

1 **Drinking water biofiltration: behaviour of antibiotic resistance genes**
2 **and the association with bacterial community**

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7 **Abstract**

8 Antibiotic resistance genes (ARGs) are being detected in drinking water frequently,
9 constituting a major public health issue. As a typical drinking water treatment process, the
10 biofilter may harbour various ARGs due to the filter biofilms established during the filtration
11 process. The objective of this study was to investigate the behaviour of ARGs (*bla*_{CTX-M}, *bla*_{OXA-}
12 *1*, *bla*_{TEM}, *ermB*, *tetA*, *tetG*, *tetQ*, *tetW*, *tetX*, *sul 1*, *sul 2*, *dfrA1* and *dfrA12*) and their possible
13 association with bacteria in a bench-scale biofiltration system. The impact of filter media on
14 horizontal gene transfer (HGT) was also explored using a model conjugative plasmid, RP1.
15 The biofiltration system comprised four types of biofilters, including sand, granular activated
16 carbon (GAC), GAC sandwich, and anthracite-sand biofilters. Results showed that although
17 the absolute abundance of ARGs decreased (0.97-log reduction on average), the ARGs'
18 abundance normalised to bacterial numbers showed an increasing trend in the filtered water.
19 Biofilms collected from the surface layer revealed the lowest relative abundance of ARGs (p
20 < 0.01) compared to the deeper layer biofilms, indicating that the proportion of ARG-carrying
21 bacteria was greater in the lower position. Most chosen ARG numbers correlated to
22 *Proteobacteria*, *Acidobacteria* and *Nitrospirae* phyla, which accounted for 51.9%, 5.2% and
23 2.0% of the biofilm communities, respectively. GAC media revealed the highest transfer
24 frequency (2.60×10^{-5}), followed by anthracite (5.31×10^{-6}) and sand (2.47×10^{-6}).
25 Backwashing can reduce the transferability of RP1 plasmid significantly in biofilms but
26 introduces more transconjugants into the planktonic phase. Overall, the results of this study
27 could enhance our understanding of the prevalence of ARGs in drinking water biofiltration
28 treatment.

29 Keywords: Biofiltration; Antibiotic resistance genes; Biofilm; Bacterial community; Horizontal
30 gene transfer

31 **1. Introduction**

32 Antibiotic resistance genes (ARGs) are diverse and ubiquitous in natural environments.
33 Hundreds of ARGs were detected in various environmental matrices, including wastewater
34 treatment plants, livestock, aquaculture, surface water, soil and sediment (Chen *et al.*, 2016;
35 Gao *et al.*, 2012; Wang *et al.*, 2014). The high mobility of microorganisms in the water phase
36 has rendered the aquatic environment an important reservoir for ARGs (Zhang *et al.*, 2009).
37 ARGs remaining in source waters (e.g. river and lake water) have the potential to reach tap
38 water via drinking water treatment plants (DWTPs) and distribution systems. For instance, the
39 concentration of ARGs in source waters used for drinking water production ranged from 10^8
40 to 10^9 copies/L (Xu *et al.*, 2016), which is comparable to ARGs levels (4.33×10^8 copies/L,
41 mean value) in a large scale case study investigating 42 natural waterbodies across China
42 (Liu *et al.*, 2018). Moreover, enhanced levels of ARGs with an enrichment of up to 100-fold in
43 tap water after pipeline transportation was observed in Xu *et al.*' study (2016), raising concerns
44 from both researchers and the public.

45 Biofiltration is a simple and cost-effective drinking water treatment technology, which
46 allows the microorganisms in the source water to attach and colonise the surface of granular
47 media and develop a biofilm (Sharma *et al.*, 2018). Filter media commonly used for biofiltration
48 include sand, granular activated carbon (GAC) and anthracite. Due to the high bacterial
49 density and diversity sustained, drinking water biofilms have shown to facilitate horizontal gene
50 transfer (HGT) of ARGs under environmental conditions (Schlüter *et al.*, 2007). For instance,
51 *vanA* (a vancomycin resistance gene) has been detected in drinking water biofilms without the
52 presence of bacterial host *enterococci*, indicating the potential transfer of *vanA* to indigenous
53 drinking water bacteria (Schwartz *et al.*, 2003). In addition, Farkas *et al.* (2003) reported that
54 the biofilm community in a DWTP is a reservoir of class 1 integrons, indicating that the drinking
55 water biofilm has the potential to accumulate resistance determinants. The above
56 observations suggest that the biofilm may serve as an ideal site for ARG transfer in aquatic

57 environments. However, the mechanisms underlying the occurrence of HGT during
58 biofiltration and the impact of filter media on HGT both remained unknown.

59 The understanding of microbial composition in the filter media is essential in the
60 context of microbial risk as it could dictate the microbiological quality of the effluent and shape
61 the bacterial community structure in the drinking water microbiome (de Vera *et al.*, 2018; Pinto
62 *et al.*, 2012). Variation in antibiotic resistome during drinking water treatment processes is
63 generally associated with the bacterial community. For instance, Jia *et al.* (2015) have found
64 that sulfonamide resistance genes were carried by *Salmonella*, while most of aminoglycoside
65 resistance genes were carried by *Pseudomonas* and *Escherichia* in drinking water; Zheng *et*
66 *al.* (2018) reported that *Firmicutes* was mostly related to persistent ARGs in activated carbon
67 biofilms collected from a DWTP. Moreover, they discovered that *Firmicutes* organisms were
68 able to communicate with each other through quorum sensing in GAC biofilms with respect to
69 selective pressure from the environment and accelerating the ARG transfer. A lab-scale
70 biofiltration study suggested that the bacterial community composition in the sand biofilm is
71 associated with the antibiotic resistome (Wan *et al.*, 2019). In general, previous research has
72 focused on a single medium used in biofiltration, comparisons among representative filter
73 media and their correlations with ARG abundance during biofiltration are still unknown.

74 In this study, two sets of biofiltration columns were set-up at bench-scale. The first
75 biofiltration system comprised of four types of biofilters, including sand, GAC, GAC sandwich,
76 and anthracite-sand biofilters in order to explore the behaviour of ARGs during the filtration
77 process and the possible relationship between ARGs and bacterial community structure in
78 filter biofilms. Natural surface water spiked with sulfamethoxazole, trimethoprim, amoxicillin,
79 oxytetracycline, and clarithromycin was used as feedwater for all biofilters. The selection of
80 the target antibiotics was based on their presence in drinking water source waters. A total of
81 13 ARGs, including *bla_{CTX-M}*, *bla_{OXA-1}*, *bla_{TEM}*, *ermB*, *tetA*, *tetG*, *tetQ*, *tetW*, *tetX*, *sul 1*, *sul 2*,
82 *dfrA1* and *dfrA12* and integrase genes, *intl 1* and *intl 2* were selected in this study. The
83 selection of ARGs was based on the antibiotic to which they confer resistance and their
84 prevalence in surface waters. The second biofiltration experiment involved setting-up a

85 conjugative transfer system using the RP1 plasmid to explore the impact of filter media and
86 antibiotic exposure on horizontal conjugative transfer. Overall, the results of this study could
87 enhance our understanding of the prevalence of ARGs in drinking water biofiltration treatment.

88 2. Methods and materials

89 2.1 Biofiltration system setup and operation

90 Sand (SB), GAC (GB), GAC sandwich (GSB), and anthracite-sand (ASB) representing
91 four types of biofilter were set-up in parallel at bench scale. Each biofilter type was run in
92 duplicate. An overview of the biofilter systems setup and the composition of biofilters is shown
93 in Figure 1. The biofiltration system consisted of eight columns, each with 36 cm of filter media
94 (sand: effective size (ES) 0.20 mm, uniformity coefficient (UC) 1.82; GAC: ES 0.72 mm, UC
95 1.68; anthracite: ES 0.90 mm, UC 1.32) and 5 cm of support media (0.6–3 mm gravel). Surface
96 characteristics of the filter media are shown in Figure S1. The feedwater for all biofilters was
97 natural lake water collected from Regent's Park, London. A total of 25 L raw water was
98 collected twice a week from October 2017 to January 2018. A dual head peristaltic pump
99 (Watson-Marlow 323 U) with eight channels was introduced to simultaneously deliver
100 feedwater to biofilters from the reservoir. Biofilter configurations are shown in Figure S2.

101 The biofilters were run under a hydraulic loading rate of 0.06 m/h, which was within the
102 typical range of 0.04 to 0.4 m/h in use for slow sand filtration (D'Alessio *et al.*, 2015; Letterman
103 and Association, 1991). The biofiltration system was operated continuously for 11 weeks,
104 including 4 weeks of biofilter maturation, when total coliforms and *Escherichia coli* achieved
105 2-log reduction (data not shown) (Huisman and Wood, 1974), and 7 weeks' exposure to
106 antibiotics (2 µg/L of sulfamethoxazole, trimethoprim, oxytetracycline, and clarithromycin and
107 5 µg/L of amoxicillin) followed by a backwash/cleaning process at the end. Except for the GAC
108 sandwich, biofilters were backwashed by pumping their own effluent upflow to achieve a 20 -
109 30% bed expansion (Liu *et al.*, 2012). Each biofilter was backwashed for 10 min. The GAC
110 sandwich was cleaned by stirring the top layer sand and the mixture of 'dirty' water was then
111 withdrawn from above the filter at the same time (Reungoat *et al.*, 2011). The system run
112 continuously for 24 h after backwashing/cleaning was conducted.

113 **2.2 Sample collection, DNA extraction and qPCR**

114 Influent samples were taken immediately before entering the biofilters and mixed as
115 one sample to capture an accurate influent concentration, while effluents were collected in
116 drainage pipes located in the bottom of the biofilter and led by gravity to the outlets. A total of
117 five batches of influent and effluent samples were collected throughout this study, including
118 the week after the addition of antibiotics (batch 1) and then every two weeks thereafter (batch
119 2-4). Samples were also collected after the backwashing/cleaning of biofilters (batch 5). Sand,
120 GAC and anthracite media samples at different depths of the filter bed were withdrawn from
121 the sampling ports twice during the experimental period, *i.e.* at the end of the maturation stage
122 (4 weeks) and before biofilter backwashing/cleaning (7 weeks), to collect the associated
123 biofilm for genomic DNA extraction.

124 To separate bacterial cells from media particles, sand, GAC and anthracite samples
125 were added to sterile saline (NaCl, 8.5 g/L) and ultrasonicated at 38 kHz, 600 W three times
126 with 20 min exposure and 5 min intervals to suspend the biofilms (Wan *et al.*, 2019). The
127 biofilm suspensions, influents and effluents were filtered through 0.22 µm mixed cellulose
128 ester membrane filters (Millipore, UK) by a vacuum filtration apparatus. All of the membranes
129 were stored at - 20 °C. Genomic DNA was extracted using the FastDNA Spin Kit for Soil (MP
130 Biomedicals, UK) according to the manufacturers' instructions. The concentration of the
131 purified DNA was quantified spectrophotometrically using the NanoDrop and stored at - 85 °C
132 until further analysis. In-house qPCR assays were established to quantify the target ARGs
133 and two integron genes. Details of qPCR procedures were as described in a previous study
134 by the authors (Xu *et al.*, 2019).

135 **2.3 Bacterial community structure analysis**

136 DNA samples extracted from surface layer biofilms which collected at the end of
137 system run (before backwashing/cleaning) were sent for amplicon sequencing using the
138 Illumina Hiseq2500 platform (Novogene, Beijing, China). The V3-V4 region of the *16S rRNA*

139 gene was selected for amplification with primers 341F: CCTAYGGGRBGCASCAG and 806R:
140 GGACTACNNGGGTATCTAAT. Paired-end reads were merged using FLASH (V1.2.7,
141 <http://ccb.jhu.edu/software/FLASH/>). Raw tags were filtered according to the Quantitative
142 Insights Into Microbial Ecology (QIIME, V1.7.0, <http://qiime.org/index.html>) quality controlled
143 process in order to obtain the high-quality clean tags. Analysis of the generated high-quality
144 sequences was performed by Uparse software (v7.0.1001, <http://drive5.com/uparse/>).
145 Sequences with $\geq 97\%$ similarity were assigned to the same operational taxonomic unit (OTU).
146 Representative sequence for each OTU was classified phylogenetically and assigned to a
147 taxonomic identity using the Ribosomal Database Project (RDP) classifier (Version 2.2,
148 <http://sourceforge.net/projects/rdp-classifier/>).

