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Avelumab in combination with and/or following chemotherapy versus chemotherapy alone in patients with previously untreated epithelial ovarian cancer (JAVELIN Ovarian 100): results from a randomised phase 3 trial terminated at interim analysis --Manuscript Draft--

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Abstract:	<p>Background: Although most patients with epithelial ovarian cancer (EOC) respond to frontline platinum-based chemotherapy, the majority will relapse within 3 years. The phase 3 JAVELIN Ovarian 100 trial compared avelumab (anti-PD-L1) in combination with and/or following chemotherapy vs chemotherapy alone in patients with treatment-naïve EOC.</p> <p>Methods: Eligible women aged ≥18 years with stage III–IV epithelial ovarian, fallopian tube, or peritoneal cancer (post-debulking/cytoreductive surgery or candidates for neoadjuvant chemotherapy) and an Eastern Cooperative Oncology Group performance status of 0 or 1 were randomised (1:1:1) via interactive response technology to receive chemotherapy (6 cycles; carboplatin AUC 5 or 6 intravenously [IV] every 3 weeks [Q3W] plus paclitaxel 175 mg/m² Q3W or 80 mg/m² weekly [investigators' choice]) followed by avelumab maintenance (10 mg/kg IV every 2 weeks [Q2W]), chemotherapy plus avelumab (10 mg/kg IV Q3W) followed by avelumab maintenance (10 mg/kg IV Q2W), or chemotherapy followed by observation (control).</p>

Randomization was stratified by paclitaxel regimen (weekly vs Q3W) and resection status (residual tumour; complete/microscopic vs incomplete ≤ 1 cm vs incomplete >1 cm vs neoadjuvant). The primary endpoint was progression-free survival (PFS) by blinded independent central review in all randomised patients (analysed by intention-to-treat). This trial is registered with ClinicalTrials.gov, number NCT02718417. The trial was fully enrolled and terminated at interim analysis for futility and efficacy is no longer being assessed. Results are reported from the interim analysis, which is the only analysis of the primary endpoint.

Findings: Between May 19, 2016 and Jan 23, 2018, 998 patients were randomised. At the planned interim analysis (data cutoff Sept 7, 2018), PFS was not improved in either avelumab arm vs control, prespecified futility boundaries were crossed, and the trial was stopped as recommended by the Independent Data Monitoring Committee.

Median duration of follow-up for PFS was 10.8 months (IQR 7.1–14.9) for all patients, 11.1 months (interquartile range [IQR] 7.0–15.3) for chemotherapy followed by avelumab; 11.0 months (IQR 7.4–14.5) for chemotherapy plus avelumab followed by avelumab; and 10.2 months (IQR 6.7–14.0) for the control arm. Hazard ratios (95% CI) for PFS vs control were 1.43 (1.051–1.946) for chemotherapy followed by avelumab and 1.14 (0.832–1.565) for chemotherapy plus avelumab followed by avelumab.

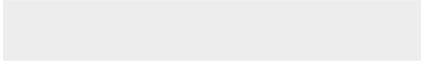
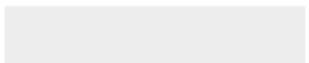
Median PFS (95% CI) was 16.8 months (13.5 to not estimable [NE]) with chemotherapy followed by avelumab, 18.1 months (14.8–NE) with chemotherapy plus avelumab followed by avelumab, and NE (18.2 months to NE) with control. No new safety signals were observed. In the chemotherapy followed by avelumab, chemotherapy plus avelumab followed by avelumab, and control arms, grade ≥ 3 treatment-emergent adverse events occurred in 68%, 72%, and 63%, respectively. The most common grade 3–4 adverse events ($\geq 10\%$ of patients) were anaemia (69 [21%] in the chemotherapy followed by avelumab arm, 63 [19%] in the chemotherapy plus avelumab followed by avelumab arm, 53 [16%] in the control arm), neutropenia (91 [28%], 99 [30%], 88 [26%]), and neutrophil count decreased (49 [15%], 45 [14%], 59 [18%]). In the chemotherapy followed by avelumab, chemotherapy plus avelumab followed by avelumab, and control arms, serious adverse events occurred in 92 (28%), 118 (36%), and 64 patients (19%), respectively. Treatment-related deaths occurred in 1 patient ($<1\%$) in the chemotherapy followed by avelumab arm (atrial fibrillation) and 1 patient ($<1\%$) in the chemotherapy plus avelumab followed by avelumab arm (disease progression).

Interpretation: This trial did not meet its primary objectives of significantly improving PFS with frontline avelumab in combination with and/or following chemotherapy vs chemotherapy alone in advanced EOC. Results do not support the use of avelumab in the frontline treatment setting.

Funding: Pfizer and Merck KGaA, Darmstadt, Germany.



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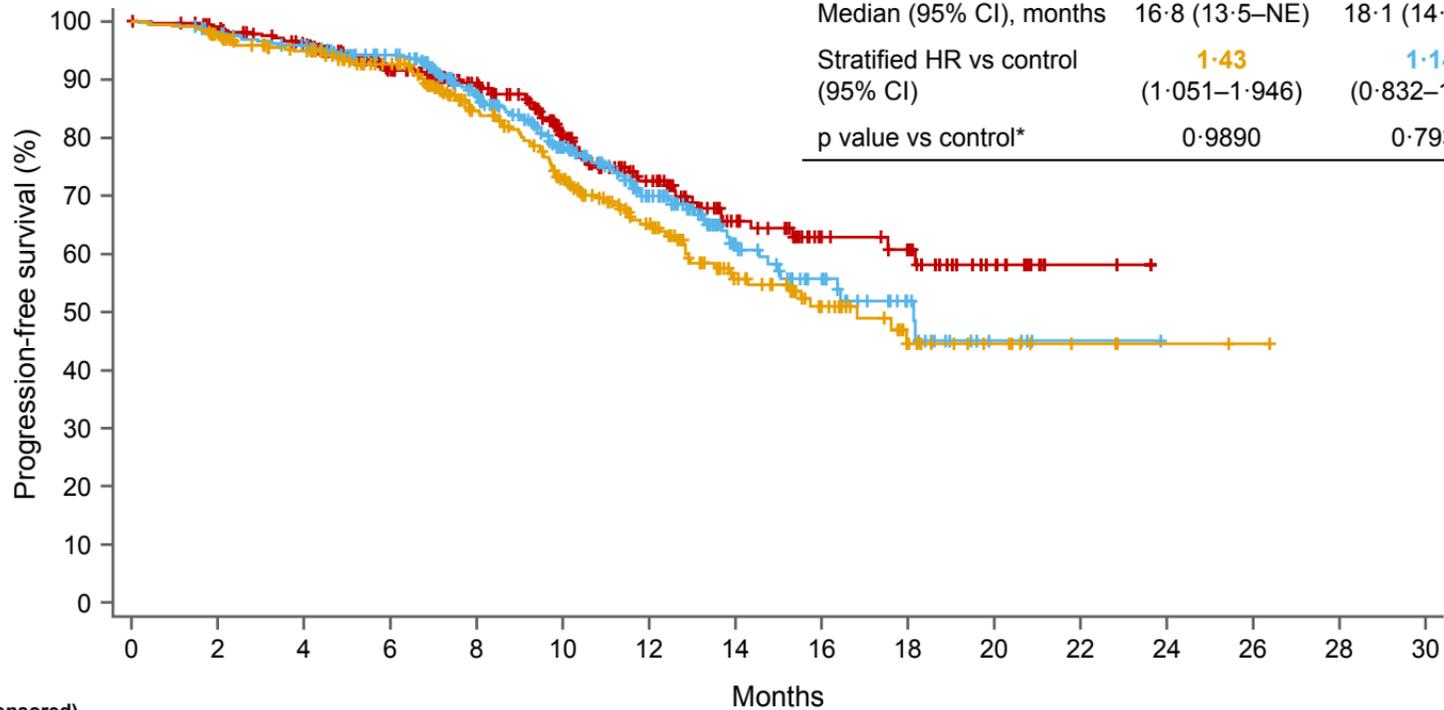
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Necessary Additional Data

Ovarian100_consort_checklist_v2.pdf



Figure 2



	Chemo → Avel (N=332)	Chemo + Avel → Avel (N=331)	Chemo → Obs (N=335)
Events, n (%)	99 (29.8)	88 (26.6)	70 (20.9)
Median (95% CI), months	16.8 (13.5–NE)	18.1 (14.8–NE)	NE (18.2–NE)
Stratified HR vs control (95% CI)	1.43 (1.051–1.946)	1.14 (0.832–1.565)	–
p value vs control*	0.9890	0.7935	–

No. at risk (No. censored)

	0	2	4	6	8	10	12	14	16	18	20	22	24	26
Chemo → Avel	332 (0)	303 (22)	280 (36)	252 (58)	186 (104)	143 (122)	100 (152)	58 (182)	36 (200)	18 (215)	10 (223)	4 (229)	2 (231)	1 (232)
Chemo + Avel → Avel	331 (0)	310 (15)	297 (21)	271 (42)	211 (84)	157 (118)	101 (160)	54 (198)	33 (214)	17 (228)	4 (239)	1 (242)		
Chemo → Obs	335 (0)	313 (19)	294 (29)	241 (69)	190 (115)	136 (152)	90 (186)	55 (214)	32 (235)	26 (240)	10 (255)	2 (263)		

Figure 3A

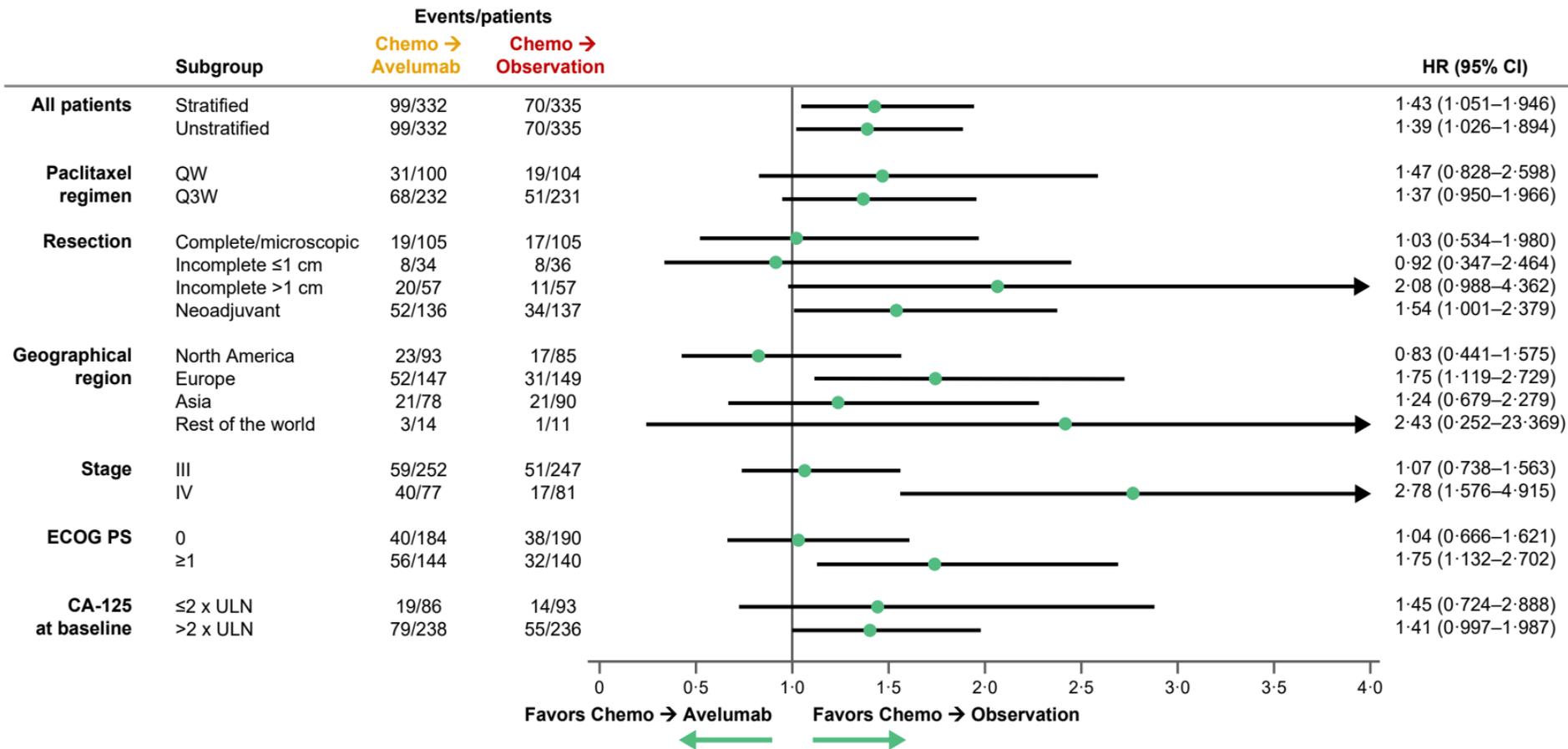
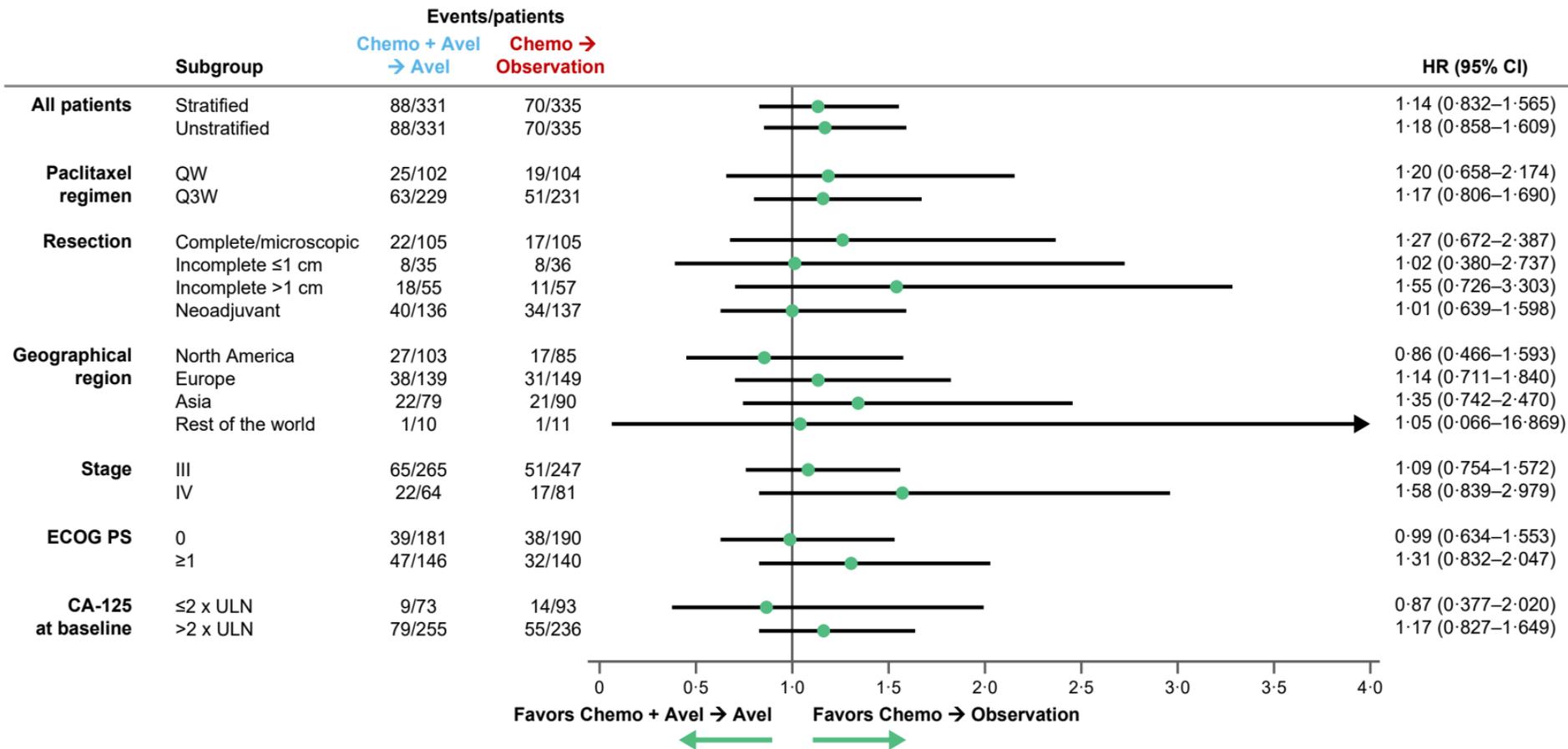


Figure 3B



1 **JAVELIN Ovarian 100 primary manuscript**

2 **Title:** Avelumab in combination with and/or following chemotherapy versus chemotherapy
 3 alone in patients with previously untreated epithelial ovarian cancer (JAVELIN Ovarian 100):
 4 results from a randomised phase 3 trial terminated at interim analysis.

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16 manuscript.

17 [†]At the time the study was conducted.

18

19 **Abstract**

20 **Background:** Although most patients with epithelial ovarian cancer (EOC) respond to
21 frontline platinum-based chemotherapy, the majority will relapse within 3 years. The phase 3
22 JAVELIN Ovarian 100 trial compared avelumab (anti-PD-L1) in combination with and/or
23 following chemotherapy vs chemotherapy alone in patients with treatment-naive EOC.

24 **Methods:** Eligible women aged ≥ 18 years with stage III–IV epithelial ovarian, fallopian tube,
25 or peritoneal cancer (post-debulking/cytoreductive surgery or candidates for neoadjuvant
26 chemotherapy) and an Eastern Cooperative Oncology Group performance status of 0 or 1
27 were randomised (1:1:1) via interactive response technology to receive chemotherapy (6
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30 (10 mg/kg IV every 2 weeks [Q2W]), chemotherapy plus avelumab (10 mg/kg IV Q3W)
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33 and resection status (residual tumour; complete/microscopic vs incomplete ≤ 1 cm vs
34 incomplete > 1 cm vs neoadjuvant). The primary endpoint was progression-free survival
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43 stopped as recommended by the Independent Data Monitoring Committee. Median duration
44 of follow-up for PFS was 10.8 months (IQR 7.1–14.9) for all patients, 11.1 months
45 (interquartile range [IQR] 7.0–15.3) for chemotherapy followed by avelumab; 11.0 months

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47 (IQR 6.7–14.0) for the control arm. Hazard ratios (95% CI) for PFS vs control were 1.43
48 (1.051–1.946) for chemotherapy followed by avelumab and 1.14 (0.832–1.565) for
49 chemotherapy plus avelumab followed by avelumab. Median PFS (95% CI) was 16.8
50 months (13.5 to not estimable [NE]) with chemotherapy followed by avelumab, 18.1 months
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54 treatment-emergent adverse events occurred in 68%, 72%, and 63%, respectively. The most
55 common grade 3–4 adverse events ($\geq 10\%$ of patients) were anaemia (69 [21%] in the
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61 respectively. Treatment-related deaths occurred in 1 patient (<1%) in the chemotherapy
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63 avelumab followed by avelumab arm (disease progression).

