

# Generation and Focusing of Orbital Angular Momentum Based on Polarized Reflectarray at Microwave Frequency

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**Abstract**—A novel polarized reflectarray is designed, fabricated, and experimentally characterized to show its flexibility and efficiency to control wave generation and focusing of orbital angular momentum (OAM) vortices with desirable OAM modes in the microwave frequency regime. In order to rigorously study the generation and focusing of OAM, a versatile analytical theory is proposed to theoretically study the compensation phase of reflectarray. Two prototypes of microwave reflectarrays are fabricated and experimentally characterized at 12 GHz: one for generation and one for focusing of OAM-carrying beams. Compared with the OAM-generating reflectarray, the reflectarray for focusing OAM vortex can significantly reduce the beam diameter, and this can further improve the transmission efficiency of the OAM vortex beams. We also show that the numerical and experimental results agree very well. The proposed design method and reflectarrays may spur the development of new efficient approaches to generate and focus OAM vortex waves for applications to microwave wireless communications.

**Index Terms**—Microwave, orbital angular momentum (OAM) generation, OAM focusing, polarized reflectarray.

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## I. INTRODUCTION

WITH the rapid development of wireless communication technology, it has become imperative to improve the system capacity and spectral efficiency to meet the exponential growth of demand in the area of broadband data transfer, data centers, and cloud-based services. To overcome this challenge, orbital angular momentum (OAM) has emerged as an effective means to carry information due to its multiple orthogonal modes can simultaneously transfer at the same frequency in a single communication channel, offering alternative and flexible degrees of freedom [1] without increasing the frequency bandwidth [2]–[4]. As a result, the use of OAM in wireless communications has become a field of intense research, due to its potential applications, especially in the microwave regime [5]–[8]. Therefore, it is very important to develop effective methods to generate OAM vortex waves at microwave frequency. Within the broad array of applications of OAM, how to efficiently generate, manipulate, and receive OAM-carrying beams are the most important issues. Recently, versatile antennas and antenna arrays have been demonstrated to generate OAM beams, the underlying principle being the introduction of an azimuthal phase factor in order to generate single or multiple OAM modes [9]–[11]. However, such devices have some inherent limitations. Thus, in practical applications, antennas based on spiral reflectors have large thicknesses and are usually difficult to fabricate [12], [13]. Furthermore, to generate OAM using antenna arrays, one needs a complex feeding network, so as to generate a phase difference between array elements [14]–[16]. This can increase the complexity of system integration and production costs. However, instead of the above designs, reflectarrays are gradually considered to be one of the practical ways for generating vortex waves in the radio frequency domain. Reflectarray can be designed to produce single or multiple beams using single- or multiple-layer configurations at different frequency bands [17]–[20]. A single-layer, dual-frequency unit for generating OAM in the microwave range for multifunctional OAM with required OAM mode, beam number, and direction was reported in [21]. According to [22], a high-efficiency planar reflectarray with a small size was proposed to effectively convert arbitrarily polarized waves to OAM waves. Furthermore, Jiang *et al.* [23] designed a class of low-profile and broadband dual-circularly polarized reflectarrays with

independent beamforming for circularly polarized waves with opposite handedness. Meanwhile, a dual-band dual-polarized reflectarray for generating dual beams with respect to carrying two different OAM topological charges operating in the C-band in horizontal polarization and the X-band in vertical polarization was proposed in [24]. They mainly concern about high efficiency and high OAM mode purity in a low-profile configuration. More recently, metasurfaces based on catenary and other structures have also been reported to generate vortex waves successfully, providing another novel route to achieve OAM beam.

Metasurfaces have been demonstrated to have enhanced ability to facilitate OAM generation and processing [25]–[27]. As the 2-D equivalent of metamaterials, metasurfaces have been widely used to manipulate electromagnetic (EM) waves [28]–[32], chiefly due to their ultrathin and subwavelength characteristics. In particular, due to their remarkable abilities to manipulate the amplitude, phase, and polarization state of EM waves [33]–[37], it is possible to employ metasurfaces to generate vortex beams with an arbitrary topological number, as it has been confirmed by a series of experiments in the optical and microwave regime [38]–[43]. Yu *et al.* [44] demonstrated a reflective metasurface to generate OAM in the radio frequency domain at a single frequency point, but the bandwidth is narrow and the size is relatively large. Metasurfaces composed of Pancharatnam–Berry (PB) phase elements are demonstrated to control the conical beam generation by Ding *et al.* [45]; however, it did not consider the issue of transmission and efficiency. Apart from this, OAM vortex waves have annular structure and are divergent, and thus, the inner diameter of the beam is a key factor that determines their suitability for communications based on specific OAM modes. In addition, as the order of the OAM mode and the propagation distance increase, the degree of beam divergence increases too. Therefore, it is particularly important to develop effective methods for focusing on OAM vortex waves.