149 **2.4 Horizontal conjugative transfer experiment**

150 The donor strain used was *E. coli* J53, which harbours the conjugative RP1 plasmid
151 that confers resistance to ampicillin (encoded by *bla*_{TEM}), tetracycline (encoded by *tetA* and
152 *tetR*) and kanamycin (encoded by *aphA*). The *E. coli* HB 101 strain resistant to streptomycin
153 was used as the recipient. The donor strain was pre-cultured in Luria-Bertani (LB) broth or
154 agar supplemented with 100 mg/L ampicillin, 10 mg/L tetracycline, and 50 mg/L kanamycin;
155 while the recipient strain was pre-cultured in LB broth or agar supplemented with 30 mg/L
156 streptomycin. Recipients carrying the RP1 plasmid were recognised as transconjugants and
157 cultured in LB broth or agar supplemented with 100 mg/L ampicillin, 10 mg/L tetracycline, 50
158 mg/L kanamycin, and 30 mg/L streptomycin.

159 Two sets of biofiltration systems (Set A and Set B) were setup at bench-scale (Figure
160 2), each consisting of three columns (inner diameter 2 cm) loaded with sand, GAC and
161 anthracite up to 7 cm. All the materials, including filter media, feedwater reservoir, tubing and
162 columns were autoclaved prior to system set-up. The six biofilters were operated in parallel
163 under identical conditions at a hydraulic retention time of 0.06 m/h for two weeks. Set A was
164 fed with LB broth (1:1000 diluted, dissolved organic carbon = 6 mg/L) spiked with the five
165 target antibiotics at 2 $\mu\text{g/L}$, while Set B was only fed with diluted LB broth. Both, Set A and B,

166 were inoculated with equal amount of fresh culture of *E. coli* J53 and HB101 at approximately
167 1.0×10^7 CFU/mL for two weeks. After two weeks' operation, the system was backwashed
168 once by pumping sterile water in counter current through the columns at 30% fluidisation for
169 5 min. Influent, effluent and surface media samples were collected 24 h after first inoculation
170 and then every two days thereafter. Once collected, media samples were suspended in sterile
171 saline and then ultrasonicated at 38 kHz for 20 min to wash off the bacteria attached to the
172 media surface. Influent, effluent and media bacteria suspension samples were serially diluted
173 and plated on selective LB agar to count the numbers of donors, recipients and
174 transconjugants. All plates were incubated at 37 °C for 24 h. The conjugative transfer
175 frequency in media and aqueous samples was then calculated based on the numbers of
176 transconjugants per recipient cell. Colony PCR was conducted to determine the RP1 plasmid
177 genotype in transconjugants (details are provided in the SI). *E. coli* HB101 was plated onto
178 selective LB agar separately as negative controls throughout the study.

179 **2.5 Statistical analysis**

180 The absolute abundance or concentration of ARGs indicated the ARG copy numbers
181 per gram in medium samples (copies/g) or per litre in influent/effluent samples (copies/L). The
182 relative abundance of ARG was calculated based on the ARG copies normalised to the
183 number of copies of the *16S rRNA* gene. The number of different ARGs detected was
184 expressed as the richness of ARGs. Mean and standard deviation calculations were
185 performed with Microsoft Excel 2016. One-way analysis of variation (ANOVA), Pearson
186 correlation analysis and ARGs' profile heatmap were performed using OriginPro 2018.
187 Principal coordinate analysis (PCoA) based on Bray-Curtis distance was utilised to evaluate
188 the bacterial community profiles between different biofilm samples. Redundancy analysis
189 (RDA) was performed to analyse the correlation between ARGs and bacterial communities
190 (considered as the environmental factor). Variation partitioning analysis (VPA) was performed
191 to explore the contributions of integrons and bacterial communities to the variations of ARGs.
192 PCoA, RDA and VPA were performed using Canoco 5.0 software (USA). Venn diagram

193 analysis was performed to assess the numbers of shared and unique OTUs in each biofilm
194 sample. OriginPro 2018 was used to draw histogram, line graphs and Venn diagram.

195 3. Results and discussion

196 3.1 Behaviour of ARGs and integron genes during biofiltration

197 3.1.1 Overview of ARGs and integron genes in biofilms

198 A total of 64 biofilm samples were collected from different sampling sites at 4 weeks
199 (before antibiotics spike) and 11 weeks (after spiking and before backwashing/cleaning) of the
200 biofilter run. For a better understanding of the sampling positions, M0, M8, M17 and M20
201 referred to media samples collected at 0 cm, 8 cm, 17 cm, and 20 cm along the column,
202 respectively. An overview of the absolute abundance of the *16S rRNA* gene, ARGs and
203 integrons are shown in Figure S3. Mean values of the absolute abundance of ARGs were 2.04
204 $\times 10^6$, 1.06×10^6 , and 6.81×10^5 copies/g in sand, GAC and anthracite biofilms, respectively.
205 Among the ARGs present, *bla*_{TEM} was the most abundant resistance gene (4.29×10^6
206 copies/g), followed by *sul 1* (3.23×10^6 copies/g) and *tetG* (1.27×10^6 copies/g). The
207 trimethoprim resistance gene *dfrA12* had the lowest abundance (7.05×10^2 copies/g). No
208 statistical differences ($p > 0.05$) in total ARG abundance were found between the duplicate
209 columns or between 4-week and 11-week biofilm samples. A decrease in ARG concentrations
210 and richness with depth was observed among the same media type (Figure S4). The absolute
211 abundance of ARGs were positively correlated to the *16S rRNA* gene and the integrons
212 (Figure S5-a) in biofilm samples.

213 Figure 3 shows an overview of the relative abundance of ARGs and integrons in all
214 biofilms. The relative abundance of ARGs increased significantly ($p < 0.01$) with increasing
215 depth (from M0 to M17) while the absolute concentration decreased in sand biofilms. This may
216 due to the amount of microbial biomass attached to the surface layer was much greater than
217 the deeper layer, evidenced by an average of 1.4-log and 1.2-log higher of the absolute
218 abundance of *16S rRNA* and ARGs, respectively, in the surface than in the deeper layer
219 biofilms (Figure S3). These observations are consistent with the study of Wan *et al.* (2019) on
220 sand biofilm. In the GAC biofilm samples, the overall ARG concentrations ranged between
221 5.65×10^6 and 1.87×10^7 copies/g in the surface layer biofilms and between 7.94×10^4 to

222 2.13×10^6 copies/g in the lower layers. It should be noted that after the addition of antibiotics,
223 the relative abundance of integron genes increased significantly ($p < 0.01$) in GAC biofilms,
224 raising the mean concentration from 6.91×10^4 copies/g (week 4) to 8.27×10^5 copies/g (week
225 11). Although no reference of ARG variation within the GAC biofilm over time is available,
226 research focused on ARG prevalence in DWTPs has shown that the biofilm on a GAC filter
227 influenced ARG profiles in the filtered water and the diversity of ARGs in water increased after
228 GAC filtration (Zheng *et al.*, 2018). This is also confirmed by Xu *et al.* (2016), where the
229 number of detected ARGs raised significantly from 76 to 150 after GAC treatment. The
230 enhanced ARG and integron levels in the GAC biofilms observed in this study suggest that
231 they might pose a potential impact on the ARG profile of the filtered water. For the GSB, biofilm
232 collected at a depth of 17 cm (M17) was from GAC media and at 8 cm and 20 cm depth (M8
233 and M20) were from sand. Despite the lower level of ARGs abundance observed, the relative
234 abundance of ARGs in the GAC biofilm was the highest compared to sand in week 11 samples.
235 This may be due to the adsorption capacity of GAC on antibiotics which could exert a selective
236 pressure for the resistant bacteria in the biofilm, contributing to an enhanced relative
237 abundance. For the ASB, M8 and M17 represented anthracite biofilms, and M20 was a sand
238 biofilm. No difference of the relative abundance of ARGs was found between the two non-
239 adsorptive media.

240 **3.1.2 Behaviour of ARGs and integron genes in influent and effluent**

241 Figure 4 shows an overview of the ARGs and integrons abundance in the influent and
242 effluent samples. No statistical differences ($p > 0.05$) were found between the duplicate
243 biofilters. For the absolute abundance, ARGs showed positive correlations with both the *16S*
244 *rRNA* gene and integrons in water samples (Figure S5-b). The overall ARG concentration
245 ranged from 2.96×10^6 to 1.86×10^8 copies/L in the influent and from 1.73×10^5 to 7.36×10^7
246 copies/L in the effluents. After filtration, 0.76-log, 0.66-log, 1.29-log and 1.15-log reductions in
247 ARG copy numbers were observed for SB, GB, GSB and ASB, respectively.

248 Although the absolute abundance of ARGs decreased, the normalised ARGs copy
249 numbers showed an increasing trend in the filtered water. *Int1* also showed a trend of
250 increasing in relative abundance, although this trend fluctuated for duplicate biofilters. The
251 above findings are consistent with the findings in DWTPs, where the relative abundance of
252 ARGs increased after sand or GAC biofiltration (Xu *et al.*, 2016; Zheng *et al.*, 2018). This may
253 due to the releasing of the attached biofilm cells into the water, or the gene exchanges
254 occurred between the microbes in biofilm and water. In general, the trends for the reduction
255 of ARGs in GAC-associated biofilters were more stable than those in the biofilters using non-
256 adsorptive media over time (Figure S6). Backwashing and cleaning of biofilters did not affect
257 the removal of ARGs significantly, especially for the GAC biofilter. In previous study, the
258 backwashing of GAC biofilter has shown no considerable effect on the bacterial population
259 and diversity in biofilms (Kim *et al.*, 2014), which might allow the GAC biofilter to function in a
260 stable manner in terms of ARG reduction.

261 **3.1.3 Comparisons of ARGs profiles in biofilm and aqueous samples**

262 Raw water samples were included to provide background information on the ARGs
263 profile. In general, the concentration of ARGs in raw water ranged from 10^3 to 10^8 copies/L,
264 which is comparable to ARGs levels (10^3 to 10^7 copies/L) reported by the authors in a previous
265 study investigating the same types of surface water in London (Xu *et al.*, 2019). The levels of
266 ARGs/integron abundance fluctuated over time, and 10 out of 15 target genes were present
267 in all batch samples. A heatmap showing the profile of the relative abundance of ARGs in raw
268 water, influent, effluent, surface and lower layer biofilm samples is shown in Figure 5. Biofilm
269 samples represented a higher level of the relative abundance of ARGs (6.61×10^{-3} on average)
270 than in the aqueous samples (2.12×10^{-3} on average). Among the ARGs present, *sul 1* was
271 the most persistent resistance gene in all types of sample, and the β -lactam resistance genes
272 (*bla*) accumulated considerably more (20-fold) in biofilms than in the aqueous samples. The
273 concentrations of 16S *rRNA* gene, individual ARG, and integrons in raw water samples are
274 provided in Figure S7.

275 Comparisons of ARGs between biofilms collected at different depths (Figure S8)
276 showed that the surface layer biofilms (M0), which had the highest absolute abundance and
277 richness of ARGs, had the lowest relative abundance of ARG ($p < 0.01$) compared to the
278 deeper layer biofilms (M8, M17 and M20). This indicates that the proportion of ARGs-carrying
279 bacteria was greater in the lower position. Little research has been conducted on the
280 behavioural mechanisms of ARGs in different depths of filter columns, nor has this been
281 investigated in the context of the impact of different types of media on ARG variation. Wan *et*
282 *al.* (2019) have found a similar trend of an increasing relative abundance in ARGs in the lower
283 depths of sand biofilms, which is possibly due to the consumption of organic carbon along the
284 filter column. In general, the concentration of dissolved organic carbon (DOC) decreases with
285 increasing depth in the filter bed. A previous study has found that the DOC concentration
286 decreased more rapidly in the 0-10 cm layer of the sand, GAC and anthracite filter bed, where
287 the removal of DOC accounted for $> 50\%$ of the overall removal (Zhang *et al.*, 2015). As the
288 resistant bacteria tend to be more competitive under poor nutrient conditions (Lin *et al.*, 2018),
289 it is likely that the low level of carbon source in the lower depth of filter bed could be a limiting
290 factor for the growth of susceptible strains, resulting in a higher relative abundance of ARGs
291 in the deeper biofilms.

292 Significant positive correlations ($P < 0.05$) were found between all types of samples
293 (Table S1), indicating that the distributions of ARGs in the filtered water were affected by both
294 raw water and biofilms formed in the filter column. In biofilm samples, *intl 1* displayed
295 significant correlations with the absolute abundances of two classes of ARGs ($\sum sul$ and $\sum tet$)
296 ($r = 0.60$ and 0.44 , respectively, $P < 0.01$, Table S2). And in aqueous samples, *intl 1* was
297 found to significantly relate to the absolute abundances of three classes of ARGs ($\sum sul$, $\sum tet$
298 and $\sum bla$) ($r = 0.50$, 0.35 and 0.91 , respectively, $P < 0.05$, Table S3). In addition, *intl 2* had
299 strong and significant relationships with *dfrA1* and *dfrA12* ($r = 0.43$ and 0.53 , respectively, P
300 < 0.05) in aqueous samples, as well as with all ARGs ($r = 0.64$, $P < 0.01$). The significant
301 correlations observed between integron genes with ARGs indicated that class 1 and class 2

302 integrons play important roles in the dissemination of ARGs in the biofilms and filtered water
303 through HGT.