64 **Interpretation:** This trial did not meet its primary objectives of significantly improving PFS
65 with frontline avelumab in combination with and/or following chemotherapy vs chemotherapy
66 alone in advanced EOC. Results do not support the use of avelumab in the frontline
67 treatment setting.

68 **Funding:** Pfizer and Merck KGaA, Darmstadt, Germany.

69 **Research in context**

70 *Evidence before this study*

71 Platinum-based chemotherapy administered before or after debulking surgery is the current
72 standard-of-care frontline treatment for patients with advanced epithelial ovarian cancer
73 (EOC). Additionally, the anti-vascular endothelial growth factor antibody, bevacizumab, is
74 administered with chemotherapy and/or used as maintenance in some patients where
75 available. Most patients respond to initial treatment; nonetheless, approximately 70% of
76 patients will relapse within 3 years. Because immunologic activity appears to predict
77 outcomes in patients with EOC, there has been interest in investigating the use of immune
78 checkpoint inhibitors in this disease. Single-agent immune checkpoint inhibitor treatment has
79 shown limited activity in early-phase trials in patients with recurrent EOC. Combining anti-
80 PD-1/PD-L1 agents with chemotherapy has the potential to increase efficacy, as seen in
81 randomised trials in other tumours. Using immune checkpoint inhibitors as either switch or
82 continuation maintenance could therefore increase and/or prolong the benefits of frontline
83 therapy. We conducted a literature search using PubMed on May 4, 2021, using the terms
84 (“ovarian cancer” OR “epithelial ovarian cancer”) AND (“PD-1” OR “PD-L1” OR “programmed
85 death” OR “checkpoint inhibitor”) AND (“study” OR “trial”) for clinical trials of immune
86 checkpoint inhibitors in EOC published in English. We identified 15 manuscripts reporting
87 data from phase 1–3 trials in various EOC populations (5 phase 1, 1 phase 1/2, 6 phase 2,
88 and 1 phase 3 trial). No manuscripts were found that reported a clinical study of an immune
89 checkpoint inhibitor as maintenance treatment in patients with EOC. One manuscript
90 reported a phase 3 clinical study of an immune checkpoint inhibitor combined with
91 chemotherapy in the frontline setting; this study (IMagyn050) investigated the addition of
92 atezolizumab, an anti-PD-L1 antibody, to platinum-based chemotherapy and bevacizumab
93 vs placebo in patients with treatment-naive stage III/IV EOC. In this trial, the addition of

94 atezolizumab did not significantly improve progression-free survival in the overall or PD-L1+
95 populations.

96 *Added value of this study*

97 To our knowledge, JAVELIN Ovarian 100 is one of the first phase 3 trials of an immune
98 checkpoint inhibitor in patients with previously untreated EOC to be reported. The trial failed
99 to meet either of its two primary objectives of significantly improving progression-free
100 survival with chemotherapy followed by avelumab or chemotherapy plus avelumab followed
101 by avelumab vs chemotherapy followed by observation. Subgroup analyses based on
102 baseline characteristics, stratification factors, or PD-L1 status did not identify subsets with
103 clear benefit in either avelumab arm. No new safety signals were observed in either
104 avelumab arm.

105 *Implications of all the available evidence*

106 The findings from this trial suggest that the addition of an immune checkpoint inhibitor to
107 frontline chemotherapy does not improve progression-free survival in the overall population,
108 highlighting that further study is needed to determine whether immune checkpoint inhibitors
109 have a role in frontline treatment of EOC.

110 **Introduction**

111 Ovarian cancer is responsible for approximately 185,000 deaths annually worldwide.¹ More
112 than 70% of women diagnosed with ovarian cancer have advanced disease.^{2,3} Ovarian
113 cancer is a heterogenous disease; however, most tumours (approximately 90%) are
114 epithelial ovarian cancers (EOC),³ a term that also includes cancers originating from cells
115 lining the fallopian tubes and peritoneum, which are managed similarly.⁴ Current standard-
116 of-care frontline treatment for patients with advanced EOC consists of combination
117 carboplatin and paclitaxel chemotherapy before or after debulking surgery.^{3,4} Frontline
118 treatment with bevacizumab, an anti-vascular endothelial growth factor antibody, in
119 combination with chemotherapy followed by bevacizumab maintenance is approved in
120 various countries worldwide for the treatment of advanced EOC irrespective of biomarker
121 status. The addition of bevacizumab is associated with an improvement in progression-free
122 survival (PFS) but an overall survival (OS) benefit was limited to women at high risk of
123 disease recurrence.^{5,6} Currently, most patients (around 70–80%) respond to initial treatment;
124 however, median PFS ranges from 10 to 17 months,^{5,7-10} and approximately 70% of patients
125 will relapse within 3 years.³

126 Although not considered a strongly immunogenic cancer, EOC tumours are characterised by
127 immunologic activity. Programmed death ligand 1 (PD-L1), a key suppressor of T cell
128 function, is expressed on ovarian tumour cells and tumour-infiltrating lymphocytes in more
129 than half of patients.¹¹ The presence of tumour-infiltrating lymphocytes, specifically CD8+ T
130 cells, is associated with longer OS.¹² Trials of immune checkpoint inhibitors as monotherapy
131 in patients with previously treated EOC have shown limited clinical activity,¹³⁻¹⁵ prompting
132 interest in the use of immune checkpoint inhibitors in combination with established
133 treatments. Furthermore, accumulating evidence suggests that chemotherapy agents may
134 regulate antitumour immune responses. For example, cytotoxic chemotherapy can stimulate
135 tumour immunosurveillance by increasing antigen release and presentation, modifying the
136 suppressive tumour microenvironment (eg, by suppressing T regulatory cells), and

137 promoting infiltration of T cells, potentially making tumours more susceptible to immune
138 attack.^{16,17} It has also been hypothesised that DNA-damaging chemotherapies could
139 increase the mutational burden within tumours, thereby increasing the repertoire of
140 neoantigens available for tumour-directed immune responses.¹⁸ Therefore, it was
141 hypothesized that combining chemotherapy with an immune checkpoint inhibitor could
142 provide improved clinical activity by stimulating the activity of CD8+ tumour-infiltrating
143 lymphocytes and overcoming immunosuppressive mechanisms. Increased clinical activity
144 and manageable toxicity have been seen in trials of combined
145 chemotherapy/immunotherapy regimens in other tumour types;¹⁹⁻²¹ however, data in EOC
146 with similar treatment regimens are limited.

147 Avelumab, an anti-PD-L1 monoclonal antibody, showed clinical activity as monotherapy in a
148 cohort of a phase 1b study that included 125 heavily treated patients with resistant or
149 refractory EOC, with an objective response rate of 10%.¹³ However, in the phase 3 JAVELIN
150 Ovarian 200 trial, avelumab did not show superiority vs pegylated liposomal doxorubicin
151 (PLD), either as monotherapy or in combination with PLD in patients with platinum-resistant
152 or platinum-refractory EOC.²²

153 Here, we report results from the randomised, open-label, phase 3 JAVELIN Ovarian 100
154 trial, which compared avelumab in combination with and/or following frontline platinum-
155 based chemotherapy vs chemotherapy followed by observation in patients with previously
156 untreated EOC.

157

158 **Methods**

159 *Study design and participants*

160 JAVELIN Ovarian 100 was a global, open-label, three-arm parallel, phase 3 trial at 159
161 hospitals and cancer treatment centres in 25 countries (Bulgaria, Canada, Croatia, Estonia,
162 Germany, Hong Kong, Hungary, Ireland, Italy, Japan, Republic of Korea, Latvia, Mexico,

163 Netherlands, Poland, Romania, Russia, Singapore, Slovakia, Switzerland, Taiwan, Turkey,
164 Ukraine, UK, and USA). Eligible patients were aged ≥ 18 years (≥ 20 years in Japan); had
165 previously untreated, histologically confirmed, stage III–IV (per the American Joint
166 Committee on Cancer and Union for International Cancer Control TNM cancer staging
167 system and the International Federation of Gynaecology and Obstetrics Staging System
168 2014 edition) epithelial ovarian, fallopian tube, or primary peritoneal cancer (including
169 malignant mixed Müllerian tumours with a high-grade serous component); and had received
170 debulking surgery or were candidates for neoadjuvant chemotherapy. Patients enrolled prior
171 to initiation of neoadjuvant chemotherapy underwent interval debulking surgery after 3 cycles
172 of chemotherapy (\pm avelumab) and then completed the remaining 3 cycles post-surgery.
173 Other eligibility criteria included Eastern Cooperative Oncology Group performance status of
174 0 or 1; estimated life expectancy > 3 months; adequate haematologic (absolute neutrophil
175 count $\geq 1.5 \times 10^9$ per L, haemoglobin ≥ 9 g per dL, and platelet count $\geq 100 \times 10^9$ per L), hepatic
176 (aspartate and alanine aminotransferase concentrations $\leq 2.5 \times$ upper limit of normal and total
177 bilirubin concentration $\leq 1.5 \times$ upper limit of normal), and renal (creatinine clearance ≥ 50
178 mL/min according to the Cockcroft-Gault equation) function; and negative pregnancy test
179 and use of effective contraception (women of childbearing potential). All patients were
180 required to have an archival formalin-fixed, paraffin-embedded tumour tissue block or a
181 minimum of 15 tumour slides. If archived tissue was not available, a de novo (ie, fresh)
182 tumour sample was obtained. Exclusion criteria included nonepithelial tumour or tumour with
183 low malignant potential (ie, borderline tumour); mucinous tumours; cancer for which
184 bevacizumab was identified as a clinically beneficial frontline treatment; planned
185 intraperitoneal chemotherapy; prior treatment with a T-cell–targeting immune checkpoint
186 inhibitor; known brain, leptomeningeal, or spinal cord metastases; other cancer diagnosis
187 within 5 years; known hypersensitivity to monoclonal antibodies, carboplatin, or paclitaxel;
188 and serious cardiovascular disease or other severe medical condition. Full eligibility criteria
189 are listed in the appendix.

190 The trial was conducted in accordance with the ethics principles of the Declaration of
191 Helsinki and the International Council on Harmonisation guidelines on Good Clinical
192 Practice. The protocol was approved by the institutional review board or ethics committee of
193 each centre. All patients (or their legal representatives) provided written informed consent
194 before enrolment.

195 *Randomisation and masking*

196 Patients were enrolled by study investigators and were centrally randomized (1:1:1) via
197 interactive response technology to receive either chemotherapy (6 cycles; carboplatin plus
198 paclitaxel) followed by avelumab maintenance, chemotherapy plus avelumab followed by
199 avelumab maintenance, or chemotherapy followed by observation (stratified permuted block
200 randomisation with a block size of six). Randomisation was stratified by paclitaxel regimen
201 (weekly [QW] vs every 3 weeks [Q3W]) and resection status (residual tumour;
202 complete/microscopic vs incomplete ≤ 1 cm vs incomplete > 1 cm vs neoadjuvant). The trial
203 was open label, although patients and investigators were blinded to assignment to the two
204 chemotherapy arms without avelumab at time of randomisation until completion of the
205 chemotherapy phase. The sponsor and BICR committee (third party) remained blinded to
206 treatment assignments until after study termination.

207 *Procedures*

208 Avelumab was administered at a dose of 10 mg/kg by 1-hour intravenous (IV) infusion Q3W
209 in the chemotherapy phase and 10 mg/kg every 2 weeks in the maintenance phase.
210 Chemotherapy regimens consisted of 6 cycles of either paclitaxel 80 mg/m² by 1-hour IV
211 infusion QW or 175 mg/m² by 3-hour IV infusion Q3W (investigators choice; once selected,
212 dosage was not changed for the study duration) plus carboplatin area under the serum-
213 concentration-time curve (AUC) 5 or 6 by 1-hour IV infusion Q3W. Carboplatin was
214 administered 1 hour after completing the paclitaxel infusion. Premedication with an
215 antihistamine (eg, oral or IV diphenhydramine 25–50 mg or equivalent) and paracetamol

216 (acetaminophen; eg, oral or IV paracetamol 500–650 mg or equivalent) was mandatory 30–
217 60 minutes prior to each avelumab infusion. Premedications to minimise toxicities related to
218 chemotherapy were administered according to local guidelines. For avelumab, no dose
219 reductions were permitted; carboplatin and/or paclitaxel doses could be reduced following
220 significant toxicity based on investigator judgment.

221 After completing the chemotherapy phase, if the patient had experienced stable disease or a
222 partial or complete response, they entered the maintenance phase. Treatment was given
223 until disease progression (assessed by investigator but confirmed by blinded independent
224 central review [BICR]), unacceptable toxicity, or withdrawal (potential reasons leading to
225 withdrawal included global deterioration of health status, pregnancy, significant protocol
226 deviation, patient refusal, loss to follow up, termination of the study by the sponsor, or death;
227 appendix, p 26); avelumab could be continued while awaiting confirmation of disease
228 progression based on the investigator's clinical judgment. Treatment in the maintenance
229 phase was received for a maximum of 24 months (excluding the chemotherapy phase).
230 Patients who discontinued (or, if receiving observation maintenance, reached the end of
231 treatment or withdrew) were followed every 12 weeks until death or end of study. Crossover
232 between study arms was not permitted.

233 Tumours were assessed by computed tomography or magnetic resonance imaging at
234 baseline, after 3 cycles of chemotherapy, and at completion of chemotherapy to determine
235 eligibility for maintenance. Patients who underwent interval debulking surgery were required
236 to have an additional tumour assessment after surgery. Assessments were performed every
237 12 weeks in the maintenance phase until confirmed disease progression, irrespective of
238 subsequent anticancer therapy. Objective tumour response was evaluated per Response
239 Evaluation Criteria in Solid Tumours (RECIST) version 1.1 based on BICR. Complete and
240 partial responses and progressive disease were confirmed by repeated imaging performed
241 ≥ 4 weeks after initial documentation. Blood samples were taken at each trial visit (QW for
242 those receiving paclitaxel QW) for haematology and day 1 of each cycle in the

243 chemotherapy phase and Q2W in the maintenance phase for other routine laboratory
244 analyses, including core serum chemistry and haemostaseology. Urine samples were taken
245 at screening and on day 1 of each cycle in the maintenance phase for urinalysis.
246 Adrenocorticotrophic hormone, free thyroxine, and thyroid-stimulating hormone
247 concentrations were tested at screening, on day 1 of each odd cycle of the chemotherapy
248 phase, day 1 of the third cycle of the maintenance phase, and then every 12 weeks
249 thereafter while on treatment. Adverse events (AEs) and laboratory abnormalities were
250 graded according to the US National Cancer Institute's Common Terminology Criteria for
251 Adverse Events version 4.03. Immune-related AEs and infusion-related reactions were
252 identified using a prespecified list of terms in the Medical Dictionary for Regulatory Activities.

253 PD-L1 expression was assessed in pretreatment tissue samples using an
254 immunohistochemical assay based on the SP263 (Ventana Medical Systems) antibody.
255 Selection of the PD-L1 cutoff was based on post hoc analyses of several scoring algorithms
256 and cutoffs from the JAVELIN Ovarian 200 trial, and the optimal cutoff for predicting
257 improved activity for the combination of avelumab and chemotherapy was selected. A
258 sample was considered PD-L1+ if the percentage of tumour cells expressing membranous
259 PD-L1 was $\geq 1\%$ and/or the percentage of tumour area populated by PD-L1+ immune cells
260 was $\geq 5\%$.

261 An external data monitoring committee was established to review safety and efficacy data
262 from the trial.

263 *Outcomes*

264 The primary endpoint was PFS by BICR (defined as the time from randomisation to the date
265 of the first documented disease progression per RECIST 1.1 or death due to any cause,
266 whichever occurred first). Secondary endpoints included OS (defined as the time from
267 randomisation to the date of death due to any cause); PFS by investigator assessment per
268 RECIST 1.1; objective response, duration of response, and maintenance PFS (defined in

269 patients who did not have disease progression by BICR during the chemotherapy phase and
270 entered maintenance phase as the time from initiating maintenance treatment to the date of
271 first documented disease progression per RECIST 1.1 or death due to any cause, whichever
272 occurred first); pathological complete response; PFS2 (defined as the time from
273 randomisation to start of second subsequent treatment after objective disease progression,
274 or death due to any cause, whichever occurred first); PFS by Gynecological Cancer
275 Intergroup (GCIG) criteria; safety and tolerability; pharmacokinetic parameters;
276 immunogenicity of avelumab; tumour biomarker assessments (including, but not limited to,
277 PD-L1 expression); and patient-reported outcomes. PFS2 and PFS by GCIG criteria are not
278 reported in this manuscript because the required assessments were not completed after the
279 early termination of the trial. Pharmacokinetic parameters, immunogenicity, and patient-
280 reported outcomes are not reported in this manuscript because it focuses on the clinical
281 aspects of the trial and because these analyses had limited relevance given that the trial
282 failed to meet its primary endpoints. Additional biomarker analyses are ongoing and are not
283 presented in this manuscript.

284 *Statistical analysis*

285 The trial aimed to demonstrate superiority of avelumab in combination with and/or following
286 chemotherapy in prolonging PFS compared with the control arm who received
287 chemotherapy followed by observation in all randomised patients (analysed by intention-to-
288 treat). Two independent and adequately powered comparisons were performed:
289 chemotherapy followed by avelumab vs control and avelumab plus chemotherapy followed
290 by avelumab vs control. The study used a two-look group-sequential design with a Lan-
291 DeMets (O'Brien-Fleming) α -spending function to determine the efficacy boundary and a
292 gamma family β -spending function to determine the nonbinding futility boundary, with one
293 planned interim and one final analysis based on the primary endpoint. The overall type I
294 error rate was maintained at or below a 1-sided significance level of 0.025 by allocating an α
295 level of 0.0125 to both PFS comparisons; a fraction of alpha (0.0022) for efficacy was

296 planned to be spent at the interim analysis. For each PFS comparison, it was estimated that
297 272 events within each comparison would provide the trial with 90% power to detect a
298 hazard ratio (HR) of 0.65 using a 1-sided log-rank test. An interim analysis was planned after
299 approximately 181 (67%) of 272 events for each PFS comparison had occurred. This
300 manuscript reports efficacy results from the interim analysis (data cutoff date: Sept 7, 2018)
301 and updated safety data and analyses based on baseline PD-L1 status from an additional
302 later cutoff (May 16, 2019).

303 Efficacy was analysed in all patients who were randomised to study treatment, and safety
304 was analysed in all patients who received at least one dose of study treatment. Time-to-
305 event endpoints were estimated using the Kaplan-Meier method, and 95% CIs for the
306 median were calculated using the Brookmeyer-Crowley method. Duration of follow-up was
307 estimated using the reverse Kaplan-Meier method.²³ The Cox proportional hazards model
308 was used to calculate HRs and corresponding 95% CIs for PFS (including prespecified
309 subgroup analyses [randomization stratification factors, age, race, ethnicity, pooled
310 geographic region, *BRCA 1/2* mutation status, disease stage, ECOG PS, CA-125, and PD-
311 L1 status]) and OS analyses. PFS and OS were analysed using a 1-sided stratified log-rank
312 test. Objective response rates and rates of pathological complete response were calculated
313 for each treatment arm, along with 2-sided 95% CIs using the Clopper-Pearson method.
314 Statistical analyses were performed in SAS (version 9.4). This study is registered with
315 ClinicalTrials.gov, number NCT02718417.

