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Social cognition and cortisol in the general population: A systematic review and meta-analysis

Running head: social cognition and cortisol

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Abstract

This systematic review examines the evidence on the association between social cognition and cortisol in the general population. Literature was searched in six databases. Of the 401 studies identified, meta-analyses were conducted on 46 effect sizes (Pearson's correlation coefficients) from 19 studies, supplemented by a narrative review. Pooled estimates suggest that better emotion control is associated with increased cortisol concentrations [$r = 0.083$, 95% CI (0.033, 0.132)].

Emotion recognition or empathy were not significantly associated with cortisol concentration [$r = 0.072$, 95% CI (-0.020, 0.165) and $r = 0.004$, 95% CI (-0.061, 0.068) respectively]. Subgroup analyses showed that the association between emotion control and cortisol concentration is significant in males, for morning cortisol, when the cortisol data are transformed to correct for skewed distributions, and when participants are instructed to avoid food and drink intake for at least one hour before sample collection. There was no evidence for an association between social cognition with diurnal cortisol slope or cortisol awakening response. More validation work with greater standardization of methodological procedures is required.

Key words

social cognition; cortisol; emotion control; empathy; emotion recognition; general population

1. Introduction

Social cognition refers to the mental operations that underlie social interactions and includes a variety of cognitive processes relevant to perceiving, understanding and responding to the intentions, emotions and behaviors of others (Ochsner, 2008). Happé & Frith (2014) identified ten domains of social cognition, ranging from basic processes such as in-group/out-group categorization, emotion processing, empathy, and mental state attribution, to higher-order social processes such as social policing. All social cognition abilities facilitate healthy social interactions by helping to decode social cues and adjust behaviors accordingly (Astington, 2001). Deficits in social cognition have been linked to several adverse outcomes, such as higher stress levels and mental ill-health (Eisenberg, Eggum, & Di Giunta, 2010; Plana et al., 2014; Rich et al., 2008). It has been suggested that such associations are in line with the social skills deficit vulnerability model, according to which those with social cognition deficits are more likely to be exposed to stressors, such as physical conflicts and social withdrawal, and less able to secure the social support necessary for dealing with the resulting stress (Knox & Douglas, 2009; Segrin, McNelis, & Swiatkowski, 2016; Shakoor et al., 2012).

The main physiological mechanism responsible for eliciting and terminating both chronic and acute stress responses is the hypothalamic–pituitary–adrenal (HPA) axis. Cortisol, the key functional end product of HPA axis activity has been widely used to measure HPA-axis function. Repeated or prolonged exposure to stress contributes to hyperactivity of the HPA axis and overt cortisol excess (Miller, Chen, & Zhou, 2007). Persistently increased cortisol secretion can damage the HPA axis function and weaken its responsiveness to further stress in the long term (Corcoran et al., 2003). This is why hypocortisolism and lower overall cortisol output have also been associated with conditions of chronic stress (Heim, Ehlert, & Hellhammer, 2000; Karb et al., 2012; Nicolson & van Diest, 2000). Victims of repeated bullying in the workplace, for instance, have shown reduced

cortisol at awakening (Hansen et al. 2006) and a lower cortisol awakening response (CAR) (Knack, Jensen-Campbell, & Baum, 2011).

As well as impairments in social cognition making one vulnerable to stressors, such deficits in the “key resource” in facilitating social interaction can frequently cause prolonged stress (Halbesleben et al., 2014). Individuals with maladaptive emotion processing tend to ruminate about negative experiences and to exhibit greater (Quirin, Kuhl, & Düsing, 2011) and more prolonged (Mikolajczak et al., 2007; Vrshek-Schallhorn et al., 2018) cortisol response to laboratory-induced stressors. Further evidence comes from studies on people with autism spectrum disorder (ASD) who are typically characterized by social cognition dysfunction (Corbett et al., 2009). They have been reported to have elevated diurnal cortisol levels (Muscatello & Corbett, 2018; Putnam et al., 2015) and slight impairments in the natural change in cortisol concentration that occurs in the first hour after waking from sleep (Hamza, Hewedi, & Ismail, 2010).

However, findings from studies examining the association between social cognition and basal cortisol in the general population are inconsistent. While some studies found negative correlations between social cognition and cortisol at awakening (Ruiz-Robledillo & Moya-Albiol, 2014), which were in line with what the social skills deficit vulnerability model would predict, some reported no such association (Katz et al., 2018), while others found that better social cognitive ability is associated with higher levels of cortisol at awakening, greater CAR and flatter diurnal cortisol slope (Boyer & Nelson, 2015; Otto et al., 2018). One possible explanation for the latter pattern of results is that individuals with better social cognition are more knowledgeable about social rules and, at the same time, more sensitive to social cues. For example, Engert et al., (2014) found that people who scored higher on empathy secret more cortisol solely by observing others undergo stressful situations. Intentional suppression of emotion has also been found to be associated with heightened HPA axis activation during stressful tasks (Lam et al., 2009).

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There are another two reasons that might explain the discrepancies in the available literature. First, social cognition is a multifaceted construct and not all of its facets are supported by the same neural networks (Kennedy & Adolphs, 2012). Thus, difficulties in specific social cognition domains could have impacts on cortisol secretion. For example, Bechtoldt and Schneider (2016) found a positive association of poor emotion recognition with cortisol reaction to social stressors, while for emotion management there was no association. Second, it is possible that the association between social cognition and cortisol levels is moderated by other factors. The timing of the saliva or blood sample collections, for example, is key. The diurnal pattern of cortisol secretion has two distinct phases: CAR, a short increase of cortisol after awakening, followed by a decline through the rest of the day. Cortisol concentration in the early afternoon is thought to be the average of the daily cortisol level (Halbreich et al., 1982). Considering both in tandem is important. For example, children with ASD, who generally exhibit social cognition deficits, show higher morning cortisol levels than typically-developing children, but similar afternoon cortisol levels (Kidd et al., 2012). An additional source of heterogeneity in the findings may relate to the statistical treatment of cortisol concentration levels. In general population samples, endocrine time series are positively skewed, hence cortisol data should be transformed prior to being analyzed using parametric tests (Miller & Plessow, 2013). However, not all studies have taken this into account. Additional sources of heterogeneity (and therefore potential moderators considered in this meta-analysis) include study design (cross-sectional vs. longitudinal), age and sex of the participants, whether the participants had experienced childhood maltreatment, and fasting preceding sample collection (whether the participants were instructed to avoid food and drink intake at least one hour prior to the sample collection in studies analyzing blood or saliva).

