

# **Cardiovascular adverse events in patients treated with the (R-)CHOP regimen – a systematic review and meta-analysis in the era of cardio-oncology**

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**Total word count:** 4,657

## 1 **Summary**

2 *Background:* Patients treated for Non-Hodgkin Lymphoma's (NHL) are at risk of cardiovascular adverse  
3 events (CVAEs), with the risk of heart failure (HF) being particularly high. (R-)CHOP, the standard  
4 treatment for aggressive NHL, contains doxorubicin and cyclophosphamide, both associated with left  
5 ventricular (LV) dysfunction. The aim of this study was to delineate the cardiovascular toxicity of this  
6 regimen.

7  
8 *Methods:* We systematically searched PubMed, EMBASE, and the Cochrane Library from inception to  
9 03/06/2019 for clinical trials and observational studies in adult NHL patients that received first-line  
10 treatment with (R-)CHOP. Studies reporting on CVAEs and treatment-related cardiovascular mortality  
11 were included. Abstracts and articles not written in English were excluded. The main outcomes were the  
12 proportion of patients with grade 3+4 CVAEs and HF. Meta-analyses of one-sample proportions were  
13 carried out. Subgroup analyses on summary estimates were performed to determine the effect of number  
14 of (R-) CHOP cycles, cycle interval, age and sex.

15  
16 *Findings:* Of 2,314 entries identified, 137 studies were eligible (median follow-up 39.0 months [IQR 25.5-  
17 52.8]). Fifty-three (39%) out of 137 studies were rated as high risk of bias for incomplete outcome data  
18 and 54 (39%) out of 137 for selective reporting. The pooled proportion for grade 3+4 CVAEs was 2.35%  
19 [95%CI 1.81-2.93](77 studies, n=14,351 patients; heterogeneity test:  $Q=326.21$ ;  $\tau^2=0.0042$ ;  $I^2=71.40\%$ ;  $p<$   
20  $0.001$ ), with female sex and older age ( $\geq 65$  years, RR 3.18 [95%CI 2.54; 3.98]) being associated with an  
21 increased risk. For HF (38 studies, n=5,936 patients; heterogeneity test:  $Q=527.33$ ;  $\tau^2=0.0384$ ;  
22  $I^2=95.05\%$ ;  $p<0.001$ ), the pooled proportion was 4.62% [95%CI 2.25-7.65%], with a significant increase in  
23 reported HF from 1.64% [95%CI 0.82-2.65] to 11.72% [95%CI 3.00-24.53] when cardiac function was  
24 evaluated post-chemotherapy ( $p=0.017$ ).

25  
26 *Interpretation:* The considerable increase of reported HF with cardiac monitoring, indicates that this  
27 complication often remains unnoticed. Our findings are of importance to raise the awareness of this  
28 complication among clinicians treating NHL patients and stresses the need for cardiac monitoring during-

29 and post-chemotherapy. Prompt initiation of HF treatment in the pre-symptomatic phase can mitigate the  
30 progression to more advanced heart failure stages.

31

32 *Funding:* None.

33

## 34 **Introduction**

35 Non-Hodgkin lymphoma's (NHL) comprise a wide variety of neoplasms arising from the lymphoid tissues.  
36 With an estimated 509,600 new cases in 2018, NHL accounts for approximately 3% of all cancer cases  
37 worldwide<sup>1</sup>. Over the last four decades, the survival rate of patients with these malignancies has improved  
38 markedly and currently, 72% of patients survive up to 5-years after the diagnosis<sup>2</sup>. In line with these  
39 developments, the management and prevention of treatment-related side effects is becoming increasingly  
40 important.

41 There is accumulating evidence that the risk of cardiovascular disease (CVD) is considerably  
42 raised in NHL patients and survivors<sup>3,4</sup>. The reasons behind this observation are believed to be  
43 multifactorial, driven by amongst others shared risk factors between cancer and CVD<sup>5</sup> as well as toxic  
44 cardiovascular effects of antineoplastic drugs<sup>6</sup>. In particular, the risk of heart failure (HF) is substantially  
45 elevated, with an adjusted hazard ratio of 1.77 [95% CI 1.50-2.09]<sup>4</sup> and a standardized incidence ratio of  
46 5.4 [95% CI 4.1-6.9] when compared to the general population<sup>3</sup>. Moreover, set side by side to patients  
47 treated for other malignancies, the risk of HF appears especially high in this patient population<sup>4</sup>. It is  
48 conceivable that the chemotherapeutic regimens used to treat these neoplasms play an important role  
49 herein.

