1	A randomised, placebo-controlled trial of fenfluramine for the treatment of seizures in
2	Dravet syndrome
3	
4	Lieven Lagae, MD, ¹ Joseph Sullivan, MD, ² Kelly Knupp, MD, ³ Linda Laux, MD, ⁴ Tilman
5	Polster, MD, ⁵ Marina Nikanorova, MD, ⁶ Orrin Devinsky, MD, ⁷ J. Helen Cross, MBChB, ⁸ Renzo
6	Guerrini, MD, ⁹ Dinesh Talwar, MD, ¹⁰ Ian Miller, MD, ¹¹ Gail Farfel, PhD, ¹² Bradley S. Galer,
7	MD, ¹² Arnold Gammaitoni, PharmD, ¹² Arun Mistry, MBChB, ¹² Glenn Morrison, PhD, ¹²
8	Michael Lock, PhD, ¹² Anupam Agarwal, MD, ¹² Wyman W. Lai, MD. ¹³ and Berten Ceulemans,
9	MD, ¹⁴ for the FAiRE DS Study Group.
10	
11	NOTE: Drs. Lagae and Sullivan contributed equally to this article.
12	
13	¹ Department of Paediatric Neurology, University of Leuven, Leuven, Belgium;
14	² University of California, San Francisco Benioff Children's Hospital, San Francisco, CA, USA;
15	³ University of Colorado, Children's Hospital Colorado, Aurora, CO, USA;
16	⁴ Northwestern University Feinberg School of Medicine, Chicago, IL, USA;
17	⁵ Mara Hospital, Bielefeld, Germany;
18	⁶ Danish Epilepsy Centre, Dianalund, Denmark;
19	⁷ NYU Langone Medical Center, New York, NY, USA;
20	⁸ UCL Great Ormond Street NIHR BRC Institute of Child Health, London, UK;
21	⁹ University of Florence, Florence, Italy;
22	¹⁰ University of Arizona Health Sciences Center, Tucson, AZ, USA;
23	¹¹ Nicklaus Children's Hospital, Miami, FL, USA;

- 24 ¹²Zogenix, Inc., Emeryville, CA, USA;
- ¹³CHOC Children's, Orange, CA, USA;
- ¹⁴Department of Paediatric Neurology, University of Antwerp, Edegem, Belgium.
- 27

28 Corresponding Author

- 29 Arnold Gammaitoni, PharmD
- 30 Zogenix, Inc.
- 31 5858 Horton Street, Suite 455
- 32 Emeryville, CA 94608 USA
- 33 Tel: 484-680-9194
- 34 Email: agammaitoni@zogenix.com

Page 3 of 46

35 **Research in context**

36 Evidence before this study

PubMed was searched for any studies using the following search strategy: "(fenfluramine 37 38 OR dexfenfluramine) AND (Dravet syndrome OR seizure* OR epilep*)." Case reports and small 39 observational studies of the use of fenfluramine in children with intractable epilepsies, including 40 photosensitive or self-induced, suggested that fenfluramine may possess anti-seizure activity. 41 Two cohorts of patients with Dravet syndrome have been treated with low doses of fenfluramine 42 for up to 30 years with significant sustained reductions in convulsive seizure frequency and 43 without evidence of cardiovascular disease. 44 45 Added value of this study 46 This study was the first randomised, double-blind, placebo-controlled clinical trial to 47 assess the safety and efficacy of fenfluramine when added to existing antiepileptic therapy for 48 the treatment convulsive seizures associated with Dravet syndrome in children and young adults. 49 50 **Implications of all the available evidence** 51 The results of this randomised, placebo-controlled clinical trial suggest the use of low-52 dose fenfluramine (0.2 to ≤ 0.7 mg/kg/day [maximum daily dose of 26 mg/day]) added to 53 existing antiepileptic therapy may be effective in reducing the frequency of convulsive seizures 54 in patients with Dravet syndrome. The safety results indicate that patients treated with these 55 doses of fenfluramine may experience an increase in adverse events, but overall the drug was well tolerated. Prospective echocardiographic examinations during the study revealed that 56

- 57 cardiac valve function remained within the normal physiologic range in all patients and none of
- 58 the patients developed pulmonary arterial hypertension.

Page 5 of 46

59 Summary

60 **Background**: Dravet syndrome is a rare, treatment-resistant developmental epileptic encephalopathy characterised by multiple types of frequent, disabling seizures. Fenfluramine has 61 62 been reported to have antiseizure activity in observational studies of photosensitive epilepsy and 63 Dravet syndrome. The aim of the present study was to assess the efficacy and safety of 64 fenfluramine in patients with Dravet syndrome. **Methods**: This randomised, double-blind, parallel group, placebo-controlled clinical trial 65 enrolled children and young adults with Dravet syndrome. Following a 6-week observation 66 period to establish baseline monthly (28 days) convulsive seizure frequency (MCSF; defined as 67 hemiclonic, tonic, clonic, tonic-atonic, generalised tonic-clonic, and focal with clearly 68 69 observable motor signs), patients were randomly assigned in a 1:1:1 ratio to placebo or 70 fenfluramine 0.2 or 0.7 mg/kg/day, added to existing antiepileptic agents for 14 weeks. The 71 primary outcome was the change in monthly frequency of convulsive seizures during the 72 treatment period.

73 **Findings**: A total of 119 patients were enrolled, with mean age 9.0 years; 64 (54%) were male. 74 No clinically relevant differences in baseline characteristics of patients in the three treatment 75 groups were seen. During treatment, the median percent reductions in seizure frequency 76 were -74.9% and -19.2% in the fenfluramine 0.7 mg/kg/day and placebo groups, respectively. 77 The study met its primary efficacy endpoint with high statistical significance with fenfluramine 78 0.7 mg/kg/day demonstrating a -62.3% (P<0.0001, 95% CI: -47.7%, -72.8%) reduction in mean 79 MCSF compared to placebo. The most common adverse events ($\geq 10\%$ of patients) occurring 80 more frequently with fenfluramine were decreased appetite, diarrhoea, fatigue, lethargy, 81 somnolence, and decreased weight. Echocardiographic examinations revealed valve function

- 82 within the normal physiologic range in all patients during the trial and no signs of pulmonary
- 83 arterial hypertension.
- 84 Interpretation: In Dravet syndrome, fenfluramine provides significantly greater reduction in
- 85 convulsive seizure frequency compared with placebo, while also exhibiting an apparent dose
- 86 response, and is generally well tolerated. No valvular heart disease or pulmonary arterial
- 87 hypertension was observed in any patient at any time.
- 88 **Funding:** Zogenix, Inc.
- 89 Trial registration numbers: NCT02682927, NCT02826863

Page 7 of 46

90 Introduction

Dravet syndrome is a rare, treatment-resistant, developmental epileptic encephalopathy
characterised by multiple types of frequent, disabling seizures and severe neurodevelopmental
and psychomotor delay.^{1,2} Current therapies remain inadequate for most patients; approximately
45% of patients have more than three tonic-clonic seizures per month despite multiple
antiepileptic drugs, including stiripentol.³ These patients also experience status epilepticus and
increased mortality due to sudden unexpected death in epilepsy, for which generalised tonicclonic seizures are a major risk factor.⁴⁻⁷

98

99 The antiepileptic activity of fenfluramine was reported in the 1980s in small case series and observational studies of children with photosensitive, self-induced epilepsy.⁸ Fenfluramine, 100 101 previously marketed for weight loss in obese adults, and often used in an off-label combination 102 with phentermine, was withdrawn from the market in 1997 following the occurrence of cardiac valvulopathy⁹ and pulmonary arterial hypertension¹⁰ in some individuals treated with up to 220 103 mg/day.⁹ Compassionate use approval was granted by the government of Belgium in 2002 to 104 105 allow patients with Dravet syndrome to be treated with fenfluramine under a treatment protocol. 106 Some of these patients have now been treated with daily fenfluramine for up to 30 years with 107 sustained significant reductions in seizure frequency without evidence of cardiopulmonary disease.¹¹⁻¹³ The mean daily dosages reported as of the most recent visit in the two cohorts of 108 109 Belgian patients were 0.27 mg/kg/day (range 0.13-0.46 mg/kg/day) and 0.35 (range, 0.16-0.69) mg/kg/day.¹⁴ We report results from a Phase 3, randomised, placebo-controlled trial of 110 111 fenfluramine HCl oral solution to treat seizures in children and young adults with Dravet 112 syndrome.

Page 8 of 46

114 Methods

115 Trial Design and Oversight

116 The sponsor (Zogenix, Inc., Emeryville, CA, USA) initiated two identical Phase 3 multinational, 117 randomised, double-blind, placebo-controlled clinical trials of fenfluramine for the treatment of 118 seizures in children and young adults with Dravet syndrome. One trial was conducted in the US 119 and Canada (NCT02682927) and the other in Western Europe and Australia (NCT02826863). 120 Due to incomplete enrolment in both studies of patients with this rare disorder, it was decided to 121 merge the data sets prior to unblinding of results and analysis. The study protocols were 122 reviewed and approved by the institutional review board or ethics committee for each study site 123 before any study activation. All patients or their legal representatives signed informed 124 consent/assent prior to enrolling in the trial.

