

1 **The relevance of the availability of visual speech cues during adaptation to noise-vocoded speech**

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8 **Abstract**

9 **Purpose:** This study first aimed to establish whether viewing specific parts of the speaker's face (eyes
10 or mouth), compared to viewing the whole face, affected adaptation to distorted - noise-vocoded -
11 sentences. Second, this study also aimed to replicate results on processing of distorted speech from
12 lab-based experiments in an online setup.

13

14 **Method:** We monitored recognition accuracy online while participants were listening to noise-
15 vocoded sentences. We first established if participants were able to perceive and adapt to audiovisual
16 4-band noise-vocoded sentences when the entire moving face was visible (AV Full). Four further
17 groups were then tested: a group in which participants viewed the moving lower part of the speaker's
18 face (AV Mouth), only see the moving upper part of the face (AV Eyes), could not see the moving lower
19 or upper face (AV Blocked), and a group where participants saw an image of a still face (AV Still).

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21 **Results:** Participants repeated around 40% of key words correctly and adapted during the experiment
22 but only when the moving mouth was visible. In contrast, performance was at floor level, and no
23 adaptation took place, in conditions when the moving mouth was occluded.

24

25 **Conclusions:** The results show the importance of being able to observe relevant visual speech
26 information from the speaker's mouth region, but not the eyes/upper face region, when listening and
27 adapting to distorted sentences online. Second, the results also demonstrated that it is feasible to run
28 speech perception and adaptation studies online, but that not all findings reported for lab studies
29 replicate.

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31

32 **Key words:** Adaptation, audiovisual speech, noise-vocoded speech; speech perception.

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34 **Introduction**

35 We often interact with others in suboptimal listening situations, e.g., in a crowded cafeteria, at a busy
36 railway station, or when interacting online over a poor audio and/or video connection. Indeed, most
37 of us can cope with these distortions, although speech recognition performance tends to be
38 attenuated compared to clear listening conditions. For example, listeners can adapt to distortions of
39 the speech signal. Such perceptual adaptation can occur in a relatively short time frame: listeners can
40 improve their response speed and accuracy after exposure to fewer than 30 distorted sentences. Such
41 rapid adaptation has, for example, been reported for noise-vocoded speech (Davis et al., 2005;
42 Hervais-Adelman et al., 2008) accented (Adank et al., 2010; Banks et al., 2015b, 2015a; Brown et al.,
43 2020), and time-compressed speech (Peelle & Wingfield, 2005; Sebastián-Gallés et al., 2000).

44 Much of the research on rapid adaptation used noise-vocoded speech, which is an artificial
45 distortion of the speech signal in which harmonic components are replaced with bands of noise. The
46 distorted signal has lost much of the fine spectral and harmonic detail, but amplitude modulation
47 information is largely preserved (Shannon et al., 1995). The speech signal is first divided into separate
48 frequency bands (generally between 4 and 32). Next, the amplitude envelope is extracted, which is
49 subsequently used to manipulate a broadband carrier signal. This type of distortion has been used as
50 a simulation of how speech and other sounds are transmitted in people with a cochlear implant
51 (Faulkner et al., 2000; Rosen et al., 1999), which is an implanted device that restores hearing in those
52 who have severe or complete hearing loss. When normal-hearing listeners are exposed to noise-
53 vocoded speech they generally show adaptation (i.e., improvement in speech perception performance
54 over time). It is generally more difficult to understand noise-vocoded speech with a lower number of
55 frequency bands (4 or 6) than a higher number of bands (Dorman et al., 1997; Faulkner et al., 2000;
56 Sohoglu et al., 2014).

57 Most studies on adaptation to distorted speech published to date focused on adaptation to
58 noise-vocoded speech used auditory-only stimuli. However, being able to see as well as hear the
59 speaker can considerably improve perception of different types of distorted speech (e.g., speech in

60 background noise), a phenomenon referred to as the *audiovisual benefit* (Erber, 1975; MacLeod &
61 Summerfield, 1987; Sumbly & Pollack, 1954). Listeners benefit from the availability of visual cues and
62 are thought to integrate them with auditory speech cues, which then in turn improves speech
63 perception performance. The audiovisual benefit has also been studied for perceptual adaptation to
64 noise-vocoded speech (Banks et al., 2020; Bernstein et al., 2013; Kawase et al., 2009; Pilling & Thomas,
65 2011; Wayne & Johnsrude, 2012). Pilling & Thomas (2011) and Banks et al. (2020) compared
66 adaptation to noise-vocoded sentences with and without audiovisual speech cues. When visual
67 speech cues were made available, listeners adapted more than for auditory-only conditions, although
68 the audiovisual benefit was smaller and earlier in Banks et al., peaking after exposure to 75 out of 90
69 sentences. Similar results were reported by Bernstein et al. (2013), who report that the presence of
70 visual speech cues leads to more adaptation to noise-vocoded syllables. Wayne & Johnsrude (2012)
71 also investigated adaptation to noise-vocoded sentences providing audiovisual cues as feedback
72 during a period of training and found that audiovisual feedback didn't benefit adaptation any more
73 than clear (i.e., not noise-vocoded) feedback; however, they did not directly compare degraded
74 audiovisual and audio-only conditions as in Pilling & Thomas (2011) and Banks et al (2020). Current
75 evidence thus indicates that concurrent visual speech cues can thus benefit listeners during rapid
76 adaptation to distorted speech. However, it remains unclear whether it is only visual cues from the
77 mouth that benefit listeners, or whether cues from other parts of the face (e.g., eyes), or the whole
78 face, are also useful in helping listeners adapt.

79 Several speech perception studies using eye-tracking demonstrated that listeners look more at
80 a speaker's mouth during perception of speech in noise (Buchan et al., 2007, 2008; Lansing &
81 McConkie, 2003) and noise-vocoded speech (Banks et al., 2020). Notably, fixations on the mouth
82 increase for poorer signal-to-noise ratios (Vatikiotis-Bateson et al., 1998). These findings suggest that
83 cues from a speaker's mouth are more important than other potential cues from a speaker's face –
84 for example, movements from the eyebrows or forehead. In addition, it may also be the case that
85 directing visual attention specifically to the speaker's mouth can benefit adaptation. Indeed, Banks et

86 al. (2020) observed a relationship between the duration of fixations on a speaker's mouth and speech
87 perception accuracy for noise-vocoded sentences, whereby longer fixations were related to more
88 accurate perception, but the evidence for this relationship was relatively weak. Furthermore, when a
89 listener directs their foveal vision towards (i.e., fixates or looks directly at) a speaker's mouth, other
90 cues from the speaker's face are still accessible in peripheral vision and may contribute to overall
91 improvements in speech perception. Although foveal vision provides the greatest visual acuity, (K. G.
92 Munhall et al., 2004) have shown that high spatial frequency is unnecessary for visual speech cues to
93 benefit perception of speech in noise. Similarly, Paré, Richler, ten Hove & Munhall (2003)
94 demonstrated that direct fixation of a speaker's mouth is neither required nor related to the presence
95 of a McGurk effect. Thus, the importance of specifically viewing a speaker's mouth in difficult listening
96 conditions is still not fully clear. Listeners may benefit from viewing a speaker's face as a whole, as
97 they can integrate multiple visual cues from a speaker's face with auditory cues. Conversely, it might
98 be more beneficial if observers can *only* look at the speaker's mouth during adaptation to noise-
99 vocoded speech, as their visual attention would be fully directed to the most salient visual speech
100 cues. That is, listeners might be able to benefit more from focusing solely on the mouth if the eyes
101 region is inaccessible to them.

102 A recent study tested to what extent listeners relied on information from the mouth region
103 while listening to noise-vocoded sentences (Drijvers & Özyürek, 2017). Drijvers and Özyürek's primary
104 aim was to establish how co-speech gestures contribute to information from visible speech to enhance
105 noise-vocoded speech perception, but their design also included conditions in which the speaker's
106 mouth region was obscured. They presented 20 normal hearing native speakers of Dutch with videos
107 of a female speaker producing an action verb in a free-recall task. Specifically, there were three audio-
108 only conditions created by blurring the speaker's mouth (clear (undegraded), 6-band noise-vocoded
109 speech, and 2-band noise-vocoded). The design also included three speech plus visual speech
110 conditions with clear, 6-band and 2-band degraded speech. Moreover, there were three conditions
111 pairing clear, 6-band and 2-band degraded speech with visual speech and an iconic gesture. Finally,

112 two visual-only control conditions were created by removing the audio in the visual and visual plus
113 iconic gesture conditions, see Figure 1 in Drijvers and Özyürek for a visual representation of all
114 conditions). Participants were tested in a within-group design and completed all conditions, however
115 we will focus on the results relevant to the present study, omitting the effects of the presence of the
116 iconic gesture. Compared to the conditions in which the mouth region was blurred, participants
117 performed on average 10-20% better for the two vocoding conditions when full audiovisual
118 information was available. However, as Drijvers and Özyürek did not test whether and how availability
119 of visual speech information displayed by the mouth affected adaptation to noise-vocoded speech,
120 this question remains unaddressed.