In the microwave regime, despite that previous research focused on OAM beam generation, it has not been demonstrated that a reflectarray based on reflective polarized converter can be used to generate and focus OAM beams simultaneously. Recently, the control of polarization states of EM waves based on periodic structure has attracted intense research interest at the frontier of science and engineering due to the ability to modify the amplitude and phase of EM waves [46]–[48]. By controlling the phase and amplitude responses of the unit cells in reflectarray, a vortex beam with arbitrary topological charge can be easily realized. This has spurred great interest in designing polarized reflectarrays to generate and focus OAM beams. In this article, we propose two types of polarized reflectarrays to generate and focus OAM beams at microwave frequencies. The cross-polarization conversion efficiency of these polarized elements is over 70% in the bandwidth above 55%, and these unit cells can achieve  $2\pi$  phase variation. These polarized reflectarrays provide great flexibility in phase control while greatly suppressing the transmission loss. Moreover, a theoretical formula for the phase distribution is derived and used to design the two reflectarrays for the generation and focusing OAM beams. These reflective

polarized reflectarrays have the advantages of being ultrathin and lightweight and provide great flexibility in tailoring the phase distribution in its unit cell. Two of these reflectarrays were designed, fabricated, and measured at 12 GHz, so as to validate the theoretical predictions, and generation and focusing of OAM vortex waves is achieved by using quasi-spherical beams and we found that the results of numerical simulations agree very well with the experimental measurements. Thus, the simulations and measurements demonstrate that the generation and focusing of OAM-carrying beams can be flexibly achieved by using polarized reflectarrays. However, considering the communication requirements, it can be found that it is necessary to further increase the transmission and focusing distance of the OAM beam, which will also be one of our future research topics.

## II. REFLECTARRAYS DESIGN AND RESULTS

A reflective polarized converter based on a single-layer unit cell is chosen here. The proposed element is subwavelength, and its parameters are shown in Fig. 1(a). The square split ring and the metal ground plane are separated by a Teflon dielectric spacer, and the reflection phase varies with the change of parameters  $b$  and  $w$ . The unit cell period  $p = 10$  mm, thickness of substrate  $h = 3$  mm with relative permittivity  $\epsilon_r = 2.65$ , and the other geometrical parameters of the element are:  $a = 6$  mm,  $a_1 = 5$  mm, and  $b_1 = 3$  mm. The values of parameters and reflection phase of the element are listed in Table I.

Under linearly polarized incidence, the cross-polarization reflective coefficient is above 70% with the bandwidth of more than 55%, as shown in Fig. 2(a). In addition, the results plotted in Fig. 2(b) demonstrate that the phase of the reflective cross-polarized components can change by  $360^\circ$  by varying the values of  $b$  and  $w$ , which is enough to produce OAM vortex waves with arbitrary topological number.

The proposed reflectarray consists of polarized elements, metallic ground plate, and an illuminating feed antenna, as shown in Fig. 1(c). Considering a reflectarray, which consists of  $M * N$  elements that are illuminated by a feed source located at the position of  $r_d$ ,  $r_{mn}$  is the distance between the  $m$ th element and the coordinate center, and the generating OAM vortex waves propagate along the  $z$ -axis.

## III. ANALYSIS AND DISCUSSION

### A. Numerical Results

According to the Helmholtz equation in free space [49], for vortex waves that propagate along the  $z$ -axis, the vector electric field  $\mathbf{E}_l$  in cylindrical coordinates can be expressed as follows:

$$\mathbf{E}_l(\mathbf{r}, \varphi, z) = \mathbf{E}_0 \exp(il\varphi) \exp(-ik_0z) \quad (1)$$

where  $l$  is an arbitrary integer representing the topological charge and is also the OAM mode number. We assumed that if the coordinate of a unit cell is  $(x, y)$ , then  $\varphi = \arctan(y/x)$ , which is related to the origin point,  $k_0$  is the wavenumber of free spaces, and  $\mathbf{E}_0$  is a constant vector. As shown in Fig. 1(c),