125

126 Male or female patients aged 2 to 18 years with a medical history to support a clinical diagnosis 127 of Dravet syndrome (Supplementary Material), and in whom seizures had not been completely 128 controlled by their current regimen of antiepileptic drugs or other therapies, were eligible to 129 enrol in the trial if they met inclusion and exclusion criteria. Patients were recruited from 130 investigators' clinical practice populations, referrals, and advertising where permitted. Based on 131 medical records or caregiver reports, patients must have had ≥ 4 convulsive seizures per four-132 week period during the 12 weeks prior to entering the screening/baseline period of the trial. 133 Genetic testing was undertaken for all patients where permitted, but a positive SCNIA mutation 134 was not required for enrolment. All medications or interventions for epilepsy must have been 135 stable for at least four weeks before screening and were expected to remain stable throughout

Page 9 of 46

136 trial participation. Key exclusion criteria prior to starting the screening/baseline period included 137 a history of pulmonary hypertension; a history of cardiovascular or cerebrovascular disease, 138 including aortic and/or mitral valve regurgitation as determined by echocardiographic 139 examination, myocardial infarction, or stroke; current treatment with centrally-acting anorectic 140 agents, monoamine oxidase inhibitors, or any centrally-acting agent with serotonin agonist or 141 antagonist properties; treatment with stiripentol within 21 days prior to screening; a positive 142 urine test for tetrahydrocannabinol; and a positive whole blood test for cannabidiol at screening. 143 The Epilepsy Study Consortium (http://epilepsyconsortium.org/) confirmed that each patient met 144 the diagnostic criteria for study entry.

145

146 **Trial Procedures**

147 Potential patients enrolled in a 6-week baseline period to establish seizure frequency and 148 determine eligibility. Echocardiographic examinations were performed during the baseline 149 period, and patients exhibiting aortic and/or mitral valve regurgitation of any severity were 150 excluded from further participation. During the trial, seizures were documented by parents or 151 caregivers in an electronic diary, including date, time of day, duration, and seizure type. To 152 qualify for entry to the trial, each patient must have had ≥ 6 convulsive seizures during the 153 baseline period with ≥ 2 in the first three weeks and ≥ 2 in the last three weeks. For this clinical 154 trial, convulsive seizures were defined as hemiclonic, tonic, clonic, tonic-atonic, generalised 155 tonic-clonic, and focal with clearly observable motor signs. At the end of the baseline period, 156 eligible patients were randomly assigned in a 1:1:1 ratio to placebo, fenfluramine 0.2 mg/kg/day, 157 or fenfluramine 0.7 mg/kg/day, with the maximum daily dose limited to 26 mg/day. 158 Fenfluramine was administered as an oral solution of fenfluramine HCl containing 2.2 mg/mL

Page 10 of 46

159 fenfluramine. Daily doses were administered orally with food in two equal doses—one in the 160 morning and one in the evening, approximately 12 hours apart. During the first two weeks 161 (titration period), patients in the fenfluramine 0.7 mg/kg/day group were blindly titrated to their 162 final dose, starting with 0.2 mg/kg/day for four days, 0.4 mg/kg/day for four days, and then 163 reaching the final dose. The other groups underwent dummy titrations. Following the titration 164 period, patients were maintained on their final dose for an additional 12 weeks (maintenance 165 period). At the conclusion of the treatment period (titration plus maintenance), eligible patients 166 electing to continue in an optional open-label extension study (NCT02823145) underwent a 167 blinded two-week transition period, whereas patients exiting the study underwent a two-week 168 taper of medication and a safety follow-up.

169

170 Randomisation and Masking

171 Following the 6-week baseline period, eligible patients were randomised to treatment with 172 placebo, fenfluramine 0.2 mg/kg/day, or fenfluramine 0.7 mg/kg/day. The assignment of 173 treatment for each patient was done through an interactive web response system. The 174 randomisation schedule was produced by an independent statistician and was stratified by age (<6 years, \geq 6 years). The original protocol stated that each age group was to include at least 40% 175 176 of enroled patients, but during the drafting of the statistical analysis plan (SAP) and after 177 observing the age distribution of the study population of a recently completed study in Dravet syndrome,¹⁵ the stratification regimen was changed in the SAP to achieve an age distribution of 178 179 25% in the <6 year old group. The fenfluramine and placebo solutions were identical in 180 appearance and taste and thus indistinguishable from each other. Zogenix manufactured the

181	study drug and placebo. All patients, caregivers, investigators, and other persons involved in
182	acquiring and assessing data were masked to treatment group assignment.

- 183
- 184 Safety

185 Adverse events were collected from the time of signing of informed consent until completion of 186 the study, including the follow-up visit. Collection of adverse events occurred primarily at in-187 clinic or telephone study visits in discussion with the caregiver/parent. The severity of adverse 188 events was graded by the investigator as mild, moderate, or severe, and related or not related to 189 study medication. Vital signs, height, weight, and clinical laboratory evaluations were performed 190 at each in-clinic study visit (during baseline, at randomization, and on study days 15, 43, 71, and 191 99). Since antiepileptic drug use has been associated with adverse effects on cognition, the 192 Behavior Rating Inventory of Executive Function (BRIEF) or the BRIEF-P (for children age 2 to 193 <5 years old)¹⁶ was administered at baseline and after 7 and 14 weeks of treatment to determine 194 if there were any negative effects of treatment on executive function, a construct of cognition. 195 The instrument contains three index scores: the Behavioral Regulation Index, Metacognition 196 Index, and Global Executive Composite. The Behavioral Regulation Index score represents a 197 child's ability to shift cognitive set and modulate emotions and behaviour via appropriate 198 inhibitory control, the Metacognition Index score represents a child's ability to self-manage 199 tasks, and the Global Executive Composite is a summary score that incorporates all eight clinical 200 scales of the BRIEF. Higher scores represent increasing difficulty in executive function.

201

202 Conventional two-dimensional, spectral Doppler, and colour Doppler echocardiography and 12 203 lead electrocardiography were performed during the screening/baseline period, after six weeks of

204 treatment, and after 14 weeks of treatment at the end of the maintenance period. The 205 echocardiograms were evaluated by two independent cardiologists, and in the event of 206 disagreement, a third cardiologist arbitrated the decision. These three board-certified 207 cardiologists were consultants of the cardiovascular clinical research organization, Biomedical 208 Systems/ERT (St. Louis, MO), which served as the echocardiography and electrocardiogram 209 core laboratory for this study. In addition, an International Paediatric Cardiology Advisory Board 210 of experienced academic cardiologists with expertise in echocardiography was established to 211 provide guidance and recommendations regarding cardiac assessments throughout the Phase 3 212 program. Cardiac valve regurgitation severity was graded as absent, trace, mild, moderate, or 213 severe. Valvular heart disease (VHD) was defined as the presence of mitral valve regurgitation \geq moderate severity and/or aortic valve regurgitation \geq mild severity.¹⁷ Pulmonary hypertension 214 215 was considered to be present when pulmonary arterial systolic pressure (PASP) exceeded 35 216 mmHg.¹⁸

217

218 **Outcomes**

219 All primary, key-secondary, and other secondary outcomes were prespecified (with the exception 220 of those labelled as post-hoc). Monthly convulsive seizure frequency (MCSF) was expressed per 221 28 days. The primary efficacy endpoint was the comparison of change in mean MCSF between 222 the baseline period and the combined titration and maintenance periods in patients treated with 223 fenfluramine 0.7 mg/kg/day compared with placebo. Five key secondary endpoints were 224 prespecified: the comparison of the fenfluramine 0.2 mg/kg/day group with placebo for the 225 change in mean MCSF between baseline and the combined titration and maintenance periods, 226 comparison of both fenfluramine groups independently with placebo on the proportion of

patients who achieved a \geq 50% reduction from baseline in mean MCSF, and comparison of both fenfluramine groups independently with placebo on the longest seizure-free interval observed in each group.

230

231 Other secondary outcomes included a responder analysis (i.e. the proportion of patients who 232 achieved $\geq 25\%$, $\geq 75\%$, or 100% reduction in mean MCSF; the number of days that rescue 233 medication was used during the treatment period; and a post-hoc analysis of patients who 234 experienced zero or one convulsive seizure during the treatment period), a comparison of the 235 Clinical Global Impression of Improvement assessed by the investigator and by the 236 parent/caregiver, and patient quality of life assessments. The Clinical Global Impression of 237 Improvement solicits a response on a 7-point Likert-like scale with responses ranging from 1 238 "very much improved" to 4 "no change" to 7 "very much worse."

239

240 The following instruments were used to assess patient quality of life: Quality of Life in Childhood Epilepsy Scale¹⁹ and Pediatric Quality of Life Inventory.²⁰ The Quality of Life in 241 242 Childhood Epilepsy Scale is a low-burden parent/caregiver-completed assessment that looks at 243 how epilepsy affects day-to-day functioning of their child in various life areas, including 244 physical activities, well-being, cognition, social activities, behaviour, and general health. Its 245 subscales and total score are expressed on a 0 to 100 scale, with higher values representing better 246 quality of life. The Pediatric Quality of Life Inventory 4.0 is a quality of life scale that assesses 247 four functional areas (Physical, Emotional, Social, and School Functioning). The scale is 248 available in age-appropriate instruments with child self-report and parent proxy-report formats. 249 In this clinical trial, the age-appropriate categories for the administration of the instrument were

Page 14 of 46

ages 2-4, 5-7, 8-12, and 13-18 years; the parent reports were also used. Scores are expressed on a
scale of 0 to 100, in which higher scores mean better health-related quality of life.