To date, there has been no systematic review of the studies exploring the association between various aspects of social cognition and naturally fluctuating cortisol in the general population. We

therefore conducted a systematic review on this association. An important caveat however is that, although social cognition is multifaceted, most studies have focused on emotion recognition, empathy and emotion control. Emotion recognition is the ability to recognize basic emotions in facial expressions. Empathy allows people to understand others' perspectives and mental states (cognitive empathy, also known as mentalizing) and to feel others' emotional states (affective empathy; Birnie, Spaca, & Carlson, 2010). Emotion control concerns the ability to cognitively regulate the intensity, time-course, and valence of emotional experiences. This meta-analysis therefore focused on these three core social cognition abilities. We further investigated if the relationships between social cognition and cortisol are moderated by the following factors: timing of blood or saliva sample collection, treatment of the distribution of cortisol levels, study design (cross-sectional or longitudinal), age, sex, experience of childhood maltreatment, and fasting (whether participants were instructed to avoid food and drink intake at least one hour prior to the sample collection). For empathy, we also included type (cognitive or affective) as a potential moderator because the two components of empathy, though overlapping, engage mostly distinct neural networks (Mehta et al., 2014; Yu & Chou, 2018). For studies that were not amenable to meta-analysis (i.e., if too few), a narrative review of their findings was conducted to synthesize the current state of knowledge.

2. Method

2.1. Study selection and inclusion criteria

2.1.1. Literature search

The meta-analytic process was conducted according to Preferred Reporting Items for Systematic Reviews and Meta-Analyses Protocols (PRISMA-P; Moher et al., 2015). The protocol for this review was registered with PROSPERO: International prospective register of systematic reviews

CRD42019132363. Terminology was not consistent across studies. For instance, affective Theory of Mind, emotion contagion, emotional mirroring, emotion understanding, and emotional resonance all appear to refer to remarkably similar processes (Happé, Cook, & Bird, 2017). Thus, we used broad searching terms (e.g., *emot** or *affective*). A systematic database search was conducted on EMBASE, PsycARTICLES, PsychINFO, PubMed, ScienceDirect and Web of Science with the following terms in Title: (*affective* or *affiliation* or *appraisal** or *belief** or *cue(s)* or *communication* or *egocentri** or *empathy* or *emot** or *face** or *facial** or *mirror system* or *mirror neuron* or *mood* or *non-verbal** or *perception** or *reciprocity* or *recognition** or *regulat** or *role-taking* or *social cognition* or *socio-cognitive** or *social-affective** or *social skills* or *social competence* or *stereotyp** or *theory of mind* or *ToM* or *mental** or *expressive suppression* or *attribution bias* or *reciprocity*), combined with the following searching terms in Title, Abstract and Keywords (*hypothalamic-pituitary-adrenocortical* or *HPA* or *cortisol* or *glucocorticoid(s)* or *steroid(s)*), using the Boolean operator “and”. The search was restricted to articles written in English. The wildcard asterisk allowed for the inclusion of different word endings. Only papers published in peer-reviewed journals between January 1980 and March 2019 and providing sufficient statistical information to be quantitatively compared to each other were included. The corresponding authors of all relevant studies that did not provide the statistical information needed for our analyses were emailed with a request to share it. Of the 45 authors contacted (one had co-authored two articles), 9 responded. The authors who responded are listed in “Acknowledgments”. A summary of the selection and exclusion criteria at each phase of screening is illustrated in Figure 1.

2.1.2. Exclusion criteria

This meta-analysis focuses on the relationship between core social cognition abilities and naturally occurring cortisol concentration, assayed from either cerebral spinal fluid, urine, blood, saliva, or hair, in general population samples. Studies were excluded based on the following criteria (Fig.1): (1) studies on animals; (2) reviews and not original research articles; (3) studies based on small samples

(N<10) or case studies; (4) studies on patients with endocrine disorders and/or receiving hormonal treatment; (5) studies that included samples of patients with diagnosed mental disorders; (6) studies on infants and children younger than 3 years of age; and (7) studies measuring anticipatory cortisol response to acute laboratory-based or real-life stressors.

2.1.3. Selected studies

The 24 studies selected for this review used various cortisol measures. Consistent with the findings from a previous meta-analysis of studies on the link between chronic stressors and HPA functions (Miller, Chen, & Zhou, 2007), most studies (n = 19) assessed cortisol concentrations at certain time points (morning or afternoon cortisol) and were included in the meta-analysis (Table 2). As too few studies assessed diurnal cortisol rhythm (CAR, n=2; diurnal cortisol slope, n=2; both, n=1), these were synthesized narratively. Only two studies explored the relationships between social cognition and hair cortisol (Kao et al., 2019; Villanueva, Montoya-Castilla, & Prado-Gascó, 2017), and these are reviewed in the online supplementary material.

2.2. Calculation of effect sizes

We chose Pearson's *r* correlation coefficient as an indicator of effect size because it was reported in all 19 studies included in this meta-analysis. Because in some studies the variables we were interested in were reverse coded, we recoded where appropriate so that positive correlations here reflect associations between better social cognition ability and greater cortisol concentration. The guidelines that we followed regarding the calculation of effect sizes are outlined in the Table 1.

