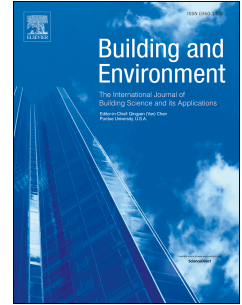


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Indoor soundscape assessment: a principal components model of acoustic perception in residential buildings

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Abstract

Models of perceived affective quality of soundscapes have been recently included into standards to guide the measurement and improvement of urban soundscapes. Such models have been developed in outdoor contexts and their validity in indoor built environments is unclear. A laboratory listening test was performed in a mock-up living room with a window sight, in order to develop an indoor soundscape model for residential buildings. During the test, 35 participants were asked to rate 20 different scenarios each. Scenarios were defined by combining four indoor sound sources and five urban environments, filtered through a window ajar, on 97 attribute scales. By applying principal component analysis, *Comfort*, *Content*, and *Familiarity*, were extracted as the main perceptual dimensions explaining respectively 58%, 25% and 7% of the total variance. Relationships between the principal component scores, acoustic parameters and indoor and outdoor sound categories were investigated. *Comfort*, *Content*, and *Familiarity* were found to be better predicted respectively by loudness N_{10} , level variability L_{A10} - L_{A90} and sharpness S . The magnitude of linear-mixed-effect model predictions sensibly improved by accounting for sound categories, thus pointing at the importance of semantic meaning of sounds in indoor

28 soundscape assessment. A measurement system is proposed, based on a 2-D space defined by two
29 orthogonal axes, *Comfort* and *Content*, and two additional axes, *Engagement* and *Privacy – Control*,
30 rotated 45° on the same plane. The model indicates the perceptual constructs to be measured (e.g. in post-
31 occupancy evaluations), the attribute scales to be employed and actions to improve indoor soundscape
32 quality, thus providing a reference for both research and practice.

33 **Keywords**

34 Indoor soundscape, acoustic comfort, acoustic perception, residential, Indoor Environmental Quality

35 **1. Introduction**

36 Building acoustic research and practice have traditionally focused on the control of airborne and structure
37 borne sound, transmitted through or originated from building structures and building services. Particularly
38 for dwellings, the rationale behind acoustic design has been to reduce noise levels to which building
39 occupants are exposed in order to prevent the emergence of annoyance and other negative health
40 outcomes. Efforts have been made to simulate and measure the sound transmission loss of building
41 components (among others [1–4]), and to develop related metrics and single-number quantities (e.g. [5]).
42 The effectiveness of the design action has been assessed through site measurements and occupant surveys
43 (POE) focusing on the self-reported evaluation of noise levels and sound privacy [6], eventually
44 integrating the identification of annoying sources. POE results have showed the general inadequacy of
45 buildings in providing acoustic environments satisfactory to their occupants, especially in the case of
46 residential buildings [7]. Researchers have been questioning for long time the efficacy of current metrics
47 and measurement methods in representing people’s perception [8–12]. Associations are typically tested
48 between objective metrics related to the magnitude of transmission loss across building structures and
49 subjective descriptors mainly related to people’s annoyance and disturbance caused by noise. The derived
50 picture can be in any case incomplete due to the variables under investigation. As regards the objective
51 metrics, it must be noticed that noise level reduction does not necessarily result in less annoying or more
52 positively perceived environments [13–15], as loudness can be even sometimes desired. Equally loud

53 sounds can trigger very different perceptual responses depending on a multitude of factors, besides sound
54 level (e.g. the meaning attributed to the sound source, spectral and temporal characteristics of sound
55 stimuli, personal traits, building and urban context, socio-economic, situational and environmental factors
56 [16]). As regards subjective descriptors, issue diagnosis and annoyance assessment might lead to neutral
57 environments, but this might not necessarily translate into pleasantly experienced acoustic environments.
58 Indeed, as sound has been traditionally considered as “unwanted” (i.e. noise) little has been said about
59 “wanted” sounds or sounds of preference. This latter aspect is furtherly emphasized by the recent shift in
60 the building industry target from designing acceptable spaces to going beyond occupants’ lack of
61 complaints and diseases, in order to release buildings that are able to support task performance and
62 enhance people’s health and well-being [17]. Understanding human perceptual response to the acoustic
63 environment (i.e. the soundscape) is therefore the foundation for filling the gap between predicted and
64 experienced acoustic performance of built environments.

65 The term soundscape has been defined by ISO 12913-1 standard as the “acoustic environment as
66 perceived or experienced and/or understood by a person or people, in context” [18]. The perceptual point
67 of view on the physical phenomenon (i.e. the acoustic environment) and the context in which perception
68 occurs are both central to the soundscape concept. Depending on physical, psychological and sociological
69 factors, sound can be thus wanted or unwanted, and this discrimination is based on people’s perception
70 [19]. The meaning carried by sound is explicitly acknowledged by soundscape research [14] and
71 exploited for the design of healthier and more enjoyable environments [19–22]. If soundscape studies
72 have traditionally involved urban areas and outdoor spaces, indoor soundscape research has been gaining
73 momentum in recent years to address the perceived acoustic quality of indoor environments [23–25].

74 Several models have been proposed, which identify perceptual dimensions underpinning the affective
75 response to soundscapes along which to assess people’s perception and evaluate the effectiveness of
76 design actions. Models were usually based on Semantic Differentials or Visual Analogue Scales, whereby
77 participants were requested to rate sounds according to a number of attribute rating scales. Principal
78 Component Analysis (PCA) or factor analysis were then applied to reduce attributes to a set of principal

79 dimensions. Such dimensions described most of the variance in the data and were interpreted according to
80 the attributes with whom they were most strongly associated.

81 The review by Ma et al. analyzed studies on the subjective assessment of indoor and outdoor sounds and
82 derived three main dimensions related to *Evaluation, Potency, and Activity* (EPA) [26], in agreement with
83 the classical model of affective meaning by Osgood [27,28]. However, the analysis regarded both specific
84 sound types and complex acoustic scenes, without a distinction between indoor and outdoor contexts.

85 When specifically referring to complex acoustic environments (i.e. not just a sound type), many of the
86 dimensions identified in the literature [13,29–38] could be coherently explained under Russel’s
87 circumplex model of affect [39]. According to this latter, affective responses can be understood as a linear
88 combination of two independent dimensions, one related to valence (“a pleasure – displeasure
89 continuum”) and the other to arousal (an “alertness” continuum) [40]. When translated into soundscapes,
90 affective responses can be represented in a two-dimensional model (cf. Figure 8 in Discussion section)
91 where the main orthogonal dimensions are *Pleasantness* (how pleasant or unpleasant the soundscape is)
92 and *Eventfulness* (how many sound events are present, most usually related to human activity) [38,41].

93 The model also included a second set of alternative orthogonal dimensions representing *Calmness* (how
94 calm or chaotic the soundscape is) and *Excitement* (how vibrant or monotonous) [38,41], reported at a 45°
95 rotation from the two main dimensions. Vibrant soundscapes are thus interpreted as both pleasant and
96 eventful, chaotic soundscapes as both eventful and annoying, monotonous soundscapes as both annoying
97 and uneventful, whereas calm soundscapes as both uneventful and pleasant [38,41]. Orthogonal to this
98 two-dimensional model would be *Appropriateness*, a dimension expressing the extent to which a
99 soundscape is appropriate to a space [42].

100 Following the model by Axelsson et al. [38], ISO/TS 12913-2 technical specification proposed the
101 measurement of perceived affective soundscape quality through 8 five-level Likert scales: pleasant,
102 chaotic, vibrant, uneventful, calm, annoying, eventful, and monotonous, ranging from “strongly agree” to
103 “strongly disagree” (cf. Method A in [43]). This assessment method allows soundscapes to be plotted into
104 a two-dimensional space on their pleasantness and eventfulness coordinates [41], with a strong, practical

105 application in decision making processes. Indeed, as pointed out by Cain et al., the 2-D visualization of
106 soundscapes would allow decision makers to set targets for design interventions and to assess the
107 effectiveness of design actions in terms of perceptual outcomes [13].

108 It must be noticed that models of soundscape perception have been developed from listening tests in
109 neutral laboratory settings playing outdoor sounds and it is not clear whether such models are equally
110 valid indoor. Compared to outdoor contexts, indoor soundscapes are characterized by: 1) a combination of
111 sounds generated by both external and internal sources, 2) the presence of a reverberant sound field in the
112 enclosed space, 3) a greater variety of tasks performed by people (i.e. not only relaxing or walking
113 through places), 4) the longer time spent by people immersed into them, and 5) the lower availability of
114 control over the acoustic environment (e.g. people cannot usually move to a different place). Such
115 peculiarities may induce to question a straightforward application of urban soundscape models to indoor
116 built environments as some perceptual dimensions might be specific to outdoor contexts and new ones
117 may arise when dealing with indoor environments.

118 Given this knowledge gap, a listening test was conducted to derive an indoor soundscape model capable
119 of guiding soundscape assessment and design in residential buildings. The aim of the study was thus
120 twofold: (i) to define and analyze the dimensions underlying acoustic perception in indoor residential
121 living rooms and (ii) to discuss the potential implications of such a model for building design practice.
122 The basic perceptual dimensions were extracted from a large set of attribute ratings scales by applying
123 PCA. Exposure conditions were obtained by combining audio recordings related to different outdoor
124 urban contexts with audio recordings related to different indoor sound sources. Insights on the perceptual
125 dimension meaning were derived by investigating relationships with (psycho)acoustic parameters,
126 demographic data and sound categories and by testing main and interaction effects of indoor-generated
127 and outdoor-generated sounds. In the present study, a first element of novelty was represented by the
128 simulation of typical indoor conditions in which soundscapes stem simultaneously from outdoor sounds
129 transmitted through the building façade and sounds generated from indoor sources. Cognisant of the
130 importance of the meaning carried by sounds for soundscape evaluation [14], by borrowing a

131 methodology from urban soundscape studies [38], experimental design was based on the control of sound
132 type rather than by the control of building features or physical properties of the sound field. A second
133 element of novelty concerned the performance of listening tests in an immersive listening room furnished
134 as a mock-up living room, thus requiring test participants a minimized process of abstraction compared to
135 tests performed via headphones in neutral laboratory settings.

136 **2. Methods**

137 **2.1 Participants**

138 Thirty-five participants took part in the experiment (17 females, 18 males, mean age: 31.7 years, s: 7.2
139 years). They were mainly university students and researchers invited via adverts on social media and
140 email, self-reporting no hearing impairment and good English level. Participants were offered a small
141 monetary compensation as a token of appreciation for their time.

142 **2.2 Factors and categories employed in the factorial design of the experiment**

143 Two factors were controlled in the experiment: the type of outdoor urban context (Factor A) and the type
144 of indoor sound source (Factor B). Twenty exposure conditions were obtained by the combination of five
145 outdoor acoustic environments and four indoor sound sources, according to a within-subjects full factorial
146 design experiment. Factors were chosen to replicate typical indoor scenarios in which outdoor sounds
147 filtered through ventilation openings in the building façade are combined with sounds generated indoor.