252

253 Statistical Analysis

254 The statistical analysis plan was written specifically for the merged clinical trial prior to 255 completion of treatment and unblinding. The power analysis assumed that the standard deviation 256 of the percentage change in monthly seizure frequency was 55%, based on results from previous randomised clinical studies of stiripentol^{21,22} and cannabidiol¹⁵ for the treatment of seizures in 257 258 Dravet syndrome patients. Based on this assumption, a sample size of 40 patients per arm was 259 determined to provide 90% power to detect a difference in mean change in monthly seizure 260 frequency from baseline of 40 percentage points, using a two-sided test at the α =0.05 261 significance level.

262

263 The primary endpoint was analysed using an analysis of covariance (ANCOVA) model with 264 treatment (three levels) and age group (<6 years, \geq 6 years) as factors, log baseline convulsive 265 seizure frequency as a covariate, and log convulsive seizure frequency during the combined 266 titration and maintenance periods as the response. Inspection of residual plots and other 267 diagnostics verified that the assumptions of the ANCOVA model were met with only minor 268 deviations. Estimated treatment differences and CI endpoints were exponentiated to yield an 269 estimate of the placebo-adjusted response. The comparison of fenfluramine 0.2 mg/kg/day with 270 placebo for change in convulsive seizure frequency from baseline to the combined titration and 271 maintenance periods was obtained from the same analysis. Treatment groups were compared on 272 the proportion of patients who achieved a \geq 50% reduction in convulsive seizure frequency using

273 a logistic regression model that incorporated the same factors as the primary endpoint analysis. 274 The Wilcoxon rank sum test was used to compare groups on the longest seizure-free interval; the 275 Hodges-Lehmann estimator was used to calculate 95% CIs on the median difference between groups. A serial gatekeeping procedure²³ was used to maintain the simultaneous type 1 error rate 276 277 at α =0.05 across the analyses of the primary and five key secondary endpoints. No correction for 278 multiplicity was performed for additional secondary endpoints. The primary and all key 279 secondary endpoint analyses were performed on the modified intent-to-treat (mITT) population, 280 defined as all patients who received at least one dose of study medication and had at least one 281 week of post-treatment seizure diary data. These analyses were also conducted on the per-282 protocol population, which was defined as all patients who received at least 4 weeks of treatment 283 in the maintenance period and who demonstrated a treatment compliance rate $\geq 80\%$. Missing 284 data were not imputed.

285

The secondary responder analysis was assessed using logistic regression as described above. For the Clinical Global Impression of Improvement, the proportion of patients who were rated as "very much improved" or "much improved" in each fenfluramine dose group was compared to placebo using the Cochran-Mantel-Haenszel test stratified by age group. Comparisons between treatment groups for the quality of life assessments were made using Wilcoxon rank sum tests.

291

292 **Role of the Funding Source**

293 The study was funded by Zogenix, Inc., who designed the study with input from the

294 investigators. Zogenix and the contracted clinical research organization (Syneos Health, Raleigh,

295 NC, USA) were responsible for trial management, site monitoring, preparation of placebo and

Page 16 of 46

active treatments, data monitoring, and statistical analysis. Zogenix paid for professional medical
writing and editing assistance to the authors. All authors vouch for adherence to the protocol,
accuracy of data collection and analysis, and reporting of adverse events. All authors had full
access to all the data and were responsible for the decision to submit for publication. The
corresponding author confirms having access to all the data in the study and had final
responsibility for the decision to submit for publication.

302

303 Results

304 **Patients**

305 A total of 173 patients were screened for eligibility, with 119 patients enrolled and randomly 306 assigned to a treatment group (Figure 1). Of the 54 screen failures, the two most common 307 reasons were the presence of predefined exclusionary cardiovascular or cardiopulmonary 308 findings, primarily trace mitral and/or trace aortic valve regurgitation during screening 309 echocardiographic examination (n=23) and failure to meet other entry requirements (n=19). Nine 310 patients withdrew before completion of the trial, three in the placebo group for lack of efficacy 311 (n=1) or patient/guardian decision (n=2), and six in the fenfluramine 0.7 mg/kg/day group for 312 adverse events (n=5) or patient/guardian decision (n=1). All patients reached the target dose; 313 however, 6 subjects did not tolerate the 0.7 mg/kg/day dose as add-on therapy and either reduced 314 the dose (n=3) or discontinued the trial (n=3). Upon completion of this clinical trial, 112 patients 315 entered the open-label extension study.

316

317 Patient demographics are presented in **Table 1**. No clinically relevant differences in baseline

318 characteristics of patients in the three treatment groups were seen. The average age of patients

Page 17 of 46

319 was 9.0 ± 4.7 years, and the baseline median convulsive seizure frequency per month ranged from 320 17.5 to 27.3 among the three treatment groups. Patients were being treated at baseline with a 321 mean of $2 \cdot 4 \pm 1 \cdot 0$ antiepileptic drugs (median, 2; range, 0 to 5), which most commonly included 322 valproate (n=71, 60%), clobazam (n=70, 59%), topiramate (n=30, 25%), and levetiracetam 323 (n=26, 22%). Fifty-eight (49%) patients had previously been treated with stiripentol, and 31 324 (26%) had previously been treated with cannabidiol. Overall mean compliance to study 325 medication was >90% in each treatment group, as reported by caretakers in the daily diary and 326 verified against returned medication. A total of 12 patients, all in the 0.7 mg/kg/day group, were 327 treated with the maximum daily dose of 26 mg fenfluramine during the combined titration and 328 maintenance periods.

329

330 Seizure Frequency

331 Seizure frequency during the 14-week treatment period declined by a median -74.9%, -42.3%, 332 and -19.2% in the fenfluramine 0.7 mg/kg/day, and fenfluramine 0.2 mg/kg/day, and placebo 333 groups, respectively (**Table 2**). The study met its primary efficacy endpoint with high statistical 334 significance, with patients in the fenfluramine 0.7 mg/kg/day group demonstrating a 62.3%335 greater reduction in mean MCSF over the 14-week treatment period compared with placebo 336 (P < 0.0001, Table 2). The fenfluramine 0.2 mg/kg/day group also demonstrated a significant337 32.4% reduction in mean MCSF compared with placebo (*P*=0.0209 **Table 2**). A significantly 338 greater proportion of patients treated with either dose of fenfluramine demonstrated a $\geq 25\%$, 339 \geq 50%, or \geq 75% reduction in MCSF during the treatment period compared with subjects in the 340 placebo group (Figure 2, Table 2).

Page 18 of 46

342 During the treatment period, 27 (68%; P < 0.0001) and 15 (38%; P = 0.0091) patients in the 0.7 343 mg/kg/day and 0.2 mg/kg/day fenfluramine groups, respectively, demonstrated a \geq 50% 344 reduction in convulsive seizure frequency compared with five (12%) patients in the placebo 345 group (Table 2, Figure 2). The median longest seizure-free intervals were 25 days in the 346 fenfluramine 0.7 mg/kg/day group (P<0.0001), 15 days in the fenfluramine 0.2 mg/kg/day group 347 (P=0.0352), and 9.5 days in the placebo group (**Table 2**). Seizure freedom during the entire 348 14-week treatment period was experienced by three (8%) patients in the fenfluramine 0.7349 mg/kg/day group, three (8%) patients in the fenfluramine 0.2 mg/kg/kg group, and 0 patients in 350 the placebo group, and only one seizure was reported in the entire 14-week treatment period by 351 seven (18%) in the 0.7 mg/kg/day group, two (5%) in the 0.2 mg/kg/day group, and 0 patients in 352 the placebo group (**Table 2**). In addition to its antiseizure activity, patients in the 0.7 mg/kg/day353 treatment group required significantly fewer days of rescue medication use (Table 2). The per-354 protocol population comprised 103 patients and analyses of the primary and key secondary 355 endpoints in this patient population yielded similar results to the analyses of the mITT 356 population.

357

During the trial, 68 of 119 (57%) patients experienced other seizure types, including focal seizures without clearly observable motor signs and absence or atypical absence, myoclonic, or atonic seizures. Patients treated with fenfluramine 0.7 mg/kg/day demonstrated a median 68.3% decrease from baseline in total seizure frequency compared with median decreases of 41.1% and 16.2% in the fenfluramine 0.2 mg/kg/day and placebo groups, respectively (**Table 2**).