304 **3.2 Bacterial community in filter biofilm**

305 Bacterial community structure was investigated in the surface layer biofilms formed on
306 sand, GAC and anthracite after 11 weeks' operation. A total of 1,069,777 tags with an average
307 of 116,226 high quality tags per sample were obtained. These sequences were clustered into
308 3313 OTUs. The dominant phyla in all samples were *Proteobacteria* (51.9%), *Actinobacteria*
309 (13.5%), *Bacteroidetes* (8.5%), *Firmicutes* (7.6%), and *Acidobacteria* (5.2%), accounting 87%
310 of the total bacterial communities (Figure 6a). No statistical differences were found between
311 duplicate biofilters at the phylum level. *Alphaproteobacteria* and *Betaproteobacteria* were
312 more predominant in GAC than in sand and anthracite at the class level (Figure S9). As the
313 second most abundant phylum, *Actinobacteria* were more abundant in sand biofilm
314 communities. *Bacteroidetes* was the third most abundant phylum, which was attributed to its
315 member classes *Sphingobacteriia* and *Cytophagia*. The *Firmicutes* were primarily composed
316 of class *Clostridia*, which occupied 5.7%, 4.4% and 3.1% in sand, GAC and anthracite biofilms,
317 respectively. Previous research has also reported similarities in microbial taxa in biofilters
318 (Haig *et al.*, 2014; Li *et al.*, 2019), but the corresponding percentage differed by filter type (e.g.,
319 relative abundance of *Proteobacteria*: GAC > sand > anthracite). At genus level, *Sulfuritalea*
320 and *Sphingobium*, which belong to the *Proteobacteria*, were the dominant genus in sand and
321 GAC biofilms, respectively (Figure S10). *Bacillus*, within the *Firmicutes*, was the most
322 abundant genus in anthracite. The genera *Bacillus*, *Legionella*, *Mycobacterium*, and
323 *Pseudomonas* were present in all biofilm samples, and their relative abundance was up to 8.6%
324 in one of the anthracite biofilms (Table S4). These opportunistic human pathogens found in
325 surface layer biofilms could be unintentionally released to the filtered water and pose potential
326 risks to distribution waters.

327 PCoA showed that the duplicate biofilters were clustered together and separated from
328 other biofilter type (Figure 6b). This indicated that the filter substrate plays an important role
329 in shaping the bacterial community structure in biofilms. The Venn diagram shows that 1453
330 OTUs were shared between all biofilms (Figure 6c). Total OTUs per biofilter type was 3824,
331 3210, 3156, and 2377 in SB, GB, GSB and ASB, respectively. A higher number of 4901 OTUs
332 was obtained from the sand biofilms, according to Wan *et al.* (2019), which reflects the
333 differences in the indigenous bacterial community in the sand filter. Consistent with the present
334 study, previous research has also found greater numbers of OTUs in GAC filters than in
335 anthracite filters (Shirey *et al.*, 2012). In particular, 2390 OTUs were shared between the sand
336 biofilms (SB and GSB) and 1716 OTUs were shared between the carbon-based substrates
337 (GB and ASB). The number of the unshared OTUs were the highest (662 OTUs) in SB and
338 the lowest (187 OTUs) in ASB, respectively, revealing that more unique bacteria were
339 identified in SB.

340 **3.3 Links between ARGs, integrons and the bacterial community**

341 The first two axes in RDA (Figure 7a) showed that 90.6% of the variance in ARGs
342 could be explained by the selected variables. Most chosen ARGs correlated with
343 *Acidobacteria* and *Nitrospirae*, which accounted for 5.2% and 2.0% of the bacterial phyla in
344 biofilm communities, respectively. In addition to the bacterial community, RDA analysis further
345 confirmed that integron genes contributed to the variation of most ARGs in surface layer
346 biofilms. It should be noted that GAC media were more closely related to *intl 1* than other
347 biofilters, suggesting a greater extent of integron-mediated ARG exchange in GB. The superior
348 adsorption capacity and higher surface area of GAC may lead to an accumulation of antibiotics
349 within the biofilm and consequently exert a selective potential. Pearson correlations between
350 the relative abundance of ARGs and major bacterial phyla are summarised in Table S5.

351 Results from RDA are generally not comparable with other studies as the experimental
352 conditions were different. For instance, Huerta *et al.* (2013) found that *bla*_{TEM} was associated
353 with *Actinobacteria* in water samples collected from man-made reservoirs, which is consistent

354 with the observations in this study. However, in their study, *ermB* was found to be associated
355 with *Firmicutes* in water and with *Actinobacteria* in sediment samples, while this gene was
356 correlated to *Proteobacteria* in the present study. To differentiate the effects of the bacterial
357 community and integrons on the change of ARG composition, VPA showed that a total of 77.7%
358 of the variance in ARGs could be explained by selected variables in the biofilm samples
359 (Figure 7b). The bacterial community explained the largest variation (55.3%), which is similar
360 to the contributions of 50.44% and 57.22% observed in previous drinking water-related
361 research (Jia *et al.*, 2015; Zheng *et al.*, 2018). The integron explained 7.9% of the variation of
362 ARGs, and the joint effect of bacterial community and integron contributed 14.5% on the ARG
363 variation. The low contribution of the integron indicated that other HGT vehicles (e.g.
364 transposons) may also contribute to the propagation of ARGs in the biofilms.

365 Previous research and the present study considered the mixture of surface filter media
366 and the upper slimy layer as the surface biofilm samples, however, they may represent
367 different levels of risk in ARG pollution. As the surface biofilm shapes the bacterial community
368 in the drinking water microbiome (Pinto *et al.*, 2012; Pompei *et al.*, 2017), it is important to
369 understand which part of the biofilm exerts a higher influence on ARG proliferation during
370 biofiltration. This could further provide an insight into biofilter management strategies and
371 appropriate ways for the disposal of used media. For instance, considering the persistence of
372 ARGs during biofiltration process, land application of biofilter waste products may act as an
373 environmental exposure route for trace levels of ARGs and introduce a reservoir for ARG
374 pollution in previously unexposed regions.

375 **3.4 Horizontal conjugative transfer occurred during biofiltration**

376 **3.4.1 Plasmid conjugative transfer in biofilms**

377 Figure 8 shows that the GAC media had the highest RP1 plasmid transfer frequency
378 (2.60×10^{-5} on average), followed by anthracite (5.31×10^{-6} on average) and sand (2.47×10^{-6}
379 on average). The transfer frequency in the sand biofilm increased steadily from 4.41×10^{-8}
380 on day 1 to 6.04×10^{-6} on day 5, then plateaued between 10^{-6} to 10^{-5} after a week, indicating

381 the bacterial attachment and detachment remained dynamically balanced in the sand biofilm.
382 The high surface area and unique pore structure of GAC may induce bacterial collision and
383 attachment, contributing to more frequent conjugation. Anthracite biofilm showed a fluctuating
384 but relatively stable transfer frequency compared to sand and GAC biofilm. Plasmid
385 conjugative transfer occurred at frequencies up to 3.69×10^{-1} in a biofilm reactor (Ehlers and
386 Bouwer, 1999), which is much higher than the levels observed in the present study.

387 Transfer frequencies reduced significantly ($p < 0.01$) in all of the media samples after
388 backwashing was conducted on day 14. The conjugative transfer frequency in biofilms was
389 1.47×10^{-5} and 1.15×10^{-5} (on average) in Set A and B, respectively, with no statistical
390 difference ($p > 0.05$). It is possible that the antibiotic spike concentration ($2 \mu\text{g/L}$) was not
391 sufficient to exhibit a selective pressure on bacterial strains to induce the horizontal
392 conjugative transfer in biofilms. Lundström *et al.* (2016) reported that $1 \mu\text{g/L}$ tetracycline is
393 sufficient to select for the *tetA* gene in freshwater biofilms but not for phenotypic resistance,
394 which was observed at a higher level of $10 \mu\text{g/L}$. The minimal selective concentration for
395 resistant bacteria was predicted at $2 \mu\text{g/L}$ for amoxicillin and clarithromycin; $4 \mu\text{g/L}$ for
396 oxytetracycline; $8 \mu\text{g/L}$ for trimethoprim; and $125 \mu\text{g/L}$ for sulfamethoxazole (Bengtsson-Palme
397 and Larsson, 2016). The concentration of $2 \mu\text{g/L}$ used in this study was likely to be too low for
398 the selection of phenotypically resistant recipients. However, under real drinking water
399 treatment conditions, microbes are generally exposed not only to trace levels of antibiotics,
400 but also to other micropollutant residues such as heavy metals and disinfection by-products,
401 which could co-select for mobile genetic elements carrying multiple resistant genes,
402 contributing to the spread of resistance in both biofilm and water samples (Baker-Austin *et al.*,
403 2006; Li *et al.*, 2016; Lv *et al.*, 2014).

404 **3.4.2 Plasmid conjugative transfer in aqueous samples**

405 The average removal of *E. coli* strains was 55.1% by the biofilters during the two-
406 week's operation (Figure S11). The numbers of *E. coli* cells in the filtered water were positively
407 correlated to the numbers in the influent ($R^2 > 0.89$, $P < 0.01$) and independent to the numbers

408 found in the corresponding media samples, suggesting that the donor and recipient behaved
409 differently in stationary phase (media surface) and planktonic phase (influent and effluent),
410 which could consequently affect the conjugative transfer. The transfer frequency of the RP1
411 plasmid in the influent and effluent samples, with an average transfer frequency of 3.17×10^{-6}
412 ⁶ in the influents and 1.86×10^{-5} in the effluents during days 1 to 13 (see Table S6 for full
413 details). These levels were similar to those described by Qiu *et al.* (2012), where the natural
414 conjugative transfer frequency of RP4 (a plasmid similar to RP1) ranged from 0.15×10^{-6} to
415 2.0×10^{-6} in water after an 8-h mating time. Apart from the spontaneous occurrence of
416 conjugation, the enhanced transfer rate in the filtered water was probably due to the 'escape'
417 of transconjugants from the media surface. Interestingly, on day 1, transconjugants were
418 absent in GAC media while present in one of the GAC filtered water samples, and an opposite
419 trend was found in the sand biofilters. This further confirmed that the mechanisms underlying
420 the conjugative transfers in media surface and influent/effluent were different. Backwashing
421 increased the likelihood of escape of transconjugants, evidenced by the detection frequency
422 of transconjugants increased from 0-33.3% (day 1-13) to 66.7% (day 14) in the effluents.

423 **3.4.3 Mechanisms underlying the effect of biofiltration on plasmid conjugative transfer**

424 The donor and recipient have the ability to attach, form and integrate into a biofilm on
425 the media surface, providing a stationary phase (biofilm) in a continuous feed (planktonic
426 phase) (Lundström *et al.*, 2016). Based on the findings of this study, we inferred that RP1
427 transfer might occur in three different contexts (Figure 9):

- 428 1) stationary phase, where transfer takes place either on the surface or inside the media
429 (e.g. GAC micropores). In the biofilm, the close contact of donor and recipient numbers
430 prompted plasmid transfer;
- 431 2) stationary-planktonic phase, where the transfer occurs between the bacteria retained
432 in the biofilm and the bacteria in the feed water flowing through the media. A lower
433 hydraulic loading rate was used throughout this study, allowing the microbes in the

434 feedwater to have the opportunity to interact with microbes in the biofilm, resulting in
435 conjugative transfer of RP1;

436 3) planktonic phase, where the transfer might occur in the feed (before filtration), during
437 filtration, or in the effluent (after filtration). The frequency of transfer in the planktonic
438 phase is expected to be much lower than that in other two forms.

439 For the occurrence of conjugative transfer in liquid environments, donors and
440 recipients must make physical contact, attach, and then conjugate before detachment occurs
441 (Zhong *et al.*, 2010). Theoretically, it is not possible to distinguish between the three contexts.
442 Bacteria can be mobilised between the biofilm and water (e.g. biofilm detachment) during
443 biofiltration. In this study, we assumed that the occurrence of plasmid conjugative transfer in
444 stationary phase mainly affected the results obtained from media samples and partially
445 affected the results obtained for the effluents. To rule out spontaneous mutation of recipient
446 strains during biofiltration and confirm the transfer of RP1 plasmid, one to three suspected
447 transconjugants were randomly chosen and tested by PCR (Figure S12). All of the
448 transconjugants were confirmed to have *bla*_{TEM} and *tetA*. The result indicated that all colonies
449 had acquired RP1 plasmids and no spontaneous mutation of recipient strains was observed.