2.3. Coding strategy

After full-text review, we categorized studies according to three core social cognition abilities on the basis of the measures used: emotion recognition, empathy (cognitive and affective) and emotion control. Studies were coded for a range of characteristics based on a priori decisions about potential

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moderators. It was not possible to examine study design and maltreatment history as potential moderators because only one study used longitudinal data (Kliewer et al., 2016) and only one assessed maltreatment history (England-Mason et al., 2017). Of the potential moderators we could examine, two were dummy-coded (whether the cortisol data were transformed to correct skewed distributions, and whether participants were instructed to avoid food and drink intake at least one hour preceding sample collection). Sampling time and sex of the participants were contrast-coded, because none of the three groups (morning, early afternoon, and non-specific time; males, females, and both) could suitably serve as a reference category for the remaining ones. The average age of participants was coded into four categories: children (younger than 10 years), adolescents (from 10 to 18 years), young adults (from 18 to 30 years) and middle-aged and older adults (older than 30 years). If the average participant age was not reported in the article, the median age was used when available. If that was not available either, the midpoint of the reported age range was used. For empathy specifically, type (cognitive or affective) was also explored as a potential moderator. In the studies we examined, false-belief tasks such as the unexpected-contents task (Perner, Leekam, & Wimmer, 1987) and the switched-location task (Wimmer & Perner, 1983) are widely used to test cognitive empathy. The Interpersonal Reactivity Index (IRI; Davis, 1983) is used to test both cognitive and affective empathy (Table 2).

2.4. Analytic strategy

Psychometric meta-analysis was employed to estimate pooled effect sizes across studies (Hunter & Schmidt, 2004). This is a random-effects model that accounts for dependence between effect sizes and generates more accurate confidence intervals compared to the fixed-model approach (Schmidt, Oh, & Hayes, 2009). Following the suggestions of Schmidt and Hunter (2015), we corrected for sampling bias by weighting effects by the size of the samples, and for measurement error (only for studies using scales or questionnaires to measure social cognition; for studies using experimental tasks (e.g., the Reading the Mind in the Eyes Test; Baron-Cohen et al., 2001) to measure it, we

respected the values reported) by using the reliability coefficients of the measures. Both mean observed correlations (\bar{r}) and mean corrected correlations (ρ) were computed, as well as confidence intervals (CIs) around the mean corrected correlations. As suggested by Cohen (1988), ρ of less than 0.2 were interpreted as “small”, those larger than 0.37 as “large”, and those in between as “moderate”. Forest plots were created to illustrate the corrected effect sizes for each study included in the meta-analysis, as well as the pooled effect size, with associated 95% CIs.

After calculating pooled effect sizes, the heterogeneity among individual effect sizes was assessed by means of Cochran’s Q and I^2 statistics. The Cochran’s Q is a measure of the weighted squared deviations of individual effect sizes from the overall mean effect size, indicating the total amount of observed variance (Lipsey & Wilson, 2001). I^2 represents the percentage of observed variation that is attributable to heterogeneity rather than within-study sampling error. I^2 values between 0.3-0.6 are considered to represent moderate heterogeneity, and 0.5-0.9 substantial (Higgins & Green, 2008). First, we examined whether there was significant heterogeneity between studies measuring each of the three core social cognition domains. Subgroup analysis was then performed to estimate the average effect size within each level of the moderating variable.

To test for publication bias, funnel plots of effect sizes against their standard errors were generated. Asymmetry in funnel plots is indicative of presence of publication bias (Sterne, Egger, & Moher, 2008). Egger’s tests were used to formally test for the asymmetry of the funnel plot (Egger et al., 1997). We used the “psychmeta” (Dahlke & Wiernik, 2018, version 2.2.0) package to conduct the analyses and “forestplot” package (Gordon, 2016) to create forest plots in R (R core team, 2019, version 3.6.1).

3. Results

3.1. Quantitative synthesis: meta-analyses

The meta-analysis used 19 studies (Table 2) examining social cognition in relation to cortisol concentration, reporting 46 effect sizes in total. In particular, there were 16 effect sizes ($k = 11$ studies) for emotion control, 20 ($k = 10$ studies) for empathy and 10 ($k = 6$ studies) for emotion recognition (total number of studies is not 27 as it may appear because studies are not mutually exclusive).

3.1.1. Emotion control

We found 11 studies examining emotion control in relation to cortisol concentration (Bechtoldt & Schneider, 2016; Brandtstädter et al., 1991; England-Mason et al., 2017; Fox, Cahill, & Zougkou, 2010; Kliewer et al., 2016; Locke et al., 2009; Mikolajczak et al., 2007; Miller et al., 2017; Shields et al., 2016; van Honk et al., 2000; Wilbraham, Qualter, & Roy, 2018; Table 2). As shown in Figure 2, effect sizes for the association between emotion control and cortisol levels ranged from -0.070 to 0.270, with a weighted average effect size of $r = 0.083$, 95% CI (0.033, 0.132], suggesting that higher emotion control scores are significantly linked to higher cortisol levels. Homogeneity of findings between studies was confirmed, Cochrane $Q(10) = 11.134$, $p = .347$, $I^2 = 10.2\%$. Neither inspection of the funnel plots nor Egger's tests showed any evidence of publication bias [Egger's test: intercept = 0.320; 95% CI (-1.280, 1.920); $t(10) = 0.45$, $p = .662$; Figure 5).

Next, we examined the weighted average correlation separately for the different levels of potential moderators (Table 3). We observed a significant positive effect size in studies measuring cortisol in the morning [$r = 0.085$, 95% CI (0.006, 0.164)], but not in studies measuring cortisol at noon or in the afternoon [$r = 0.025$, 95% CI (-0.041, 0.092)]. We also found a significant positive correlation between emotion control and cortisol levels in males [$r = 0.086$, 95% CI (0.007, 0.164)], but not in

females [$r = 0.070$, 95% CI (-0.068, 0.209)]. In terms of the studies using transformed cortisol data [of those that did, Wilbraham et al.'s (2018) used square-root-transformation while the others applied log-transformation], the average effect size was significant [$r = 0.086$, 95% CI (0.034, 0.137)]. The association was not significant in the studies not using data transformation [$r = 0.039$, 95% CI (-0.451, 0.529)], and heterogeneity was noticeable [$Q(4) = 3.817$, $p > .05$, $I^2 = 47.6\%$]. For studies instructing participants to fast before sample collection, improved emotion control was significantly associated with higher cortisol levels, with trivial heterogeneity [$r = 0.119$, 95% CI (0.013, 0.224); $Q(4) = 4.896$, $p > .05$, $I^2 = 18.3\%$]. However, for studies that did not report issuing fasting instructions preceding sample collection, the effect size was not significant [$r = 0.061$, 95% CI (-0.005, 0.127)]. The weighted average correlation between emotion control and cortisol levels was 0.121 [95% CI (0.022, 0.220)] in children, 0.145 [95% CI (-0.753, 1.04)] in adolescents, 0.038 [95% CI (-0.074, 0.150)] in young adults and 0.052 [95% CI (0.003, 0.100)] in older adults. It must be noted however that only one and two studies, respectively, were included in the two subgroups showing significant results (i.e., children and older adults).