Page 19 of 46

364	At the end of the treatment period, 22 (55%; $P < 0.0001$) patients in the fenfluramine 0.7
365	mg/kg/day group and 16 (41%; $P=0.0036$) patients in the fenfluramine 0.2 mg/kg/day group
366	were rated as "much improved" or "very much improved" by their caretaker, compared with four
367	(10%) patients in the placebo group (Table 2). The numbers of patients rated "much improved"
368	or "very much improved" by the investigator were 25 (62%; <i>P</i> <0.0001), 16 (41%; <i>P</i> =0.0032),
369	and four (10%) in the fenfluramine 0.7 mg/kg/day , fenfluramine 0.2 mg/kg/day , and placebo
370	groups, respectively (Table 2).
371	
372	No significant differences were observed after 14 weeks of treatment between either
373	fenfluramine group and placebo in the overall composite score from the Quality of Life in
374	Childhood Epilepsy instrument (Table 2); however, significant differences were observed in the
375	Pediatric Quality of Life Inventory. At baseline the mean parent-reported Pediatric Quality of
376	Life Inventory total scores were $48 \cdot 7 \pm 18 \cdot 1$, $49 \cdot 5 \pm 11 \cdot 9$, and $45 \cdot 6 \pm 17 \cdot 1$ in the fenfluramine
377	0.7 mg/kg/day, fenfluramine $0.2 mg/kg/day$, and placebo groups, respectively. At the end of the
378	treatment period, total scores had improved by means of $5 \cdot 9 \pm 15 \cdot 1$ and $6 \cdot 8 \pm 11 \cdot 2$ in the
379	fenfluramine 0.7 mg/kg/day ($P=0.0198$) and fenfluramine 0.2 mg/kg/day ($P=0.0029$) groups,
380	respectively, compared with a small decrease or worsening in the placebo group (-1.6 ± 10.4)
381	(Table 2).

382

383 Post-hoc analyses of treatment effect can be found in the Supplementary Material, including
384 achieving a state of near-seizure freedom (defined as experiencing 0 or 1 convulsive seizure
385 during the 14-week treatment period) and the time course of antiseizure activity.

Page 20 of 46

387	Safety

388 Adverse events were reported in 65% of patients in the placebo group and 95% of patients in 389 each fenfluramine dose group. A summary of non-cardiovascular adverse events that occurred in 390 \geq 10% of patients in any treatment group is presented in **Table 3**. The most common non-391 cardiovascular adverse events reported in fenfluramine-treated patients were decreased appetite, 392 diarrhoea, nasopharyngitis, lethargy, somnolence, and pyrexia. Among patients that had non-393 cardiovascular adverse events, 93% were mild to moderate in severity, including 35 (92%), 35 394 (95%), and 24 (92%) of patients in the fenfluramine 0.7 mg/kg/day, fenfluramine 0.2 mg/kg/day, 395 and placebo groups, respectively. 396 397 Because fenfluramine had been marketed at higher doses as an anorectic drug, body weight was 398 monitored throughout the trial. Median changes in body weight by age group and treatment are 399 presented in **Table 2**. Furthermore, a change from baseline $\geq 7\%$ was set as the minimum 400 threshold for identifying meaningful weight loss. Overall, in the placebo group, one (3%) patient 401 lost weight (maximum 8.0% at Visit 8). In the fenfluramine 0.2 mg/kg/day group, five (13%) 402 patients experienced weight losses ranging from 8.4% to 21.9% of body weight; the patient who 403 lost 21.9% of body weight was being actively managed for obesity by a nutritionist to lose 404 excess body weight before and during the trial. In the fenfluramine 0.7 mg/kg/day group, 8 405 (20%) patients lost weight, ranging from 7.2% to 11.4% of body weight. One patient in the 406 fenfluramine 0.7 mg/kg/day group discontinued, citing decreased appetite and weight loss

407 (which was less than 1 kg), among other events.

Page 21 of 46

409	No deaths occurred in the trial. Serious adverse events occurred in four (10%) patients in the
410	placebo group, four (10%) patients in the fenfluramine 0.2 mg/kg/day group, and five (13%)
411	patients in the fenfluramine 0.7 mg/kg/day group. The most common serious adverse events
412	included hospitalization for status epilepticus in two (5%) placebo patients, one (3%)
413	fenfluramine 0.2 mg/kg/day patient, and two (5%) fenfluramine 0.7 mg/kg/day patients.
414	
415	No cases of pulmonary arterial hypertension or clinically significant signs or symptoms of
416	cardiovascular disease were observed in the trial. All echocardiographic examinations revealed
417	valvular function within the normal physiological range in all patients throughout the trial. Five
418	(13%), seven (18%), and nine (23%) patients in the placebo, fenfluramine 0.2 mg/kg/day , and
419	fenfluramine 0.7 mg/kg/day groups, respectively, were noted to have at least one
420	echocardiographic finding with trace mitral and/or trace aortic regurgitation, which is considered
421	to be a physiologic and normal finding seen in healthy children and young adults. ²⁴
422	
423	After 14 weeks of treatment, patients in the fenfluramine 0.7 mg/kg/day group demonstrated
424	significant improvements from baseline in the BRIEF Behavioral Regulatory Index and Global
425	Executive Composite score (Table 2).
426	
427	Discussion
428	Dravet syndrome is a severe refractory, disabling childhood-onset developmental epileptic
429	encephalopathy characterised by a high seizure burden accompanied by significant comorbid
430	neurodevelopmental, motor, and behavioural abnormalities. ² In addition, the syndrome is marked
431	by high mortality, most frequently due to status epilepticus and sudden unexpected death in

epilepsy.⁵ A Dravet-specific SUDEP rate of 9.32 per 1000 person-years has been reported,⁵ 432 433 which is substantially higher than that reported in the general population of patients with epilepsy.⁶ Despite the use of multidrug regimens used in an attempt to control seizures, 45% 434 continue to experience \geq 4 tonic-clonic seizures/month.³ The combination of a high seizure 435 436 burden and neurodevelopmental abnormalities imparts a high humanistic and economic impact on caregivers and the broader family unit.^{2,25,26} Primary caregivers have reported general health 437 438 scores on the EO-5D that are equivalent to someone in the general population suffering from a major health illness (i.e. heart disease, diabetes, cancer).²⁵ These reports illustrate the high unmet 439 440 need for new and better therapies in Dravet syndrome.

441

442 In this clinical trial, fenfluramine oral solution resulted in a robust reduction in the frequency of 443 convulsive seizures compared with placebo in children and young adults with Dravet syndrome. 444 In addition, significantly higher responder rates compared with placebo, particularly of patients 445 demonstrating both \geq 50% and \geq 75% reduction in the frequency of convulsive seizures, were 446 observed. The cohort of patients with Dravet syndrome included in the current trial reflect the 447 high seizure burden previously described in that they were averaging about 1.5 convulsive 448 seizures/day (baseline convulsive seizure frequency/28 days = 40.3 ± 64.0 [mean \pm SD]). On this 449 background of high seizure burden, further illustration of the efficacy of fenfluramine can be 450 found in the fact that ten (25%) and five (13%) of patients in the 0.7 mg/kg/day and 451 0.2 mg/kg/day groups, respectively, had either one or no convulsive seizures for the entire 452 14-week study. Both the investigators and the parents/caregivers rated a significantly larger 453 proportion of fenfluramine-treated patients as being "much improved" or "very much improved"

Page 23 of 46

454 compared with patients in the placebo group. In the primary and all key secondary efficacy

455 outcomes, a dose response was observed for the two fenfluramine doses studied.

456

457 Improvements on some, but not all, quality of life measures were seen at the end of 14 weeks of 458 treatment with fenfluramine compared with placebo. No effect was seen in the Quality of Life in 459 Childhood Epilepsy instrument, but the Pediatric Quality of Life Inventory showed improvement 460 in both fenfluramine groups compared with placebo. The BRIEF assesses executive function, a 461 construct of cognition, and was included as a safety measure to assess if treatment resulted in any 462 negative effects on cognitive function, as this outcome has previously been reported with other antiepileptic medications.²⁷ The results showed this was not the case with fenfluramine, but 463 464 rather, improvements in the BRIEF Behavioral Regulation Index, Metacognition Index, and 465 Global Executive Composite scores were noted, while scores from the placebo group worsened 466 on all three indexes. Current understanding suggests that both seizure burden and neuronal 467 sodium channel dysfunction caused by SCNIA mutations may contribute to cognitive dysfunction in Dravet syndrome patients,^{28,29} and reports exist suggesting that effective seizure 468 control, even in adults, can result in improvement in cognitive abilities.²⁹ In addition to the 469 470 significant reductions in seizure frequency noted with fenfluramine in the current study, a direct 471 action of the medication on cognitive function cannot be ruled out. Further analyses of the full 472 Phase 3 patient population, including the long-term longitudinal assessment from the safety 473 extension study, will be required to fully characterise the potential for fenfluramine to impact 474 non-seizure endpoints, such as quality of life and executive function.

476 The safety and adverse events of fenfluramine with respect to non-cardiovascular events were 477 similar to what has been previously reported for fenfluramine from the Belgian cohorts with Dravet syndrome,¹¹⁻¹³ with lethargy and decreases in appetite being reported more commonly in 478 479 patients treated with fenfluramine than with placebo. Fenfluramine was previously marketed as 480 an appetite suppressant, and 21% to 38% of patients in the active treatment groups experienced 481 decreases in appetite; weight loss above the 7% threshold was observed in 13% and 20% of 482 patients in the fenfluramine 0.2 and 0.7 mg/kg/day groups, respectively. Serious adverse events 483 occurred with similar frequency across all three treatment groups.