148 It must be noticed that the purpose was not to study a space with a specific layout, building features and
149 resulting sound field. Factors that have been already traditionally investigated in building and room
150 acoustic research (e.g. influence of volume, transmission loss provided by building structures, background
151 noise levels) have been purposefully excluded and the focus has been on the control of sound type instead
152 (cf. Section 4.3).

153 Four urban contexts in the city of London were selected to be representative of the most commonly
154 heard sounds in residential urban buildings [44–46]: a heavy traffic street, a light traffic street, a

155 pedestrian area and a garden. A control condition was included, corresponding to an extremely silent
156 outdoor context (i.e. no sound transmitted through the façade).

157 As regards indoor sound source location, in the present experiment, Factor B was varied across categories
158 (or levels) representing different types of indoor sound sources located in the same room as the listener
159 and deemed representative for a living room. The term “category” is hereinafter used in place of “level”,
160 more common when referring to factorial design, as the latter might be misleading in the acoustic context.
161 By assuming a residential building with a HVAC system, a condition played sound from an air inlet.
162 Other conditions were activity-based instead. The living room is supposed to be a place dedicated to
163 social and recreational activities, such as socializing, watching TV, listening to music, reading and
164 playing [47]. A TV video in English language was included to represent the conditions in which speech
165 reception is important, such as when watching TV or talking to people. A condition with instrumental
166 music and a condition without indoor sound sources were included as well, to represent situations in
167 which people are reading or relaxing at home. Factors and categories are summarized in Table 1. The
168 methodology approach for the recording of sound material related to the different experimental categories
169 is provided in Appendix A.

170

171 **Table 1** – Factors and categories considered in the design of the experiment. For each category, a description of
 172 sound composition is provided together with the dominant sound category.

Factor	Categories	Sound composition	Dominant sound category
A	1 - No added sound	Laboratory background noise	-
	2 - Heavy traffic	Car traffic, bus stopping, siren, vehicle horns, construction works	Technological
		3 - Light traffic	Faint car traffic, vehicle horns, background urban sounds
	4 - Pedestrian area	Human voices, laughter, footsteps	Human
	5 - Garden	Bird twittering, background urban sounds	Natural
B	1 - Fan noise	Ventilation noise	Technological
	2 - Music	Instrumental music	Music
	3 - TV	Scientific documentary in English language	TV
	4 - No added sound	Laboratory background noise	-

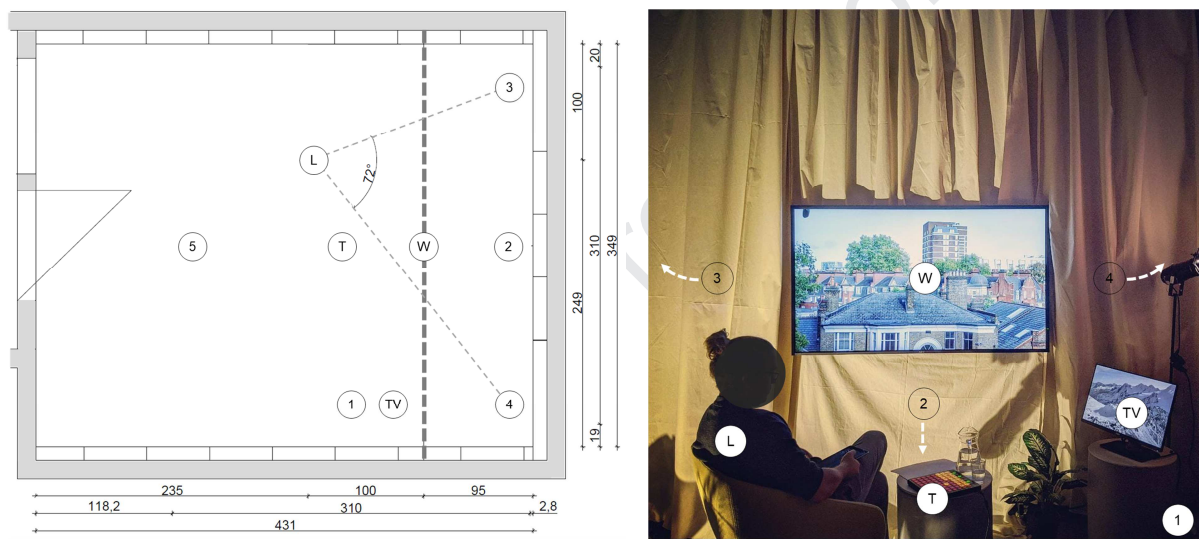
173 **2.3 Experimental set up and exposure conditions**

174 The experiment was conducted at the UCL IEDE Acoustics Lab in London. In order to minimize the
 175 process of abstraction required by test participants compared to neutral laboratory conditions, some pieces
 176 of furniture were placed inside the facility: an armchair, a lounge table, a plant, and a television. A curtain
 177 was hung at 0.95 m from the front wall to integrate a 55" display projecting a window view and to hide
 178 the loudspeakers located behind.

179 A schematic representation of the laboratory setup is provided in Figure 1. The room was box-shaped,
 180 with dimensions of 3.49 m (width), 3.35 m (length), 3.16 m (height), considering the available floor area
 181 in the mock-up condition. A comfortable armchair on which the listener was seated was positioned at 1 m
 182 from the curtain and 1 m from the side wall. The reverberation time ($T_{30,500\text{Hz}-2\text{kHz}}$) measured in the test

183 conditions with the interrupted noise method (6 microphone-source combinations, 2 source positions, 3
184 decays in each position) was 0.13 s [48].

185 One-minute audio excerpts were reproduced to match the A-weighted equivalent continuous sound
186 pressure level ($L_{Aeq,1min}$) at the listener's head to the one measured *in situ* (see Appendix A), for the exact
187 excerpt. The five Genelec 8331 SAM™ three-way coaxial loudspeakers employed for the stimuli
188 playback are indicated in Figure 1. Details on the laboratory set up, exposure duration and employed
189 reproduction system as regards ecological validity are provided in Appendix B.



190

191 **Figure 1** – Plan (to the left) and picture (to the right) of the test facility. Loudspeaker positions are depicted by
192 numbers. Different loudspeakers were employed for signal playback: (1) for “music” and “TV”, (2) for “pedestrian
193 area”, (3) and (4) for “heavy traffic”, “light traffic” and “garden”, (5) for “fan noise”. Loudspeakers (2), (3), and (4)
194 were hidden behind the curtain. L, T, W and TV indicate respectively the listener, lounge-table, display and TV
195 positions

196 For the purpose of model derivation, it was fundamental to characterize the acoustic stimuli to which the
197 participants were exposed during the listening tests. Binaural recordings of the 20 acoustic exposure
198 conditions were performed at the listener positions. ArtemiS SUITE v.10 software was used to calculate a
199 set of acoustic and psychoacoustic indicators, related to:

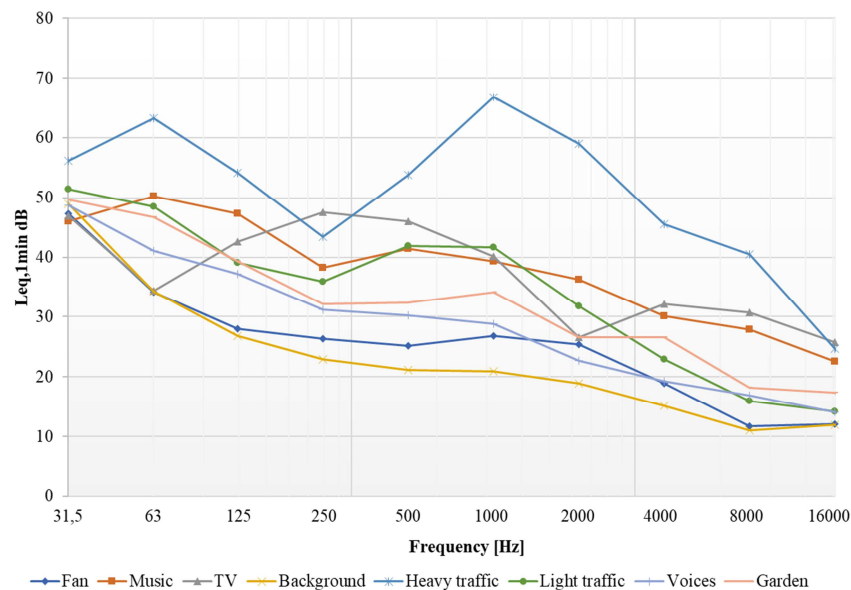
- 200 ■ overall loudness: A-weighted equivalent continuous sound pressure level ($L_{Aeq,1min}$) and the
201 loudness exceeded 10% of the time (N_{10}) calculated according to [49];

- 202 ▪ temporal variability: difference between 10% and 90% statistical levels, expressed in terms of A-
 203 weighted equivalent continuous sound pressure level ($L_{A10}-L_{A90}$) and loudness ($N_{10}-N_{90}$);
 204 Fluctuation Strength (FS) and Roughness (R);
 205 ▪ spectral content of sound: difference between C and A-weighted sound pressure level ($L_{Ceq,1min}-$
 206 $L_{Aeq,1min}$, hereinafter L_C-L_A), and Sharpness (S).

207 Single values for sound levels were calculated as the energetic average of left and right ear values,
 208 whereas the single values for psychoacoustic parameters were calculated as the arithmetic average
 209 between left and right metrics. The acoustic characterization of factors and experimental categories
 210 adopted in the full factorial design is provided in Table 2. Spectra are showed in Figure 2. Laboratory
 211 background noise conditions were reported for control conditions wherein no sound stimuli have been
 212 added. For reference purposes, 35 dB can be considered a typical required background noise level limit
 213 for living rooms ($L_{Aeq,16hr}$ [50], for a review cf. [24]).

214 **Table 2** – Acoustic characterization of the one-minute audio excerpts combined to compose the exposure conditions

Factor	Category	$L_{Aeq,1min}$	N_{10}	$L_{A10}-L_{A90}$	$N_{10}-N_{90}$	FS	R	L_C-L_A	S
		(dB)	(sone)	(dB)	(sone)	(vacil)	(asper)	(dB)	(acum)
A	1 - No added sound	25.8	0.8	15.7	0.1	0.005	0.008	46.9	1.55
	2 - Heavy traffic	67.6	21.6	73.6	12.4	0.043	0.039	63.9	1.74
	3 - Light traffic	44.0	4.1	41.7	0.8	0.007	0.021	52.0	1.14
	4 - Pedestrian area	32.9	1.9	32.7	0.6	0.009	0.013	47.9	1.41
	5 - Garden	37.0	2.8	36.0	0.7	0.005	0.016	50.1	1.35
B	1 - Fan noise	31.0	1.4	23.5	0.2	0.004	0.011	45.5	1.47
	2 - Music	43.6	5.4	46.4	3.3	0.036	0.023	52.5	1.70
	3 - TV	46.3	5.0	50.7	4.1	0.088	0.019	50.6	1.63
	4 - No added sound	25.8	0.8	15.7	0.1	0.005	0.008	46.9	1.55



215

216

Figure 2 – Spectra of the one-minute audio excerpts combined to compose the exposure conditions

217

The “objective” psychoacoustic and acoustic data derived from measurements have been thus related to

218

the “subjective” evaluations provided by participants.

