- 1 Remote ischemic preconditioning (RIPC) protects against
- 2 endothelial dysfunction in a human model of systemic
- 3 inflammation. A randomized clinical trial
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#### **ABSTRACT**

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Objective: Inflammation, oxidative stress and endothelial dysfunction are known to contribute to ischaemia-reperfusion (IR) injury. Remote Ischemic Preconditioning (RIPC) protects from endothelial dysfunction and the damage induced by IR. Using intensive periodontal treatment (IPT), an established human model of acute systemic inflammation, we investigated whether RIPC prevents endothelial dysfunction and modulates systemic levels of inflammation and oxidative stress. Approach and Results: Forty-nine participants with periodontitis (PD) were randomly allocated to receive either 3 cycles of IR on the upper limb (N 25, RIPC), or a sham procedure (N 24, Control) before IPT. Endothelial function assessed by flow-mediated dilatation (FMD) of the brachial artery, inflammatory cytokines, markers of vascular injury and oxidative stress were evaluated at baseline, Day 1 and Day 7 after IPT. Twenty-four hours post IPT, the RIPC group had lower levels of IL-10 and IL-12 compared to the Control group (P<0.05). RIPC attenuated the IPT-induced increase in IL-1β, E-selectin, sICAM3 and s-Thrombomodulin levels between the baseline and Day 1 (P for interaction<0.1). Conversely, oxidative stress was differentially increased at Day1 in the RIPC group compared to the Control group (P for interaction<0.1). This was accompanied by a better FMD (mean difference 1.75%, 95% CI 0.428 to 3.07, p=0.011). After 7 days from IPT, most of the inflammatory markers, endothelial dependent and independent vasodilation were similar between groups.

- 1 Conclusions: RIPC prevented acute endothelial dysfunction by modulation of inflammation
- 2 and oxidation processes in patients with PD following exposure to an acute inflammatory
- 3 stimulus.

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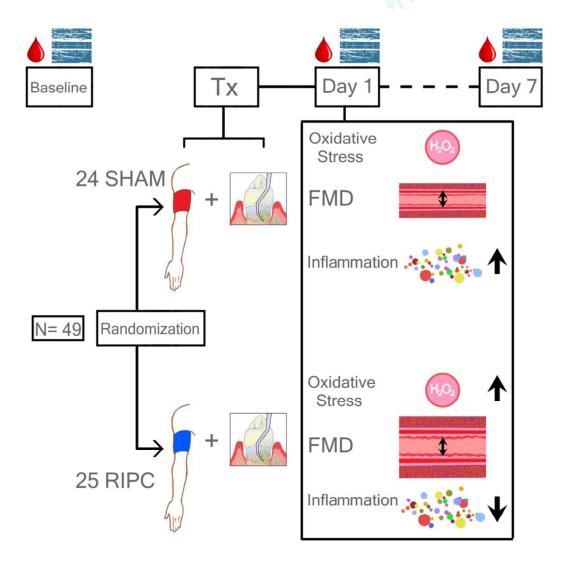
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#### 4 ABBREVIATIONS

**RIPC** Remote Ischemic Preconditioning

**IR** Ischemia-Reperfusion

**IPT** Intensive Periodontal Treatment

**PD** Periodontitis

**FMD** Flow mediated dilatation

10 CVDs Cardiovascular Diseases

**PPD** Probing Pocket Depth

12 CAL Clinical Attachment Level

**REC** Recession

**IL** Interleukin

**IFN** Interferon

**CRP** C-Reactive Protein

**TNF** Tumor Necrosis Factor

**sICAM-3** Soluble intercellular adhesion molecule-3

**d-ROMs** Reactive oxygen metabolites

20 mtROS Mitochondrial Reactive Oxygen Species

**PBMC** Peripheral Blood Mononuclear Cells

**LPS** Lipopolysaccharide

**MFI** Mean Fluorescence Intensity

**GTN** Glyceryl trinitrate

#### **GTNMD** Glyceryl trinitrate mediated dilatation

#### INTRODUCTION

Cardiovascular diseases (CVDs) are the leading cause of death and disability worldwide<sup>1</sup>. Central to the pathophysiology of many CVDs is endothelial dysfunction<sup>2</sup>, and as such new treatment modalities are needed to prevent endothelial dysfunction and improve clinical outcomes in patients with CVD. In this regard, cycles of brief non-lethal ischemia and reperfusion to the arm or leg have been reported to prevent endothelial dysfunction induced by sustained ischemia and reperfusion, a phenomenon which has been termed remote ischemic preconditioning (RIPC)<sup>3,4</sup>.

The exact mechanisms by which RIPC protects the endothelium remain to be elucidated. However, modulation of systemic inflammation and oxidative stress have been implicated as possible contributing factors of the observed vasculo-protective effects<sup>5-7</sup>. Limited evidence, however is available on the interplay between RIPC, acute systemic inflammation, oxidative stress and endothelial function in humans.

Our group has developed and extensively characterised a novel model to study human inflammation: the intensive periodontal treatment model. Periodontitis is a common chronic inflammatory and infectious disease caused by a dysbiotic dental biofilm in susceptible individuals and affecting the tissues supporting the dentition<sup>8</sup>. Management of the disease relies upon professional cleaning of the teeth affected (mechanical disruption of the dental biofilm), resulting in a dramatic reduction of local gingival inflammation<sup>9</sup>. A single session of intensive periodontal treatment (IPT) not only improves gums' health but also

1 results in a one-week acute elevation of the systemic inflammation and oxidative stress<sup>10</sup>.