484

485 Cardiovascular safety is an important outcome measure in the evaluation of the use of fenfluramine to treat patients with Dravet syndrome.⁹ Based on reports of cardiac valve disease 486 487 in adult obese patients treated with up to 220 mg/day, fenfluramine was withdrawn from worldwide markets beginning in 1997.³⁰ Both increasing dose and increasing duration of 488 489 treatment have been reported as risk factors for valvulopathy when fenfluramine was used as a 490 weight loss agent in obese adult patients. Li and colleagues examined the records of the patients in the original FDA report¹⁷ and found that the risk of severe valvulopathy was increased 9.2-491 492 fold (95% CI: 2.1, 40.8) in patients treated with $\geq 60 \text{ mg/day}$ compared with patients treated with 493 <40 mg/day.³¹ Others have identified three and six months of use of fenfluramine as a threshold for increased risk of valvulopathy³² and pulmonary arterial hypertension,³³ respectively.^{32,34,35} In 494 495 the present trial, all patients were treated with ≤30 mg/day of fenfluramine and were monitored 496 with colour Doppler echocardiographic examinations before and during the trial to identify 497 functional changes in cardiac valves and signs of pulmonary hypertension. During the 14-week 498 treatment period and the two-week transition period at the end of the maintenance period, all

499 echocardiographic examinations revealed valve function within the normal physiologic range, 500 and no pulmonary arterial hypertension was observed in any patient at any time. Not 501 unexpectedly, a total of 21 patients, including five patients in the placebo group, had at least one 502 echocardiographic finding with trace mitral and/or trace aortic regurgitation during the trial. 503 Trace regurgitation is not considered evidence of valve dysfunction; rather, it is described in current guidelines as a physiologic finding seen in normal healthy children and adults.^{24,36,37} 504 505 Although the observations in the current study suggest a dose response for the finding of trace 506 regurgitation, continued treatment in the long-term extension of this study showed a 507 disappearance of this association. None of these patients, or any other patient enrolled in the 508 open-label extension study of fenfluramine in patients with Dravet syndrome has demonstrated 509 any grade of valvular regurgitation greater than trace during a median 256 days of observation.³⁸ 510 The point prevalence of trace mitral valve regurgitation in the extension study was $\leq 11\%$ at any 511 time point, and for nearly all patients this finding was transient or fluctuating between trace and 512 absent in subsequent echocardiographic examinations. Although the prevalence of trace 513 regurgitation in young patients with Dravet syndrome is not known, 23 of 173 (13%) patients 514 who were screened for participation in the present trial were excluded due to trace mitral 515 regurgitation on screening echocardiographic examination. This prevalence is similar to that 516 reported in healthy school-age children. Webb et al. reported a cross-sectional prevalence of trace/physiologic mitral regurgitation of 15% (n=59) in a group of 396 school-age children.³⁹ 517 518

519 Importantly, the conclusions about the cardiovascular safety of fenfluramine are limited by the 520 relatively short treatment and observation period of 14 weeks in this trial. These findings are 521 consistent with those reported with long-term use of fenfluramine at doses between 0.13-0.69 mg/kg/day in Dravet syndrome in Belgium,⁸ where no cases of valve dysfunction or pulmonary
hypertension have been reported with up to 30 years of dosing with ongoing echocardiographic
examinations.

525

Although the trial employed a double-blind design, one potential limitation is the occurrence of side effects, especially ones known to be associated with the active treatment, that might cause a patient or caregiver to suspect having received the active treatment and therefore affect the reporting of seizures. In this trial, the most common side effect among fenfluramine-treated patients was decreased appetite, which occurred in 13 (38%) patients in the 0.7 mg/kg/day dose group.

532

In conclusion, this randomised controlled clinical trial demonstrated that fenfluramine
significantly reduced the frequency of convulsive seizures in children and young adults with
Dravet syndrome when added to existing antiepileptic treatment; while also exhibiting an
apparent dose response effect. Fenfluramine was associated with decreased appetite, diarrhoea,
lethargy, and somnolence, without the development of any cardiovascular adverse events.
Further study is warranted to confirm long-term efficacy and safety, including the effect on
cardiac valves, when fenfluramine is used for the treatment of Dravet syndrome.

540

541 Acknowledgments

542 The trial was funded by Zogenix, Inc., who designed the study with input from the investigators.
543 The sponsor and the contracted clinical research organization were responsible for trial

544 management, site monitoring, preparation of placebo and active treatment, data monitoring and

545	statistical analysis. The authors received professional medical writing and editing assistance from
546	Edward Weselcouch, PhD, and Diana Talag, ELS, of PharmaWrite, LLC, in Princeton, NJ, and
547	funded by Zogenix, Inc. The authors thank Dr. Susan Cheng for her important insights on the
548	interpretation of echocardiographic findings.
549	
550	Finally, the authors also thank the Fenfluramine Assessment in Rare Epilepsy (FAiRE)
551	DS Investigators:
552	Australia: Deepak Gill, MBBS, Kate Riney, MBBCh, Ingrid Scheffer, MBBS; Belgium: Berten
553	Ceulemans, MD; Canada: Jeffrey Buchhalter, MD, Lionel Carmant, MD, Mary Connolly,
554	MBBCh; Denmark: Marina Nikanorova, MD; France: Rima Nabbout, MD; Germany: Ulrich
555	Brandl, MD, Julia Jacobs-LeVan, MD, Thomas Mayer, MD, Axel Panzer, MD, Tilman Polster,
556	MD, Milka Pringsheim, MD, Ulrich Stephani, MD, Markus Wolff, MD; Italy: Domenica
557	Battaglia, MD, Francesca Beccaria, MD, Francesca Darra, MD, Tiziana Granata, MD, Renzo
558	Guerrini, MD, Antonio Romeo, MD, Pasquale Striano, MD, Federico Vigevano, MD; Spain:
559	Antonio Gil-Nagel, MD, Victoria San Antonio, MD, Rocio Sanchez-Carpintero, MD; United
560	Kingdom: J. Helen Cross, MBChB, Archana Desurkar, MD, Elaine Hughes, MD, Anand Iyer,
561	MD, Sunny Philip, MD, Sameer Zuberi, MD; United States: Gregory Sharp, MD, Frank
562	Berenson, MD, Orrin Devinsky, MD, Kelly Knupp, MD, Linda Laux, MD, Eric Marsh, MD,
563	Mark Nespeca, MD, Ian Miller, MD, Robert Nahouraii, MD, Juliann Paolicchi, MD, Steven
564	Phillips, MD, Michael Scott Perry, MD, Annapurna Poduri, MD, Ben Renfroe, MD, Russell
565	Saneto, DO, Asim Shahid, MD, Douglas Smith, MD, Marcio Sotero de Menezes, MD, Joseph
566	Sullivan, MD, Matthew Sweney, MD, Dinesh Talwar, MD, Elizabeth Thiele, MD, James
567	Wheless, MD, Angus Wilfong, MD, Elaine Wirrell, MD, Mary Zupanc, MD.

~	10	
ר	hX.	

569	Author	Contributions
507	1 i autioi	Contributions

- 570 Study design: LLagae, JS, BC, AG, BSG, GF, ML
- 571 Data collection: LLagae, JS, KK, LLaux, TP, MN, OD, JHC, RG, DT, IM, BC
- 572 Data analysis: ML
- 573 Data interpretation: All authors contributed equally to the interpretation of the efficacy and non-
- 574 cardiovascular safety findings. In addition, WWL and AA provided the primary interpretation of
- 575 the cardiovascular safety findings.
- 576 Writing: The authors participated in a preliminary conference to discuss the structure and focus
- 577 of the manuscript. An outline based on this conference was prepared by AG, LLagae, BC, and JS
- 578 and was reviewed by all authors. The primary writers of the manuscript were GF, BSG, AG,
- 579 LLagae, JS, ML, and BC. All authors contributed equally to the review and revision of the
- 580 manuscript, and all author approved the final version.
- 581

582 Role of Medical Writer and Editor

Edward Weselcouch, PhD (PharmaWrite, LLC, Princeton, NJ) provided professional medical
writing assistance to the authors. Diana Talag, ELS (PharmaWrite, LLC, Princeton, NJ) provided
professional editorial assistance and submission assistance to the authors. This assistance was
funded by Zogenix, Inc.

587

588 **Declaration of Interests**

L. Lagae: Received grants, personal fees, and other as a consultant/speaker from Zogenix
 during the conduct of the study; other as a consultant/speaker from LivaNova, grants and

591		other as a consultant/speaker from UCB, other as a speaker from Shire, and other as a
592		speaker from Eisai outside the submitted work. Dr. Lagae has a patent for ZX008 for the
593		treatment of Dravet syndrome and infantile epilepsies assigned to his institution and
594		licensed to Zogenix.
595	٠	JS: Received grants and travel support as an investigator from Zogenix, other as an
596		advisory board member from the Dravet Syndrome Foundation, personal fees as a
597		reviewer from the Epilepsy Study Consortium, and serves as a consultant for Epygenix
598		during the conduct of the study.
599	٠	KK: Received research grants from Zogenix and grants from the Pediatric Epilepsy
600		Research Foundation during the conduct of the study; grants from the Colorado
601		Department of Public Health, grants from West Therapeutics, and other as a DSMB
602		member from Greenwich Pharmaceuticals outside the submitted work.
603	٠	L. Laux: Received grants as primary investigator from Zogenix during the conduct of the
604		study and grants as primary investigator from GW Pharma outside the submitted work.
605	٠	TP: Received personal fees from Zogenix during the conduct of the study and personal
606		fees from Desitin, Shire, Novartis, and UCB outside the submitted work.
607	•	MN: Received institutional grants from Zogenix during the conduct of the study.
608	•	OD: Received research grants from Zogenix during the conduct of the study and received
609		research grants from Novartis and PTC Therapeutics and has equity interest in Rettco,
610		Pairnomix, Tilray, and Egg Rock Holdings outside the submitted work.
611	•	JHC: Received institutional research grants from Zogenix during the conduct of the study
612		and received institutional research grants and other as an investigator, speaker, and
613		advisor from GW Pharma, other as a speaker/advisor from Shire, other as an