121 The current study aimed to establish to what extent the audiovisual benefit during perception
122 of and adaptation to audiovisual noise-vocoded sentences relies on viewing visual cues from different
123 parts of the face. Although movements from the speaker's mouth provide the greatest and most
124 informative cues, movements in extra-oral areas (for example the upper and outer face and eye
125 region) may also contribute to speech perception, albeit to a lesser extent, especially as not all acoustic
126 elements of speech have equivalent mouth movements. For example, Scheinberg (1980) found that
127 cheek puffiness could help observers identify consonants that are not discriminable based on mouth
128 movements, while Preminger et al. (1998) found that certain consonants can be identified when
129 viewing the upper part of the face only (i.e., with the mouth region masked). Lansing & McConkie
130 (1999) also found that the upper face region can provide observers with information for sentence
131 intonation. Accordingly, facial and head movements have been found to be closely related to, and
132 predictive of, the acoustics features of speech (Munhall & Vatikiotis-Bateson, 1998; Yehia et al., 1998).
133 Thomas & Jordan (2004) tested perception of congruent and incongruent words in noise while
134 manipulating movements in different areas of the speaker's face (namely the mouth and outer face),
135 while also manipulating the visibility of the mouth and eye region. They found that mouth movements
136 were the most important for perception, but that information from extra-oral movements (from the
137 outer face and upper eye region) also contributed to observers' perception. The present study did not

138 aim to identify the exact extra-oral facial regions that may contribute to perception of noise-vocoded
139 speech; nevertheless, based on the above findings, we predicted that some information may be
140 gained by observers from our speaker's upper facial region when only this region was visible (i.e.,
141 when the mouth was obscured), compared to when the upper eye region was not visible (Hypothesis
142 3).

143 We tested our three hypotheses using five conditions in an experiment in which we tested
144 perception of 4-band audiovisual noise-vocoded sentences also used in Banks et al. (2020) for five
145 groups of participants in a between-group design. In condition AV Full, participants who were exposed
146 to audiovisual stimuli with the whole face of the speaker visible. The next three conditions were
147 included to establish the relative relevance of different parts of the face for adaptation to and
148 perception of audiovisual noise-vocoded sentences, so we tested a group of participants who could
149 not see the eye region, (AV Mouth) but who could see the mouth region, and a group had access to
150 the eye region, but not the mouth region (AV Eyes). Another group of participants was exposed to a
151 video of the speaker with the mouth and eyes obscured from view (AV Blocked), and a final group was
152 shown a still image of the speaker while being tested (AV Still), so it contained no useful visual cues at
153 all, per Banks et al. (2020).

154 We predicted a main effect of condition and a two-way interaction between condition and trial
155 which would indicate differences in perception and adaptation between conditions. Specifically,
156 hypothesis 1 is supported if conditions where the mouth is visible (AV Full and AV Mouth) show better
157 perception and greater adaptation than conditions where the mouth is not visible (AV Eyes, AV
158 Blocked and AV Still). Support for hypothesis 2 would require significant differences between the AV
159 Full and AV Mouth conditions, with better perception and greater adaptation in the AV Mouth
160 condition. Hypothesis 3 would be supported if we find significantly better perception and adaptation
161 in the AV Eyes condition (i.e., when only the eye region was visible), than in the AV Still, and AV Blocked
162 conditions (when the eye region was not visible).

163 In addition, we aimed to replicate the behavioural results reported in Banks et al. (2020) in an
164 online experimental paradigm to demonstrate that participants were able to adapt outside the lab.
165 Finally, we also asked participants to give us an indication of their perceived effort as different
166 circumstances in which people process distorted speech have been shown to affect performance in
167 similar ways yet be associated with different levels of perceived effort (McGarrigle et al., 2014, 2017;
168 Pichora-Fuller et al., 2016). Finally, to ensure participants attended to the speaker's face, we queried
169 them afterwards about how much attention they paid to the speaker's face and how much they
170 thought being able to see the speaker's face helped them during the task.

171

172 **Method**

173 *Participants*

174 We tested 150 participants (18-30 years of age (Y), 125F and 25M), who all declared to be native
175 monolingual speakers of British English and be resident in the UK at the time of the experiment. All
176 declared to have good hearing and vision, and to not have any neurological or psychiatric disorders
177 (including dyslexia). All participants were recruited through the online platform [Prolific.co](https://prolific.co), and the
178 experiment was hosted on [Gorilla.sc](https://gorilla.sc). We tested 30 participants per condition. Participants were
179 randomly allocated to each condition and were restricted from participating to more than one
180 condition/group in the experiment. Our minimal sample size, per group as well as the ratio of female
181 and male participants was based on Banks et al. (2020), and we tested 30 participants with a ratio of
182 25F:5M participants (see the Analysis section for further justification of the selected sample size). We
183 replaced one male participant in condition AV Blocked and one male participant in condition Eyes,
184 both for not engaging with the task (i.e., not giving a single response). The demographics were as
185 follows across the five conditions: AV Full 25F|5M, mean 24.6Y, standard deviation (SD) 3.8SY, AV
186 Mouth 25F|5M, mean 23.9Y, SD 3.6Y, AV Blocked 25F|5M, mean 22.8Y, SD 3.2Y, AV Still 25F|5M,
187 mean 24.5Y, SD 3.8Y, AV Eyes 25F|5M, mean 24.3Y, SD 4.0Y. Participants and the speaker all
188 consented to take part and were paid upon completion of the experiment at a rate corresponding to

189 £7.50 per hour (participants). The speaker consented to having her image published and was not paid.

190 The experiment was approved by UCL's Research Ethics Committee (UREC, #0599.001).

191 *Materials*

192 We used the same materials as in Banks et al. (2020) and adapted them to create the stimuli for the
193 specific conditions in the present study. Banks et al. originally used 91 randomly selected Institute of
194 Electrical and Electronics Engineers Harvard sentences (IEEE, 1969). Stimuli were recorded in a
195 soundproofed laboratory using a Shure SM58 microphone and a High-Definition Canon HV30 camera.

196 A 26-year-old female native British English speaker recited the sentences, and was asked to look
197 directly at the camera, to remain still and to maintain a neutral facial expression throughout the
198 recordings to minimise head movement (see Figure 1). Video recordings were subsequently imported
199 into iMovie 11, running on an Apple MacBook Pro, as large (960 x 540) high-definition digital video
200 (.dv) files. Video recordings were then edited to create a video clip per sentence. The audio tracks for
201 each clip were extracted as audio (.wav) files, then normalised by equating the root mean square
202 amplitude. Next, they were resampled at 22kHz in stereo, cropped at the nearest zero crossings at
203 voice onset and offset, and vocoded using Praat speech processing software (Boersma & Weenink,
204 2012) and custom scripts. Speech recordings were noise-vocoded (Shannon et al., 1995) using four
205 frequency bands (cut-offs: 50Hz → 369Hz → 1160Hz → 3124Hz → 8000Hz), selected to represent
206 equal spacing along the basilar membrane (Greenwood, 1990). Of the 91 sentences that were
207 originally recorded, we randomly selected a subset of 60 for inclusion in the online experiment. To
208 ensure that timing of the audio and video was synchronous, we attached the noise-vocoded audio
209 stimuli as an audio track to the video stimuli using Final Cut Pro as a mono track to be played over
210 both channels of a participant's headphones. We repeated the same procedure for an additional single
211 sentence in quiet, to be used in the practice trial presented prior to the main experiment. Audiovisual
212 stimuli were saved as MPeg-4 movie (MP4) files with a resolution of 1920x1080. We also created white
213 rectangular shapes that were used to cover (parts of) the speaker's face in four conditions. The
214 rectangle used to cover the eyes or the mouth in the conditions AV Mouth, AV Eyes, and AV Blocked

215 was a width of 1920 pixels and a height of 720 pixels and a resolution of 300 pixels per inch. For the
216 condition AV Still, we used a screenshot of the speaker's face in PNG format with a width of 1907
217 pixels and a height of 1074 pixels and a resolution of 300 pixels per inch.

218 *Procedure*

219 The experiment was conducted online, via the Gorilla Experiment Builder ([Gorilla.sc](https://gorilla.sc)) (Anwyl-Irvine et
220 al., 2020) and participants were recruited via Prolific ([Prolific.co](https://prolific.co)). Upon receiving an email invitation
221 via Prolific, participants entered the online study and were linked through to the experiment hosted
222 in Gorilla. They were then given information on the study, before providing consent. Participants who
223 did not provide consent were rejected from the study. Next, they were asked to enable auto play of
224 video and audio on their internet browser, maximise their screen, and plug in their headphones
225 (Bluetooth headphones were excluded per participant report). The mean display resolution across
226 participants was $(SD = 234) * 856 (SD = 125)$, and the mean resolution of the experiment display
227 (viewport) was $1466 (SD = 226) * 770 (SD = 123)$. They were subsequently routed to a page where
228 they could check their sound levels where they were played a short sound consisting of one second of
229 white noise. They were asked to replay this sound over their headphones and adjust their volume to
230 a comfortable level before progressing to the headphone check.

231 The next check was previously developed to allow for more control over sound presentation in
232 online experiments by providing a test to establish whether participants are wearing headphones
233 (Woods et al., 2017). This test was designed to be difficult to complete if the participant is not wearing
234 headphones, through the manipulation of anti-phase attenuation rather than differences in intensity
235 between the tones. The headphone check is designed as a 3AFC task in which six sets of three sine
236 wave tone stimuli are played. After participants clicked at the start button, a new page appeared
237 where three 200Hz tones were played with a duration of 1000ms, with 100ms on- and off-ramps, two
238 at -14dB (in-phase) and one at -20dB (180° out of phase). The stimulus duration per triad of tones was
239 four seconds (tone duration: 900ms, interstimulus interval: 600ms, time before first stimulus onset:
240 100ms, time after the last stimulus offset: 100ms). Participants listened to six trials in total. The

241 participants were to decide which tone they perceived as having the lowest intensity by selecting one
242 of three buttons labelled “FIRST sound is SOFTEST”, “SECOND sound is SOFTEST”, and “THIRD sound
243 is SOFTEST”. They had to select the correct stimulus for five of the six trials (accuracy level of 83.3%),
244 or they were rejected from the study.