3.1.2. Empathy

We found 10 studies examining empathy in relation to cortisol concentration (Bechtoldt & Schneider, 2016; Gonzalez-Liencrez et al., 2016; Lane et al., 2013; Mikolajczak et al., 2007; Oberle, 2018; Pascual-Sagastizabal et al., 2019; Smeets et al., 2009; Tomova et al., 2014; Wilbraham, Qualter, & Roy, 2018; Zilioli, Ponzi, & Henry, 2015; Table 2). As shown in Figure 3, effect sizes for the association between empathy and cortisol levels ranged from -0.116 to 0.257, with a weighted average effect size of $r = 0.072$, 95% CI (-0.020, 0.165). The CI suggests that empathy scores are not significantly associated with cortisol levels, although substantial heterogeneity was detected [Cochrane $Q(9) = 19.870$, $p = 0.019$; $I^2 = 54.7\%$]. However, the pooled effect sizes for all subgroups

were not statistically significant. Neither visual inspection of the funnel plots nor Egger's test showed any evidence of publication bias [intercept = -1.591; 95% CI (-4.362, 1.181), $t(9) = -1.32$, $p = .222$].

3.1.3. Emotion recognition

We found 6 studies examining emotion recognition in relation to cortisol concentrations] (Bechtoldt & Schneider, 2016; Köther, Lincoln, & Moritz, 2018; Smeets et al., 2009; Tomova et al., 2014; Wilbraham, Qualter, & Roy, 2018; Zilioli, Ponzi, & Henry, 2015; Table 2). As shown in Figure 4, effect sizes for the association between emotion recognition and cortisol levels ranged from -0.115 to 0.045. The weighted average effect size was not significant, $r = 0.004$, 95% CI (-0.061, 0.068). There was negligible heterogeneity, $Q(5) = 2.673$, $p = .750$, $I^2 = 0\%$, indicating that effect sizes were consistent between studies, and subgroup analyses revealed no significant result for any of the subgroups. Neither visual inspection of the funnel plots nor Egger's test showed any evidence of publication bias [intercept = -0.936; 95% CI (-2.522, 0.649); $t(5) = -1.64$, $p = .177$].

3.2. Narrative synthesis

Five studies examined the relationships between social cognition and diurnal cortisol rhythm (CAR or diurnal cortisol slope) in the general population (Table 4). Four of them focused on emotion control (Katz et al., 2018; Locke et al., 2009; Miller et al., 2017; Otto, Sin, & Almeida, 2018), and one on empathy (Johnson et al., 2014). The results of these studies were inconsistent. Two studies found no association between emotion control and CAR (Miller et al., 2017) or diurnal cortisol slope (Katz et al., 2018). In the two studies with significant findings, poor emotion control was linked to lower CAR (Otto, Sin & Almeida, 2018) and a flatter diurnal slope (Locke et al., 2009). However, Otto, Sin and Almeida (2018) reported a notable, albeit non-significant, link between poor emotion control and

steeper diurnal slope. The study on empathy suggested that greater CAR was related to affective empathy but not cognitive empathy (Johnson et al., 2014).

Heterogeneity in study design, developmental stage of the sample and treatment of confounding was considerable across the reviewed studies, which may partly explain the inconsistent findings. For example, one study controlled for a variety of demographic variables that might influence diurnal cortisol, such as age, sex, race, smoking, illness, BMI and steroidal medication use (i.e. Otto, Sin & Almeida, 2018), while another only considered one or a few of them (i.e. Johnson et al., 2014). The association between emotion control and flattened diurnal slope was marginally positive in adults (Otto, Sin & Almeida, 2018), but was significantly negative in children (Locke et al, 2009). In addition, moderator effects were inconsistently tested. For example, Locke et al. (2009) found moderation by sex, such that boys with poorer emotion control exhibited flatter diurnal slope. Moderation by sex was not tested however in the other study exploring the link between diurnal slope and emotion control (i.e. Otto, Sin & Almeida, 2018).

4. Discussion

We carried out the first comprehensive systematic review and meta-analysis of studies examining the associations between core social cognition abilities and cortisol in the general population. The studies we included focused on three core social cognition abilities: emotion recognition, empathy and emotion control. Contrary to our expectations, we did not find a significant association between cortisol concentration and empathy or emotion recognition. The pooled effect size for emotion control however was positive, and results were largely homogeneous. Effect sizes were, in general, small in magnitude, which is line with the magnitude of the associations between psychosocial factors and cortisol in naturalistic settings reported elsewhere (Chida & Steptoe, 2009). The findings

of the very few studies on the relationship between social cognition and diurnal cortisol rhythm were inconsistent and there was substantial heterogeneity across the studies that produced them.

One possible explanation for the positive correlation between emotion control and cortisol concentration is that emotion regulation, including suppression and inhibition of emotional expression (i.e., 'emotion control'), is cognitively effortful and therefore physically taxing (Otto et al, 2018; Richards & Gross, 1999). Experimental research has demonstrated a link between voluntary emotion suppression and heightened physiological responses, such as increased cardiovascular or sympathetic nervous system activity (Egloff et al., 2006; Gross & Levenson, 1993), and greater adrenocorticotrophic hormone and cortisol reaction to laboratory-induced stressors (Al'Absi et al., 1997; Denson et al., 2014; Denson, Spanovic, & Miller, 2009; Lam et al., 2009). By contrast, emotion recognition and empathy are more automatic and less effortful cognitive processes (Happé, & Frith, 2014).