316 *Role of the funding source*

317 The trial was sponsored by Pfizer as part of an alliance between Pfizer and Merck KGaA,
318 Darmstadt, Germany. The sponsors provided the study drugs, worked with a study steering
319 committee to design the trial and collect, analyse, and interpret the data, and provided
320 funding for a professional medical writer with access to the data. All authors had access to
321 the data reported and the lead and senior authors (BJM and JAL) and co-authors who were
322 employees of the sponsor (RAS, XZ, JPS, and CL) had access to the raw data. All authors

323 contributed to subsequent drafts and provided final approval to submit the manuscript for
324 publication.

325

326 **Results**

327 Between May 19, 2016 and Jan 23, 2018, 998 patients were enrolled and randomly
328 assigned: 332 to chemotherapy followed by avelumab maintenance (referred to as the
329 avelumab maintenance arm), 331 to avelumab plus chemotherapy followed by avelumab
330 maintenance (referred to as the avelumab combination arm), and 335 to chemotherapy
331 followed by observation (control arm; figure 1). Baseline characteristics were well balanced
332 across the three arms (table 1). At the planned interim analysis (data cutoff date: Sept 7,
333 2018), both avelumab arms had crossed prespecified futility boundaries. The trial was
334 stopped due to futility of efficacy in alignment with the recommendation of both the
335 Independent Data Monitoring Committee and the Protocol Steering Committee. Among
336 treated patients, nearly all received premedication with systemic corticosteroids (328 [100%]
337 of 328 in the avelumab maintenance arm, 327 [99%] of 329 in the avelumab combination
338 arm, and 333 [>99%] of 334 in the control arm).

339 In the analysis of all randomised patients, median duration of follow-up for PFS was 10·8
340 months (IQR 7·1–14·9) for all patients, 11·1 months (interquartile range [IQR] 7·0–15·3) for
341 the avelumab maintenance arm; 11·0 months (IQR 7·4–14·5) for the avelumab combination
342 arm; and 10·2 months (IQR 6·7–14·0) for the control arm. In the chemotherapy phase, as of
343 May 16, 2019, 328 patients had received treatment in the avelumab maintenance arm, 329
344 patients in the avelumab combination arm, and 334 patients in the control arm. Median
345 duration of treatment for all study drugs in the chemotherapy phase was ≥ 19 weeks. Most
346 patients (>80%) completed the chemotherapy phase. In the avelumab maintenance arm,
347 275 (83%) and 280 (84%) patients completed assigned paclitaxel and carboplatin treatment,
348 respectively; in the avelumab combination arm, 284 (86%), 281 (85%), and 290 (88%)

349 patients completed assigned avelumab, paclitaxel, and carboplatin treatment, respectively;
350 and in the control arm, 279 (83%) and 289 (86%) patients completed assigned paclitaxel and
351 carboplatin treatment, respectively. The most common reasons for treatment discontinuation
352 during the chemotherapy phase were AE, withdrawal by patient, and progressive disease
353 (figure 1). In the maintenance phase, 265 and 279 patients were treated with avelumab in
354 the maintenance and combination arms, respectively. Median duration of avelumab
355 treatment in these arms was 35.7 weeks (IQR 21.9–52.0) and 36.0 weeks (IQR 23.9–52.9),
356 respectively. The most common reason for treatment discontinuation in all three arms in the
357 maintenance phase was study termination (114 patients [34%] in the avelumab maintenance
358 arm, 140 patients [42%] in the avelumab combination arm, and 135 patients [40%] in the
359 control arm), and as of May 16, 2019, no patient remained on study.

360 Analysis of all efficacy endpoints was based on BICR unless otherwise specified. As of Sept
361 7, 2018, a PFS event had occurred in 99 (30%) of 332 patients in the avelumab
362 maintenance arm, 88 (27%) of 331 patients in the avelumab combination arm, and 70 (21%)
363 of 335 patients in the control arm. The stratified HR for PFS vs control was 1.43 (95% CI
364 1.051–1.946; 1-sided p=0.99) with avelumab maintenance and 1.14 (95% CI 0.832–1.565;
365 1-sided p=0.79) with avelumab combination. Median PFS was 16.8 months (95% CI 13.5 to
366 not estimable [NE]; IQR 9.8–NE) in the avelumab maintenance arm, 18.1 months (95% CI
367 14.8–NE; IQR 11.1–NE) in the avelumab combination arm, and NE (95% CI 18.2 months to
368 NE; IQR 10.8 to NE) in the control arm (figure 2). Prespecified exploratory subgroup
369 analyses of PFS based on patient and disease characteristics showed similar results (figure
370 3). The stratified HR for PFS by investigator vs control was 1.21 (95% CI 0.935–1.578; 1-
371 sided p=0.93) with avelumab maintenance and 0.90 (95% CI 0.688–1.189; 1-sided p=0.24)
372 with avelumab combination. Median PFS by investigator assessment was 13.8 months (95%
373 CI 12.1–15.9) in the avelumab maintenance arm, 16.1 months (95% CI 13.9–19.4) in the
374 avelumab combination arm, and 15.0 months (95% CI 13.2–18.7) in the control arm.
375 Maintenance PFS was assessed in patients who had not experienced disease progression

376 in the chemotherapy phase and subsequently entered the maintenance phase; this
377 comprised 248 patients in the avelumab maintenance arm, 267 patients in the avelumab
378 combination arm, and 247 in the control arm; median maintenance PFS was 13.6 months
379 (95% CI 9.3–NE), 13.8 months (95% CI 11.1–NE), and NE (95% CI 13.8 months to NE),
380 respectively. The stratified HR for maintenance PFS vs control was 1.56 (95% CI 1.078–
381 2.267; 1-sided p=0.99) with avelumab maintenance and 1.26 (95% CI 0.862–1.847; 1-sided
382 p=0.89) with avelumab combination.

383 OS data were not mature at the time of the interim analysis, with a total of only 54 deaths
384 across the three arms (20 [6%] in the avelumab maintenance arm, 21 [6%] in the avelumab
385 combination arm, and 13 [4%] in the control arm). Median follow-up for OS was 12.4 months
386 (IQR 9.0–15.9) for all patients, 12.6 months (IQR 9.1–16.0) in the avelumab maintenance
387 arm, 12.6 months (IQR 9.5–16.1) in the avelumab combination arm, and 11.8 months (IQR
388 8.5–15.6) in the control arm. OS results are shown in appendix p 21. Response data are
389 summarised in appendix, p 9. Interval debulking surgery after neoadjuvant treatment was
390 received by 108 patients in the avelumab maintenance arm, 115 patients in the avelumab
391 combination arm, and 116 patients in the control arm. Pathological complete response
392 occurred in 17 (16% [95% CI 12–29]), 20 (17% [95% CI 13–30]), and 30 patients (26% [95%
393 CI 21–40]), respectively.

394 In prespecified analyses (data cutoff, May 16, 2019), the predictive role of tumour PD-L1
395 status was assessed in 813 evaluable patients (appendix p 22). Tumours were PD-L1+ in
396 487 patients (60%), with a mixture of staining patterns observed, including PD-L1+ immune
397 cells only in 218 (27%), PD-L1+ tumour cells only in 73 (9%), and PD-L1+ tumour and
398 immune cells in 196 (24%). For the PD-L1 subgroups, PFS by BICR and by investigator
399 assessment are shown in the appendix, p 22 and 24.

400 No new safety signals were observed for avelumab administered as maintenance or in
401 combination with chemotherapy. As of May 16, 2019, treatment-emergent AEs of any grade
402 or causality occurred in 323 (98%) of 328 patients in the avelumab maintenance arm, 328

403 (>99%) of 329 patients in the avelumab combination arm, and 321 (96%) of 334 patients in
404 the control arm (table 2 and appendix, p 12). The most common any grade AEs ($\geq 30\%$ in all
405 arms) were alopecia, anaemia, nausea, neutropenia, and fatigue. AEs that differed by $>5\%$
406 between arms (avelumab maintenance, avelumab combination, and control arms,
407 respectively) were constipation (35%, 31%, and 29%), vomiting (27%, 24%, and 20%),
408 diarrhoea (26%, 31%, and 19%), arthralgia (23%, 26%, and 17%), myalgia (20%, 16%, and
409 13%), neutrophil count decreased (18%, 16%, and 22%), and rash (18%, 20%, and 7%).
410 Grade 3–5 AEs occurred in 223 (68%), 238 (72%), and 210 patients (63%), respectively.
411 The most common grade ≥ 3 AEs ($\geq 10\%$ of patients in all arms) were anaemia, neutropenia,
412 and neutrophil count decreased. No grade ≥ 3 AEs differed by $>5\%$ between arms. Serious
413 AEs of any grade occurred in 92 patients (28%) in the avelumab maintenance arm, 118
414 patients (36%) in the avelumab combination arm, and 64 patients (19%) in the control arm.
415 Grade 3–5 serious AEs occurred in 72 (22%), 93 (28%), and 48 patients (14%), respectively.
416 In the avelumab maintenance, avelumab combination, and control arms, AEs led to
417 discontinuation of any study drug in 42 (13%), 63 (19%), and 24 patients (7%), and resulted
418 in death in 5 (2%; pulmonary embolism [n=2], disease progression [n=1], atrial fibrillation
419 [n=1], and embolism [n=1]), 6 (2%; disease progression [n=3], multiple organ dysfunction
420 syndrome [n=1], perforation [n=1], cardiopulmonary failure [n=1], small intestinal obstruction
421 [n=1], and abdominal abscess [n=1]), and 3 (1%; death from unspecified cause [n=1],
422 malignant neoplasm progression [n=1], and pulmonary embolism [n=1]), respectively. Dose
423 reductions are detailed in the appendix p 11. Treatment-related AEs (TRAEs) of any grade
424 occurred in 315 patients (96%) in the avelumab maintenance arm, 324 patients (98%) in the
425 avelumab combination arm, and 318 patients (95%) in the control arm. Grade 3–5 TRAEs
426 occurred in 175 (53%), 205 (62%), and 186 patients (56%), respectively. In the avelumab
427 maintenance, avelumab combination, and control arms, serious TRAEs occurred in 43
428 (13%), 62 (19%), and 29 patients (9%), respectively; the most common ($\geq 2\%$ patients) were
429 febrile neutropenia (10 [3%]), anaemia (5 [2%]), and vomiting (5 [2%]) in the avelumab
430 maintenance arm, febrile neutropenia (7 [2%]), anaemia (7 [2%]), vomiting (7 [2%]),

431 thrombocytopenia (5 [2%]), and nausea (5 [2%]) in the avelumab combination arm, and
432 febrile neutropenia (7 [2%]) in the control arm. TRAEs led to discontinuation of any study
433 drug in 35 patients (11%) in the avelumab maintenance arm, 53 patients (16%) in the
434 avelumab combination arm, and 21 patients (6%) in the control arm; the most common
435 reasons (≥ 3 patients) were diarrhoea (4 [1%]), peripheral neuropathy (3 [1%]), and anaemia
436 (3 [1%]) in the avelumab maintenance arm, infusion-related reaction (5 [2%]), alanine
437 aminotransferase increased (4 [1%]), thrombocytopenia (4 [1%]), neutrophil count decreased
438 (3 [1%]), platelet count decreased (3 [1%]), peripheral neuropathy (3 [1%]), and peripheral
439 sensory neuropathy (3 [1%]) in the avelumab combination arm, and peripheral sensory
440 neuropathy (5 [1%]) and peripheral neuropathy (3 [1%]) in the control arm. Treatment-related
441 deaths occurred in 1 patient ($<1\%$) in the avelumab maintenance arm (atrial fibrillation) and
442 1 patient ($<1\%$) in the avelumab combination arm (disease progression). In the safety
443 analyses, the total number of deaths in treated patients irrespective of relationship to study
444 treatment was 34 (10%) of 328 patients in the avelumab maintenance arm, 31 (9%) of 329 in
445 the avelumab combination arm, and 20 (6%) of 334 in the control arm; reasons included
446 disease progression (29 [9%], 26 [8%], and 17 [5%], respectively), AE not related to study
447 treatment (5 [2%], 8 [2%], 2 [1%]), study treatment toxicity (1 [$<1\%$], 0, 0), other (2 [1%], 3
448 [1%], 1 [$<1\%$]), and unknown reasons (1 [$<1\%$], 2 [1%], 3 [1%]).

449 As of Sept 7, 2018, in the avelumab maintenance, avelumab combination, and control arms,
450 immune-related AEs of any grade occurred in 53 (16%), 92 (28%), and 0 patients,
451 respectively (appendix p 20). In the avelumab maintenance and avelumab combination
452 arms, grade 3–5 immune-related AEs occurred in 10 (3%) and 24 patients (7%),
453 respectively, and led to discontinuation of any study drug in 8 (2%) and 19 patients (6%). No
454 deaths were attributed to immune-related AEs. Infusion-related reactions of any grade
455 occurred in 58 patients (18%) in the avelumab maintenance arm, 65 patients (20%) in the
456 avelumab combination arm, and 44 patients (13%) in the control arm (appendix p 20). Grade
457 3–5 infusion-related reactions occurred in 2 (1%), 6 (2%), and 6 (2%) patients, respectively.

458 Discontinuation of any study drug due to infusion-related reactions occurred in 3 (1%), 7
459 (2%), and 4 (1%) patients, respectively.

460

461 **Discussion**

462 The JAVELIN Ovarian 100 trial did not meet either of its two primary objectives of improving
463 PFS with avelumab in combination with and/or following chemotherapy vs chemotherapy
464 followed by observation. At interim analysis, both avelumab arms had crossed prespecified
465 futility boundaries and the trial was stopped. HRs for PFS favoured the control arm,
466 indicating an observed detrimental effect in both avelumab arms. OS data were immature.
467 No benefit was observed in either experimental arm compared with the control arm in terms
468 of objective response rate, maintenance PFS, or pathological complete response. The safety
469 profile of avelumab administered in combination with chemotherapy and/or as maintenance
470 therapy was broadly similar to chemotherapy alone, with slight increases in a small number
471 of AEs and occurrence of low rates of immune-related AEs, consistent with the known safety
472 profile of avelumab monotherapy.²⁴ No new safety signals were identified.

473 Exploratory subgroup analyses based on baseline characteristics and stratification factors
474 did not identify subsets of patients with clear PFS benefit in either avelumab arm.
475 Additionally, PD-L1 status also did not predict benefit with avelumab treatment, either as
476 maintenance therapy or in combination with chemotherapy, which is in contrast with findings
477 from the phase 3 JAVELIN Ovarian 200 trial of avelumab as monotherapy or in combination
478 with PLD vs PLD alone in patients with platinum-resistant or platinum-refractory EOC.²²
479 Although the JAVELIN Ovarian 200 trial failed to meet its primary objectives of significantly
480 improving PFS or OS in the overall population, biomarker analyses indicated that PD-L1
481 status may predict benefit with avelumab plus PLD vs PLD alone. The absence of a potential
482 predictive effect for PD-L1 status in the current trial may be due to the differences in tumour
483 biology or microenvironment or different immunological effects of chemotherapies^{25,26}

484 administered in patients with previously untreated EOC vs platinum-resistant/refractory EOC,
485 and as result, tumours that have recurred after frontline chemotherapy and are PD-L1+ may
486 be more sensitive to subsequent combination treatment with chemotherapy and immune
487 checkpoint inhibitors than PD-L1+ treatment-naive tumours.

488 No pharmacokinetic interactions were expected between paclitaxel and carboplatin and
489 avelumab because these agents have distinct clearance pathways. In patient assessments,
490 exposure to carboplatin and paclitaxel was similar irrespective of administration of avelumab;
491 however, because of study design limitations and observed high variability, no conclusions
492 about the effect of carboplatin and paclitaxel on exposure to avelumab could be drawn (data
493 not shown).

494 It has been reported recently that a phase 3, randomised trial of a different anti-PD-L1
495 antibody, atezolizumab, administered with bevacizumab, paclitaxel, and carboplatin in
496 patients with newly diagnosed advanced EOC (IMagyn050) also failed to meet one of its
497 primary endpoints of improved PFS vs bevacizumab, paclitaxel, and carboplatin.²⁷ Data for
498 the other primary endpoint of OS are immature, and follow-up is ongoing. Results from our
499 trial and IMagyn050 suggest that the addition of an immune checkpoint inhibitor to frontline
500 chemotherapy does not improve efficacy in an unselected population. The negative outcome
501 of our trial was unexpected and there is no obvious explanation for these results. Several
502 other phase 3 studies investigating the activity of immune checkpoint inhibitors in
503 combination with chemotherapy, bevacizumab, and/or poly-ADP ribose polymerase (PARP)
504 inhibitors in the frontline advanced EOC setting are in progress, including durvalumab (anti-
505 PD-L1) plus chemotherapy and bevacizumab followed by durvalumab plus bevacizumab and
506 olaparib (PARP inhibitor) maintenance (DUO-O; NCT03737643); dostarlimab (anti-PD-1)
507 plus chemotherapy and niraparib (PARP inhibitor; FIRST/ENGOT-0V44; NCT03602859),
508 and pembrolizumab plus chemotherapy followed by olaparib (KEYLYNK-001/ENGOT-OV43;
509 NCT03740165). It is hoped these ongoing trials will provide further clarity on whether
510 immune checkpoint inhibitors have any role in the frontline treatment of patients with EOC.

511 This trial had several limitations. Firstly, no predictive biomarkers were available to aid
512 patient selection for the trial. Secondly, baseline data on *BRCA* status were not
513 systematically collected during the trial, therefore, the association between *BRCA* status and
514 outcomes could not be evaluated. Patients were not assessed for homologous
515 recombination deficiency, which has recently become a biomarker of interest for the
516 treatment of patients with EOC using other agents. Additionally, data on second-line
517 therapies were not collected in most patients because the trial was terminated at the interim
518 analysis. Lastly, longer-term efficacy data was not obtained because, when the trial was
519 stopped after the interim analysis, maintenance treatment was discontinued and long-term
520 follow-up was not performed, consistent with the recommendations of the Independent Data
521 Monitoring Committee.

522 In conclusion, the JAVELIN Ovarian 100 trial showed that avelumab as maintenance or in
523 combination with chemotherapy did not improve PFS in patients with previously untreated
524 EOC compared with chemotherapy alone.

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531 **Data sharing statement**

532 Upon request, and subject to certain criteria, conditions and exceptions (see
533 <https://www.pfizer.com/science/clinical-trials/trial-data-and-results> for more information),
534 Pfizer will provide access to individual de-identified participant data from Pfizer-sponsored
535 global interventional clinical studies conducted for medicines, vaccines and medical devices
536 (1) for indications that have been approved in the US and/or EU or (2) in programs that have
537 been terminated (i.e., development for all indications has been discontinued). Pfizer will also
538 consider requests for the protocol, data dictionary, and statistical analysis plan. Data may be
539 requested from Pfizer trials 24 months after study completion. The de-identified participant
540 data will be made available to researchers whose proposals meet the research criteria and
541 other conditions, and for which an exception does not apply, via a secure portal. To gain
542 access, data requestors must enter into a data access agreement with Pfizer.