450 Despite the concentration of donor and recipient cells being the same in the inoculum,
451 higher numbers of recipients were consistently found in media samples. This indicated that
452 the biofilm-forming ability of the recipient is stronger than that of the donor strain, which is key
453 to conjugative transfer. Zheng *et al.* (2018) confirmed that conjugative transfer between the
454 same bacterial genera in a GAC biofilter is regulated by quorum sensing, a communication
455 system used by bacteria which can control the degree of biofilm formation and determines the
456 behaviour of biofilm communities (Parsek and Greenberg, 2005). In the biofiltration process,
457 when the donor and recipient accumulate on the media surface, they could emit and sense
458 chemical signals which favour the plasmid transfer between *E. coli* strains (Zheng *et al.*, 2018).
459 In addition to the biofilm developed on GAC surface, the unique pore structures could capture
460 more bacterial cells and facilitate cell-to-cell contact, contributing to the high transfer frequency
461 seen in GAC media.

462 **4 Conclusions**

463 ➤ Biofiltration leads to an increase in the relative abundance of ARGs and integrons in
464 the filtered water.

465 ➤ The proportion of ARG-carrying bacteria was greater in the deeper layers of biofilms
466 compared to surface layer biofilms, and biofilms represented higher risk of ARG
467 pollution than in the aqueous samples.

468 ➤ The ARGs investigated were correlated to *Proteobacteria*, *Acidobacteria* and
469 *Nitrospirae*. The bacterial community explained the largest variation (55.3%) of ARGs
470 in the surface layer biofilms.

471 ➤ Compared to sand and anthracite, GAC media facilitated horizontal transfer of ARGs
472 in biofilms.

473 Overall, this study provides an insight into biofilter management strategies and
474 appropriate ways for the disposal of used media. Furthermore, the results could be used in
475 assessing ARG-related risks in drinking water treatment and to provide useful references for
476 researchers.

477 **Declaration of Competing Interests**

478 No conflict of interest declared.

479 **Acknowledgement**

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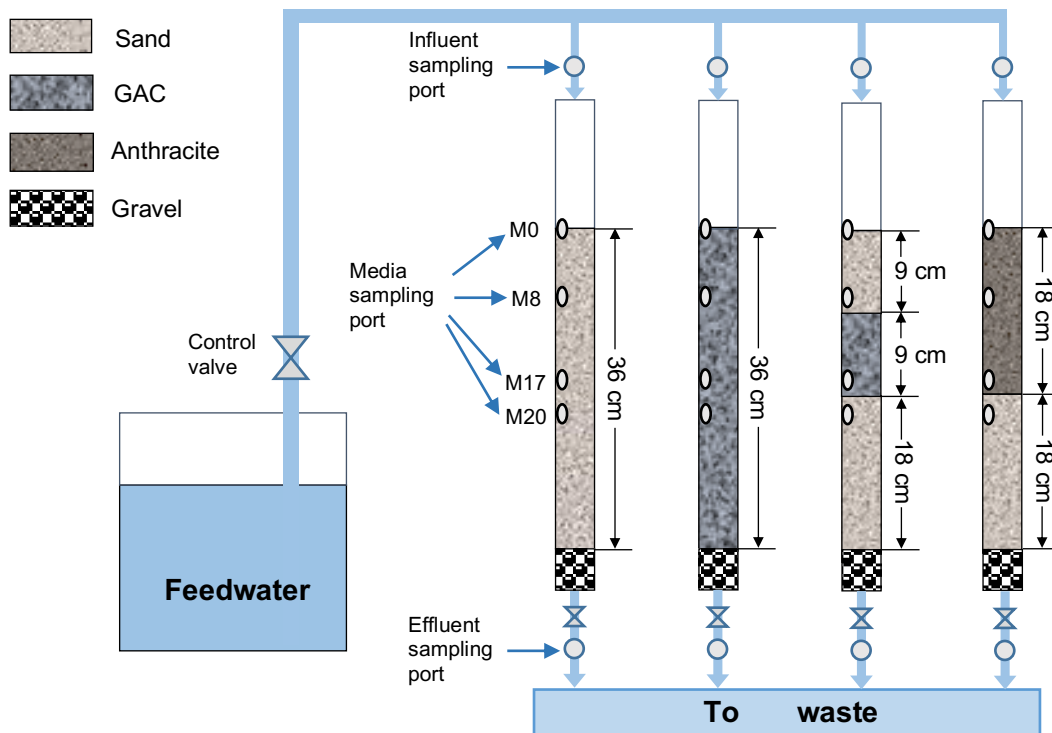


Figure 1 Schematic of biofilter composition. Sampling ports are denoted by. M0, M8, M17 and M20 refer to media (biofilm) samples collected at 0, 8 cm, 17 cm and 20 cm along the column. SB: sand biofilter; GB: GAC biofilter; GSB: GAC sandwich biofilter; ASB: anthracite-sand biofilter.

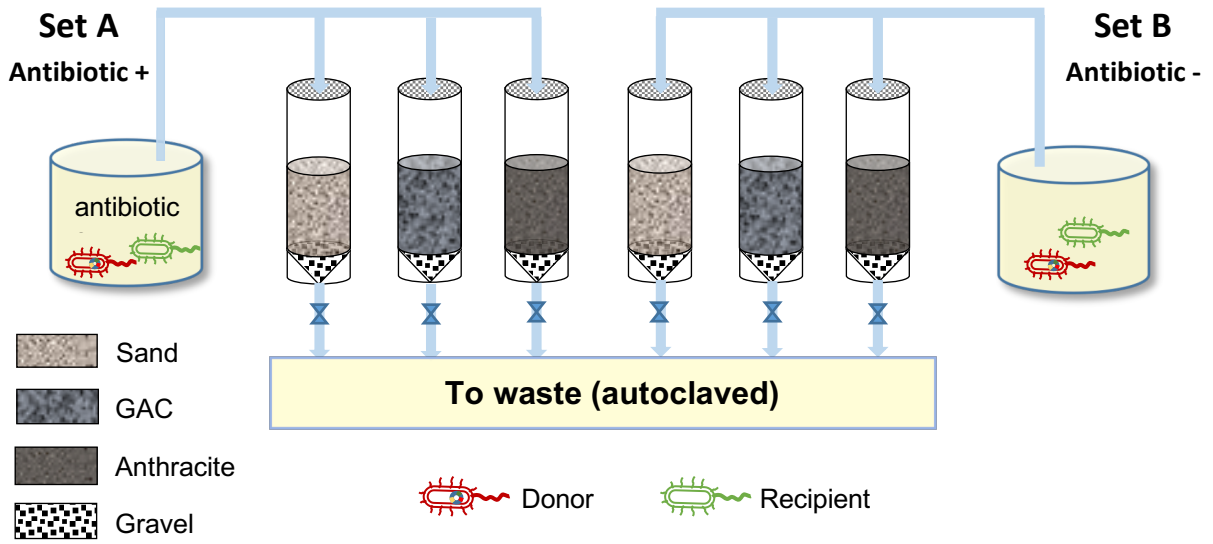


Figure 2 Bench-scale biofilters schematic.

Set A: biofilters exposed to antibiotics at 2 $\mu\text{g/L}$; Set B: biofilters without antibiotics addition.

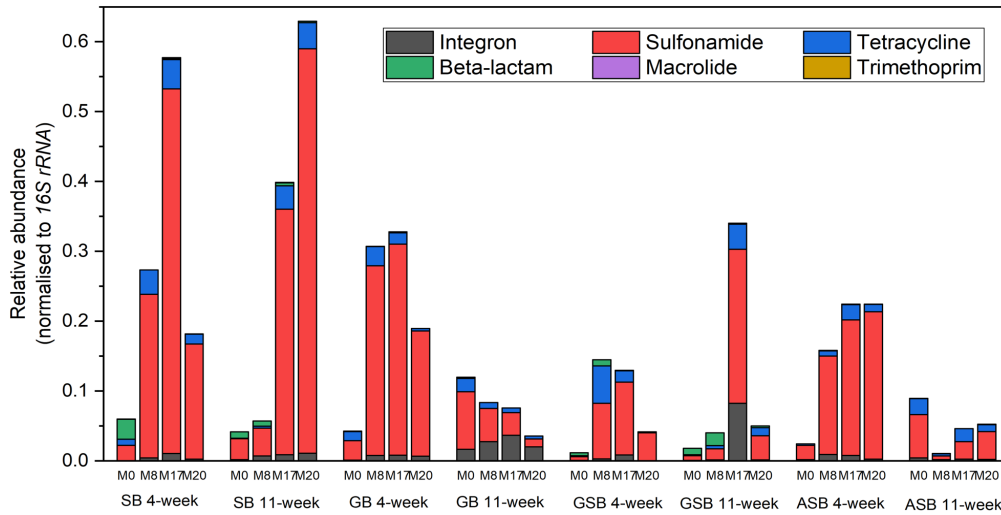


Figure 3 Relative abundance of ARG categories and integron at each sampling site of biofilter. Sample M0, M8, M17 and M20 refer to biofilm collected at different sampling sites (0, 8, 17, and 20 cm). SB: sand biofilter; GB: GAC biofilter; GSB: GAC sandwich biofilter; ASB: anthracite-sand biofilter.

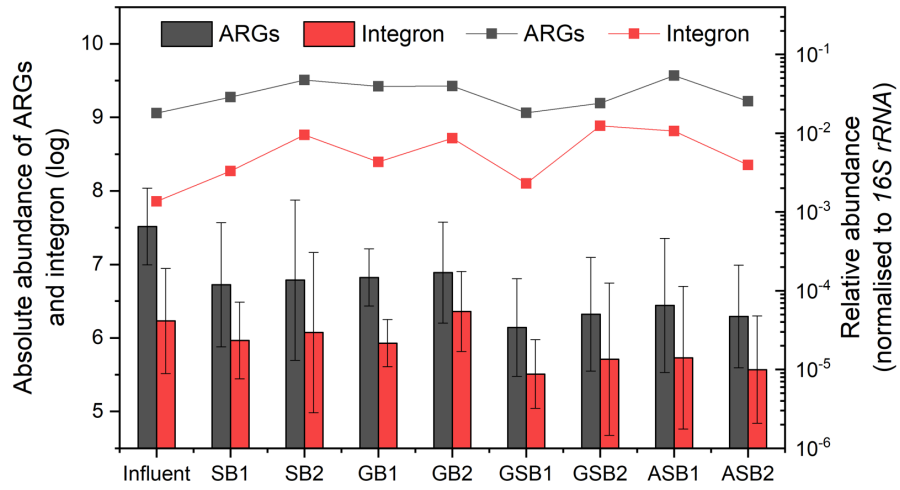


Figure 4 Absolute abundance (bar chart, left Y-axis) and relative abundance (line and symbol, right Y-axis) of ARGs and integrons in the influent and effluent samples. The error bars represent one standard deviation from the mean value.

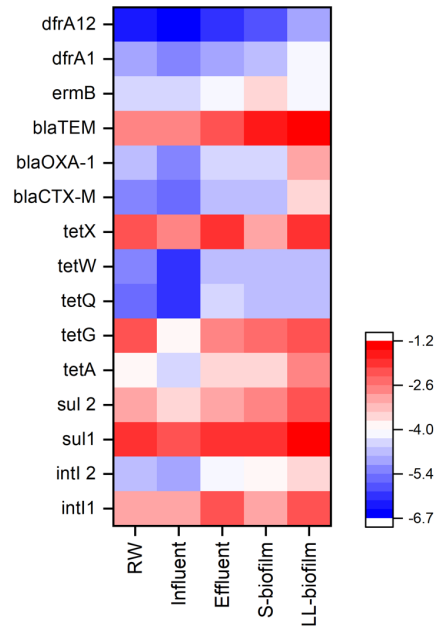


Figure 5 A heatmap showing the distinct patterns of the relative abundance (normalised to 16S *rRNA*) of ARGs in raw water (RW), influent, effluent, S-biofilm (surface layer biofilm), and LL-biofilm (lower layer biofilm) samples.

