3)<sup>17</sup> with default settings.

169

170 Reads (without stringent quality trimming) were also mapped to the 630 reference genome  
171 as described previously,<sup>1,11,18</sup> using stampy<sup>19</sup> for mapping and mpileup<sup>20</sup> for variant calling,  
172 followed by quality filtering of variants. Variant calls were required to have a quality score of  
173  $\geq 30$ , be homozygous under a diploid model, be supported by  $\geq 5$  high quality reads including  
174  $\geq 1$  read in each direction and a consensus of  $\geq 90\%$  of bases and not be within a repetitive  
175 region of the genome. See <https://github.com/oxfordmmm/CompassCompact> for example  
176 implementation. For inclusion,  $\geq 70\%$  of the reference genome needed to be called in the  
177 consensus sequence. Bases in the consensus sequence not passing quality filtering were  
178 denoted N rather than A, C, G or T.

179

180 The bioinformatic pipelines used in this study for assembly and hash-cgMLST were written  
181 as NextFlow workflows<sup>21</sup> and can be found at <https://github.com/davideyre/hash-cgmlst>.  
182 Information on required dependencies and system requirements are provided in the  
183 repository readme file.

184

#### 185 Analysis

186 Sequences meeting all quality thresholds (high-quality average coverage, assembly size,  
187 proportion of reference genome called) were compared. For replicate sequences, when an  
188 isolate had been sequenced more than twice, a random sequence was chosen as the

189 baseline sequence with which all other sequences from the same isolate were compared, in  
190 order to avoid multiple counting.

191

192 Pairwise observed SNP differences between replicates and recombination-corrected SNP  
193 differences between other *C. difficile* genomes were obtained using Python scripts, PhyML<sup>22</sup>  
194 and ClonalFrameML<sup>23</sup> as previously described<sup>11</sup>

195 (<https://github.com/davideyre/runListCompare>). Whole-genome alignments were used as  
196 input for PhyML. Invariant sites, i.e. those called as the same base as the reference or an  
197 unknown base, N, across all genomes were set to be the same base as the reference for  
198 computational efficiency, given there was no evidence of variation at these sites. All other  
199 sites had evidence of variation in at least one genome and were included unchanged  
200 including any genomes with an N at that site. The maximum likelihood approach taken  
201 accounts the uncertainty in the phylogeny arising from some genomes having an N called at  
202 some variable sites.

203

204 The number of cgMLST loci differences and number loci compared were obtained using  
205 Python (<https://github.com/davideyre/hash-cgmlst>). Where no BLAST match was found for  
206 a gene in either (or both) of the genomes in a pairwise comparison this was not counted  
207 towards the total number of cgMLST gene differences.

208

209 Data availability

210 Short read archive accession numbers for analysed replicate genomes are provided in  
211 Supplementary Table S1 with explanatory notes in the accompanying legend. Data for the  
212 973 genomes from six English hospitals can be found at NCBI BioProject PRJNA369188.

213

214 Results

215 Hash-cgMLST provided the same results as standard cgMLST with minimal performance  
216 penalty. Results are presented throughout using pairwise core-gene differences generated  
217 with hash-cgMLST as these were identical to standard cgMLST gene differences if novel  
218 alleles were accounted for.

219

220 Comparison of hash-cgMLST and SNP typing performance in replicate sequences

221 A total of 374 sequences from 104 isolates passed all quality checks and were available for  
222 comparison to investigate the reproducibility of sequencing followed by cgMLST for *C.*  
223 *difficile* transmission analyses. A median (interquartile range) [range] of 2 (2-3) [2-27]  
224 sequences were available per isolate. Comparing replicate sequences with a randomly  
225 selected baseline sequence for each isolate yielded 272 comparisons for analysis.