2 This is thought to be related to the systemic dissemination of molecules of bacterial origin

and a systemic inflammatory response associated with a profound but transient alterations

4 of endothelial function<sup>11</sup>.

5 Acute inflammation and its detrimental effects on the endothelium have been

implicated as a plausible biological mechanism behind the link of common acute infections

and increased vascular risk<sup>12</sup>. The exposure of endothelial cells to pro-inflammatory

cytokines could stimulate the expression of tissue-factor, cell-surface adhesion molecules

and induction of pro-coagulant activity.

Building on our previous experience, we designed a single-blind, parallel group,

randomized controlled trial to evaluate whether RIPC can modulate the inflammatory and

oxidative response and prevent endothelial dysfunction following an acute inflammatory

stimulus induced by IPT in patients with PD.

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#### **METHODS**

The data that support the findings of this study are available from the corresponding author

17 upon reasonable request.

### Population

19 Patients referred to the Eastman Dental Hospital, University College London (UK) for

periodontal screening and therapy were invited to participate in this study if they had active

generalized periodontitis defined as at least 30 periodontal pockets with probing pocket

depth > 4mm and confirmed radiographic alveolar bone loss. Patients were excluded if they

were: a) pregnant, breastfeeding or of childbearing potential, b) on chronic treatment (i.e.,

two weeks or more) with specific medications known to affect periodontal status (phenytoin or cyclosporine) within one month of the start of the study, c) suffering from any systemic disease (assessed by a medical history questionnaire), d) with limited mental capacity or language skills such that simple instructions cannot be followed or information regarding adverse events could not be provided, e) on any chronic medications or requiring antibiotic coverage for dental/periodontal procedures and f) had received a course of periodontal therapy in the preceding 6 months. All patients gave written informed consent. The study was approved by the London Queen Square Ethics Committee (06/Q0512/107).

#### **Study Design**

This was a randomized controlled clinical trial with a 7 day follow-up with two parallel groups. At baseline, full medical and dental histories were collected by a single trained examiner (MO). Anthropometric measures, including high, weight, waist and hip circumferences were recorded using standard protocols. Arterial blood pressure was measured in triplicate, and the average of the readings was recorded. Patients underwent full dental examinations, fasting blood samples collection and endothelial function assessment at baseline, as well as at 1 and 7 days following periodontal treatment (Figure 1). This trial was reported following the CONSORT guidelines<sup>13</sup> (Appendix 1).

## Randomization

Following the baseline visit, study participants undergoing IPT were randomly assigned with the use of a computer-generated table and in a 1:1 ratio to receive RIPC (test group) or a sham procedure (control group) 30minutes before treatment. Smoking status, sex, age, and severity of periodontitis differences were accounted for in the randomization by

- 1 minimization<sup>14</sup>. Treatment assignments were concealed in opaque envelopes and revealed
- 2 to the research staff performing the RIPC on the day the treatment was administered by the
- 3 trial coordinator. The clinician performing IPT was unaware of the group allocation. The data
- 4 were collected and analysed in masked fashion.

#### Periodontal examination and therapy

- 6 A single, trained dental examiner (MO) performed all dental assessments/treatment. The
- 7 number of teeth, probing pocket depth (PPD), gingival recession (REC) and clinical
- 8 attachment levels (CAL) were recorded. The presence or absence of supragingival dental
- 9 plaque and gingival bleeding on probing on whole mouth was also recorded. All study
- 10 participants underwent IPT within one month from the baseline visit. This consisted in a
- single-sitting full-mouth session of scaling and root planning, which was performed under
- 12 local anaesthesia using hand and ultrasonic instruments as previously described<sup>11</sup>.

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#### Remote ischemic preconditioning (RIPC) and sham control procedure

- 15 RIPC was induced using a 9cm blood pressure cuff placed on the upper arm and inflated to a
- 16 pressure of 200mmHg for 5 minutes followed by completed deflation for 5 minutes, a cycle
- which was repeated 3 times in total<sup>15</sup>. For the sham procedure (control group), a 9cm blood
- pressure cuff placed on the upper arm and inflated to a pressure of 10mmHg for 5 minutes
- 19 followed by completed deflation for 5 minutes, a cycle which was repeated 3 times in total.
- 20 IPT commenced after the completion of the RIPC protocol.

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#### Vascular function

- 23 All the participants were instructed to fast for at least 8 hours, refrain from drinking
- 24 beverages containing caffeine and to not smoke on the day of the examination. A

temperature controlled room (22 Celsius degrees) was used for all the vascular assessments. A high-resolution ultrasound machine (Acuson XP128 with a 7-MHz linear probe) for image acquisition and a semi-automatic edge detection software for post-acquisition analyses (Medical Imaging Applications, vascular research tools, version 5.6.7) was used to measure endothelium-dependent and independent vasodilatations of the brachial artery, as previously described for after 10 minutes of rest, endothelium-independent dilatation was measured after sublingual administration of 25  $\mu$ g of glyceryl trinitrate (GTN), according to the same recording protocol for Brachial artery dilation was calculated as a percentage change from baseline to the peak diameters. A single examiner blinded to the RIPC or sham procedures, acquired all vascular data. Analysis of the FMD images was performed in a blinded fashion. The sonographer attended a training session (London Core Lab, London, UK) and completed a certification process which involved 10 repeat scans with < 2% variability in %FMD. All the patients were assessed at the same time (morning) of the day at each study visit (Baseline, Day1 and Day7).