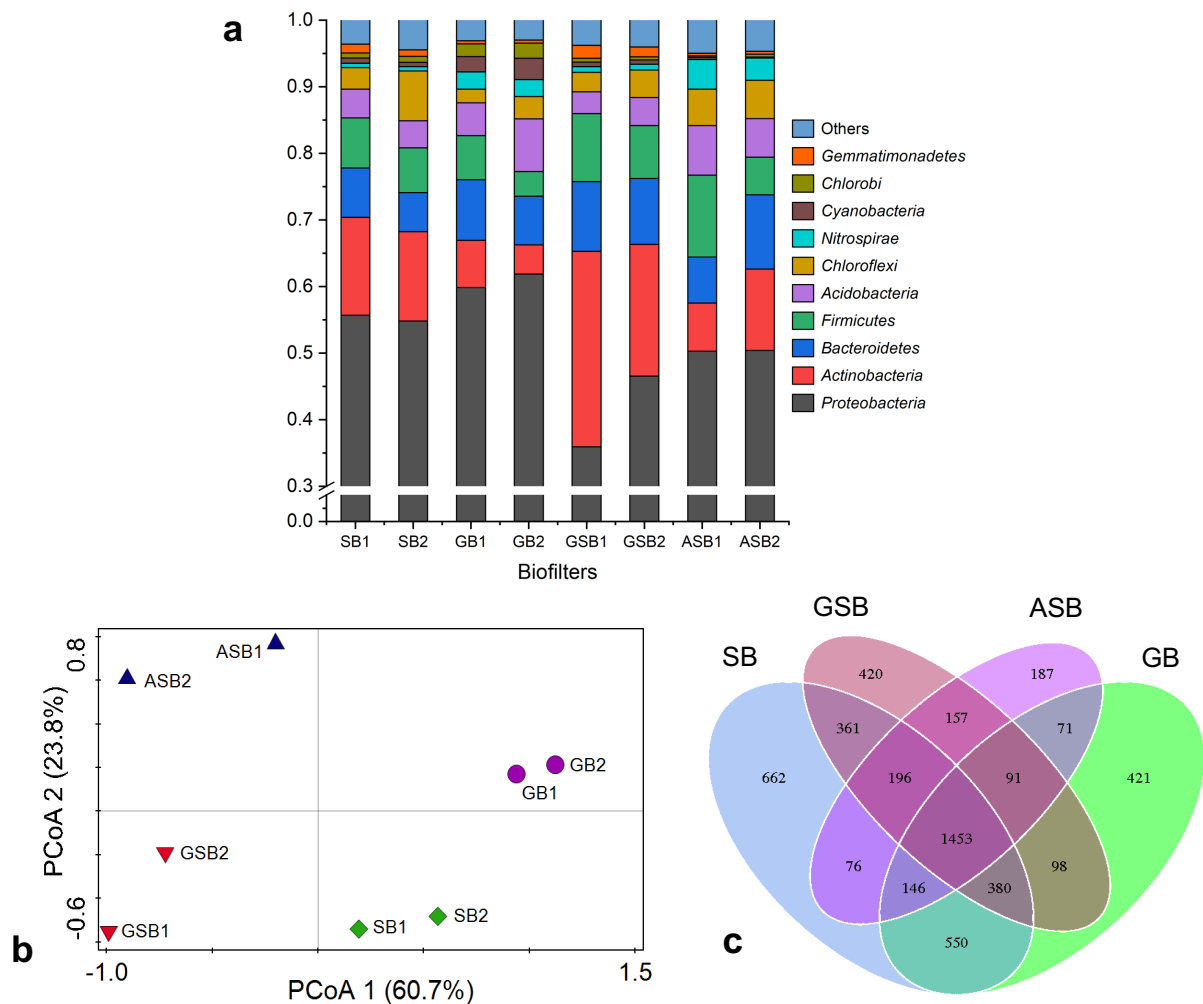


Figure 6 (a) Structure of surface layer biofilm microbial community at phylum level. (b) Principal coordinate analysis (PCoA) based on the Bray-Curtis distance showing the overall distribution of bacterial species in surface layer biofilms; (c) Venn diagram showing the number of OTUs that are unique and shared between surface layer biofilms. SB: sand biofilter, GB: GAC biofilter; GSB: GAC sandwich biofilter; and ASB: anthracite-sand biofilter.

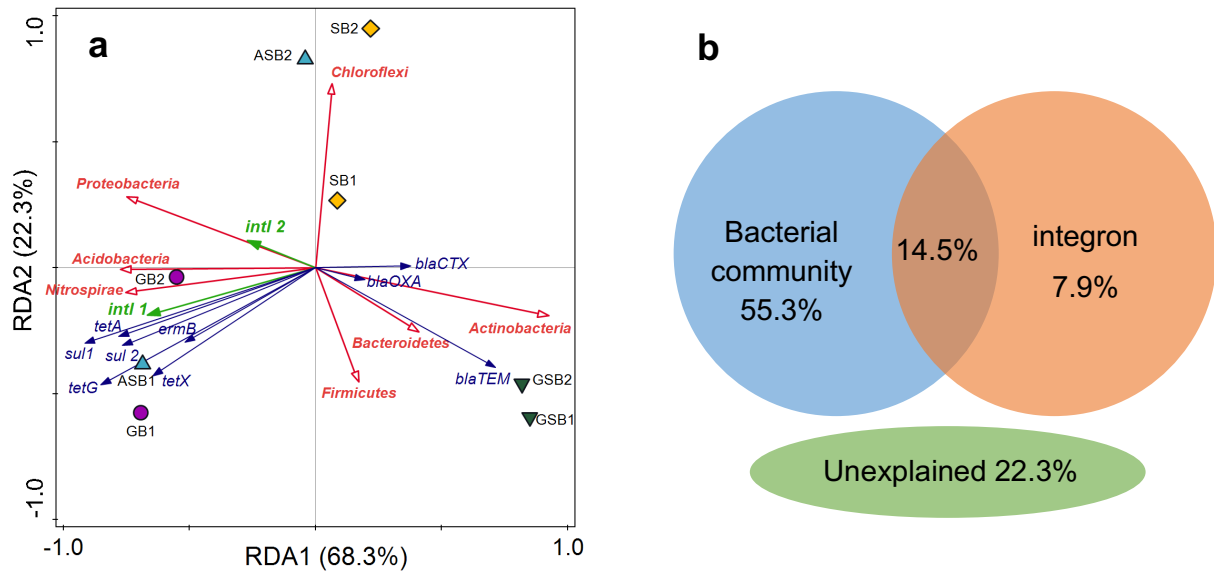


Figure 7 (a) Redundancy analysis (RDA) of the correlation between major phyla (1% in any samples) and target ARGs and integron genes in surface layer biofilm samples. The lengths of the arrows reveal the strength of the relationship and the angles between arrows indicate the correlation between specific genes and major phyla. (b) Variation partitioning analysis (VPA) differentiating effects of microbial community and integron on the ARGs variation in surface layer biofilm samples. *TetQ*, *tetW*, *dfrA1* and *dfrA12* were excluded from the analysis due to low detection frequency.

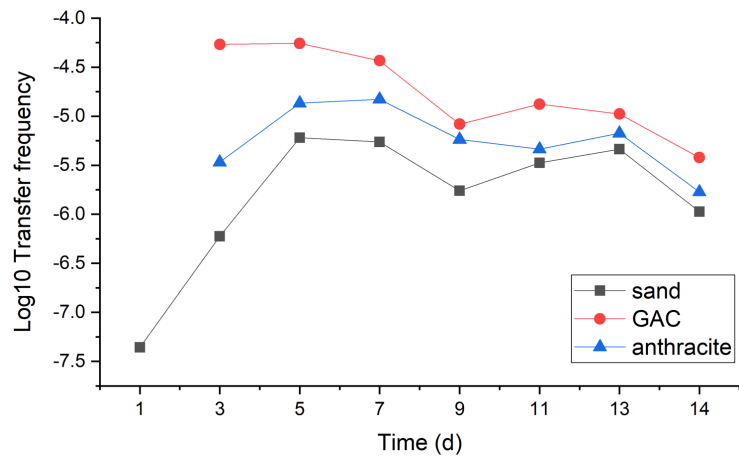


Figure 8 Dynamic changes of RP1 plasmid transfer frequency in media samples over time.

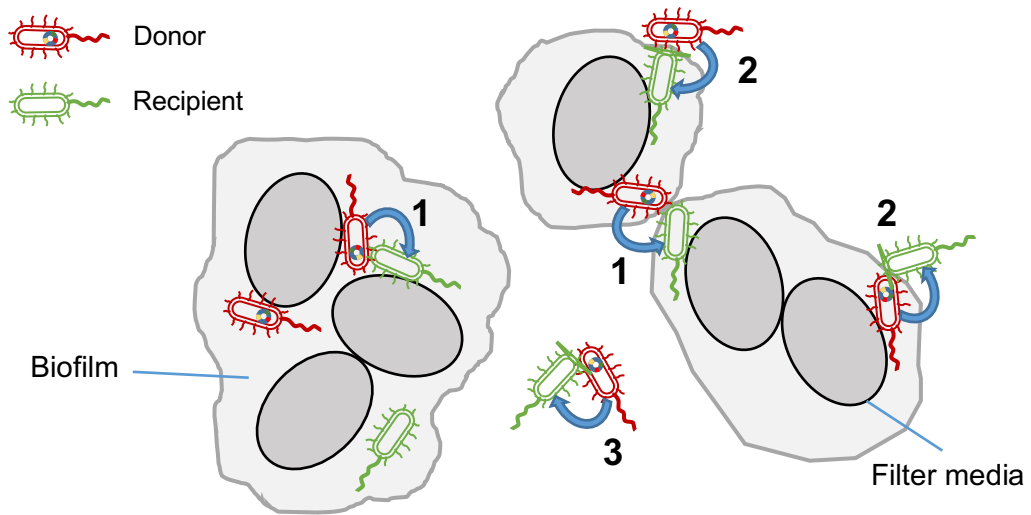


Figure 9 Conjugative transfer of RP1 plasmid within stationary phase (1); between stationary and planktonic phase (2); and in planktonic phase (3).

1

Supplementary Material

2

Drinking water biofiltration: behaviour of ARGs and the association

3

with bacterial community

4

Like Xu, Luiza C. Campos, Melisa Canales, Lena Ciric *

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Department of Civil, Environmental & Geomatic Engineering, University College London,