226

227 With perfect sequencing no variants would be expected between pairs of sequences from  
228 the same isolate (replicate pairs). Using reference-based mapping and variant calling there  
229 were 0 SNPs between 262 (96%) replicate pairs, 1 SNP between 5 (2%) pairs and 2 SNPs  
230 between 1 (<1%) pair, i.e. a mean 0.026 SNPs per pair which equates to 1 false SNP call per  
231 39 sequences (Figure 1A). Based on the rate of *C. difficile* evolution and the extent of within  
232 host genetic diversity  $\leq 2$  SNPs are expected between >95% of cases related by recent  
233 transmission;<sup>1</sup> therefore it is unlikely that transmission would be falsely excluded on the  
234 basis of the error rates seen.

235

236 Using either hash-cgMLST or standard cgMLST following assembly using SPAdes, 218 (80%)  
237 replicates pairs had zero gene differences, 31 (11%) pairs 1 difference, 5 (2%) pairs 2  
238 differences, and 18 (7%) pairs had >2 differences, with a mean of 0.64 false gene differences  
239 per genome (Figure 1B) (test for symmetry considering 0, 1, 2, >2 SNPs or gene differences,  
240  $p=0.004$ ). Applying a threshold of >2 gene differences to rule out transmission (by analogy  
241 with SNP-based metrics<sup>1,6</sup>), the observed error rate would result in 6.6% (95% binomial  
242 confidence interval, CI, 4.0-10.3%) of transmission pairs being falsely excluded. Restricting  
243 to the subset of sequences where sequencing was known to have been undertaken from  
244 the same pool of extracted DNA produced fewer gene differences (Figure 1). Of 190 pairs,  
245 189 (>99%) had 0 SNPs and 1 (<1%) pair had 1 SNP. From cgMLST, 167 (88%) pairs had 0  
246 gene differences, 19 (10%) had 1 difference, 4 (2%) had 2 differences, and none had >2  
247 differences.

#### 248 249 Predictors of false cgMLST gene differences

250 The observation of greater differences between replicates restricting to variation in the  
251 2270 core genes versus considering SNPs across the whole genome is potentially counter-  
252 intuitive. However, it should be remembered that the whole-genome SNP approach  
253 depends on a different bioinformatic approach with sophisticated per variant quality  
254 filtering, whereas the cgMLST is based on *de novo* assembly with more limited quality  
255 filtering. We therefore investigated potential predictors of false cgMLST gene differences  
256 using the hash-cgMLST algorithm (which were identical to the standard cgMLST approach)  
257 to see if filtering could be improved. Although we had already restricted our analysis to only  
258 include sequences with a mean genome coverage of >50, we investigated whether a more  
259 stringent threshold would improve performance (Figure 2). There was no evidence that  
260 increased coverage was associated with fewer cgMLST gene differences (Spearman's rho -  
261 0.04,  $p=0.43$ ). There were only 2 sequences in the dataset with 50bp reads, the remainder  
262 had 100 or 150bp reads. 14/222 (6%) sequence pairs where the minimum sequence length  
263 was 100bp contained >2 gene differences, compared to 4/48 (8%) in pairs with both 150bp  
264 reads (exact  $p=0.54$ ).

265  
266 The relationship between cgMLST gene differences and *de novo* assembly quality metrics is  
267 shown in Figure 3A-C. Given the filtering applied, there was still an association between the  
268 number of false gene differences and the maximum absolute percentage deviation from the  
269 overall median assembly size (4165590bp) within each replicate pair (which was constrained  
270 to be  $\leq 10\%$  for inclusion in the analysis) (Spearman's rho 0.21,  $p<0.001$ , Figure 3A, with both  
271 small and large assemblies contributing to this effect). L50 describes the minimum number  
272 of contigs required to achieve 50% of the assembly size, with higher values representing  
273 more fragmented lower quality assemblies. Higher values of L50 were associated with  
274 greater rates of false gene differences (Spearman's rho 0.37,  $p<0.001$ ). 9 (2%) of 257 pairs  
275 with both L50 values  $\leq 125$  had >2 false gene differences compared to 9/15 (60%) with one  
276 or more sequences with an L50 >125 (Figure 3B). Another measure of assembly  
277 fragmentation is the total number of contigs; higher numbers of contigs were also  
278 associated with greater false gene differences (Spearman's rho 0.31,  $p<0.001$ , Figure 3C).