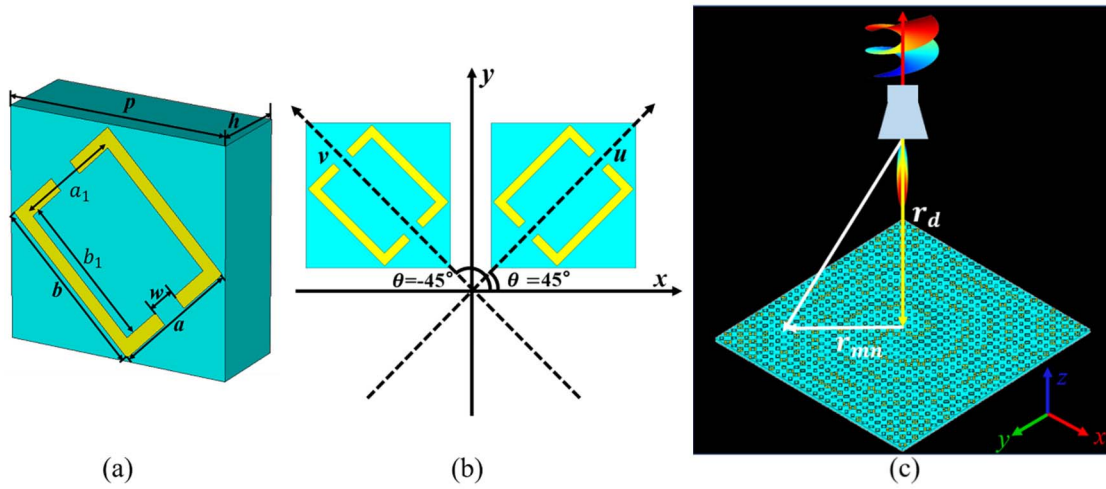

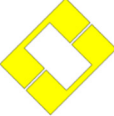
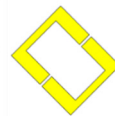
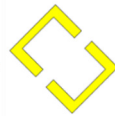
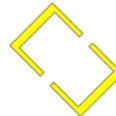
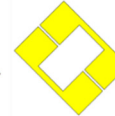
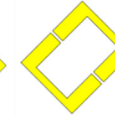
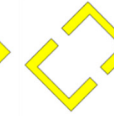


Fig. 1. (a) Schematic of the reflective polarized converter with variable  $w$  and  $b$ . (b) Polarization conversion structures of  $45^\circ$  symmetrical and  $-45^\circ$  symmetrical, and  $\theta$  is the angle between the  $x$ -axis and the  $u/v$  symmetry axis of the structure. (c) Configuration of OAM-generation reflectarray.

TABLE I  
PARAMETERS OF SUBWAVELENGTH ELEMENTS

Parameters	Element1	Element2	Element3	Element4	Element5	Element6	Element7	Element8
$b/\text{mm}$	3.6	7.8	5.0	4.4	3.6	7.8	5.0	4.4
$w/\text{mm}$	0.8	0.2	0.2	1.0	0.8	0.2	0.2	1.0
$\theta/^\circ$	-45	45	45	45	45	-45	-45	-45

Unit cells								
Reflection Phase / $^\circ$	-172	-116	-74	-32	9	-296	-255	-212

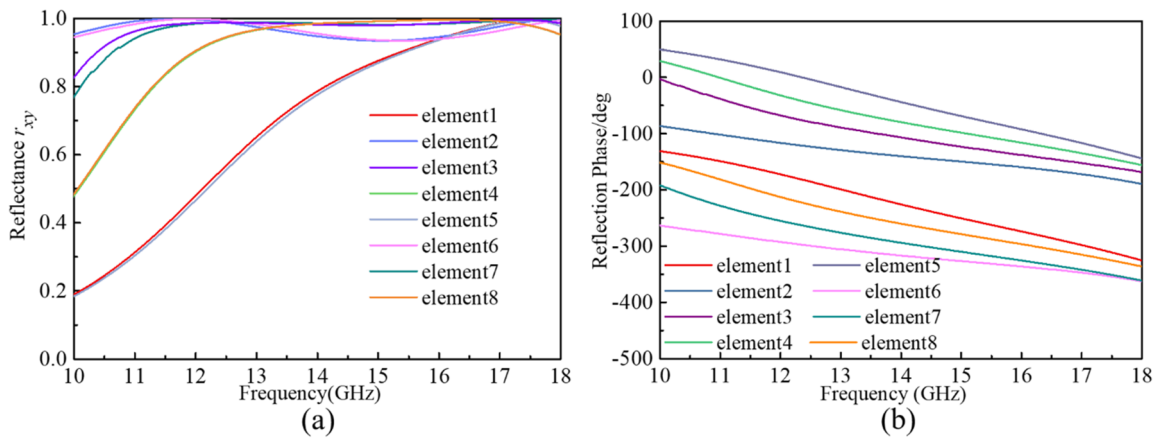


Fig. 2. (a) Simulation of cross-polarization reflection coefficient of the polarized unit cells at 12 GHz versus frequency with the change of parameters  $b$  and  $w$ . (b) Simulated reflection phase of the polarized unit cells at 12 GHz versus frequency with the change of parameters  $b$  and  $w$ .

we can get the expression of an input phase of the standard horn, that is

$$\varphi_I = k_0 \sqrt{x^2 + y^2 + r_d^2}. \quad (2)$$

Then, the compensating phase required at each polarized reflective unit cell in the desired direction can be obtained by