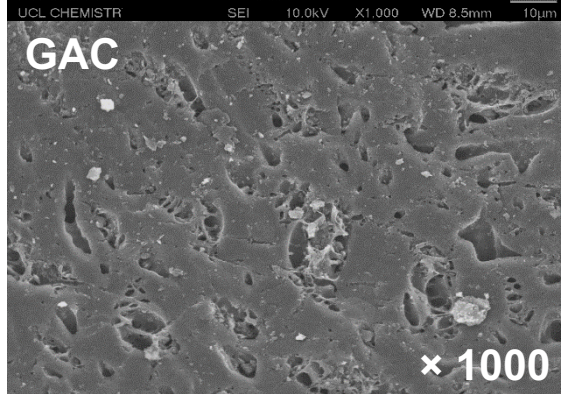
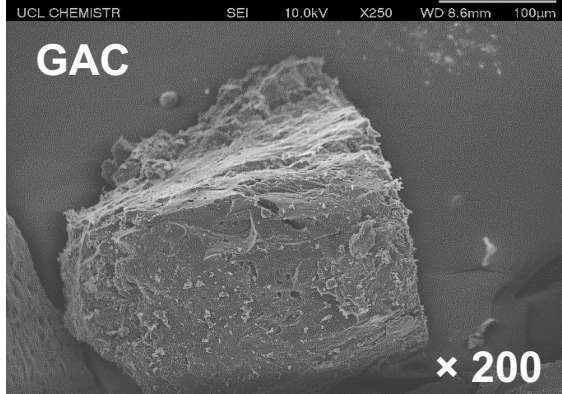
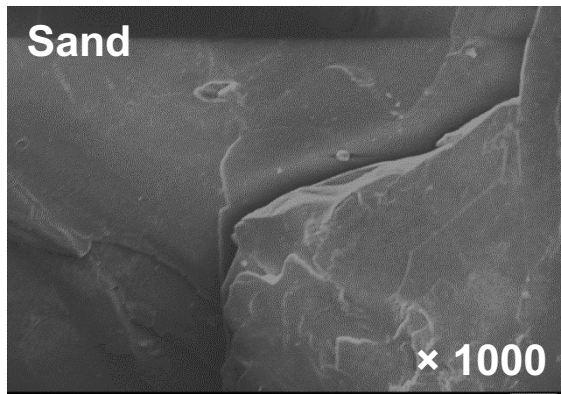
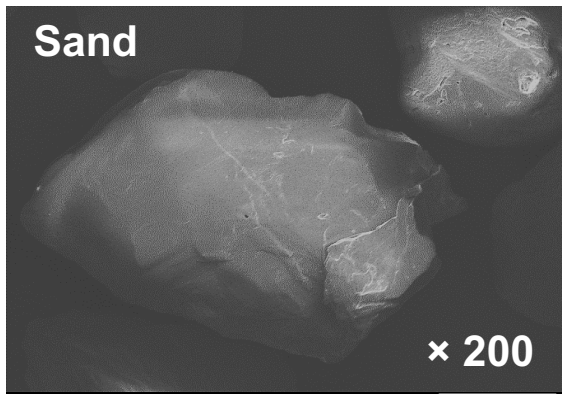
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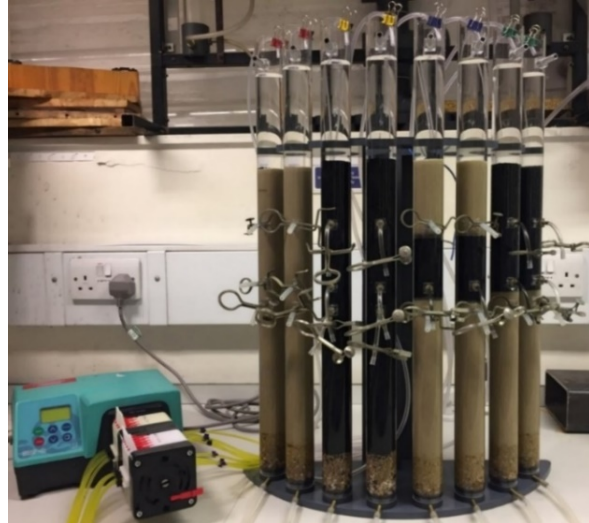
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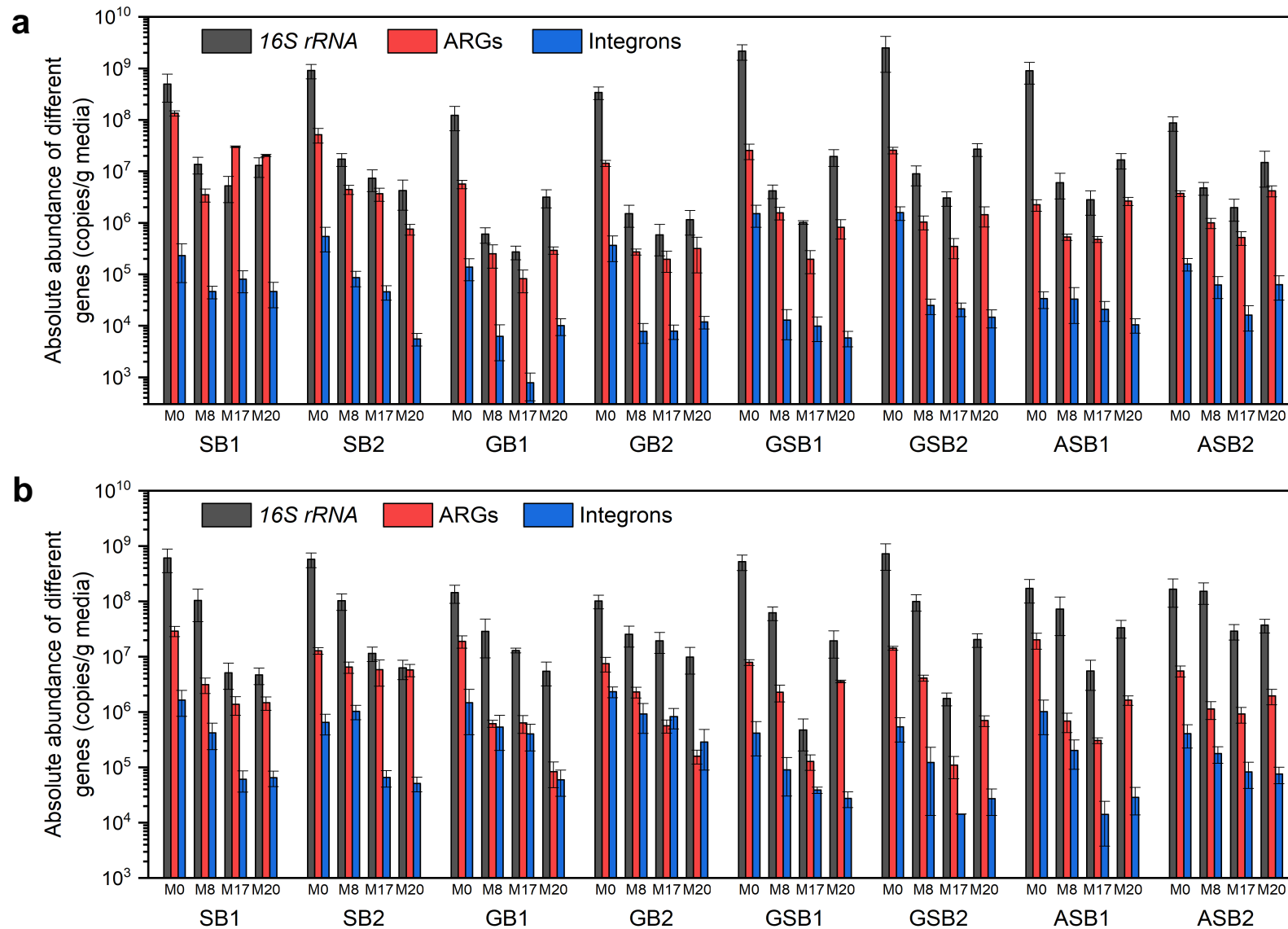
Figure S1 SEM (Scanning electron microscope) picture of the surface structure of sand, GAC and anthracite .



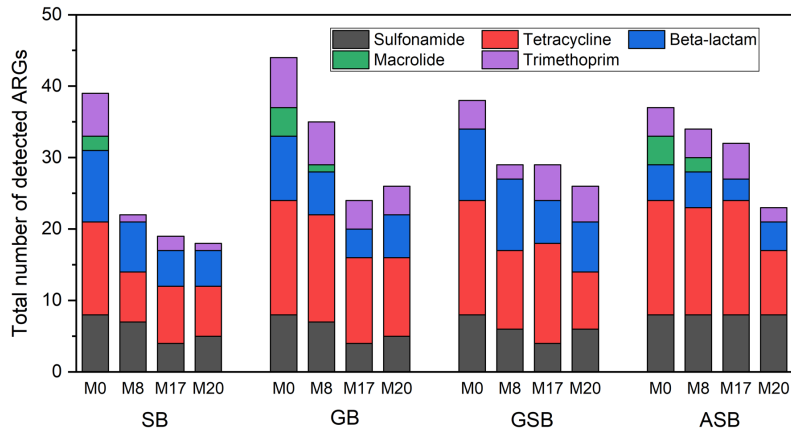
11 **Figure S2** Schematic of biofilter column set-up. Sand, GAC, GAC sandwich, and anthracite-sand dual
12 biofilters were set-up in duplicate (from left to right).
13

14 **Determination of RP1 plasmid genotype**

15 Based on the antibiotic resistance phenotype of the RP1 plasmid, two pairs of ARG
16 primers, *bla*_{TEM} and *tetA* were used to determine the antibiotic resistance genotype of the RP1
17 plasmid. Transconjugants were randomly selected from the plate and subjected to colony PCR
18 to confirm the presence of the transferred plasmid. All the colony PCR assays were carried
19 out using MutiGene Mini Thermal Cycler (Labnet International, UK). The reaction mixture
20 consisted of 12.5 μ L BioMixTM Red (BIOLINE, UK), 1 μ L of each primer (10 μ M), and 10.5 μ L
21 of PCR grade water. Individual colonies were picked using a sterile toothpick and dipped into
22 each PCR reaction tube. Cycling conditions were as follows: 95 °C for 3 min, followed by 35
23 cycles at 95 °C for 15 s, 57 °C for 30 s, 75 °C for 30 s, and a final extension step at 72 °C for
24 7 min. Plasmid DNA carrying *bla*_{CTX-M}, *bla*_{OXA-1}, *bla*_{TEM} and *tetA* were used as positive controls
25 and PCR grade water was used as the negative control in every run. Six μ L of the PCR
26 products were verified by 1.5 % agarose gel electrophoresis and then visualised with a
27 Alphamager Mini System (Protein Simple, UK). All PCR products were sequenced by Source
28 Bioscience (London, UK) and the results were compared with existing sequences using
29 BLASTn alignment tool (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>).

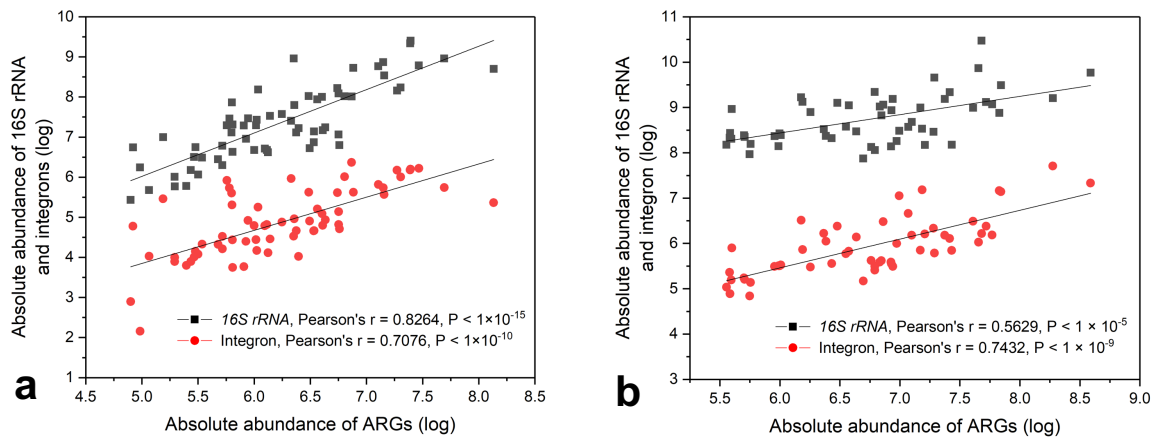


30 **Figure S3** Absolute abundance of *16S rRNA* gene, ARGs and integrons (copies/g) in media samples at (a) 4-weeks and (b) 11-weeks of system run.
 31 Samples M0, M8, M17 and M20 refer to media (biofilm) samples collected at different sampling sites (0, 8, 17, and 20 cm). SB: sand biofilter; GB: GAC
 32 biofilter; GSB: GAC sandwich biofilter; ASB: anthracite-sand dual biofilter.



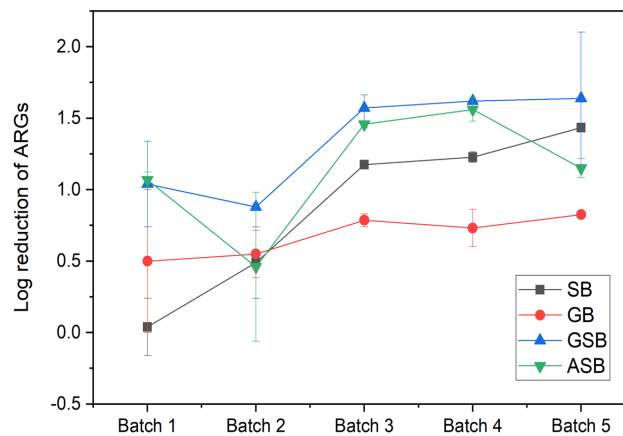
33 **Figure S4** Richness of detected ARGs. Samples M0, M8, M17 and M20 refer to media (biofilm)
 34 samples collected at different sampling sites (0, 8, 17, and 20 cm). SB: sand biofilter; GB: GAC
 35 biofilter; GSB: GAC sandwich biofilter; ASB: anthracite-sand dual biofilter.

36

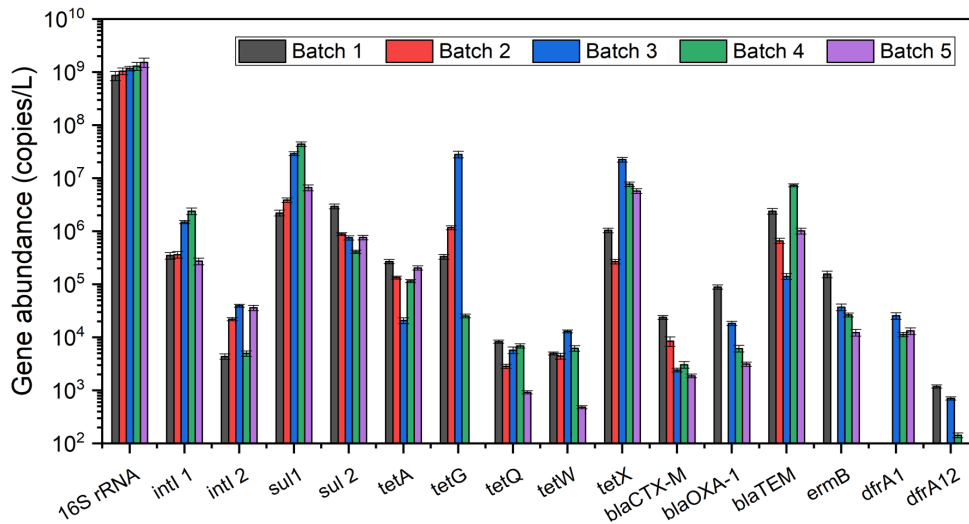


37 **Figure S5** The correlations between the absolute abundance (log transformed) of ARGs and the
 38 corresponding *16S rRNA* gene and integrons in (a) biofilm samples; (b) influent and effluent samples.