245 Participants who successfully completed the headphone check were subsequently routed
246 through to the instructions and a single undistorted and visually unobstructed practice sentence. For
247 the conditions AV Full, AV Mouth, AV Eyes, and AV Blocked, all groups saw the same MP4 video and
248 heard the corresponding undistorted sentence. For the condition AV Still, participants were presented
249 with the same still PNG image as used in the main experiment. Participants were asked attend to the
250 video and spoken sentence and to type into a response text box any words they thought they had
251 heard. After the single practice trial, they were shown a screen explaining what they should have typed
252 in the response box. Subsequently, they were told that the main experiment would start next and that
253 all trials would progress to the next trial automatically, so they would not be able to take a break until
254 the main task finished.

255 In the main task, participants transcribed 60 noise-vocoded sentences. Participants triggered
256 the start of the experiment and each subsequent trial by pressing the “Next” Button at the bottom of
257 each screen. In each trial, the audiovisual noise-vocoded sentence and corresponding visual stimulus
258 was presented. The noise-vocoded sentence was played only once per trial. The visual part of this
259 stimulus was different for the five conditions (see Figure 1). Participants in the Full condition saw the
260 unobstructed video. Participants in the AV Mouth and AV Eyes conditions were shown the video with
261 a white rectangle covering the eyes or mouth of the speaker, respectively. As can be seen in Figure 1,
262 the block covered either the upper or lower part of the face. The tip of the nose and chin were mostly
263 visible in the AV Eyes and AV Mouth videos, but sometimes not visible due to the speaker moving
264 while speaking. Participants in the AV Blocked condition were shown the video with a white block
265 covering the mouth, nose, and eyes of the speaker Here, the chin and forehead of the speaker were
266 visible, but the space in between was covered, so that only small head movements were visible.

267 Finally, participants in the AV Still condition were shown a still image of the speaker, where the entire
268 face was visible, but no movement.

269 After the main task, the programme moved to a final response screen, where participants typed
270 in their response. They were asked to type in “/” if they could not decipher any words in the sentence.
271 After finishing typing, they could move to the next stimulus by pressing the “Next” button. If they did
272 not press this button, the experiment moved to the next trial automatically after 23 seconds. After
273 the main task was completed, participants were shown a screen with three response sliders and a
274 response text box. Participants were asked to provide ratings of their perceived effort as follows:
275 *“Question 1: Please indicate using the slider below how effortful you found it to understand the*
276 *sentences (0 = Not effortful, 100 very effortful).”*. They were also asked to rate what proportion of the
277 time the video was presented they looked at the speaker’s face as follows: *“Question 2: Please indicate*
278 *using the slider below what proportion of the time you spent looking at the speaker's face when the*
279 *video was presented (0% of the time - 100% of the time).”*. A final question queried whether being able
280 to see the speaker’s face helped their speech performance: *“Question 3: How much do you think*
281 *looking at the speaker's face helped you understand the sentences? (0 Not at all - 100 Very much).”*.
282 At the bottom of the page was a response box where they were invited to type in any comments. After
283 they clicked next, they were returned to Prolific for payment.

284 All data were collected in a single session lasting approximately 20 minutes. However, as the
285 experiment was in part self-paced, durations differed across participants, although the main
286 transcription part of the study lasted maximally 30min if participants did not manually progress each
287 trial. A single participant (in the condition AV Blocked) took 30min for the main task, but as their
288 responses were within the ranges specified for accuracy in their group, we included their data in the
289 final analysis. Average durations for the entire session was 21min and 4s across all 150 participants
290 (SD 7min and 14s). The session timed out automatically after 90 minutes. The average duration for
291 the main transcription part of the session task was 12min and 30s (SD 3min and 18s) and as follows
292 for individual conditions: AV Full 13min and 18s (SD 4min and 19s), AV Mouth 13mins and 27s (SD

293 2min and 18s), AV Blocked 13min and 31s (SD 2min and 18s), AV Still 10min and 28s (SD 3min and
294 19s), and AV Eyes took 13 minutes and 31 seconds (SD 2 minutes and 18 seconds). Data from all
295 participants in the Condition AV full was collected first, followed by the AV Mouth condition, the AV
296 Blocked condition, the AV Still condition, and the AV Eyes condition. Online testing took place in May-
297 June 2020.

298 ---Include Figure 1 about here ---

299 *Design and Analysis*

300 The experiment measured speech perception performance as the by-trial percentage of words
301 accurately entered as the dependent variable. The independent variables were Trial and Condition.
302 Trial was the stimulus number ranging from 1-60. The use of trial as an index of exposure contrasts
303 with the proposed analysis in the pre-registration. Upon reflection, we opted to use trial as it would
304 give a more fine-grained and accurate analysis of adaptation patterns. To support this choice we
305 calculated the BF_{10} for models utilising blocks and trials for both the AV Full (Blocks $BF_{10} = 8.659 \times 10^{+16}$,
306 Trials $BF_{10} = 4.256 \times 10^{+20}$) and all conditions (Blocks $BF_{10} = 2.515 \times 10^{+30}$, Trials $BF_{10} = 1.112 \times 10^{+36}$)
307 analysis, both of which supported the by-trials analysis. As such, we will hitherto present the by-trials
308 analyses. The pre-registered by-blocks analysis is presented in the supplementary materials
309 (<https://osf.io/2w6j4/>). The factor Condition had five levels: AV Full, AV Mouth, AV Eyes, AV Blocked,
310 AV Still to test our three hypotheses outlined in the introduction. Hypothesis 1 predicted that being
311 able to see the moving mouth improves adaptation and perception compared to when it is not visible.
312 Hypothesis 2 predicted that having to focus on the mouth region (i.e., when only the mouth region is
313 visible) improves adaptation and perception compared to when the full face is visible. Hypothesis 3
314 predicted that being able to see only the eye region improves perception and adaptation compared
315 to when it is not visible. The AV Mouth condition was included to establish to what extent forcing
316 participants to focus on the speaker's mouth affects perception of and adaptation to noise-vocoded
317 sentences. The AV Eyes condition was included to test if and how being able to see only the eye region
318 supports perception of and adaptation to noise-vocoded speech. The AV Blocked condition was

319 included to determine if and how removing information conveyed by the speaker's mouth and eyes
320 affected perception/adaptation. The AV Still condition was included to test if the presence of moving
321 visual information affected perception/adaptation and to test if results for this condition show the
322 same effects as reported in Banks et al. (2020), who included this condition as a control. If Hypothesis
323 1 is correct, then participants in condition AV mouth and AV Full should show better speech perception
324 performance and greater adaptation, than participants in conditions AV Blocked, AV Eyes, and AV Still.
325 If Hypothesis 2 is correct, then participants in condition AV Mouth should show better
326 perception/adaptation, than participants in condition AV Full. Finally, if Hypothesis 3 is correct, then
327 participants in condition AV Eyes should show better perception/adaptation than participants in
328 conditions AV Blocked and AV Still.

329 We retrospectively scored participants' responses according to how many key words (content
330 or function words) they correctly repeated out of a maximum of four following Banks et al. (2020).
331 Banks et al. chose four keywords as the sentences they were all of varying duration, and therefore
332 using four keywords made perception accuracy comparable across all sentences. We
333 included/excluded (typed) responses as follows. Responses were scored as correct despite incorrect
334 suffixes (such as -s, -ed, -ing) or verb endings; however, if only part of a word (including compound
335 words) was repeated, this response was scored as incorrect following Banks et al. (2015, 2020). It
336 should be noted that Banks et al. audio-recorded participants' verbal responses, and these responses
337 were subsequently judged by an experimenter. In contrast, as we asked participants to type in their
338 responses, we also included homonyms (e.g., "weak" instead of "week"), compound words separated
339 by a space (e.g., "door knob" instead of "doorknob", as well obvious typos (e.g., "whire" instead of
340 "wire"). Moreover, we excluded participants as follows: participants who had an average % error rate
341 greater than three standard deviations (3SD) away from the group mean were excluded from further
342 analysis and replaced. Participants were excluded if they failed to provide responses to a number of
343 trials >2SD from the group mean.

344 As condition AV Full was intended to closely replicate the design of the audiovisual condition in
 345 Banks et al. (2020), we initially decided to collect 30 participants as a minimum sample and then used
 346 sequential hypothesis testing with Bayes Factors to determine our final sample size (Schönbrodt et
 347 al., 2017). After collecting the initial 30 participants, we calculated BF_{10} to assess whether we reached
 348 a pre-defined level of evidence ($BF_{10} > 3$ in favour of the alternative hypothesis, and $BF_{10} < 0.2$ in favour
 349 of the null hypotheses). BF_{10} indicates how likely the data are to occur under the alternative
 350 hypothesis. If $BF_{10} > 0.2$ and < 3.0 , we aimed to collect additional participants. After collecting an
 351 additional participant for each group, we would calculate BF_{10} until we met the conditions noted
 352 above. In the case more participants were required, we planned to minimise the risk of type 1 and
 353 type 2 errors by graphing BF_{10} after running each additional participant to assess whether any changes
 354 in the BF were stable. When the BF was stable for four consecutive participants, we planned to cease
 355 data collection. However, the BF_{10} exceeded the criterion value of 3.0 after collecting 30 participants
 356 for each condition. As such, additional data collection was not necessary.