543 **Contributions**

544 BJM, MJB, RAS, JAL contributed to study design. BJM, NC, AMO, KF, MJB, LR, EVP, GS,
545 YVS, MCL, SMB, JS, KY, RAS, CL, JAL contributed to data collection. BJM, RAS, XZ, CL
546 contributed to data analysis. BJM, AMO, LR, RAS, XZ, JPS, CL, JAL contributed to data
547 interpretation. BJM, XZ, and JAL accessed and verified the data. All authors contributed to
548 manuscript writing.

549 **Disclosures**

550 BJM reports receiving honoraria from and serving as a consultant or advisor for Agenus,
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584 RAS reports employment at Pfizer at the time when the study was conducted and owns
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586 XZ reports employment at and owns stock in Pfizer.

587 JPS reports employment at and owns stock in Pfizer.

588 CL reports employment at Pfizer and owns stock in Eli Lilly and Pfizer.

589 JAL reports receiving honoraria from AstraZeneca, GSK, and Pfizer; and is the Vice
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670

671 **FIGURE LEGENDS**

672 **Figure 1. Trial profile at the updated safety data cutoff date (May 16, 2019).** Because
673 both avelumab arms had crossed prespecified futility boundaries, the trial was stopped due
674 to futility of efficacy in alignment with the recommendation of both the Independent Data
675 Monitoring Committee and the Protocol Steering Committee.

676 **Figure 2. Progression-free survival.** HR=hazard ratio. NE=not evaluable. * 1-sided log-
677 rank test. Data cutoff: Sept 7, 2018.

678 **Figure 3. Forest plots: progression-free survival in baseline subgroups for (A)**
679 **chemotherapy followed by avelumab and (B) chemotherapy plus avelumab followed**
680 **by avelumab, each vs chemotherapy followed by observation.** ECOG PS=Eastern
681 Cooperative Oncology Group performance score. HR=hazard ratio. QW=every week.
682 Q3W=every 3 weeks. ULN=upper limit of normal. Except for the primary analysis (all
683 patients), which was stratified according to randomisation stratification factors, all other
684 analyses presented were unstratified. Data for subgroups defined by ethnicity are not
685 reported because >95% of the patient population were non-Hispanic/Latino. Data cutoff:
686 Sept 7, 2018.

687

688

1 **JAVELIN Ovarian 100 primary manuscript**

2 **Title:** Avelumab in combination with and/or following chemotherapy versus chemotherapy
 3 alone in patients with previously untreated epithelial ovarian cancer (JAVELIN Ovarian 100):
 4 results from a randomised phase 3 trial terminated at interim analysis.

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16 manuscript.

17 [†]At the time the study was conducted.

18

19 **Abstract**

20 **Background:** Although most patients with epithelial ovarian cancer (EOC) respond to
21 frontline platinum-based chemotherapy, the majority will relapse within 3 years. The phase 3
22 JAVELIN Ovarian 100 trial compared avelumab (anti-PD-L1) in combination with and/or
23 following chemotherapy vs chemotherapy alone in patients with treatment-naive EOC.

24 **Methods:** Eligible women aged ≥ 18 years with stage III–IV epithelial ovarian, fallopian tube,
25 or peritoneal cancer (post-debulking/cytoreductive surgery or candidates for neoadjuvant
26 chemotherapy) and an Eastern Cooperative Oncology Group performance status of 0 or 1
27 were randomised (1:1:1) via interactive response technology to receive chemotherapy (6
28 cycles; carboplatin AUC 5 or 6 intravenously [IV] every 3 weeks [Q3W] plus paclitaxel 175
29 mg/m^2 Q3W or 80 mg/m^2 weekly [investigators' choice]) followed by avelumab maintenance
30 (10 mg/kg IV every 2 weeks [Q2W]), chemotherapy plus avelumab (10 mg/kg IV Q3W)
31 followed by avelumab maintenance (10 mg/kg IV Q2W), or chemotherapy followed by
32 observation (control). Randomization was stratified by paclitaxel regimen (weekly vs Q3W)
33 and resection status (residual tumour; complete/microscopic vs incomplete ≤ 1 cm vs
34 incomplete > 1 cm vs neoadjuvant). The primary endpoint was progression-free survival
35 (PFS) by blinded independent central review in all randomised patients (analysed by
36 intention-to-treat). This trial is registered with ClinicalTrials.gov, number NCT02718417. The
37 trial was fully enrolled and terminated at interim analysis for futility and efficacy is no longer
38 being assessed. Results are reported from the interim analysis, which is the only analysis of
39 the primary endpoint.

40 **Findings:** Between May 19, 2016 and Jan 23, 2018, 998 patients were randomised. At the
41 planned interim analysis (data cutoff Sept 7, 2018), PFS was not improved in either
42 avelumab arm vs control, prespecified futility boundaries were crossed, and the trial was
43 stopped as recommended by the Independent Data Monitoring Committee. Median duration
44 of follow-up for PFS was 10.8 months (IQR 7.1–14.9) for all patients, 11.1 months
45 (interquartile range [IQR] 7.0–15.3) for chemotherapy followed by avelumab; 11.0 months

46 (IQR 7.4–14.5) for chemotherapy plus avelumab followed by avelumab; and 10.2 months
47 (IQR 6.7–14.0) for the control arm. Hazard ratios (95% CI) for PFS vs control were 1.43
48 (1.051–1.946) for chemotherapy followed by avelumab and 1.14 (0.832–1.565) for
49 chemotherapy plus avelumab followed by avelumab. Median PFS (95% CI) was 16.8
50 months (13.5 to not estimable [NE]) with chemotherapy followed by avelumab, 18.1 months
51 (14.8–NE) with chemotherapy plus avelumab followed by avelumab, and NE (18.2 months
52 to NE) with control. No new safety signals were observed. In the chemotherapy followed by
53 avelumab, chemotherapy plus avelumab followed by avelumab, and control arms, grade ≥ 3
54 treatment-emergent adverse events occurred in 68%, 72%, and 63%, respectively. The most
55 common grade 3–4 adverse events ($\geq 10\%$ of patients) were anaemia (69 [21%] in the
56 chemotherapy followed by avelumab arm, 63 [19%] in the chemotherapy plus avelumab
57 followed by avelumab arm, 53 [16%] in the control arm), neutropenia (91 [28%], 99 [30%], 88
58 [26%]), and neutrophil count decreased (49 [15%], 45 [14%], 59 [18%]). In the chemotherapy
59 followed by avelumab, chemotherapy plus avelumab followed by avelumab, and control
60 arms, serious adverse events occurred in 92 (28%), 118 (36%), and 64 patients (19%),
61 respectively. Treatment-related deaths occurred in 1 patient (<1%) in the chemotherapy
62 followed by avelumab arm (atrial fibrillation) and 1 patient (<1%) in the chemotherapy plus
63 avelumab followed by avelumab arm (disease progression).

64 **Interpretation:** This trial did not meet its primary objectives of significantly improving PFS
65 with frontline avelumab in combination with and/or following chemotherapy vs chemotherapy
66 alone in advanced EOC. Results do not support the use of avelumab in the frontline
67 treatment setting.

68 **Funding:** Pfizer and Merck KGaA, Darmstadt, Germany.

69 **Research in context**

70 *Evidence before this study*

71 Platinum-based chemotherapy administered before or after debulking surgery is the current
72 standard-of-care frontline treatment for patients with advanced epithelial ovarian cancer
73 (EOC). Additionally, the anti-vascular endothelial growth factor antibody, bevacizumab, is
74 administered with chemotherapy and/or used as maintenance in some patients where
75 available. Most patients respond to initial treatment; nonetheless, approximately 70% of
76 patients will relapse within 3 years. Because immunologic activity appears to predict
77 outcomes in patients with EOC, there has been interest in investigating the use of immune
78 checkpoint inhibitors in this disease. Single-agent immune checkpoint inhibitor treatment has
79 shown limited activity in early-phase trials in patients with recurrent EOC. Combining anti-
80 PD-1/PD-L1 agents with chemotherapy has the potential to increase efficacy, as seen in
81 randomised trials in other tumours. Using immune checkpoint inhibitors as either switch or
82 continuation maintenance could therefore increase and/or prolong the benefits of frontline
83 therapy. We conducted a literature search using PubMed on May 4, 2021, using the terms
84 (“ovarian cancer” OR “epithelial ovarian cancer”) AND (“PD-1” OR “PD-L1” OR “programmed
85 death” OR “checkpoint inhibitor”) AND (“study” OR “trial”) for clinical trials of immune
86 checkpoint inhibitors in EOC published in English. We identified 15 manuscripts reporting
87 data from phase 1–3 trials in various EOC populations (5 phase 1, 1 phase 1/2, 6 phase 2,
88 and 1 phase 3 trial). No manuscripts were found that reported a clinical study of an immune
89 checkpoint inhibitor as maintenance treatment in patients with EOC. One manuscript
90 reported a phase 3 clinical study of an immune checkpoint inhibitor combined with
91 chemotherapy in the frontline setting; this study (IMagyn050) investigated the addition of
92 atezolizumab, an anti-PD-L1 antibody, to platinum-based chemotherapy and bevacizumab
93 vs placebo in patients with treatment-naive stage III/IV EOC. In this trial, the addition of

94 atezolizumab did not significantly improve progression-free survival in the overall or PD-L1+
95 populations.

96 *Added value of this study*

97 To our knowledge, JAVELIN Ovarian 100 is one of the first phase 3 trials of an immune
98 checkpoint inhibitor in patients with previously untreated EOC to be reported. The trial failed
99 to meet either of its two primary objectives of significantly improving progression-free
100 survival with chemotherapy followed by avelumab or chemotherapy plus avelumab followed
101 by avelumab vs chemotherapy followed by observation. Subgroup analyses based on
102 baseline characteristics, stratification factors, or PD-L1 status did not identify subsets with
103 clear benefit in either avelumab arm. No new safety signals were observed in either
104 avelumab arm.

105 *Implications of all the available evidence*

106 The findings from this trial suggest that the addition of an immune checkpoint inhibitor to
107 frontline chemotherapy does not improve progression-free survival in the overall population,
108 highlighting that further study is needed to determine whether immune checkpoint inhibitors
109 have a role in frontline treatment of EOC.

110 **Introduction**

111 Ovarian cancer is responsible for approximately 185,000 deaths annually worldwide.¹ More
112 than 70% of women diagnosed with ovarian cancer have advanced disease.^{2,3} Ovarian
113 cancer is a heterogenous disease; however, most tumours (approximately 90%) are
114 epithelial ovarian cancers (EOC),³ a term that also includes cancers originating from cells
115 lining the fallopian tubes and peritoneum, which are managed similarly.⁴ Current standard-
116 of-care frontline treatment for patients with advanced EOC consists of combination
117 carboplatin and paclitaxel chemotherapy before or after debulking surgery.^{3,4} Frontline
118 treatment with bevacizumab, an anti-vascular endothelial growth factor antibody, in
119 combination with chemotherapy followed by bevacizumab maintenance is approved in
120 various countries worldwide for the treatment of advanced EOC irrespective of biomarker
121 status. The addition of bevacizumab is associated with an improvement in progression-free
122 survival (PFS) but an overall survival (OS) benefit was limited to women at high risk of
123 disease recurrence.^{5,6} Currently, most patients (around 70–80%) respond to initial treatment;
124 however, median PFS ranges from 10 to 17 months,^{5,7-10} and approximately 70% of patients
125 will relapse within 3 years.³

126 Although not considered a strongly immunogenic cancer, EOC tumours are characterised by
127 immunologic activity. Programmed death ligand 1 (PD-L1), a key suppressor of T cell
128 function, is expressed on ovarian tumour cells and tumour-infiltrating lymphocytes in more
129 than half of patients.¹¹ The presence of tumour-infiltrating lymphocytes, specifically CD8+ T
130 cells, is associated with longer OS.¹² Trials of immune checkpoint inhibitors as monotherapy
131 in patients with previously treated EOC have shown limited clinical activity,¹³⁻¹⁵ prompting
132 interest in the use of immune checkpoint inhibitors in combination with established
133 treatments. Furthermore, accumulating evidence suggests that chemotherapy agents may
134 regulate antitumour immune responses. For example, cytotoxic chemotherapy can stimulate
135 tumour immunosurveillance by increasing antigen release and presentation, modifying the
136 suppressive tumour microenvironment (eg, by suppressing T regulatory cells), and

137 promoting infiltration of T cells, potentially making tumours more susceptible to immune
138 attack.^{16,17} It has also been hypothesised that DNA-damaging chemotherapies could
139 increase the mutational burden within tumours, thereby increasing the repertoire of
140 neoantigens available for tumour-directed immune responses.¹⁸ Therefore, it was
141 hypothesized that combining chemotherapy with an immune checkpoint inhibitor could
142 provide improved clinical activity by stimulating the activity of CD8+ tumour-infiltrating
143 lymphocytes and overcoming immunosuppressive mechanisms. Increased clinical activity
144 and manageable toxicity have been seen in trials of combined
145 chemotherapy/immunotherapy regimens in other tumour types;¹⁹⁻²¹ however, data in EOC
146 with similar treatment regimens are limited.

147 Avelumab, an anti-PD-L1 monoclonal antibody, showed clinical activity as monotherapy in a
148 cohort of a phase 1b study that included 125 heavily treated patients with resistant or
149 refractory EOC, with an objective response rate of 10%.¹³ However, in the phase 3 JAVELIN
150 Ovarian 200 trial, avelumab did not show superiority vs pegylated liposomal doxorubicin
151 (PLD), either as monotherapy or in combination with PLD in patients with platinum-resistant
152 or platinum-refractory EOC.²²

153 Here, we report results from the randomised, open-label, phase 3 JAVELIN Ovarian 100
154 trial, which compared avelumab in combination with and/or following frontline platinum-
155 based chemotherapy vs chemotherapy followed by observation in patients with previously
156 untreated EOC.

157

158 **Methods**

159 *Study design and participants*

160 JAVELIN Ovarian 100 was a global, open-label, three-arm parallel, phase 3 trial at 159
161 hospitals and cancer treatment centres in 25 countries (Bulgaria, Canada, Croatia, Estonia,
162 Germany, Hong Kong, Hungary, Ireland, Italy, Japan, Republic of Korea, Latvia, Mexico,

163 Netherlands, Poland, Romania, Russia, Singapore, Slovakia, Switzerland, Taiwan, Turkey,
164 Ukraine, UK, and USA). Eligible patients were aged ≥ 18 years (≥ 20 years in Japan); had
165 previously untreated, histologically confirmed, stage III–IV (per the American Joint
166 Committee on Cancer and Union for International Cancer Control TNM cancer staging
167 system and the International Federation of Gynaecology and Obstetrics Staging System
168 2014 edition) epithelial ovarian, fallopian tube, or primary peritoneal cancer (including
169 malignant mixed Müllerian tumours with a high-grade serous component); and had received
170 debulking surgery or were candidates for neoadjuvant chemotherapy. Patients enrolled prior
171 to initiation of neoadjuvant chemotherapy underwent interval debulking surgery after 3 cycles
172 of chemotherapy (\pm avelumab) and then completed the remaining 3 cycles post-surgery.
173 Other eligibility criteria included Eastern Cooperative Oncology Group performance status of
174 0 or 1; estimated life expectancy > 3 months; adequate haematologic (absolute neutrophil
175 count $\geq 1.5 \times 10^9$ per L, haemoglobin ≥ 9 g per dL, and platelet count $\geq 100 \times 10^9$ per L), hepatic
176 (aspartate and alanine aminotransferase concentrations $\leq 2.5 \times$ upper limit of normal and total
177 bilirubin concentration $\leq 1.5 \times$ upper limit of normal), and renal (creatinine clearance ≥ 50
178 mL/min according to the Cockcroft-Gault equation) function; and negative pregnancy test
179 and use of effective contraception (women of childbearing potential). All patients were
180 required to have an archival formalin-fixed, paraffin-embedded tumour tissue block or a
181 minimum of 15 tumour slides. If archived tissue was not available, a de novo (ie, fresh)
182 tumour sample was obtained. Exclusion criteria included nonepithelial tumour or tumour with
183 low malignant potential (ie, borderline tumour); mucinous tumours; cancer for which
184 bevacizumab was identified as a clinically beneficial frontline treatment; planned
185 intraperitoneal chemotherapy; prior treatment with a T-cell–targeting immune checkpoint
186 inhibitor; known brain, leptomeningeal, or spinal cord metastases; other cancer diagnosis
187 within 5 years; known hypersensitivity to monoclonal antibodies, carboplatin, or paclitaxel;
188 and serious cardiovascular disease or other severe medical condition. Full eligibility criteria
189 are listed in the appendix-(p-18).

190 The trial was conducted in accordance with the ethics principles of the Declaration of
191 Helsinki and the International Council on Harmonisation guidelines on Good Clinical
192 Practice. The protocol was approved by the institutional review board or ethics committee of
193 each centre. All patients (or their legal representatives) provided written informed consent
194 before enrolment.

195 *Randomisation and masking*

196 Patients were enrolled by study investigators and were centrally randomized (1:1:1) via
197 interactive response technology to receive either chemotherapy (6 cycles; carboplatin plus
198 paclitaxel) followed by avelumab maintenance, chemotherapy plus avelumab followed by
199 avelumab maintenance, or chemotherapy followed by observation (stratified permuted block
200 randomisation with a block size of six). Randomisation was stratified by paclitaxel regimen
201 (weekly [QW] vs every 3 weeks [Q3W]) and resection status (residual tumour;
202 complete/microscopic vs incomplete ≤ 1 cm vs incomplete > 1 cm vs neoadjuvant). The trial
203 was open label, although patients and investigators were blinded to assignment to the two
204 chemotherapy arms without avelumab at time of randomisation until completion of the
205 chemotherapy phase. The sponsor and BICR committee (third party) remained blinded to
206 treatment assignments until after study termination.

207 *Procedures*

208 Avelumab was administered at a dose of 10 mg/kg by 1-hour intravenous (IV) infusion Q3W
209 in the chemotherapy phase and 10 mg/kg every 2 weeks in the maintenance phase.
210 Chemotherapy regimens consisted of 6 cycles of either paclitaxel 80 mg/m² by 1-hour IV
211 infusion QW or 175 mg/m² by 3-hour IV infusion Q3W (investigators choice; once selected,
212 dosage was not changed for the study duration) plus carboplatin area under the serum-
213 concentration-time curve (AUC) 5 or 6 by 1-hour IV infusion Q3W. Carboplatin was
214 administered 1 hour after completing the paclitaxel infusion. Premedication with an
215 antihistamine (eg, oral or IV diphenhydramine 25–50 mg or equivalent) and paracetamol

216 (acetaminophen; eg, oral or IV paracetamol 500–650 mg or equivalent) was mandatory 30–
217 60 minutes prior to each avelumab infusion. Premedications to minimise toxicities related to
218 chemotherapy were administered according to local guidelines. For avelumab, no dose
219 reductions were permitted; carboplatin and/or paclitaxel doses could be reduced following
220 significant toxicity based on investigator judgment.