614 advisor/spea	aker from Zogenix, other as speaker from Biomarin, and other as advisor from
615 Eisai outsid	e the submitted work.
• RG: Receive	ed research grants from Zogenix during the conduct of the study and received
617 personal fee	es as a speaker/consultant from Zogenix outside the submitted work.
• DT: Receive	ed grants from Zogenix during the conduct of the study and received personal
619 fees from St	unovion and Eisai outside the submitted work.
• IM: Receive	ed grants and personal fees (honoraria, travel support) from Zogenix during
621 the conduct	of the study and received grants and personal fees (honoraria, travel support)
from GW P	harmaceuticals, INSYS Therapeutics, Dravet Syndrome Foundation,
623 Greenwich,	INSYS, Neurelis, NeuroPace, Tuberous Sclerosis Alliance, Ultragenyx, and
624 Visualase of	utside the submitted work.
625 • GF, BSG, A	G, AM, GM, AA: Received personal fees and own stock as employees from
626 Zogenix.	
• ML: Receiv	ed personal fees as a consultant from Zogenix during the conduct of the study
628 and received	d personal fees as a consultant from Zogenix outside the submitted work.
• WWL: Rece	eived personal fees and non-financial support from Zogenix during the
630 conduct of t	he study.
• BC: Receive	ed grants from Zogenix during the conduct of the study and has a patent for
632 ZX008 for t	he treatment of Dravet syndrome and infantile epilepsies assigned to his
633 institution a	nd licensed to Zogenix.
• LL, BC, and	the KU Leuven University/Antwerp University Hospital may benefit
635 financially f	from a royalty arrangement that is related to this research if Zogenix is

Page 31 of 46

636

5 successful in marketing its product, fenfluramine. The terms of this arrangement have

- 637
- 638

639 Role of the Funding Source

640 The study was funded by Zogenix, Inc., who designed the study with input from the

641 investigators. Zogenix and the contracted clinical research organization (Syneos Health, Raleigh,

been reviewed and approved by the KU Leuven University/Antwerp University Hospital.

- 642 NC, USA) were responsible for trial management, site monitoring, preparation of placebo and
- 643 active treatments, data monitoring, and statistical analysis. Zogenix paid for professional medical
- 644 writing and editing assistance to the authors. All authors vouch for adherence to the protocol,
- 645 accuracy of data collection and analysis, and reporting of adverse events. All authors had full
- 646 access to all the data and were responsible for the decision to submit for publication. The
- 647 corresponding author confirms having access to all the data in the study and had final
- responsibility for the decision to submit for publication.
- 649

650 Ethics Committee Approval

- The study protocols were reviewed and approved by the institutional review board or ethics
- 652 committee for each study site before any study activation. All patients or their legal
- 653 representatives signed informed consent/assent prior to enrolling in the trial.
- 654

655 Data Sharing Statement

656 Zogenix, Inc. does not currently have a data sharing policy.

657 **References**

- 1. Dravet C. The core Dravet syndrome phenotype. *Epilepsia* 2011; **52(suppl 2)**: 3-9.
- 659 2. Lagae L, Brambilla I, Mingorance A, Gibson E, Battersby A. Quality of life and
- 660 comorbidities associated with Dravet syndrome severity: a multinational cohort survey.
- 661 *Dev Med Child Neurol* 2018; **60**(1): 63-72.
- 662 3. Aras LM, Isla J, Mingorance-Le Meur A. The European patient with Dravet syndrome:
- results from a parent-reported survey on antiepileptic drug use in the European population
 with Dravet syndrome. *Epilepsy Behav* 2015; 44: 104-9.
- 665 4. Gataullina S, Dulac O. Is epilepsy the cause of comorbidities in Dravet syndrome? *Dev*666 *Med Child Neurol* 2018; **60**(1): 8.
- 5. Cooper MS, McIntosh A, Crompton DE, et al. Mortality in Dravet syndrome. *Epilepsy Res*2016; **128**: 43-7.
- 669 6. Skluzacek JV, Watts KP, Parsy O, Wical B, Camfield P. Dravet syndrome and parent
- associations: the IDEA League experience with comorbid conditions, mortality,
- 671 management, adaptation, and grief. *Epilepsia* 2011; **52(suppl 2)**: 95-101.
- 672 7. Harden C, Tomson T, Gloss D, et al. Practice guideline summary: sudden unexpected death
- 673 in epilepsy incidence rates and risk factors: report of the Guideline Development,
- Dissemination, and Implementation Subcommittee of the American Academy of
- 675 Neurology and the American Epilepsy Society. *Neurology* 2017; **88**(17): 1674-80.
- 676 8. Schoonjans A-N, Lagae L, Ceulemans B. Low-dose fenfluramine in the treatment of
- 677 neurologic disorders: experience in Dravet syndrome. *Ther Adv Neurol Disord* 2015; 8:
- 678 <u>328-38</u>.

- 679 9. Connolly HM, Crary JL, McGoon MD, et al. Valvular heart disease associated with
 680 fenfluramine-phentermine. *N Engl J Med* 1997; **337**(9): 581-8.
- 681 10. Abenhaim L, Moride Y, Brenot F, et al. Appetite-suppressant drugs and the risk of primary
- 682 pulmonary hypertension. International Primary Pulmonary Hypertension Study Group. *N*
- 683 *Engl J Med* 1996; **335**(9): 609-16.
- Ceulemans B, Boel M, Leyssens K, et al. Successful use of fenfluramine as an add-on
 treatment for Dravet syndrome. *Epilepsia* 2012; **53**(7): 1131-9.
- 686 12. Ceulemans B, Schoonjans A-S, Marchau F, Paelinck B, Lagae L. Five-year extended
- follow-up of 10 Dravet patients treated with fenfluramine. *Epilepsia* 2016; **57**(7): e129-34.
- 13. Schoonjans A, Paelinck BP, Marchau F, et al. Low-dose fenfluramine significantly reduces
- seizure frequency in Dravet syndrome: a prospective study of a new cohort of patients. *Eur J Neurol* 2017; 24(2): 309-14.
- 691 14. Schoonjans AS, Marchau F, Paelinck BP, et al. Cardiovascular safety of low-dose
- 692 fenfluramine in Dravet syndrome: a review of its benefit-risk profile in a new patient
- 693 population. *Curr Med Res Opin* 2017; **33**(10): 1773-81.
- Devinsky O, Cross JH, Wright S. Trial of Cannabidiol for Drug-Resistant Seizures in the
 Dravet Syndrome. *N Engl J Med* 2017; **377**(7): 699-700.
- 696 16. Gioia GA, Isquith PK, Retzlaff PD, Espy KA. Confirmatory factor analysis of the Behavior
 697 Rating Inventory of Executive Function (BRIEF) in a clinical sample. *Child Neuropsychol*698 2002; 8(4): 249-57.
- 699 17. Bowen R, A. G, Khan M, et al. Cardiac valvulopathy associated with exposure to
- fenfluramine or dexfenfluramine: U.S. Department of Health and Human Services interim

- public health recommendations, November 1997. *MMWR Morb Mortal Wkly Rep* 1997;
 46(45): 1061-6.
- 18. Ivy DD, Abman SH, Barst RJ, et al. Pediatric pulmonary hypertension. *J Am Coll Cardiol*2013; 62(25 Suppl): D117-26.
- 19. Sabaz M, Lawson JA, Cairns DR, et al. Validation of the quality of life in childhood
- epilepsy questionnaire in American epilepsy patients. *Epilepsy Behav* 2003; **4**(6): 680-91.
- 20. Varni JW, Seid M, Kurtin PS. PedsQL 4.0: reliability and validity of the Pediatric Quality
- of Life Inventory version 4.0 generic core scales in healthy and patient populations. *Med*
- 709 *Care* 2001; **39**(8): 800-12.
- 710 21. Chiron C, Marchand MC, Tran A, et al. Stiripentol in severe myoclonic epilepsy in
- 711 infancy: a randomised placebo-controlled syndrome-dedicated trial. STICLO study group.
- 712 *Lancet* 2000; **356**(9242): 1638-42.
- Canadian Agency for Drugs and Technologies in Health. Stiripentol (Diacomit): For severe
 myoclonic epilepsy in infancey (Dravet syndrome), 2015.
- 715 23. Dmitrienko A, Tamhame AC. Gatekeeping Procedures in Clinical Trials. In: Dmitrienko A,
- 716 Tamhame AC, Bretz F, eds. Multiple Testing Problems In Pharamaceutical Statistics. Boca
- 717 Raton, FL: Chapman and Hall/CRC; 2010.
- 718 24. Zoghbi WA, Adams D, Bonow RO, et al. Recommendations for noninvasive evaluation of
- native valvular regurgitation: a report from the American Society of Echocardiography
- developed in collaboration with the Society for Cardiovascular Magnetic Resonance. J Am
- 721 Soc Echocardiogr 2017; **30**(4): 303-71.