219

2.4 Attribute rating scales

220

Subjective assessment was performed through a set of 97 unidirectional attribute scales aimed at

221

describing the affective response to indoor soundscapes. Attributes from Axelsson et al. [38] were

222

integrated with items coming from focus group and previous literature in order to specifically address the

223

peculiarities of indoor perception. The process of attribute selection is detailed in Appendix C.

224

Each attribute was rated by means of visual analog scales of “attribute – soundscape match”, following

225

the approach by Axelsson et al. [38]. Scales were implemented in REDCap survey app as bar sliders

226

supplied with labels at the end points (i.e. “No match at all”, “Perfect match”). A rating viewer allowed

227

participants to visualize the numeric evaluation from 0 to 100 while sliding the bar, “representing how

228

well the attribute matched their soundscape perception” [38].

229

2.5 Test procedures

230

The experiment was carried out between November and December 2019. Upon arriving, participants were

231

asked to sign the informed consent. A training session was firstly proposed in order to familiarize the

232 testers with the mock-up living room, the test procedure and the attribute meaning. Participants singularly
233 experienced all the 20 acoustic scenarios presented in random order over consecutive sessions.
234 Instructions were provided during the training session both orally and via the survey app to “direct the
235 subjects’ response strategy towards an everyday situation in order to enable the reactivation of cognitive
236 processes elaborated in actual situations” [51]:

237 *“Imagine being at home, relaxing in your living room. You may listen to sounds coming from the outside,*
238 *through a window ajar, and to sounds generated in the room where you are in now.*

239 *Please judge to what extent the attributes listed in the following are applicable to the acoustic*
240 *environment you are experiencing. Please indicate your judgment by putting a mark on the scale*
241 *delimited by: ‘No match at all (0)’ and ‘Perfect match (100)’”(adapted from [38]).*

242 After a one-minute exposure, participants were asked via a message appearing on the TV display (“*Please*
243 *start the questionnaire*”) to scale the soundscape they were immersed in on the touchscreen handset.

244 During the soundscape assessment, participants were exposed to one-minute repetitions of the sound
245 stimuli previously experienced. After scaling the 97 attributes, subjects were instructed to launch the
246 subsequent session through a message provided in the survey app (e.g. “*Please, press button 1*”).

247 Attribute order was randomized across the different sessions. To limit subject fatigue, every 5 sessions a
248 break was scheduled and proposed via the survey app. Overall, the experiment lasted approximately 2.5
249 hours. The study was approved via the UCL IEDE Ethics departmental procedure on 16 September 2019.

250 **2.6 Data analysis**

251 All statistical analyses were performed using the software R [52]. A preliminary outlier analysis identified
252 three participants that provided more than 97 outlying ratings over the 20 conditions, defined according to
253 the 1.5 x IQR (Interquartile Range) rule. A data check revealed incongruence in their answers, leading to
254 their exclusion. Therefore, the presented results referred to a final data set on $m = 32$ participants.

255 Based on the arithmetic means of the ratings provided by the 32 participants, PCA was performed to
256 reduce the 97 attributes to a number of principal components (PCs) explaining most of the data variation.

257 As PC's interpretation was rather straightforward (cf. Section 3.1), no rotation was applied in order to
258 avoid component adjustments that would result in a variance redistribution among the rotated components
259 and other rotation drawbacks (cf. Appendix D).

260 A repeated measures correlation analysis was run to assess the relationships between the extracted
261 perceptual dimensions (i.e. the scores of the responses given by each participant for each exposure
262 condition along the extracted PCs) and the (psycho)acoustical parameters characterizing each exposure
263 condition.

264 Linear mixed-effects models (LMM) were computed in order to predict the effect of sound categories,
265 demographic features (i.e. gender and age) and (psycho)acoustic parameters on the extracted perceptual
266 dimensions. Sound categories were defined as dichotomous variables that represented sound types
267 dominating each acoustic scenario, as indicated in Table 1. Sounds were categorized as Technological
268 (i.e. fan noise, light traffic, heavy traffic), Human (i.e. sounds from the pedestrian area), Natural (sounds
269 from the garden), Music and TV sounds. The first three categories have been traditionally used in urban
270 soundscape studies (i.e. Technological, Human, Natural sounds [38]), while the last two were deemed
271 relevant for the tested indoor conditions (i.e. Music and TV).

272 Two-way repeated measures ANOVAs were performed to evaluate how the extracted PCs were affected
273 by the five types of outdoor acoustic environment (Factor A) and the four types of indoor-generated
274 sounds (Factor B), in the tested acoustic conditions. Further details on statistical methods are provided in
275 Appendix D.

276 **3. Results**

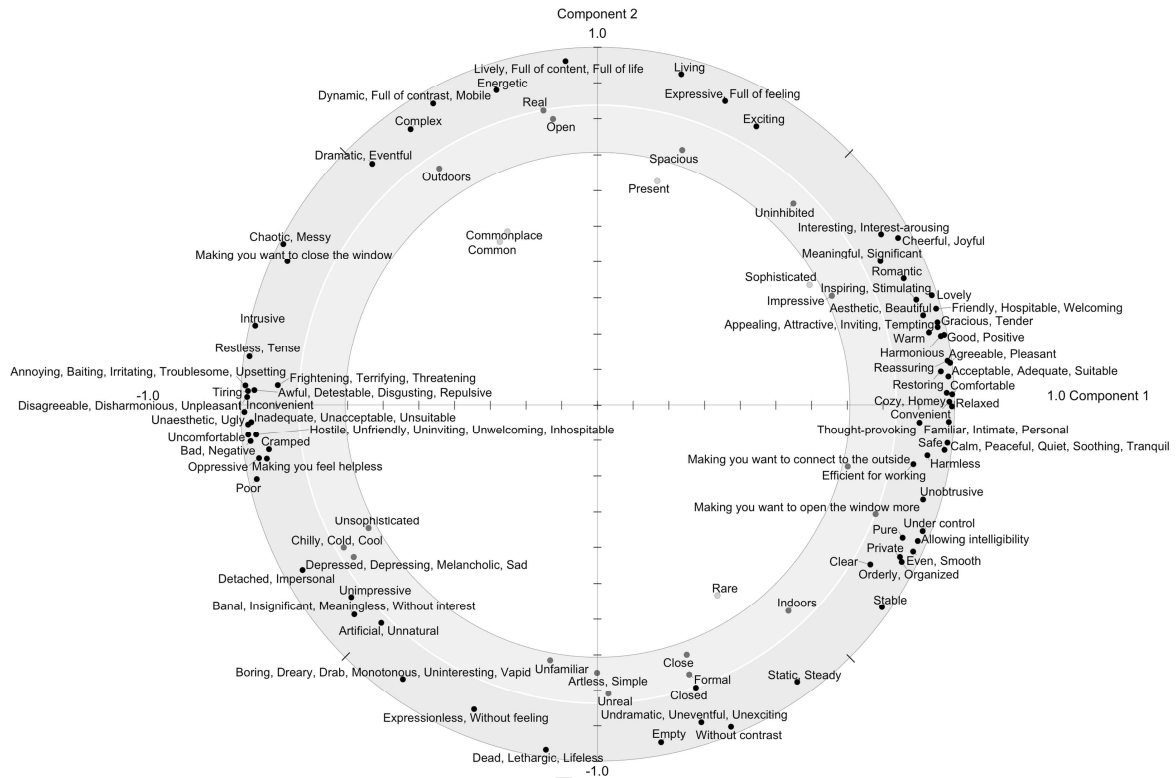
277 **3.1 Principal component analysis**

278 The first three PCs explained respectively 58%, 25% and 7% of the total variance. In addition, three
279 further components met the Kaiser's criterion (eigenvalue > 1 [53]), explaining together an additional 7%
280 of the variance. The interpretability of components and the visual inspection of the scree plot [54]
281 suggested retaining the first three PCs, that accounted for 90% of the total variance.

282 Figure 3 shows the PC1-PC2 plot, whose data points give the components of the ($p = 97$) attributes (i.e.
283 the vectors of the original basis) along the first and second PCs. Similarly, Figure 4 shows the PC3 – PC2
284 plot. The graphical representation is made to allow for a direct comparison with results from Axelsson et
285 al [38]. Three areas are indicated according to the distance between the attributes and the origin (v_a): Zone
286 1, $v_a^2 < 0.50$; Zone 2, $0.50 \leq v_a^2 < 0.70$; Zone 3, $v_a^2 \geq 0.70$, where v_a^2 represents the amount of variance in
287 the attribute explained by the two PCs forming the plot [38]. Therefore, attributes closer to the center are
288 not perfectly represented by the plotted PCs.

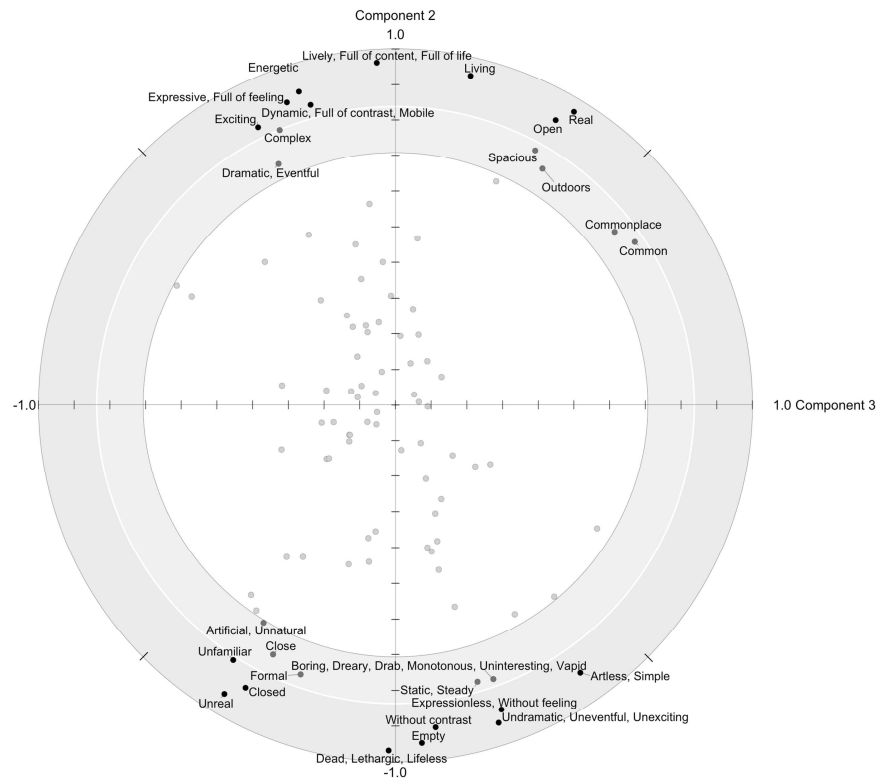
289 The interpretation of the PCs relied on the identification of the variables that were most correlated in
290 either a positive or negative direction [38,39]. The full component matrix, reporting how the retained
291 components loaded on each variable, is provided in Appendix E. The first component (PC1) was best
292 explained by “comfortable”, “relaxed”, and “agreeable, pleasant”, (sorted in descending order of loading
293 value) on the positive side and by “inconvenient”, “annoying, baiting, irritating, troublesome, upsetting”,
294 and “disagreeable, disharmonious, unpleasant” on the negative side (cf. Figure 3). PC1 was thus labelled
295 *Comfort*. The second component (PC2) was best explained by “lively, full of content, full of life”,
296 “living”, and “energetic” on the positive side and by “dead, lethargic, lifeless”, “empty”, and “without
297 contrast” on the negative side (cf. Figure 3 and Figure 4). PC2 was therefore labelled *Content*. The third
298 component (PC3) was best explained by “common”, “commonplace”, and “unsophisticated” on the
299 positive side and by “sophisticated”, “impressive” and “unreal” on the negative side (cf. Figure 4). PC3
300 was hence labelled *Familiarity*.