50 The CHOP regimen (cyclophosphamide 750mg/m<sup>2</sup>, doxorubicin 50mg/m<sup>2</sup>, vincristine 1.4mg/m<sup>2</sup>  
51 (maximal dose 2mg) and prednisone) was first introduced in 1976, and soon evolved to become the  
52 golden standard for the treatment of patients with aggressive NHL<sup>7,8</sup>. In patients with B-cell NHL, CHOP is  
53 combined with the anti-CD20 monoclonal antibody rituximab (375mg/m<sup>2</sup>)(R-CHOP) after the LNH98-5  
54 trial showed overwhelming benefit<sup>9,10</sup>. (R-)CHOP contains two agents associated with a high risk of left  
55 ventricular (LV) dysfunction, namely the anthracycline doxorubicin and the alkylator cyclophosphamide<sup>11</sup>.  
56 The dose-dependent incidence of HF in patients treated with doxorubicin has with time resulted in a

57 restriction of the maximum cumulative dose to 450 mg/m<sup>2</sup>, correlating with a ~5% incidence of  
58 symptomatic HF<sup>6</sup>. Nevertheless, as some patients develop severe HF at much lower doses<sup>12</sup> it appears  
59 there is no 'safe' dose and individual tolerability is, for a large part, also dependent on patient-related  
60 related risk factors<sup>13,14</sup>. In comparison to anthracyclines, the causal link between cyclophosphamide and  
61 LV dysfunction has received far less attention. Cyclophosphamide-induced cardiotoxicity mostly occurs  
62 within days of treatment initiation, and can lead to HF (2-38%)<sup>15–20</sup> and/or (myo)pericarditis (9-  
63 27%)<sup>15,20,21</sup>.

64 Despite the combination of these two highly cardiotoxic agents within (R-)CHOP, the incidence of  
65 cardiovascular adverse events (CVAEs) in patients treated with this regimen has been poorly established.  
66 An important limitation of previous studies is the inclusion of patients that have received different first line  
67 chemotherapeutic regimens with varying doses of cardiotoxic agents<sup>22–24</sup>. Additionally, the larger studies  
68 have only captured cases of symptomatic HF leading to hospital contact<sup>23,24</sup>, thereby potentially  
69 underestimating the true incidence of LV dysfunction. Since it is the expectation that CHOP will remain  
70 the backbone in the treatment of aggressive NHL for the upcoming years, insight into the incidence of  
71 CVAEs in patients treated with this specific regimen is of great importance. Furthermore, identification of  
72 factors predisposing NHL patients to CVAEs can aid in individual risk stratification. With this systematic  
73 review and meta-analysis, we aimed at determining the proportion of NHL patients developing CVAEs  
74 after receiving (R-)CHOP as a first-line treatment and identify factors modulating this risk.

75

## 76 **Methods**

77 This systematic review and meta-analysis was conducted in accordance with the guidelines of the  
78 Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA)(appendix p2)<sup>25</sup>.

79 The literature search was performed by two independent researchers (ML, JAMK) in PubMed,  
80 EMBASE, and the Cochrane Library (CENTRAL) from inception of each database to 03/06/2019. The  
81 complete search string is provided in the appendix (p3). We selected clinical trials and observational  
82 studies in adult patients with NHL that received first-line treatment with (R-)CHOP. Reviews, letters,  
83 commentaries, case reports, conference abstracts, preclinical studies, and articles not written in English  
84 were excluded. Also studies in which (R-)CHOP was combined with other chemo- or immunotherapeutic

85 agents were removed. In case other chemotherapeutic agents were administered sequentially, the study  
86 was deemed eligible only if (R-)CHOP toxicity reports were available prior to the initiation of other agents.  
87 If articles were based on the same trial, we selected the most recent article with the longest follow-up or  
88 the article reporting the most complete toxicity data.

89 Studies were included in the systematic review if at least one of the following variables could be  
90 extracted: (1) numbers of patients experiencing CVAEs reported in grades, preferably with the use of a  
91 well-established toxicity grading system to quantify the severity of the adverse events (e.g. World Health  
92 Organization (WHO) Toxicity Grading Scale, or the Common Terminology Criteria for Adverse Events  
93 (CTCAE) of the US National Cancer Institute, etc.), (2) number of patients who died due to CVAEs stated  
94 to be (possibly) treatment-related and (3) number of patients with clinical (symptomatic) and, if available,  
95 subclinical HF (i.e. asymptomatic LV dysfunction). We here use the term 'overall HF' as an umbrella term  
96 for both clinical- and subclinical HF. If CVAEs were not reported, only studies explicitly mentioning that no  
97 such events occurred were included. In addition, only when all causes of deaths were specified, we  
98 assumed no treatment-related cardiovascular deaths had occurred.