722	25.	Campbell JD, Whittington MD, Kim CH, VanderVeen GR, Knupp KG, Gammaitoni A.

- Assessing the impact of caring for a child with Dravet syndrome: results of a caregiver
 survey. *Epilepsy Behav* 2018; **80**: 152-6.
- 725 26. Whittington MD, Knupp KG, Vanderveen G, Kim C, Gammaitoni A, Campbell JD. The
- direct and indirect costs of Dravet Syndrome. *Epilepsy Behav* 2018; **80**: 109-13.
- Park SP, Kwon SH. Cognitive effects of antiepileptic drugs. *J Clin Neurol* 2008; 4(3): 99106.
- 729 28. Brunklaus A, Zuberi SM. Dravet syndrome--from epileptic encephalopathy to
 730 channelopathy. *Epilepsia* 2014; 55(7): 979-84.
- 731 29. Catarino CB, Liu JY, Liagkouras I, et al. Dravet syndrome as epileptic encephalopathy:
- evidence from long-term course and neuropathology. *Brain* 2011; **134**(Pt 10): 2982-3010.
- 733 30. Onakpoya IJ, Heneghan CJ, Aronson JK. Worldwide withdrawal of medicinal products
- because of adverse drug reactions: a systematic review and analysis. *Crit Rev Toxicol* 2016;
 46(6): 477-89.
- 736 31. Li R, Serdula MK, Williamson DF, Bowman BA, Graham DJ, Green L. Dose-effect of
- fenfluramine use on the severity of valvular heart disease among fen-phen patients with
 valvulopathy. *Int J Obes Relat Metab Disord* 1999; 23(9): 926-8.
- 739 32. Dahl CF, Allen MR, Urie PM, Hopkins PN. Valvular regurgitation and surgery associated
- with fenfluramine use: an analysis of 5743 individuals. *BMC Med* 2008; **6**: 34.
- 741 33. Rich S, Rubin L, Walker AM, Schneeweiss S, Abenhaim L. Anorexigens and pulmonary
- hypertension in the United States: results from the surveillance of North American
- 743 pulmonary hypertension. *Chest* 2000; **117**(3): 870-4.

- 744 34. Hopkins PN, Polukoff GI. Risk of valvular heart disease associated with use of
 745 fenfluramine. *BMC Cardiovasc Disord* 2003; 3: 5.
- 746 35. Jick H, Vasilakis C, Weinrauch LA, Meier CR, Jick SS, Derby LE. A population-based
- study of appetite-suppressant drugs and the risk of cardiac-valve regurgitation. *N Engl J*
- 748 *Med* 1998; **339**(11): 719-24.
- 749 36. Lancellotti P, Moura L, Pierard LA, et al. European Association of Echocardiography
- recommendations for the assessment of valvular regurgitation. Part 2: mitral and tricuspid
- regurgitation (native valve disease). *Eur J Echocardiogr* 2010; **11**(4): 307-32.
- 752 37. Lancellotti P, Tribouilloy C, Hagendorff A, et al. European Association of
- Echocardiography recommendations for the assessment of valvular regurgitation. Part 1:
- aortic and pulmonary regurgitation (native valve disease). *Eur J Echocardiogr* 2010; **11**(3):
 222.44
- 755 223-44.
- 756 38. Lai W, Pringsheim M, Farfel G, et al. Long-term Cardiovascular Safety of Fenfluramine
- 757 (Fintepla®) in the Treatment of Dravet Syndrome: Interim Analysis of an Open-Label
- 758 Safety Extension Study Annual Meeting of the American Epilepsy Society. New Orleans,
- 759 LA, USA; 2018.
- 760 39. Webb RH, Gentles TL, Stirling JW, Lee M, O'Donnell C, Wilson NJ. Valvular
- regurgitation using portable echocardiography in a healthy student population: implications
- for rheumatic heart disease screening. *J Am Soc Echocardiogr* 2015; **28**(8): 981-8.

763 Table 1. Demographics and Baseline Convulsive Seizure Frequency
--

	Fenfluramine	Fenfluramine		
	0·7 mg/kg/day	0∙2 mg/kg/day	Placebo	Overall
n	40	39	40	119
Age, years				
Mean±SD (min, max)	8.8±4.4 (2, 18)	9·0±4·5 (2, 17)	9.2 ± 5.1 (2, 18)	$9.0\pm4.7(2,18)$
Age group <6 years, n (%)	11 (28)	9 (23)	11 (28)	31 (26)
Males, n (%)	21 (52)	22 (56)	21 (52)	64 (54)
Race, n (%)				
Caucasian	34 (85)	33 (85)	31 (78)	98 (82)
Asian	1 (3)	2 (5)	4 (10)	7 (6)
Other or not reported*	5 (12)	4 (10)	5 (12)	14 (12)
Body weight, kg				
Mean±SD	31·8±13·5	35·1±19·6	31·7±16·2	32·9±16·5
BMI, kg/m ²				
Mean±SD	18·5±3·5	19·3±5·7	18·0±3·8	18·6±4·4
SCN1A mutations, n (%)	33 (82)	31 (80)	31 (78)	95 (80)
Geographic region, n (%)				
United States and Canada	24 (60)	24 (61)	24 (60)	72 (60)
Rest of world	16 (40)	15 (39)	16 (40)	47 (40)
Number of concomitant				
antiepileptic drugs				
Mean±SD	2·3±0·9	$2 \cdot 5 \pm 1 \cdot 1$	2·5±0·9	$2 \cdot 4 \pm 1 \cdot 0$

	Fenfluramine	Fenfluramine		
	0·7 mg/kg/day	0·2 mg/kg/day	Placebo	Overall
Concomitant antiepileptic				
drugs, n (%)				
Valproate (all forms)	25 (62)	24 (62)	22 (55)	71 (60)
Clobazam	24 (60)	24 (62)	22 (55)	70 (59)
Topiramate	11 (28)	10 (26)	9 (22)	30 (25)
Levetiracetam	4 (10)	11 (28)	11 (28)	26 (22)
Patients treated with				
maximum dose of				
fenfluramine (26 mg/day)				
n (%)	12 (30)	0 (0)	0 (0)	12 (10)
Baseline convulsive seizure				
frequency per 28 days				
Mean±SD	31·4±30·6	45·5±99·8	44·2±40·2	40·3±64·0
(median)	(20.7)	(17.5)	(27.3)	(24.1)
[range]	[4.8, 124]	[4.7, 623.5]	[3·3, 147·3]	[3.3, 623.5]

764

*Privacy laws in some regions preclude disclosure of certain personal information.

765 **Table 2.** Efficacy Endpoints

	Fenfluramine	Fenfluramine	
	0·7 mg/kg/day	0·2 mg/kg/day	Placebo
	(n=40)	(n=39)	(n=40)
Primary and key secondary endpoints*			
Change in convulsive seizure frequency per 28 days			
Estimate of % difference from placebo [†]	-62.3 (-47.7, -72.8)‡	-32·4 (-6·2, -51·3) [§]	
P value	<i>P</i> <0.0001	<i>P</i> =0.0209	
Responder analysis: ≥50% reduction in convulsive seizure			
frequency			
n (%)	27 (68) [§]	15 (38) [§]	5 (12)
<i>P</i> value	<i>P</i> <0.0001	<i>P</i> =0.0091	
Odds ratio (95% CI)) ^a	15.0 (4.5, 50)	4.8 (1.5, 15)	
Longest seizure-free interval, days			
Mean±SD	32·9±27·5	26·0±31·7	10.6±6.0
Median (range)	25·0 (2, 97) [§]	15 (3, 106) [§]	9.5 (2, 23)
Estimate of median treatment difference (95% CI)	15.5 (6, 25)	4.5 (0, 9)	
<i>P</i> value	<i>P</i> =0.0001	<i>P</i> =0·0352	

Other secondary endpoints			
≥25% reduction in convulsive seizure frequency, n (%)	36 (90)	26 (67)	14 (35)
<i>P</i> value	<i>P</i> <0.0001	<i>P</i> =0·0041	
Odds ratio (95% CI)) ^a	22.3 (6, 84)	4.1 (2, 11)	
≥75% reduction in convulsive seizure frequency, n (%)	20 (50)	9 (23)	1 (2)
<i>P</i> value	P=0.0005	<i>P</i> =0.0229	
Odds ratio (95% CI) ^a	55.1 (6, 526)	12.0 (1.4, 102)	
100% reduction in convulsive seizure frequency, n (%) [¶]	3 (8)	3 (8)	0 (0)
Days of rescue medication use per 28 days during treatment			
Mean±SD	0.9 ± 1.9	1.7 ± 2.9	$3 \cdot 1 \pm 4 \cdot 6$
Median (min, max)	0 (0, 8)	0.3 (0, 16)	1.7 (0, 24)
<i>P</i> value	<i>P</i> <0.0001	<i>P</i> =0·0822	
Convulsive seizure frequency per 28 days, median (range)			
Percent change from baseline	-74.9 (-100, 196.4)	-42.3 (-100, 197.6)	-19·2 (-76·1, 51·8)
P value	<i>P</i> <0.0001	<i>P</i> =0·2035	
Total seizure frequency per 28 days, median (range)			
Percent change from baseline	-68.3 (-100, 35.6)	-41.1 (-100, 292)	-16·2 (-77·6, 601)
P value	<i>P</i> <0.0001	<i>P</i> =0·0202	