301



302

303 **Figure 3** – PC1 – PC2 plot. Three areas are depicted in the figure according to the length of the vectors of the 97
 304 attributes from the origin (v_a , distance from the center of the plot): Zone 1, $v_a^2 < 0.50$ (light grey circles); Zone 2,
 305 $0.50 \leq v_a^2 < 0.70$ (dark grey circles); Zone 3, $v_a^2 \geq 0.70$ (black circles), where v_a^2 represents the amount of variance
 306 in the attribute explained by PC1 and PC2.



307

308 **Figure 4** – PC3 – PC2 plot. Three areas are depicted in the figure according to the length of the vectors of the 97
 309 attributes from the origin (v_a , distance from the center of the plot): Zone 1, $v_a^2 < 0.50$ (light grey circles); Zone 2,
 310 $0.50 \leq v_a^2 < 0.70$ (dark grey circles); Zone 3, $v_a^2 \geq 0.70$ (black circles), where v_a^2 represents the amount of variance
 311 in the attribute explained by PC2 and PC3.

312 To verify whether the PCA results (i.e. the extracted factors and their composition) were the same across
 313 two independent samples of subjects, the original sample of subjects was divided in two halves and each
 314 of the two halves has been subjected to PCA. Component loadings were extracted from both data sets and
 315 intercorrelated (cf. [38]). Spearman's rank correlation coefficients between the component loadings of
 316 these two new solutions were 0.98, 0.97, and 0.89 and for PC1, PC2, and PC3 respectively ($p < 0.001$),
 317 thus showing that the three-PCs model was reliable across the two subgroups of individuals.

318 3.2 Relationships between principal components, acoustic indicators and sound-categories

319 Repeated measures correlation coefficients r_{tm} are presented in Table 3. The *Comfort* scores were
 320 negatively correlated with all the considered acoustic and psychoacoustic parameters. The correlation was
 321 moderate with $L_{Aeq,1min}$, N_{10} , L_{A10} - L_{A90} , N_{10} - N_{90} , R , L_C - L_A , and weak with FS and S. Notably, *Comfort* was

322 more strongly associated with the loudness parameter N_{10} . The *Content* scores were positively correlated
 323 with all the computed acoustic and psychoacoustic parameters. Correlation coefficients indicated a
 324 moderate correlation with $L_{Aeq,1min}$, N_{10} , $L_{A10}-L_{A90}$, $N_{10}-N_{90}$, R , L_C-L_A , and a weak correlation with FS and
 325 S. In particular, *Content* was more strongly associated with level variability over time ($L_{A10}-L_{A90}$). The
 326 *Familiarity* scores were negatively correlated with all the computed acoustic and psychoacoustic
 327 parameters. The correlation was generally weak ($L_{Aeq,1min}$, N_{10} , $L_{A10}-L_{A90}$, $N_{10}-N_{90}$, R , L_C-L_A), and moderate
 328 with FS and S. Specifically, *Familiarity* scores exhibited a stronger association with sharpness S.
 329 **Table 3** – Repeated measures correlation coefficients r_{tm} between PC scores and acoustic parameters. In bold are the
 330 strongest correlations. df: 607. All p-values ≤ 0.001 .

	PC1 <i>Comfort</i>	PC2 <i>Content</i>	PC3 <i>Familiarity</i>
$L_{Aeq,1min}$	-0.54	0.38	-0.15
N_{10}	-0.61	0.33	-0.19
$L_{A10}-L_{A90}$	-0.49	0.44	-0.16
$N_{10}-N_{90}$	-0.58	0.32	-0.25
FS	-0.18	0.14	-0.34
R	-0.56	0.38	-0.14
L_C-L_A	-0.55	0.40	-0.17
S	-0.22	0.24	-0.51

331
 332 LMMs with a single acoustic parameter were developed for all the chosen (psycho)acoustic parameters
 333 and then compared based on the AIC parameter (the smaller, the better the fit). Table 4 describes the
 334 selected models, reporting the AIC values, the marginal coefficient of determination R_m^2 , the conditional
 335 coefficient of determination R_c^2 , and the estimates of regression coefficients. *Comfort* was best explained
 336 by loudness N_{10} , confirming the negative trend observed in the correlation analysis (cf. Table 3). Louder
 337 stimuli (i.e. heavy traffic in the tested conditions) resulted in less comfortable indoor soundscapes.
 338 *Content* was best predicted by level variability over time $L_{A10}-L_{A90}$, with a positive trend. Soundscapes
 339 exhibiting larger temporal variability (i.e. heavy traffic, TV and music in the tested conditions) resulted
 340 richer in *Content*. *Familiarity* was best explained by sharpness S, with sharper soundscapes (i.e. heavy
 341 traffic, music and TV) resulting less familiar.

342 In the presented models, participants have been considered as random-effects terms. Model comparison
343 based on likelihood ratio test showed the suitability of random-intercept and random-slope models, thus
344 taking into account that the effects of loudness, level variability and sharpness respectively on *Comfort*,
345 *Content*, and *Familiarity* scores were different for each participant and that each participant responded
346 differently at varying levels of N_{10} , L_{A10} - L_{A90} and S .

347 Sound categories and demographic data (i.e. gender, age) were added to the previous models with a
348 stepwise procedure aimed at identifying significant predictors. For the sake of simplicity, interaction
349 terms were not included in the final models.

350 Natural, technological sounds and music added significant contribution to *Comfort* prediction (cf. Table
351 4). At equal loudness values, the presence of natural sounds and music were found to increase *Comfort*
352 scores, whereas technological sounds caused a *Comforts* reduction. Human and TV sounds, participant's
353 age and gender didn't contribute significantly to *Comfort* explanation. By including sound category
354 variables, the proportion of the total variance explained by the fixed effects (R_m^2) increased from 35% to
355 52%.

356 Regarding the *Content* model, natural, human, TV sounds and music were added as significant predictors.
357 Supposing equal L_{A10} - L_{A90} values, *Content* was found to increase in presence of natural, human sounds
358 and music and to decrease in presence of TV sounds (cf. Table 4). Technological sounds, participant's
359 age and gender didn't contribute significantly to *Content*. The inclusion of significant sound category
360 variables increased the proportion of the total variance explained by the fixed effects (R_m^2) from 18% to
361 43%.

362 Natural, human, technological and TV sounds added significant contribution to *Familiarity* prediction (cf.
363 Table 4). In particular, at equal sharpness values, natural, human and technological sounds were found to
364 reduce *Familiarity*, while TV sounds to increase *Familiarity* perception. Music, participant's age and
365 gender were not retained as significant *Familiarity* predictors. By including sound category variables, the
366 proportion of the total variance explained by the fixed effects (R_m^2) increased from 14% to 17%. It should
367 be noticed that sound categories and acoustic parameters could explain only a reduced amount of variance

368 in *Familiarity* scores, that increased substantially when accounting for the variability of responses related
 369 to individualities (from 60 to 64%).

370 The interpretation of the presented regression models is sometimes not straightforward. For instance, TV
 371 sounds contributed negatively to *Content* scores based on their sound category but positively due to their
 372 high temporal variability (L_{A10} - L_{A90}) in the tested conditions. Differently, loud heavy traffic sounds
 373 contributed to *Content* through their high L_{A10} - L_{A90} values, even without a significant contribution
 374 through their sound category. Regression models allow to control for the effect of acoustic parameters, so
 375 that the effect of a sound category can be evaluated while holding all the other variables constant.
 376 However, acoustic features are sometimes inherently embedded in a sound category, so that it's not
 377 always possible to differentiate meaningfully the effect of sound type from the effect of the acoustic
 378 parameter.

379 The combined effects of different sound types and their acoustic features were tested in ANOVA analysis,
 380 as described in the following paragraph.

381 **Table 4** – LMMs of PC1, PC2, and PC3 scores. For each perceptual dimension, two models are presented: one with
 382 a single acoustic parameter and one with both acoustic parameters and sound categories as predictors. For each
 383 model, the Akaike Information Criterion (AIC) value, the marginal coefficient of determination R_m^2 , the conditional
 384 coefficient of determination R_c^2 and the estimates of regression coefficients are reported.

Model	AIC	R_m^2	R_c^2	Fixed-effect terms	Estimates	t-value
<i>Comfort</i>	4449.0	0.35	0.40	Intercept	6.08	11.59
				N_{10}	-0.80	-14.52
	4231.1	0.52	0.59	Intercept	4.14	6.63
				N_{10}	-0.65	-11.16
				Natural	6.86	10.62
				Technological	-3.16	-5.49
<i>Content</i>	4117.5	0.18	0.25	Intercept	-8.41	-8.93
				L_{A10} - L_{A90}	0.18	8.23
	3857.6	0.43	0.53	Intercept	-14.22	-14.24
				L_{A10} - L_{A90}	0.25	11.18
				Natural	7.27	15.06
				Human	6.08	12.43
3581.6	0.14	0.60	Music	1.08	2.31	
			TV	-2.62	-5.41	
<i>Familiarity</i>	3581.6	0.14	0.60	Intercept	17.61	9.50
				S	-11.40	-11.71

				Intercept	15.89	8.46
				S	-9.84	-9.85
	3527.5	0.17	0.64	Natural	-0.65	-3.34
				Human	-0.97	-5.02
				TV	0.32	2.00
				Technological	-1.15	-7.07

385 **3.3 Effect of outdoor and indoor sound type on principal components**

386 Differently from previous LMMs, two-way repeated measures ANOVAs didn't control for the effects of
 387 acoustic parameters as covariates (e.g. sound level or loudness) as it was intended to test the global effects
 388 of different sound stimuli in their sound composition and realistic acoustic features. Indeed, when testing
 389 for the effect of natural sounds from a garden against traffic noise from a busy street we were considering
 390 the global effect of different sound types, together with their different levels, temporal and spectral
 391 features that were representative for those sound categories [55,56]. In addition, technological sounds
 392 were here differentiated according to the different experimental categories (i.e. fan noise, heavy traffic
 393 and light traffic).