279  
280 Figure 3D shows the impact of the proportion of reads classified as *C. difficile* by Kraken2 on  
281 cgMLST gene differences. Within the dataset there was no evidence of significant  
282 contamination with a bacterial species other than *C. difficile* and the most common species

283 was *C. difficile* in all samples. However, the proportion of reads that could not be classified  
284 at all varied from 0-11% between sequences with the exception of one replicate pair (36%  
285 and 24%). Higher rates of unclassified sequences were associated with higher false gene  
286 differences, but without any clear separation of the data on this basis (Spearman's rho  
287 -0.23,  $p < 0.001$ ).  
288

#### 289 Distribution of cgMLST gene differences in replicate sequences

290 The gene differences observed between replicate sequences disproportionately affected a  
291 small number of genes (Supplementary Table S2). Only 82 (4%) of 2270 genes contained  
292 differences within the replicate sequences. To avoid multiple counting, we evaluated the  
293 number of isolates that contained at least a pair of replicates with gene differences: 16  
294 genes contained differences in two or more isolates' replicates, and of these 15 were due to  
295 the same nucleotide differing in all replicate pairs. The reproducible location of the  
296 differences observed for a given gene across different isolates is compatible with consistent  
297 mis-assembly (Table S2). If the 15 genes with identical gene differences affecting  $\geq 2$  isolates  
298 were excluded, the number of the 272 replicate pairs with 0 gene differences increased  
299 from 218 (80%) to 236 (87%) and the number of pairs with  $> 2$  gene differences reduced  
300 from 18 (7%) to 14 (5%). (Figure S1B). Using the full 2270 gene set and disabling SPAdes  
301 internal read correction resulted in fewer false gene differences: 0 differences in 236 (87%)  
302 pairs and  $> 2$  differences in 14 (5%) (Figure S1C).  
303

#### 304 Alternative assembler, SKESA

305 Use of SKESA in place of SPAdes as the assembler used for hash-cgMLST resulted in the  
306 fewer differences between replicate pairs (Figure 1C), 241 (89%) pairs had 0 differences, 22  
307 (8%) pairs 1 difference, 6 (2%) pairs 2 differences and 3 (1%) pairs 3 differences. This  
308 equates to 0.16 false gene differences per replicate pair sequenced. The median (IQR)  
309 number of genes compared between replicate pairs was 2225 (2187 – 2235) using SKESA  
310 and 2227 (2205 – 2242) using SPAdes out of a possible maximum 2270 genes.  
311

#### 312 Benchmarking

313 Samples were processed in parallel, with each sample using a single core from an Intel Xeon  
314 Gold 6150 2.70GHz 18-core CPU. For a single sample, the median (IQR) time to undertake  
315 quality control and read filtering was 3.6 (2.7-4.9) minutes and 27.4 (19.6-35.4) minutes to  
316 generate an assembly using Spades with read error correction and 16.3 (12.1-21.5) minutes  
317 without; SKESA took 19.4 (15.5-24.3) minutes. From the assemblies creating a hash-cgMLST  
318 profile took 44.1 (43.5-44.9) seconds. Having made hash-cgMLST profile files, running on a  
319 single CPU core, to compare a single genome to 100,000 others took 40.4 seconds. In  
320 contrast 100,000 comparisons using a standard cgMLST approach took marginally less time,  
321 38.7 seconds, after loading the profiles into memory.  
322

323 cgMLST profiles can also be rapidly compared using a laptop or desktop, e.g. using one core  
324 of Intel i7 2.6Ghz laptop processor, comparing the 973 samples from the six hospitals study  
325 required 467Mb of memory, and took 236 seconds for 472,879 comparisons, i.e. 49.9  
326 seconds per 100,000 comparisons. Using the same laptop, creating hash-cgMLST profiles  
327 from existing assemblies typically took  $\sim 40$  seconds and required  $< 100$ Mb of memory.  
328