$$\varphi_{mn} = l \arctan(y/x) - k_0 \sqrt{x^2 + y^2 + r_d^2} \quad (3)$$

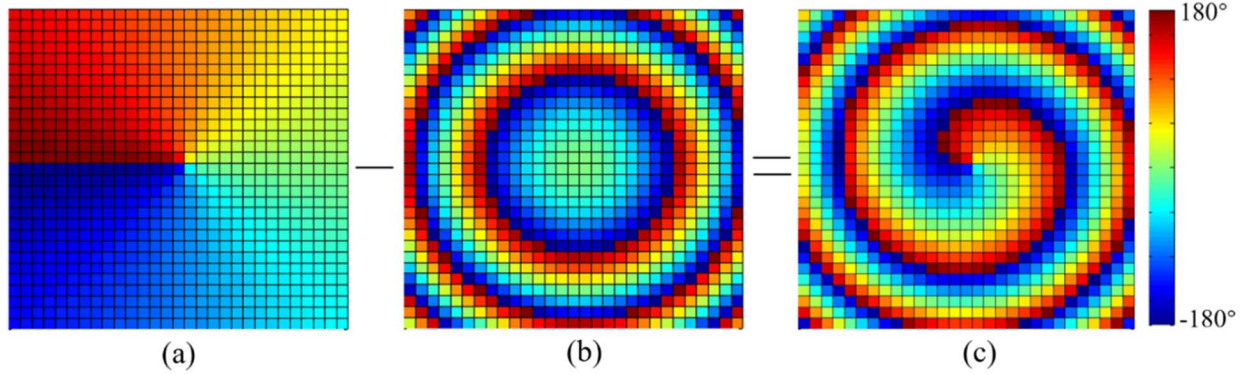


Fig. 3. Calculation process and phase distribution compensated on the reflectarray based on the polarized reflective elements. (a) Output phase of vortex wave. (b) Input phase of incident source. (c) Compensate phase of reflectarray.

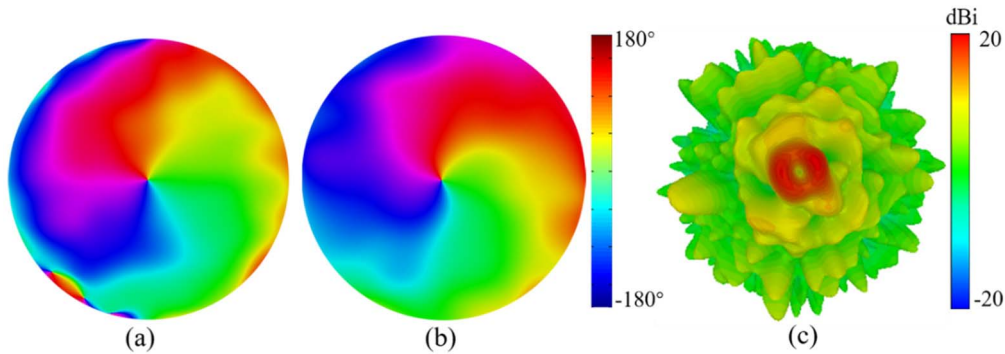


Fig. 4. Simulation results of generating OAM vortex waves with topological charge  $l = 1$  at 12 GHz. (a) Phase distribution of electrical field at cross sections  $z = 600$  mm ( $z = 24 \lambda_0$ ) in the  $xy$  plane. (b) Phase distribution of electrical field at cross sections  $z = 800$  mm ( $z = 32 \lambda_0$ ) in the  $xy$  plane. (c) Top view of simulated radiation pattern in the far-field zone.

where  $\varphi_{mn}$  is the azimuthal angle of the  $mn^{\text{th}}$  element and  $r_d$  is the distance between the horn and the reflectarray. Based on the phase distribution of the required function of the reflectarray, the specific unit cell at any location can be designed.

The numerical results shown in Fig. 3 reveal that the phase variation of the reflectarray must cover  $360^\circ$ . The output phase of the vortex wave and the input phase of the incident source are shown in Fig. 3(a) and (b), respectively. The necessary compensating phase generated by the reflectarray can be calculated by using the EM field superposition principle as given in (3), and it is given in Fig. 3(c). Theoretically, OAM vortex waves with arbitrary topological charges can be generated in terms of the continuous spatial phase change by employing reflectarrays with periodic elements.

### B. Simulation Results

For illustration, we designed a reflectarray using polygonal elements to generate OAM beams. The reflectarray contained  $30 \times 30$  elements based on these eight basic unit cells presented in Table I. The layout is a square array with the dimension of  $300$  mm  $\times$   $300$  mm, as shown in Fig. 1(c). The topological charge of OAM-generation design was chosen to be 1. In order to verify the performance of the designed

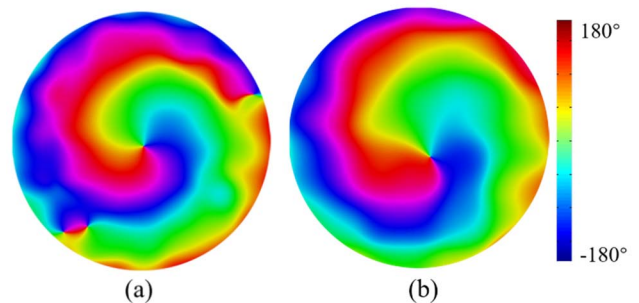


Fig. 5. Simulation phase distributions of electrical field for OAM-focusing vortex beam with topological charge  $l = 1$  at 12 GHz in the  $xy$  plane. (a)  $z = 600$  mm. (b)  $z = 800$  mm.