394 As far as *Comfort* scores is concerned, a statistically significant interaction was found between outdoor
 395 context and indoor sounds, thus indicating that the impact of one factor depended on the category of the
 396 other factor (cf. Table 5).

397

398 **Table 5** – Summary of main and interaction effects of the type of outdoor acoustic environment (Factor A) and the
 399 type of indoor-generated sounds (Factor B) on PC1, PC2, and PC3 scores from repeated measures ANOVAs. The
 400 table presents the mean value of component scores, the degrees of freedom in the numerator DF_n, the degrees of
 401 freedom in the denominator DF_d, the test statistic F, the p-values and the generalized eta squared values η_G^2

PC	Effect	Category	Mean	DF _n	DF _d	F	p	η_G^2
Comfort	Factor A	No sound	2.80	2.58	79.97	100.71	p < 0.001	0.53
		Heavy traffic	-12.11					
		Light traffic	-0.85					
		Pedestrian area	1.56					
		Garden	8.57					
	Factor B	Fan	-1.94	2.33	72.33	14.73	p < 0.001	0.08
		Music	3.04					
		TV	-1.41					
		No sound	0.28					
	Interaction		6.83	211.58	8.98	p < 0.001	0.08	
Content	Factor A	No sound	-7.00	3.13	97.10	61.37	p < 0.001	0.41
		Heavy traffic	3.45					
		Light traffic	-1.57					
		Pedestrian area	1.74					
		Garden	3.38					
	Factor B	Fan	-1.36	2.02	62.70	18.31	p < 0.001	0.10
		Music	2.67					
		TV	-0.19					
		No sound	-1.11					
	Interaction		6.01	186.22	19.53	p < 0.001	0.17	
Familiarity	Factor A	No sound	-2.15	4.00	124.00	24.84	p < 0.001	0.09
		Heavy traffic	-1.32					
		Light traffic	2.50					
		Pedestrian area	0.61					
		Garden	0.42					
	Factor B	Fan	2.17	2.15	66.78	33.19	p < 0.001	0.09
		Music	-1.81					
		TV	-1.24					
		No sound	0.93					
	Interaction		7.04	218.17	7.63	p < 0.001	0.04	

402

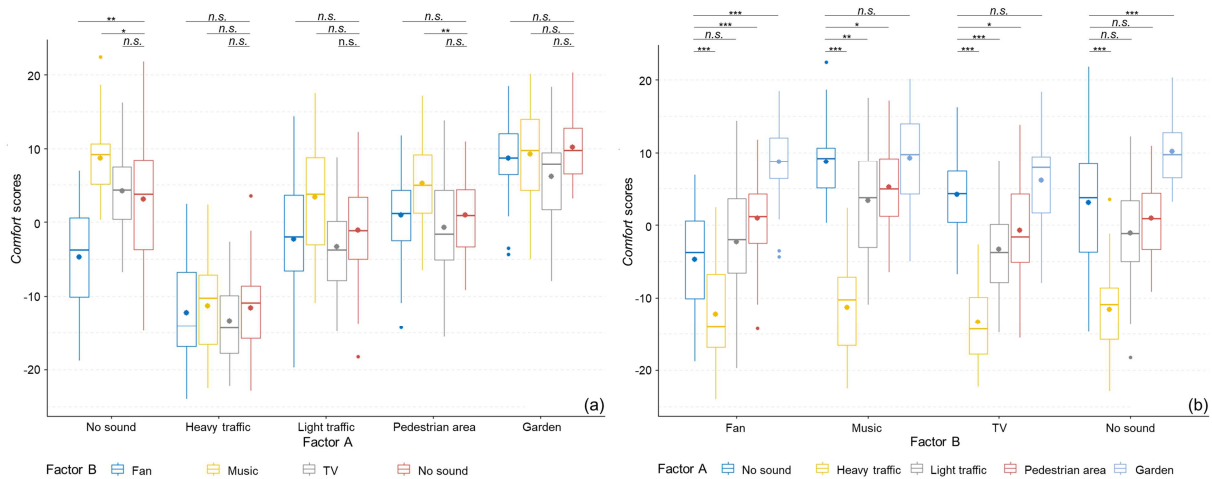
403

404 **Table 6** – Summary of simple main effects of one factor (indicated in the first column) for each category of the
 405 second factor (indicated in the second column) on PC1, PC2, and PC3 scores from repeated measures ANOVAs.
 406 The table presents the degrees of freedom in the numerator DF_n, the degrees of freedom in the denominator DF_d,
 407 the test statistic F, the p-values and the generalized eta squared values η_G^2

	Effect	Category	DF_n	DF_d	F	p	η_G^2
Comfort	Factor A	Fan	2.9	89.80	61.00	p < 0.001	0.54
		Music	3.07	95.20	55.10	p < 0.001	0.56
		TV	3.16	97.80	66.10	p < 0.001	0.56
		No sound	2.74	84.90	49.80	p < 0.001	0.54
	Factor B	No sound	3.00	93.00	20.30	p < 0.001	0.35
		Heavy traffic	3.00	93.00	2.10	p = 0.53	0.01
		Light traffic	2.46	76.10	7.73	p = 0.002	0.11
		Pedestrian area	2.17	67.30	11.60	p < 0.001	0.12
		Garden	2.25	69.90	3.40	p = 0.17	0.06
Content	Factor A	Fan	4.00	124.00	56.80	p < 0.001	0.59
		Music	4.00	124.00	2.18	p = 0.30	0.04
		TV	3.12	96.80	37.30	p < 0.001	0.37
		No sound	2.61	81.00	60.00	p < 0.001	0.61
	Factor B	No sound	2.01	62.40	39.40	p < 0.001	0.48
		Heavy traffic	3.00	93.00	0.44	p = 1.00	0.00
		Light traffic	2.27	70.50	12.30	p < 0.001	0.18
		Pedestrian area	3.00	93.00	2.25	p = 0.44	0.03
		Garden	2.23	69.10	2.03	p = 0.66	0.03
Familiarity	Factor A	Fan	4.00	124.00	8.96	p < 0.001	0.08
		Music	3.11	96.50	6.90	p < 0.001	0.06
		TV	4.00	124.00	5.70	p = 0.001	0.05
		No sound	2.44	75.70	26.40	p < 0.001	0.25
	Factor B	No sound	2.40	74.30	13.40	p < 0.001	0.17
		Heavy traffic	2.05	63.40	7.57	p = 0.005	0.04
		Light traffic	2.47	76.60	26.00	p < 0.001	0.19
		Pedestrian area	3.00	93.00	21.30	p < 0.001	0.10
		Garden	3.00	93.00	12.20	p < 0.001	0.10

408 Simple main effects of indoor-generated sounds were analyzed at each type of outdoor sound (cf. Table
 409 6). Pairwise comparisons between the control condition (i.e. without indoor sound sources) and other
 410 indoor sound stimuli are indicated in Figure 5a. In case of no sound transmitted from the window,
 411 compared to the case without internal sources, *Comfort* scores were higher with music, lower with fan
 412 noise, and not significantly different with TV sounds. It should be noticed that in the completely silent
 413 condition (i.e. no sound neither from outside nor from inside) subjective responses exhibited a high
 414 variability (Figure5a). In presence of heavy traffic or natural sounds (i.e. the garden condition), the effect
 415 of indoor sound type was not significant (cf.). With light traffic, *Comfort* scores were not significantly

416 different from the control condition depending on indoor sound type. In presence of human sounds from
 417 the outside (i.e. the pedestrian area), compared to the control condition, music significantly improved
 418 *Comfort* scores, while other indoor sources didn't result in significant differences.



419

420 **Figure 5** – Boxplots of *Comfort* scores by type of outdoor sounds (Factor A) and by type of indoor sounds (Factor
 421 B). On the left (a), data are grouped by Factor A and pairwise comparisons are shown between the control condition
 422 with no indoor sound source and other indoor sound types. On the right (b), data are grouped by Factor B and
 423 pairwise comparisons are shown between the control condition with no sound from the outside and other outdoor
 424 sound types. Inside the boxes, the central line is the median value, and the point is the mean value. *n.s.*: not
 425 significant, * $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq 0.001$

426 Simple main effects of outdoor context were significant at each type of indoor sound (cf. Table 6).

427 Pairwise comparisons between the control condition (i.e. without sounds from the outside) and other

428 outdoor conditions are indicated in Figure 5b. Compared to the control condition, *Comfort* was strongly

429 and negatively affected by heavy traffic in combination with all indoor sound sources. In presence of fan

430 noise, *Comfort* was not significantly different from the control condition with light traffic and improved

431 with human voices and natural sounds. Similarly, in the condition with no internal source, compared to

432 the control condition, light traffic and human voices resulted in non-significantly different *Comfort*

433 scores, while natural sounds improved indoor soundscape. With music or TV, *Comfort* was significantly

434 higher with no sound from outside or with natural sounds, with no significant difference between the two

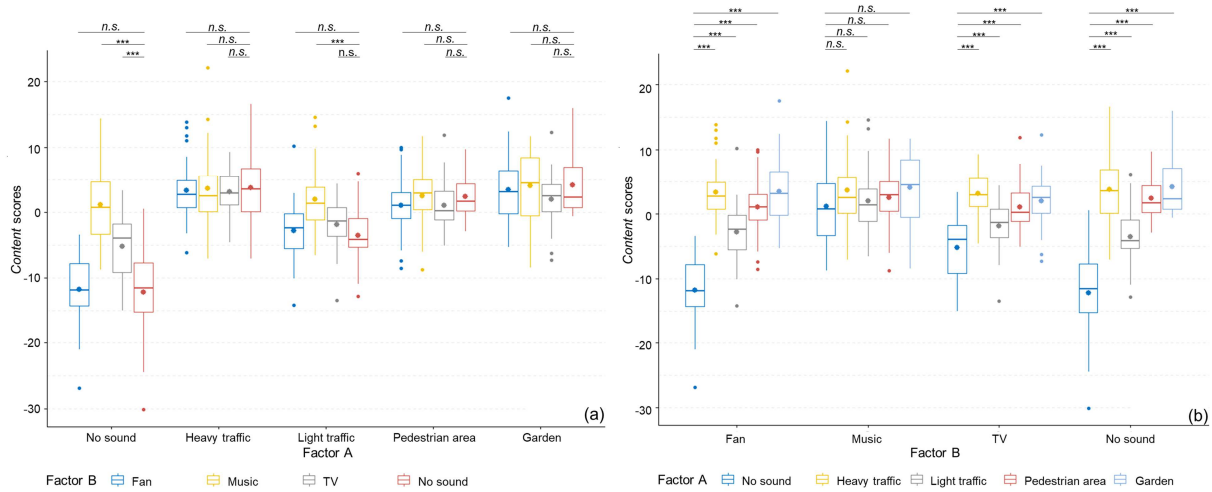
435 conditions, and significantly lower with light traffic and human voices, compared to the control condition.