#### Inflammatory and vascular biomarkers

At baseline, 24 hours and 7 days after IPT fasting blood samples were collected, immediately processed in several aliquots and stored at -70 degrees. Measures of a broad panel of inflammatory biomarkers was performed in blind fashion at the end of the trial using multiplex high sensitivity assays (Meso Scale Discovery, Maryland, USA) including interleukin-1 $\beta$  (IL-1 $\beta$ ), IL-6, IL-8, IL-10, IL-12, interferon- $\gamma$  (IFN- $\gamma$ ) and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) according to manufacturer's instructions. Serum C-reactive protein (CRP) was measured by immunoturbidometry (Cobas, Roche Diagnostic, Mannheim, Germany). Soluble E-selectin, soluble P-selectin, soluble intercellular adhesion molecule-3 (sICAM-3)

1 and soluble thrombomodulin were assayed with a multiplex assay (Meso Scale Discovery,

Maryland, USA). Coefficient of variation for all assays (intra and inter) were recorded and

confirmed to be lower than 5%.

#### Oxidative stress

In this study, we chose a cumulative oxidative test in serum, reactive oxygen metabolites (d-ROMs) test, to estimate the total amount of oxidative metabolites of each sample. This test measures the serum concentration of hydro peroxides, a class of chemical oxidant species belonging to the wider group of reactive oxygen metabolites<sup>17</sup>. It has been previously used to measure total levels of circulating oxidative markers in patients undergoing periodontal treatment, showing reliable and reproducible results<sup>18</sup>. Further, mitochondria reactive oxygen species (mtROS) were measured at each study visit in peripheral blood mononuclear cells (PBMCs), isolated following standard procedures by density gradient centrifugation with Ficoll (Ficoll-Paque PLUS, GE, UK). Mitochondrial oxidative stress production was assessed by flow cytometry using the mitochondrial probes MitoSOX Red (Invitrogen, UK) as

## Lipopolysaccharide (LPS) assay

previously described<sup>19</sup>.

21 Limulus Amebocyte Lysate was adopted for the detection of endotoxin (QCL-1000™, Lonza).

According with this assay, Gram-negative bacterial endotoxin present in the samples

catalyses the activation of a proenzyme in the LAL. The initial rate of activation was

determined by the concentration of endotoxin present. This was measured photometrically

- 1 at 405-410 nm, after the reaction is stopped with stop reagent. The correlation between the
- 2 absorbance and the endotoxin concentration was linear in the 0.1-1.0 EU/ml range. The
- 3 concentration of endotoxin in a sample was calculated from the absorbance values of
- 4 solutions containing known amounts of endotoxin standard.

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#### Outcome assessment

- 7 The primary outcome of this study was the difference in brachial endothelial function
- 8 assessed by FMD 24hrs following the dental treatment between study groups. Secondary
- 9 outcomes included changes in the GTN-mediated dilatation (GTNMD), concentration of
- 10 common inflammatory and circulating endothelial markers, d-ROMs, LPS and MitoSOX
- median fluorescent intensity (MFI) measured at 24hrs and at 7 days after IPT.

#### Statistical analysis

- 13 Based on our previous study<sup>11</sup>, we estimated that a minimum of 22 per group patients
- 14 should be enrolled into the study to detect a 2% difference in FMD between the test and
- 15 control groups 24 hours after IPT (using a standard deviation of the mean difference of 1.6%
- at a two-sided alpha level of 5% and 90% power). Accounting for a potential dropout rate of
- 17 10%, a final sample size of 24 participants per group was recruited.
- All is presented as mean values ± standard error or median and interquartile range (25<sup>th</sup> to
- 19 75<sup>th</sup> percentile). Categorical variables are shown as counts and percentages. Comparisons
- 20 between groups (RIPC versus placebo) at each time point were based on the Independent
- 21 samples Student's T test or the non-parametric Mann-Whitney test for continuous variables
- and the chi-squared test for categorical variables.

Differences in vascular and inflammatory markers within each group (RIPC or sham) and across study's duration (baseline, Day 1 and Day 7 after IPT) were initially assessed with the non-parametric Kruskal-Wallis test. To control the inflation of error rate in case of more than 2 comparisons among time points, we implemented the Dunn's test using rank sums with the Sidak adjustment. Remaining comparisons between groups with respect to main outcomes (FMD and GTNMD) and exposure variables (inflammatory and oxidative markers) were based on independent, pre-specified hypotheses. Therefore, no further correction for multiple comparisons was performed Subsequently, linear mixed models with random effects (random intercept and random coefficient) and unstructured variance-covariance matrix were implemented to test the effect of RIPC versus the sham procedure on longitudinal changes in variables of interest across the acute (baseline to D1) and the sustained phase (baseline to D7) of the experiment. Demographic characteristics [i.e. age, sex, ethnicity, smoking and body mass index (BMI)] were pre-specified as covariates in the multivariable linear mixed models. An interaction term between exposure status and time (RIPC vs Sham\*time) was used in the linear mixed model analysis to assess the differential effect of the randomly allocated intervention (RIPC versus placebo) on acute and prolonged changes in vascular and biochemical markers in comparison to placebo. For FMD specifically, we also tested linear mixed models involving additional independent variables with repeated measurements such as d-ROMs, hs-CRP, TNF- $\alpha$  and P-selectin to infer about potential temporal causality between longitudinal changes in endothelial function and in inflammatory/oxidative molecules. To ensure normal distribution of dependent variables, we performed inverse ranking normalization<sup>20</sup> prior to running the linear mixed models. Results of the linear mixed

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1 model analysis are reported in the original scale for vascular markers to facilitate

2 understanding the magnitude of the effect.