reflectarray, numerical simulations are performed using the CST Microwave Studio for all these schemes with normal incident wave and polarization along the  $y$ -axis, and full-wave simulations based on the finite-difference time-domain (FDTD) technique have been performed at 12 GHz ( $\lambda_0 = 25$  mm), to analyze the characteristics of OAM-generation by the reflectarray. The unit cell boundary conditions were applied along the  $x$ - $y$ -directions. A horn antenna was used as the feeding source at normal incidence, and the feed point was located in front of the reflectarray

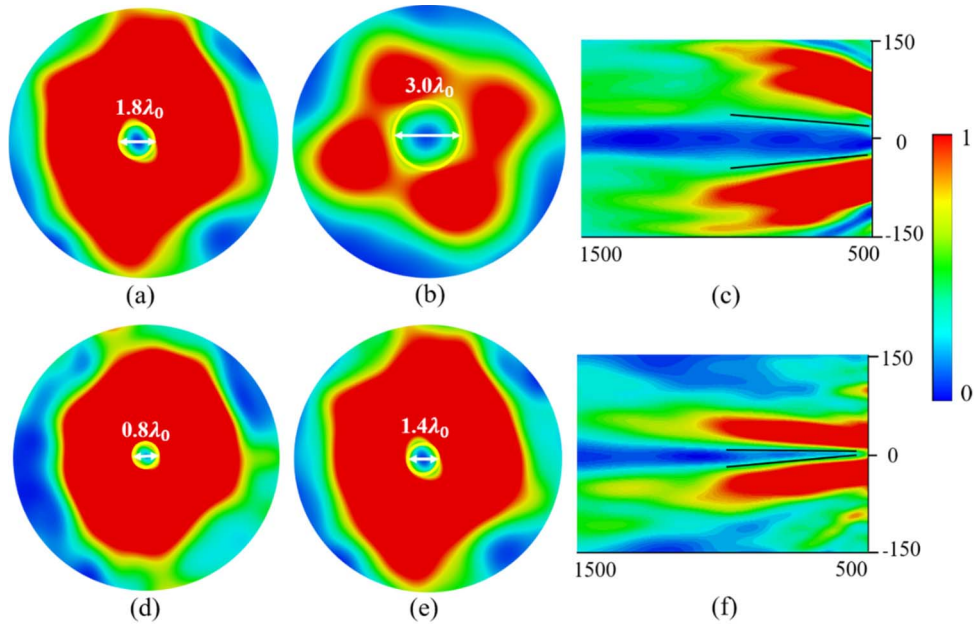


Fig. 6. Simulation results of electrical field energy of polarized reflectarrays for generating and focusing vortex beams with a topological charge of 1 at 12 GHz. (a) Simulated distribution of energy in the  $xy$  plane for OAM-generating reflectarray at cross sections  $z = 600$  mm. (b) Simulated distribution of energy in the  $xy$  plane for OAM-generating reflectarray at cross sections  $z = 800$  mm. (c) Simulated distribution of energy for OAM-generating beams in the  $yz$  plane. (d) Simulated distribution of energy in the  $xy$  plane for OAM-focusing reflectarray at cross sections  $z = 600$  mm. (e) Simulated distribution of energy in the  $xy$  plane for OAM-focusing reflectarray at cross sections  $z = 800$  mm. (f) Simulated distribution of energy in the  $yz$  plane for OAM-focusing beams.

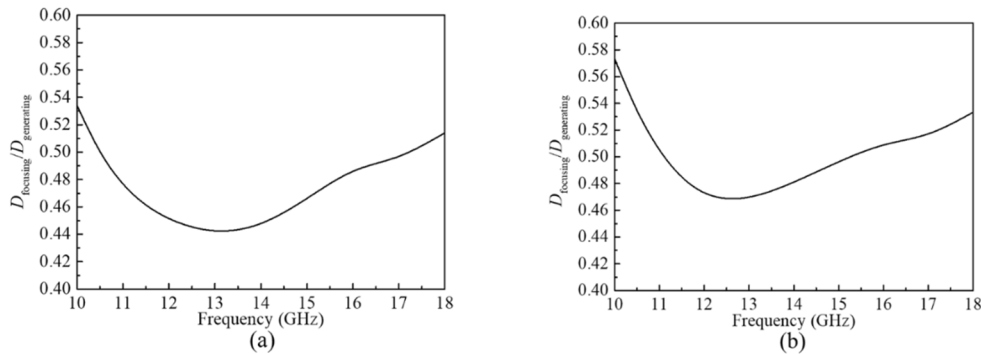


Fig. 7. Simulation beam diameters ratio of polarized reflectarray between OAM-focusing and generation vortex beams with a topological charge of 1 versus frequency. (a)  $z = 600$  mm. (b)  $z = 800$  mm.