Thus, our findings did not support our expectation that better social cognition would be negatively associated with cortisol concentration. This expectation was partly built on results from studies on people with schizophrenia and autism spectrum disorder who exhibit atypical social cognition and cortisol profiles. Another explanation for the positive correlation with emotion control is therefore that the relationship between social cognition and cortisol may be different in people with serious social cognition deficits compared to the general population. Social cognition may function as a "key resource" for clinical groups having difficulties with social interactions, by reducing social stress. For the general population however, where social skills would not be severely impaired, emotion control, may come at a cost and add physical stress on the body (Richards & Gross, 1999). Of course, as the relationship between cortisol levels and emotion control was cross-sectional, an alternative hypothesis could be that higher levels of cortisol result in better social cognition (Putman & Roelofs, 2011). A recent review suggests that stress-related changes in the HPA may lead to self-regulation

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difficulties through persistent immune system dysregulation (Shields, Moons, & Slavich, 2017). However, one placebo-controlled, double-blind study did not find evidence that cortisol administration can enhance the three aspects of social cognition examined here in healthy individuals (Duesenberg et al., 2016; Ma et al., 2017), indicating that it is more plausible that better emotion control may raise cortisol levels than vice versa.

4.1. Moderators in the meta-analysis

Our moderation (subgroup) analyses for the association between cortisol concentration and each of emotion control, empathy and emotion recognition yielded inconsistent findings. Significant findings were observed only for the association between cortisol and emotion control. First, there was a significant positive effect size in studies sampling cortisol in the morning, but not in studies sampling cortisol in the (after)noon or when the timing of the sample collection was not specified, which indicates that people with poor emotion control have lower cortisol levels in the morning. To the extent that poor emotion control may generate psychosocial stressors and be a source of chronic stress, this may be explained by the hypocortisolism (Heim, Ehlert, & Hellhammer, 2000; Gunnar & Vazquez, 2001) observed under chronic stress, when individuals can transition from hypercortisolism to hypocortisolism (Koss & Gunnar, 2018). Indeed, several studies have documented flatter daytime slopes in children who had experienced mild to severe stressors because of lower morning cortisol levels, which can be a result of chronic stress (Fries et al., 2005). Relatedly, Trickett and colleagues (2010) found that cortisol levels of maltreated females were initially higher than those of non-maltreated females, but became lower in adulthood. To react to instant stressors, the HPA axis adapts as a protective response by elevated production of cortisol and other related hormones (i.e., adrenocorticotrophic hormone). However, in the long run the receptors of these hormones may be down-regulated as a response to elevated levels of them, resulting in lower cortisol production (Fries et al., 2005). Poor emotion control may generate psychosocial stressors and be a source of chronic

stress (or indeed poor emotion control may be the result of exposure to chronic stressors), further leading to hypocortisolism. However, because of lack of longitudinal data, this hypothesis could not be tested here.

The finding of a significant positive association between emotion control and cortisol levels in males was unexpected. It is not clear why emotion control was not related to cortisol levels in females or in mixed-sex groups. We speculate that biological explanations about sex differences are plausible but also that gender roles and gender socialization may make emotion control more effortful in males (Barnett & Hyde, 2001; Mccann, Stewin, & Short, 1991). We also observed a significant positive effect size in studies transforming their cortisol data. Wilbraham and colleagues (2018) used square-root-transformation, Pascual-Sagastizabal and colleagues (2019) used Blom transformation while others applied log-transformation. Because most of studies used log-transformation, we cannot compare between ways of transforming the data. In agreement with Miller and Plessow's (2013) suggestion, we encourage the use of transformed data and advise care with the selection of the transformation method.

We also found a significant positive effect size in studies reporting fasting instructions preceding the sample collection. Consumption of food can cause variability in salivary cortisol levels as it can alter the oral environment and affect the quality of salivary samples (Hanrahan et al., 2006). Some drinks and foods may affect salivary pH, leading to false high or low levels (Schwartz, Granger, Susman, Gunnar, & Laird, 1998). In addition, a variety of foods and drinks contain caffeine which may increase HPA axis activity (Ping et al., 2012). Thus, we suggest that in the future researchers ask participants to avoid food and drink intake at least one hour prior to the collection of salivary cortisol samples.

Unfortunately, there was not enough evidence to examine moderation by age. The only study on children showed a positive link between emotion control and cortisol (Miller et al., 2017). It is possible that the immaturity of the HPA axis in children and adolescents results in greater cortisol secretion and longer recovery time from exposure to stressors. Studies with animals have shown similar findings; pre-pubertal rats have a more prolonged, stress-induced corticosterone response compared to adult rats (Holder & Blaustein, 2014; Romeo, Lee, & McEwen, 2004). A previous study on young adolescents' diurnal cortisol profiles in relation to their social competence also showed that poorer emotion control was linked to lower cortisol concentration at awakening and a more blunted diurnal slope (Jiang et al., 2018). Yet in this meta-analysis the studies on adolescents did not yield a significant effect size. Only a small number of studies on children or adolescents were included in this meta-analysis, however, and therefore our null findings may be due to lack of power. The middle aged and older-adult group (two studies) showed significant pooled effect sizes although each study reported non-significant findings. We suggest that age differences are worth exploring systematically in future studies linking social cognition to stress.

4.2. Future directions

The current review contributes to the existing literature on social cognition and cortisol concentration by suggesting that one particular aspect of social cognition, emotion control, is positively associated with cortisol concentrations in the general population. However, the causal direction of the link is unknown. There are theories suggesting effects in both directions. For example, social stress may reduce attention to social cues, and may therefore worsen social cognition (Nolte et al., 2013). At the same time, poor social cognition may not arouse enough cognitive and physical response to cope with stress (Otto et al, 2018). Longitudinally designed studies tracking both social cognition and HPA activity over time are in order. The very few longitudinal studies conducted to date report mixed findings. One study indicated that increased

social competence at age 7 and age 12 was associated with higher cortisol at awakening at age 15 (Boyer & Nelson, 2015). A more recent study on emotion regulation on adults suggested that emotional suppression was not significantly associated with changes in cortisol secretion over a year (Katz et al., 2018).