Other seizure frequency per 28 days, median (range)			
Number of patients experiencing other seizure types	24	23	21
Percent change from baseline	-76.0 (-100, 69.2)	-50.6 (-100, 534)	-55.6 (-100, 723.6)
<i>P</i> value	<i>P</i> =0.0458	<i>P</i> =0.7585	
Non-seizure outcomes			
Change in body weight, kg; median (range; n)			
Age group			
2-4 years	0.4 (-1.5, 1.1; n=7)	-0.1 (-1.6, 0.7; n=8)	1.1 (-0.2, 1.4; n=9)
5-12 years	-0.9 (-5.9, 0.8; n=23)	0.3 (-9.0, 3.7; n=21)	1.0 (-1.1, 3.6; n=19)
13-18 years	-2.6 (-4.5, 1.6; n=8)	-0.4 (-9.8, 3.4; n=10)	0.2 (-0.6, 7.6; n=11)
Clinical Global Impression of Improvement			
Parent/caregiver rating, n (%)			
"Very much improved" or "Much improved"	22 (55)	16 (41)	4 (10)
P value	<i>P</i> <0.0001	<i>P</i> =0.0036	
Investigator rating, n (%)			
"Very much improved" or "Much improved"	25 (62)	16 (41)	4 (10)
<i>P</i> value	<i>P</i> <0.0001	<i>P</i> =0.0032	

Quality of Life in Childhood Epilepsy – Overall Quality of			
$Life^{\dagger\dagger}$			
Baseline, mean±SD	38·4±12·8	42·4±12·3	34·6±10·4
Change from baseline, mean±SD	5.8±11.7	0.8 ± 11.8	1.5 ± 8.7
<i>P</i> value	<i>P</i> =0·2807	<i>P</i> =0·3683	
Quality of Life, Pediatric Quality of Life Inventory			
Total Score ^{††}			
Baseline, mean±SD	$48 \cdot 7 \pm 18 \cdot 1$	49·5±11·9	45·6±17·1
Change from baseline, mean±SD	$5 \cdot 9 \pm 15 \cdot 1$	$6 \cdot 8 \pm 11 \cdot 2$	-1·6±10·4
<i>P</i> value	<i>P</i> =0·0198	<i>P</i> =0.0029	
Executive Function, Behavioral Rating Inventory of			
Executive Function (BRIEF) ^{‡‡ §§}			
Behavioral Regulation Index			
Baseline, mean±SD	75·1±18·3	74·4±16·4	73·7±18·1
Change from baseline, mean±SD (95% CI)	-4·4±10·5 (-8·34, -0·52)	-3·4±8·6 (-6·82, 0·01)	3·0±8·7 (-0·54, 6·62)
<i>P</i> value	<i>P</i> =0·0117	<i>P</i> =0·0185	
Metacognition Index			
Baseline, mean±SD	106·3±25·0	104·0±23·9	103·7±25·1

Change from baseline, mean±SD (95% CI)	-6.6±20.7 (-14.32, 1.12)	-1·0±16·4 (-7·51, 5·44)	5.9±19.1 (-2.02,13.78)
<i>P</i> value	<i>P</i> =0·0925	<i>P</i> =0·1994	
Global Executive Composite			
Baseline, mean±SD	181.4 ± 40.9	178·4±37·7	177.4 ± 40.2
Change from baseline, mean±SD	-11·0±29·1	-4·4±22·3	8·9±24·9
(95% CI)	(-21.91, -0.15)	(-13.27, 4.38)	(-1.35, 19.19)
<i>P</i> value	<i>P</i> =0·0245	<i>P</i> =0·0669	

^{*}A hierarchal gatekeeping procedure was used to maintain the simultaneous type 1 error rate at α =0.05 across the analyses of the primary and five key secondary endpoints.

768 *Results are based on an analysis of covariance model with treatment group (3 levels) and age group (<6 years, ≥6 years) as factors, log baseline convulsive

seizure frequency as a covariate, and log convulsive seizure frequency during the treatment period (titration + maintenance) as response. The *P* values were obtained from this model.

- 771 ‡Primary outcome.
- [§]Key secondary outcome analysis.

773 No correction for multiple comparisons was employed for other secondary outcomes.

⁷⁷⁴ [¶]Because of the small number of patients demonstrating 100% reduction in seizure frequency, model statistics are not reported.

775 Other seizure types included focal seizures without clearly observable motor signs, absence or atypical absence, myoclonic, atonic, and other or unclassifiable.

- 776 ^{††}Increases in total score indicate improvement.
- ^{‡‡} Because some countries do not have normative populations for BRIEF, only raw scores are presented here.
- 778 ^{§§}Negative scores indicate an improvement.

^a Odds ratios (ORs) are for comparison with placebo. An age-adjusted logistic regression model was used to estimate all ORs except for those comparing

fenfluramine 0.7 mg/kg/day to placebo at the 25% and 75% responder levels. The age adjustment was eliminated from those two comparisons due to potential

instability in the model. Note that an OR >1 can be much larger than the corresponding relative risk. For example, in the comparison of fenfluramine

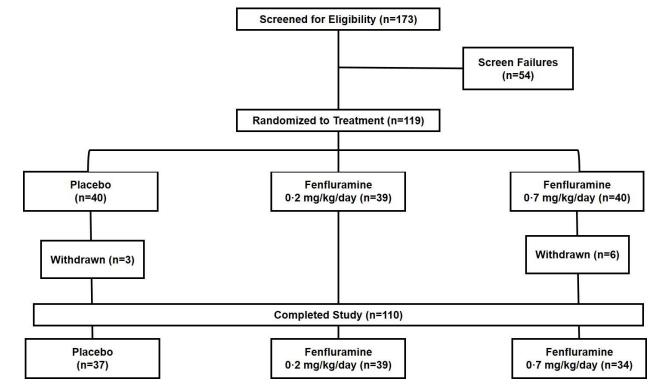
782 0.7 mg/kg/day to placebo at the 75% responder level, the OR was 39.0 whereas the relative risk was 20.

783 **Table 3.** Non-cardiovascular Adverse Events Occurring in ≥10% of Patients in Any Treatment

784 Group

		Fenfluramine	Fenfluramine
	Placebo	0∙2 mg/kg/day	0·7 mg/kg/day
	(n=40)	(n=39)	(n=40)
Patients with ≥ 1 adverse event,	26 (65)	37 (95)	38 (95)
n (%)			
Decreased appetite	2 (5)	8 (20)	15 (38)
Diarrhoea	3 (8)	12 (31)	7 (18)
Fall	2 (5)	4 (10)	0 (0.0)
Fatigue	1 (2)	4 (10)	4 (10)
Lethargy	2 (5)	4 (10)	7 (18)
Nasopharyngitis	5 (12)	4 (10)	7 (18)
Pyrexia	8 (20)	7 (18)	2 (5)
Seizure	5 (12)	4 (10)	3 (8)
Somnolence	3 (8)	6 (15)	4 (10)
Upper respiratory tract	5 (12)	8 (21)	0 (0.0)
infection			
Vomiting	4 (10)	4 (10)	3 (8)
Weight decreased	0 (0)	5 (13)	2 (5)

786 **Figure 1.** CONSORT diagram.



787

788 Patient enrolment started on 15 January 2016 and the final study visit occurred on 14 August 2017. The adverse

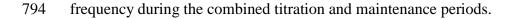
events cited as reasons for early withdrawal in the fenfluramine 0.7 mg/kg/day group included: one patient with

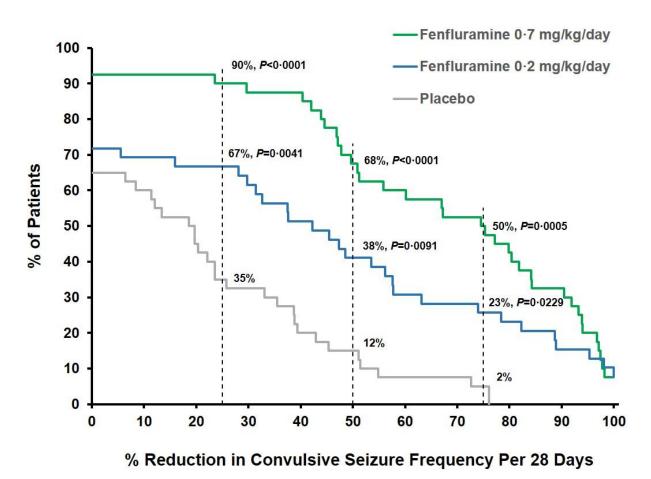
diarrhea and lethargy; one patient with somnolence, decreased appetite, and weight loss; and one patient each with

rash, somnolence, or aggression. With the exception of the adverse event of rash, all adverse events were considered

to be related to study medication.

793 Figure 2. Cumulative response curve for percent reduction in monthly convulsive seizure





795 796 797 The vertical dashed lines represent 25%, 50%, and 75% reduction in convulsive seizure frequency and the percentages represent the proportion of patients in each treatment group who met or exceeded each response level. 798 P values and are for comparison with placebo and were estimated by logistic regression as described in the Table 2

799 footnotes.