39



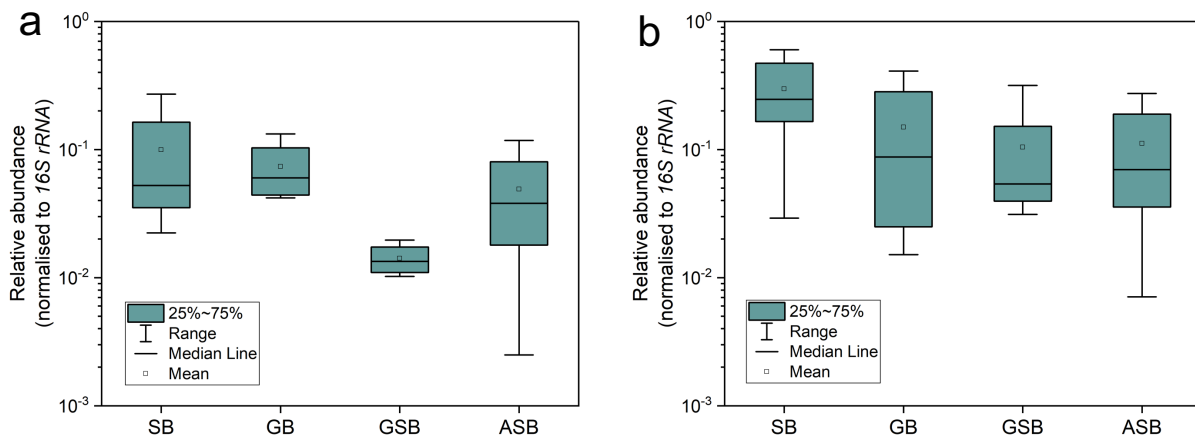
40 **Figure S6** The reduction of ARGs (log transformed) in all biofilters. Batch 1: the week after the
 41 addition of antibiotics; Batch 2-4: every two weeks after batch 1; Batch 5: after the backwashing/
 42 cleaning of the biofilters. SB: sand biofilter; GB: GAC biofilter; GSB: GAC sandwich biofilter; ASB:
 43 anthracite-sand biofilter.



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Figure S7 The concentration of the target genes in lake water samples.

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Figure S8 Relative abundance of ARGs (normalised to the *16S rRNA* gene) in (a) surface biofilms (M0); and (b) lower position biofilms (M8, M17 and M20) from different biofilters. SB: sand biofilter; GB: GAC biofilter; GSB: GAC sandwich biofilter; ASB: anthracite-sand dual biofilter.

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Table S1 The correlation of the relative abundance of ARGs between raw water, influent, effluent, surface biofilm and lower layer biofilm samples in all biofilters.

Sample type	Surface biofilm	Lower biofilm	layer	Raw water	Influent	Effluent
Surface biofilm	1					
Lower layer biofilm	0.895	1				
Raw water	0.524*	0.801		1		
Influent	0.614*	0.900		0.912	1	
Effluent	0.783	0.944		0.808	0.908	1

52

* P < 0.05; All other P values < 0.001.

Table S2 Correlation among ARGs in biofilm samples of each biofilter (n = 64) by Pearson correlation analysis.

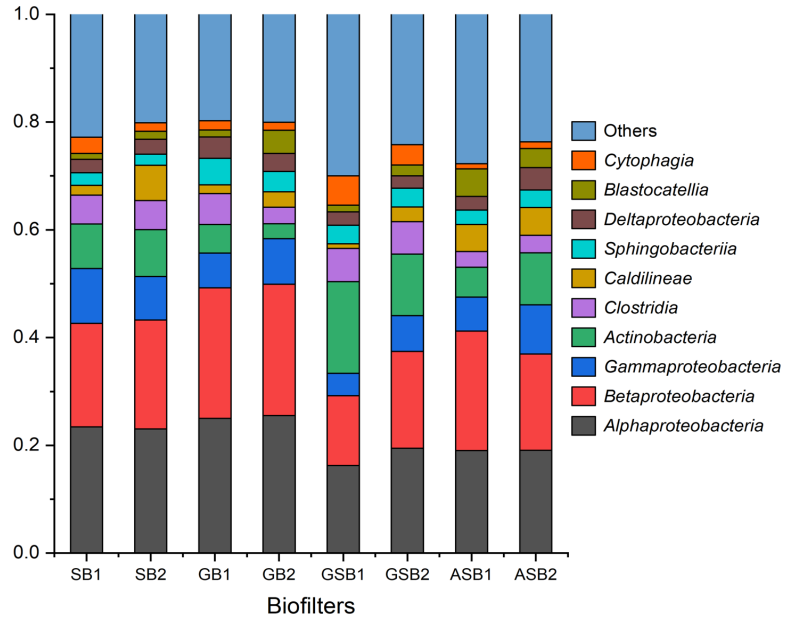
	<i>intl 1</i>	<i>intl 2</i>	<i>sul1</i>	<i>sul2</i>	<i>tetA</i>	<i>tetG</i>	<i>tetQ</i>	<i>tetW</i>	<i>tetX</i>	<i>bla_{CTX-M}</i>	<i>bla_{OXA-1}</i>	<i>bla_{TEM}</i>	<i>ermB</i>	<i>dfrA1</i>	<i>dfrA12</i>	Σint	Σsul	Σtet	Σbla	$\Sigma dfrA$	$\Sigma ARGs$	
<i>intl 1</i>	1.00																					
<i>intl 2</i>	0.45*	1.00																				
<i>sul1</i>	0.61**	0.73**	1.00																			
<i>sul2</i>	0.41**	0.69**	0.76**	1.00																		
<i>tetA</i>	0.47**	0.50**	0.64**	0.59**	1.00																	
<i>tetG</i>	0.41*	0.41	0.82**	0.72**	0.74**	1.00																
<i>tetQ</i>	0.17	0.22	0.30	0.53*	0.84**	0.75**	1.00															
<i>tetW</i>	0.22	0.23	0.56**	0.86**	0.84**	0.75**	0.89**	1.00														
<i>tetX</i>	-0.06	0.24	0.04	0.56**	0.49**	0.63**	0.43*	0.05	1.00													
<i>bla_{CTX-M}</i>	0.14	0.67**	0.35**	0.35*	0.45**	0.04	0.62**	0.96**	-0.04	1.00												
<i>bla_{OXA-1}</i>	0.03	0.88**	0.20	0.63**	0.41*	-0.06	0.88*	0.93*	0.08	0.19	1.00											
<i>bla_{TEM}</i>	-0.19	-0.04	0.12	0.44	0.79**	0.69**	0.99**	0.91**	0.43*	0.11	0.07	1.00										
<i>ermB</i>	-0.19	-0.02	0.03	0.51	0.83**	0.66*	1.00**	1.00**	0.93**	0.25	0.05	1.00**	1.00									
<i>dfrA1</i>	-0.07	-0.03	0.22	0.53*	0.87**	0.85**	1.00**	1.00**	0.06	0.25	0.13	1.00**	1.00**	1.00								
<i>dfrA12</i>	0.14	0.11	0.37*	0.47**	0.82**	0.66*	0.98**	0.93**	0.39*	0.23	0.28	0.96**	0.93**	0.99**	1.00							
Σint	0.99**	0.58**	0.66**	0.46**	0.50**	0.43*	0.18	0.22	-0.06	0.22	0.09	-0.18	-0.18	-0.06	0.15	1.00						
Σsul	0.60**	0.75**	1.00**	0.82**	0.65**	0.85**	0.35	0.63**	0.03	0.37**	0.19	0.18	0.10	0.27	0.40**	0.65**	1.00					
Σtet	0.44**	0.06	0.54**	0.34*	0.64**	1.00**	0.78**	0.63**	0.97**	0.07	0.00	0.68**	0.70**	0.85**	0.66**	0.43**	0.54**	1.00				
Σbla	0.06	0.07	0.25*	0.49**	0.70**	0.62**	0.99**	0.93**	0.01	0.25	0.05	1.00**	1.00**	0.99**	0.89**	0.07	0.29*	0.64**	1.00			
$\Sigma dfrA$	0.00	0.03	0.14	0.37*	0.63**	0.63**	0.98**	0.82**	0.11	0.19	0.03	0.97**	1.00**	1.00**	0.85**	0.01	0.18	0.65**	0.96**	1.00		
$\Sigma ARGs$	0.25*	0.25	0.52**	0.66**	0.81**	0.78**	0.96**	0.95**	0.23*	0.32*	0.09	0.97**	0.97**	0.97**	0.90**	0.27*	0.56**	0.75**	0.96**	0.89**	1.00	

54 Values indicate the Pearson correlation coefficient (r). The bold number means the significant level at the 0.05 level (2-tailed *) and 0.01 level (2-tailed **), otherwise means p > 0.05. Σint :
55 total concentration of integron genes (*intl 1* and *intl 2*); Σsul : total concentration of sulfonamide resistance genes (*sul1* and *sul2*); Σtet : total concentration of tetracycline resistance genes
56 (*tetA*, *tetG*, *tetQ*, *tetW*); Σbla : total concentration of β -lactams resistance genes; $\Sigma dfrA$: total concentration of resistance trimethoprim genes (*dfrA1* and *dfrA12*). $\Sigma ARGs$: total concentration
57 of all ARGs detected in this study.

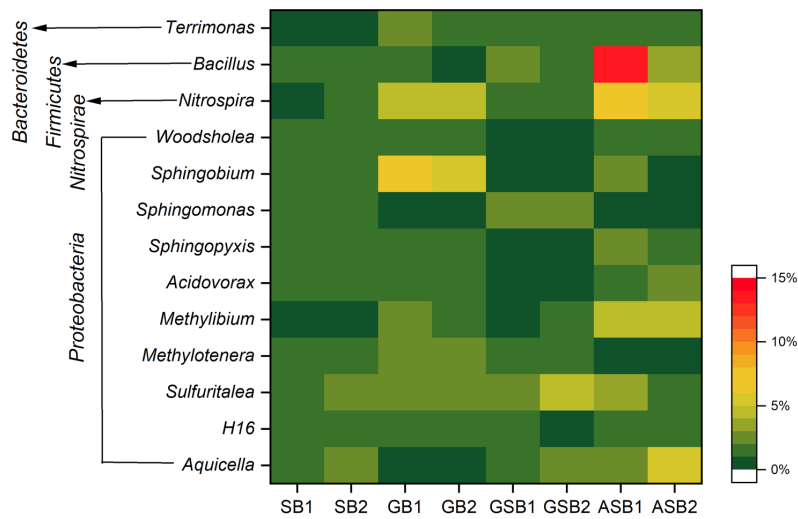
Table S3 Correlation among ARGs in aqueous samples of each biofilter (n = 54) by Pearson correlation analysis.

	<i>intl1</i>	<i>intl 2</i>	<i>sul1</i>	<i>sul 2</i>	<i>tetA</i>	<i>tetG</i>	<i>tetQ</i>	<i>tetW</i>	<i>tetX</i>	<i>bla_{CTX-M}</i>	<i>bla_{OXA-1}</i>	<i>bla_{TEM}</i>	<i>ermB</i>	<i>dfrA1</i>	<i>dfrA12</i>	Σint	Σsul	Σtet	Σbla	$\Sigma dfrA$	$\Sigma ARGs$	
<i>intl1</i>	1.00																					
<i>intl 2</i>	0.80**	1.00																				
<i>sul1</i>	0.50**	0.39	1.00																			
<i>sul 2</i>	0.29*	0.25	0.28	1.00																		
<i>tetA</i>	0.89**	0.77**	0.53**	0.28	1.00																	
<i>tetG</i>	0.22	0.20	0.17	0.10	0.20	1.00																
<i>tetQ</i>	0.86**	0.77**	0.21	0.19	0.93**	0.19	1.00															
<i>tetW</i>	0.85**	0.77**	0.26	0.18	0.93**	0.22	1.00**	1.00														
<i>tetX</i>	-0.08	0.35*	-0.03	-0.03	-0.05	0.19	0.01	0.01	1.00													
<i>bla_{CTX-M}</i>	0.91**	0.83**	0.52**	0.31*	0.98**	0.20	0.94**	0.93**	-0.03	1.00												
<i>bla_{OXA-1}</i>	0.24	0.15	0.23	0.51**	0.06	0.08	-0.01	-0.04	-0.01	0.19	1.00											
<i>bla_{TEM}</i>	0.91**	0.87**	0.47**	0.38**	0.93**	0.21	0.91**	0.90**	-0.01	0.97**	0.28	1.00										
<i>ermB</i>	0.57**	0.49	0.51*	0.81**	0.39	0.13	0.35	0.35	-0.21	0.47*	0.74**	0.60**	1.00									
<i>dfrA1</i>	0.19	0.55*	0.43**	0.36*	0.19	0.23	0.09	0.13	0.31*	0.26	0.50**	0.31*	0.30	1.00								
<i>dfrA12</i>	0.94**	0.79**	0.53**	0.34*	0.99**	0.24	0.94**	0.94**	-0.01	0.99**	0.11	0.97**	0.72**	0.19	1.00							
Σint	1.00**	0.80**	0.50**	0.29*	0.89**	0.22	0.86**	0.85**	-0.08	0.91**	0.24	0.91**	0.57**	0.19	0.94**	1.00						
Σsul	0.50**	0.39	1.00**	0.30*	0.53**	0.17	0.21	0.26	-0.03	0.52**	0.24	0.48**	0.55**	0.43**	0.53**	0.50**	1.00					
Σtet	0.35*	0.31	0.24	0.16	0.33*	0.99**	0.32*	0.35*	0.94**	0.33*	0.11	0.33*	0.19	0.26	0.38**	0.35**	0.24	1.00				
Σbla	0.91**	0.87**	0.47**	0.39**	0.93**	0.21	0.91**	0.90**	0.00	0.97**	0.29*	1.00**	0.61**	0.30*	0.97**	0.91**	0.48**	0.34*	1.00			
$\Sigma dfrA$	0.26	0.70**	0.46**	0.21	0.35*	0.24	0.28	0.33*	0.32*	0.40**	0.13	0.43**	-0.06	0.98**	0.36*	0.26	0.46**	0.29*	0.43**	1.00		
$\Sigma ARGs$	0.75**	0.64**	0.94**	0.37**	0.76**	0.27	0.52**	0.55**	0.14	0.77**	0.29*	0.74**	0.62**	0.43**	0.77**	0.75**	0.94**	0.37**	0.74**	0.50**	1.00	