357 To calculate BF_{10} , we utilised Bayes Information Criterion (BIC) values obtained during model
 358 comparison of linear mixed effects (LME). The *step* function of *lmerTest* utilises a backward model-
 359 selection strategy to find the best fitting model. *Step* takes as input an *lmer* model. First, the random
 360 effects structure is subjected to backwards elimination, where random effects are either reduced or
 361 removed utilising log-likelihood tests. Random effects are removed from the model where it
 362 significantly improves model fit ($p < .05$). Next, this procedure is repeated for main effects, however,
 363 in this stage χ^2 tests of model fit are used after the removal of each model term, starting with the most
 364 complex interactions. Next, we performed a hierarchical comparison of the best fitting model (H1, e.g.
 365 accuracy $\sim (1|\text{participant}) + \text{trial}$) with a model excluding the effect of interest (H0, e.g. accuracy \sim
 366 $(1|\text{participant})$) using the *anova* function to obtain BIC values for each. We used the difference in BIC
 367 to compute the Bayes Factor (BF_{10}) using the following equation (Jarosz & Wiley, 2014):

$$BF_{01} = e^{\Delta BIC/2}$$

$$BF_{01} = e^{BIC_{H0} - BIC_{H1}/2}$$

370
$$BF_{10} = 1/BF_{01}$$

371 We initially collected and analysed the data from condition AV Full only, as all hypotheses relied on
372 whether it is possible to measure perceptual adaptation to distorted speech in an online paradigm. In
373 this first stage, we tested whether accuracy increases over the course of the experiment, as measured
374 over the course of the 60 trials. In this case, the H1 BIC value corresponded to a model predicting
375 accuracy including main effect of trial, whilst H0 BIC was for a model only including random by-
376 participants and by-items slopes. In the second stage, we analysed data for all five conditions and
377 tested main effects of trial (as a linear and polynomial) and condition, and their interaction using LMEs
378 as described above. The H1 BIC therefore modelled the critical two-way interaction between trial (see
379 section 3.1.2) and condition, while the H0 BIC included the main effects only.

380 We also analysed the data collected in the questionnaire presented to participants in the online
381 study after the main task. However, as this dataset was comprised of only one observation per
382 question per participant, we utilised simple linear models to analyse the effort questionnaire data.

383 The design of conditions AV Full, AV Mouth, and AV Blocked was preregistered on
384 www.AsPredicted.org under number #41527 "*Transcribing distorted audiovisual speech.*" The
385 inclusion and design of conditions AV Still and AV Eyes was preregistered on www.AsPredicted.org
386 under number #42910 "*Transcribing distorted audiovisual speech, a follow-up study.*" In all analyses
387 we discuss results for the five conditions in the order they were collected. All raw data plus analysis
388 scripts can be found on the Open Science Framework: <https://osf.io/2w6j4/>.

389

390 **Results**

391 *Accuracy*

392 For 12 trials (0.13%), stimulus materials could not be loaded by Gorilla across all 150 participants. In
393 seven cases for the Full condition, two for the Mouth condition, two for the Eyes condition, the video
394 mp4 file could not be loaded (which occurred to a different sentence every time and seemed to be

395 due to a random occurrence or glitch in Gorilla). In a single case for the Still condition, the audio file
396 could not be loaded. These 12 cases were therefore removed from the data set.

397

398 *Accuracy: AV Full*

399 Participants in the AV Full condition reported a mean of 1.7 (SD = 1.4) key words correct across the 60
400 sentences. We first examined the effect Trial to test our hypothesis that participants could adapt to
401 noise-vocoded sentences. In this analysis, inclusion of the by-participants ($p = .296$) and by-items ($p =$
402 $.767$) slopes did not significantly improve model fit. The best fitting model therefore included only by-
403 participants and by-items random intercepts, and the main effect of trial (see Table I in the
404 supplementary materials). In this case, the alternative hypothesis states that participant performance
405 would increase over trials. Therefore, we compared the best fitting model (BIC = 17197) against a
406 model including only the random effects (BIC = 17275). BF_{10} was > 150 , indicating that the evidence in
407 favour of the alternative hypothesis – that adaptation will occur across trials – was very strong (Raftery,
408 1995). The model outcomes for the linear effect of trial was significant ($t = 10.387$, $p < .0001$),
409 indicating that participants in the AV Full condition adapted to the masked speech over trials. Whilst
410 the quadratic effect of trial also reached significance ($t = -2.329$, $p = .02$), the smaller t - and p -values
411 indicate that the effect of trial was better modelled as a linear effect. This effect is illustrated in Figure
412 2 below - generated using the *effects* package (Fox et al., 2019) to extract model estimates at five
413 moments of the distribution for the linear function - which displays the model estimates of
414 performance by Trial. To conclude, participants showed an increase in performance across the trials
415 for the AV Full condition. Following these results, we decided to collect and analyse data for the four
416 follow-up conditions.

417 --- Include Figure 2 about here ---

418 *Accuracy for all five conditions*

419 Mean accuracy was 43.069 (SD = 36.03) in the AV Full condition, 43.806 (SD = 36.13) in the AV Mouth
420 condition, 5.486 (SD = 13.625) in the AV Eyes condition, 5.333 (SD = 14.122) in the AV Blocked

421 condition, and 3.861 (SD = 11.323) in the AV still condition. Figure 3 displays a locally estimated
422 smoothed scatterplot (LOESS) of accuracy over the 60 trials. The LOESS function from *ggplot2*
423 (Wickham, 2016) fits simple linear models to local subsets of the data to describe its variance, point
424 by point. Taken together, the descriptive statistics suggest that performance was almost identical
425 when participants were able to see the speaker's mouth movements (i.e., in the AV Full and AV Mouth
426 conditions).

427 *--- Include Figure 3 about here ---*

428 To analyse all five conditions, we followed the same procedure as the analysis for the AV Full
429 condition, while including testing condition (factor-coded) as an additional main effect, and the two-
430 way interaction between condition and trial. The maximal model upon which we conducted the
431 backwards stepwise model comparison therefore included by-item and by-participant random
432 intercepts, a random intercept for participant nested within condition, the main effects of trial and
433 condition, and the two-way interactions between trial and condition. Random slopes were excluded
434 from the analysis, as their inclusion resulted in issues of singular model fit. The backwards stepwise
435 model selection indicated that the inclusion of the main effect of block ($p = .183$), the interaction
436 between block and condition ($p = .766$) and the simple by-participants random effect did not improve
437 model fit ($p = 1$). As a result, the final model included a by-items random intercept, a random-intercept
438 for participant nested within condition, the main effects of trial and condition, and the two-way
439 interaction between condition and trial (see supplementary Table II for full model syntax and model
440 summary). The analysis including the effect of block can also be found in the supplementary materials
441 on the Open Science Framework: <https://osf.io/2w6j4/>.

442 To assess the likelihood of the alternative hypothesis – that different conditions would elicit
443 different levels of adaptation – we compared a model including the interaction (H_1 , BIC = 80876)
444 against a null model only including the main effects (H_0 , BIC = 80976). BF10 was therefore 5.185 x
445 10^{+21} , vastly exceeding Raftery's (1995) threshold for strong evidence (> 150) in favour of the

446 alternative hypothesis. This reflects the floor performance seen in the AV Blocked, AV Eyes, and AV
447 Still conditions relative to the AV Full, and AV Mouth conditions.

448 The outcomes of the linear model indicated that perception differed between conditions. Here,
449 perception is reflected by the main effect of condition; the model tests whether mean performance
450 differed significantly from zero. The results indicated that accuracy in AV Full ($t = 18.739, p < .0001$),
451 AV Mouth ($t = 20.077, p < .0001$), AV Blocked ($t = 2.444, p = .015$), and the AV Eyes ($t = 2.514, p = .012$)
452 conditions differed from zero. In contrast, accuracy did not differ from zero in the AV Still condition (t
453 $= 1.77, p = .078$). Critically, performance did not significantly differ between the AV Full and AV Mouth
454 conditions ($t = 0.289, p = .77$), indicating similar levels of accuracy. Both the AV Full ($t = -14.737, p <$
455 $.0001$) and AV Mouth ($t = -15.026, p < .0001$) conditions differed significantly from the AV Eyes
456 condition, and both differed significantly from the AV Blocked and AV Still conditions (all t -values > 2 ,
457 all p -values $< .05$). The AV Blocked, AV Still and AV Eyes conditions failed to differ from one another
458 (all t -values < 2 , all p -values $> .05$), indicating similar performance at floor in these conditions.

459 Adaptation is measured by the two-way interaction between trial and condition. The interaction
460 term was significant for the AV Full ($t = 12.659, p < .0001$), AV Mouth ($t = 12.657, p < .0001$), and AV
461 Eyes conditions ($t = 2.092, p = .037$). However, participants in the AV Blocked ($t = 0.876, p = .381$), and
462 AV Still ($t = 0.664, p = .507$) conditions did not show adaptation with increased exposure. The two-way
463 interaction between trial and condition did not differ significantly between the AV Full and AV Mouth
464 conditions ($t = -0.008, p = .994$), indicating similar adaptation in these conditions. Both AV Full and AV
465 Mouth differed significantly from the AV Blocked and AV Still conditions (all t -values > 2 , all p -values
466 $< .05$). Adaptation in the AV Block, AV Still and AV Eyes conditions did not significantly differ (all t -
467 values < 2 , all p -values $> .05$). This suggests that while a small amount of adaptation did occur in the
468 AV Eyes condition, it remained indistinguishable from AV Blocked and AV Still conditions, suggesting
469 the adaptation was minimal. For each condition, the data was better described by a linear function of
470 trial (see figure 4 below). Two of the quadratic estimates (between trials 0 to 20, and 50 to 60) for the
471 AV Mouth condition differ from the linear estimates, suggesting that the largest increase in

472 performance occurred in the first twenty trials, and tailed off slightly in the last ten trials. However,
473 the model estimates demonstrate the fit was better for the linear ($t = 12.657, p < .0001$) relative to
474 the quadratic ($t = -5.104, p < .0001$) term. This demonstrates that adaptation largely proceeded
475 linearly across trials, with only minor deviations from this trend over training.