221 After completing the chemotherapy phase, if the patient had experienced stable disease or a
222 partial or complete response, they entered the maintenance phase. Treatment was given
223 until disease progression (assessed by investigator but confirmed by blinded independent
224 central review [BICR]), unacceptable toxicity, or withdrawal (potential reasons leading to
225 withdrawal included global deterioration of health status, pregnancy, significant protocol
226 deviation, patient refusal, loss to follow up, termination of the study by the sponsor, or death;
227 appendix, p 4826); avelumab could be continued while awaiting confirmation of disease
228 progression based on the investigator's clinical judgment. Treatment in the maintenance
229 phase was received for a maximum of 24 months (excluding the chemotherapy phase).
230 Patients who discontinued (or, if receiving observation maintenance, reached the end of
231 treatment or withdrew) were followed every 12 weeks until death or end of study. Crossover
232 between study arms was not permitted.

233 Tumours were assessed by computed tomography or magnetic resonance imaging at
234 baseline, after 3 cycles of chemotherapy, and at completion of chemotherapy to determine
235 eligibility for maintenance. Patients who underwent interval debulking surgery were required
236 to have an additional tumour assessment after surgery. Assessments were performed every
237 12 weeks in the maintenance phase until confirmed disease progression, irrespective of
238 subsequent anticancer therapy. Objective tumour response was evaluated per Response
239 Evaluation Criteria in Solid Tumours (RECIST) version 1.1 based on BICR. Complete and
240 partial responses and progressive disease were confirmed by repeated imaging performed
241 ≥ 4 weeks after initial documentation. Blood samples were taken at each trial visit (QW for
242 those receiving paclitaxel QW) for haematology and day 1 of each cycle in the

243 chemotherapy phase and Q2W in the maintenance phase for other routine laboratory
244 analyses, including core serum chemistry and haemostaseology. Urine samples were taken
245 at screening and on day 1 of each cycle in the maintenance phase for urinalysis.
246 Adrenocorticotrophic hormone, free thyroxine, and thyroid-stimulating hormone
247 concentrations were tested at screening, on day 1 of each odd cycle of the chemotherapy
248 phase, day 1 of the third cycle of the maintenance phase, and then every 12 weeks
249 thereafter while on treatment. Adverse events (AEs) and laboratory abnormalities were
250 graded according to the US National Cancer Institute's Common Terminology Criteria for
251 Adverse Events version 4.03. Immune-related AEs and infusion-related reactions were
252 identified using a prespecified list of terms in the Medical Dictionary for Regulatory Activities.

253 PD-L1 expression was assessed in pretreatment tissue samples using an
254 immunohistochemical assay based on the SP263 (Ventana Medical Systems) antibody.
255 Selection of the PD-L1 cutoff was based on post hoc analyses of several scoring algorithms
256 and cutoffs from the JAVELIN Ovarian 200 trial, and the optimal cutoff for predicting
257 improved activity for the combination of avelumab and chemotherapy was selected. A
258 sample was considered PD-L1+ if the percentage of tumour cells expressing membranous
259 PD-L1 was $\geq 1\%$ and/or the percentage of tumour area populated by PD-L1+ immune cells
260 was $\geq 5\%$.

261 An external data monitoring committee was established to review safety and efficacy data
262 from the trial.

263 *Outcomes*

264 The primary endpoint was PFS by BICR (defined as the time from randomisation to the date
265 of the first documented disease progression per RECIST 1.1 or death due to any cause,
266 whichever occurred first). Secondary endpoints included OS (defined as the time from
267 randomisation to the date of death due to any cause); PFS by investigator assessment per
268 RECIST 1.1; objective response, duration of response, and maintenance PFS (defined in

269 patients who did not have disease progression by BICR during the chemotherapy phase and
270 entered maintenance phase as the time from initiating maintenance treatment to the date of
271 first documented disease progression per RECIST 1.1 or death due to any cause, whichever
272 occurred first); pathological complete response; PFS2 (defined as the time from
273 randomisation to start of second subsequent treatment after objective disease progression,
274 or death due to any cause, whichever occurred first); PFS by Gynecological Cancer
275 Intergroup (GCIG) criteria; safety and tolerability; pharmacokinetic parameters;
276 immunogenicity of avelumab; tumour biomarker assessments (including, but not limited to,
277 PD-L1 expression); and patient-reported outcomes. PFS2 and PFS by GCIG criteria are not
278 reported in this manuscript because the required assessments were not completed after the
279 early termination of the trial. Pharmacokinetic parameters, immunogenicity, and patient-
280 reported outcomes are not reported in this manuscript because it focuses on the clinical
281 aspects of the trial and because these analyses had limited relevance given that the trial
282 failed to meet its primary endpoints. Additional biomarker analyses are ongoing and are not
283 presented in this manuscript.

284 *Statistical analysis*

285 The trial aimed to demonstrate superiority of avelumab in combination with and/or following
286 chemotherapy in prolonging PFS compared with the control arm who received
287 chemotherapy followed by observation in all randomised patients (analysed by intention-to-
288 treat). Two independent and adequately powered comparisons were performed:
289 chemotherapy followed by avelumab vs control and avelumab plus chemotherapy followed
290 by avelumab vs control. The study used a two-look group-sequential design with a Lan-
291 DeMets (O'Brien-Fleming) α -spending function to determine the efficacy boundary and a
292 gamma family β -spending function to determine the nonbinding futility boundary, with one
293 planned interim and one final analysis based on the primary endpoint. The overall type I
294 error rate was maintained at or below a 1-sided significance level of 0.025 by allocating an α
295 level of 0.0125 to both PFS comparisons; a fraction of alpha (0.0022) for efficacy was

296 planned to be spent at the interim analysis. For each PFS comparison, it was estimated that
297 272 events within each comparison would provide the trial with 90% power to detect a
298 hazard ratio (HR) of 0.65 using a 1-sided log-rank test. An interim analysis was planned after
299 approximately 181 (67%) of 272 events for each PFS comparison had occurred. This
300 manuscript reports efficacy results from the interim analysis (data cutoff date: Sept 7, 2018)
301 and updated safety data and analyses based on baseline PD-L1 status from an additional
302 later cutoff (May 16, 2019).

303 Efficacy was analysed in all patients who were randomised to study treatment, and safety
304 was analysed in all patients who received at least one dose of study treatment. Time-to-
305 event endpoints were estimated using the Kaplan-Meier method, and 95% CIs for the
306 median were calculated using the Brookmeyer-Crowley method. Duration of follow-up was
307 estimated using the reverse Kaplan-Meier method.²³ The Cox proportional hazards model
308 was used to calculate HRs and corresponding 95% CIs for PFS (including prespecified
309 subgroup analyses [randomization stratification factors, age, race, ethnicity, pooled
310 geographic region, *BRCA 1/2* mutation status, disease stage, ECOG PS, CA-125, and PD-
311 L1 status]) and OS analyses. PFS and OS were analysed using a 1-sided stratified log-rank
312 test. Objective response rates and rates of pathological complete response were calculated
313 for each treatment arm, along with 2-sided 95% CIs using the Clopper-Pearson method.
314 Statistical analyses were performed in SAS (version 9.4). This study is registered with
315 ClinicalTrials.gov, number NCT02718417.

316 *Role of the funding source*

317 The trial was sponsored by Pfizer as part of an alliance between Pfizer and Merck KGaA,
318 Darmstadt, Germany. The sponsors provided the study drugs, worked with a study steering
319 committee to design the trial and collect, analyse, and interpret the data, and provided
320 funding for a professional medical writer with access to the data. All authors had access to
321 the data reported and the lead and senior authors (BJM and JAL) and co-authors who were
322 employees of the sponsor (RAS, XZ, JPS, and CL) had access to the raw data. All authors

323 contributed to subsequent drafts and provided final approval to submit the manuscript for
324 publication.

325

326 **Results**

327 Between May 19, 2016 and Jan 23, 2018, 998 patients were enrolled and randomly
328 assigned: 332 to chemotherapy followed by avelumab maintenance (referred to as the
329 avelumab maintenance arm), 331 to avelumab plus chemotherapy followed by avelumab
330 maintenance (referred to as the avelumab combination arm), and 335 to chemotherapy
331 followed by observation (control arm; figure 1). Baseline characteristics were well balanced
332 across the three arms (table 1). At the planned interim analysis (data cutoff date: Sept 7,
333 2018), both avelumab arms had crossed prespecified futility boundaries. The trial was
334 stopped due to futility of efficacy in alignment with the recommendation of both the
335 Independent Data Monitoring Committee and the Protocol Steering Committee. Among
336 treated patients, nearly all received premedication with systemic corticosteroids (328 [100%]
337 of 328 in the avelumab maintenance arm, 327 [99%] of 329 in the avelumab combination
338 arm, and 333 [>99%] of 334 in the control arm).

339 Median In the analysis of all randomised patients, median duration of follow-up for PFS was
340 10.8 months (IQR 7.1–14.9) for all patients, 11.1 months (interquartile range [IQR] 7.0–
341 15.3) for the avelumab maintenance arm; 11.0 months (IQR 7.4–14.5) for the avelumab
342 combination arm; and 10.2 months (IQR 6.7–14.0) for the control arm. In the chemotherapy
343 phase, as of May 16, 2019, 328 patients had received treatment in the avelumab
344 maintenance arm, 329 patients in the avelumab combination arm, and 334 patients in the
345 control arm. Median duration of treatment for all study drugs in the chemotherapy phase was
346 ≥19 weeks. Most patients (>80%) completed the chemotherapy phase. In the avelumab
347 maintenance arm, 275 (83%) and 280 (84%) patients completed assigned paclitaxel and
348 carboplatin treatment, respectively; in the avelumab combination arm, 284 (86%), 281

349 (85%), and 290 (88%) patients completed assigned avelumab, paclitaxel, and carboplatin
350 treatment, respectively; and in the control arm, 279 (83%) and 289 (86%) patients completed
351 assigned paclitaxel and carboplatin treatment, respectively. The most common reasons for
352 treatment discontinuation during the chemotherapy phase were AE, withdrawal by patient,
353 and progressive disease (figure 1). In the maintenance phase, 265 and 279 patients were
354 treated with avelumab in the maintenance and combination arms, respectively. Median
355 duration of avelumab treatment in these arms was 35.7 weeks (IQR 21.9–52.0) and 36.0
356 weeks (IQR 23.9–52.9), respectively. The most common reason for treatment
357 discontinuation in all three arms in the maintenance phase was study termination (114
358 patients [34%] in the avelumab maintenance arm, 140 patients [42%] in the avelumab
359 combination arm, and 135 patients [40%] in the control arm), and as of May 16, 2019, no
360 patient remained on study.

361 Analysis of all efficacy endpoints was based on BICR unless otherwise specified. As of Sept
362 7, 2018, a PFS event had occurred in 99 (30%) of 332 patients in the avelumab
363 maintenance arm, 88 (27%) of 331 patients in the avelumab combination arm, and 70 (21%)
364 of 335 patients in the control arm. The stratified HR for PFS vs control was 1.43 (95% CI
365 1.051–1.946; 1-sided $p=0.99$) with avelumab maintenance and 1.14 (95% CI 0.832–1.565;
366 1-sided $p=0.79$) with avelumab combination. Median PFS was 16.8 months (95% CI 13.5 to
367 not estimable [NE]; IQR 9.8–NE) in the avelumab maintenance arm, 18.1 months (95% CI
368 14.8–NE; IQR 11.1–NE) in the avelumab combination arm, and NE (95% CI 18.2 months to
369 NE; IQR 10.8 to NE) in the control arm (figure 2). Prespecified exploratory subgroup
370 analyses of PFS based on patient and disease characteristics showed similar results (figure
371 3). The stratified HR for PFS by investigator vs control was 1.21 (95% CI 0.935–1.578; 1-
372 sided $p=0.93$) with avelumab maintenance and 0.90 (95% CI 0.688–1.189; 1-sided $p=0.24$)
373 with avelumab combination. Median PFS by investigator assessment was 13.8 months (95%
374 CI 12.1–15.9) in the avelumab maintenance arm, 16.1 months (95% CI 13.9–19.4) in the
375 avelumab combination arm, and 15.0 months (95% CI 13.2–18.7) in the control arm.

376 Maintenance PFS was assessed in patients who had not experienced disease progression
377 in the chemotherapy phase and subsequently entered the maintenance phase; this
378 comprised 248 patients in the avelumab maintenance arm, 267 patients in the avelumab
379 combination arm, and 247 in the control arm; median maintenance PFS was 13.6 months
380 (95% CI 9.3–NE), 13.8 months (95% CI 11.1–NE), and NE (95% CI 13.8 months to NE),
381 respectively. The stratified HR for maintenance PFS vs control was 1.56 (95% CI 1.078–
382 2.267; 1-sided p=0.99) with avelumab maintenance and 1.26 (95% CI 0.862–1.847; 1-sided
383 p=0.89) with avelumab combination.

384 OS data were not mature at the time of the interim analysis, with a total of only 54 deaths
385 across the three arms (20 [6%] in the avelumab maintenance arm, 21 [6%] in the avelumab
386 combination arm, and 13 [4%] in the control arm). Median follow-up for OS was 12.4 months
387 (IQR 9.0–15.9) for all patients. 12.6 months (IQR 9.1–16.0) in the avelumab maintenance
388 arm, 12.6 months (IQR 9.5–16.1) in the avelumab combination arm, and 11.8 months (IQR
389 8.5–15.6) in the control arm. OS results are shown in appendix p 1321. Response data are
390 summarised in appendix, p 9. Interval debulking surgery after neoadjuvant treatment was
391 received by 108 patients in the avelumab maintenance arm, 115 patients in the avelumab
392 combination arm, and 116 patients in the control arm. Pathological complete response
393 occurred in 17 (16% [95% CI 12–29]), 20 (17% [95% CI 13–30]), and 30 patients (26% [95%
394 CI 21–40]), respectively.

395 In prespecified analyses (data cutoff, May 16, 2019), the predictive role of tumour PD-L1
396 status was assessed in 813 evaluable patients (appendix p 1422). Tumours were PD-L1+ in
397 487 patients (60%), with a mixture of staining patterns observed, including PD-L1+ immune
398 cells only in 218 (27%), PD-L1+ tumour cells only in 73 (9%), and PD-L1+ tumour and
399 immune cells in 196 (24%). For the PD-L1 subgroups, PFS by BICR and by investigator
400 assessment are shown in the appendix, p 1422 and 1624.

401 No new safety signals were observed for avelumab administered as maintenance or in
402 combination with chemotherapy. As of May 16, 2019, treatment-emergent AEs of any grade

403 or causality occurred in 323 (98%) of 328 patients in the avelumab maintenance arm, 328
404 (>99%) of 329 patients in the avelumab combination arm, and 321 (96%) of 334 patients in
405 the control arm (table 2 [and appendix, p 12](#)). The most common any grade AEs ($\geq 30\%$ in all
406 arms) were alopecia, anaemia, nausea, neutropenia, and fatigue. AEs that differed by $>5\%$
407 between arms (avelumab maintenance, avelumab combination, and control arms,
408 respectively) were constipation (35%, 31%, and 29%), vomiting (27%, 24%, and 20%),
409 diarrhoea (26%, 31%, and 19%), arthralgia (23%, 26%, and 17%), myalgia (20%, 16%, and
410 13%), neutrophil count decreased (18%, 16%, and 22%), and rash (18%, 20%, and 7%).
411 Grade 3–5 AEs occurred in 223 (68%), 238 (72%), and 210 patients (63%), respectively.
412 The most common grade ≥ 3 AEs ($\geq 10\%$ of patients in all arms) were anaemia, neutropenia,
413 and neutrophil count decreased. No grade ≥ 3 AEs differed by $>5\%$ between arms. Serious
414 AEs of any grade occurred in 92 patients (28%) in the avelumab maintenance arm, 118
415 patients (36%) in the avelumab combination arm, and 64 patients (19%) in the control arm.
416 Grade 3–5 serious AEs occurred in 72 (22%), 93 (28%), and 48 patients (14%), respectively.
417 In the avelumab maintenance, avelumab combination, and control arms, AEs led to
418 discontinuation of any study drug in 42 (13%), 63 (19%), and 24 patients (7%), and resulted
419 in death in 5 (2%; pulmonary embolism [n=2], disease progression [n=1], atrial fibrillation
420 [n=1], and embolism [n=1]), 6 (2%; disease progression [n=3], multiple organ dysfunction
421 syndrome [n=1], perforation [n=1], cardiopulmonary failure [n=1], small intestinal obstruction
422 [n=1], and abdominal abscess [n=1]), and 3 (1%; death from unspecified cause [n=1],
423 malignant neoplasm progression [n=1], and pulmonary embolism [n=1]), respectively. Dose
424 reductions are detailed in the appendix p 11. Treatment-related AEs (TRAEs) of any grade
425 occurred in 315 patients (96%) in the avelumab maintenance arm, 324 patients (98%) in the
426 avelumab combination arm, and 318 patients (95%) in the control arm. Grade 3–5 TRAEs
427 occurred in 175 (53%), 205 (62%), and 186 patients (56%), respectively. In the avelumab
428 maintenance, avelumab combination, and control arms, serious TRAEs occurred in 43
429 (13%), 62 (19%), and 29 patients (9%), respectively; the most common ($\geq 2\%$ patients) were
430 febrile neutropenia (10 [3%]), anaemia (5 [2%]), and vomiting (5 [2%]) in the avelumab

431 maintenance arm, febrile neutropenia (7 [2%]), anaemia (7 [2%]), vomiting (7 [2%]),
432 thrombocytopenia (5 [2%]), and nausea (5 [2%]) in the avelumab combination arm, and
433 febrile neutropenia (7 [2%]) in the control arm. TRAEs led to discontinuation of any study
434 drug in 35 patients (11%) in the avelumab maintenance arm, 53 patients (16%) in the
435 avelumab combination arm, and 21 patients (6%) in the control arm; the most common
436 reasons (≥ 3 patients) were diarrhoea (4 [1%]), peripheral neuropathy (3 [1%]), and anaemia
437 (3 [1%]) in the avelumab maintenance arm, infusion-related reaction (5 [2%]), alanine
438 aminotransferase increased (4 [1%]), thrombocytopenia (4 [1%]), neutrophil count decreased
439 (3 [1%]), platelet count decreased (3 [1%]), peripheral neuropathy (3 [1%]), and peripheral
440 sensory neuropathy (3 [1%]) in the avelumab combination arm, and peripheral sensory
441 neuropathy (5 [1%]) and peripheral neuropathy (3 [1%]) in the control arm. Treatment-related
442 deaths occurred in 1 patient ($<1\%$) in the avelumab maintenance arm (atrial fibrillation) and
443 1 patient ($<1\%$) in the avelumab combination arm (disease progression). In the safety
444 analyses, the total number of deaths in treated patients irrespective of relationship to study
445 treatment was 34 (10%) of 328 patients in the avelumab maintenance arm, 31 (9%) of 329 in
446 the avelumab combination arm, and 20 (6%) of 334 in the control arm; reasons included
447 disease progression (29 [9%], 26 [8%], and 17 [5%], respectively), AE not related to study
448 treatment (5 [2%], 8 [2%], 2 [1%]), study treatment toxicity (1 [$<1\%$], 0, 0), other (2 [1%], 3
449 [1%], 1 [$<1\%$]), and unknown reasons (1 [$<1\%$], 2 [1%], 3 [1%]).