329 Comparison of hash-cgMLST and SNP typing in data from six English hospitals

330 We analysed 973 genomes from a previous study of *C. difficile* transmission in six English  
331 hospitals<sup>11</sup> Of these, 56 failed the assembly size threshold and 20 the coverage threshold  
332 (one also failing the assembly threshold), leaving 898 (92%) genomes for analysis. We  
333 considered all pairs of genomes within  $\leq 2$  SNPs and tested the extent to which the numbers  
334 of hash-cgMLST gene differences, using SPAdes (with the --only-assembler flag) or SKESA  
335 assemblies, followed the number of SNPs (Figure 4A and 4C). Of 412 pairs of sequences  
336 within  $\leq 2$  SNPs, using SPAdes 376 (91%) were within  $\leq 2$  gene differences, 30 (7%) had 3  
337 differences, 16 (4%) had  $\geq 4$  differences and using SKESA 406 (99%) had  $\leq 2$  gene differences,  
338 and the remainder all  $\leq 5$  differences. The median (IQR) number of genes called in each pair  
339 was 2143 (2084-2191) using SPAdes and 2003 (1891-2110) using SKESA.

340  
341 To achieve  $\geq 99\%$  sensitivity for identifying genomes within  $\leq 2$  SNPs required a threshold of  
342  $\leq 9$  gene differences using SPAdes and  $\leq 3$  gene differences using SKESA, with an associated  
343 positive predictive value (PPV) of 11% (410/3720) and 38% (410/1092) respectively.  
344 Specificity was  $>99\%$  with both assemblers (399031/402341 and 401659/402341  
345 respectively).

346  
347 We also considered the distribution of SNPs within pairs of genomes with  $\leq 2$  gene  
348 differences using hash-cgMLST. Following assembly with SPAdes, of 590 pairs of genomes,  
349 376 (64%) were within  $\leq 2$  SNPs, with the maximum number of SNPs observed 20 (Figure  
350 4B). Using SKESA of 749 genome pairs, 406 (54%) were within  $\leq 2$  SNPs (Figure 4D).

351  
352

### 353 Discussion

354 Here we present the concept of hash-cgMLST as a tool for rapid comparison of bacterial  
355 sequencing data. This is a significant development over standard cgMLST approaches as it  
356 removes the need for a central database of alleles. Such databases require resource-  
357 intensive curation to ensure they are maintained to a high standard. Additionally, allele  
358 numbering is currently done consecutively in a single location, which is problematic with  
359 large datasets that span many laboratories; hashes also overcome this limitation. We also  
360 provide the code to run the algorithms developed.

361

362 This manuscript also highlights important limitations of common implementations of  
363 cgMLST as a tool for high resolution outbreak detection. Stringent filtering done on the basis  
364 of mapped data allows the number of false variant calls to be controlled; here we obtained  
365 around 1 false SNP for every 39 genomes sequenced. In contrast, fine-grained per base  
366 quality control is typically not implemented in studies using *de novo* assembly tools. Using  
367 SPAdes we observed an mean of 0.64 false gene differences per replicate genome pair. The  
368 alternative assembler tested, SKESA, was able to better control false gene differences, with  
369 0.16 per replicate pair, i.e. 1 error per every 6.3 genomes sequenced. The higher rates of  
370 false variation observed using cgMLST/hash-cgMLST led to the counter-intuitive observation  
371 in some samples of more differences comparing 2270 genes than comparing the whole  
372 genome. It should be noted that undertaking SNP-based analyses from alignments of *de*  
373 *nov*o assemblies without further filtering of variants would be similarly affected. These  
374 errors can be reduced by ensuring the assemblies studied are of high quality. Our data  
375 suggest that the previously described read quality trimming and filtering based on assembly