476 *--- Include Figure 4 about here ---*

477 In summary, participants in the AV Full and AV Mouth condition showed increased speech
478 perception performance and demonstrated adaptation (i.e., better accuracy for later trials). In
479 contrast, when participants were unable to see the speaker's mouth (AV Block, AV Still, AV Eyes)
480 speech perception was impaired, and participants were unable to adapt to the vocoded speech. Whilst
481 participants in the AV Eyes condition showed adaptation, it was significantly smaller than that seen in
482 the AV Full and AV Mouth conditions, and failed to significantly differ from AV Blocked and AV Still
483 conditions, indicating that the effect was minimal. In comparison to the AV Mouth condition, in the
484 AV Full condition, participants were able to see the speaker's eyes and upper face/head. As perception
485 and adaptation did not differ statistically between these conditions, the results suggest that focusing
486 specifically on the speaker's mouth does not benefit perception or adaptation any more than being
487 able to see the speaker's full face. Taken together, the results support Hypothesis 1 - being able to see
488 the moving mouth improves adaptation and perception - as adaptation and perception did not differ
489 in conditions where participants were able to see the speaker's moving mouth. Hypothesis 2 - that
490 having to focus on the mouth region improves adaptation and perception - did not receive support,
491 however, as participant performance in the AV Mouth and AV Full conditions did not differ, despite
492 being able to see the eyes in the latter. Hypothesis 3 - being able to see the speaker's eyes while the
493 moving mouth is not visible improves adaptation and perception - was also not supported; there were
494 no statistical differences in adaptation or perception between the AV Eyes, AV Still, or AV Blocked
495 conditions.

496

497 *Effort questionnaire*

498 Ratings from two participants in the AV Mouth condition, and from one in the AV Blocked condition
499 were removed as they were >3SD separated from the average for that respective condition.
500 Participants in the condition AV Full provided on average an effort score of 91.2% (SD = 9.7%) and
501 estimated that they had looked at the speaker's face 91.7% (SD = 14%) of the time, and 65% (SD =
502 28.7%) stated that being able to see the speaker's face helped speech perception. Participants in the
503 condition AV Mouth provided on average an effort score of 85.6% (SD = 10.3%), rated they looked at
504 the face 94.6% (SD = 8.8%) of the time, and 61.3% (SD = 31.9%) stated that seeing the speaker's face
505 helped speech perception. Participants in the condition AV Blocked gave an average effort score of
506 97.2% (SD = 11.2%), rated they looked at the face 66.2% (SD = 28.8%) of the time, and 17.2% (SD =
507 22.2%) stated that seeing the speaker's face helped speech perception. Participants in the condition
508 AV Still gave an average effort score of 98.8% (SD = 4.2%), rated they looked at the face 41.9% (SD =
509 30.6%) of the time and 2.1% (SD = 4.7%) stated that seeing the speaker's face helped speech
510 perception. Participants in the condition AV Eyes gave an average effort score of 97.9% (SD = 5.1%),
511 rated they looked at the face 69.4% (SD = 26.3%) of the time and 26.1% (SD = 22.7%) stated that seeing
512 the speaker's face helped speech perception.

513 Three separate models were conducted of the dependent variables Effort (perceived effort
514 score), Face (estimated proportion of time spent looking at the face), and Face (estimation of how
515 much being able to see the face was helpful) with condition (AV Full, AV Mouth, AV Blocked, AV Still,
516 AV Eyes) as a factor. In each case, AV Full was taken as the reference level for the condition factor.
517 The Effort model revealed that participants reported significantly lower effort in the AV Mouth
518 compared to the AV Full condition ($t = -2.490, p = .014$), whilst the AV Blocked ($t = 2.716, p = .007$), AV
519 Eyes ($t = 3.481, p = .003$) and AV Still ($t = 3.481, p = .0007$) conditions reported significantly higher
520 effort, suggesting that participants found the AV Mouth condition the least effortful (see figure 4). The
521 Face model indicated that participants spent a similar amount of time looking at the speaker's face in
522 the AV Full and AV Mouth conditions ($t = 0.471, p = .638$), however participants in the AV Blocked ($t =$
523 $-4.159, p < .0001$), AV Eyes ($t = -3.679, p = .0003$), and AV Still ($t = -7.941, p < .0001$) conditions spent

524 significantly less time looking at the face. The Face Helped model suggested that participants in the
525 AV Full and AV Mouth condition found a similar benefit from seeing the face ($t = -0.561, p = .575$),
526 while participants found the face helped significantly less in the AV Blocked ($t = -7.678, p < .0001$), AV
527 Eyes ($t = -6.292, p < .0001$) and AV Still conditions ($t = -10.211, p < .0001$).

528 Overall, the participant ratings on these three factors align well with the experimental results;
529 participants who could see the speaker's mouth reported lower required effort. Participants reported
530 lower effort for the AV Mouth relative to the AV Full condition. This pattern offers some degree of
531 support for Hypothesis 2 (being forced to focus on the speaker's mouth should improve perception
532 and adaptation); removing the information provided by the eyes in the AV Mouth condition was
533 associated with reduced perceived effort. This effect was not reflected in the accuracy data. When
534 participants could not see the speaker's mouth, effort was increased. Participants who were able to
535 see the speaker's mouth (AV Full, AV Mouth) reported the highest benefit from being able to see the
536 face, and that seeing the face assisted, in contrast to participants who could not (AV Block, AV Eyes,
537 AV Still). As a result, it appeared that in this online testing environment, being able to see the speaker's
538 moving mouth improved both objective (adaptation and perception) and subjective measures of
539 performance (perceived effort).

540 --- Include Figure 5 about here ---

541 Discussion

542 This study aimed to establish if viewing the mouth or eyes (i.e. the upper or lower part) of the
543 speaker's face affected perception of and adaptation to noise-vocoded sentences when compared to
544 viewing their whole face. We ran an online experiment with five listener groups, who could either see
545 the full moving face of the speaker (AV Full), see the moving face with the eyes blocked (AV Mouth),
546 see the moving face with the mouth blocked (AV Eyes), see the face with the eyes and mouth blocked
547 (AV Blocked), or were presented with a still image of the speaker's face (AV Still). We tested three
548 hypotheses: Hypothesis 1 predicted that being able to see the moving mouth improves adaptation
549 and perception, Hypothesis 2 predicted that having to focus on the mouth region improves adaptation

550 and perception, and Hypothesis 3 predicted that being able to see only the eye region would improve
551 perception and adaptation compared to when the eye region was not visible. All groups transcribed
552 60 4-band noise-vocoded sentences. The results showed clear differences between the five
553 conditions, with participants in the conditions AV Full and AV Mouth showing considerably better
554 overall accuracy scores than the participants in the other three groups, where performance was
555 effectively at floor level. There was no difference in overall accuracy between conditions AV Full and
556 AV Mouth, and no differences were found between AV Eyes, AV Block, and AV Still. Second, the results
557 showed an interaction between condition and trial, indicating that participants in the conditions AV
558 Full and AV mouth improved their accuracy scores over the course of the experiment, while no such
559 pattern was found for the other three conditions. Therefore, perceptual adaptation to noise-vocoded
560 speech was only found when the moving mouth area of the speaker's face was visible.

561 *AV Full and AV Still conditions*

562 The results for the AV Full condition in part replicate the results from the audiovisual condition in
563 Banks et al. (2020) as participants adapted to the noise-vocoded sentences over the four blocks.
564 However, participants performed overall worse than in Banks et al.'s audiovisual condition, as our
565 participants showed an average overall accuracy of 43% correct, and accuracy improved from 33.7%
566 to 50% when comparing how performance improved over the 60 sentences when split into four blocks
567 of 15 sentences, in analogy with Banks et al. Participants in Banks et al.'s audiovisual condition
568 repeated an average of 54% of key words correctly, and this accuracy percentage improved from 42%
569 to 61% over their six testing blocks (participants improved between 42% to 59% over the first four
570 blocks, i.e., over the first 60 sentences). In contrast, the results for the condition AV Still, which
571 replicates the audio-only condition in Banks et al., show a very different picture. Banks et al. report an
572 average performance of 35% of key words correctly repeated, with performance increasing from 24%
573 to 43% over their six blocks (participants improved to 37% over the first four blocks, i.e., over the first
574 60 sentences). We found that average performance was 4% for our AV Still condition on average, with
575 performance remaining largely stable. Therefore, while we mostly replicated the (patterns in) the

576 results for the AV Full condition, such replication was clearly not found for the AV Still condition.
577 Furthermore, baseline and overall accuracy was lower in the AV Full condition in the present study
578 compared to the audiovisual condition in Banks et al.

579 It is not clear what factors can account for the differences in results between our and Banks et
580 al.'s results for the AV Full and AV Still conditions. It seems plausible that this difference might be
581 accounted for by differences across both studies, the most prominent of which is the difference in
582 testing platform. Banks et al. tested their participants in a sound-proofed, light-controlled lab, and
583 participants were tested using the same stimulus delivery parameters (e.g., headphones, intensity and
584 sound card, screen size and resolution) and in the absence of any other distractions. In contrast,
585 participants in the current study were tested online. They all wore headphones, but these headphones
586 varied in quality, and by our estimation only a very small number (two out of 150 participants) of
587 headphones listed by our participants could match the audio quality delivered by the headphones
588 used in Banks et al. (Sennheiser HD 25-SP II). In Banks et al. participants were tested in a more
589 controlled environment in terms of focusing their attention on the task, while in our experiment, we
590 could not control their testing environment and whether they were refraining from engaging in other
591 distractions (e.g., looking at their phone). Also, Banks et al. recorded participants' eye gaze using eye-
592 tracking while participants adapted and could therefore closely monitor whether and where
593 participants looked at the speaker while listening to the audiovisual sentences.