Furthermore, despite rhythm parameters of salivary cortisol being most robustly linked to stress and health problems (Adam & Kumari, 2009; Adam et al., 2017), only about a third of our studies had measured either CAR or diurnal cortisol slope. Most of the studies we identified (and indeed all of the ones we included in the meta-analysis) had only measured cortisol concentration at a time of day. Whilst cortisol concentration is useful in exploring between-group differences, it is difficult to interpret its meaning without a co-measure of diurnal rhythm. As discussed above, both hypocortisolism and hypercortisolism have been related to chronic stress, and therefore the amount of cortisol at a fixed time, especially without detailed life history information, can only crudely approximate stress in the general population. Therefore, more research on the relationship between social cognition and all three key cortisol rhythm parameters (CAR, diurnal slope and AUC) is required.

In addition, the effects of cortisol in this meta-analysis were considered in isolation, whereas typically cortisol exerts its effects alongside reactivity in catecholamines, the sympathetic nervous system, and the immune system (Hall et al., 2012). Hence, other biological processes or hormones may influence the relationship between social cognition abilities and cortisol. For example, there is increasing support for the involvement of testosterone in the relationship between social cognition and cortisol in older adolescents or adults. Bechtoldt and Schneider (2016) for instance found moderating effects of testosterone on the interplay between emotion recognition and stress reactivity on young adults. In their study, better recognition of negative emotions predicted higher

cortisol response to social-evaluation stressors at high concentrations of basal testosterone. However, this dual-hormone hypothesis to emotion recognition, or indeed social cognition in general, has not been tested in naturalistic settings. Testosterone and cortisol are also inter-related. Testosterone is the product of the hypothalamic-pituitary gonadal (HPG) axis, which is co-regulated with the HPA axis. Testosterone can inhibit the release of cortisol, while cortisol can inhibit the secretion of testosterone (Viau, 2002).

Finally, the correlation between social cognition and cortisol levels may turn to negative in a long-term highly stressful environment, when social cognition might function as “key resource” to coping with stress. Ruiz-Robledillo and Moya-Albiol (2014) showed that better emotion control was related to a lower morning cortisol profile in caregivers of children with autism spectrum disorder. Extreme adversity can have a similar long-term effect. For example, childhood maltreatment can cause long-term stress and has been demonstrated to contribute to chronic hypocortisolemia in adults (Kuras et al., 2017). It can also be related to social cognition deficits. Koizumi and Takagishi (2014) studied 129 children and adolescents (age 6 to 19 years) and found that those with a maltreatment history showed worse emotion recognition, especially for positive emotions, compared with those without a maltreatment history. However, because our review only included studies in the general population, we could not explore the effect of adversity on the relationship between social cognition abilities and cortisol or HPA function. Future research should attempt to examine how early adversity or major life stressors might affect this relationship.

4.3. Limitations

This meta-analysis has several limitations too. First, the studies showed substantial heterogeneity. Social cognition is a multifaceted construct but in this review we could only explore three of its aspects, and they were not measured with the same instruments. For instance, four of the included

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studies used the Reading the Mind in the Eyes Test (Baron-Cohen et al. 2001) to measure emotion recognition, while others used emotional intelligence scales (e.g. Mayer-Salovey-Caruso Emotional Intelligence Test; Mayer et al., 2003). This could be a potential source of the heterogeneity observed. Second, we only had 19 studies with a total of 46 effect sizes, with even fewer effect sizes when subgroup analysis was conducted. Therefore lack of power to detect small effects is likely. Third, since we had to rely on secondary data, the exact sampling time of cortisol in the morning could not be obtained for every study included in our review. The range of time of collection spanned from 8:30 a.m. to 12 p.m., a time window too wide to capture the same point in the circadian rhythm of cortisol for everyone in every study. Fourth, this meta-analysis could not assess potential differences pertaining to the type of sample collected, e.g. urine, blood and hair, due to insufficient numbers of studies available. Fifth, variables that may be relevant to the relationship between cortisol levels and social cognition, such as childhood maltreatment, mental illness history and genetic vulnerability, were not available.

5. Conclusion

This review examined the relationship, in the general population, between cortisol concentrations and three core aspects of social cognition: emotion recognition, empathy and emotion regulation.

The meta-analysis found that better emotion control was associated with higher cortisol concentrations. Subgroup analysis further showed that this association was significant for morning cortisol, in males, when fasting instructions preceding cortisol sampling were issued, and when the cortisol data were transformed in the analysis to deal with the skewness of the data distribution.

There was no association between emotion recognition or empathy and cortisol levels. There was not enough evidence to suggest a link between social cognition and diurnal cortisol slope or cortisol awakening response.

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Tables

Table 1

Guidelines for the calculation of effect sizes.

Study description	Decision
Longitudinal studies in which only cross-sectional baseline data were relevant	Studies were coded as cross-sectional
Experimental studies in which only cross-sectional baseline data were relevant	Studies were coded as cross-sectional
Studies that measured multiple domains of social cognition in the same sample	Effects sizes for each core social cognition domain were extracted as independent effect sizes; effect sizes for each sub-domain of core social cognition were combined as one effect size in the main analysis but treated as independent effect sizes in subgroup analysis
Studies that calculated effect sizes for social cognition subscale scores and overall scale scores	Effect sizes for general social cognition were prioritized
Studies that calculated effect sizes for social cognition subscales	An average effect size was computed to represent the effect size for general social cognition
Studies that reported separate results for different populations (e.g., men vs. women), cortisol measured at different time points.	Effects sizes for each sample were combined as one effect size for each study, but extracted as independent effect sizes in subgroup analysis
Studies that measured cortisol at several time-points in the morning	Effect size for cortisol half an hour post awakening was prioritized

Table 2

Included studies and descriptive variables

Study	N	Males%	Average (median) age (years)	Collection time of cortisol samples	Fasting instructions	Social cognition domain	Measures
Bechtoldt et al. (2016)	166	100	College students	Afternoon	Yes	Emotion recognition, empathy and emotion control	Emotion recognition, understand and management subscales of MSCEIT V2.0
Brandstädter et al. (1991)	767	50	45	Morning & afternoon	No	Emotion control	Emotion lability subscale of FPI
England-Mason et al. (2017)	118	0	32	Across the day	Yes	Emotion control	DERS; emotion suppress subscale of ERC
Fox et al. (2010)	104	100	22	Morning	No	Emotion control	Visual Probe tasks