99

#### 100 *Data extraction*

101 We extracted the following counts from all studies found eligible: number of patients included in the  
102 toxicity analysis, proportion of patients with grade 1 (mild), 2 (moderate), 3 (severe) and 4 (life-  
103 threatening) CVAEs, number of patients with cardiomyopathy or HF, number of patients who discontinued  
104 treatment due to treatment-related cardiomyopathy or HF and number of (possibly) treatment-related  
105 cardiovascular deaths (grade 5 toxicity). In addition, the number of patients who died at the end of study  
106 follow-up (i.e. all-cause mortality) were gathered. The data extraction was performed independently by  
107 two researchers (ML, JAMK). In case of discrepancies, the study was re-evaluated and a consensus was  
108 reached.

109

#### 110 *Risk of bias*

111 For the assessment of bias in individual studies the Cochrane Risk of Bias Tool was used<sup>26</sup>.  
112 Nevertheless, almost all studies compared the neoplastic efficacy of (R-)CHOP to another

113 chemotherapeutic regimen and thereby, information on the occurrence of AEs was only extracted for the  
114 group of patients receiving (R-)CHOP. Subsequently all these 'single-arms' of different studies were  
115 pooled. For this reason, after careful consideration, we judged that random sequence generation,  
116 allocation concealment and blinding of participants, personnel and outcome assessment was not likely to  
117 introduce bias. Incomplete outcome data and selective reporting were however viewed as important  
118 potential sources of bias and these were assessed for all studies. A quality assessment on the reporting  
119 of safety data was done using the CONSORT extension for harms checklist<sup>27</sup>. As a vast majority of  
120 studies were primarily conducted to evaluate the efficacy of (R-)CHOP and not to determine the incidence  
121 of CVAEs, we deemed the risk of publication bias negligible. For this reason, we did not perform the  
122 traditional publication bias modelling tool.

123

#### 124 *Statistical analysis*

125 Meta-analyses of one-sample proportions were carried out for the proportion of patients developing grade  
126 1+2 CVAEs, grade 3+4 CVAEs, HF, treatment discontinuation due to HF, and cardiovascular death. A  
127 double-arcsine transformation was applied on the proportions to establish a normal distribution  
128 appropriate for pooling<sup>28</sup>. Weights for each study in the analysis were based on the inverse of their  
129 variance. Heterogeneity between studies was estimated using the Q-statistic,  $I^2$  and  $\tau^2$ . Heterogeneity  
130 was classified as low (25%), moderate (50%) and large (75%), respectively<sup>29</sup>. The fixed-effect model was  
131 used to pool the summary proportions when the heterogeneity was <50%, otherwise, the random-effects  
132 model was used. Pre-specified subgroup analyses were performed for grade 3+4 CVAEs and HF. These  
133 subgroups involved the categorical outcomes 'R-CHOP vs. CHOP' and 'cardiac screening after  
134 chemotherapy', and the continuous outcomes 'number of cycles', 'interval between cycles', 'age' and  
135 'sex'. All statistical analyses were performed in R version 3.5.1 (R Foundation, Vienna, Austria), with the  
136 aid of the 'metafor' package version 2.1-0<sup>30</sup>. Statistics were performed in collaboration with an  
137 independent clinical epidemiologist (LPB).

138

#### 139 *Role of the funding source*

140 There was no funding source for this study. The funding sources of individual researchers that contributed  
141 to the study did not have any role in the study design, data collection, data analysis, data interpretation, or  
142 writing of the report. ML, JAMK and LPB had access to the raw data. The corresponding author had full  
143 access to all the data in the study and had the final responsibility for the decision to submit for publication.

144

## 145 **Results**

146 The literature search yielded a total of 2,314 records, from which 426 duplicates were removed (**Figure**  
147 **1**). Based on abstract screening 1,534 irrelevant studies were excluded. After assessing the full-text of  
148 354 articles, we eventually included 137 studies in the systematic review (21,211 patients) published  
149 between April 1984 and June 2019. Of these, 26 studies made a comparison between different  
150 subgroups of (R-)CHOP treatment. Thereby, a total of 165 subgroups were available for the meta-  
151 analysis. Standard doses of doxorubicin (50 mg/m<sup>2</sup> per cycle), cyclophosphamide (750 mg/m<sup>2</sup> per cycle)  
152 and vincristine (1.4 mg/m<sup>2</sup> (max. 2mg)) were given in 136 (82.4%) out of 165 subgroups. Eighty-five  
153 subgroups were treated with CHOP, 76 with R-CHOP and in 4 studies both CHOP and R-CHOP were  
154 used without a subdivision in separate groups. Most studies investigated the efficacy of (R-)CHOP for the  
155 treatment of high-grade NHLs (n=136 subgroups covering 18,535 patients in total). The mean interval  
156 between cycles was 19.9±3.4 days and the mean number of cycles was 6.3±1.3. Overall, the median  
157 follow-up of the included studies was 39.0 months [IQR 25.5-52.8]. In total, 133 studies had a prospective  
158 study design and 4 studies were of retrospective nature. An overview of study characteristics and the  
159 types of NHL patients were treated for can be found in the appendix (p4). Of all 137 studies, 103 (75%)  
160 reported AEs using a well-established toxicity grading system and 29 (21%) did report AEs in grades  
161 without further specification on which grading system was used. In total, 85 studies reported on the  
162 occurrence of CVAEs. Only 6 (4.4%) out of 137 studies specified the incidence of HF or cardiomyopathy  
163 as a primary or secondary outcome.