at  $10\lambda_0$  distance away from the reflectarray along the  $z$ -axis. Fig. 4(a) and (b) shows the simulated phase distribution at the cross sections  $z = 600$  mm ( $z = 24\lambda_0$ ) and  $800$  mm ( $z = 32\lambda_0$ ), which are perpendicular to the propagation direction, the characteristics of the vortex waves can be seen from the phase distribution. Fig. 6(a) and (b) shows the simulated distribution of energy at the cross sections  $z = 600$  and  $800$  mm, the inner diameters ( $D$ ) of the energy distribution in the  $xy$  plane are  $1.8\lambda_0$  for  $z = 24\lambda_0$  and  $3.0\lambda_0$  for  $z = 32\lambda_0$ , respectively. From these results, it can be clearly seen that the inner diameters of the energy distribution along the propagation direction increase when the distance increases. The corresponding simulation of the 3-D scattering patterns in the far-field zone is shown in Fig. 4(c), and the results reveal that there is an amplitude null in the center of the beam, which agrees with the properties of OAM vortex beams with a topological charge equal to 1. Fig. 6(c) shows the

simulated distribution of energy in the  $yz$  plane. It can be seen in this figure that, as expected, when the propagation distance increases, the radius of the beam increases, indicating that the vortex beam with OAM mode diverges due to diffraction.

As shown in Fig. 6(a) and (b), OAM vortex waves would expand along the propagation direction, which creates serious problems at the receiver end in communication systems. Therefore, it is necessary to reduce the radius of the vortex beam along the propagation path. In order to achieve this, the phase function of the reflectarray should be equivalent to the interference pattern between a focusing phase factor and a spiral phase plate so that the cross-polarized reflective converter can constructively focus OAM beams with arbitrary topological charge. This can be fulfilled by the superposition of the spiral phase profile and focusing phase factor [50]. The required compensation phase  $\varphi_{mn}^c$  of a unit cell located at the

position of  $(x, y)$  can be expressed as

$$\varphi_{mn}^c = l \arctan(y/x) + k_0 \left( \sqrt{x^2 + y^2 + f_1^2} - |f_1| \right) - k_0 \sqrt{x^2 + y^2 + r_d^2} \quad (4)$$

where  $f_1$  represents the focal length.

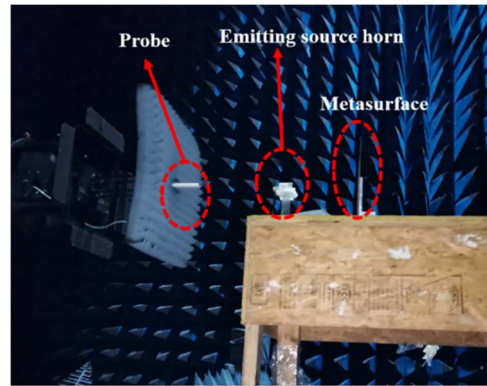
Simulations were performed at 12 GHz ( $\lambda_0 = 25$  mm), and the topological charge of OAM-focusing design was chosen to be 1. The parameters of these eight unit cells are the same as those of the OAM-generation design. This focusing reflectarray, which is composed of  $30 \times 30$  unit cells, has a focal length of  $24\lambda_0$  ( $f_1 = 600$  mm). Fig. 5 shows the simulation results of phase distribution at different propagation distances in the  $xy$  plane at 600 and 800 mm. It can be seen from these figures that, when compared to the phase at the same position corresponding to the OAM-generating reflectarray, the diameters of the vortex wave are reduced and the divergence of OAM beams is suppressed. In Fig. 6, which shows the spatial profile of the energy of the beam, it can be clearly observed that doughnut-shaped energy intensity patterns were obtained and that the energy distribution approaches the center axis during propagation when compared with the OAM-generating reflectarray at cross sections  $z = 600$  and  $800$  mm, which can be seen in Fig. 6(d) and (e). The inner diameters of energy map of OAM-generation reflectarray are  $1.8\lambda_0$  and  $3.0\lambda_0$  for  $z = 24\lambda_0$  and  $32\lambda_0$  in the  $xy$  plane, whereas the inner diameters of energy map of OAM-focusing reflectarray are  $0.8\lambda_0$  and  $1.4\lambda_0$ , respectively. At the same propagation position, the diameters of OAM-focusing beam are reduced and the OAM-focusing reflectarray is obviously more constrictive, whereas the diameter of energy ring is about half of that OAM-generation reflectarray in the position of  $24\lambda_0$  and  $32\lambda_0$  which demonstrates the efficiency of the OAM-focusing of this reflectarray.

In Fig. 6(c) and (f), we present the simulated results of OAM-generating and focusing vortex beams in the  $yz$  plane. From these figures, one can see that as the propagation distance increases, the radius of the OAM-focusing beams is reduced when compared to that of the OAM-generating beam, which indicates that the OAM-focusing reflectarray can efficiently arrest deleterious diffractive effects.