Gonzalez-Liencrest et al. (2016)	52	52	24	Afternoon	No	Empathy	Empathic concern and perspective taking subscales of IRI
Kliwer et al. (2016)	229	41	12	Across the day	Yes	Emotion control	ERC
Köther et al. (2018)	14	61	36	Afternoon	Yes	Emotion recognition	The emotion perception and confidence task adapted from RMET
Lane et al. (2013)	102	50	4	Across the day	No	Empathy	False-belief tasks
Locke et al. (2009)	291	51	8	Morning	Yes	Emotion control	Context inappropriate anger
Mikolajczak et al. (2007)	28	50	20	Afternoon	Yes	Emotion control Empathy	Self-control and emotional sensitivity subscales of TEIQ
Miller et al. (2017)	380	50	4	Morning	No	Emotion control	Negative liability subscales of ERC
Oberle (2018)	154	54	11	Afternoon	Yes	Empathy	Perspective taking subscale of IRI
Pascual-Sagastizabal et al. (2019)	159	50	8	Morning	No	Empathy	Empathy quotient-children version
Shields et al. (2016)	36	0	19	Afternoon	No	Emotion control	Emotional Stroop task
Smeets et al. (2009)	64	50	26	Morning	Yes	Emotion recognition and empathy	RMET; MASC
Tomova et al. (2014)	64	50	29	Afternoon	No	Emotion recognition; empathy	RMET; IRI; EC
Van Honk et al. (2000)	20	100	23	Afternoon	No	Emotion control	Emotion Stroop task
Wilbraham et al. (2018)	89	23	19	Afternoon	No	Emotion recognition, empathy and emotion control	Emotion recognition, understand and management subscales of SUEIT
Zilioli et al. (2015)	453	70	29	Afternoon	No	Emotion recognition, empathy	RMET; Empathic concern and perspective taking subscales of IRI

Note. Miller et al. (2017): the sampling time of cortisol was before breakfast, though no more information was provided. Measurements of social cognition: MSCEIT= Mayer–Salovey–Caruso Emotional Intelligence Test (Mayer et al., 2003); ERC = Emotion Regulation Checklist (Shields & Cicchetti, 1997); DERS = Difficulties in Emotion Regulation Scale (Gratz & Roemer, 2004); FPI = Freiburg Personality Inventory (Fahrenbeck, Hampel, & Selg, 1973); MPQ = Tellegen's Multidimensional Personality Questionnaire (Tellegen, 1982); TEIQ = Trait EI Questionnaire (Petrides & Furnham, 2003); SUEIT = Swinburne University Emotional Intelligence Test (Palmer & Stough, 2001); RMET = Reading the Mind in the Eyes Test (Baron-Cohen et al., 2001); IRI = Interpersonal Reactivity Index (Davis, 1983); EC = Emotion Contagion Scale (Doherty, 1997); MASC = Movie for the Assessment of Social Cognition (Dziobek et al., 2006); Emotion Stroop task in van Honk et al (2000) used pictures of Facial Affect and other comparable specially prepared facial stimuli from Ekman and Friesen's (1976); Visual Probe tasks in Fox et al. (2010) used pictures from the International Affective Picture System (Lang, Bradley, & Cuthbert, 2005); False-belief tasks in Lane et al. (2013) are unexpected-contents task (Perner, Leekam, & Wimmer, 1987) and switched-location task (Wimmer & Perner, 1983).

Table 3

Meta-Analytic Results for the Relationship between Emotion Control and Cortisol Concentration

Characteristics	k	N	\bar{r}	P	SD _p	SE _p	95%CI	heterogeneity	
								Q^{α}	$I^2(\%)$
Total set	11	2229	.075	.083*	.074	.023	(.033, .132)	11.13	10.2
Sampling time of cortisol									
Morning	4	1542	.085	.085*	.050	.025	(.006, .164)	2.859	0
(After)noon	6	1106	.023	.025	.063	.026	(-.041, .092)	3.580	0
Non-specific	2	348	.142	.169	.109	.077	(-.813, 1.15)	2.188	54.2
Sex									
Males	7	941	.081	.086*	.085	.032	(.007, .164)	5.766	0
Females	5	819	.061	.070	.111	.050	(-.068, .209)	8.132	50.8
Mixed	2	469	.088	.088	.064	.045	(-.486, .662)	0.965	0
Age									
Children	1	380	.110	.121*	-	.051	(.022, .220)	-	-
Adolescents	2	521	.129	.145	.100	.071	(-.753, 1.04)	2.674	62.6
Young adults	6	443	.033	.038	.107	.044	(-.074, .150)	4.124	0
Middle-aged & older adults	2	885	.050	.052*	.005	.004	(.003, .100)	0.013	0
Cortisol data transformed?									
No	3	152	.035	.039	.197	.114	(-.451, .529)	3.817	47.6
Yes	8	2077	.078	.086*	.062	.022	(.034, .137)	6.621	0
Fasting?									
No	6	1396	.058	.061	.063	.026	(-.005, .127)	4.564	0
Yes	5	833	.104	.119*	.085	.038	(.013, .224)	4.896	18.3

Note. k = number of correlations; n = combined sample size; \bar{r} = mean uncorrected correlation; ρ = estimated true score correlation corrected for measurement error; SD_p = observed standard deviation of corrected correlations; SE_p = Standard error of corrected correlations; 95%CI = 95% confidence interval for ρ ; Q = Q-test statistic for test of heterogeneity in true score correlations. * ρ = significant effect sizes.