3 We used generalized structural equation modeling to fit multivariate linear mixed models

with random intercept and random slope and test the overall interaction between RIPC and

time (baseline to D1) on simultaneous repeated measurements in 4 key inflammatory

variables (hs-CRP, TNF- $\alpha$ , IL-6 and INF- $\gamma$ ). We used the robust Huber/White/Sandwich

estimator to derive the variance-covariance matrix of the estimates.

8 Finally, we aimed to disentangle the direct and indirect effects of the RIPC versus placebo on

endothelial function and we employed structural equation models. In detail, we assessed

the mediating effect of RIPC vs Control on FMD through P-selectin, CRP and TNF- and d-

ROMs while controlling for the impact of age, sex, BMI and ethnicity. Standardized

estimates were used in connecting paths. To address non-normality of variables and

validate the indirect effects, we used Maximum Likelihood estimation with the robust

estimator of the variance-covariance matrix (Huber/White/sandwich estimator). Standard

errors and confidence intervals for the indirect effects were obtained through bootstrapping

with 200 replicates. The comparative fit index (≥0.90 indicates acceptable fit) and the root

mean square error of approximation (<0.06 indicates acceptable fit) were calculated to

assess model fit for our main SEM. Statistical analysis was performed by STATA package,

version 11.1 (StataCorp, College Station, Texas USA).

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**RESULTS** 

- 1 A total of 49 patients were recruited from April to October 2013 and randomly allocated to
- 2 the control group (n = 25) and to the remote ischaemic conditioning group (n = 24) (Figure
- 3 1).
- 4 Study participants were middle-aged (46±9 years) with similar ethnicity and sex distribution,
- 5 BMI, cardiovascular risk factors, levels of inflammatory cytokines, periodontal status, FMD
- 6 and GTN-induced vasodilation (Table 1). No serious adverse events were recorded during
- 7 the study. Table 2 reports changes in vascular parameters and circulating biomarkers across
- 8 the study's follow up according to status of randomization (RIPC versus Control).

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#### Vascular function

were different in the RIPC (RIPC\*baseline to Day 1 interaction=2.16%, 95% CI 1.45 to 2.88,

Changes in the endothelial function (primary outcome) from the baseline to the Day 1 visits

- p<0.001) (Figure 2A) compared to the control group (unadjusted between groups difference
- 14 at Day 1 P=0.04 and adjusted difference=1.85%, 95% CI 0.463 to 3.24, p=0.009), suggesting
- that RIPC might attenuate the endothelial dysfunction induced by the acute inflammatory
- 16 response following IPT. RIPC had similar impact on the changes of the GTNMD, so that GTN
- induced higher vasodilation in the RIPC (RIPC\*baseline to Day 1 interaction=2.84%, 95% CI
- 18 1.13 to 4.55p=0.001) compared to the control group 24 hours post IPT (unadjusted
- between groups difference p=0.057 and adjusted difference 4.33%, 95% CI 1.08 to 7.57,
- p=0.009) (Figure 2B). These vascular changes recovered completely 7 days after IPT, when
- 21 no differences between RIPC and control groups were observed for endothelial dependent
- 22 and independent vasodilation (p>0.1 for both interaction terms and between-groups
- 23 differences, Figure 2A, B). Furthermore, we observed a positive association between
- 24 changes in FMD and GTNMD (coefficient=0.214%, 95% CI 0.133 to 0.295, P<0.001).

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#### Markers of inflammation and vascular activation

IL-8 fluctuations across the pre-specified time points.

3 RIPC attenuated the IPT-induced increase in IL-1 $\beta$  between the baseline and Day 1 4 (RIPC\*baseline to Day 1=-1.57, 95% CI -1.90 to -1.23, p<0.001) and thus, the difference in IL-5 1\( \beta\) levels between groups (adjusted difference as compared to the sham procedure at Day 6 1= 0.195, 95% CI -0.937 to 1.33, p=0.735). 7 Among other inflammatory markers, in the RIPC group there was an attenuated rise in 8 circulating E-selectin (P for interaction [baseline to Day 1]=0.056), sICAM3 (P for interaction 9 [baseline to Day 1]=0.092 and P for interaction [baseline to Day 7]=0.078) and s-10 Thrombomodulin (P for interaction [baseline to Day 7]=0.06). IL-10 was increased at Day 1 in 11 the placebo group as compared to the RIPC (mean adjusted difference=0.762, 95% CI 0.239) 12 to 1.28, p=0.004) but no statistically significant interaction of treatment with changes in its 13 levels was established. IL-12 was increased at Day 1 in the placebo group as compared to 14 the RIPC (mean adjusted difference=0.692, 95% CI 0.129 to 1.26, p=0.016) but no 15 statistically significant interaction of treatment with changes in this cytokine was 16 established (P for interaction [baseline to Day 1]=0.376); in contrast, RIPC differentially 17 increased the IL-12 levels at the end of the experiment (RIPC\*baseline to Day 7=1.43, 95% CI 18 0.196 to 2.67, p=0.023). Importantly, by generalized structural equation modelling, we 19 found a statistically significant overall interaction between type of allocated treatment 20 before IPT and time (baseline to Day 1) on changes of the inflammatory array consisting of 21 hs-CRP, TNF- $\alpha$ , IL-6 and INF- $\gamma$  (p=0.01). This suggests that RIPC was able to attenuate the 22 global systemic inflammatory response generated by the IPT compared to placebo. 23 No changes related to type of allocated treatment were observed for CRP, TNF-a, INF-γ, IL-6,

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#### Oxidative stress

- 3 Participants who received RIPC exhibited higher increase in d-ROMs levels from baseline to
- 4 Day 1 (RIPC\*baseline to Day 1=0.835, 95% CI 0.255 to 1.42, p for interaction=0.005) and to
- 5 Day 7 (RIPC\*baseline to Day 7=0.768, 95% CI 0.185 to 1.35, p for interaction=0.01) when
- 6 compared to the patients in the controls group. PBMC isolated from participants in the RIPC
- 7 group revealed increased mtROS production compared to the those in the control group
- 8 between baseline and Day 1 (RIPC\*baseline to Day 1=0.666, 95% CI 0.018 to 1.314, p for
- 9 interaction=0.044).