594 As participants were tested in their own environment and eye gaze was not monitored, we
595 cannot be certain that participants attention was focused on the task alone or that they always looked
596 at the video in the audiovisual conditions. However, all participants were asked in a final questionnaire
597 whether and how much they looked at the speaker's face after the main task ended. On average,
598 participants in the condition AV Full estimated that they had looked at the speaker's face 91.7% of the
599 time and 65% stated that being able to see the speaker's face helped speech perception. For the
600 condition AV Still, participants on average looked at the face 98.8% (even though it displayed no
601 movement) of the time even though only 2% stated that being able to see the speaker's face helped

602 speech perception. Second, we presented participants with 30 fewer stimuli than Banks et al., who
603 exposed them to 90 sentences in total. However, it does not seem likely that this issue can explain the
604 observed difference in the results for the AV Full and AV Still conditions, as baseline accuracy between
605 the two studies was vastly different. A final reason might be due to differences in participant sample,
606 particularly given that participants in Banks et al were recruited from a University (and were therefore
607 mostly undergraduate students), whereas the sample in the present study was drawn from the
608 general population, or as far as participants on Prolific represent this population. However, as we
609 included two conditions where both the mouth and eyes were blocked (and participants could only
610 take part in one condition/group), using slightly different visual stimuli, i.e., a still image compared to
611 the video of the speaker with eyes and mouth obscured, both of which had similarly poor overall
612 accuracy, this explanation also seems unlikely. It is thus plausible that differences between our results
613 for condition AV Full and AV Still and Banks et al.'s were mostly related to the differences in testing
614 conditions: online versus lab-based.

615 *AV Mouth, Eyes, and Block conditions*

616 We included the AV Mouth, Eyes, and Blocked conditions to test the three hypotheses of this study.
617 Hypothesis 1 stated that being able to see the moving mouth region (AV Full and AV Mouth) will show
618 better speech perception performance, and greater adaptation, than when the mouth region is not
619 visible (AV Eyes, AV Still and AV Blocked). AV Mouth was also included to test whether participants
620 would perform better and adapt more if their attention was focused on the mouth region per
621 Hypothesis 2. The condition AV Eyes and AV Blocked were included to establish whether information
622 from the eyes was useful per hypothesis 3. The results from AV Mouth, in which participants could
623 see the mouth moving but the eyes were blocked, were nearly identical to those reported for the AV
624 Full condition, and therefore also replicate in part the results for the audiovisual condition in Banks et
625 al. Better speech perception performance in AV Full and AV Mouth compared to the other three
626 conditions (AV Eyes, AV Still and AV Blocked), and an interaction between condition and trial, confirm
627 Hypothesis 1. Next, we predicted that participants in the AV Mouth condition might show better

628 overall speech perception performance, and greater adaptation than the AV Full condition, as their
629 attention would be focused specifically on the speaker's articulatory mouth movements. This
630 prediction was not supported by speech accuracy results, as performance did not differ between the
631 AV Mouth and the AV Full group, and there was also no difference in the rate and amount of
632 perceptual adaptation. Thus, the results refute Hypothesis 2 with respect to objective task
633 performance. However, we found that being able to see the speaker's moving mouth without the eyes
634 improved a subjective measure of performance (perceived effort) compared to the AV Full condition.
635 Banks et al (2020) found a relationship between longer fixations on a speaker's mouth and better
636 perception of noise-vocoded speech, although evidence for this was weak. However, as we did not
637 specifically account for subjective performance when we designed the experiment (and it was not
638 included in the preregistration), the present results do not confirm Hypothesis 2. Yet, we are planning
639 to explore the subjective performance differences between distortion conditions further in future
640 online studies. Nevertheless, we cannot exclude the possibility that in the AV full and the AV mouth
641 conditions participants focused only on the mouth region, and that this is the reason for the similar
642 performance in the two conditions. Future studies could elucidate this issue by combining online
643 perceptual adaptation to noise-vocoded speech with eye-tracking using the participant's webcam. A
644 recent study has shown that it is feasible to collect eye gaze data online and establish whether
645 participants look more at the mouth or the eyes of a moving face (Semmelmann & Weigelt, 2018).
646 Using a setup similar to the one used in Semmelmann & Weigelt could further clarify whether
647 participants looked at the mouth equally in the conditions tested in the present study.

648 Finally, it can be concluded that not being able to see the speaker's eyes and the upper part
649 of the speaker's face, did not benefit speech perception or adaptation as we predicted for Hypothesis
650 3. It was found to be unhelpful for participants to be only able to see the speaker's eyes and upper
651 face during perception of noise-vocoded audiovisual speech, i.e., any movements from the speaker's
652 eye region offered no benefit to perception of the noise-vocoded speech. Furthermore, overall
653 accuracy and adaptation were almost identical in the AV Full and AV mouth conditions, indicating that

654 viewing the speaker's entire face offered no additional benefits over and above viewing only their
655 mouth. Thus, our results do not support Hypothesis 3 that information from the speaker's eye region
656 may contribute to perception of degraded speech.

657 The results for the conditions AV Eyes and AV Blocked mirrored those for AV Still. For all three
658 conditions a floor effect was found, with participants on average reporting 4.9% of key words
659 correctly. In addition, participants did not improve their performance over the course of the
660 experiment, although there was a small improvement over trials in the AV Eyes condition. These
661 results were unexpected as they do not replicate findings reported by other studies using noise-
662 vocoded sentences. The majority of studies examining adaptation to noise-vocoded speech were
663 conducted using audio-only stimuli, yet still manage to find evidence that participants adapt after
664 short-term exposure to a low number of sentences or words (Davis et al., 2005; Huyck & Johnsrude,
665 2012; Kennedy-Higgins et al., 2020; Paulus et al., 2020). Studies using audiovisual stimuli report
666 adaptation for their audio-only conditions. For instance, Pilling & Thomas (2011) presented two
667 groups of participants with noise-vocoded sentences in audiovisual and audio-only training conditions.
668 Participants in both groups adapted readily to the noise-vocoded sentences, although participants in
669 the audiovisual group adapted more than those in the auditory-only condition (participants were
670 exposed to three blocks of 76 sentences (pre-training, training, post-training) and reported key
671 words). Nevertheless, Pilling & Thomas used an 8-band noise-vocoder with a pitch-shift that aimed to
672 approximate a cochlear implant with a 6 mm place mismatch, while we used 4-band noise-vocoded
673 speech without a pitch shift. The degradations are therefore different, and it is likely that the
674 degradation used in Pilling & Thomas resulted in overall more intelligible speech.

675 Our results for the AV Blocked, AV Still, and AV Eyes conditions are instead similar to those
676 reported in (Drijvers & Özyürek, 2017), as they report close to floor-level performance for their
677 conditions with 2- and 6-band noise-vocoded speech where the mouth area was not clearly visible.
678 Our results are also in line with those reported by Rosen et al. (1999), who examined perception of
679 and adaptation to 4-band spectrally shifted noise-vocoded speech in a live setup. Participants were

680 trained to the distorted speech with a connected discourse tracking task. In this task they were to
681 repeat words when communicating with a live speaker who could be seen through a glass partition and
682 whose speech was vocoded and pitch-shifted in real-time. Participants were exposed to eight blocks
683 of five minutes of speech and reported back what they could understand. After the first block, they
684 could only understand around 1% of the key words, but after the training had finished, they could
685 understand over 40%, showing a clear adaptation effect. It therefore appears that our participants'
686 performance was comparable to that of the participants in Rosen et al. after the first training block.
687 However, we do not know if our participants would subsequently also improve, as our experiment
688 ended after the presentation of 60 sentences. It would be interesting to repeat our study with a more
689 longitudinal design, e.g., like Rosen et al.'s study, to enable establishing the extent to which learning
690 continues and to also gain insights into individual patterns of learning.

691 *Limitations*

692 Despite similarities with previous studies of noise-vocoded speech perception, it is unclear why
693 participants were unable to understand or adapt to the noise-vocoded sentences in the AV Blocked,
694 AV Still, and AV Eyes conditions. It seems likely that participants simply 'gave up' as they were not able
695 to understand most of the sentence when they first heard them. It could be that participants in fact
696 need to be able to understand at least part of the sentence upon the first encounter for perception to
697 improve over time. However, this explanation cannot fully explain our results, as participants in Rosen
698 et al. and Pilling & Thomas also started out at a similarly low performance level of <5% correct, and
699 participants all improved over the course of both studies. It should be noted that their participants
700 were provided with a significantly larger number of sentences/utterances than was the case in our
701 study: Pilling & Thomas exposed participants to 228 noise-vocoded sentences, and participants in
702 Rosen et al. listened to a speaker whose speech was noise-vocoded and spectrally shifted for a total
703 of 40 minutes in eight five-minute blocks. In follow-up studies, it could be considered to provide
704 participants with substantially more training sentences and to present these to them in separate
705 sessions to further facilitate learning and avoid potential fatigue effects. In addition, it might be useful

706 to examine if participants would show better performance and adaptation in similar online conditions
707 to AV Block, AV Still, and AV Eyes for 6, 9, or 10-channel noise-vocoded sentences; the online (and
708 anonymous) setting may have particularly affected participants' motivation to understand the speech
709 compared to a laboratory setting where an experimenter is present. Moreover, a final possibility could
710 be that participants in the online conditions simply did not adapt because they were not made aware
711 that they actually *could* adapt to this type of distortion. We did not mention in the instructions that
712 we expected them to adapt and the title of the study on Prolific was "Transcribing distorted
713 audiovisual speech". Perhaps participants would adapt more if they were 'primed' to learn in the
714 instructions or if learning or adaptation was mentioned in the name of the experiment. We asked
715 participants to provide comments after the main task ended, and most comments could be
716 summarised as they all found the task very difficult and the speech near-impossible to understand.
717 Second, it seems possible that participants may not have attended the stimulus materials sufficiently
718 to correctly perceive them. Huyck & Johnsrude (2012) showed that perceptual adaption to noise-
719 vocoded speech only occurred when attention was selectively directed to the speech task, rather than
720 concurring auditory and visual distractors in their task. Therefore, it seems likely that the current result
721 may in part be explained by participants not paying their full attention to the stimuli. It is not
722 straightforward to control for this issue in an online design. However, or suggestion to combine our
723 online design with eye-tracking to monitor the extent to which participants fixated on the face would
724 likely provide more insights into this issue.