376 sizes<sup>6,10</sup> could be further improved by also only analysing samples with an L50 value of  
377 below ~125. However, this stringent filtering would have resulted in 30% of the previously  
378 published dataset studied being unavailable for analysis, questioning its practicability.  
379

380 Although our approach does not depend on a database of alleles it is dependent of the  
381 development of a high quality cgMLST scheme, i.e. appropriate identification of core genes  
382 based on a large and diverse collection of genomes, and careful selection of problematic  
383 genes for exclusion. Despite such an approach being taken in developing the *C. difficile*  
384 cgMLST scheme used, we show that removing a small number of genes from this cgMLST  
385 scheme would likely improve performance if using SPAdes assemblies, as a small subset of  
386 genes contained higher numbers of false gene differences (Table S2, Figure S1). This  
387 highlights the importance of assessing the performance of each cgMLST scheme created on  
388 a per species and scheme basis using appropriate test datasets which include replicate and  
389 closely-related sequences.  
390

391 Many of the apparent errors seen in replicate pairs appear to arise from mis-assembly.  
392 SPAdes based read correction did not improve accuracy and instead resulted in more rather  
393 than fewer differences between replicate pairs. Use of an alternative assembler SKESA<sup>17</sup>  
394 reduced the number of replicate pairs with >2 differences to just 1%, within minimal  
395 reduction in the number of genes compared between replicate pairs (median 2225  
396 compared to 2227 with SPAdes). The reduction in genes compared was greater in the  
397 clinical dataset analysed (median 2143 and 2003), but this reduced discriminatory power for  
398 transmission studies will usually be more than offset by reduced error rates (and therefore  
399 reductions in erroneous exclusion of transmission).  
400

401 Our data also highlight that extrapolating the  $\leq 2$  SNP threshold for identifying genetically  
402 plausible transmission events to two (or three<sup>6</sup>) gene differences may be inappropriate  
403 depending on the choice of assembler and settings. Using SPAdes, 4% of pairs of samples  
404 within  $\leq 2$  SNPs were >3 genes different by cgMLST, whereas with SKESA this was only 1%.  
405 For public health applications optimised to identify potential transmission, to be  $\geq 99\%$  sure  
406 of not missing pairs of sequences within  $\leq 2$  SNPs, a threshold of  $\leq 9$  gene differences was  
407 needed for SPAdes assemblies and  $\leq 3$  differences with SKESA. However these thresholds for  
408 SPAdes resulted in around 8 genome pairs >2 recombination-corrected SNPs apart being  
409 identified for every 1 pair within  $\leq 2$  SNPs (PPV 11%), and 1.6 pairs >2 SNPs apart for every  
410 pair within  $\leq 2$  SNPs using SKESA (PPV 38%). In this scenario further SNP-based analysis  
411 based on mapping and filtered variant calling is likely to be required to determine which  
412 genomes are potentially related by recent transmission and which are not. In other cases,  
413 higher numbers of SNPs were observed than gene differences (Figure 4B and 4D), which  
414 may arise from SNPs outside core genes, SNPs in uncalled genes, and imperfect correction  
415 of recombination events.  
416

417 Hash-cgMLST allowed rapid comparison of many thousands of bacterial genomes within  
418 seconds, using a relatively unoptimized python script running on a single laptop or server  
419 CPU core. As comparisons with other genomes can be easily divided into independent parts,  
420 this task is readily parallelisable. Using hash-cgMLST, it is therefore potentially possible to  
421 compare each new sequence generated with millions of previous sequences. The  
422 summaries of each genome produced, a roughly 130kb json file, are readily exchangeable

423 between laboratories and could potentially be hosted alongside raw reads in sequence read  
424 archives. As such, each laboratory could maintain its own database of hash-cgMLST profiles  
425 and distances, as well as this potentially being usefully provided as part of future web-based  
426 services based on publicly available data. Although without further refinements hash-  
427 cgMLST may not allow high-precision fine-scaled transmission studies, it has the potential to  
428 dramatically reduce the search space for closely-related genomes, which can then be  
429 followed by more precise SNP-based analyses on a much smaller subset of genomes.

