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COVID-19 mortality in hospitalized cancer patients is not significantly affected by chemotherapy or other anti-cancer treatments.

20 **Abstract**

21

22 **Background**

23 Individuals with cancer, particularly those who are receiving systemic anti-cancer treatments, have been  
24 postulated to be at increased risk of mortality from SARS-CoV-2 related coronavirus disease (COVID-19).  
25 This conjecture has considerable impact on the treatment of cancer patients and large, multi-centre data  
26 to support this assumption is lacking due to the contingencies of the pandemic.

27

28 **Methods**

29 The cancer community of the United Kingdom (UK) has launched the *UK Coronavirus Cancer Monitoring*  
30 *Project* (UKCCMP). The UKCCMP is the first COVID-19 clinical registry that enables near real-time  
31 reports to frontline doctors about the effect of COVID-19 on cancer patients.

32

33 **Findings**

34 An analysis of the first 800 cancer patients with symptomatic COVID-19 disease entered into the  
35 UKCCMP registry has been performed. Approximately half of these patients have a mild COVID-19  
36 disease course (52%). Mortality was observed in 226 patients (28%) and risk of death was significantly  
37 associated with advancing patient age, sex (M>F) and the presence of other co-morbidities.  
38 Approximately one third had received cytotoxic chemotherapy within 4 weeks prior to testing positive for  
39 COVID-19. After adjusting for age, sex and comorbidities, recent receipt of chemotherapy had no  
40 significant effect on mortality from COVID-19 disease, when compared to cancer patients who had not  
41 received recent chemotherapy. No significant effect on mortality was also observed for patients with recent  
42 immunotherapy, hormonal therapy, targeted therapy or radiotherapy use.

43

44 **Interpretation**

45 Mortality from COVID-19 in cancer patients appears to be principally driven by age, sex and co-  
46 morbidities. We are not able to identify evidence that cancer patients on cytotoxic chemotherapy or other  
47 anti-cancer treatment are at significantly increased risk of mortality from COVID-19 disease compared to  
48 those not on active treatment.

49

50 **Introduction**

51

52 It is clear from data arising from the Office for National Statistics that the risk of morbidity and mortality  
53 from COVID-19 disease as a consequence of SARS-CoV-2 infection is not uniform across the population.  
54 Cancer patients on systemic anti-cancer treatments have been generally assumed by many to be at a  
55 higher risk than their counterparts who are not currently receiving anti-cancer treatment. The evidence to  
56 support this claim is scanty and limited to retrospective series arising from China, the epicentre of the  
57 current pandemic, and involving very small numbers of patients. <sup>1,2,3</sup> However despite these severe  
58 limitations, the promulgation of this hypothesis has led to widespread, global changes to chemotherapy  
59 and anti-cancer treatment prescribing patterns. <sup>4</sup> In a global health emergency, it is critical that oncologists  
60 secure evidence from a larger dataset, which can then inform their risk benefit analyses for individual  
61 patients in terms of the use of anti-cancer treatments. <sup>5,6</sup>

62

63 On 18<sup>th</sup> March 2020, we launched the *UK Coronavirus Cancer Monitoring Project* (UKCCMP) with  
64 widespread support across our national cancer network. <sup>7,8</sup> Within 5 weeks the UKCCMP had generated  
65 the largest prospective database and interrogation of COVID-19 disease in cancer patients generated to  
66 date. Here we describe the clinical and demographic characteristics and COVID-19 outcomes in this  
67 cohort of patients with cancer and symptomatic COVID-19 and attempt to assess how the presence of  
68 cancer and the receipt of cytotoxic chemotherapy and other anti-cancer treatments impacts upon COVID-  
69 19 disease phenotype.

70

71 **Methods**

72

73 **Study Design and Participants**

74 The UKCCMP database of United Kingdom (UK) cancer patients with a COVID-19 infection was launched  
75 with the support of the UK oncology professional bodies, including the *Association of Cancer Physicians*  
76 (*ACP*), *The Royal College of Radiologists (RCR)*, the *National Oncology Trainees Research Collaborative*  
77 *for Healthcare Research (NOTCH)*, patient support groups including *Macmillan Cancer Support*, charities  
78 including *Action Radiotherapy* and our national research body, *Cancer Research UK (CRUK)*.<sup>9,10</sup> It was  
79 designed as a Public Health Surveillance registry to support rapid clinical decision-making, in accordance  
80 with the UK Policy Framework for Health and Social Care Research, the UK National Research Ethics  
81 Service and the UK Governance Arrangement for Research Ethic Committees. At an institutional level,  
82 this cohort study was approved according to local information governance processes. All patients with  
83 active cancer and presenting to our network of cancer centres from March 18<sup>th</sup> 2020 to April 26<sup>th</sup> 2020  
84 with COVID-19 were eligible for enrolment into the UKCCMP. In keeping with international practice,  
85 patients were deemed to have COVID-19 if there was a positive SARS-CoV-2 Real-Time Reverse  
86 Transcription Polymerase Chain Reaction (RT-PCR) assay test from a throat/nose swab. Patients with a  
87 radiological or clinical diagnosis of COVID-19, without a positive RT-PCR test were not included in this  
88 analysis. As such, these patients are, by definition, symptomatic, requiring secondary care review for  
89 potential hospitalization. They were not part of a proactive surveillance program. 'Patients with active  
90 cancer' was defined as those with metastatic cancer, or on anti-cancer treatment in any setting  
91 (curative/radical/adjuvant/neoadjuvant setting) or treated within the past 12 months with surgery/cytotoxic  
92 chemotherapy/radiotherapy. Stage of tumour was divided into those into those that were *Primary Tumour*  
93 *Localized*- localized to organ and therefore potentially resectable, *Primary Tumour- locally advanced*-  
94 where it had spread locally from the primary organ and not resectable, *Metastatic*- where there is distant  
95 spread (stage 4) and those presently in *Remission*. Patients were assessed as to whether they had  
96 received chemotherapy (which did not include denosumab), immunotherapy, hormonal therapies or  
97 radiotherapy within 4 weeks of contraction of SARS-CoV-2. Non-palliative chemotherapy was defined as  
98 chemotherapy that was used in a neoadjuvant/adjuvant/radical setting. For the purposes of the present  
99 analysis, outcomes were monitored up to April 26<sup>th</sup> 2020.

100

101

102 **Data Collection**

103 Prospective data collection was performed by the newly formed pan-UK cancer centre emergency  
104 response network. Case reporting was led by a COVID-19 Emergency Response Reporting Individual  
105 (ERRI), supported by a Local Emergency Response Reporting Group (LERRG) at each centre. The role  
106 of the LERRG was to ensure near continuous reporting of cases in situations of absence of the ERRI due  
107 to off-days, illness, compassionate leave, self-isolation or re-deployment. The UKCCMP encouraged all  
108 local reporting sites to enter data in a real time basis, as soon as a positive SARS-CoV-2 test had been  
109 identified. The data fields were then re-updated as soon as treatment and outcomes had been identified  
110 and also to reflect the worse COVID-19 severity scores during hospitalization. The ERRI was a trained/in  
111 training oncologist who performed data review, annotation and entry. In a small number of centres, data  
112 entry was performed by data managers but with direct oversight by the ERRI. All registry entries were de-  
113 identified at source to ensure data anonymity to researchers. Data was entered into a Research Electronic  
114 Data Capture (REDCap) browser-based metadata driven electronic data capture (EDC) software system.

115 <sup>11</sup> This secure EDC platform is hosted by the Institute of Translational Medicine at the University of

116 Birmingham. Patient demographics, treatment details, COVID-19 disease course and cancer features  
117 were obtained from the direct assessment of the ERRI/LERRG and/or through hospital medical records.  
118 COVID-19 Severity Score was determined according to the WHO guidelines.<sup>12</sup> Cancer type was defined  
119 according to ICD-10 diagnostic codes.

120

121

## 122 **UKCCMP data processing and analysis**

123 The data through the REDCap platform is transferred securely through to the Compute and Storage for  
124 Life Science (CaStLeS) infrastructure as part of the Birmingham Environment for Academic Research  
125 local Cloud (BEARCloud)<sup>13</sup> at the Centre for Computational Biology, University of Birmingham.

126

127 Within CaStLeS, the data is curated to avoid duplications and errors, then annotated with further  
128 information such as geolocation before it can be analysed and disseminated. The deployment of an  
129 automatic workflow, with human-in-the-loop, enables near real-time robust data analytics delivery to  
130 oncology medical health professionals through a weekly report in addition to a secured interactive web  
131 portal. Importantly, it enables delivery of national and local analytics with dynamic level of granularity.

132

133

## 134 **Statistical analysis & Data visualisation**

135

136 In this study, we report on the clinical outcomes of cancer patients who developed COVID-19 disease,  
137 assessing whether the patient died or eventually achieved discharge, and observing the effect of anti  
138 cancer treatment on outcomes. The two-sided Welch's t-test was used to compare continuous data and  
139 two-sided Fisher's exact test was used to compare categorical data from different categories with  
140 multivariate Bonferroni (multi-test) adjustment. A primary endpoint of all-cause mortality was defined *a*  
141 *priori*. This included deaths described as related to COVID-19 during this admission, as well as deaths  
142 reported as a consequence of any other cause during this admission, such as due to cancer progression  
143 or treatment toxicity. This was used for all regression analyses. Multivariate analyses were performed in  
144 SPSS, version 26 and Fisher's Exact tests in R version 3.6.3 utilising the Fisher.test () function.  
145 Multivariable logistic regression was used to estimate odd ratios and 95% confidence intervals of each  
146 factor after adjustment for clinically relevant potential confounders of age, sex, diabetes, hypertension,  
147 COPD or other comorbidities at admission. Goodness of fit was checked using Hosmer-Lemeshow test  
148 and, unless otherwise reported, had  $p > 0.05$ . Where this goodness of fit criteria was not met, further  
149 multivariable logistic regression models using the above potential confounders was performed using a  
150 forward selection of  $p < 0.10$ . Patients with either 'no information/missing relevant data' were not included  
151 in these regression analyses. Sub-group analyses of patients on chemotherapy was performed in order  
152 to better identify risk in this cohort of patients. This included an analysis of non-palliative vs. palliative  
153 chemotherapy, first line vs. later lines of palliative chemotherapy, palliative chemotherapy vs. no anti-  
154 cancer treatment, palliative chemotherapy vs. no recent chemotherapy. The justification for these  
155 analyses is that the cancer chemotherapy group is heterogenous. These subgroup analyses have a well-  
156 established oncology/clinical rationale, for example, non-palliative (curative) chemotherapy aims to  
157 prevent recurrence or eradicate disease, whereas palliative chemotherapy aims to maintain quality of life,  
158 or extend life usually by a matter of months, and both patient and chemotherapy treatment (drugs, dose  
159 and intensity) necessarily evolve as a patient progresses from 1<sup>st</sup> line to later lines of chemotherapy.<sup>14</sup>  
160 Data processing and visualisation utilised R (version 3.6.3) packages.

161 **Project funding**

162 This project was funded by the University of Birmingham (data collection and time of JPC, LL, UKCCMP  
163 and GM) and the University of Oxford (RK time). The University of Birmingham had no formal role in  
164 data collection, analysis, interpretation or decision to submit.

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168 **Results**

169

170 Fifty-five Cancer centres had appointed a COVID-19 local emergency response reporting group (LERRG)  
171 and form part of this clinical network of cancer centres. Together this network covered a patient population  
172 of nearly 1.5 million patients who were living with active cancer with good coverage across all regions of  
173 the United Kingdom (Figure 1).

174

175 This early patient cohort consists of the first 800 patients with active cancer who had a documented SARS-  
176 CoV-2 infection presenting as symptomatic COVID-19 disease. As presented in Table 1, 56% of patients  
177 were male with a median age of 69.0 years (IQR 59-76). Comorbidities were common, including  
178 hypertension (n=247, 31%), diabetes (n=131, 16%), cardiovascular disease (n=109, 14%), COPD (n=61,  
179 8%). One hundred and sixty-nine cancer patients were listed as having no comorbidities apart from their  
180 cancer diagnosis (21%). Approximately half of the patients had current ongoing metastatic cancer (n=347,  
181 43%), of which malignant neoplasia of the digestive organs (n=150, 19%), haematological malignancies  
182 (n=109, 14%), breast (n=102, 13%) and respiratory and thoracic organs (n=90, 11%) were the commonest  
183 primary tumour sites. The median time from identification of documented COVID-19 disease until study  
184 end points were met (death or discharge from hospital) was 5 days (range 0-38).

185

186 In terms of the pattern of COVID-19 presentation, most presented with fever (n=484, 61%), cough (n=377,  
187 47%), and/or shortness of breath (n=312, 39%). However, diarrhoea (n=51, 6%), nausea and vomiting  
188 (n=39, 5%), ageusia (n=13, 2%) and anosmia (n=9, 1%) were also identified as less common presenting  
189 symptoms.

190

191 A number of correlates of severity of COVID-19 were measured, according to WHO criteria.<sup>12</sup> A mild  
192 COVID-19 severity score was recorded in 412 patients (52%), with 96 patients (12%) not requiring  
193 hospitalization. 315 patients required oxygen (39%), and 53 patients received ITU-level care (7%). Of  
194 these 53 patients, at the time of analysis, 6 were discharged (11%), 23 died (43%) and 24 were either still  
195 in ITU and/or did not have a final recorded outcome (45%). The ITU admission rate was notably low and  
196 reflective of findings from the UK intensive care national audit and research centre (ICNARC)<sup>15</sup>.

197

198 Death in this cohort was the final outcome in 226 patients (28%) with reporting stating that the death was  
199 principally attributable to COVID-19 in the majority of these cases (n=211 (93%). This mortality rate is  
200 higher than reported literature in the 'general' population, and likely to reflect the relative severity of  
201 symptoms of cancer patients who seek help from secondary care. Compared to the rest of the cancer  
202 cohort, patients who died were significantly older (median 73.0 years vs. 66.0 years, p<0.001) (Figure 2),  
203 more were male (mortality 33% 146/449) than female (mortality 23% 80/349) and those who died also  
204 displayed higher rates of comorbidities including cardiovascular disease (21% vs 11%, p<0.001) and  
205 hypertension (41% vs 27%) (p<0.001). They were also more likely to present with symptoms of shortness  
206 of breath (57% vs 32%) (p<0.001).

207

208 Across the cohort, 22% of patients were reported by sites as having their anti-cancer treatments  
209 interrupted due to the COVID-19 pandemic, however, the exact nature of this interruption is unknown.

210

211 Compared to patients who had not received chemotherapy within 4 weeks of testing positive for COVID-  
212 19, those who had received recent chemotherapy did not suffer increased mortality when analysed by  
213 univariate analysis (27% death rate with chemotherapy vs 29% death rate without recent chemotherapy).  
214

215 In order to explore this relationship in greater detail, an in-depth analysis of the 281 patients who had  
216 received recent chemotherapy use was therefore performed (Figure 3). There were no significant  
217 differences in underlying cancer primary site in the recent chemo versus no chemo group. However,  
218 compared to cancer patients who had not received recent chemotherapy, the chemotherapy positive  
219 cohort was younger (median age 64.0 years vs. 71.0 p<0.001). Therefore, a multivariate analysis with  
220 adjustment for age, sex and comorbidities was performed and we found that deaths in COVID-19 cancer  
221 patients who had received recent chemotherapy were still no more likely than those that had not (OR  
222 1.18, 95% CI [0.81 to 1.72]; p=0.380) (Table 2). However, this analysis had a borderline fit (Hosmer-  
223 Lemeshow test p value=0.048). To be more confident of our findings, we also performed a forward  
224 regression model (Hosmer-Lemeshow goodness of fit p=0.476) with similar findings (OR 1.15, 95% CI  
225 [0.79 to 1.66], p=0.467). We specifically explored the interaction of chemotherapy and disease severity  
226 and this interaction term is not significant in predicting mortality (OR 1.16, 95% CI [0.42 to 3.19], p=0.768).  
227 This was not significant and is not included in the final model.  
228

229 Patients receiving chemotherapy are a heterogeneous group and so further exploratory subgroup  
230 analyses were performed. On further multivariate analysis of the group of patients who had received  
231 recent chemotherapy, decreased odds of death was found in patients receiving non-palliative  
232 chemotherapy (neoadjuvant/adjuvant/radical) compared to those receiving palliative chemotherapy (16%  
233 vs 35%) (OR 0.40 CI [0.17 to 0.96]; p=0.040) following adjustments for age, sex and comorbidities.  
234 However, the odds of death in these palliative chemotherapy patients was still not significantly different to  
235 cancer patients with no anti-cancer treatment at all (OR 1.05, 95% CI [0.63 to 1.76]; p=0.854), but there  
236 was a non-significant trend compared to those with no recent chemotherapy (OR 1.48, 95% CI [0.93 to  
237 2.36]; p=0.102). There was no significant differences in mortality in those patients receiving first line  
238 palliative chemotherapy compared to those receiving later lines of palliative treatment (OR 0.84, 95% CI  
239 [0.36 to 1.98]; p=0.690) following adjustments for age, sex and comorbidities.  
240

241 Finally, we analysed the use of other forms of anti-cancer therapies within 4 weeks of testing positive for  
242 SARS-CoV-2 infection and presenting with COVID-19 disease. Compared to the rest of the cohort who  
243 were not on these therapies, patients on immunotherapy (n=44, OR 0.59, 95% CI [0.27 to 1.27]; p=0.177),  
244 hormonal therapy (n= 64, OR 0.90, 95% CI [0.49 to 1.68]; p=0.744), radiotherapy (n=76, OR 0.65, 95%  
245 CI [0.36 to 1.18]; p=0.159) and targeted therapies (n= 72, OR 0.83, 9% CI [0.45 to 1.54]; p=0.559) were  
246 also not at any additional risk of death following adjustment for age, sex and comorbidities (Figure 4).  
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250 **Discussion**

251

252 Global healthcare systems are currently dealing with the COVID-19 pandemic, a disease caused by  
253 SARS-CoV-2 infection; a situation which is set to be a generational challenge to all clinicians. At the time  
254 of writing, the clinical phenotype and interactions of SARS-CoV-2 infection/ COVID-19 disease with pre-  
255 existing disease and systemic anti-cancer treatments agents is poorly described and based on very small  
256 retrospective studies.

257

258 The disruption from the pandemic to normal oncological care has been huge for a number of reasons.  
259 Firstly, cancer clinicians and the rest of the cancer team are under unprecedented pressures, with  
260 increasing concern from patients about their perceived 'vulnerability', cancelled cancer operations, a  
261 significant drive to do telemedicine rather than face to face consultations, and a high degree of absence  
262 from work across the cancer team, due to personal illness and self / household isolation. Secondly, many  
263 oncologists are being redeployed to general or acute medicine roles to support the large number of  
264 COVID-19 admissions requiring intensive medical support and input. Thirdly, a couple of small studies  
265 reporting COVID-19 outcomes in cancer patients has resulted in the community being fearful of giving  
266 effective anticancer treatments. These studies concluded that cancer patients are not only more  
267 susceptible to contracting the virus, but also at risk of developing more severe sequelae.<sup>3,2</sup> In the largest  
268 cohort of 105 cancer patients consisting of only 17 on chemotherapy, 6 patients on immunotherapy and  
269 4 on targeted therapies, strong recommendations were made about the COVID-19 risk from anti-cancer  
270 treatments.<sup>1</sup> All of these studies are small cohorts and limited to a very restricted number of cancer centres.  
271 We felt that the studies raised important hypotheses but were in no way unequivocal and indeed there  
272 are contradictory studies from single centre studies from the United States of America.<sup>16</sup> To clarify the  
273 relationship between cancer, anti-cancer treatments and COVID-19 infection, it is clear that larger-scale  
274 datasets are necessary.

275

276 Because of the limited prevalence of the coexistence of cancer and COVID-19 disease, individual health  
277 care centres and physicians will only encounter small numbers of patients with both diseases. In addition,  
278 because of the fire-fighting nature of pandemic healthcare, much of the usual infrastructure of medical  
279 professional data dissemination has been completely dismantled: local, national, and international clinical  
280 meetings have been delayed or cancelled as part of public health measures to prevent COVID-19 spread.  
281 It is therefore of even greater importance that national and international strategies to share data quickly  
282 and effectively are created during this time of unprecedented need for rapid learning and evidence  
283 regarding best practice.

284

285 The UKCCMP was designed to serve as a Public Health Surveillance registry to answer important  
286 questions about the interaction of cancer, its treatments and COVID-19, and to support rapid clinical  
287 decision-making. Close alignment of healthcare systems, physicians, and patients has meant that the  
288 project was launched and produced clinically meaningful output over the course of four weeks.

289

290 In this paper, the UKCCMP describes the demographics of cancer patients with COVID-19 and explores  
291 the effect of cytotoxic chemotherapy and other anti-cancer treatments on the trajectory of that disease.  
292 We have identified that the phenotype of diagnosed COVID-19 disease in over half of cancer patients is  
293 mild, but death from COVID-19 in this cohort was observed in a significant percentage of patients. This  
294 mortality is higher than that observed in the general non-cancer UK population,<sup>17</sup> and may be reflective

295 of the severity of symptoms of the cancer patients who choose to seek treatment in secondary healthcare  
296 setting. It is interesting to note that the rate of admission to ITU was low at about 6% compared to a death  
297 rate of approximately 28%. Our dataset is currently unable to answer the question as to whether this might  
298 arise as a result of advance patient healthcare directives, hospital/ITU admission policy, a reluctance of  
299 treating physicians to utilise ITU resources for cancer patients or historically lower numbers of ITU beds  
300 available in the United Kingdom <sup>18</sup>. This does raise questions as to whether having a diagnosis of cancer  
301 decreases the potential access of these patients to the most intensive support.

302

303 From this early dataset, using multivariate analysis, we conclude that cytotoxic chemotherapy given within  
304 4 weeks prior to confirmed COVID-19 disease is not a significant contributor to a more severe disease or  
305 a predictor of death from COVID-19, compared to cancer patients who have not received chemotherapy  
306 in that period. Whilst numbers are smaller, similar observations were observed for immunotherapy,  
307 hormonal therapy, targeted therapy and radiotherapy. Again, further interrogation with higher numbers  
308 will allow us to confirm or refute this finding.

309

310 Overall, in interpreting these data, and putting them into context, we suggest that it is important to continue  
311 to shield cancer patients from exposure to SARS-CoV-2, though self-isolation, minimising hospital visits  
312 where they can be avoided (which may mean a substitution or more oral agents in place of intravenous  
313 drugs), avoiding the mixing of COVID negative and COVID positive workstreams within the hospital  
314 environment; and by mitigating the risk of neutropenia to avoid the risk of simultaneous COVID-19 and  
315 bacterial septicaemia. It is also important to ensure that cancer patients have equivalent access to ITU  
316 care. However, in answer to the frequent question from patients as to whether chemotherapy or anti-  
317 cancer treatments will increase their risk of dying from COVID-19, in addition to the increased risk due to  
318 their cancer, our answer should be, not necessarily so. In patients presenting to NHS trusts or cancer  
319 centres, our data is strongly indicative that cancer COVID-19 mortality is principally driven by advancing  
320 age and the presence of other non-cancer co-morbidities. We conclude that withholding effective cancer  
321 treatments from significant numbers of cancer patients during the current pandemic runs the very real risk  
322 of increasing cancer morbidity and mortality, perhaps much more so than COVID-19 itself.

323

324 It is important to note the current limitations of the UKCCMP. Our analysis is partly dependent on the UK  
325 national COVID-19 testing policy, which is currently is less permissive than other nations <sup>19,20</sup> and also  
326 relies on RT-PCR which has a well described false negative result. <sup>21</sup> The project may therefore  
327 underreport total COVID-19 cases in cancer patients, particularly those with no/mild symptoms and who  
328 do not require or present to healthcare centres. On the other hand, because we are in such close and  
329 frequent contact with our patients, and have a high index of suspicion on their behalf, we may also repeat  
330 testing and potentially over report SARS-CoV-2 infection compared to the general population. One might  
331 argue that there could be a selection bias, in that those patients that were *not* on chemotherapy may have  
332 been taken off because of a poorer performance status, thus increasing their risk of death from COVID-  
333 19 disease, and reducing our ability to assess the real risk of anticancer treatments in a better performance  
334 status 'healthier' population. However, we have attempted to address this through multivariate analyses  
335 with age and co-morbidity correction. Finally, we do not comment on overall incidence of COVID-19  
336 positivity amongst cancer patients because we do not yet have secure numerators and denominators for  
337 that calculation. However, total number of cases remain thankfully low, likely reflecting effective cancer  
338 social isolation policies.

339

340 Despite these noted limitations, the UKCCMP is unique in covering the majority of the UK cancer  
341 population, with universal access to cancer care and has been achieved through the rapid set up of a  
342 dedicated and coordinated emergency cancer network. The UKCCMP will continue to update our data  
343 weekly and share our outcomes with the oncological community.  
344

345 With greater numbers analysed we will be able to answer more nuanced questions and guide further  
346 research. It will be important to investigate if the grading of COVID-19 could be further refined, to add  
347 granularity to our understanding the heterogeneity between different tumour subtypes, to clarify the risks  
348 of specific anti-cancer treatments, to determine if there are risks relating to more specific timing of anti-  
349 cancer treatments, and to gain a better understanding of the interaction between the host immune  
350 response and risk from COVID-19. There are some very interesting questions surrounding the differential  
351 impact of various anticancer treatments on different components of the immune system (neutrophils,  
352 cytotoxic T-cells, regulatory T cells and macrophages) and how these will interplay with the risk of  
353 contracting SARS-CoV-2 infection, or with the possibility of severe COVID-19 disease sequelae such as  
354 the cytokine storm.  
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**Table 1: Clinical features of patients in the UKCCMP registry, 16<sup>th</sup> April 2020, with breakdown by all- cause mortality. Data are displayed as number of cases, except for age which is median age.**

Patient features	All patients (n=800)	Patients Died (n=226)	Patients Survived (n=574)
<b>Sex and age</b>			
- Male	449 (56%)	146 (65%)	303 (53%)
- Female	349 (44%)	80 (35%)	269 (47%)
- Other <sup>a</sup>	2 (0%)	0 (0%)	2 (0%)
- Median age/years	69	73	66
<b>Co-morbidities</b>			
- Cardiovascular disease	109 (14%)	48 (21%)	61 (11%)
- COPD	61 (8%)	24 (11%)	37 (6%)
- Diabetes	131 (16%)	46 (20%)	85 (15%)
- Hypertension	247 (31%)	92 (41%)	155 (27%)
- None	169 (21%)	27 (12%)	142 (25%)
- Other <sup>b</sup>	336 (42%)	108 (48%)	228 (40%)
- No information	123 (15%)	28 (12%)	95 (17%)
<b>Cancer type</b>			
- Lip, oral cavity and pharynx	27 (3%)	4 (2%)	23 (4%)
- Digestive organs	150 (19%)	42 (19%)	108 (19%)
- Respiratory and intrathoracic organs	90 (11%)	32 (14%)	58 (10%)
- Melanoma (Skin)	27 (3%)	4 (2%)	23 (4%)
- Breast	102 (13%)	18 (8%)	84 (15%)
- Female genital organs	45 (6%)	5 (2%)	40 (7%)
- Male genital organs	78 (10%)	30 (13%)	48 (8%)
- Urinary tract	50 (6%)	16 (7%)	34 (6%)
- Central nervous system	15 (2%)	3 (1%)	12 (2%)
- Lymphoma	60 (8%)	20 (9%)	40 (7%)
- Other Haematological	109 (14%)	40 (18%)	69 (12%)
- Other <sup>c</sup> /unspecified	47 (6%)	12 (5%)	35 (6%)
<b>Cancer Stage</b>			
- Primary Tumour - Localised	149 (19%)	40 (18%)	109 (19%)
- Primary Tumour - Locally Advanced	78 (10%)	14 (6%)	64 (11%)
- Metastatic	347 (43%)	103 (46%)	244 (43%)
- Remission	21 (3%)	3 (1%)	18 (3%)
- No information	205 (25%)	66 (29%)	139 (24%)
<b>Cancer treatment within 4 weeks</b>			
- Chemotherapy	281 (35%)	75 (33%)	206 (36%)
- Hormone Therapy	64 (8%)	21 (9%)	43 (7%)
- Immunotherapy	44 (6%)	10 (4%)	34 (6%)
- Radiotherapy	76 (10%)	18 (8%)	58 (10%)
- Surgery	29 (4%)	7 (3%)	22 (4%)
- Targeted Treatment	72 (9%)	16 (7%)	56 (10%)
- Other <sup>d</sup>	60 (8%)	13 (6%)	47 (8%)
- None	272 (34%)	92 (41%)	180 (31%)
- No information	10 (1%)	1 (0%)	9 (2%)
<b>COVID-19 Severity Score</b>			
- Mild	412 (52%)	22 (10%)	390 (68%)
- Severe	187 (23%)	59 (26%)	128 (22%)
- Critical	173 (22%)	140 (62%)	33 (6%)
- No information	28 (3%)	5 (2%)	23 (4%)
<b>COVID-19 treatment</b>			
- ITU	53 (7%)	23 (10%)	30 (5%)

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<sup>a</sup> Patient features- other, identifies patient where the patient does not identify as either male/female

<sup>b</sup> Co-morbidities- other, identifies co-morbidities which are not any of the co-morbidities included in the tables

<sup>c</sup> Cancer type- other, identifies ICD10 cancer types including malignant neoplasia of the bone and articular tissue, endocrine glands, mesothelioma and soft tissue and any other tumour type which was not included in the table.

<sup>d</sup> Cancer type- other, identifies cancer treatments which do not fall into the cancer treatment types defined in the table

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**Table 2: Regression analysis and odds of death based on features of patients in the UKCCMP. Univariate analysis was conducted with presence compared to absence (reference) for each category except for sex and age. Male sex was compared with reference to female sex. A Bonferroni p-value adjustment was performed. Multivariate analysis was conducted correcting for age, sex and patient co-morbidities.**

Patient features	Univariate analysis			
	Odds Ratio (95% CI)	<i>p value</i>	<i>p adjusted</i>	
<b>Sex and age</b>				
- Sex	1.67 (1.19-2.34)	0.003	0.006	**
- Age	9.42 (6.56-10.02)	<0.0001	<0.0001	****
<b>Co-morbidities</b>				
- Cardiovascular disease	2.32 (1.47-3.64)	0.0003	0.0019	**
- COPD	1.80 (1.00-3.27)	0.063	ns	
- Diabetes	1.61 (1.03-2.48)	0.032	ns	
- Hypertension	1.95 (1.36-2.80)	0.0003	0.0015	**
<b>Cancer type</b>				
- Lip, oral cavity and pharynx	0.42 (0.13-1.21)	0.116	ns	
- Digestive organs	0.91 (0.60-1.38)	0.680	ns	
- Respiratory and intrathoracic organs	1.50 (0.91-2.45)	0.121	ns	
- Melanoma (Skin)	0.37 (0.12-1.14)	0.079	ns	
- Breast	0.48 (0.28-0.84)	0.009	ns	
- Female genital organs	0.31 (0.11-0.81)	0.010	ns	
- Male genital organs	1.99 (1.14-3.48)	0.015	ns	
- Urinary tract	1.10 (0.58-2.12)	0.745	ns	
- Central nervous system	0.64 (0.15-2.32)	0.760	ns	
- Lymphoma	1.30 (0.71-2.30)	0.373	ns	
- Other Haematological	1.57 (1.01-2.42)	0.040	ns	
<b>Cancer Stage</b>				
- Primary Tumour - Localised	1.04 (0.67-1.64)	0.912	ns	
- Primary Tumour - Locally Advanced	0.58 (0.29-1.09)	0.111	ns	
- Metastatic	1.34 (0.90-2.01)	0.145	ns	
- Remission	0.42 (0.10-1.43)	0.204	ns	
<b>Cancer treatment within 4 weeks</b>				
- Chemotherapy	0.78 (0.55-1.11)	0.173	ns	
- Hormone Therapy	1.16 (0.64-2.06)	0.659	ns	
- Immunotherapy	0.60 (0.27-1.24)	0.179	ns	
- Radiotherapy	0.66 (0.37-1.17)	0.178	ns	
- Surgery	0.83 (0.32-2.15)	0.825	ns	
- Targeted Treatment	0.56 (0.30-1.01)	0.058	ns	
<b>COVID-19 Severity Score</b>				
- Mild	0.03 (0.02-0.05)	<0.0001	<0.0001	****
- Severe	1.63 (1.10-2.40)	0.015	0.045	*
- Critical	89.65 (41.64-209.83)	<0.0001	<0.0001	****
<b>COVID-19 treatment</b>				
- ITU	1.95 (1.09-3.52)	0.027	0.027	*
<b>Treatment features</b>	Multivariate analysis			
	Odds Ratio (95% CI)	<i>p value</i>		
<b>Recent ant-cancer treatments</b>				
- Chemotherapy vs no chemotherapy	1.18 (0.81-1.72)	0.380		
- Hormone therapy vs no hormone Therapy	0.90 (0.49-1.68)	0.744		
- Immunotherapy vs no Immunotherapy	0.59 (0.27-1.27)	0.177		
- Radiotherapy vs no radiotherapy	0.65 (0.36-1.18)	0.159		
- Targeted treatment vs no targeted treatment	0.83 (0.45-1.54)	0.559		
<b>Cytotoxic Chemotherapy</b>				
- Non-palliative chemo vs palliative chemo	0.40 (0.17-0.96)	0.040		
- Palliative 1st line chemo vs other line	0.84 (0.36-1.98)	0.690		
- Palliative chemo vs no chemo	1.48 (0.93-2.36)	0.102		
- Palliative chemo vs no treatment	1.05 (0.63-1.76)	0.854		

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\* denotes statistical significance of *p adjusted*, where \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ , \*\*\*\*  $p < 0.0001$

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428 The following authors were involved in the study design (LL, RK, GM), data collection (LL, JBC, GM, RK,  
429 UKCCMP), analysis (LL, JBC, TS, CT, RK, GM), interpretation (LL, JBC, TS, CT, RK, GM), writing of  
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440 **Declaration of interest**

441

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503 FIGURE LEGENDS

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506 *Figure 1: Geographical plot, 26<sup>th</sup> April 2020, demonstrating the prevalence of COVID-19 in the Scotland,*  
507 *Wales and regions of England. Data displayed is average number of cases from reports per cancer centre*  
508 *region.*

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510 *Figure 2: Horizontal bar plot demonstrating the age distribution of cancer patients in the cohort and relation*  
511 *to patient mortality.*

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513 *Figure 3: Sankey plot demonstrating relationship of chemotherapy use within 4 weeks of contracting*  
514 *COVID-19 infection and mortality and severity of disease course. The vertical coloured bars denote the*  
515 *patient cohort, split into different groups (purple- severity of COVID19, blue- presence or absence of*  
516 *recent chemotherapy, red/green-patient mortality). The grey horizontal bars denote that associations*  
517 *between the different groups with wider bars denoting more overlap.*

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519 *Figure 4: Forest plots showing effect of anti-cancer treatments and mortality from COVID-19 infection*

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## Supplementary Methods

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### Data visualisation and figure generation

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Data processing and visualisation utilised R (version 3.6.3) packages including broom, dplyr, gpclib, ggmap, ggplot2, mapdata, maps, maptools, networkD3, rgdal, rgeos, robustbase and viridis. Data subsetting was performed using the subset() function of 'robustbase' and data reshaping for visualisation involved the use of the group\_by() and melt() functions of 'dplyr'. Functions from the ggplot2 R package were used to generate multiple plots including barplots (geom\_bar) and UK region map (geom\_polygon). The sankeyNetwork() function of the 'networkD3' R package was also used to generate the Sankey plot. The shape (.shp) file for the UK region map was publicly available from the UK Office for National Statistics.<sup>22</sup>