725 Third, we stress that our results may be modest in scope due to the specific manipulation used,
726 which involved occluding of parts of the face using superimposed white blocks. While this
727 manipulation was very effective in establishing the intended aim of preventing the participants from
728 viewing specific parts of the face, it was somewhat lacking in ecological validity. A more ecologically
729 valid manipulation would be to record stimuli while the speaker was actually wearing a face mask to
730 cover the mouth and/or eyes. Yet, when using a face mask to obscure parts of the speaker's face, care
731 should be taken to avoid a potential confound between speech production and occlusion site (mouth

732 or eyes). Wearing a face mask over the mouth might alter speech production. For instance, the face
733 mask could impede speech articulation, making speech intrinsically less understandable, e.g., due to
734 the speaker articulating less clearly (although there is some evidence that the effect of wearing a face
735 covering is relatively minor (Llamas et al., 2009). Alternatively, the speaker could aim to compensate
736 for the face mask's presence by articulating more clearly (Smiljanić & Bradlow, 2009). Thus, any future
737 study aiming to use a more ecologically valid approach than the current study should therefore ensure
738 to control for possible confounds.

739 Fourth, even though noise vocoded-speech is a useful model to study adaptation to
740 degradations of the speech signal in normal-hearing listeners, noise-vocoded speech is not a perfect
741 approximation of the type of speech signal experienced by someone with a cochlear implant. For
742 instance, due to the way the electrodes are placed on the auditory nerve, the transformed speech
743 signal will also be pitch-shifted (Rosen et al., 1999). In addition, as the number of frequency bands
744 decrease, the amount of fine-grained spectral information decreases accordingly (Shannon et al.,
745 1995). In addition, noise-vocoding the speech signal does not adequately simulate the representation
746 of phonetic-acoustic cues in a real cochlear implant. For example, depending on the specific
747 configuration of the vocoder (e.g., carrier filter widths), normal hearing people listening to vocoded
748 speech may rely more on formant transitions for differentiating pairs of syllables, whereas cochlear
749 implant users are more inclined to benefit from spectral tilt when performing the same task (Winn &
750 Litovsky, 2015). Moreover, speech perception in normal hearing (vocoded) and cochlear implant
751 listeners differs when the spectral degradation is convolved with additional degradation in the input
752 signal, e.g., when the speech is accented. While the speech recognition performance is better in CI
753 over NH (vocoded) listeners when listening to unaccented speech, this pattern of performance
754 reverses when speech is accented (Tinnemore et al., 2020). Future studies could therefore aim to
755 address some of these issues by combining noise-vocoding with pitch shifting, to establish how
756 listeners perceive and adapt to a more direct representation of the percept likely experienced by
757 people with a cochlear implant.

758 *Conclusion*

759 The results from our study demonstrate that it is essential to be able to see a speaker's moving mouth
760 while trying to understand noise-vocoded sentences in an online setup. When the mouth was not
761 visible, participants could not understand the noise-vocoded sentences at all. Our prediction that
762 being able to see the speaker's mouth movements would benefit observers when listening to noise-
763 vocoded speech, compared to situations when these movements were not visible was confirmed.
764 However, our prediction that participants who were forced to focus more on the mouth rather than
765 the whole face would perform better, was not confirmed as participants in both AV Full and AV Mouth
766 conditions performed equally well. In addition, it also appears that being able to see the eyes region,
767 but not the mouth region, does not support speech perception or adaptation. Moreover, from our
768 results it can also be concluded that, while we partially replicate the lab-based results from Banks et
769 al., it should not be assumed that lab-based tasks will necessarily replicate in online designs, especially
770 when these tasks are particularly difficult. Finally, even though this study was conducted with normal-
771 hearing listeners, we expect that our results may have implications for those with hearing loss,
772 especially when communicating in adverse listening conditions. Our work has demonstrated the key
773 role of the availability of the mouth region when background noise is present or the speech signal is
774 degraded. We recommend to always ensure that listeners are able to observe the speaker's moving
775 mouth to optimise intelligibility and reduce perceived listening effort.

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777

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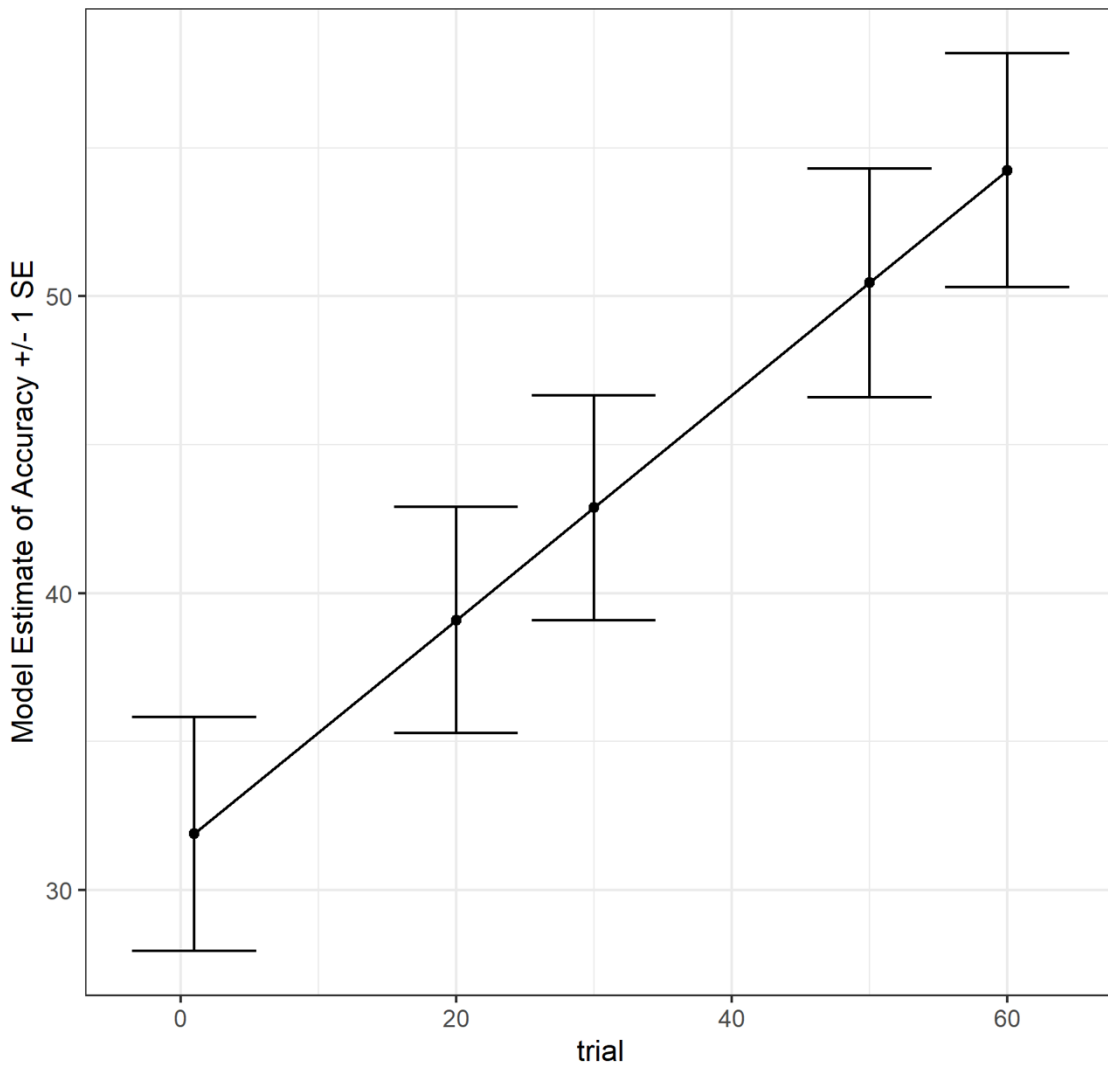
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938 **Figures**



940 *Figure 1. Still images from conditions AV Full, AV Mouth, AV Eyes, AV Blocked, and AV Still.*