436 Notably, light traffic and human voices had a stronger detrimental effect on *Comfort* while watching TV

437 (median_{Light_traffic}: -3.83; median_{Pedestrian_area}: -1.71), than while listening to music (median_{Light_traffic}: 3.71;
 438 median_{Pedestrian_area}: 4.91), $p < 0.001$.

439 When looking at effect size statistics (cf. Table 5 and 6), the magnitude of the effect that outdoor sounds
 440 had on *Comfort* scores was larger compared to that of indoor sounds or their interaction. Effect size was
 441 larger for indoor sounds only when not masked by outdoor sounds (i.e. in the control condition).

442 Regarding *Content* scores, a statistically significant interaction was found between outdoor context and
 443 indoor sound type (cf. Table 5).

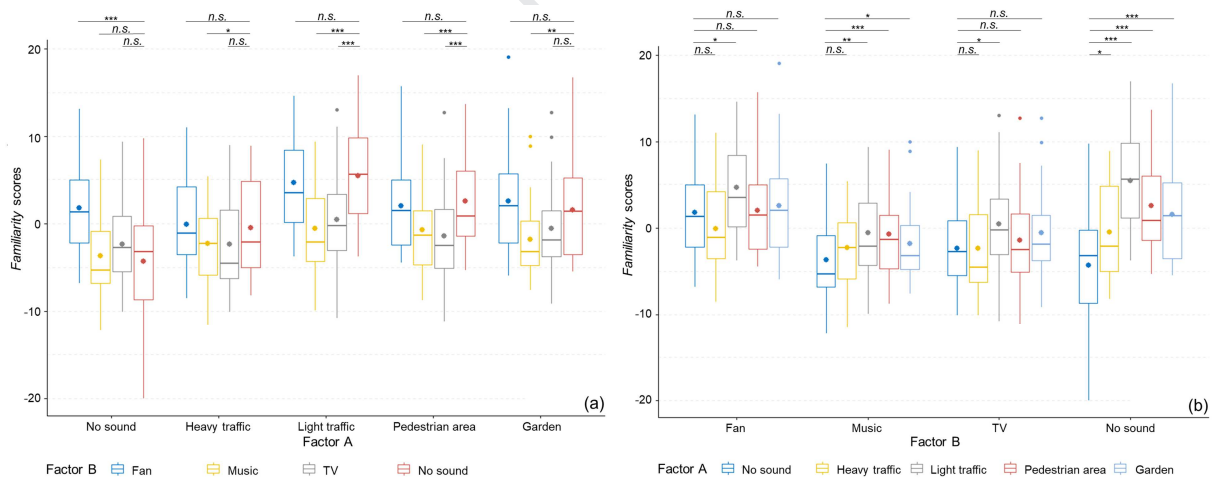


444

445 **Figure 6** – Boxplots of *Content* scores by type of outdoor sounds (Factor A) and by type of indoor sounds (Factor
 446 B). On the left (a), data are grouped by Factor A and pairwise comparisons are shown between the control condition
 447 with no indoor sound source and other indoor sound types. On the right (b), data are grouped by Factor B and
 448 pairwise comparisons are shown between the control condition with no sound from outside and other outdoor sound
 449 types. Inside the boxes, the central line is the median value, and the point is the mean value. *n.s.*: not significant, * p
 450 ≤ 0.05 , ** $p \leq 0.01$, *** $p \leq 0.001$

451 Figure 6a shows pairwise comparisons between the control condition (i.e. without indoor sound sources)
 452 and other indoor sound stimuli. Simple main effects of indoor sound type were not significant when
 453 combined with heavy traffic, pedestrian area and garden conditions (cf. Table 6). In the condition with no
 454 sound from the outside, compared to the control condition, *Content* scores were higher with TV and
 455 music and not significantly different with fan noise. In case of light traffic, compared to the control
 456 condition, fan noise and TV sounds provided non-significantly different *Content* scores, while music
 457 resulted in higher *Content* scores.

458 Simple main effects of outdoor context were not significant with music (cf. Table 6). Pairwise
 459 comparisons between the control condition (i.e. without sound from the outside) and other outdoor
 460 conditions are indicated in Figure 6b. With fan noise, TV and no indoor source, *Content* scores were
 461 significantly lower in the control condition than with heavy traffic, light traffic, human or natural sounds.
 462 Notably, heavy traffic resulted in higher *Content* scores compared to light traffic ($p < 0.001$) and not
 463 significantly different compared to pedestrian area and garden conditions.
 464 The magnitude of the impact outdoor sound had on *Content* scores was larger compared to that of indoor
 465 sounds or their interaction, except when indoor soundscape was already saturated with music (cf. Table 5
 466 and 6). Effect size for indoor sounds assumed larger values in the control condition, in absence of outdoor
 467 sounds.
 468 Regarding *Familiarity* scores, a statistically significant interaction was found between outdoor context
 469 and indoor sound type (cf. Table 5).



470

471 **Figure 7** – Boxplots of *Familiarity* scores by type of outdoor sounds (Factor A) and by type of indoor sounds
 472 (Factor B). On the left (a), data are grouped by Factor A and pairwise comparisons are shown between the control
 473 condition with no indoor sound source and other indoor sound types. On the right (b), data are grouped by Factor B
 474 and pairwise comparisons are shown between the control condition with no sound from outside and other outdoor
 475 sound types. Inside the boxes, the central line is the median value, and the point is the mean value. *n.s.*: not
 476 significant, * $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq 0.001$

477 Simple main effects of indoor sound type on *Familiarity* were significant at each type of outdoor context
 478 (cf. Table 6). Pairwise comparisons between the control condition with no indoor sources and other

479 indoor sound stimuli are presented in Figure 7a. In the silent condition without played indoor and outdoor
480 sounds, *Familiarity* scores exhibited a high variability in subjective scores. Compared to the control
481 condition, *Familiarity* was not significantly different with music or TV, and higher with fan noise. When
482 combined with natural sounds or heavy traffic, music was significantly less familiar, while other indoor
483 sources exhibited no significant differences compared to the control condition. In presence of light traffic
484 or human sounds from the pedestrian area, compared to the control condition, *Familiarity* scores were
485 lower with music or TV sounds and not significantly different with fan noise.

486 Simple main effects of outdoor type on *Familiarity* were significant at each type of indoor sound (cf.
487 Table 6). Figure 7b shows the pairwise comparisons between the control condition with no sound from
488 the outside and other outdoor conditions. Interestingly, compared to the control condition, *Familiarity*
489 scores were significantly higher with light traffic, regardless indoor sound type. In case of no internal
490 source, the control condition was significantly less familiar compared to conditions with other outdoor
491 stimuli. Notably, light traffic was more familiar than heavy traffic ($p < 0.001$), pedestrian sounds ($p =$
492 0.005), and natural sounds ($p < 0.001$). With fan noise or TV sounds, conditions other than light traffic
493 were not differently familiar from the control condition. When combined with music, compared to the
494 control condition, indoor soundscapes were significantly more familiar with light traffic, human and
495 natural sounds, and not significantly different with heavy traffic.

496 Effect sizes were generally low for *Familiarity* scores (cf. Table 5 and 6). The impact of outdoor sounds
497 was higher when combined with no indoor source, while the impact of indoor sounds was higher in case
498 of no outdoor sounds or light traffic.

499 **4. Discussion**

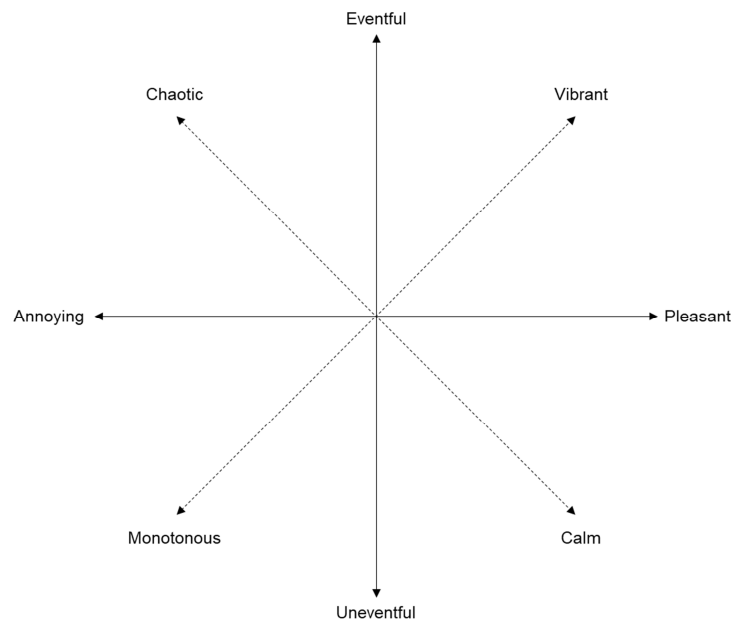
500 PCA results showed that the affective response to indoor soundscapes can be described by three main
501 components: *Comfort*, *Content*, and *Familiarity*. Insights on component meaning can be drawn from the
502 association between component scores, attribute loadings, (psycho)acoustic measures and sound

503 categories. The analysis of the three perceptual dimensions is followed by a discussion on the model
504 applicability in building design and on study limitations.

505 **4.1 Dimensions of acoustic perception in indoor residential living rooms**

506 **4.1.1 *Comfort and Content***

507 Taken together, *Comfort* and *Content* explained 83% of total variance of the attribute ratings. The main
508 component, *Comfort*, ranked soundscapes according to a “comfortable – annoying” continuum and was
509 found to explain 58% of the variance in indoor soundscape evaluation. This component aligned with
510 previous research on visual atmosphere [57], emotions [39], environmental psychology and urban
511 soundscapes [36–38] (cf. Figure 8) that identified *Coziness*, *Valence* or *Pleasantness* as a fundamental
512 dimension underlying affective responses. Looking at the attributes that loaded positively on this first
513 dimension, aspects underpinning (acoustic) comfort in residential buildings can be derived, such as relax,
514 pleasantness, intimacy, coziness, but also tranquility, safety, restoration and suitability (cf. Figure 3).
515 Interestingly, safety appeared as one of the aspects contributing to *Comfort*. According to Andriga and
516 Lanser, safety would be the key factor of pleasant soundscapes as it enables the freedom of mind-states
517 for mental restoration and proactive behavior, without forcing people to attend and address the “here and
518 now” [58]. Safety would be particularly relevant in indoor residential contexts, in keeping with the
519 concept of ontological security that is sought at home as opposed to a world that is threatening and out of
520 control [59,60]. In the present study, appropriateness (expressed by the attribute “acceptable, adequate,
521 suitable”) was another aspect highly correlated with *Comfort*. While Axelsson proposed *Appropriateness*
522 as a dimension independent from *Pleasantness* and *Eventfulness* [42], our results agree with previous
523 studies that reported *Appropriateness* and *Pleasantness* (or *Comfort*) to be overlapping dimensions [31].
524 Furthermore, in the present study the concepts of calmness and tranquility emerged on a shared
525 dimension with *Comfort*, as aspects almost unrelated to *Content* (cf. Figure 3). Previous urban soundscape
526 research generally indicated *Calmness* as a diagonal dimension in the *Pleasantness – Eventfulness* space
527 [13,33] (see Figure 8), whilst other studies recently reported *Calmness* and *Pleasantness* to be
528 overlapping [30,31].