164 Ninety-six subgroups were derived from 77 studies that reported on the proportion of patients with  
165 high-grade (III-IV) CVAEs. A total of 14,351 patients were included in the meta-analysis, for which a  
166 random effects model was used (heterogeneity test: Q=326.21;  $\tau^2=0.0042$ ; I<sup>2</sup>=71.40%; p< 0.001). The  
167 proportion of grade 3+4 CVAEs ranged from 0% up to 15.1% with a pooled proportion of 2.35% [95% CI

168 1.81-2.93](**Figure 2**). Subgroup analysis showed a significant influence of age (regression coefficient  
169 0.39;  $p < 0.0001$ ) where studies that included patients with a median age  $\geq 65$  years had a relative risk (RR)  
170 of 3.18 [95% CI 2.54-3.98] compared to studies including patients with a median age  $< 65$  years (**Table 1**;  
171 appendix p10). The variable “sex”, expressed as percentage of females, showed a significant effect, with  
172 a higher proportion of patients with high grade CVAEs in studies with a larger percentage of females  
173 (regression coefficient 0.24;  $p = 0.0023$ )(appendix p10). Other pre-specified subgroup analyses (i.e.  
174 whether or not cardiac screening was performed after the completion of chemotherapy, CHOP vs. R-  
175 CHOP, number of cycles and interval between cycles) did not generate any significant results on the  
176 percentage of patients with grade 3+4 CVAEs (**Table 1**).

177 There were 38 studies, covering 47 subgroups and 5,936 patients, that reported on the  
178 occurrence of HF. According to the random effects model (heterogeneity test:  $Q = 527.33$ ,  $\tau^2 = 0.0384$ ;  
179  $I^2 = 95.05\%$ ;  $p < 0.001$ ) the pooled proportion of HF was 4.62% [95% CI 2.25-7.65]. Subgroup analysis  
180 showed a significant difference in the reported proportion of patients with HF when active screening of  
181 cardiac function was performed after (R-)CHOP treatment had been finalized (screening: 11.72% [95% CI  
182 3.00-24.53]; no screening 1.64% [95% CI 0.82-2.65];  $p = 0.017$  (**Table 1, Figure 3**). Furthermore, we did  
183 observe an effect of the number of (R-)CHOP cycles (i.e. cumulative doxorubicin dose) on the number of  
184 patients with HF (regression coefficient: 4.99,  $p = 0.024$ ), with a greater proportion when more (R-)CHOP  
185 cycles were given. There was no effect of R-CHOP vs. CHOP, interval between cycles, age and sex. We  
186 also found no relation between the reported incidence of HF and time of follow-up ( $r = -0.104$ ,  
187  $p = 0.50$ )(appendix p11).

188 Based on a meta-analysis of 30 subgroups ( $n = 4,688$  patients), the pooled proportion of grade  
189 1+2 CVAEs was 8.52% [95% CI 5.58-11.96%]. Cause of death was specified in 134 subgroups  
190 ( $n = 15,055$  patients), in which the proportion of (possible) treatment-related cardiac death ranged from 0  
191 up to 5.6%. Based on a fixed effect model, the pooled proportion was 0.03% [95% CI 0-0.10%].  
192 Treatment discontinuation due to HF showed similar results, with a proportion of  $< 0.0001\%$  [95% CI 0-  
193 0.05%], based on a meta-analysis of 56 subgroups ( $n = 3,802$  patients), performed with a fixed effects  
194 model. No relation between the incidence of treatment-related cardiac death and time of follow-up was



195 detected ( $r=-0.081$ ,  $p=0.37$ )(appendix p11). The outcome of all meta-analyses using both the fixed-effect  
196 and random-effects model are presented in the appendix (p12).

197 All studies were individually evaluated regarding risk of bias and quality of reporting of harms  
198 (appendix p13; p20). Conservatively, we judged all studies for which we could not extract complete  
199 information on all AEs to be at risk of selective reporting and incomplete outcome data. Fifty-three (39%)  
200 out of 137 studies were rated as high risk of bias for incomplete outcome data and 54 (39%) out of 137  
201 for selective reporting (appendix p13). The quality assessment revealed that the reporting of harms was  
202 of good quality in 28 (20%) studies, moderate in 98 (72%) and poor in 11 (8%) out of the total 137 studies  
203 (appendix p20). In three meta-analyses, the Q-test for heterogeneity was significant, supporting the use  
204 of a random effects model. As a sensitivity analysis, the results of a fixed effects model are provided in  
205 the appendix (p12).