10 **LPS** 

- 11 No substantial differences in plasma values of LPS in serum of patients in the RIPC and the
- 12 control group were observed at all three time points (baseline, 24 hours and 7 days post IPT,
- p>0.1 for all). RIPC was not related with differential changes in LPS across the study for the
- two groups (p>0.1 for both interaction terms, baseline to 24hours and baseline to 7 days).

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#### Interplay between inflammatory and oxidative markers

- 17 By linear mixed model analysis, we found an inverse linear association of changes in TNF- $\alpha$
- 18 (coefficient=-0.071, 95% CI -0.141 to -0.002, p=0.044), IL-8 (coefficient=-0.033, 95% CI -
- 19 0.058/-0.008, p=0.01) and CRP (coefficient=-0.026, 95% CI -0.041 to -0.011, p=0.001) with
- 20 fluctuations in FMD during the study's period after adjusting for age, sex, ethnicity, BMI and
- 21 changes in SBP (Figure 3). When all 3 inflammatory markers were forced in the same model,
- 22 CRP was the only biomarker to retain its association (coefficient=-0.019, 95% CI -0.035 to -
- 23 0.002, p=0.028) with changes in FMD. We did not find evidence of concomitant changes in
- 24 other circulating markers and fluctuations of endothelial function across the pre-specified

- 1 time points of the study (p>0.1 for all). Furthermore, changes in GTMD were inversely
- 2 correlated with changes in TNF- $\alpha$  (coefficient=-0.575, 95% CI -.898/-.252, P<0.001), IFN- $\gamma$
- 3 (coefficient=-0.116, 95% CI -.223 to -.0089, P=0.034), IL-12 (coefficient=-0.196, 95% CI -.348
- 4 to -.044, P=0.011], IL-6 (coefficient=-0.091, 95% CI -.167/-.0154, P=0.018), IL-8
- 5 (coefficient=-0.137, 95% CI -.239/ -.0345, P=0.009).

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## Mediation analysis

- 8 By structural equation models analysis, it was shown that while RIPC was a direct
- 9 determinant of FMD changes (beta coefficient for the direct effect=1.67, 95% CI 0.342 to
- 10 2.999, p=0.014), no indirect effect through, hs-CRP, TNF- $\alpha$  and IL-8 (beta coefficient for the
- 11 indirect effect=-0.31, 95% CI -0.061 to 0.123, p=0.510) was established.

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#### DISCUSSION

- 14 This is the first clinical trial in humans showing that RIPC before a moderate inflammatory
- 15 stimulus (induced by IPT) confers protection to the vasculature and that it was associated
- 16 with modulation of markers of systemic inflammation and redox activity. The vascular
- 17 benefits involved both endothelial dependent and independent vasodilation, suggesting a
- 18 protective effect not limited to the endothelium but involving also the smooth muscle cells
- 19 of the tunica media.
- The ability of RIPC to modulate inflammatory responses has been previously investigated in
- 21 humans with conflicting results. Some evidence reported that RIPC induces leukocyte
- 22 inflammation gene expression<sup>21</sup>, attenuates systemic neutrophil activation<sup>3</sup>, and alters
- 23 neutrophil function<sup>22</sup>. These changes occur within minutes after RIPC and are even more
- 24 pronounced after 24 h.

By contrast, a lack of inflammatory modulation after endotoxemia following LPS administration has been described in a pilot experiment on healthy volunteers<sup>23</sup>. IPT represents a mixed infectious/inflammatory stimulus, as it induces a transient bacteraemia that is accompanied by an increase in the circulating levels of inflammatory cytokines. We previously documented modifications of the systemic endothelial function that track these changes of the inflammatory response<sup>11</sup>. We now show that, in the same model, RIPC is able to attenuate the rise of several inflammatory markers (including CRP, IL-1β and TNF-α) during the acute phase of the inflammatory response, supporting the hypothesis that RIPC could modulate systemic inflammation. Furthermore, we report that this effect is accompanied by a substantial attenuation of the endothelial dysfunction commonly recorded 24 hours after IPT. The potential protective effect of RIPC against the inflammatory stimulus generated by the IPT is confirmed by the reduced elevation of circulating P-selectin levels in the RIPC vs placebo groups. Importantly, through a formal mediation analysis we also show for the first time that, while there was a relationship between changes in FMD and the systemic levels of some inflammatory cytokines, inflammation is unlikely to mediate the impact of RIPC on the endothelial function. Similarly, the lack of substantial differences in LPS levels between groups at any time point during the study suggests that also the bacterial dissemination which follows the IPT is unlikely to account for its vascular effects. However, LPS does not reflect the levels of oral bacteria but could be subsequent to the far larger burden represented by the gut microbiome. In this experiment we have also observed an improvement in GTNMD in the RIPC group. A deterioration in GTNMD following IPT was previously reported by our group<sup>11</sup>. Glyceryl trinitrate triggers vasodilation independently from the vascular endothelium and represents the vascular smooth muscle cell sensitivity to NO <sup>24</sup>. A deterioration in GTNMD might be the