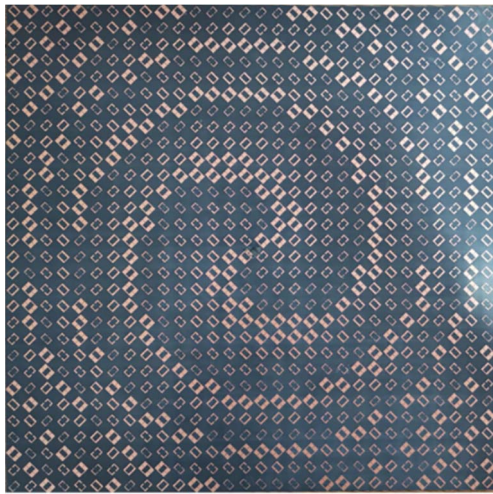
As is indicated by the above discussions, further numerical simulations reveal that the OAM-focusing reflectarray is obviously more constrictive, which can be seen in Fig. 7. As shown in Fig. 7(a) and (b), the diameter of the OAM-focusing reflectarray is smaller than that of the OAM-generation reflective reflectarray at the distance of 600 and 800 mm, respectively. Also, the frequency points with uniform phase difference and larger cross-polarization conversion amplitude have a better focusing effect.

#### IV. FABRICATION AND EXPERIMENTAL RESULTS

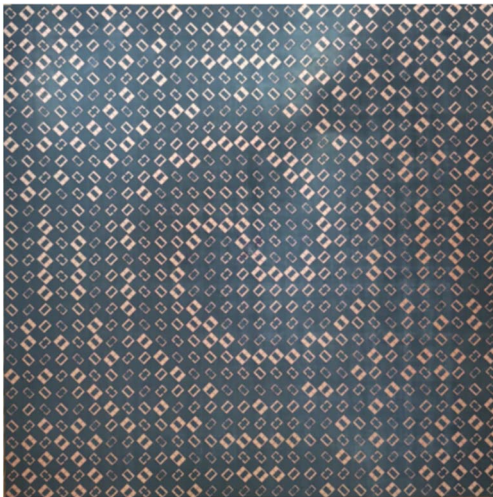
To experimentally validate the design principle, theoretical method, and simulation results, the prototypes of the two OAM-generation and focusing reflectarrays that are shown in Fig. 8(b) and (c) contain  $30 \times 30$  unit cells and have an overall size of  $300$  mm  $\times$   $300$  mm, which were fabricated



(a)



(b)



(c)

Fig. 8. (a) Experimental system configuration for the OAM vortex waves measurement in the  $xy$  plane. (b) Fabricated prototype of the OAM-generation reflectarray. (c) Fabricated prototype of the OAM-focusing reflectarray.

using low-cost printed circuit board (PCB) technique and measured in an anechoic chamber, as shown in Fig. 8. The near-field planar scanning technique was applied to characterize the OAM vortex waves. The experimental system is shown in Fig. 8(a). We measured the scattering patterns of the samples in a standard microwave anechoic chamber, and

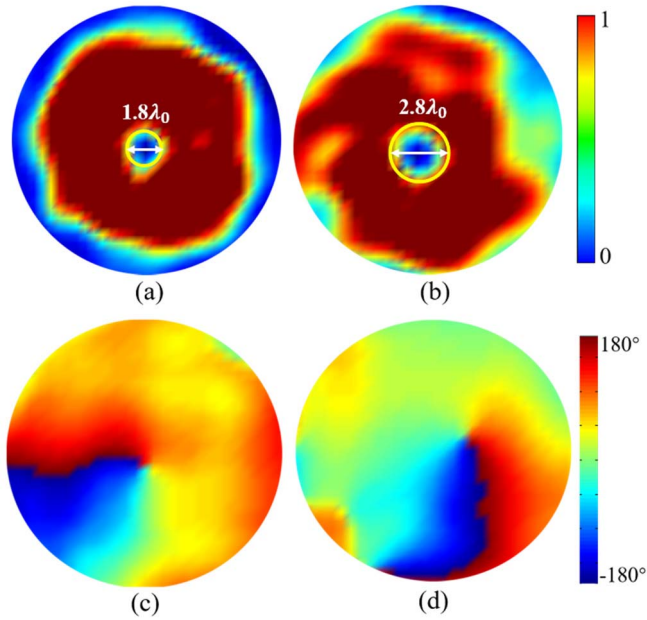


Fig. 9. Experimental results of OAM-generation vortex waves with topological charge  $l = 1$  at 12 GHz in the  $xy$  plane. (a) Energy distribution of electrical field at cross sections  $z = 600$  mm. (b) Energy distribution of electrical field at cross sections  $z = 800$  mm. (c) Phase distribution of electrical field at cross sections  $z = 600$  mm. (d) Phase distribution of electrical field at cross sections  $z = 800$  mm.