206

## 207 **Discussion**

208 To our knowledge, this is the first study to comprehensively evaluate the proportion of patients that  
209 develop CVAEs in the context of the (R-)CHOP regimen. Previous work within the field has mainly  
210 focused on unravelling the cardiovascular toxicity of individual agents, which are often not used as  
211 monotherapy. We believe that it is beneficial to assess the toxicity of complete treatment regimens, due to  
212 their increasing complexity as well as possible toxic synergistic interactions between agents. The most  
213 important findings of this work are: (1) the reported proportion of patients that develop severe CVAEs is  
214 relatively low, with a pooled proportion of 2.35% (2) female sex and older age ( $\geq 65$  years) are  
215 independently associated with an increased risk of severe CVAEs (3) the reported proportion of patients  
216 with HF increased significantly from 1.64% to 11.72% when cardiac function was screened actively at the  
217 end of treatment and (4) discontinuation of (R-)CHOP due to treatment-related HF is rare.

218 In previous studies among paediatric cancer survivors, female sex has repeatedly been  
219 associated with an increased risk of anthracycline-related LV dysfunction<sup>31</sup>. In adults, this relation has  
220 been inconclusive, probably because a majority of studies concerning cardiotoxicity have been performed  
221 in female breast cancer patients. Moreover, we confirmed that elderly are more prone to develop high-  
222 grade CVAEs. This elevated risk might be provoked by a high prevalence of cardiovascular risk factors in

223 this patient population as illustrated in a large series of lymphoma patients  $\geq 65$  years, where 73% of  
224 patients had hypertension, 54% hyperlipidaemia and 32% diabetes mellitus<sup>23</sup>. It is possible that the  
225 patients that did develop severe CVAEs in our meta-analysis had pre-existing or ongoing cardiac disease,  
226 that increased their susceptibility of developing these unintended effects in the context of a 'second-hit'  
227 like phenomenon<sup>13,14,32</sup>

228         Considering the increasing prevalence of NHL with age, less cardiotoxic alternatives in these  
229 patients could be considered. Historically, the antineoplastic potency of anthracycline agents has been  
230 assumed to be proportional to the acute hematologic toxicity and by extension to toxic effects on other  
231 organs, including the heart<sup>33,34</sup>. From this assumption, conversion factors for the cardiotoxicity of the  
232 various different anthracyclines have been derived. This validity of this assumption was recently  
233 investigated in a large cohort of childhood cancer survivors, which estimated the conversion factor of  
234 epirubicin to doxorubicin at 0.8, indicating that this agent is indeed associated with reduced  
235 cardiotoxicity<sup>34</sup>. The first trial comparing (R-)CEOP70 (70 mg/m<sup>2</sup> epirubicin) to standard (R-)CHOP in 348  
236 adults with diffuse large B-cell lymphoma (DLBCL) did however not report a difference in the proportion of  
237 patients with  $\geq 10\%$  decline in left ventricular ejection fraction (LVEF)(15.0% vs. 16.1%) albeit the number  
238 of patients with raised troponin levels differed significantly in favour of (R-)CEOP (20.4% vs. 42.0%)<sup>35</sup>.  
239 The oncologic efficacy of these regimens was comparable. A recent large multicentre phase 3  
240 randomized controlled trial conducted in China, randomized young patients ( $\leq 60$  years)(n=404) to R-  
241 CHOP50, R-CEOP70 or R-CEOP90 and older patients (61-80 years)(n=244) to R-CHOP50 or R-  
242 CEOP70<sup>36</sup>. The 2-year progression-free survival for these regimens was 72.5% [95% CI 66.6–77.6],  
243 72.4% [95%CI 66.5–77.5] and 88.8% [95%CI 82.1–93.1] respectively. In this trial, 13% of patients  
244 randomized to R-CEOP70 developed an LVEF decline of  $>10\%$ , compared to 29% in the R-CHOP50  
245 group at 3-years after remission. Young patients that received the R-CEOP70 or R-CEOP90 also were  
246 less likely to develop an LVEF decline, 11% and 13% compared to 26% in patients treated with R-  
247 CHOP50. Additional studies with longer follow-up are necessary to determine the impact of substituting  
248 doxorubicin with epirubicin on the incidence of hard clinical endpoints, including symptomatic HF.