450 As of Sept 7, 2018, in the avelumab maintenance, avelumab combination, and control arms,
451 immune-related AEs of any grade occurred in 53 (16%), 92 (28%), and 0 patients,
452 respectively (appendix p [4220](#)). In the avelumab maintenance and avelumab combination
453 arms, grade 3–5 immune-related AEs occurred in 10 (3%) and 24 patients (7%),
454 respectively, and led to discontinuation of any study drug in 8 (2%) and 19 patients (6%). No
455 deaths were attributed to immune-related AEs. Infusion-related reactions of any grade
456 occurred in 58 patients (18%) in the avelumab maintenance arm, 65 patients (20%) in the
457 avelumab combination arm, and 44 patients (13%) in the control arm (appendix p [4220](#)).

458 Grade 3–5 infusion-related reactions occurred in 2 (1%), 6 (2%), and 6 (2%) patients,
459 respectively. Discontinuation of any study drug due to infusion-related reactions occurred in
460 3 (1%), 7 (2%), and 4 (1%) patients, respectively.

461

462 **Discussion**

463 The JAVELIN Ovarian 100 trial did not meet either of its two primary objectives of improving
464 PFS with avelumab in combination with and/or following chemotherapy vs chemotherapy
465 followed by observation. At interim analysis, both avelumab arms had crossed prespecified
466 futility boundaries and the trial was stopped. HRs for PFS favoured the control arm,
467 indicating an observed detrimental effect in both avelumab arms. OS data were immature.
468 No benefit was observed in either experimental arm compared with the control arm in terms
469 of objective response rate, maintenance PFS, or pathological complete response. The safety
470 profile of avelumab administered in combination with chemotherapy and/or as maintenance
471 therapy was broadly similar to chemotherapy alone, with slight increases in a small number
472 of AEs and occurrence of low rates of immune-related AEs, consistent with the known safety
473 profile of avelumab monotherapy.²⁴ No new safety signals were identified.

474 Exploratory subgroup analyses based on baseline characteristics and stratification factors
475 did not identify subsets of patients with clear PFS benefit in either avelumab arm.

476 Additionally, PD-L1 status also did not predict benefit with avelumab treatment, either as
477 maintenance therapy or in combination with chemotherapy, which is in contrast with findings
478 from the phase 3 JAVELIN Ovarian 200 trial of avelumab as monotherapy or in combination
479 with PLD vs PLD alone in patients with platinum-resistant or platinum-refractory EOC.²²

480 Although the JAVELIN Ovarian 200 trial failed to meet its primary objectives of significantly
481 improving PFS or OS in the overall population, biomarker analyses indicated that PD-L1
482 status may predict benefit with avelumab plus PLD vs PLD alone. The absence of a potential
483 predictive effect for PD-L1 status in the current trial may be due to the differences in tumour

484 biology or microenvironment or different immunological effects of chemotherapies^{25,26}
485 administered in patients with previously untreated EOC vs platinum-resistant/refractory EOC,
486 and as result, tumours that have recurred after frontline chemotherapy and are PD-L1+ may
487 be more sensitive to subsequent combination treatment with chemotherapy and immune
488 checkpoint inhibitors than PD-L1+ treatment-naive tumours.

489 No pharmacokinetic interactions were expected between paclitaxel and carboplatin and
490 avelumab because these agents have distinct clearance pathways. In patient assessments,
491 exposure to carboplatin and paclitaxel was similar irrespective of administration of avelumab;
492 however, because of study design limitations and observed high variability, no conclusions
493 about the effect of carboplatin and paclitaxel on exposure to avelumab could be drawn (data
494 not shown).

495 It has been reported recently that a phase 3, randomised trial of a different anti-PD-L1
496 antibody, atezolizumab, administered with bevacizumab, paclitaxel, and carboplatin in
497 patients with newly diagnosed advanced EOC (IMagyn050) also failed to meet one of its
498 primary endpoints of improved PFS vs bevacizumab, paclitaxel, and carboplatin.²⁷ Data for
499 the other primary endpoint of OS are immature, and follow-up is ongoing. Results from our
500 trial and IMagyn050 suggest that the addition of an immune checkpoint inhibitor to frontline
501 chemotherapy does not improve efficacy in an unselected population. The negative outcome
502 of our trial was unexpected and there is no obvious explanation for these results. Several
503 other phase 3 studies investigating the activity of immune checkpoint inhibitors in
504 combination with chemotherapy, bevacizumab, and/or poly-ADP ribose polymerase (PARP)
505 inhibitors in the frontline advanced EOC setting are in progress, including durvalumab (anti-
506 PD-L1) plus chemotherapy and bevacizumab followed by durvalumab plus bevacizumab and
507 olaparib (PARP inhibitor) maintenance (DUO-O; NCT03737643); dostarlimab (anti-PD-1)
508 plus chemotherapy and niraparib (PARP inhibitor; FIRST/ENGOT-0V44; NCT03602859),
509 and pembrolizumab plus chemotherapy followed by olaparib (KEYLYNK-001/ENGOT-OV43;

510 NCT03740165). It is hoped these ongoing trials will provide further clarity on whether
511 immune checkpoint inhibitors have any role in the frontline treatment of patients with EOC.

512 This trial had several limitations. Firstly, no predictive biomarkers were available to aid
513 patient selection for the trial. Secondly, baseline data on *BRCA* status were not
514 systematically collected during the trial, therefore, the association between *BRCA* status and
515 outcomes could not be evaluated. ~~Additionally, p~~Patients were not assessed for homologous
516 recombination deficiency, which has recently become a biomarker of interest for the
517 treatment of patients with EOC using other agents. Additionally, data on second-line
518 therapies were not collected in most patients because the trial was terminated at the interim
519 analysis. Lastly, longer-term efficacy data was not obtained because, when the trial was
520 stopped after the interim analysis, maintenance treatment was discontinued and long-term
521 follow-up was not performed, consistent with the recommendations of the Independent Data
522 Monitoring Committee.

523 In conclusion, the JAVELIN Ovarian 100 trial showed that avelumab as maintenance or in
524 combination with chemotherapy did not improve PFS in patients with previously untreated
525 EOC compared with chemotherapy alone.

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532 **Data sharing statement**

533 Upon request, and subject to certain criteria, conditions and exceptions (see
534 <https://www.pfizer.com/science/clinical-trials/trial-data-and-results> for more information),
535 Pfizer will provide access to individual de-identified participant data from Pfizer-sponsored
536 global interventional clinical studies conducted for medicines, vaccines and medical devices
537 (1) for indications that have been approved in the US and/or EU or (2) in programs that have
538 been terminated (i.e., development for all indications has been discontinued). Pfizer will also
539 consider requests for the protocol, data dictionary, and statistical analysis plan. Data may be
540 requested from Pfizer trials 24 months after study completion. The de-identified participant
541 data will be made available to researchers whose proposals meet the research criteria and
542 other conditions, and for which an exception does not apply, via a secure portal. To gain
543 access, data requestors must enter into a data access agreement with Pfizer.

544 **Contributions**

545 BJM, MJB, RAS, JAL contributed to study design. BJM, NC, AMO, KF, MJB, LR, EVP, GS,
546 YVS, MCL, SMB, JS, KY, RAS, CL, JAL contributed to data collection. BJM, RAS, XZ, CL
547 contributed to data analysis. BJM, AMO, LR, RAS, XZ, JPS, CL, JAL contributed to data
548 interpretation. BJM, XZ, and JAL accessed and verified the data. All authors contributed to
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550 **Disclosures**

551 BJM reports receiving honoraria from and serving as a consultant or advisor for Agenus,
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585 RAS reports employment at Pfizer at the time when the study was conducted and owns
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587 XZ reports employment at and owns stock in Pfizer.

588 JPS reports employment at and owns stock in Pfizer.

589 CL reports employment at Pfizer and owns stock in Eli Lilly and Pfizer.

590 JAL reports receiving honoraria from AstraZeneca, GSK, and Pfizer; and is the Vice
591 President of The European Society of Gynaecological Oncology and an Editor of the
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670 Apr 23 [Epub ahead of print].

671

672 **FIGURE LEGENDS**

673 **Figure 1. Trial profile at the updated safety data cutoff date (May 16, 2019).** Because
674 both avelumab arms had crossed prespecified futility boundaries, the trial was stopped due
675 to futility of efficacy in alignment with the recommendation of both the Independent Data
676 Monitoring Committee and the Protocol Steering Committee.

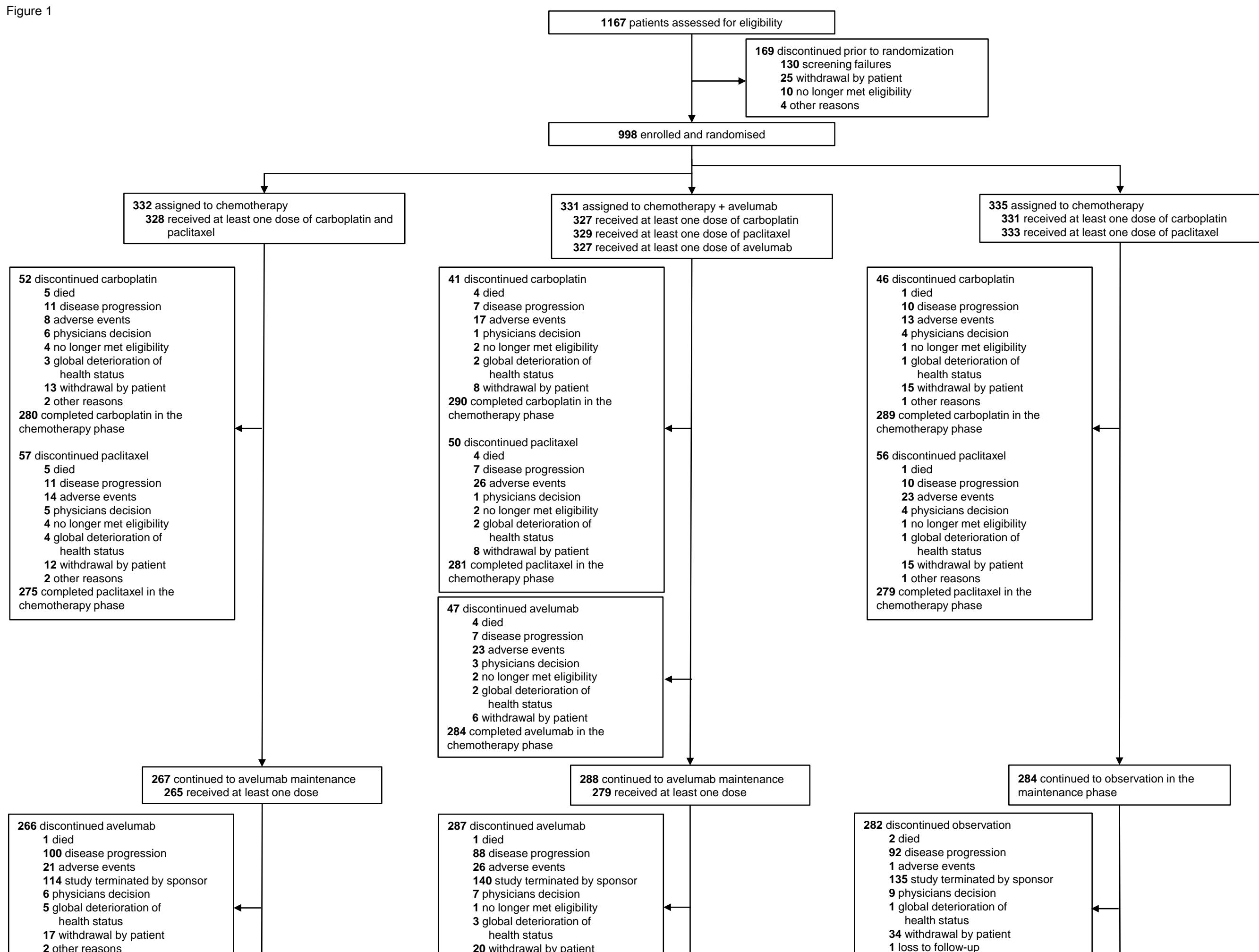
677 **Figure 2. Progression-free survival.** HR=hazard ratio. NE=not evaluable. * 1-sided log-
678 rank test. Data cutoff: Sept 7, 2018.

679 **Figure 3. Forest plots: progression-free survival in baseline subgroups for (A)**
680 **chemotherapy followed by avelumab and (B) chemotherapy plus avelumab followed**
681 **by avelumab, each vs chemotherapy followed by observation.** ECOG PS=Eastern
682 Cooperative Oncology Group performance score. HR=hazard ratio. QW=every week.
683 Q3W=every 3 weeks. ULN=upper limit of normal. Except for the primary analysis (all
684 patients), which was stratified according to randomisation stratification factors, all other
685 analyses presented were unstratified. Data for subgroups defined by ethnicity are not
686 reported because >95% of the patient population were non-Hispanic/Latino. Data cutoff:
687 Sept 7, 2018.

688

689

Figure 1



Manuscript reference number: THELANCETONCOLOGY-D-21-00367R2

Avelumab in combination with and/or following chemotherapy versus chemotherapy alone in patients with previously untreated epithelial ovarian cancer (JAVELIN Ovarian 100): results from a randomised phase 3 trial terminated at interim analysis

Monk BJ, et al.

Reviewer comments	Author response and changes made	Page number in revised paper
Editor comments		
1. Please add a sentence to the Discussion explaining that data on second-line therapies were not collected because the trial was terminated at the interim analysis.	We have added the following sentence to the limitations section of the Discussion: <ul style="list-style-type: none"> <i>Additionally, data on second-line therapies were not collected in most patients because the trial was terminated at the interim analysis.</i> 	22
2. In the summary Methods, you state that “The primary endpoint was progression-free survival (PFS) by blinded independent central review in all randomised patients”. By saying “in all randomised patients”, do you mean that it was analysed by intention-to treat? If so, please add wording to clarify this.	We have added wording to clarify that “all randomised patients” refers to the intention-to-treat population. <ul style="list-style-type: none"> <i>The primary endpoint was progression-free survival (PFS) by blinded independent central review in all randomised patients (analysed by intention-to-treat).</i> 	3
3. In the Summary Findings, thank you for providing the median (IQR) follow-up for PFS in each treatment group. Do you have the overall median (IQR) follow-up for the trial as a whole please?	We have added median (IQR) follow-up for PFS for the trial as a whole to the Summary. <ul style="list-style-type: none"> <i>Median duration of follow-up for PFS was 10.8 months (IQR 7.1–14.9) for all patients,...</i> 	4
4. In the main Methods, statistical analysis section, please add text to clarify that the primary endpoint was analysed by intention-to-treat.	We have added this text to the statistical analysis section of the Methods. <ul style="list-style-type: none"> <i>The trial aimed to demonstrate superiority of avelumab in combination with and/or following chemotherapy in prolonging PFS compared with the control arm who received chemotherapy followed by observation in all randomised patients (analysed by intention-to-treat).</i> 	13
5. In the main Results, thank you for providing the median (IQR) follow-up for PFS and OS in each treatment group. Do you have the overall median (IQR) follow-up for PFS and OS in the trial as a whole please?	We have added median (IQR) follow-up for PFS and OS for the trial as a whole to the Results. <ul style="list-style-type: none"> <i>...median duration of follow-up for PFS was 10.8 months (IQR 7.1–14.9) for all patients,...</i> <i>Median follow-up for OS was 12.4 months (IQR 9.0–15.9) for all patients,...</i> 	15 17
6. Please add a sentence to the main Results clarifying that all randomised patients were included in the analyses (if this is the case; or add suitable alternative text if not).	We have added the following text to the main Results section to clarify that all randomised patients were included in the primary endpoint/efficacy analyses: <ul style="list-style-type: none"> <i>In the analysis of all randomised patients, median duration of follow-up for PFS was...</i> 	15
7. Thank you for clarifying that the analysis by PD-1 expression status was prespecified. Where are the results for your other biomarker analyses (as in the Outcomes section of the Methods, you state that you planned to do biomarker assessments “including, but not limited to, PD-L1 expression”). If the other biomarker analyses are not presented in this paper, please add a sentence to the Outcomes section of the Methods stating this and explaining why. Alternatively, if you have these analyses, perhaps they could be added to the web appendix and cited in the Results?	Other biomarker analyses for this trial are ongoing and are therefore not included in the current paper. We have added this information to the Methods. <ul style="list-style-type: none"> <i>Additional biomarker analyses are ongoing and are not presented in this manuscript.</i> 	13

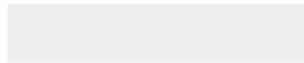
<p>8. Thank you for providing the full table of treatment-emergent adverse events stratified by grade (table 3). However, the table is now rather large. Please could you amend the table so that it shows grade 1-2 events that occurred in 10% or more patients in any group and grade 3, 4, and 5 events that occurred in 2% or more patients in any group. The full table can be placed in the web appendix and a footnote could then be added to table 3 to indicate where the full table can be found.</p>	<p>We have amended Table 3 so that it shows grade 1-2 adverse events occurring in $\geq 10\%$ and grade 3–5 occurring $\geq 2\%$ of patients in any group. We have also moved the full table to the appendix and added a footnote to table 3, as suggested.</p> <ul style="list-style-type: none"> • <i>A table showing AEs of grade 1–2 occurring in $\geq 10\%$ of patients and all AEs of grade 3, 4 or 5 is included in the appendix, p 12.</i> 	<p>Tables, pg 5</p> <p>Tables, pg 12</p>
<p>9. Please resupply figure 1 (the trial profile) as an editable Word file (.doc or .docx) or powerpoint file (.ppt or .pptx) and made of boxes with editable text.</p>	<p>Figure 1 has been supplied as an editable powerpoint file.</p>	<p>–</p>



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Necessary Additional Data

[Ova100R2_RevisedMs_APPENDIX_v1.pdf](#)

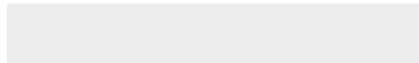
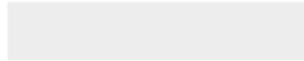




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Necessary Additional Data

[Ova100R2_RevisedMs_APPENDIX_v1-TRK.docx](#)



1 TABLES

2 Table 1. Baseline characteristics

	Chemotherapy → avelumab (N=332)	Chemotherapy + avelumab → avelumab (N=331)	Chemotherapy → observation (N=335)
Median age (IQR), years	59.0 (52.0–67.0)	60.0 (50.0–66.0)	57.0 (49.0–66.0)
ECOG PS, n (%)*			
0	186 (56)	179 (54)	196 (59)
1	145 (44)	150 (45)	136 (41)
2	0	2 (1)	2 (1)
Pooled geographic region, n (%)			
North America	93 (28)	103 (31)	85 (25)
Europe	147 (44)	139 (42)	149 (44)
Asia	78 (23)	79 (24)	90 (27)
Rest of the world	14 (4)	10 (3)	11 (3)
Race, n (%)			
White	236 (71)	238 (72)	236 (70)
Asian	86 (26)	82 (25)	95 (28)
Black or African American	2 (1)	4 (1)	1 (<1)
Other [†]	8 (2)	7 (2)	3 (1)

Site of primary tumour, n (%)[‡]			
Ovary	261 (79)	259 (78)	270 (81)
Peritoneum	38 (11)	41 (12)	32 (10)
Fallopian tube	33 (10)	31 (9)	32 (10)
Not reported	0	1 (<1)	1 (<1)
Histology, n (%)			
High-grade serous	258 (78)	257 (78)	247 (74)
Low-grade serous	18 (5)	23 (7)	21 (6)
Clear cell	19 (6)	15 (5)	21 (6)
Endometrioid	12 (4)	10 (3)	10 (3)
Other epithelial ovarian cancer [§]	25 (8)	26 (8)	36 (11)
Histopathological grade, n (%)			
Grade 1	16 (5)	16 (5)	22 (7)
Grade 2	34 (10)	36 (11)	31 (9)
Grade 3	278 (84)	265 (80)	272 (81)
Not reported	4 (1)	14 (4)	10 (3)
Measurable disease at baseline by BICR, n (%)			
Yes	232 (70)	228 (69)	226 (67)
No	100 (30)	102 (31)	109 (33)
No disease	0	1 (<1)	0

Paclitaxel regimen, n (%)			
QW	100 (30)	102 (31)	104 (31)
Q3W	232 (70)	229 (69)	231 (69)
Resection (residual tumour), n (%)			
Complete resection/microscopic disease	105 (32)	105 (32)	105 (31)
Incomplete resection ≤1 cm	34 (10)	35 (11)	36 (11)
Incomplete resection >1 cm	57 (17)	55 (17)	57 (17)
Neoadjuvant	136 (41)	136 (41)	137 (41)
PD-L1 status, n (%)^{††}			
Positive	158 (48)	160 (48)	169 (50)
Negative	112 (34)	103 (31)	111 (33)
Not evaluable	62 (19)	68 (21)	55 (16)

3 BICR=blinded independent central review. ECOG PS=Eastern Cooperative Oncology Group performance status. IQR=interquartile range.