430

431 Using SPAdes we observed a higher rate of 'false' gene differences between genomes where  
432 the sequences were potentially generated from separate DNA extractions of the same  
433 isolates, compared with genomes obtained from the same DNA extraction. It is therefore  
434 plausible that the differences observed represent true differences, but a form of variation  
435 that is much faster and more erratic than mutation/recombination rates based on filtered  
436 SNPs. The erratic nature of the variation observed is unlikely to be informative about recent  
437 transmission. We also did not see these differences to the same extent using an alternative  
438 assembler, SKESA.

439

440 This study is potentially limited by not being an exhaustive investigation of all the potential  
441 options for assembly and for filtering *de novo* assembly data, in particular further filtering of  
442 variants based on mapping reads back to assemblies may improve precision, e.g. as done by  
443 Enterobase.<sup>24</sup> Although we used Kraken2 to search for contamination with DNA from other  
444 species, contamination with *C. difficile* DNA from other samples processed concurrently may  
445 be an important contributor to some of the differences seen with hash-cgMLST, whereas  
446 resulting mixed calls can be filtered using mapped data.

447

448 In conclusion, appropriately quality controlled cgMLST can identify clusters of related  
449 genomes rapidly and is an appropriate tool for surveillance and reducing the search space in  
450 outbreaks. The SKESA assembler, compared to SPAdes, was associated with lower rates of  
451 gene differences between replicate sequences, and when used for hash-cgMLST more  
452 closely matched the number of SNPs between closely related samples. The approach we  
453 describe has potential to be deployed across a range of pathogens, including those where  
454 linkage across time and wide geographic space, i.e. involving very large sequencing datasets,  
455 may help resolve sources and routes of transmission, such as for food borne infections.  
456 Refined variant calling based on mapping is likely required to precisely define close genetic  
457 relationships. This study highlights the need for detailed quality assurance to determine the  
458 performance of algorithms used for comparing genomes. Our hash-cgMLST implementation  
459 is freely available and provides an effective database-free approach to cgMLST.

460

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473  
474

475 [Declaration of Interests](#)

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580 Figure Legends

581

582 **Figure 1. Observed differences using SNP typing (panel A) and hash-cgMLST based on**  
583 **SPAdes (panel B) and SKESA (panel C) assemblies in 272 replicate sequence pairs.** With  
584 perfect sequencing no variants would be expected between pairs of sequences from the  
585 same isolate. Pairs of sequences known to have been obtained from the same pool of DNA  
586 are shown in dark blue. Where information was unavailable on whether the same pool of  
587 DNA was used or a fresh DNA extract was made from the same isolate, this is shown in light  
588 blue.

589

590 **Figure 2. Relationship between hash-cgMLST gene differences in replicate sequence pairs**  
591 **and average genome coverage and read length.** Jitter applied to points to assist  
592 visualisation. SPAdes with "--careful" flag used to generate assemblies.

593

594 **Figure 3. Relationship between hash-cgMLST gene differences in replicate sequence pairs**  
595 **and *de novo* assembly quality metrics (panels A-C) and Kraken2 read classification (panel**  
596 **D).** Jitter applied to points to assist visualisation. One point is omitted from Figure 3D for  
597 ease of visualisation with the proportion of reads classified as *C. difficile* of 0.64 and 0 gene  
598 differences. SPAdes with "--careful" flag used to generate assemblies.

599

600 **Figure 4. Relationship between hash-cgMLST gene differences and SNPS in *C. difficile***  
601 **genomes from consecutive infections in six English hospitals.** Panel A shows the  
602 distribution of hash-cgMLST gene differences between pairs of genomes within  $\leq 2$  SNPs.  
603 Panel B shows the distribution of SNPs within pairs of genomes within  $\leq 2$  gene differences.  
604 Panel A and B were generated using SPAdes assemblies with the "--careful --only-  
605 assembler" flags. Panel C and D show the same analysis using the SKESA assembler.