249         Besides epirubicin, pixantrone an analogue of mitoxantrone, is also believed to be less  
250 cardiotoxic than doxorubicin. This agent has been conditionally approved by the European Medicine

251 Agency (EMA) in 2012 and is currently indicated as monotherapy for the treatment of adult patients with  
252 multiply relapsed or refractory aggressive B-cell NHL. Thus far, only one trial has investigated this agent  
253 as first-line therapy, comparing R-CPOP to R-CHOP in 140 patients with DLBCL<sup>37</sup>. In this setting, patients  
254 treated with R-CPOP had slightly lower response rates (CR/CRu rate 75% vs. 84%) but similar  
255 progression- and event-free survival. The R-CPOP regimen was substantially less cardiotoxic, with 2% of  
256 patients developing an LVEF decline of  $\geq 20\%$  and 0% symptomatic HF compared to 17% and 6% in the  
257 R-CHOP respectively. In the light of these results, the authors suggested that pixantrone might be  
258 alternative in patients at high risk of cardiotoxicity. At the moment, this is being investigated in a phase II  
259 trial in elderly patients with DLBCL and decreased cardiac function (EudraCT number: 2014-005069-60).

260 The pooled proportion of patients developing HF (4.62%) in this meta-analysis is in line with  
261 previous studies reporting on the development of symptomatic HF, with a 3-5% incidence at a cumulative  
262 doxorubicin dose of 400 mg/m<sup>2</sup> <sup>12,38</sup>. Many patients in these studies had also received concomitant  
263 treatment with cyclophosphamide, complicating the interpretation of the association of this individual  
264 agent with LV dysfunction. However, a large cohort study in lymphoma patients comparing the 5-year  
265 cumulative risk of symptomatic HF between patients treated with (n=1994) or without anthracyclines  
266 (n=446), found that the risk was only 0.8% in the patients not exposed to anthracyclines vs. 4.5%-7.9% in  
267 the anthracycline group. Patients treated without anthracyclines had received similar cyclophosphamide  
268 doses as incorporated in (R-)CHOP, indicating that this alkylator at these relatively low doses does not  
269 contribute considerably to the toxicity of (R-)CHOP. From the small number of studies that have described  
270 an association between cyclophosphamide and LV dysfunction, especially doses of  $>1.5\text{g/m}^2/\text{day}$  seem  
271 associated with a high risk of HF<sup>16</sup>.

272 Cardiac dysfunction was a common exclusion criteria for (R-)CHOP, applied in 58 out of 137  
273 studies (42%). However, strikingly, only 38 (28%) of the studies reported on the incidence of HF and an  
274 even smaller number performed active cardiac screening pre- and post- chemotherapy to determine  
275 whether LV dysfunction had been induced. The significant increase in the reported proportion of patients  
276 with cardiac dysfunction from 1.64% to 11.72% when patients received cardiac follow-up post  
277 chemotherapy, is suggestive of a widespread under-diagnosis of this complication. We believe that an  
278 important contributing factor to this under-diagnosis is the common practice to solely report the incidence

279 of high-grade AEs in phase 3 clinical trials, that are collected during a limited period of time<sup>39</sup>. In the  
280 current CTCAE v5.0, only patients with HF symptoms at rest or patients with a symptomatic drop in the  
281 LVEF are counted as severe. This is problematic, as HF symptoms can easily be misattributed to  
282 noncardiac AEs in cancer patients<sup>40</sup> and HF symptoms are known to correlate poorly with the LVEF. In  
283 other words, a considerable decline in cardiac contractility can be evoked by cardiotoxic  
284 chemotherapeutics without the immediate onset of symptomatic HF. A great majority of patients develop  
285 this decline within the first year of anthracycline-containing therapy<sup>41</sup>. Systolic dysfunction can thereafter  
286 progress silently for years by the activation of various compensatory mechanisms, including peripheral  
287 vasoconstriction and activation of the renin-angiotensin aldosterone system (RAAS)<sup>42</sup>.

288         Indeed, we found that onset of symptomatic HF during (R-)CHOP treatment, leading to the  
289 necessity of treatment discontinuation is very rare. In addition, the proportion of patients with LV  
290 dysfunction was limited to 1.64% in studies that did not evaluate cardiac function after chemotherapy.  
291 Clinical HF predominantly is of concern on the long-term, as indicated by other studies in lymphoma  
292 survivors, with an increasing cumulative risk from 1.0-4.0% at 1-year to 8.1-9.4% at 8-years<sup>24</sup>. Patients  
293 that have developed clinical HF due anthracyclines generally have a poor prognosis with a 9% and 24%  
294 cardiovascular mortality rate at 5- and 10 years respectively<sup>43</sup>, up to even more dramatic outcomes of a  
295 60% mortality at 2-years<sup>44</sup>. An early discovery of a decline in cardiac function in the pre-symptomatic  
296 phase and immediate initiation of conventional HF therapy gives the greatest chance of complete  
297 functional recovery<sup>45</sup>, preventing the progression to more advanced HF stages. Treatment involves  
298 management according to standard HF guidelines with the administration of ACE-inhibitors and beta-  
299 blockers, both targeting RAAS to counteract adverse cardiac remodelling<sup>46</sup>.