4 QW=every week. Q3W=every 3 weeks.

5 * Not reported for 2 patients (1 in the chemotherapy → avelumab arm, 1 in the chemotherapy → observation arm).

6 † Includes American Indian or Alaska Native and other.

7 ‡ One patient in the chemotherapy + avelumab → avelumab arm had a primary tumour recorded in two sites (ovary and peritoneum).

8 § Includes adenocarcinoma, undifferentiated carcinoma, and not reported.

9 || Recorded at randomisation.

10 ¶ PD-L1+ status was defined as expression in $\geq 1\%$ of tumour cells and/or $\geq 5\%$ of immune cells (Ventana PD-L1 SP263 immunohistochemistry
11 assay).

12 **Table 2.** Treatment-emergent adverse events

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Any AE, n (%)	100 (30)	151 (46)	67 (20)	5 (2)	90 (27)	148 (45)	84 (26)	6 (2)	111 (33)	131 (39)	76 (23)	3 (1)
Alopecia	165 (50)	0	2 (1)	0	169 (51)	0	0	0	174 (52)	2 (1)	1 (<1)	0
Nausea	147 (45)	6 (2)	0	0	145 (44)	7 (2)	0	0	147 (44)	5 (1)	0	0
Fatigue	117 (36)	6 (2)	0	0	102 (31)	12 (4)	1 (<1)	0	98 (29)	12 (4)	0	0
Constipation	111 (34)	3 (1)	0	0	101 (31)	0	1 (<1)	0	93 (28)	3 (1)	0	0
Peripheral sensory neuropathy	91 (28)	0	0	0	76 (23)	0	0	0	82 (25)	0	0	0
Anaemia	82 (25)	69 (21)	0	0	92 (28)	61 (19)	2 (1)	0	90 (27)	53 (16)	0	0
Vomiting	78 (24)	9 (3)	0	0	72 (22)	8 (2)	0	0	61 (18)	7 (2)	0	0
Diarrhoea	78 (24)	8 (2)	0	0	97 (29)	6 (2)	0	0	57 (17)	7 (2)	0	0
Arthralgia	75 (23)	1 (<1)	0	0	81 (25)	5 (2)	0	0	56 (17)	1 (<1)	0	0
Myalgia	66 (20)	1 (<1)	0	0	51 (16)	2 (1)	0	0	40 (12)	3 (1)	0	0
Abdominal pain	65 (20)	5 (2)	0	0	64 (19)	6 (2)	0	0	52 (16)	8 (2)	0	0
Decreased appetite	63 (19)	1 (<1)	0	0	54 (16)	1 (<1)	0	0	36 (11)	1 (<1)	0	0
Neuropathy peripheral	62 (19)	1 (<1)	0	0	74 (22)	3 (1)	0	0	64 (19)	1 (<1)	0	0
Rash	57 (17)	2 (1)	0	0	60 (18)	6 (2)	0	0	24 (7)	0	1 (<1)	0
Headache	55 (17)	1 (<1)	0	0	50 (15)	1 (<1)	0	0	30 (9)	0	0	0
Insomnia	50 (15)	2 (1)	0	0	39 (12)	0	0	0	30 (9)	2 (1)	0	0
Dizziness	44 (13)	0	1 (<1)	0	37 (11)	1 (<1)	0	0	28 (8)	0	0	0
Pruritus	37 (11)	1 (<1)	0	0	36 (11)	1 (<1)	0	0	19 (6)	0	0	0
Pyrexia	37 (11)	0	0	0	48 (15)	1 (<1)	0	0	23 (7)	1 (<1)	0	0
Dyspnoea	36 (11)	3 (1)	1 (<1)	0	45 (14)	4 (1)	0	0	29 (9)	1 (<1)	0	0
Cough	36 (11)	1 (<1)	0	0	55 (17)	0	0	0	22 (7)	0	0	0
Thrombocytopenia	33 (10)	13 (4)	1 (<1)	0	37 (11)	16 (5)	10 (3)	0	41 (12)	15 (4)	3 (1)	0
Urinary tract infection	33 (10)	4 (1)	0	0	42 (13)	8 (2)	0	0	27 (8)	2 (1)	0	0
Hypothyroidism	33 (10)	1 (<1)	0	0	33 (10)	0	0	0	5 (1)	0	0	0
Hypomagnesaemia	31 (9)	1 (<1)	0	0	38 (12)	3 (1)	1 (<1)	0	27 (8)	0	0	0
Abdominal pain upper	31 (9)	1 (<1)	0	0	38 (12)	2 (1)	0	0	24 (7)	0	0	0
Asthenia	30 (9)	5 (2)	0	0	44 (13)	2 (1)	0	0	21 (6)	1 (<1)	0	0
Back pain	29 (9)	2 (1)	0	0	34 (10)	2 (1)	0	0	28 (8)	3 (1)	0	0
Pain in extremity	29 (9)	1 (<1)	0	0	32 (10)	0	0	0	37 (11)	0	0	0
Alanine aminotransferase increased	27 (8)	1 (<1)	0	0	28 (9)	5 (2)	0	0	17 (5)	3 (1)	0	0
Neutropenia	23 (7)	54 (16)	37 (11)	0	26 (8)	54 (16)	45 (14)	0	25 (7)	48 (14)	40 (12)	0
Platelet count decreased	18 (5)	6 (2)	1 (<1)	0	28 (9)	8 (2)	3 (1)	0	29 (9)	14 (4)	1 (<1)	0

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Hypokalaemia	16 (5)	7 (2)	0	0	15 (5)	9 (3)	3 (1)	0	15 (4)	4 (1)	1 (<1)	0
Leukopenia	15 (5)	12 (4)	1 (<1)	0	17 (5)	10 (3)	1 (<1)	0	15 (4)	5 (1)	0	0
Hypertension	12 (4)	4 (1)	0	0	12 (4)	7 (2)	0	0	10 (3)	3 (1)	0	0
Rash maculo-papular	12 (4)	1 (<1)	0	0	16 (5)	5 (2)	0	0	5 (1)	0	0	0
Hypertriglyceridaemia	12 (4)	0	0	0	10 (3)	5 (2)	0	0	8 (2)	1 (<1)	0	0
Neutrophil count decreased	11 (3)	31 (9)	18 (5)	0	9 (3)	29 (9)	16 (5)	0	16 (5)	32 (10)	27 (8)	0
Hyperglycaemia	10 (3)	4 (1)	1 (<1)	0	2 (1)	3 (1)	1 (<1)	0	4 (1)	2 (1)	1 (<1)	0
White blood cell count decreased	9 (3)	22 (7)	1 (<1)	0	13 (4)	15 (5)	3 (1)	0	17 (5)	15 (4)	2 (1)	0
Blood creatine phosphokinase increased	7 (2)	2 (1)	1 (<1)	0	4 (1)	4 (1)	1 (<1)	0	2 (1)	1 (<1)	0	0
Ascites	6 (2)	6 (2)	0	0	4 (1)	4 (1)	0	0	4 (1)	2 (1)	0	0
Lymphocyte count decreased	4 (1)	4 (1)	1 (<1)	0	4 (1)	6 (2)	0	0	2 (1)	3 (1)	1 (<1)	0
Hyponatraemia	4 (1)	4 (1)	0	0	3 (1)	5 (2)	0	0	0	3 (1)	0	0
Gamma-glutamyltransferase increased	4 (1)	3 (1)	0	0	9 (3)	5 (2)	2 (1)	0	9 (3)	4 (1)	0	0
Intestinal obstruction	3 (1)	1 (<1)	2 (1)	0	1 (<1)	6 (2)	1 (<1)	0	0	7 (2)	0	0
Small intestinal obstruction	3 (1)	1 (<1)	0	0	1 (<1)	7 (2)	0	0	1 (<1)	0	0	0
Febrile neutropenia	2 (1)	11 (3)	0	0	0	11 (3)	2 (1)	0	1 (<1)	9 (3)	1 (<1)	0
Haemoglobin decreased	2 (1)	4 (1)	1 (<1)	0	1 (<1)	2 (1)	0	0	1 (<1)	2 (1)	0	0
Lipase increased	1 (<1)	4 (1)	2 (1)	0	4 (1)	6 (2)	1 (<1)	0	1 (<1)	2 (1)	0	0
Pulmonary embolism	0	7 (2)	2 (1)	2 (1)	2 (1)	5 (2)	1 (<1)	0	3 (1)	3 (1)	1 (<1)	1 (<1)

13

14 AE=adverse event.

15 AEs of grade 1–2 occurring in ≥10% or grade 3–5 in ≥2% of patients in any arm are shown. A table showing AEs of grade 1–2 occurring in

16 ≥10% of patients and all AEs of grade 3, 4 or 5 is included in the appendix, p 12.

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12 **Table 2.** Treatment-emergent adverse events

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Any AE, n (%)	100 (30)	151 (46)	67 (20)	5 (2)	90 (27)	148 (45)	84 (26)	6 (2)	111 (33)	131 (39)	76 (23)	3 (1)
Alopecia	165 (50)	0	2 (1)	0	169 (51)	0	0	0	174 (52)	2 (1)	1 (<1)	0
Nausea	147 (45)	6 (2)	0	0	145 (44)	7 (2)	0	0	147 (44)	5 (1)	0	0
Fatigue	117 (36)	6 (2)	0	0	102 (31)	12 (4)	1 (<1)	0	98 (29)	12 (4)	0	0
Constipation	111 (34)	3 (1)	0	0	101 (31)	0	1 (<1)	0	93 (28)	3 (1)	0	0
Peripheral sensory neuropathy	91 (28)	0	0	0	76 (23)	0	0	0	82 (25)	0	0	0
Anaemia	82 (25)	69 (21)	0	0	92 (28)	61 (19)	2 (1)	0	90 (27)	53 (16)	0	0
Vomiting	78 (24)	9 (3)	0	0	72 (22)	8 (2)	0	0	61 (18)	7 (2)	0	0
Diarrhoea	78 (24)	8 (2)	0	0	97 (29)	6 (2)	0	0	57 (17)	7 (2)	0	0
Arthralgia	75 (23)	1 (<1)	0	0	81 (25)	5 (2)	0	0	56 (17)	1 (<1)	0	0
Myalgia	66 (20)	1 (<1)	0	0	51 (16)	2 (1)	0	0	40 (12)	3 (1)	0	0
Abdominal pain	65 (20)	5 (2)	0	0	64 (19)	6 (2)	0	0	52 (16)	8 (2)	0	0
Decreased appetite	63 (19)	1 (<1)	0	0	54 (16)	1 (<1)	0	0	36 (11)	1 (<1)	0	0
Neuropathy peripheral	62 (19)	1 (<1)	0	0	74 (22)	3 (1)	0	0	64 (19)	1 (<1)	0	0
Rash	57 (17)	2 (1)	0	0	60 (18)	6 (2)	0	0	24 (7)	0	1 (<1)	0
Headache	55 (17)	1 (<1)	0	0	50 (15)	1 (<1)	0	0	30 (9)	0	0	0
Insomnia	50 (15)	2 (1)	0	0	39 (12)	0	0	0	30 (9)	2 (1)	0	0
Dizziness	44 (13)	0	1 (<1)	0	37 (11)	1 (<1)	0	0	28 (8)	0	0	0
Pruritus	37 (11)	1 (<1)	0	0	36 (11)	1 (<1)	0	0	19 (6)	0	0	0
Pyrexia	37 (11)	0	0	0	48 (15)	1 (<1)	0	0	23 (7)	1 (<1)	0	0
Dyspnoea	36 (11)	3 (1)	1 (<1)	0	45 (14)	4 (1)	0	0	29 (9)	1 (<1)	0	0
Cough	36 (11)	1 (<1)	0	0	55 (17)	0	0	0	22 (7)	0	0	0
Thrombocytopenia	33 (10)	13 (4)	1 (<1)	0	37 (11)	16 (5)	10 (3)	0	41 (12)	15 (4)	3 (1)	0
Urinary tract infection	33 (10)	4 (1)	0	0	42 (13)	8 (2)	0	0	27 (8)	2 (1)	0	0
Hypothyroidism	33 (10)	1 (<1)	0	0	33 (10)	0	0	0	5 (1)	0	0	0
Hypomagnesaemia	31 (9)	1 (<1)	0	0	38 (12)	3 (1)	1 (<1)	0	27 (8)	0	0	0
Abdominal pain upper	31 (9)	1 (<1)	0	0	38 (12)	2 (1)	0	0	24 (7)	0	0	0
Asthenia	30 (9)	5 (2)	0	0	44 (13)	2 (1)	0	0	21 (6)	1 (<1)	0	0
Back pain	29 (9)	2 (1)	0	0	34 (10)	2 (1)	0	0	28 (8)	3 (1)	0	0
Pain in extremity	29 (9)	1 (<1)	0	0	32 (10)	0	0	0	37 (11)	0	0	0
Stomatitis	28 (9)	0	0	0	24 (7)	0	0	0	19 (6)	1 (<1)	0	0
Alanine aminotransferase increased	27 (8)	1 (<1)	0	0	28 (9)	5 (2)	0	0	17 (5)	3 (1)	0	0
Infusion-related reaction	25 (8)	1 (<1)	0	0	30 (9)	3 (1)	1 (<1)	0	19 (6)	0	0	0

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Procedural pain	24 (7)	3 (1)	0	0	21 (6)	1 (<1)	0	0	11 (3)	1 (<1)	0	0
Neutropenia	23 (7)	54 (16)	37 (11)	0	26 (8)	54 (16)	45 (14)	0	25 (7)	48 (14)	40 (12)	0
Abdominal distension	23 (7)	0	0	0	17 (5)	0	0	0	17 (5)	2 (1)	0	0
Pain	20 (6)	1 (<1)	0	0	21 (6)	2 (1)	0	0	13 (4)	1 (<1)	0	0
Platelet count decreased	18 (5)	6 (2)	1 (<1)	0	28 (9)	8 (2)	3 (1)	0	29 (9)	14 (4)	1 (<1)	0
Aspartate aminotransferase increased	17 (5)	1 (<1)	0	0	25 (8)	4 (1)	0	0	20 (6)	1 (<1)	0	0
Hypokalaemia	16 (5)	7 (2)	0	0	15 (5)	9 (3)	3 (1)	0	15 (4)	4 (1)	1 (<1)	0
Leukopenia	15 (5)	12 (4)	1 (<1)	0	17 (5)	10 (3)	1 (<1)	0	15 (4)	5 (1)	0	0
Weight decreased	14 (4)	1 (<1)	0	0	17 (5)	0	0	0	11 (3)	2 (1)	0	0
Hyperthyroidism	13 (4)	1 (<1)	0	0	11 (3)	0	0	0	0	0	0	0
Bone pain	13 (4)	0	1 (<1)	0	13 (4)	0	0	0	14 (4)	0	0	0
Hypertension	12 (4)	4 (1)	0	0	12 (4)	7 (2)	0	0	10 (3)	3 (1)	0	0
Anxiety	12 (4)	1 (<1)	0	0	17 (5)	1 (<1)	0	0	15 (4)	0	0	0
Rash maculo-papular	12 (4)	1 (<1)	0	0	16 (5)	5 (2)	0	0	5 (1)	0	0	0
Blood creatinine increased	12 (4)	1 (<1)	0	0	11 (3)	2 (1)	0	0	6 (2)	0	0	0
Muscle spasms	12 (4)	1 (<1)	0	0	10 (3)	0	0	0	9 (3)	0	0	0
Palpitations	12 (4)	0	1 (<1)	0	6 (2)	0	0	0	6 (2)	0	0	0
Hypoaesthesia	12 (4)	0	0	0	21 (6)	0	0	0	12 (4)	1 (<1)	0	0
Hypertriglyceridaemia	12 (4)	0	0	0	10 (3)	5 (2)	0	0	8 (2)	1 (<1)	0	0
Neutrophil count decreased	11 (3)	31 (9)	18 (5)	0	9 (3)	29 (9)	16 (5)	0	16 (5)	32 (10)	27 (8)	0
Neck pain	11 (3)	2 (1)	0	0	6 (2)	0	0	0	3 (1)	0	0	0
Oedema	11 (3)	1 (<1)	0	0	6 (2)	0	0	0	6 (2)	0	0	0
Hyperglycaemia	10 (3)	4 (1)	1 (<1)	0	2 (1)	3 (1)	1 (<1)	0	4 (1)	2 (1)	1 (<1)	0
Hypersensitivity	10 (3)	1 (<1)	0	0	13 (4)	0	0	0	9 (3)	2 (1)	1 (<1)	0
Weight increased	10 (3)	1 (<1)	0	0	5 (2)	3 (1)	0	0	5 (1)	1 (<1)	0	0
White blood cell count decreased	9 (3)	22 (7)	1 (<1)	0	13 (4)	15 (5)	3 (1)	0	17 (5)	15 (4)	2 (1)	0
Abdominal pain lower	9 (3)	1 (<1)	0	0	15 (5)	0	0	0	7 (2)	0	0	0
Dermatitis	9 (3)	1 (<1)	0	0	7 (2)	0	0	0	2 (1)	0	0	0
Mucosal inflammation	8 (2)	1 (<1)	0	0	7 (2)	2 (1)	0	0	8 (2)	0	0	0
Influenza	8 (2)	0	0	0	10 (3)	2 (1)	0	0	5 (1)	0	0	0
Blood creatine phosphokinase increased	7 (2)	2 (1)	1 (<1)	0	4 (1)	4 (1)	1 (<1)	0	2 (1)	1 (<1)	0	0
Dehydration	7 (2)	2 (1)	0	0	10 (3)	4 (1)	0	0	6 (2)	1 (<1)	0	0
Hypotension	7 (2)	2 (1)	0	0	9 (3)	2 (1)	0	0	6 (2)	0	0	0