Table 4

Summary of Studies on Social Cognition and Diurnal Cortisol Rhythm

Study	Study design	Sample	Saliva cortisol sampling	Cortisol measure	Social cognition domain (measures)	Main findings
Johnson et al., 2014	Cross-sectional	57 adults (Mage = 19, 56% males)	At awakening and 30 min after awakening for one day.	CAR	Empathy (IRI)	Better affective empathy was associated with greater CAR. Cognitive empathy was not associated with CAR.
Katz et al., 2018	Longitudinal	29 adults (Mage = 45, 17.2% males)	At awakening, 30 min after awakening, before lunch, and at bedtime for one day at each of three waves (in the October of year 1 and the March and October of year 2)	Morning cortisol; CAR	Emotion control (ERQ)	Emotional control was not associated with cortisol at awakening or CAR over the year.
Locke et al., 2009	Cross-sectional	292 children (M age = 8.3 years, 48% boys)	Within half an hour after awakening, at 4 p.m., and at bedtime for three days.	Morning cortisol; diurnal cortisol slope	Emotion control (context inappropriate anger)	Poorer emotion control was associated with a lower morning cortisol and flatter diurnal cortisol slope. Sex-specific analysis showed

Miller et al., 2017	Cross-sectional	380 children (M age = 4.2, 50% boys)	At 1.5 hours after awakening, before lunch and in the afternoon for three days.	Morning cortisol; diurnal cortisol slope	Emotion control (ERC)	this association was only significant in boys. Emotional control was not associated with diurnal cortisol slope.
Otto, Sin, & Almeida, 2018	Cross-sectional	46 adults (M age = 54, 50% males)	At awakening, 30 min after awakening, before lunch, and at bedtime for four days.	CAR; diurnal cortisol slope; AUC	Emotion control (ERQ)	Better emotion control was associated with greater CAR and marginally flatter diurnal cortisol slope ($p=.08$), but was not associated with AUC.

Note: AUC = area under the curve, total daily cortisol; IRI = Interpersonal Reactivity Index (Davis, 1983); ERQ = Emotion Regulation Questionnaire (Gross & John, 2003); ERC = Emotion Regulation Checklist (Shields & Cicchetti, 1997)

Figure legends

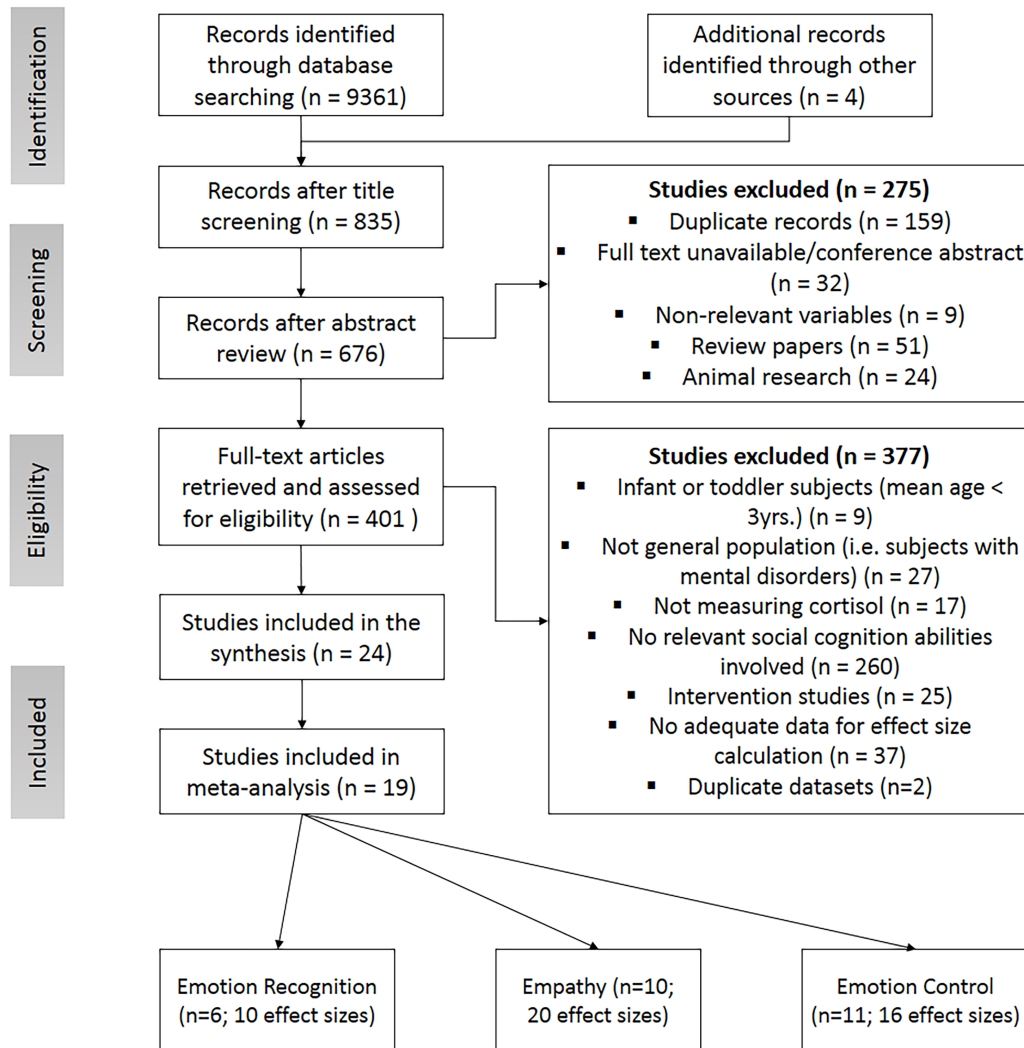
Figure 1 PRISMA Diagram

Figure 2 Forest plot of studies examining the relationship between emotion control and cortisol levels in general population samples

Figure 3 Forest plot of studies examining the relationship between empathy and cortisol levels in general population samples

Figure 4 Forest plot of studies examining the relationship between emotion recognition and cortisol levels in general population samples

Figure 5 Funnel plots of studies for emotion control, empathy and emotion recognition (respectively, from left to right)



Factor	OR (95% CI)
Age	1.00 (0.99, 1.01)
Gender	1.00 (0.99, 1.01)
Education	1.00 (0.99, 1.01)
Income	1.00 (0.99, 1.01)
Health status	1.00 (0.99, 1.01)
Family size	1.00 (0.99, 1.01)
Urban/rural	1.00 (0.99, 1.01)
Marital status	1.00 (0.99, 1.01)
Occupation	1.00 (0.99, 1.01)
Religion	1.00 (0.99, 1.01)
Region	1.00 (0.99, 1.01)
Season	1.00 (0.99, 1.01)
Time of day	1.00 (0.99, 1.01)
Weather	1.00 (0.99, 1.01)
Month	1.00 (0.99, 1.01)
Year	1.00 (0.99, 1.01)
Study	1.00 (0.99, 1.01)