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result of underlying vascular smooth muscle dysfunction and possibly an impairment in ions (Ca<sup>2+</sup>and K<sup>+</sup>)-mediated mechanisms regulating vascular smooth muscle cell contractility caused by the inflammatory response following IPT<sup>25</sup>. This finding warrants further investigation in further experiments. We further investigated the impact of RIPC on PBMCs mitochondrial function assessed by superoxide production. PBMCs comprise a heterogeneous population of leukocytes including cells from the lymphoid system (predominantly T-cells, B-cells, and NK cells) and myeloid system (mainly monocytes). Although ROS are produced by several extracellular and intracellular processes, the mitochondria represent one of the main sources of oxidants. A role of mitochondria in the IR injury has been previously hypothesised, suggesting that RIPC might preserve cardiomyocyte mitochondrial function following IR<sup>26</sup>. Furthermore, we have recently reported that a lower PBMC mtROS production tracks the amelioration of FMD of the brachial artery observed 6 months following periodontal treatment<sup>19</sup>. These data suggested a potential role of mitochondria dysfunction in mediating the endothelial effects of both the IPT and RIPC. Unexpectedly, we found a higher mtROS in PBMCs of the RIPC compared to the placebo group 24 hours after IPT. The capacity of RIPC to induce a pro-oxidant environment is confirmed by the results of the dROMs test, showing that subjects receiving RIPC had a more substantial increase of dROMs than the control group 24 hours after IPT, and that this difference persisted a week later. It has been documented that an excessive generation of ROS and reactive nitrogen species within immune cells is linked to diminished inflammasome activation and a reduced inflammatory response<sup>27</sup>. Thus, we can speculate that an acute rise of mtROS production in inflammatory cells might impair their proinflammatory cytokine secretion and, through this mechanism, have a protective role on the endothelial function. This hypothesis could also explain the reduced cumulative

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inflammatory response (hs-CRP, TNF- $\alpha$ , IL-6 and INF- $\gamma$ ) observed in the RIPC group 24 hours after the treatment. Other potential explanations of our results relates to the capacity of specific ROS to act as signalling mediators. dROMs are derivatives of reactive oxygen metabolites and their quantification indirectly estimates the total amount of hydroperoxides in serum representing an index of oxidant capacity. Hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) has been identified as a signalling mediator in the vasculature, having positive effect on the endothelium-dependent vasorelaxation<sup>28</sup>. Further, there is evidence to suggest a role of hydroperoxides in the endothelium-dependent vasodilation through COX-1-mediated release of PGE2. Finally, H<sub>2</sub>O<sub>2</sub> acts directly on smooth muscle by hyperpolarization through KCa channel activation leading to relaxation<sup>29</sup>. This would also explain the improved GTNinduced vasorelaxation at 24 hours after IPT observed in the RIPC compared to the placebo group. The importance of an adequate ROS response to the RIPC is confirmed by the loss of preconditioning protection when cardiomyocytes are treated with antioxidants<sup>30, 31</sup>. Although these findings might provide potential explanations to our results, the unexpected nature of our results, the lack of data describing the changes of PBMC mtROS production and its association with endothelial activation/protection after an acute inflammatory stimulus impose a careful interpretation of our results and confirmation in future investigations. Our study has some limitations. The anti-inflammatory effects of RIPC observed may be specific to the IPT model and their relevance in other human models of acute inflammation remains to be tested. Although we included healthy participants with no other systemic conditions known to impact on the endothelium (such as hypertension, heart failure, atherosclerosis, hypercholesterolemia, diabetes mellitus), we cannot rule out an alternative mechanism of protection of RIPC on vascular dysfunction A difference in the levels of dROM

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at the baseline visit, could confound the interpretation of the results, although the						
overproduction of ROS following RIPC is confirmed by the data on the mtROS. On the other						
hand, our study has several strengths. The randomized design and masked assessment						
contribute to high internal validity. Our group has extensively characterised the						
inflammatory and oxidative stress responses to IPT, making this a solid model to study the						
complex interaction between inflammation and endothelial function in humans. The						
presence of a single blind vascular examiner reduced the variability of our vascular						
measures. Finally, data on a wide range of potential mediators of the benefits related to the						
RIPC on the endothelial function were acquired and analyzed using a robust statistical						
methodology to ascertain the potential influence of many parameters on the link between						
RIPC, inflammation and vascular phenotype.						
RIPC performed before an acute inflammatory stimulus can modulate both acute						
inflammation and endothelial cell activation. This resulted in an improvement of endothelial						
inflammation and endothelial cell activation. This resulted in an improvement of endothelial						
inflammation and endothelial cell activation. This resulted in an improvement of endothelial function and was associated with a transient increase in oxidative stress. A wide range of						
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function and was associated with a transient increase in oxidative stress. A wide range of						
function and was associated with a transient increase in oxidative stress. A wide range of infective disorders and iatrogenic procedures can cause severe systemic inflammation.						
function and was associated with a transient increase in oxidative stress. A wide range of infective disorders and iatrogenic procedures can cause severe systemic inflammation.  Acute systemic inflammation is associated with an increase in the risk of cardiovascular						
function and was associated with a transient increase in oxidative stress. A wide range of infective disorders and iatrogenic procedures can cause severe systemic inflammation. Acute systemic inflammation is associated with an increase in the risk of cardiovascular events that may persist for days or weeks. The present study demonstrates that RIPC may						