AV Full effect plot

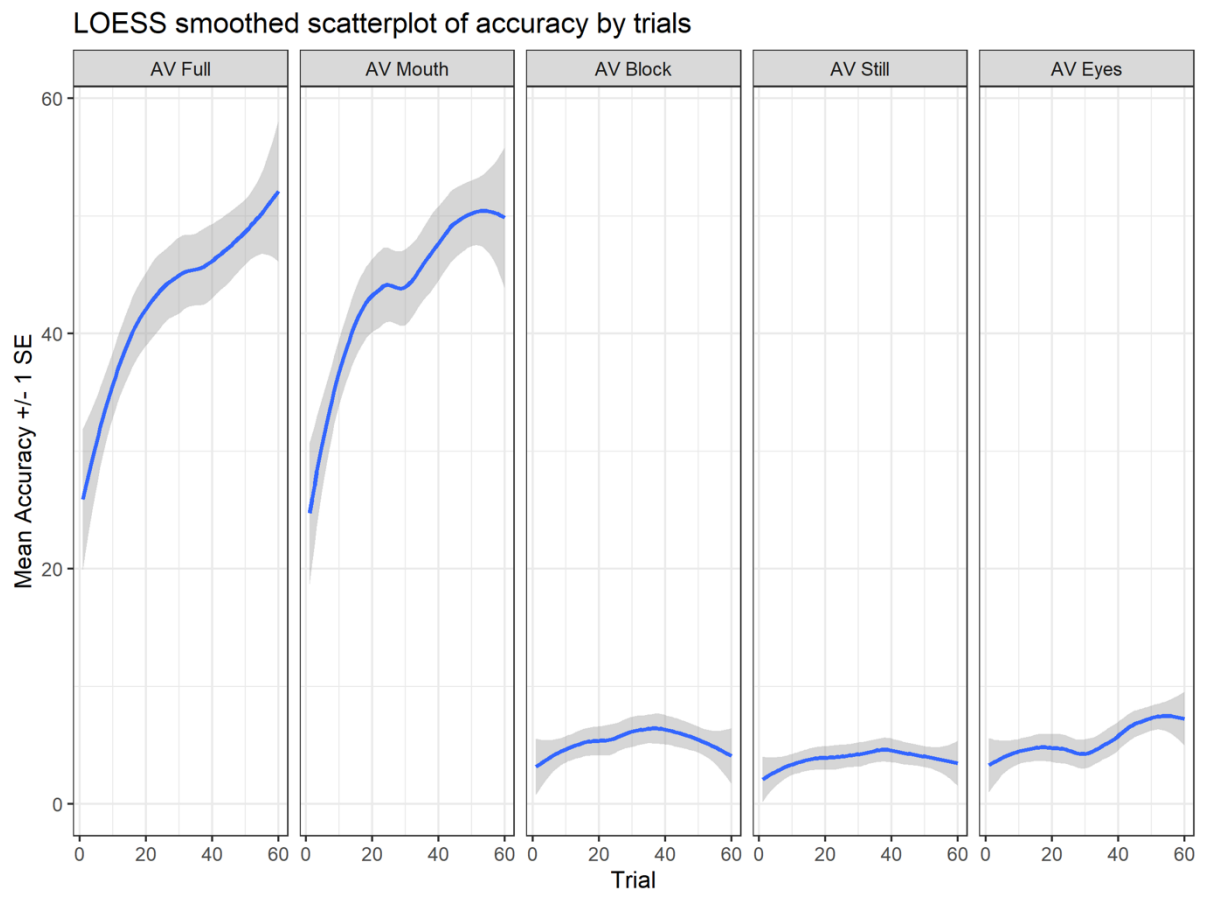


941

942 *Figure 2. Model estimates of percentage correctly reported key words across trials in condition AV Full,*

943 *error bars represent one standard error.*

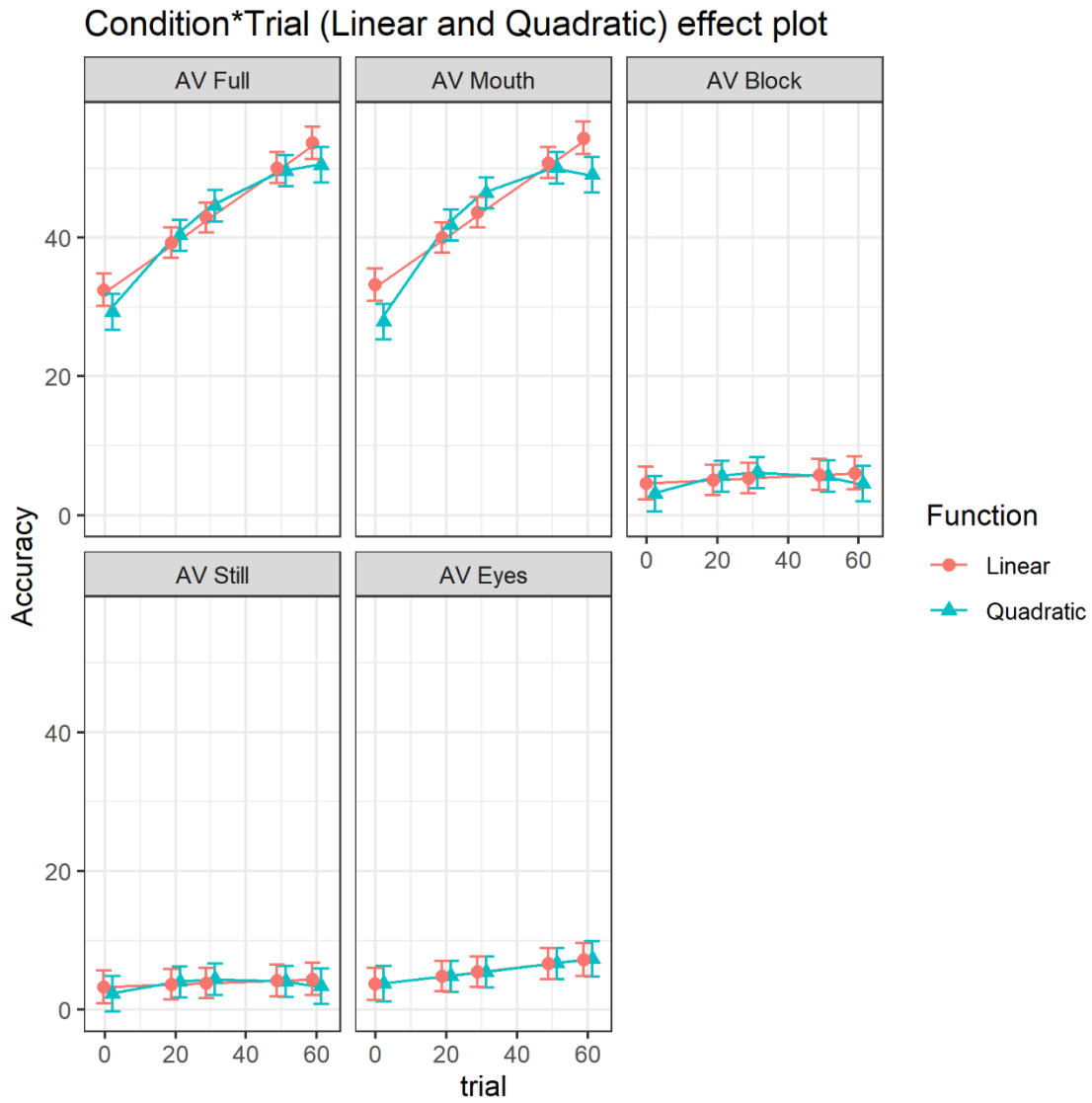
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946 *Figure 3. Locally Estimated Scatterplot Smoothing (LOESS) plot showing mean accuracy across*

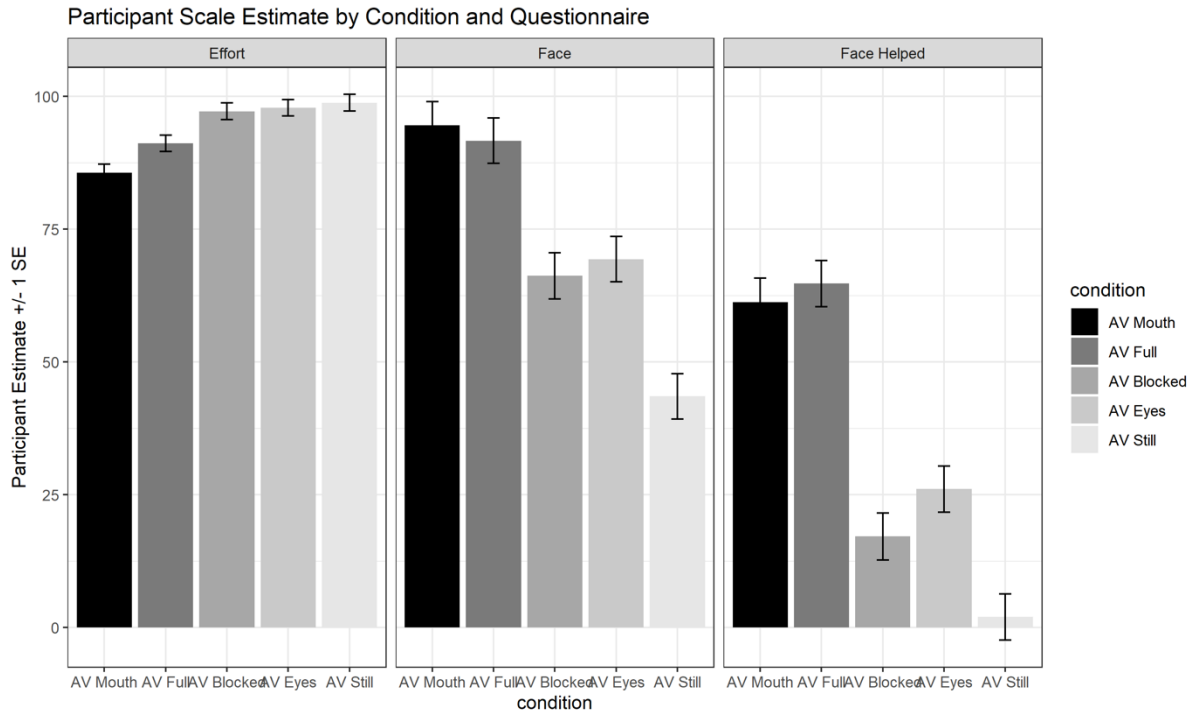
947 *individual trials all five conditions, borders represent one standard error.*



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949 *Figure 4. Model estimates of percentage correctly reported key words across trials – modelled as a*

950 *linear and quadratic relationship - in all conditions, error bars represent one standard error.*



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Figure 5. Participant estimates of perceived effort, time spent looking at the speaker's face (Face), and whether the face being visible helped participants during the task (Face Helped). Error bars indicate one standard error.