300         This study has a number of limitations. Most importantly, we did not have access to individual  
301 patient data (IPD) that has a number of benefits over pooling aggregate data<sup>47</sup>. In the absence of IPD, it  
302 was not possible to perform competing risk analyses nor determine the effect of known cardiovascular  
303 risk factors, including smoking, diabetes, hypertension and chest radiation on CVAE incidence. However,  
304 we did observe that female sex and older age were associated with an increased risk of severe CVAEs in  
305 the subgroup analysis. Albeit some caution is required when interpreting these results that are based on

306 summary estimates, significant findings detected with aggregate data are replicated in 95% of IPD meta-  
307 analyses<sup>48</sup>.

308         Despite using well-defined inclusion criteria for this meta-analysis, some level of heterogeneity  
309 was expected due to differences in study designs and populations. Based on the Q-test, significant  
310 heterogeneity was present in three meta-analyses (appendix p12). Because this test can be too sensitive  
311 when used to analyse results from a large number of studies, the random effects model was selected  
312 based on visual evaluation of the heterogeneity in the forest plot, in combination with the  $\tau^2$  and  $I^2$   
313 statistics. Thirdly, due to the risk of bias induced by selective reporting of adverse events, studies could  
314 have been excluded from the meta-analyses even though CVAEs did- or did not occur. Out of the 60  
315 studies that were not included in the grade 3 + 4 CVAE meta-analysis, 30 studies did not completely  
316 report on adverse events, leading to a risk of underreporting of estimated proportion. Also, in 27 out of  
317 these 60 studies it was unclear whether the reporting of AEs was complete, which can also lead to biased  
318 estimates. Fourthly, the outcome of this meta-analysis is likely influenced by the inconsistency of toxicity  
319 grading systems in CVAE grading. For example, with the CTCAE, a (large) asymptomatic decline in  
320 cardiac function can be reported as grade 0-3 depending on the entry chosen by the researcher<sup>40</sup>.  
321 Furthermore, cardiotoxicity is used as an umbrella term for all manifestations of cardiovascular toxicity by  
322 some while others use this term only for chemotherapy-induced LV dysfunction. Due to poor CVAE  
323 specification (appendix p13), a limited number of studies could be included in the meta-analysis of HF  
324 and a separate analysis for subclinical- vs clinical HF nor other CVAEs was possible. The discrepancy  
325 between the proportion of patients with high-grade CVAEs and HF is likely to be partly explained by these  
326 subclinical HF cases. We hypothesized that, the studies that did perform cardiac screening pre- and post-  
327 chemotherapy might have included patients at a higher risk of HF. However, based on the summary  
328 estimates we did not find any differences between the studies that could explain the large difference in  
329 the proportion of patients reported with LV dysfunction (appendix p25). There were also no differences in  
330 the proportion of patients with CVAEs in the studies that handled cardiac dysfunction as an exclusion  
331 criteria and studies that did not (appendix p25). Moreover, we could not establish a relationship between  
332 overall survival and the incidence of CVAEs, presumably due to the short period that clinical trials record

333 AEs and the varying prognosis of different NHL subtypes. Lastly, we did not contact authors of the  
334 original studies to assess the accuracy and veracity of our extracted data.

335 We believe that the findings of this study are important in raising the awareness of CVAEs in NHL  
336 patients treated with (R-)CHOP. Our results can presumably also be generalized to NHL patients  
337 receiving DA-EPOCH(-R), since the regimen contains comparable doses of doxorubicin. To reduce the  
338 burden of CVD in cancer patients and survivors, close multidisciplinary collaborations between  
339 (hemato)oncologists and cardiologists are currently coordinated by the implementation of cardio-oncology  
340 clinics worldwide<sup>49,50</sup>. These clinics will provide more insight into the burden of CVAEs in a real-world  
341 setting and aid in the implementation of targeted strategies for their primary- and secondary prevention. It  
342 is plausible that the optimal strategy varies per cancer type, as often the prognosis of the malignancy is  
343 the dominant factor. Serial echocardiographic assessment is currently the cornerstone in the detection of  
344 early changes in LVEF, due to its availability and versatility<sup>51</sup>. In patients exposed to anthracyclines, the  
345 duration of cardiac monitoring should be at least one year, as almost all patients that will develop LV  
346 dysfunction display an important decline in cardiac function within the first year<sup>45</sup>. Additional to standard  
347 LVEF measurements, deformation imaging by means of global longitudinal strain has shown promising  
348 results to detect early changes in myocardial contractility in this setting<sup>52</sup>. Other screening methods  
349 include biomarkers, of which the most promising is troponin with a negative predictive value of 93%<sup>53</sup>. For  
350 primary prevention, ACE-inhibitors and beta-blockers have been studied in breast cancer patients in a  
351 small number of trials with limited sample size<sup>54,55</sup>. Moreover, the bisdioxopiperazine agent dexrazoxane  
352 warrants further investigation. A Cochrane meta-analysis pooling data from eight trials showed a highly  
353 significant and clinically relevant benefit in favour of dexrazoxane for the prevention of clinical HF (RR  
354 0.18, 95% CI 0.10 to 0.32,  $P < 0.00001$ ), and overall HF (RR 0.29, 95% CI 0.20 to 0.41,  $P < 0.00001$ )<sup>56</sup>.