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#### **DISCLOSURES**

24 None

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9	HIGH	LIGHTS
10	•	Remote Ischemic Preconditioning (RIPC) has been investigated as method to
11		attenuate the ischemia reperfusion damage that follows an acute ischemic injury.
12	•	This mechanistic trial tested the effect of RIPC on endothelial function in a human
13		model of systemic inflammation.
14	•	These results suggest a protective effect of RIPC on endothelial function via the
15		modulation of the inflammatory response and the redox activity following exposure
16		to a validated systemic acute inflammatory stimulus in humans.
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#### FIGURE LEGENDS

Figure 1 CONSORT Study Flowchart Figure 2a Mean flow-mediated dilatation during the study duration I bars represent standard error (SE). Data are for the 23 patients in the test group and the 24 patients in the control-treatment group. FMD % changes. Please notes values are adjusted for age, sex, smoking, body weight, and ethnicity. P values for the interaction terms Baseline to 24hrs (P<0.001). P-values are derived from linear mixed model analysis Figure 2b Mean GTN-mediated dilatation during the study duration I bars represent standard error (SE). Data are for the 23 patients in the test group and the 24 patients in the control-treatment group. GTN % changes. Please notes values are adjusted for age, sex, smoking, body weight, and ethnicity. P values for the interaction terms Baseline to 24hrs (P=0.007). P-values are derived from linear mixed model analysis Figure 3 Changes in hs- CRP and fluctuations in FMD during the study duration. Please notes values are adjusted for age, sex, ethnicity, BMI and changes in SBP. 

# **Table 1.** Baseline charactheristics of the 2 study groups

	Control (N=24)	RIPC (N=23)
Age (years)	47±9	45±9
Sex, Male (%)	14(56.0%)	11(45.8%)
Ethnicity, Caucasian (%)	15(60.0%)	15(62.5%)
Body Mass Index (Kg/m²)	26.5±3.8	26.1±3.7
Waist circumference (cm)	92±8	93±8
Hip circumference (cm)	106±8	105±6
Smoking, current (%)	7 (28.0%)	8 (33.3%)
SBP (mmHg)	120±16	118±10
DBP (mmHg)	77.58±8.51	76.43±8.08
TC (mmol/L)	5.18±0.81	5.17±0.78
TG (mmol/L)	1.11±0.53	1.06±0.26
hs-CRP (mg/L)	2.05±2.19	1.74±1.72
IL-1β (pg/ml)	0.35±0.2	0.21±0.17
IL-6 (pg/ml)	1.15 (0.63-1.79)	0.92(0.38-2.00)
IL-8 (pg/ml)	11.22±3.45	11.64±4.47
IL-10 (pg/ml)	6.26±8.01	4.08±3.82
IL-12 (pg/ml)	0.66±0.64	0.74±0.48
TNF-α, (pg/ml)	4.00±1.92	3.52±1.85
INF-γ, (pg/ml)	2.39±2.46	3.15±3.15
sE-Selectin (pg/ml)	21.26±16.43	20.75±15.20
sP-Selectin (pg/ml)	122.8962.12	114.92±43.91

s-ICAM3 (pg/ml)	1.92±3.86	2.02±3.39
s-TM (pg/ml)	5.30±3.98	5.47±6.06
d-ROMs (Carr/U)	455.04±89.17	383.60±98.05
PBMC mtROS (MitoSOX, MFI)	26.63±11.54	24.05±10
LPS (EU)	0.88±0.59	1.09±0.91
FMD (%)	6.28±2.56	6.28±3.68
GTNMD (%)	17.40±7.14	19.52±7.64
IPT Time (minutes)	144±25	128±24
PPD (cm)	4.16±.82	3.84±.56
REC (cm)	.85±.86	.86±.82
NPKTS (n)	69.33±28.96	61.00±21.81
FMPS (%)	63.97±16.23	58.90±15.6
FMBS (%)	49.86±21.97	50.05±16.04
NTEETH (n)	28.46±2.72	28.57±2.84

- 1 Values are expressed in Mean±SD for continuous variables and number (%) for categorical
- 2 variables.
- 3 SBP systolic blood pressure, DBP diastolic blood pressure, TC total cholesterol, TG
- 4 Triglycerides, hs-CRP high sensitivity C-reactive protein, IL-1β Interleukin-1β, IL-6
- 5 Interleukin-6, **IL-8** Interleukin-8, **IL-10** Interleukin-10, **IL-12** Interleukin-12, **TNF-α** Tumor
- 6 Necrosis Factor-α, **INF-γ** Interferon-γ, **s-TM** soluble Thrombomodulin, **d-ROMs** reactive
- 7 oxygen metabolites, mtROS Mitochondrial Reactive Oxygen Species, LPS
- 8 Lipopolysaccharides, FMD Flow Medicated Dilation, GTNMD glyceryl trinitrate mediated
- 9 dilatation, IPT Intensive Periodontal Therapy; PPD gingival probing pocket depth, REC

than 4mm, FMPS full mouth dental plaque score, FMBS full mouth gingival bleeding score, **NTEETH** number of teeth. TABLE 2. Changes in vascular indices and circulating biomarkers across the study's follow up according to status of randomization (RIPC versus Control)

gingival recessions, NPKTS number of periodontal lesions with probing pocket depth greater