529

530 **Figure 8** – Model of affective response to outdoor soundscapes from ISO/TS 12913-3:2019 [41] (adapted from
531 [38])

532 The second component, *Content*, ordered soundscape excerpts on a “full of content – empty” continuum
533 and explained 25% of the total variance. By expressing how much the environment is saturated, *Content*
534 aligns with the concepts of *Liveliness* [57], *Activity* [29] and *Eventfulness* [36–38], which are in turn
535 related to *Arousal* [39,61]. Indeed, Ward and Russel reported that although *Arousal* and *Activity* are
536 correlated, arousing environments may result from factors other than *Activity*. In the present study, the
537 term *Content* was chosen to represent a dimension orthogonal to *Comfort*. Interestingly, the attribute
538 “eventfulness” appeared not to be neutral with respect to *Comfort* (cf. Figure 3). In the context of indoor
539 soundscapes, the attribute “dramatic, eventful” resulted to have a negative valence connotation, while the
540 term “undramatic, uneventful, unexciting” was found to have a positive valence connotation.

541 Among the tested acoustic and psychoacoustic parameters, *Comfort* was best explained by loudness N_{10} .
542 Indoor soundscapes dominated by louder sounds were generally perceived as less comfortable, in
543 agreement with previous urban soundscape results [38]. Nevertheless, the predictive power of a linear
544 *Comfort* model based solely on loudness was quite limited. The inclusion of informational properties of
545 sounds (i.e. sound categories) sensibly improved *Comfort* predictability (with R^2 values in line with [38]),

546 thus confirming the important contribution of semantic features of sounds (e.g. sound type) in soundscape
547 evaluations [14]. At constant loudness, *Comfort* was found to increase with natural sounds and music, and
548 to be reduced by technological sounds. Further insights on the extracted perceptual dimensions were
549 gained by investigating the interactions between internal and external sound types and by discriminating
550 between different technological sound types.

551 In the tested conditions, in presence of a simulated semi-open window, *Comfort* was mainly influenced by
552 outdoor sounds. The effect size of indoor sound type was relevant only when no sound was transmitted
553 through the window, as it may occur in highly insulated facades. In absence of outdoor sounds, compared
554 to a silent indoor environment or in presence of TV sounds, indoor soundscape was perceived as more
555 annoying with fan noise and more comfortable with music. Regarding the effect of outdoor sounds on
556 *Comfort*, loud heavy traffic noise resulted always detrimental for indoor soundscape quality, regardless
557 indoor sound type. Compared to the condition with no sound from outside, human sounds could improve
558 comfort conditions in presence of annoying fan noise and natural sounds provided a more comfortable
559 indoor environment when combine with indoor fan noise or no indoor sound source. While listening to
560 music or watching TV, *Comfort* was higher with natural sounds and without sounds entering the
561 environment and lower with traffic sounds or human voices. This was likely due to the fact that the sound
562 quality of music was slightly affected by outdoor sounds and TV speech intelligibility was reduced due to
563 the informative content of voices and sound level of light traffic (around 500 – 4k Hz, cf. Figure 2), thus
564 resulting less comfortable.

565 Soundscape literature reported people generally liking natural sounds and disliking mechanical and
566 transportation sounds [16,38,62,63]. Human sounds were reported to be either pleasant [16,62,63] or
567 valence neutral [38]. Taken together, in the tested conditions, noise exposure to heavy traffic resulted
568 highly annoying. Despite their higher loudness compared to a silent indoor environment, light traffic and
569 human sounds generally provided neutral comfort conditions, while natural sounds proved highly
570 beneficial for indoor soundscape quality.

571 As far as *Content* is concerned, this perceptual dimension was best explained by level variability over
572 time (L_{A10} - L_{A90}). Understandably, soundscapes with more level variation (i.e. technological sounds, TV
573 and music) resulted in higher *Content*. The proportion of total variance explained by L_{A10} - L_{A90} was
574 unimportant and increased when sound categories were included as predictors in the LMM, with R^2
575 values in line with [38]. While holding constant L_{A10} - L_{A90} , *Content* was found to increase with music,
576 human and natural sounds and to decrease with TV sounds.

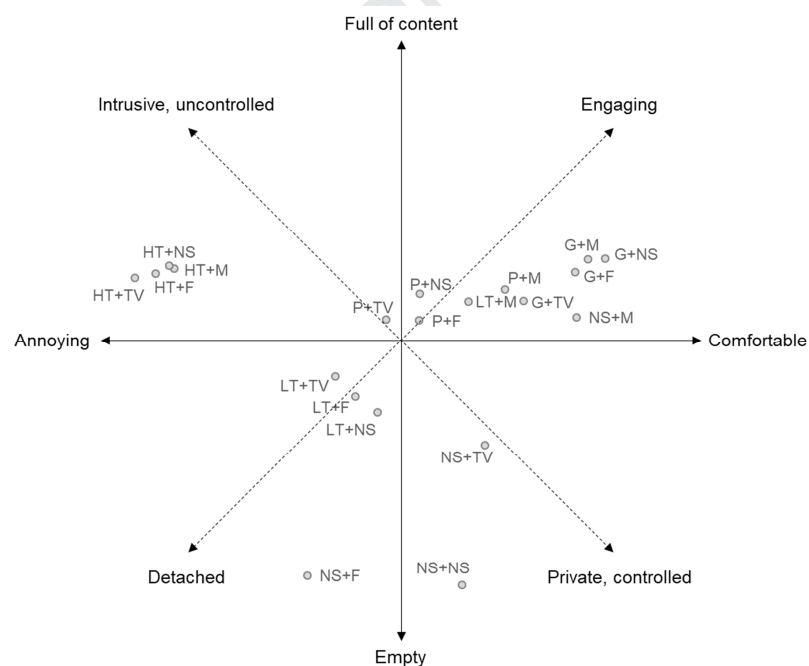
577 The effect size of outdoor sounds on *Content* was larger compared to that provided by indoor sounds,
578 except when indoor soundscape was saturated with music. In presence of fan noise, TV or no indoor
579 source, indoor soundscape was higher in *Content* with heavy traffic, human and natural sounds. Lower
580 *Content* resulted in conditions with no outdoor sounds or light traffic. The effect size of indoor sound type
581 on soundscape *Content* was larger only in the condition with no sound from the outside. Pairwise
582 comparisons between the tested exposure conditions showed that higher content was provided by music
583 (in absence of outdoor sounds or with light traffic) and by TV sounds (with light traffic).

584 In the two-dimensional space defined by *Comfort* and *Content*, many of the 97 attributes employed to
585 measure indoor soundscapes didn't cluster around the two main axes but were organized in a meaningful
586 circular arrangement (cf. Figure 3), as in the Russell's circumplex model of affect [39] and in the
587 soundscape model by Axelsson et al. [38]. According to the circumplex model structure, indoor
588 soundscape attributes may be interpreted as a combination of *Comfort* and *Content*. Further labelling
589 corresponding to affective responses could then be applied to two additional axes rotated 45° on the same
590 *Comfort* – *Content* plane (cf. Figure 9).

591 By referring to the attributes that loaded approximately equally comfortable and full of content, items
592 describing an *engaging* indoor soundscape can be observed (e.g. "Exciting" and "Interesting, interest-
593 arousing", cf. Figure 3). Imagine for instance listening to music in your living room with a background of
594 natural sounds coming from the outside (cf. Figure 9). On the opposite side, a *detached* soundscape may
595 be view as a "mix" of an empty and annoying soundscape. This *engaging* – *detached* axis aligns well with

596 the *Vibrancy* or *Excitement* perceptual construct that already emerged from previous soundscape literature
 597 [13,38,64].

598 A comfortable and empty soundscape was described by attributes that express a static, stable and
 599 organized environment, allowing for a sense of privacy and control (labelled as *private, controlled* in
 600 Figure 9). Imagine the private situation of watching TV in your living room, having control of the
 601 acoustic conditions (cf. Figure 9). On the opposite side, a full of content and annoying soundscape may be
 602 interpreted as dramatic, unexpected and, as such, *intrusive* and *uncontrolled*. Previous research reported
 603 that perceived noise control may be considered as a mediator between noise exposure and noise
 604 annoyance [65], and suggested its contribution to the restoration process [66]. The *Privacy* and *Control*
 605 dimension thus relates to home as a private place where inhabitants perceive control over their
 606 environment.



607

608 **Figure 9** – Component scores of the 20 indoor soundscapes on the *Comfort – Content* plot. Each point represents an
 609 exposure condition, resulting from the combination of an outdoor context (NS: no sound, HT: heavy traffic, LT:
 610 light traffic, P: pedestrian area, G: garden) and an indoor sound type (F: fan, M: music, TV: TV, NS: no sound).

611 According to the model proposed in Figure 9, an *engaging* and *private* and *controlled* indoor soundscape

612 may be equally comfortable but differ in their content. Likewise, a *private* and *controlled* and a detached

613 indoor soundscape may be equally empty but differ in their perceived comfort. The tested acoustic
614 conditions managed to cover the four quadrants of the two-dimensional space defined by *Comfort* and
615 *Content* as main axis, and *Privacy-Control* and *Engagement* as secondary axis. It should be noticed that
616 no exposure condition scored extremely high in content, situation that may occur when the living room is
617 filled with people (e.g. in a party situation).

618 **4.1.2 Familiarity**

619 The third component, *Familiarity*, was found to explain 7% of indoor soundscape ratings, and ordered the
620 soundscape excerpts according to a “common – uncommon” continuum. This dimension aligns with
621 findings from previous soundscape studies [29,38].

622 *Familiarity* was best explained by sharpness, with sharper sounds resulting less familiar. Nevertheless,
623 *Familiarity* predictability by LMMs based on sharpness was rather low, regardless the inclusion of sound
624 categories as predictors. A high proportion of total variance was explained by subject variability instead,
625 likely due to the individual interpretation of sound familiarity according to different experiential
626 backgrounds.