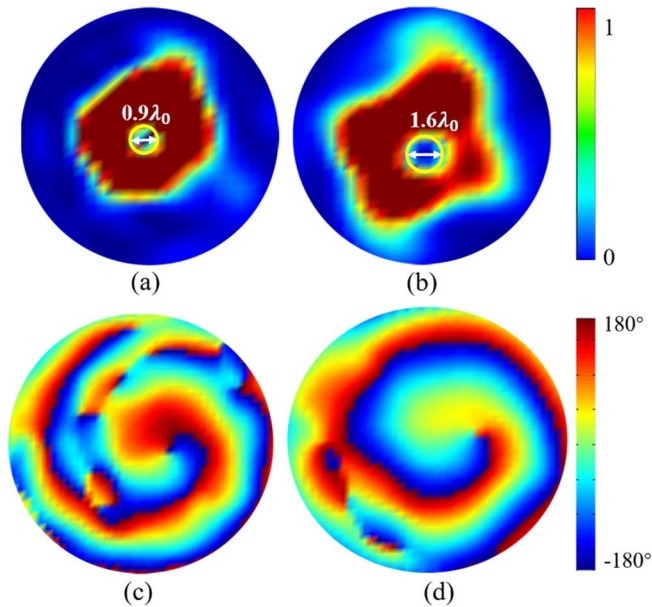


Fig. 10. Experimental results of the polarized reflectarray for OAM-focusing vortex beam with topological charge  $l = 1$  in the  $xy$  plane. (a) Energy distribution of electrical field at cross sections  $z = 600$  mm. (b) Energy distribution of electrical field at cross sections  $z = 800$  mm. (c) Phase distribution of electrical field at cross sections  $z = 600$  mm. (d) Phase distribution of electrical field at cross sections  $z = 800$  mm.

the measured results are calibrated to the same size metal plate. These measurements were performed at the frequency of 12 GHz ( $\lambda_0 = 25$  mm) in the  $xy$  plane in order to get the electric field intensities and phase distributions. In the measurement system, a wideband horn antenna (80180HA20N)

is employed as the excitation at a distance of  $d = 250$  mm ( $10\lambda_0$ ) away from the center of the reflectarrays. An active antenna (120W0EWP) is used as a field probe and is capable to measure the cross-polarization component of the reflected electric field through the network analyzer (8720ET). The horn antenna and probe were connected with the network analyzer by the coaxial cable to measure the sample. The probe shown in Fig. 8(a) is used as the receiving end whose position is controlled by a motion controller. The orientation of the probe antenna here is set to be vertical to the samples in order to obtain the components of the electric fields. In order to observe the stability of the vortex wave as it propagates, the scanning plane is set to be 600 and 800 mm from the samples. A small scanning step of 1 mm is selected to acquire intensities and phase distributions at the sampling planes. With the variation of the position of the field probe via the motion controller, the  $xy$  plane can be covered and the experimental field intensities and phase profiles can be measured.

The measured energy intensity and phase distribution of the reflected electric field are shown in Figs. 9 and 10 in the  $xy$  plane at cross sections  $z = 600$  mm and  $z = 800$  mm, respectively. Compared with the simulated OAM spatial field distribution (see Figs. 4–6), we can see that the OAM vortex wave of generation and focusing reflectarrays with  $l = 1$  is observed. The simulated and measured results are in good agreement. There is a subtle difference in the measured energy intensity and phase distribution due to the supporting structure of the feeding horn and the coaxial cable of the reflection system. However, the major features of the spatial phase distribution can be clearly recognized. The typical feature of the field intensity is a perfect doughnut-shaped intensity map, and we can see clearly that there is a null in the center of the beam, which corresponds to the property of vortex waves with OAM. From Figs. 9(a) and (b) and 10(a) and (b), it can be clearly seen that comparing to OAM mode at the same propagation distance, the OAM-focused beam is more radially confined, the radius of energy ring is smaller than the OAM-generation beam, and the measured inner diameters of the energy distribution for OAM-generation vortex waves are  $1.8\lambda_0$  and  $2.8\lambda_0$  for  $z = 24\lambda_0$  and  $32\lambda_0$  in the  $xy$  plane; at the same position, the inner diameters of the energy distribution for OAM-focusing vortex waves are  $0.9\lambda_0$  and  $1.6\lambda_0$ , respectively, which agrees with the simulation results. The phase distributions shown in Figs. 9(c) and (d) and 10(c) and (d) are also in agreement with the simulation results, which validates our theoretical approach.

## V. CONCLUSION

In summary, we have proposed an approach to generate and focus OAM modes using polarized reflectarrays. These OAM-generation and focusing vortex waves with the same topological charges have been theoretically and experimentally demonstrated in the microwave regime via phase analysis. Thus, based on the superposition of phase profile of spiral phase plate and focusing factor, the OAM-focusing beams can be achieved, their inner radius along the propagation direction being reduced as compared to that of OAM-generating reflectarray, and the OAM-focusing reflectarray is obviously

more constrictive, while the diameter of energy ring is about half of that OAM-generation reflectarray in the same position. The OAM-generating and focusing prototypes were fabricated and measured to verify the theoretical and numerical predictions. The agreement between the simulation and measurement results confirms the validity of our design procedure. By using the proposed configuration, it is much easier to produce the OAM-focusing vortex waves with different mode numbers, and it also opens a new way to generate and focus OAM vortex waves for microwave wireless communication applications. This proposed method would be particularly useful to design ultrathin reflectarrays for focusing vortex beams that carry desirable OAM modes to potentially improve their communication efficiency.

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