355 Prospective registries are necessary for the identification of risk factors predisposing patients to  
356 CVAEs, optimization of cardiologic screening strategies and determination of the progression rate from  
357 asymptomatic to clinical HF. For future clinical trials within the field of oncology, it is of great importance  
358 that the type of CVAEs are specified in more detail, since their therapeutic management, reversibility and  
359 prognosis varies. The CONSORT extension for harm can aid researchers herein<sup>27</sup>. Furthermore, we

360 believe that revisions of the CTCAE is of paramount importance to assure consistent CVAE grading.  
361 Preferably, these revisions should also reflect the duration, reversibility and multiplicity of AEs<sup>39,57</sup>.

362 Our systematic review and meta-analysis demonstrates that the proportion of patients that  
363 develop severe CVAEs after (R-)CHOP treatment is limited during the AE registration period, with a  
364 pooled proportion of 2.35%. Women and the elderly appear to have a particular high risk of severe  
365 CVAEs, and cardiologic screening in these patients is warranted. Despite the well-established association  
366 of doxorubicin with cardiomyopathy, cardiac function is seldom monitored. However, if assessed post-  
367 chemotherapy, cardiac dysfunction is reported in >10% of NHL patients suggesting this is a common AE  
368 that often remains undetected.

369

## 370 **Research in context**

### 371 *Evidence before this study*

372 Survivors of non-Hodgkin lymphoma's (NHL) are at risk of cardiovascular disease (CVD) which is  
373 believed to be related to toxic effects of antineoplastic treatment. (R-)CHOP has been the regimen of first-  
374 choice for the treatment of aggressive NHLs for decades, but the proportion of patients developing  
375 cardiovascular adverse events (CVAEs) after treatment has not been comprehensively evaluated.  
376 Previous work within the field has mainly focused on unravelling the cardiovascular toxicity of individual  
377 agents, which are often not used as monotherapy. We searched PubMed, EMBASE and the Cochrane  
378 Library using a broad search string including the words "lymphoma", "CHOP" and "R-CHOP" from  
379 inception, with the last search on the 3<sup>rd</sup> of June 2019. Among 1,888 unique hits, we found no meta-  
380 analysis assessing the occurrence of CVAEs in NHL patients receiving (R-)CHOP.

381

### 382 *Added value of this study*

383 Our analysis summarizes the occurrence of CVAEs in patients treated with (R-)CHOP as a first-line  
384 regimen across 137 studies. In contrast to previous work, we focused on the cardiovascular toxicity of a  
385 complete treatment regimen, rather than individual agents. Moreover, to our knowledge, this is the first

386 study that specifically evaluates the consequences of serial cardiac assessment on the reported number  
387 of patients with heart failure or left ventricular dysfunction following treatment with (R-)CHOP.

388

389 *Implications of all the available evidence*

390 Clinicians should be aware that chemotherapy-related cardiac dysfunction is common after treatment with  
391 (R-)CHOP and often does not immediately result in symptomatic heart failure. Our findings stress the  
392 importance of close multidisciplinary collaboration between (haemato)oncologists and cardiologists for the  
393 early detection of cardiovascular complications in the pre-symptomatic phase, enabling targeted  
394 prevention strategies to improve long-term cardiac outcome in NHL survivors.

395

#### 396 **Contributors**

397 ML and JAMK contributed equally to the literature search, study design, data collection, data analysis,  
398 data interpretation and writing the manuscript. AR and AJT contributed to the study design and writing the  
399 manuscript. LPB contributed to statistical analysis, data interpretation and writing of the manuscript. MJC  
400 and PAD contributed to writing the manuscript. FWA was the principal investigator who supervised the  
401 study. All authors critically reviewed the manuscript and provided important intellectual content. The final  
402 manuscript was approved by all authors.

403

#### 404 **Declaration of interests**

405 All authors declare no competing interests.

406

#### 407 **Acknowledgements**

408 ML is supported by the Alexandre Suerman Stipend of the University Medical Centre Utrecht. FWA is  
409 supported by UCL Hospitals NIHR Biomedical Research and CVON 2015-12 eDETECT.

410

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552

553 **Figure legends**

554 **Figure 1** Study selection.

**Figure 2** Forest plot of the pooled proportion of patients with grade 3+4 CVAEs.

**Figure 3** Forest plot of the pooled proportion of patients with overall heart failure, sorted by studies with-  
(bottom) and without (top) cardiac screening after therapy.