Treatment	Variable	Baseline	Day 1	Day 7	p value
Control	FMD	6.15 (4.21-8.34)	2.94 (1.95-	4.78 (4.12-	<0.001
			5.06)*,†	6.75)	
RIPC		5.03 (3.75-7.9)	3.89 (2.9-7.93)	5.02 (3.66-	0.471
				8.55)	.1
Control	GTNMD	16.9 (12.3-22.6)	14.2 (9.84-18.8)	13.8 (12.2-19)	0.309
RIPC		17.8 (13.8-25.5)	18 (14.8-24.8)	17.6 (14.8-	0.971
				23.8)	
Control	TNF-α	3.47 (2.45-5.46)	4.47 (3.47-7.74)	5.28 (3.52-	0.121
				6.27)	
RIPC		3.16 (1.94-4.75)	3.86 (2.28-5.16)	3.67 (3.14-5)	0.29
Control	hs-CRP	1.30 (0.9-2.7)	8 (4.5-14.2)*	2.15 (1.45-	<0.001
				4.55)	
RIPC		1.00 (0.6-2.8)	5.25 (3.3-8.5)*	1.85 (1.1-2.8)	<0.001
Control	IFN-γ	1.42 (0.50-4.44)	10.8 (2.54-26.7)*	2.83 (1.43-	0.012
				7.13)	
RIPC		2.57 (0.66-4.68)	4.59 (1.88-11.1)	2.33 (1.33-	0.174
ZOK K				3.48)	
Control	IL-1β	0.36 (0.21-0.50)	0.45 (0.22-0.76)	0.29 (0.11-	0.557
				0.51)	
RIPC		0.25(0.02-0.36)	1.27 (0.187-3.17)	0.208 (0.18-	0.661
				0.66)	
Control	IL-6	1.15 (0.63-1.79)	4.05 (2.2-7.19)*	1.56 (1.17-	<0.001

				2.99)	
RIPC	•	0.92 (0.38-2)	3.9 (1.95-5.43)*	1.43 (1.13-	<0.001
				2.69)	
Control	IL-8	11.4 (8.04-13.9)	13.3 (7.86-17.6)	13.8 (7.89-	0.366
				18.6)	
RIPC	•	11.7 (8.9-16.3)	9.43 (7.67-14.9)	11.9 (7.7-6.0)	0.614
Control	IL-10	3.8 (1.92-6.61)	7.49 (4.92-	4.58 (3.4-9.17)	0.064
			11.6)*,†		
RIPC	•	2.77 (1.25-6.75)	3.86 ( 2.1-6.94)	4.67 ( 1.85-	0.532
				8.26)	
Control	IL-12	0.38 (0.19-0.83)	2.13 (1.11-	0.55 (0.26-	0.004
			4.2)*,†	1.31)†	
RIPC	•	0.90 (0.46-1.03)	1.11 (0.57-1.61)	2.17 (1.13-	0.061
				3.22)*	
Control	s-ICAM3	1.14 (0.79-1.54)	1.05 (0.91-1.57)	1.28 (0.85-	0.793
				1.65)	
RIPC		1.39 (0.83-1.78)	1.22 (0.93-1.49)	1.3 (1.14-1.51)	0.707
Control	sE-Selectin	17 (11.7-22.9)	20.2 (15- 24.8)	17.5 (10.8-	0.459
				21.9)	
RIPC		15.9 (10.7-24.9)	18.7 (10.7-22.5)	14.4 (10.5-	0.552
				21.2)	
Control	sP-Selectin	102 (85.1-142)	103 (64.3-148)	125 (76.6-141)	0.794
RIPC		102 (83.9-155)	80.6 (68-106)	91.1 (69.7-128)	0.163

Control	s-TM	4.7 (3.2-5.79)	4.31 (3.45-5.9)	4.5 (3.45-5.27)	0.957
RIPC	-	4.15 (3.49-4.82)	4 (3.24-4.62)	3.57 (3.38-	0.383
				4.44)	
				4.44)	
Control	dROMs	451 (409-493)†	470 (395-529)	436 ( 388-491)	0.804
DIDG	_	200 (225 420)	442 (202 525)	467/266	0.020
RIPC		388 (326-439)	443 (393-525)	467 ( 366-	0.038
				544)*	7
				- 611	
Control	PBMC	23.1 (19.1-28.6)	23 (17-31.5)	23.3 (17.6-	0.774
	mtROS			26.7)	
	_				
RIPC		23.1 (17.2-25.3)	25.6 ( 20.1-34.2)	21.7 (16.5-	0.353
				34.3)	
				34.3)	
Control	Lymphocytes	19.6 (13.8-25.3)	18.5 (14.4-23.7)	18.9 (14.2-	0.966
	mtROS			22.5)	
	minos			22.3)	
RIPC	-	17.6 (12.7-23.9)	18.6 (14.1-33.8)	17.5 (13.2-	0.556
				20.5)	
				30.5)	
Control	Monocytes	55.2 (33.7-100)	87.8 ( 37.3-140)	56.2 (41.8-	0.342
	mtROS			01.4)	
	IIIIKOS			91.4)	
RIPC	1/1	44.6 (25.9-82)	83.5 (42-167)*	50 (30.8- 108)	0.067
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<sup>\*</sup> indicates statistically significant within-group difference from the reference category (baseline) after Sidak adjustment for multiple comparisons

<sup>†</sup> indicates statistically significant between groups difference for the same time point (baseline,24 hours or Day 7)

- 1 Values are expressed in Mean (CI)
- 2 hs-CRP high sensitivity C-reactive protein, IL-1β Interleukin-1β, IL-6 Interleukin-6, IL-8
- 3 Interleukin-8, IL-10 Interleukin-10, IL-12 Interleukin-12, TNF-α Tumor Necrosis Factor-α, INF-
- 4 γ Interferon-γ, s-TM soluble Thrombomodulin, d-ROMs reactive oxygen metabolites, mtROS
- JW

  The strict of the strict o 5 Mitochondrial Reactive Oxygen Species, LPS Lipopolysaccharides, FMD Flow Medicated
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## **CONSORT 2010 Flow Diagram**

