

1 **Title:** Pulse oximetry in paediatric primary care: Catalyzing implementation in  
2 low-income and middle-income countries

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49 Each year pneumonia kills more children before their fifth birthday than any  
50 other infectious disease.<sup>1</sup> To end preventable deaths of newborns and  
51 children under five by 2030 (United Nations Sustainable Development Goal  
52 3.2), effective primary care interventions for child pneumonia are needed.

53

54 Hypoxaemia, a low blood oxygen level, is a key risk factor for child pneumonia  
55 mortality.<sup>2</sup> In high-income settings, pulse oximeters, non-invasive portable  
56 devices that measure the peripheral arterial oxyhaemoglobin saturation  
57 (SpO<sub>2</sub>), have been used in routine paediatric clinical practice for over 30  
58 years.<sup>3</sup> In contrast, most paediatric primary care settings in low-income and  
59 middle-income countries (LMICs) do not routinely use pulse oximeters at all.<sup>3</sup>

60

61 While barriers to implementation have included cost and weak maintenance  
62 and supervision structures, a lack of policy recommendations has meant  
63 pulse oximeter roll-out has lacked prioritization and investment. We argue,  
64 that two knowledge gaps underpin this – device selection and high quality  
65 evidence – that if addressed can further catalyze both policy and demand for  
66 pulse oximetry in paediatric primary care in LMICs.

67

68 First, what do clinicians and nurses need from a pulse oximeter in LMIC  
69 paediatric primary care? They need accurate devices designed to work on  
70 small, distressed children even when they are moving or have compromised  
71 perfusion. If pulse oximeters are to be used as screening tools among  
72 children with suspected pneumonia (i.e., children with observed or reported  
73 cough or difficult breathing) – our recommendation – then devices must work

74 quickly in overburdened facilities reading >90% of SpO<sub>2</sub> measurements within  
75 120 seconds. Devices must be robust, incorporate reusable probes, disinfect  
76 easily, work despite electricity outages and with rechargeable batteries, and  
77 employ a simple and intuitive interface. To date, surprisingly few pulse  
78 oximeters meet these requirements and potential purchasers in LMICs have  
79 no access to independent device evaluation. Instead, when LMICs procure  
80 pulse oximeters they are purchased solely on price and manufacturer  
81 specifications. Evidence comparing performance of different models is scarce,  
82 especially regarding LMIC performance in children. Recent studies have  
83 confirmed that not all inexpensive pulse oximeters are accurate,<sup>4</sup> which  
84 makes them unsuitable for paediatric use, and that even expensive devices  
85 perform differently under certain conditions common to children in LMICs,  
86 such as motion and low perfusion.<sup>5,6</sup>

87

88 Although formal cost effectiveness analyses are missing, basic cost  
89 projections suggest oximeters may be a best buy for LMICs. Specifically, if  
90 LMICs consider pulse oximeter costs on a per patient basis a \$345 USD  
91 investment in one quality device (\$250 USD/unit) with three additional  
92 paediatric probes (\$25/probe) and one spare battery (\$20/battery) would cost  
93 less than \$0.07 USD per patient over five years in a clinic serving three to four  
94 children daily. LMICs must have the ability to transparently determine the  
95 most appropriate device for use with children in their setting, considering cost,  
96 performance, durability and usability.

97

98 Next, quality pulse oximetry and hypoxaemia data on children accessing

99 primary care services in LMICs is scarce. While there is evidence hypoxaemia  
100 is common and that pulse oximeters effectively identify children with  
101 hypoxaemia in hospitals,<sup>7,8</sup> similar data at the primary care level is lacking,  
102 especially outcome data, prevalence data, and healthcare worker device use  
103 and decision-making data.

104

105 A recently published World Health Organization report indicates that outcome  
106 data may soon be available from both Malawi and Bangladesh.<sup>9</sup> Although one  
107 large study in Malawi showed hypoxaemia was prevalent and government-  
108 sector healthcare providers effectively used pulse oximeters during paediatric  
109 primary care,<sup>10</sup> similar data is needed from other countries and regions. Local  
110 data is also required to understand the optimal SpO<sub>2</sub> threshold for hospital  
111 referral. In addition to mortality risk, the optimal threshold is likely to be driven  
112 by two factors, altitude and health system capacity. Although our  
113 understanding is limited, children adapted to living at higher altitudes are likely  
114 to be more tolerant of a lower SpO<sub>2</sub> than children at lower altitudes.<sup>11,12</sup> Health  
115 system capacity will also differ in LMICs such that a one-size-fits-all SpO<sub>2</sub>  
116 threshold may no longer be appropriate. Areas with greater health system  
117 capacity may be able to accommodate higher SpO<sub>2</sub> referral thresholds while  
118 areas with more limited capacity may not. In addition to driving policy, such  
119 data will inform LMICs where to locally prioritize distribution of pulse  
120 oximeters, training and supervision.

121

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123 Health Research (RESPIRE), Save the Children (United

124 Kingdom)/GlaxoSmithKline (INSPIRING) aim to pilot pulse oximeters during  
125 primary care in LMICs and may address some of these evidence gaps. We  
126 hope these projects and others will expand our understanding of how  
127 oximeters may improve LMIC paediatric primary care and help end  
128 preventable child deaths from pneumonia.

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