59 Values indicate the Pearson correlation coefficient (r). The bold number means the significant level at the 0.05 level (2-tailed *) and 0.01 level (2-tailed **), otherwise means p > 0.05. Σint :
60 total concentration of integron genes (*intl 1* and *intl 2*); Σsul : total concentration of sulfonamide resistance genes (*sul1* and *sul2*); Σtet : total concentration of tetracycline resistance genes
61 (*tetA*, *tetG*, *tetQ*, *tetW*); Σbla : total concentration of β -lactams resistance genes; $\Sigma dfrA$: total concentration of resistance trimethoprim genes (*dfrA1* and *dfrA12*). $\Sigma ARGs$: total concentration
62 of all ARGs detected in this study.



63 **Figure S9** Structure of bacterial community (> 1% in any samples) at class level. SB: sand biofilter;
 64 GB: GAC biofilter; GSB: GAC sandwich biofilter; ASB: anthracite-sand dual biofilter.
 65



66 **Figure S10** Relative abundance of each taxonomic genus (>1 % in any sample) in the surface biofilm
 67 samples of sand biofilter (SB), GB (GAC biofilter), GSB (GAC sandwich biofilter), and ASB
 68 (anthracite-sand biofilter) biofilm samples. The colour intensity in each panel shows the percentage of
 69 each genus in one sample.

70 **Table S4** The percentage relative abundance of genera associated with opportunistic human
 71 pathogens.

Genera	SB1	SB2	GB1	GB2	GSB1	GSB2	ASB1	ASB2
<i>Acinetobacter</i>	1.280	0.258	0.033	0.035	0.161	0.154	0.066	0.069
<i>Aeromonas</i>	0.544	0.101	0.017	0.020	0.016	0.020	0.032	0.004
<i>Bacillus</i>	1.293	0.989	0.649	0.499	1.893	1.145	8.589	2.060
<i>Clostridium</i>	0.140	0.056	0.015	0.016	0.183	0.017	0.008	0.011
<i>Escherichia</i>	0.023	0.078	0.004	0.018	0.018	0.372	0.001	0.039
<i>Legionella</i>	1.009	0.795	0.369	0.311	0.081	0.134	0.239	0.252
<i>Mycobacterium</i>	0.255	0.301	0.477	0.554	0.199	0.358	0.845	1.288
<i>Pseudomonas</i>	0.079	0.075	0.095	0.076	0.409	0.547	2.003	1.138
<i>Streptococcus</i>	0.003	0.013	0.003	0.006	0.018	0.045	0.079	0.013

72 SB: sand biofilter; GB: GAC biofilter; GSB: GAC sandwich biofilter; ASB: anthracite-sand dual biofilter.

73

74 **Table S5** Correlation between ARGs and major bacterial phyla in biofilm samples (n = 8) by Pearson
 75 correlation analysis.

ARGs	<i>Proteobacteria</i>	<i>Firmicutes</i>	<i>Actinobacteria</i>	<i>Acidobacteria</i>	<i>Bacteroidetes</i>	<i>Chloroflexi</i>	<i>Nitrospirae</i>
<i>intl1</i>	0.65*	-0.52	-0.68*	0.75**	-0.27	-0.36	0.40
<i>intl2</i>	0.63*	-0.41	-0.31	0.03	-0.48	-0.40	-0.34
<i>sul1</i>	0.55	0.15	-0.76**	0.60*	-0.31	-0.21	0.74**
<i>sul2</i>	0.75**	-0.39	-0.70*	0.42	-0.24	-0.52	0.33
<i>tetA</i>	0.35	0.44	-0.65*	0.63*	-0.50	0.04	0.72**
<i>tetG</i>	0.43	0.17	-0.70*	0.68*	-0.22	-0.25	0.78**
<i>tetX</i>	0.54	-0.32	-0.54	0.33	0.01	-0.55	0.36
<i>bla_{CTX-M}</i>	-0.09	0.12	0.36	-0.45	-0.09	-0.29	-0.59
<i>bla_{OXA-1}</i>	0.07	0.04	0.21	-0.42	-0.07	-0.42	-0.51
<i>bla_{TEM}</i>	-0.46	0.21	0.69*	-0.60	0.31	-0.47	-0.68*
<i>ermB</i>	0.41	-0.14	-0.38	-0.01	0.17	-0.46	0.28
<i>dfrA12</i>	0.01	0.57	-0.43	0.60	-0.24	0.34	0.82**

76 Values indicate the Pearson correlation coefficient (r). The bold number means the significant level at
 77 the 0.05 level (2-tailed *) and 0.01 level (2-tailed **), otherwise means P > 0.05. *TetQ*, *tetW* and *dfrA1*
 78 were excluded from the analysis due to low detection frequency.

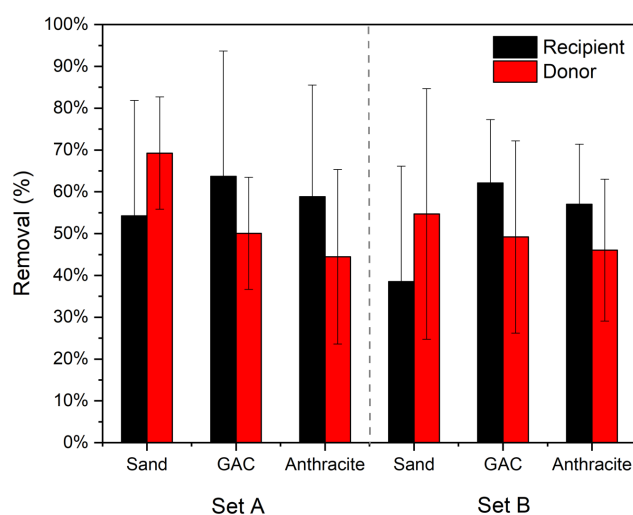


Figure S11 The removal of donor and recipient by biofilters. Set A: biofilters exposed to 2 µg/L of antibiotic mixture: Set B: biofilters without antibiotics exposure. The error bars represent STD from the mean value of all batch samples (n = 8).

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80

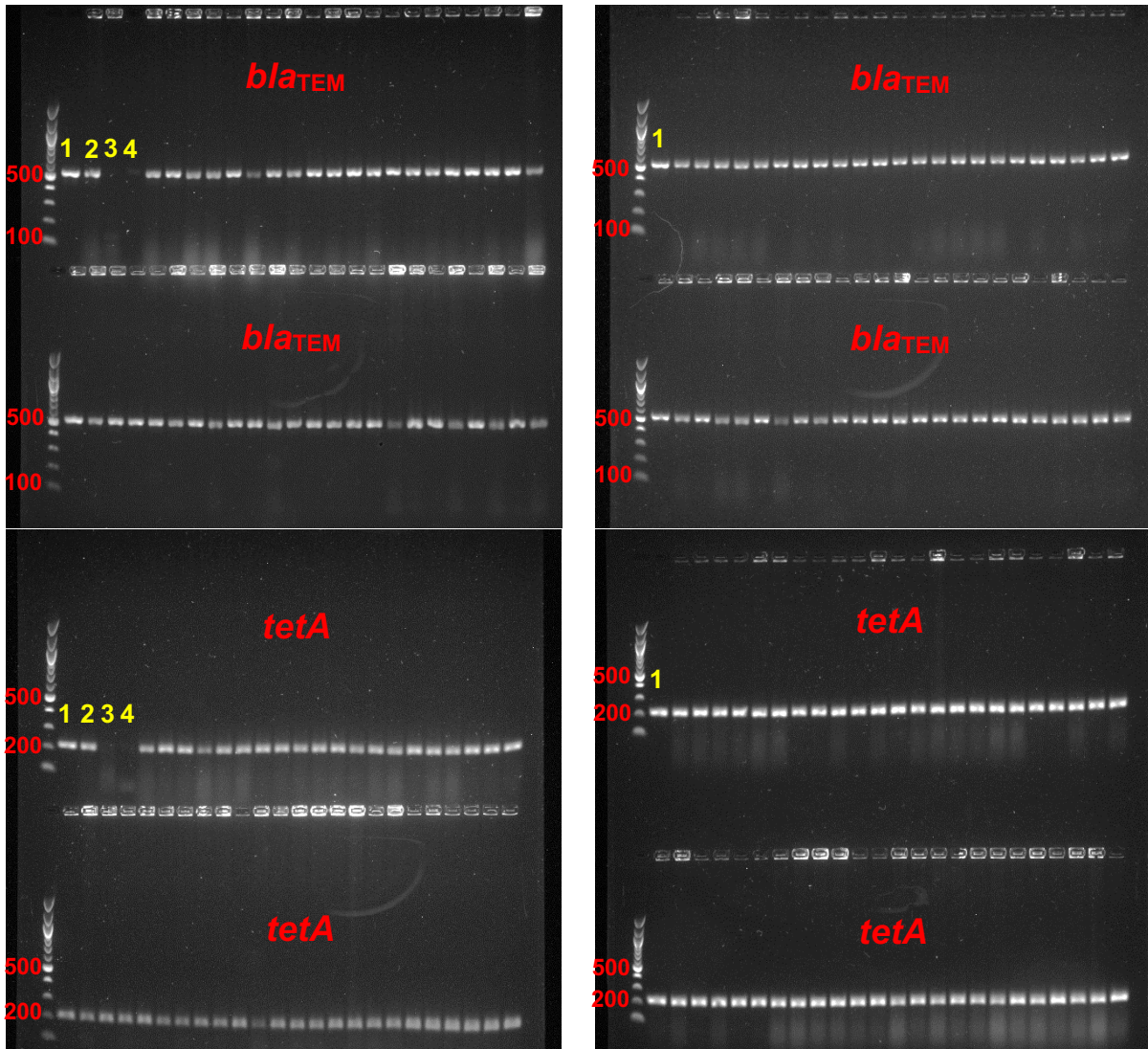
Table S6 The transfer frequency of RP1 plasmid (on average) in the influent and effluent of each biofilters.

81

Time (d)	Influent		Effluent - Set A			Effluent – Set B		
	Set A	Set B	Sand	GAC	Anthracite	Sand	GAC	Anthracite
1	3.42×10^{-7}	n.a.	n.a.	n.a.	n.a.	n.a.	2.40×10^{-6}	n.a.
3	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	4.48×10^{-5}
5	4.93×10^{-6}	n.a.	n.a.	1.56×10^{-5}	n.a.	n.a.	n.a.	n.a.
7	n.a.	n.a.	n.a.	n.a.	1.72×10^{-5}	n.a.	n.a.	6.58×10^{-6}
9	n.a.	n.a.	n.a.	n.a.	2.16×10^{-5}	n.a.	n.a.	n.a.
11	3.36×10^{-6}	3.25×10^{-6}	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.
13	3.72×10^{-6}	n.a.	n.a.	n.a.	n.a.	n.a.	1.47×10^{-5}	n.a.
*14	1.90×10^{-5}	1.92×10^{-5}	1.60×10^{-5}	4.55×10^{-5}	3.07×10^{-5}	n.a.	n.a.	1.66×10^{-5}

83 Set A: biofilters exposed to 2 µg/L of antibiotic mixture: Set B: biofilters without antibiotics exposure.

84 *14: backwash was conducted for the system.



85 **Figure S12** Gel images showed the presence of *bla*_{TEM} (516 bp) and *tetA* (210 bp) in all of the
 86 transconjugants. Lane 1: RP1 plasmid as positive control; Lane 2: donor cell (*E. coli* J53); Lane 3:
 87 recipient cell (*E. coli* HB101); Lane 4: PCR negative control. The rest of the lanes are colonies
 88 randomly selected from the plates on which transconjugants grew.