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Chest pain	7 (2)	2 (1)	0	0	9 (3)	2 (1)	0	0	3 (1)	1 (<1)	0	0
Drug hypersensitivity	7 (2)	1 (<1)	0	0	10 (3)	0	0	0	3 (1)	1 (<1)	0	0
Erythema	7 (2)	1 (<1)	0	0	7 (2)	0	0	0	3 (1)	0	0	0
Hypoalbuminaemia	7 (2)	1 (<1)	0	0	5 (2)	1 (<1)	0	0	5 (1)	3 (1)	0	0
Chest discomfort	7 (2)	0	0	0	5 (2)	1 (<1)	0	0	3 (1)	0	0	0
Ascites	6 (2)	6 (2)	0	0	4 (1)	4 (1)	0	0	4 (1)	2 (1)	0	0
Amylase increased	6 (2)	4 (1)	0	0	3 (1)	0	0	0	3 (1)	1 (<1)	0	0
Pneumonia	6 (2)	2 (1)	0	0	5 (2)	1 (<1)	1 (<1)	0	1 (<1)	1 (<1)	0	0
Haemorrhoids	6 (2)	1 (<1)	0	0	6 (2)	0	0	0	6 (2)	0	0	0
Hyperuricaemia	6 (2)	1 (<1)	0	0	4 (1)	1 (<1)	0	0	1 (<1)	0	0	0
Blood alkaline phosphatase increased	6 (2)	0	0	0	6 (2)	1 (<1)	0	0	10 (3)	0	0	0
Lymphocyte count decreased	4 (1)	4 (1)	1 (<1)	0	4 (1)	6 (2)	0	0	2 (1)	3 (1)	1 (<1)	0
Hyponatraemia	4 (1)	4 (1)	0	0	3 (1)	5 (2)	0	0	0	3 (1)	0	0
Gamma-glutamyltransferase increased	4 (1)	3 (1)	0	0	9 (3)	5 (2)	2 (1)	0	9 (3)	4 (1)	0	0
Herpes zoster	4 (1)	2 (1)	0	0	7 (2)	0	0	0	4 (1)	0	0	0
Bronchitis	4 (1)	0	0	0	5 (2)	1 (<1)	0	0	2 (1)	1 (<1)	0	0
Fall	4 (1)	0	0	0	5 (2)	0	0	0	1 (<1)	1 (<1)	0	0
Flank pain	4 (1)	0	0	0	4 (1)	1 (<1)	0	0	5 (1)	1 (<1)	0	0
Hyperkalaemia	4 (1)	0	0	0	4 (1)	1 (<1)	0	0	1 (<1)	0	0	0
Deep vein thrombosis	4 (1)	0	0	0	3 (1)	0	0	0	4 (1)	0	1 (<1)	0
Electrocardiogram QT prolonged	4 (1)	0	0	0	2 (1)	1 (<1)	0	0	3 (1)	0	1 (<1)	0
Abdominal hernia	4 (1)	0	0	0	2 (1)	1 (<1)	0	0	1 (<1)	0	0	0
Ileus	3 (1)	2 (1)	0	0	2 (1)	3 (1)	1 (<1)	0	6 (2)	4 (1)	1 (<1)	0
Dental caries	3 (1)	2 (1)	0	0	0	0	0	0	0	0	0	0
Intestinal obstruction	3 (1)	1 (<1)	2 (1)	0	1 (<1)	6 (2)	1 (<1)	0	0	7 (2)	0	0
Muscular weakness	3 (1)	1 (<1)	0	0	10 (3)	0	0	0	5 (1)	1 (<1)	0	0
Hypophosphataemia	3 (1)	1 (<1)	0	0	3 (1)	0	0	0	2 (1)	0	0	0
Small intestinal obstruction	3 (1)	1 (<1)	0	0	1 (<1)	7 (2)	0	0	1 (<1)	0	0	0
Cellulitis	3 (1)	0	0	0	6 (2)	1 (<1)	0	0	0	0	0	0
Rash pruritic	3 (1)	0	0	0	5 (2)	1 (<1)	0	0	1 (<1)	1 (<1)	0	0
Phlebitis	3 (1)	0	0	0	1 (<1)	0	1 (<1)	0	0	0	0	0
Drug eruption	3 (1)	0	0	0	0	1 (<1)	0	0	0	0	0	0
Diabetes mellitus	3 (1)	0	0	0	0	0	0	0	0	1 (<1)	0	0

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Febrile neutropenia	2 (1)	11 (3)	0	0	0	11 (3)	2 (1)	0	1 (<1)	9 (3)	1 (<1)	0
Haemoglobin decreased	2 (1)	4 (1)	1 (<1)	0	1 (<1)	2 (1)	0	0	1 (<1)	2 (1)	0	0
C-reactive protein increased	2 (1)	2 (1)	1 (<1)	0	2 (1)	0	0	0	1 (<1)	0	0	0
Embolism	2 (1)	2 (1)	0	1 (<1)	3 (1)	2 (1)	0	0	4 (1)	1 (<1)	0	0
Haematuria	2 (1)	1 (<1)	0	0	4 (1)	1 (<1)	0	0	5 (1)	0	0	0
Viral infection	2 (1)	1 (<1)	0	0	4 (1)	0	0	0	2 (1)	0	0	0
Presyncope	2 (1)	1 (<1)	0	0	2 (1)	0	0	0	1 (<1)	0	0	0
Psoriasis	2 (1)	1 (<1)	0	0	2 (1)	0	0	0	1 (<1)	0	0	0
Somnolence	2 (1)	1 (<1)	0	0	2 (1)	0	0	0	1 (<1)	0	0	0
Proteinuria	2 (1)	1 (<1)	0	0	2 (1)	0	0	0	0	0	0	0
Lymphocele	2 (1)	1 (<1)	0	0	0	0	0	0	1 (<1)	0	0	0
Escherichia urinary tract infection	2 (1)	1 (<1)	0	0	0	0	0	0	0	0	0	0
Atrial fibrillation	2 (1)	0	0	1 (<1)	1 (<1)	0	1 (<1)	0	1 (<1)	0	0	0
Pneumonitis	2 (1)	0	0	0	7 (2)	1 (<1)	0	0	0	0	0	0
Colitis	2 (1)	0	0	0	4 (1)	2 (1)	0	0	2 (1)	1 (<1)	0	0
Restless legs syndrome	2 (1)	0	0	0	4 (1)	1 (<1)	0	0	1 (<1)	0	0	0
Neurotoxicity	2 (1)	0	0	0	3 (1)	0	0	0	1 (<1)	2 (1)	0	0
Cancer pain	2 (1)	0	0	0	2 (1)	1 (<1)	0	0	1 (<1)	0	0	0
Autoimmune thyroiditis	2 (1)	0	0	0	2 (1)	1 (<1)	0	0	0	0	0	0
Hypoacusis	2 (1)	0	0	0	1 (<1)	1 (<1)	0	0	2 (1)	0	0	0
Urine output decreased	2 (1)	0	0	0	0	0	0	0	0	1 (<1)	0	0
Lipase increased	1 (<1)	4 (1)	2 (1)	0	4 (1)	6 (2)	1 (<1)	0	1 (<1)	2 (1)	0	0
Syncope	1 (<1)	3 (1)	0	0	2 (1)	3 (1)	0	0	2 (1)	1 (<1)	0	0
Gastroenteritis	1 (<1)	2 (1)	0	0	2 (1)	0	0	0	2 (1)	0	0	0
Hypocalcaemia	1 (<1)	1 (<1)	0	0	3 (1)	0	1 (<1)	0	0	0	0	0
Epigastric discomfort	1 (<1)	1 (<1)	0	0	3 (1)	0	0	0	2 (1)	0	0	0
Respiratory tract infection	1 (<1)	1 (<1)	0	0	2 (1)	0	0	0	3 (1)	0	0	0
Transaminases increased	1 (<1)	1 (<1)	0	0	2 (1)	0	0	0	0	0	0	0
Hypertransaminasaemia	1 (<1)	1 (<1)	0	0	1 (<1)	0	0	0	2 (1)	0	0	0
Carpal tunnel syndrome	1 (<1)	1 (<1)	0	0	1 (<1)	0	0	0	1 (<1)	0	0	0
Tooth abscess	1 (<1)	1 (<1)	0	0	1 (<1)	0	0	0	1 (<1)	0	0	0
Incisional hernia	1 (<1)	1 (<1)	0	0	1 (<1)	0	0	0	0	0	0	0
Sarcoidosis	1 (<1)	1 (<1)	0	0	1 (<1)	0	0	0	0	0	0	0
Device related infection	1 (<1)	1 (<1)	0	0	0	2 (1)	0	0	0	1 (<1)	0	0
Cholelithiasis	1 (<1)	1 (<1)	0	0	0	1 (<1)	0	0	2 (1)	0	0	0
Dermatitis bullous	1 (<1)	1 (<1)	0	0	0	0	0	0	0	0	0	0

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Pleural effusion	1 (<1)	0	1 (<1)	0	8 (2)	1 (<1)	0	0	2 (1)	0	0	0
Sciatica	1 (<1)	0	0	0	2 (1)	1 (<1)	0	0	0	0	0	0
Hernia	1 (<1)	0	0	0	2 (1)	0	0	0	0	1 (<1)	0	0
Wound infection	1 (<1)	0	0	0	1 (<1)	1 (<1)	0	0	4 (1)	2 (1)	0	0
Wound dehiscence	1 (<1)	0	0	0	1 (<1)	1 (<1)	0	0	2 (1)	0	0	0
Impaired healing	1 (<1)	0	0	0	1 (<1)	1 (<1)	0	0	1 (<1)	0	0	0
Tooth infection	1 (<1)	0	0	0	1 (<1)	1 (<1)	0	0	1 (<1)	0	0	0
Enteritis	1 (<1)	0	0	0	1 (<1)	1 (<1)	0	0	0	0	0	0
Hyperlipidaemia	1 (<1)	0	0	0	1 (<1)	0	0	0	3 (1)	1 (<1)	0	0
Nephrolithiasis	1 (<1)	0	0	0	1 (<1)	0	0	0	0	1 (<1)	0	0
Cystitis noninfective	1 (<1)	0	0	0	0	1 (<1)	0	0	2 (1)	0	0	0
Contrast media allergy	1 (<1)	0	0	0	0	1 (<1)	0	0	1 (<1)	0	0	0
Leukocytosis	1 (<1)	0	0	0	0	1 (<1)	0	0	1 (<1)	0	0	0
Pneumothorax	1 (<1)	0	0	0	0	1 (<1)	0	0	1 (<1)	0	0	0
Femoral neck fracture	1 (<1)	0	0	0	0	1 (<1)	0	0	0	0	0	0
Lymphopenia	1 (<1)	0	0	0	0	1 (<1)	0	0	0	0	0	0
Cerebrovascular accident	1 (<1)	0	0	0	0	0	1 (<1)	0	0	0	0	0
Pulmonary oedema	1 (<1)	0	0	0	0	0	1 (<1)	0	0	0	0	0
Post-procedural haemorrhage	1 (<1)	0	0	0	0	0	0	0	0	1 (<1)	0	0
Haematoma	1 (<1)	0	0	0	0	0	0	0	0	0	1 (<1)	0
Pulmonary embolism	0	7 (2)	2 (1)	2 (1)	2 (1)	5 (2)	1 (<1)	0	3 (1)	3 (1)	1 (<1)	1 (<1)
Acute kidney injury	0	2 (1)	0	0	2 (1)	1 (<1)	0	0	0	0	0	0
Peritonitis	0	2 (1)	0	0	0	0	0	0	1 (<1)	0	0	0
Sepsis	0	1 (<1)	1 (<1)	0	0	0	1 (<1)	0	0	0	0	0
Type 1 diabetes mellitus	0	1 (<1)	1 (<1)	0	0	0	0	0	0	0	0	0
Infection	0	1 (<1)	0	0	3 (1)	0	0	0	1 (<1)	1 (<1)	0	0
Blood pressure increased	0	1 (<1)	0	0	1 (<1)	1 (<1)	0	0	0	1 (<1)	0	0
Confusional state	0	1 (<1)	0	0	1 (<1)	0	0	0	1 (<1)	0	0	0
Vaginal cuff dehiscence	0	1 (<1)	0	0	1 (<1)	0	0	0	0	1 (<1)	0	0
Lymphadenopathy	0	1 (<1)	0	0	1 (<1)	0	0	0	0	0	0	0
Seroma	0	1 (<1)	0	0	1 (<1)	0	0	0	0	0	0	0
Urogenital fistula	0	1 (<1)	0	0	1 (<1)	0	0	0	0	0	0	0
Pyelonephritis	0	1 (<1)	0	0	0	2 (1)	0	0	1 (<1)	0	0	0
Hydronephrosis	0	1 (<1)	0	0	0	1 (<1)	0	0	0	0	0	0
Urosepsis	0	1 (<1)	0	0	0	1 (<1)	0	0	0	0	0	0
Glucose tolerance impaired	0	1 (<1)	0	0	0	0	0	0	1 (<1)	0	0	0

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
White blood cell count	0	1 (<1)	0	0	0	0	0	0	1 (<1)	0	0	0
Essential hypertension	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Fistula	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Guttate psoriasis	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Hypernatraemia	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Intestinal dilatation	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Intestinal haemorrhage	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Lymph gland infection	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Malignant pleural effusion	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Metabolic acidosis	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Peripheral sensorimotor neuropathy	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Respiratory acidosis	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Tachypnoea	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Toxicity to various agents	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Tuberculosis	0	1 (<1)	0	0	0	0	0	0	0	0	0	0
Gastrointestinal obstruction	0	0	2 (1)	0	0	1 (<1)	0	0	0	0	0	0
Blood triglycerides increased	0	0	1 (<1)	0	0	0	0	0	4 (1)	0	0	0
Hypercalcaemia of malignancy	0	0	1 (<1)	0	0	0	0	0	0	0	0	0
Oliguria	0	0	1 (<1)	0	0	0	0	0	0	0	0	0
Pancreatitis	0	0	1 (<1)	0	0	0	0	0	0	0	0	0
Procedural intestinal perforation	0	0	1 (<1)	0	0	0	0	0	0	0	0	0
Disease progression	0	0	0	1 (<1)	0	0	0	3 (1)	0	0	0	0
Adrenal insufficiency	0	0	0	0	3 (1)	2 (1)	0	0	0	0	0	0
Postoperative wound infection	0	0	0	0	3 (1)	1 (<1)	0	0	1 (<1)	0	0	0
Hypoxia	0	0	0	0	2 (1)	0	0	0	1 (<1)	1 (<1)	1 (<1)	0
Bacteriuria	0	0	0	0	2 (1)	0	0	0	0	1 (<1)	0	0
Ankle fracture	0	0	0	0	1 (<1)	1 (<1)	0	0	1 (<1)	0	0	0
Cholecystitis acute	0	0	0	0	1 (<1)	1 (<1)	0	0	0	0	0	0
Facial nerve disorder	0	0	0	0	1 (<1)	1 (<1)	0	0	0	0	0	0
Hypovolaemia	0	0	0	0	1 (<1)	1 (<1)	0	0	0	0	0	0
Respiratory distress	0	0	0	0	1 (<1)	1 (<1)	0	0	0	0	0	0
Subileus	0	0	0	0	1 (<1)	0	0	0	1 (<1)	1 (<1)	1 (<1)	0

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Erythema multiforme	0	0	0	0	0	2 (<1)	0	0	0	0	0	0
Hypopituitarism	0	0	0	0	0	2 (<1)	0	0	0	0	0	0
Large intestinal obstruction	0	0	0	0	0	2 (<1)	0	0	0	0	0	0
Malignant melanoma	0	0	0	0	0	2 (<1)	0	0	0	0	0	0
Pancytopenia	0	0	0	0	0	1 (<1)	1 (<1)	0	0	0	0	0
Abdominal abscess	0	0	0	0	0	1 (<1)	0	1 (<1)	0	0	0	0
Anaphylactic reaction	0	0	0	0	0	1 (<1)	0	0	0	1 (<1)	0	0
Infected lymphocele	0	0	0	0	0	1 (<1)	0	0	0	1 (<1)	0	0
Bacteraemia	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Blood calcium decreased	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Breast cancer	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Depression suicidal	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Diaphragmatic hernia	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Disseminated intravascular coagulation	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Drooling	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Erythropeia	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Escherichia sepsis	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Hypoglycaemia	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Immune-mediated enterocolitis	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Immune-mediated hepatitis	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Malnutrition	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Melanocytic naevus	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Normocytic anaemia	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Pelvic-inflammatory disease	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Pelvic venous thrombosis	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Pseudomembranous colitis	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Pulmonary infarction	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Renal colic	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Retinal vein occlusion	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Septic shock	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Skin neoplasm excision	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Systemic lupus erythematosus	0	0	0	0	0	1 (<1)	0	0	0	0	0	0
Urogenital disorder	0	0	0	0	0	1 (<1)	0	0	0	0	0	0

	Chemotherapy → avelumab (N=328)				Chemotherapy + avelumab → avelumab (N=329)				Chemotherapy → observation (N=334)			
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5
Large intestine perforation	0	0	0	0	0	0	1 (<1)	0	1 (<1)	0	0	0
Anaphylactic shock	0	0	0	0	0	0	1 (<1)	0	0	0	0	0
Anastomotic leak	0	0	0	0	0	0	1 (<1)	0	0	0	0	0
Meningitis bacterial	0	0	0	0	0	0	1 (<1)	0	0	0	0	0
Subdural haematoma	0	0	0	0	0	0	1 (<1)	0	0	0	0	0
Cardiopulmonary failure	0	0	0	0	0	0	0	1 (<1)	0	0	0	0
Multiple organ dysfunction syndrome	0	0	0	0	0	0	0	1 (<1)	0	0	0	0
Perforation	0	0	0	0	0	0	0	1 (<1)	0	0	0	0
Faecaloma	0	0	0	0	0	0	0	0	1 (<1)	1 (<1)	0	0
Pelvic infection	0	0	0	0	0	0	0	0	1 (<1)	1 (<1)	0	0
Blood sodium decreased	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Cardiac function disturbance postoperative	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Empyema	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Escherichia infection	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Glomerular filtration rate decreased	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Haematocrit decreased	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Incarcerated hernia	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Menorrhagia	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Myocardial infarction	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Peripheral nerve paresis	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Peritoneal adhesions	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Persistent depressive disorder	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Red blood cell count decreased	0	0	0	0	0	0	0	0	0	1 (<1)	0	0
Death	0	0	0	0	0	0	0	0	0	0	0	1 (<1)
Malignant neoplasm progression	0	0	0	0	0	0	0	0	0	0	0	1 (<1)

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14 AE=adverse event.

15 AEs of grade 1–2 occurring in ≥10% ~~of patients and all~~ grade 3–5 in ≥2% of patients in any arm are shown. A table showing AEs of grade 1–
 16 2 occurring in ≥10% of patients and all AEs of grade 3, 4 or 5 is included in the appendix, p 12.