627 Effect sizes of outdoor or indoor sound type were generally low for *Familiarity* scores, and this may be
628 due to the fact that all the soundscape excerpts were quite realistic and related to everyday life sounds.
629 Among indoor sound type, fan noise and no indoor sounds were the most familiar, while TV and music
630 were the most unfamiliar, most probably due to the fact that it was the first time participants watched and
631 listened those sound and video stimuli. Interestingly, among outdoor sound types, light traffic was
632 generally perceived the most familiar, thus reflecting the urban context in which the experiment took
633 place. On the contrary, in absence of indoor sound sources or in presence of indoor music, the condition
634 with no sound from outside was the less familiar. It should be noticed that the completely silent condition
635 (without both internal and external sources) was experienced very differently between the test
636 participants, as showed by high variability in comfort and familiarity scores for this condition. The
637 atypically and unrealistic silent condition for a living room with a semi open window may have elicited

638 different responses (e.g. relax or anxiety [67]) according to participants' living contexts and general
639 preferences.

640 **4.2 Model application to building design**

641 The proposed model identified the dimensions underlying the acoustic perception in living rooms. The
642 model can be intended as an adjustment of soundscape models developed by urban soundscape research
643 and proposed by ISO 12913 series in order to address the peculiarities of the acoustic experience indoor.
644 Such model provides a reference for indoor soundscape research and practice by indicating which
645 perceptual constructs are to be measured in buildings, how to measure them through a set of attribute
646 scales and how to promote high-quality indoor soundscapes through a useful combination of indoor and
647 outdoor sound sources.

648 Current design criteria mainly focus on blocking out external "noises", in order to limit noise annoyance
649 by reducing dB sound levels. The present study showed how external sounds released through the facade
650 and internal sounds could be combined to improve soundscape quality, regardless the overall sound level,
651 based on the meaning attributed to sounds and on masking opportunities.

652 POE surveys are often limited to the assessment of annoyance or dissatisfaction caused by noises and to
653 the identification of disturbing sound sources [7]. The two-dimensional space defined by *Comfort* and
654 *Content* (cf. Figure 9) suggests that there is a much broader affective space to explore through indoor
655 soundscape design than the negative *Comfort* axis pointing towards annoyance. Soundscape surveys
656 should focus on sound impact on all the relevant perceptual dimensions (*Comfort, Content, Engagement,*
657 *Privacy, Control and Familiarity*), by adapting existing soundscape collection methods [43,68] to indoor
658 peculiarities. POE surveys integrating soundscape methodologies (cf. [69]) could thus inform practices
659 oriented towards the design of better-than-neutral indoor soundscapes [16], with positive outcomes on
660 people health, well-being and quality of life [17,20,21,66,70,71]. Following the proposal by Cain et al.
661 [13], existing and target indoor soundscapes could be plotted in the perceptual space showed in Figure 9,
662 in order to understand how specific design interventions can "move" soundscape position along certain

663 perceptual dimensions and in order to assess the effectiveness of design actions in terms of perceptual
664 outcomes. In doing so, the effect of materials, space layout, and building technologies can be evaluated in
665 terms of soundscape outcomes, thus providing a perceptual perspective to building and room acoustics.
666 This perceptual approach would provide designers and acousticians with a wider range of design
667 solutions. For instance, ventilation devices may be reinterpreted as systems to transmit, block or adjust
668 external sounds to provide a connection with the external context, release wanted sounds, and mask
669 unwanted sounds [24]. This would change the way in which acoustic design requirements are defined
670 beyond setting noise level limits, the needs that natural ventilation solutions (e.g. active noise control or
671 automated openings) are required to meet and the way in which those solutions would be assessed. The
672 present study confirmed the limited predictive power of objective acoustic parameters taken in isolation
673 and encouraged for the acknowledgement of sound categories in modelling acoustic perception. Based on
674 the proposed model of indoor soundscape perception, predictive indices may be developed to guide the
675 design stage [72,73], thus filling the gap between expected and experienced acoustic perception in
676 buildings.

677 **4.3 Limitations**

678 Given the experimental settings and the chosen exposure conditions, the proposed model is intended to be
679 applied to living rooms and in general to spaces dedicated to daily activities, such as relaxing, listening to
680 music and watching TV. The use among the audio stimuli of a TV video with speech content extends
681 model applicability to socialization activities that normally occur in living rooms (e.g. talking to other
682 people in person or by phone). Nevertheless, no exposure condition resulted extremely full of content and
683 future studies may investigate situations in which the space is occupied by more than one human subject.
684 The present work was limited to the relaxing task (e.g. reading, watching TV, listening to music) and
685 further research would be needed to investigate the combined effect of sound type (indoor and outdoor)
686 and intelligibility conditions on affective responses, in presence of more cognitive demanding tasks, as
687 would be the case in schools or offices. Furthermore, it must be noticed that the model does not apply to

688 bedrooms, where sleep disturbance may occur, leading to immediate and long-term effects on
689 cardiovascular and mental health [74].

690 One limitation of the present study is represented by the number of tested exposure conditions ($n = 20$),
691 also in relation to the number of variables ($p = 97$). Indeed, PCA should ideally rely on large sample sizes
692 in order to minimize errors and maximize the probability that components extracted from the sample
693 reflect the underlying population [75]. The application of PCA in cases where $n \ll p$ is not unusual
694 [38,76], even if sub-optimal. In such cases, lower order PCs can be expected to be more stable across
695 different samples (i.e. PC1, PC2, ...), while the higher order PCs capture a large part of data variation (i.e.
696 noise dimensions) [75]. In general, by relying on small sample sizes there is the risk that different PCs can
697 emerge from different samples, so that the extracted model is not generalizable anymore. The design of
698 the present experiment had to find a trade-off between the number of attributes and the number of
699 conditions to assess, in order to keep each experimental session within reasonable time limits. As
700 described in Appendix C, a large number of attributes (i.e. 97) was selected in order to comprehensively
701 cover the many possible affective states that an indoor acoustic environment can elicit and that might
702 have resulted in potentially relevant perceptual dimensions. Besides the number of observations itself (i.e.
703 20), it is foundational to consider how those conditions have been selected in order to be representative of
704 scenarios typically experienced in indoor living rooms, as explained in Section 2.2. Consequently, the
705 extracted perceptual dimensions can be expected to be stable across different samples of indoor acoustic
706 conditions in dwellings.

707 In order to limit test duration, some of the factors that may affect indoor soundscapes in residential
708 buildings have not been included in the present experiment and may be investigated in future laboratory and
709 field research. Studies would be useful to verify how the sound of neighbors position indoor soundscapes
710 in the *Comfort – Content* space, likely in the *intrusive – uncontrolled* area, and how they interact with
711 outdoor sounds. Many acoustic and non-acoustic factors that affect acoustic perception in residential
712 buildings may be integrated in indoor soundscape models, such as building features, the urban context,
713 the personal traits, the socio-economic, situational and environmental factors [16,77]. In any case,

714 established soundscape models [38,41], confirmed through both laboratory and field studies, corroborate
715 the general validity of the proposed model as in both the cases (i.e. outdoor and indoor environments) the
716 lower order PCs (i.e. PC1, PC2 and PC3) reflect a similar structure (cf. Figure 8 and Figure 9).

717 5. Conclusions

718 The present study investigated the affective response to indoor soundscapes in residential buildings, in
719 order to: (i) identify, interpret and analyze the dimensions underlying acoustic perception in indoor
720 residential living rooms and (ii) discuss potential implications in building design practice.

721 Regarding the first research question, the main conclusions are:

722 (1) Three main perceptual dimensions were extracted from the assessment of 20 acoustic conditions
723 on 97 attribute rating scales: *Comfort*, *Content*, and *Familiarity*. The first two dimensions
724 explained together 83% of total variance. In the two-dimensional space defined by the orthogonal
725 components *Comfort* and *Content*, attributes were organized in a meaningful circular fashion,
726 according to a “circumplex” model. In this perceptual space, an *engaging* indoor soundscape
727 would be both comfortable and full of content, a *detached* soundscape would be annoying and
728 empty, an *intrusive* and *uncontrolled* soundscape would be annoying and full of content, whilst a
729 *private* and *controlled* indoor soundscape would be both comfortable and empty;

730 (2) *Comfort* was negatively associated with loudness N_{10} , *Content* was positively associated to sound
731 level variability L_{A10} - L_{A90} , and *Familiarity* was negatively associated with sharpness S . LMMs
732 based on single objective acoustic parameters had limited predictive power on the three
733 perceptual dimensions, that increased when sound categories (i.e. technological, human, natural,
734 music and TV sounds) were included as predictors. Age and gender were not significant
735 contributors;

736 (3) *Comfort* was mainly influenced by outdoor sounds. Indoor soundscapes dominated by heavy
737 traffic sounds were found to be annoying, indoor soundscapes with light traffic sounds or human
738 sounds coming from the outside were found to provide neutral comfort conditions, and indoor

739 soundscape with natural sounds were found to be highly comfortable. The effect size of indoor
740 sound type was larger only when no sound was transmitted through the window, with music
741 resulting in more comfortable and fan noise resulting in more annoying indoor soundscapes,
742 compared to TV or no indoor sound sources. Interestingly, in presence of annoying indoor sound
743 sources or no indoor sound source, outdoor sounds (e.g. human voices and natural sounds) can
744 result in improved indoor soundscapes in terms of indoor comfort, despite higher overall
745 loudness;

746 (4) *Content* was mainly affected by outdoor sound type compared to indoor sound type, except when
747 indoor soundscape was saturated with music. In general, indoor soundscape dominated by heavy
748 traffic, human and natural sounds were fuller of *Content*. The effect size of indoor sound type on
749 *Content* was larger only in the condition with no sound from the outside, with higher content
750 generally provided by music and by TV sounds;

751 (5) Effect sizes of outdoor or indoor sound type were generally low for *Familiarity* scores. Among
752 indoor sound types, the conditions with fan noise and no indoor sounds resulted the most familiar.
753 Among outdoor sound types, light traffic was generally the most familiar.

754 Regarding the second research question, the main conclusions are:

755 (1) A measurement system of indoor soundscape perception is proposed, consisting of a two-
756 dimensional space defined by two main orthogonal axis, *Comfort* and *Content*, and two additional
757 axis, *Engagement* and *Privacy – Control*, rotated 45° on the same plane. The model represents an
758 adjustment of previous soundscape models developed for outdoor urban environments (cf.
759 ISO/TS 12913 – 3 [41] and Axelsson et al. [38]) to account for perceptual aspects occurring in
760 indoor spaces;

761 (2) The model suggests the perceptual constructs to be measured (e.g. in post-occupancy
762 evaluations), the attribute scales to be employed and actions to improve indoor soundscape
763 quality.

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Highlights

- (1) Laboratory listening tests reproduced indoor soundscapes in living rooms.
- (2) *Comfort*, *Content* and *Familiarity* are the main dimensions of acoustic perception.
- (3) *Privacy-Control* and *Engagement* are at a 45° rotation from *Comfort* and *Content*.
- (4) Sound categories are fundamental predictors of indoor soundscape quality.

Journal Pre-proof

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: