

1 **Visual disability in childhood: findings from the national observational study**
2 **of British childhood visual impairment and blindness (BCVIS2)**

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31 **Abstract**

32
33 **Background**

34 The WHO's *Vision 2020* global initiative against blindness, launched in 2000,
35 prioritises children. Progress has been hampered by the global paucity of
36 epidemiological data about childhood visual disability. The British Childhood Visual
37 Impairment and Blindness Study 2 (BCVIS2) was undertaken to address this
38 evidence gap.

39 **Methods**

40 UK-wide prospective population-based observational study of all those aged under
41 18 years newly diagnosed with visual impairment or blindness between Oct 1, 2015
42 and Nov 1 2016. Eligible children were notified simultaneously but independently by
43 their managing ophthalmologists and paediatricians via the two national active
44 surveillance schemes, the British Ophthalmic and Paediatric Surveillance Units.
45 Standardised detailed data were collected at diagnosis and one year later. Incidence
46 estimates and relative rates by key sociodemographic factors were calculated.
47 Descriptive analyses were undertaken of underlying ophthalmic disorders and non-
48 ophthalmic comorbidities.

49 **Findings**

50 Of 784 cases, 72% had additional non-ophthalmic impairments/disorders and 4%
51 died within the year. Annual incidence was highest in the first year of life, 5.2 per
52 10,000 (95% CI 4.7-5.7) with cumulative incidence by 18 years of 10.0 per 10,000
53 (95% CI 9.4 to 10.8). Rates were higher for those from any ethnic minority group, the
54 lowest quintile of socio-economic status, born preterm or with low birthweight. Only
55 44% had a single ophthalmic condition: disorders of the brain/visual pathways
56 affected 48% overall. Prenatal or perinatal aetiological factors accounted for 84% of
57 all conditions.

58

59 **Interpretation**

60 BCVIS2 provides a contemporary snapshot of the heterogeneity, multi-morbidity and
61 vulnerability associated with childhood visual disability in a high income country, and
62 the arising complex needs. These findings will facilitate developing and delivering
63 healthcare and planning interventional research. They highlight the importance of
64 including childhood visual disability as a sentinel event and metric in global child
65 health initiatives.

66

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69

70

71 **Introduction**

72 Most people intuitively recognise the potentially profound impact of losing one's
73 eyesight in adult life.^{1,2} Few will have given thought to being born or growing up with
74 impaired vision. An expanding literature is revealing the vital importance of normal
75 vision to all aspects of child development³ at a time when optimising early childhood
76 development, particularly as the foundation of adult health and well-being, is a global
77 priority.⁴ There is also growing recognition of the diverse and deep potential impact
78 of impaired vision on physical and mental health, quality of life, and social outcomes
79 of the affected child and the adult she becomes.^{3,5,6}

80

81 Childhood-onset visual disability arguably confers a greater burden than adult-onset
82 visual impairment (mainly occurring in late adult life), in terms of 'years of sighted life'
83 lost and associated financial and opportunity costs of care and loss of potential
84 productivity.⁷ Childhood visual disability was prioritised in '*VISION 2020*'⁸ the World
85 Health Organisation's global initiative to eliminate avoidable blindness by 2020.
86 However, as recognised in the WHO's 'Universal Eye Health' Global Action Plan,⁹
87 progress has been hampered by the global paucity of robust epidemiological
88 intelligence about childhood visual disability to inform primary, secondary or tertiary
89 preventive health care, policies and strategies. The British Childhood Visual
90 Impairment and Blindness Study (BCVIS)¹⁰ was undertaken in 2000, as *Vision 2020*
91 launched, to address this evidence gap for the United Kingdom *per se* and as an
92 example of an industrialised country setting. It employed national active surveillance
93 methods for the first time in this arena, to understand the epidemiology of childhood
94 *blindness*, the 'tip of the iceberg' of the full spectrum of impaired vision. In response
95 to the continuing lack of alternative data sources to inform planning and provision of
96 services and policies, we built on the proof of methods and the national collaborative

97 research network that enabled us to undertake that study, to carry out the research
98 reported here, the BCVIS2 - a national epidemiological study of incident childhood
99 *full-spectrum* visual disability (ie spanning visual impairment to blindness),
100 characterising this population and identifying their specific needs within the broader
101 context of child health.

102

103 **Methods**

104 **Study design**

105 A prospective UK-wide, cross-sectional study establishing an inception cohort of
106 newly diagnosed children.

107

108 **Case definition / Eligibility criteria**

109 Any child/young person aged ≤ 18 years and newly diagnosed with any condition
110 causing impaired acuity to a level of 0.50 LogMAR or worse (worse than 6/18
111 Snellen) in each eye, or equivalent vision as assessed by standard qualitative
112 measures.^{10,11}

113 Within ICD 10, visual impairment (VI) comprises acuity between 0.5 and 1.0 (6/19 to
114 6/60 Snellen) and severe visual impairment/blindness (SVI/BL) comprises a
115 narrower range of acuity of 1.01 LogMAR or worse, including no perception of light.

116 As a benchmark, in the UK the minimum threshold for a standard driving licence is
117 0.3 LogMAR (6/12 Snellen), and 0.5 LogMAR is a conventional threshold for
118 anticipating additional educational support such as low vision aids or large print.

119

120 **Case ascertainment**

121 In the UK multidisciplinary assessment of children newly diagnosed as visually
122 impaired/blind is recommended,¹² and a proportion of children will first present to a

123 paediatrician.¹⁰ Therefore to maximise ascertainment of eligible cases *and*
124 completeness of data collection, eligible children were identified simultaneously but
125 independently, through the two long-standing national active surveillance schemes in
126 the UK for research on rare conditions in ophthalmology and in paediatrics, the
127 British Ophthalmological Surveillance Unit (BOSU) and the British Paediatric
128 Surveillance Unit, respectively. In both schemes, comprising **all** UK
129 consultant/'attending' ophthalmologists (ie general and specialist paediatric) and
130 paediatricians, respectively, reporting clinicians use a monthly reporting card to
131 either notify any new cases *or* confirm they have no cases to report. Despite the
132 overarching recommendation, in practice, children with the most severe impairment
133 (SVI/BL) usually see a paediatrician around the time of diagnosis, but those with less
134 severe impairment (VI) may not. Thus ophthalmologists reported all eligible children
135 (VI/SVI/BL) and paediatricians reported those with SVI/BL. Cases were ascertained
136 in a 12 month period ending 1st November 2016 with follow-up data collection
137 completed into 2018.

138

139 **Data collection**

140 Data were collected at diagnosis and one year later using standardised proformas
141 developed with our multi-disciplinary clinical research network, the British Childhood
142 Visual Impairment and Blindness Study Group (BCVISG). Data collected at
143 diagnosis comprised: sociodemographic characteristics (age, sex, ethnicity and
144 family postcode/zipcode) alongside detailed ophthalmic and systemic clinical
145 information using ICD-10 definitions, and information about early management
146 comprising diagnostic tests and treatments. The disorders/condition(s) causing
147 VI/SVI/BL were categorised using the modified WHO dual taxonomy we used
148 previously¹⁰ i.e. by both anatomical site(s) affected and aetiological factors (by timing

149 of action). Identifiers were used to match cases, exclude duplicate reports, and
150 merge data obtained through both sources. Follow-up data were used to
151 review/confirm eligibility, including confirmation that the visual disability was
152 permanent, and collect additional information about management and outcomes.
153 This included status with respect to certification of sight impairment, the process by
154 which individuals visual impairment are offered inclusion in their local social care
155 register to assist in accessing support and Governmental financial assistance.¹³
156 .All incoming data returned by the managing consultant (attending) clinician were
157 reviewed for completeness by a senior ophthalmologist (ALS). Reporting clinicians
158 were contacted about missing data or for clarification, as required.

159

160 The UK Health Research Authority (ref 14/LO/1809) approved the study, with
161 Section 251 exemption from individual consent for use of data from the UK
162 Confidentiality Advisory Group on the grounds of Public Interest.

163

164 **Statistical Analysis**

165 Children were grouped by age at diagnosis of VI/SVI/BL (<1yr, 1-4y, 5-9y,10-15y 16-
166 18y), and also by absence/presence of other significant non-ophthalmic impairments
167 or conditions, referred to as VI/SVI/BL '*isolated*' or '*plus*' respectively for brevity
168 hereafter. Socioeconomic status was categorised using the Index of Multiple
169 Deprivation (IMD), the standard UK measure derived from postal (zip) code¹⁴, with
170 the 'lowest' quintile comprising the most deprived group. Child population at risk
171 denominators were obtained from the UK Office of National Statistics (2016).
172 Descriptive analyses are presented as frequencies and proportions (%). Cumulative
173 incidence (risk) and annual age-group specific incidence (rate) of *permanent*
174 VI/SVI/BL (i.e. confirmed at follow up), with 95% confidence intervals, were

175 calculated using person-time analysis (Breslow and Day).¹⁵ The denominator for the
176 youngest age-group (under the age of 1 year) was total number of live births.¹⁶
177 Data were analysed using STATA statistical software (version 14.2, StataCorp LLC,
178 College Station Texas). $P \leq 0.05$ was considered to be statistically significant.

179

180 **Role of the funding source**

181 The study funders had no role in study design, data collection, data analysis, data
182 interpretation, or writing of the report. LT, ALS and JSR had full access to
183 all study data. JSR had final responsibility for the decision to submit for publication.

184

185 **Results**

186 ***Study sample***

187 Of 845 eligible children initially notified, 61 children were ineligible at follow up due to
188 improved vision after treatment. Thus the study sample comprised 784 children with
189 permanent newly-diagnosed all-cause VI/SVI/BL.

190

191 Despite the surveillance schemes being independent, some ophthalmologists and
192 paediatricians collaborated. This improved data completeness and quality but
193 precluded use of capture-recapture analysis to estimate completeness of
194 ascertainment of the subset of SVI/BL cases. No alternative data source existed for
195 capture-recapture analysis of VI cases. Due to missing data for some
196 sociodemographic variables, denominators are reported individually.

197

198 ***Socio-demographic characteristics***

199 55% (427/783) of all children were boys, 63% (437/689) were White, and 34%
200 (264/772) were from the most deprived quintile for IMD score. Fifty-two (7%) were

201 twins and two children (0.3%) were from triplet births, proportions that are 4.7 and 12
202 fold higher than the proportion of twin and triplet maternities in the U.K¹⁶ respectively
203 in the study year.

204

205 ***Multi-morbidity***

206 72% (559/778) children had significant non-ophthalmic impairments or conditions i.e.
207 childhood visual disability 'plus'.

208

209 ***Mortality***

210 Twenty eight (4%) of all children died within the year after diagnosis of visual
211 disability - all had underlying systemic disorders. A quarter of these were infants – an
212 'infant mortality rate' for children with VI/SVI/BL of 17.4 per 1000 infants (95% CI:
213 8.3-36.5) whilst the overall national infant mortality rate (2018) of 3.8/1000.¹⁷

214

215 ***Incidence***

216 Table 1 shows that 51% of all children (54% 'plus', 45% 'isolated') were diagnosed in
217 the first year of life, with only 23% diagnosed after five years of age. Incidence of
218 visual disability in the first year of life was 5.19 per 10,000 (95% CI 4.71-5.72), at
219 least ten-fold higher than in any other age-group. Variation in incidence by age-group
220 was similar for the two subpopulations with "isolated" and "plus" VI/SVI/BL. Overall
221 cumulative incidence (or 'lifetime' risk) increased from 5.19 per 10,000 by age 1 year
222 to 10.02 per 10,000 (95% CI 9.35-10.76). The cumulative incidence of visual
223 disability 'plus' was considerably higher (7.15/10,000) than of 'isolated' (2.8/10,000).

224

225 One year after diagnosis, 644 (82%) of children had been certified as sight
226 impaired/severely sight impaired. Certification had been deferred by the health
227 professionals or the parents in the majority of the remaining children.

228

229 ***Variations in incidence by key socio-demographic factors***

230 Incidence rates varied significantly by key sociodemographic factors potentially
231 related to early life adversity (Table 2). Children from any ethnic minority group, and
232 notably South Asians, had significantly higher rates than White children. Incidence
233 increased with decreasing socio-economic status. There were gradients of
234 increasing incidence with decreasing gestational age and with lower birthweight.

235

236 ***Disorder(s) causing VI/SVI/BL***

237 Only 44% (345) of children had a single 'anatomical site' affected: 37% (288) had
238 two and 19% (151) three or more. The specific disorders are shown in Table 3.

239 Disorders of the brain and visual pathways (a heterogeneous group of conditions
240 grouped under the umbrella term of cerebral visual impairment, CVI,) affected 48%
241 of all children. Disorders of the retina, mainly hereditary retinal dystrophies and
242 albinism affected 37% - including 4% (31) of children with retinopathy of prematurity,
243 of whom 52% (16) also had CVI. Disorders of the optic nerve affected 28% of
244 children, predominantly optic nerve hypoplasia and optic atrophy.

245 There were striking differences in the relative importance of different anatomical sites
246 between the two subpopulations of children with 'plus' and 'isolated' visual disability,
247 for example visual pathways and cortex accounting for 64% versus 8% respectively,
248 as shown in Figure 1.

249

250 ***Aetiological factors causing VI/SVI/BL***

251 The underlying aetiological factors (where known) are shown in Table 4. Factors
252 'acting' prenatally accounted for 70% of all cases (Figure 2.). Specifically, known
253 hereditary conditions affected 62% of children. The relative importance of hereditary
254 factors varied somewhat by ethnicity, affecting 65% of South Asian (Pakistani,
255 Bangladeshi or Indian) compared to 54% of White children (difference 11% [p=0.01,
256 95% CI: 3 to 20]) and 56% of Black, 50% of mixed ethnicity and 68% of other ethnic
257 groups.

258

259 ***Non-ophthalmic disorders or impairments associated with VI/SVI/BL***

260 Table 5 shows the diverse significant impairments and major non-ophthalmic
261 conditions affecting 72% children. Overall 13% had hearing and 21% had speech
262 and language impairments.

263

264

265 **Discussion**

266 We report the first national population-based epidemiological study of incident **full-**
267 **spectrum** all-cause childhood visual disability. Although the underlying disorders are
268 uncommon, the cumulative incidence (lifetime risk) of all-cause childhood visual
269 disability is at least 10 per 10,000 by 18 years. Half of all children are affected from
270 birth or during infancy. Incidence is strikingly higher amongst those from socio-
271 economically disadvantaged backgrounds, any ethnic minority group and those born
272 preterm or with low birthweight. Almost three quarters have significant additional
273 impairments or disorders and the distributions of underlying disorders and
274 aetiological factors in this group differs significantly from those with 'isolated'
275 childhood visual disability. Overall, disorders of the brain and visual pathways
276 (collectively "cerebral visual impairment") account for almost half of all childhood

277 visual disability. Amongst known aetiological factors, genetic or environmental
278 influences acting prenatally or in the perinatal/neonatal periods predominate. The
279 striking complexity and heterogeneity of visual disability illustrates a constellation of
280 complex needs, underlined by the high proportion of children dying within the year
281 following diagnosis.

282

283 We used the well-established national active surveillance schemes in ophthalmology
284 and paediatrics in the UK, to identify a representative study sample. Ascertainment
285 was maximised by implementing the study through the BCVISG, established initially
286 in 2000 and now comprising over 150 paediatric ophthalmologists and
287 paediatricians. Given extant national guidance¹², it is highly unlikely that eligible
288 children were managed by clinicians not in the BCVISG. In the absence of any
289 alternative, equivalent and independent data source, formal estimation of
290 ascertainment using capture-recapture analysis was not possible. However a larger
291 number of children with incident SVI/BL *specifically* were ascertained than in
292 BCVIS¹⁰ in 2000, supporting high ascertainment. Moreover the cumulative incidence
293 estimate of VI/SVI/BL is considerably higher than the most recent estimate of sight
294 impairment certification rates.¹³ Nevertheless we report *minimum* estimates of
295 incidence of childhood visual disability in the UK. There were low levels of missing
296 data, apart from about birthweight and gestation and for both these variables the
297 gradient ('dose response') of relative rates is plausible and consistent with the
298 disorders observed. Thus findings regarding groups with highest rates, disorders
299 causing impaired vision and aetiological patterns are unlikely to be biased. As this is
300 a study of all-cause visual disability ie an outcome rather than a study of any
301 individual disorders. Since this outcome reflects both risk of disorder per se as well
302 as risk of worse outcome in both eyes, and since all children with the same

303 conditions but resulting in unilateral disease or with mild/visual impairment were not
304 eligible for the study, and there are no 'controls' ie children without any eye disease,
305 multivariable analysis to estimate the role and contribution of potential 'risk factors' is
306 not appropriate. We do appropriately report estimations of relative rates where
307 population denominators are available.

308

309 There are no studies of **full-spectrum** (encompassing visual impairment, severe
310 visual impairment and blindness) all-cause incident childhood disability with which
311 we can compare *directly* our findings. As described earlier, we previously conducted
312 what remains the only national study of incident severe visual impairment and
313 blindness in 2000¹⁰ ie a subgroup of the population studied in BCVIS2, direct
314 comparisons of incidence or causes is not appropriate, given the significantly
315 different eligibility. It is also not possible to compare directly our findings about
316 *incident* childhood visual disability with studies of prevalent visual disability^{18,19}, given
317 the populations studied in the latter reflect both survival/mortality and cohort effects
318 in underlying risk factors. Our study was necessary precisely because of this paucity
319 of contemporary data required to characterise this population and provide a baseline
320 for future monitoring and as the basis for developing and evaluating policies and
321 services to meet their health needs. However 'counting' - in the form of certification -
322 of sight impairment has a long history in Britain¹³ as in some other high income
323 countries. These systems were implemented primarily to address unmet social care
324 and educational needs by 'flagging' affected individuals to relevant services, and
325 therefore sit 'outside' and unconnected to generic health information systems. Even
326 in settings with well-established universal health and social care provision and
327 comprehensive health information systems, the impressive national level linking of
328 administrative, social care and health care data excludes the registers of visual

329 impairment.²⁰ Given its purpose, certification in the UK is influenced by the perceived
330 needs of the child, evidenced by an increasing certification of children with impaired
331 visual *processing* rather than impaired visual function (acuity or visual fields), to
332 facilitate appropriate educational support.¹³ Additionally, certification requires
333 attribution to *one* ophthalmic disorder and no additional information, for example
334 about non-ophthalmic conditions, is collected which our study shows is inappropriate
335 for children. Recent improvements in the British system relevant to children include
336 adoption of the adapted WHO taxonomy for disorders used in the present study
337 (developed for BCVIS¹⁰) and inclusion of offer of certification to eligible individuals as
338 part of quality standards for paediatric ophthalmologists.¹² Unlike adults, childhood
339 certification rates are not a Public Health England indicator.²¹ Some of these recent
340 changes may account for the higher proportion of children certified within a year of
341 diagnosis in BCVIS2 than in 2000.¹⁰ Nevertheless, ‘counting’ childhood visual
342 disability in isolation is not enough: our findings illustrate the need for health
343 intelligence that permits ‘understanding’ in the context of child health.

344

345 The socio-demographic patterning, multi-morbidity, long-term complex care needs
346 and truncated life expectancy observed in BCVIS2 identify that childhood visual
347 disability epitomises all the challenges to child health articulated in recent influential
348 national and international child health initiatives and policies.⁴ Why then, rather than
349 being an exemplar for developing models for ‘*investing in children’s health for*
350 *lifelong intergenerational and economic benefits*’,⁴ is consideration of visual disability
351 lacking in the key strategic documents? We suggest this is attributable to three
352 factors. Firstly, the lack of data necessary to understand the specific needs of this
353 population: for example, children with visual disability are distributed throughout the
354 analysis of mortality and each category of morbidity (communicable conditions, non-

355 communicable conditions and injuries) in children and adolescence in the Global
356 Burden of Diseases Injuries and Risk Factors 2017 Study²² and are subsumed within
357 the under 50 years group in the WHO's global vision database.²³ Secondly, the
358 inadvertent 'sequestering' of children with visual impairment away from the 'lens' of
359 child health by virtue of clinical management sitting within specialist
360 ophthalmology/eye care services. Thirdly, the paradox that the potential impact of
361 visual impairment is so self-evident as to be overlooked in most child health
362 research.²² The findings of our study address some of these gaps. We suggest that
363 they also identify the value of inclusion of visual disability as a 'sentinel' (ie key
364 health of the population indicator) child health event, and 'target condition' in national
365 and international child health research as well as strategies and policies.

366

367 The WHO-UNICEF-Lancet commission "*A Future for the World Children*" rightly
368 articulates the vital importance of optimising early childhood in a life course
369 perspective of human development.⁴ Since Nobel prize-winning research on vision
370 was instrumental to our current understanding of brain plasticity and neurogenesis,²⁴
371 it is regrettable that vision impairment has only recently been acknowledged to be a
372 'developmental emergency'.³ This ill serves children with visual disability, of whom
373 half, according to our study, are affected from birth or during the first year of life.

374 Although multidisciplinary assessment of children newly diagnosed with visual
375 impairment is advocated,¹² practices and provision of vision-specific developmental
376 support vary substantially, possibly reflecting structural boundaries between clinical
377 specialties and primary and secondary/tertiary healthcare. The UK National Health
378 Service Long Term Plan²⁵ makes ambitious pledges to children's health but the sole
379 commitment relating to vision is to 'eyesight' services (comprising specialist
380 optometric/optician assessment) for children with learning disabilities. Whilst

381 welcome, our study shows this is relevant to around a fifth of all children with visual
382 disability, and does not address the significant wider multi-morbidity evidenced by
383 BCVIS2.

384

385 The associations of all-cause childhood visual disability with socio-economic
386 disadvantage and ethnic minority status observed in our study reflect differences in
387 risk of specific conditions and/or access to health services and/or outcomes of
388 treatment. Nevertheless these variations amplify the growing awareness of
389 inequalities in childhood visual health - important in their own right and as the basis
390 for inequalities in adult life visual health⁶ – and closely mirroring inequalities in other
391 domains of child health. Since these disparities exist in the UK despite the universal,
392 publicly funded, cost-free at the point of use health care system in a high income
393 country, they can be reasonably assumed to exist elsewhere. As such, widening of
394 visual health inequalities can be anticipated as part of the aftermath of the COVID-19
395 pandemic on children’s health and well-being²⁶. Globally, the key child healthcare
396 impact indicators are the under-five childhood mortality (U5MR) and stunted growth
397 rates. Given our findings and prior evidence that prevalence of childhood vision
398 impairment aligns with U5MR, we suggest that childhood visual disability could be
399 usefully used as a sensitive and meaningful metric of the effectiveness of all policies
400 and programmes to reduce child health inequalities, particularly in
401 neurodevelopmental outcomes.^{4,9}

402

403 The observed relative importance of different disorders in BCVIS2 reflects an
404 evolution over time. A decline in preventable conditions, such as corneal scarring
405 due to ophthalmia neonatorum and preventable prenatal infections such as rubella,

406 occurred in tandem with improved outcomes through screening and treatment for
407 key disorders such as retinopathy of prematurity and congenital cataract.^{27,28}
408 The predominance of disorders affecting the brain and visual pathways (CVI) broadly
409 echoes reports from other sources in similar settings.^{18,19} Some of this is attributable
410 to neonatal encephalopathy due to birth trauma or hypoxia, recognised to be a
411 growing issue,²² underlining the value of including vision outcomes in interventional
412 research in this area. Equally, the significantly increased rate of childhood visual
413 disability amongst those born preterm in the present study illustrates the importance
414 of visual disability as a key metric in the substantial global efforts to prevent poor
415 outcomes for the more than 1 in 10 children who are 'born too soon' globally.²⁹
416 Finally, the observed contribution of congenital ocular anomalies echoes their
417 importance in child health. Together these findings illustrate that effective
418 interventions to reduce the current burden of childhood visual disability in the UK and
419 similar populations are most likely to emerge by interfacing better ophthalmology and
420 paediatrics.

421

422 To better identify priorities and develop and implement integrated national eye health
423 policies, plans and programmes, there is a need to think more radically and consider
424 new models of integrated 'live' registers of childhood visual disability through
425 clinician-patient/family partnerships. The ideal model would comprise a register able
426 to 'pull through' and 'push out' the key high fidelity data from health, education and
427 social care. The promise of the transformational changes in health care through
428 implementation of electronic medical records has yet to be fully realised but certainly
429 offers a means of ensuring health information is both complete and up-to-date,
430 capturing key information from all clinical specialties. Importantly, such a new model
431 could also capture the perspectives of children and young people and their families,

432 including through the use of vision patient reported outcome measures (PROMs) as
433 these become integrated into routine clinical practice,³⁰ to enhance their value in
434 affording opportunities for health economics analyses.

435

436 The BCVIS2 provides a contemporary snapshot of childhood visual disability in a
437 high income country useful for developing and delivering healthcare and health
438 policies and for planning interventional research. The longitudinal investigation
439 underway of clinical, social and educational outcomes of this unique inception cohort
440 will afford further novel insights. But this study has already demonstrated that
441 childhood visual disability is a marker of significant vulnerability and should now be
442 considered as a sentinel child health event. This requires a paradigm shift from the
443 current model of exceptionalism created by health service structures and clinical
444 boundaries. Without this childhood visual disability will remain simultaneously self-
445 evidently important but invisible in national and international monitoring processes
446 and thus absent in our global ambitions for the future of children.⁴

447

448

449 **Research in context**

450 **Evidence before this study**

451 The World Health Organisation's 'Universal Eye Health' Global Action Plan
452 articulates the global paucity of epidemiological data on childhood visual disability
453 which has resulted in children being subsumed within the subgroup of people aged
454 under 50 years in its WHO's global vision database. Thus data are lacking for
455 planning primary, secondary and tertiary preventive strategies.

456 Our search (key words child*, vis* impairment, blind*) of bibliographic databases
457 (PUBMED, EMBASE) for papers in any language published up to the start of study in
458 2015 did not identify any national population-based epidemiological studies of
459 incident full-spectrum childhood visual disability. The British Childhood Visual
460 Impairment and Blindness Study (BCVIS), undertaken in 2000 investigated solely the
461 epidemiology of childhood *blindness*, the subgroup at the worst end of the full
462 spectrum of visual disability.

463

464 **Added value of this study**

465 This study provides annual age-specific and cumulative incidence of all-cause full-
466 spectrum childhood visual disability in a high income country setting and
467 demonstrates variations in incidence by key sociodemographic metrics of
468 disadvantage and early life adversity. The predominance of aetiological factors
469 operating prenatally or perinatally is demonstrated. The underlying ophthalmic
470 conditions, two or more in most children, are described. The complex multi-morbidity,
471 comprising diverse non-ophthalmic impairments/disorders experienced by this
472 vulnerable population is described, including truncated life expectancy.

473

474 **Implications of all the available evidence**

475 The findings of this study should aid planning, implementation and evaluation of
476 clinical and public health services and health policies. Progress in reducing the
477 burden of childhood visual disability will require better integration of visual disability
478 into child health strategies and policies. This would be facilitated by considering
479 visual disability a sentinel child health event and key metric in child health monitoring
480 systems.

481

482 **Contributors**

483 Study conceptualised by JSR and designed by ALS and JSR. Data collected by LJT,
484 ALS with oversight by JSR. All authors were involved in data analysis. The
485 manuscript was drafted by LJT, ALS, and JSR, and all authors approved the final
486 version of the manuscript.

487

488 **Declaration of Interests**

489 All authors declare no competing interests. The funding organizations had no role in
490 the design or conduct of this research. This paper presents independent research.
491 The views expressed are those of the authors and not necessarily those of the NHS,
492 the NIHR or the Department of Health and Social Care.

493

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511

512 **Data sharing**

513 Individual patient data were collected and processed with section 251 support from
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Tables & Figures

Table 1- Annual age group specific incidence (IR) and cumulative incidence of VI/SVI/BL per 10,000

| Age (y) | VI plus† (N=559) | | VI isolated‡ (N=219) | | Afl (N=784) | | Total UK Population [^] (1000s) |
|------------|------------------|------------------|----------------------|------------------|-----------------------|--------------------|--|
| | n (%) | IR (95%CI) | n (%) | IR (95%CI) | n (%) | IR (95%CI) | |
| <1 | 299 (54) | 3.86 (3.45-4.32) | 99 (45) | 1.28 (1.05-1.56) | 402* (51) | 5.19 (4.71-5.72) | 774.5 |
| 1-4 | 151 (27) | 0.47 (0.40-0.55) | 48 (22) | 0.15 (0.11-0.20) | 200 ¹ (26) | 0.62 (0.54-0.71) | 3231.8 |
| 5-9 | 57 (10) | 0.14 (0.11-0.18) | 42 (19) | 0.10 (0.08-0.14) | 99 (13) | 0.25 (0.20-0.30) | 4037.4 |
| 10-15 | 43 (8) | 0.10 (0.07-0.13) | 25 (11) | 0.06 (0.04-0.09) | 69 ² (9) | 0.16 (0.13- 0.20) | 4338.3 |
| 16-18 | 9 (2) | 0.04 (0.02-0.08) | 5 (2) | 0.02 (0.01-0.05) | 14 (2) | 0.06 (0.04- 0.10) | 2262.1 |
| 0-18 | 559 (100) | 0.38 (0.35-0.41) | 219 (100) | 0.15 (0.13-0.17) | 784 (100) | 0.54 (0.50-0.57) | 14644.2 |
| Cumulative | n | Cumulative IR | n | Cumulative IR | n | Cumulative IR | |
| 1 | 299 | 3.86 (3.45-4.32) | 99 | 1.28 (1.05-1.56) | 402* | 5.19 (4.71-5.72) | .. |
| 5 | 450 | 5.73 (5.22-6.28) | 147 | 1.87 (1.59-2.20) | 602 ¹ | 7.67 (7.08-8.30) | .. |
| 10 | 507 | 6.44 (5.90-7.02) | 189 | 2.39 (2.07-2.76) | 701 | 8.89 (8.26-9.58) | .. |
| 16 | 550 | 7.03 (6.47-7.64) | 214 | 2.74 (2.40-3.13) | 770 ² | 9.85 (9.17-10.57) | .. |
| 18 | 559 | 7.15 (6.58-7.77) | 219 | 2.80 (2.46-3.1) | 784 | 10.03 (9.35-10.76) | .. |

Values are incidence per 10,000 (95% CI). †VI/SVI/BL plus= children with an additional major non-ophthalmic disorder or impairment
 VI/SVI/BL isolated‡= children with isolated visual loss (no major non-ophthalmic disorder or impairment)

[~]Includes 6 children with unknown VI plus or isolated status

*includes 4 children with unknown VI plus or isolated status,

¹ includes 1 child with unknown VI plus or isolated status,

² includes 1 child with unknown VI plus or isolated status

[^]Using mid-year 2016 UK population estimates (ONS) by single year of age

Table 2- Relative incidence rates of VI/SVI/BL by sociodemographic characteristics

| | All cases (N=784) | Total UK pop (1000s) | Annual incidence† | Relative rate (95% CI) |
|---|----------------------|-------------------------|----------------------|---------------------------|
| Ethnic group (n=689) | | | | |
| White | 437 (63%) | 12289.3 | 0.4 (0.3-0.4) | Reference |
| South Asian* | 162 (24%) | 999.3 | 1.6 (1.4-1.9) | 4.6 (3.8-5.5) |
| Pakistani | 86 (11%) | 462.6 | 1.9 (1.5-2.3) | 5.2 (4.2-6.6) |
| Indian or Bangladeshi | 50 (6%) | 536.7 | 0.9 (0.7-1.2) | 2.6 (2.0-3.5) |
| Black | 32 (5%) | 636.6 | 0.5 (0.4-0.7) | 1.4 (1.0-2.0) |
| Mixed | 36 (5%) | 668.6 | 0.5 (0.4-0.7) | 1.5 (1.0-2.1) |
| Other | 22 (3%) | 171.2 | 1.3 (0.8-2.0) | 3.6 (2.4-5.6) |
| Sex (n=783) | | | | |
| Female | 356 (45%) | 7143.7 | 0.5 (0.5-0.6) | Reference |
| Male | 427 (55%) | 7508.5 | 0.6 (0.5-0.6) | 1.1 (1.0-1.3) |
| Deprivation (IMD) quintile (n=772) | | | | |
| IMD Quintile 1 (Least deprived) | 112 (15%) | 2930.4 | 0.4 (0.3-0.5) | Reference |
| IMD Quintile 2 | 110 (14%) | 2930.4 | 0.4 (0.3-0.5) | 1.0 (0.8-1.3) |
| IMD Quintile 3 | 112 (15%) | 2930.4 | 0.4 (0.3-0.5) | 1.0 (0.8-1.2) |
| IMD Quintile 4 | 174 (23%) | 2930.4 | 0.6 (0.5-0.7) | 1.6 (1.3-1.9) |
| IMD Quintile 5 (Most deprived) | 264 (34%) | 2930.4 | 0.9 (0.8-1.0) | 2.4 (2.0-2.8) |
| Country of residence (n=784) | | | | |
| England ² | 712 (91%) | 12434.2 | 0.6 (0.53-0.62) | .. |
| Scotland | 33 (4%) | 665.2 | 0.3 (0.21-0.42) | .. |
| Wales | 31 (4%) | 1092.7 | 0.5 (0.33-0.66) | .. |
| Northern Ireland | 8 (1%) | 460.1 | 0.2 (0.09-0.35) | .. |
| Birthweight‡ (n=387) | | | | |
| ≥2500g (Normal) | 267 (69%) | 686.3 | 3.9 (3.4-4.4) | Reference |
| 1500-2499g (LBW) | 71 (18%) | 44.61 | 15.9 (12.6-21.1) | 4.1 (3.1-5.4) |
| <1500g (VLBW) | 49 (13%) | 7.52 | 65.2 (49.2-86.2) | 16.8 (12.4-22.8) |
| Gestation at birth‡ (n=531) | | | | |
| Normal (≥37 weeks) | 383 (72%) | 688.65 | 3.3 (5.0-6.1) | Reference |
| Moderate to late (32-36 weeks) | 88 (17%) | 48.29 | 18.2 (14.8-22.5) | 3.3 (2.6-4.1) |

| | | | | |
|-------------------------------|----------|------|-------------------|-----------------|
| Very (28-31 weeks) | 233 (6%) | 5.92 | 55.7 (39.6-78.4) | 10.0 (7.0-14.3) |
| Extreme (<28 weeks) | 27 (5%) | 3.33 | 81.1 (55.6-118.2) | 14.6 (9.9-21.6) |

†Values are yearly incidence per 10,000 children aged 0-18 years, except for birthweight and preterm: which is yearly incidence per 10,000 live births.

‡Birthweight and Preterm birth excludes cases from Northern Ireland as the denominator is unknown. Values are yearly incidence per 10,000 children <1 year old.

*Includes 15 South Asian children of 'Asian Other' ethnicity.

²Including 1 child from Guernsey and 1 child from the Isle of Man

Table 3. Disorders causing VI/SVI/BL grouped by anatomical site or sites affected (n=784)

¹Subtotals represent the number of children with each ophthalmic site affected. This will be less than the sum of individual disorders as some children had multiple disorders per site so were counted more than once.

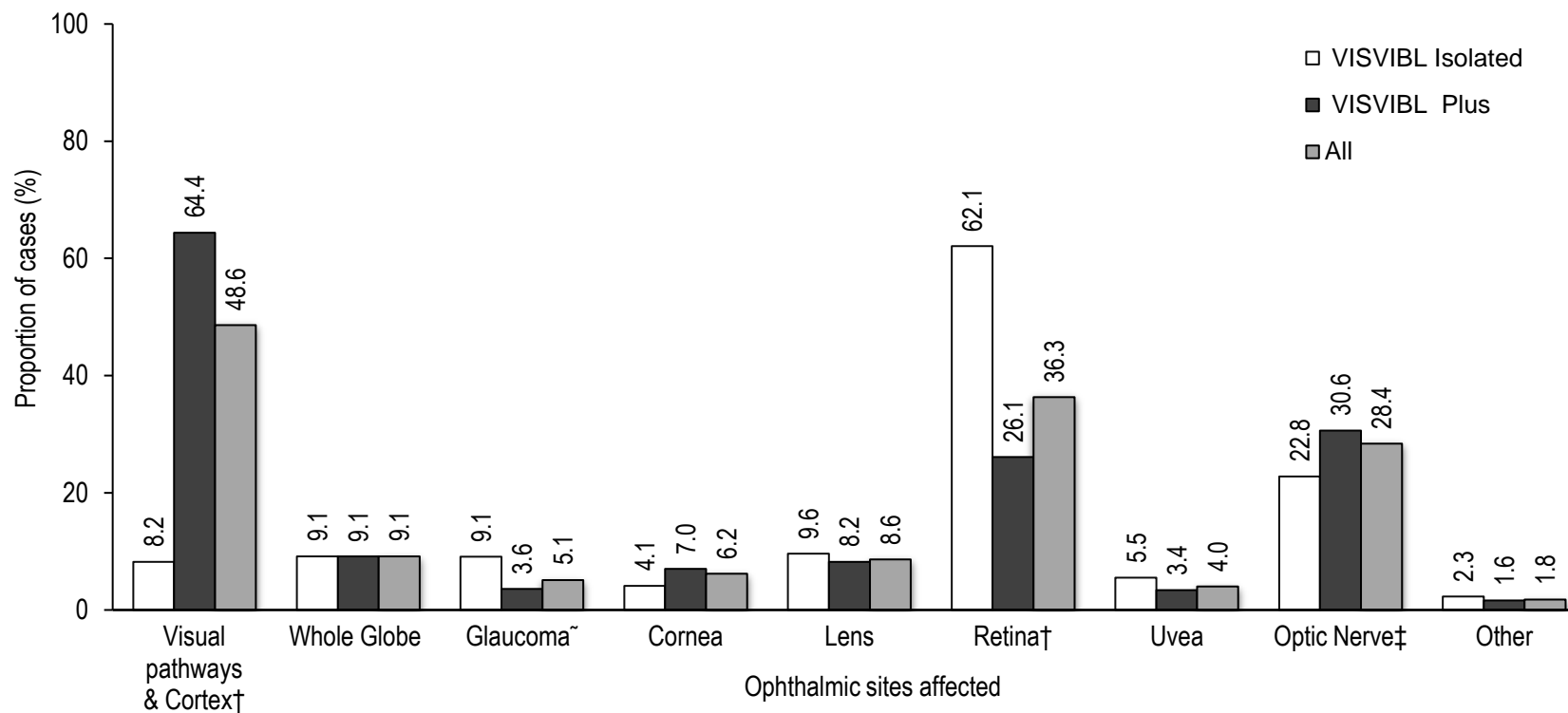
| | Children with site affected¹ |
|---|--|
| Cerebral / visual pathways (CVI) | 378 (48.2%) |
| Hypoxic/ischaemic encephalopathy | 118 (15%) |
| Structural abnormalities | 113 (14%) |
| Non-accidental injury | 9 (<1%) |
| Neurodegenerative disorders | 24 (3%) |
| Tumour | 23 (3%) |
| Metabolic | 16 (2%) |
| Infection | 21 (3%) |
| Unknown disorder but evidence of CVI | 60 (8%) |
| Whole globe and anterior segment | 95 (12.1%) |
| Microphthalmia/Anophthalmia | 40 (5%) |
| Anterior segment dysgenesis | 24 (3%) |
| Multiple site coloboma | 14 (2%) |
| Disorganised globe | 7 (<1%) |
| Buphthalmos | 4 (<1%) |
| Phthisis | 6 (<1%) |
| Glaucoma | 42 (5.4%) |
| Primary congenital (PCG) | 10 |
| Secondary | 32 |
| Cornea | 50 (6.4%) |
| Opacity | 29 (4%) |
| Dystrophy | 2 (<1%) |
| Other | 19 (2%) |
| Uvea | 30 (3.8%) |

| | |
|--|--------------------|
| Aniridia | 17 (2%) |
| Coloboma (single site) | 4 (<1%) |
| Uveitis | 4 (<1%) |
| Other | 5 (<1%) |
| Lens | 67 (8.6%) |
| Cataract/aphakia | 58 (7%) |
| Other | 9 (1%) |
| Retina | 286 (36.5%) |
| ROP | 31 (4%) |
| Retinal and macular dystrophies | 125 (16%) |
| <i>Cone</i> | 28 |
| <i>Cone-rod</i> | 34 |
| <i>Leber's amaurosis</i> | 5 |
| <i>Stargardt's disease</i> | 11 |
| <i>Storage disorder (CLN)</i> | 4 |
| <i>CSNB</i> | 8 |
| <i>Retinitis Pigmentosa</i> | 13 |
| <i>Unspecified macular dystrophy</i> | 14 |
| <i>Unspecified retinal dystrophy</i> | 6 |
| <i>Retinoschisis</i> | 2 |
| Oculocutaneous albinism | 60 (8%) |
| Retinitis | 4 (<1%) |
| Retinal detachment | 36 (5%) |
| Retinoblastoma | 3 (<1%) |
| Other | 17 (2%) |
| <i>Myelination of retina</i> | 1 |
| <i>Other retinopathy</i> | 1 |
| Single site coloboma | 2 |
| <i>Vitreoretinal dysplasia</i> | 4 |
| <i>Foveal hypoplasia</i> | 9 |
| Optic Nerve | 222 (28.3%) |
| Hypoplasia | 116 (15%) |
| SOD | 32 |

| | |
|--|------------------|
| <i>Isolated</i> | 84 |
| Atrophy | 89 (11%) |
| <i>Primary</i> | 32 |
| <i>Secondary</i> | 57 |
| Neuritis/neuropathy | 17 (2%) |
| Other | 8 (<1%) |
| <i>Demyelinated optic nerve</i> | 1 |
| <i>Morning glory anomaly</i> | 2 |
| <i>Dysplasia</i> | 2 |
| <i>Aplasia</i> | 1 |
| <i>Optic nerve astrocytoma</i> | 1 |
| <i>Coloboma single site</i> | 1 |
| Other | 14 (1.8%) |
| Isolated nystagmus | 9 (<1%) |
| Isolated high refractive error* | 4 (<1%) |
| <i>Stickler syndrome</i> | 1 |
| Blepharophimosis syndrome | 1 (<1%) |

*High refractive error was considered to be equal or greater than 5.5 dioptres in the worse eye

Figure 1- Disorders by anatomical sites (all, VI/SVI/BL 'plus', and VI/SVI/BL 'isolated')* (N=778)



^Other: Idiopathic (isolated) nystagmus or High RE (not isolated but primary reason for loss of vision)

*Totals exceed 100% and some children had multiple sites

†: $p < 0.0001$ for difference in proportions test between VI isolated and plus; ‡ $p = 0.031$ ~ $p = 0.0016$

Table 4- Aetiological factors causing VI/SVI/BL (grouped by timing of effect) for all cases (N=784)

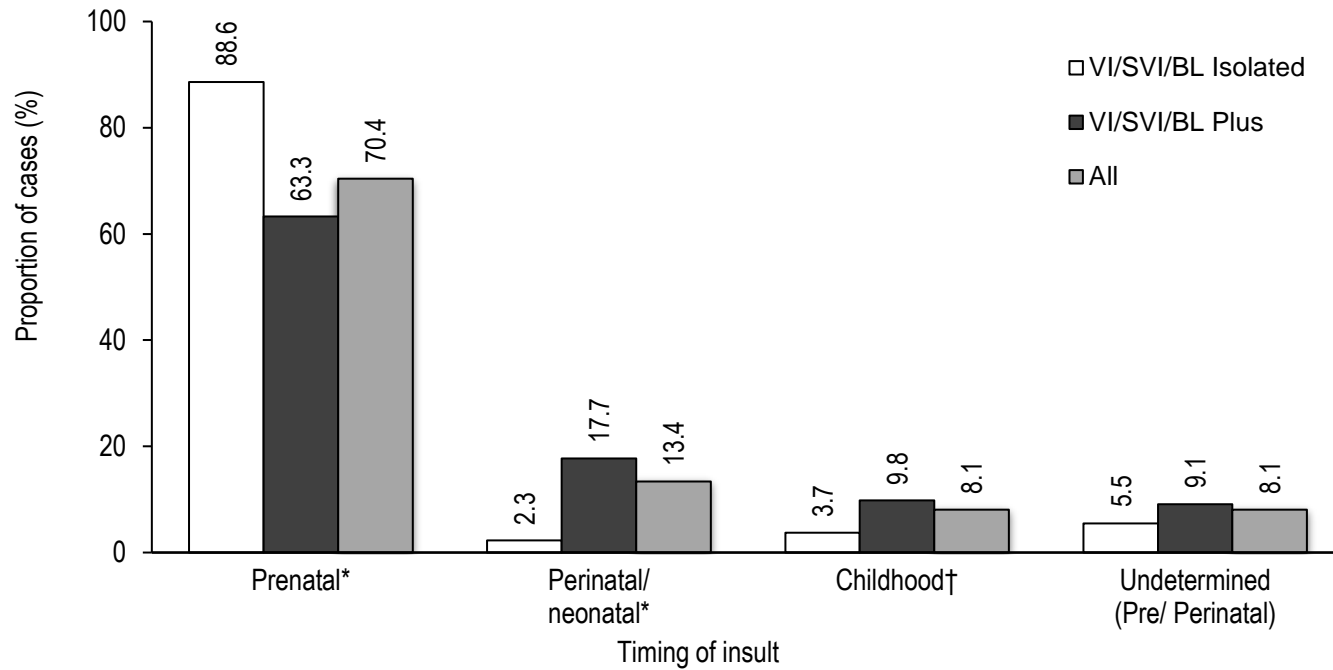
[†]Total of some subcategories for each aetiological factor exceeds 100% as some children had multiple factors

| | |
|---|--------------------|
| PRENATAL | n=553 (71%) |
| Hereditary | 482 (61%) |
| Autosomal recessive | 162 |
| Autosomal dominant | 46 |
| X-linked | 18 |
| Chromosomal | 29 |
| Maternal inheritance | 10 |
| Sporadic/Uncertain | 217 |
| Hypoxia Ischaemia | 14 (2%) |
| Infection in pregnancy | 19 (2%) |
| Cytomegalovirus | 3 |
| Rubella | 2 |
| Toxoplasmosis | 2 |
| Herpes Simplex | 1 |
| Hepatitis C | 1 |
| Group B Streptococcus | 7 |
| HIV | 1 |
| Unknown / not specified | 2 |
| Maternal drug use* | 9 (1%) |
| Other | 2 (<1%) |
| Twin-twin transfusion syndrome | 1 |
| Neonatal immune thrombocytopenia | 1 |
| Unknown (congenital, no further information) | 53 (7%) |
| PERINATAL/NEONATAL | n=105 (13%) |
| Hypoxia Ischaemia | 69 (9%) |

| | |
|----------------------------------|------------------|
| Infection | 19 (2%) |
| Group B Streptococcus | 8 |
| Herpes Simplex | 1 |
| Pneumococcal | 1 |
| Other | 9 |
| Unspecified Meningitis | 5 (<1%) |
| Non-accidental injury | 2 (<1%) |
| Other | 13 (2%) |
| Hydrocephalus | 8 |
| Epileptic encephalopathy | 2 |
| Neonatal hyper/hypoglycaemia | 3 |
| Unknown | 18 (2%) |
| CHILDHOOD (post neonatal) | n=63 (8%) |
| Tumour | 21 (3%) |
| Astrocytoma | 2 |
| Glioma | 6 |
| Medulloblastoma | 1 |
| Neuroblastoma | 2 |
| Craniopharyngioma | 3 |
| Tectal plate glioma | 1 |
| Rhabdomyosarcoma | 2 |
| Ependymoma | 2 |
| Prolactinoma | 1 |
| Unspecified brain tumour | 1 |
| Non-accidental injury | 9 (1%) |
| Systemic disorders | 5 (<1%) |

| | |
|--|-------------------|
| Homocystinuria | 1 |
| Acute lymphoblastic lymphoma | 1 |
| Graft vs Host disease | 1 |
| Erythema multiforme | 1 |
| Sickle cell disease | 1 |
| Hypoxia ischaemia | 9 (<1%) |
| Hydrocephalus/raised intracranial pressure | 3 (<1%) |
| Infection | 3 (<1%) |
| Epstein Barr virus | 1 |
| Group B Streptococcus | 1 |
| Unknown | 1 |
| Accidental injury | 5 (<1%) |
| Near drowning | 2 |
| Accidental physical trauma | 2 |
| Laser eye injury | 1 |
| Nutritional (Vitamin A) deficiency | 1 |
| Unknown | 12 (2%) |
| UNCONFIRMED TIMING (either prenatal or perinatal) | n=63 (8%) |

Figure 2- Timing of insult leading to VI/SVI/BL for all cases (N=778), VI/SVI/BL isolated (n=219), VI/SVI/BL plus (n=559)



*p<0.0001 †p=0.0044 for difference in two proportions test ^Percentage totals exceed 100% due to multiple aetiologies in some cases
 Children in the 'undetermined' category had insults arising from either the prenatal or perinatal period, but the timing could not be reliably ascribed to a single aetiological category with information provided

Table 5. Non-ophthalmic impairments and conditions for children with VI/SVIBL (for all cases N= 784)

| Impairments – key categories | % |
|-------------------------------------|----------|
| Hearing | 13.4 |
| Learning | 22.5 |
| Speech & Language | 21.3 |
| Mobility | 26.0 |

| Main non-ophthalmic conditions | % |
|---|----------|
| Seizures or epilepsy | 22.6 |
| Developmental delay (including global delay) | 20.0 |
| Feeding | 12.4 |
| Cerebral palsy | 9.2 |
| Microcephaly | 7.9 |
| Hydrocephalus | 4.6 |
| Other neurological | 8.6 |
| Respiratory | 5.7 |
| Sleep related | 4.0 |
| Cardiac | 3.7 |
| Behavioural | 2.3 |
| Autism spectrum | 1.8 |