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Mechanical and morphological properties of parietal bone in patients with sagittal craniosynostosis

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PII: S1751-6161(21)00560-9

DOI: <https://doi.org/10.1016/j.jmbbm.2021.104929>

Reference: JMBBM 104929

To appear in: *Journal of the Mechanical Behavior of Biomedical Materials*

Received Date: 21 November 2020

Revised Date: 15 October 2021

Accepted Date: 23 October 2021

Please cite this article as: Ajami, S., Rodriguez-Florez, N., Ong, J., Jeelani, N.u.O., Dunaway, D., James, G., Angullia, F., Budden, C., Bozkurt, S., Ibrahim, A., Ferretti, P., Schievano, S., Borghi, A., Mechanical and morphological properties of parietal bone in patients with sagittal craniosynostosis, *Journal of the Mechanical Behavior of Biomedical Materials* (2021), doi: <https://doi.org/10.1016/j.jmbbm.2021.104929>.

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1 **Mechanical and Morphological Properties of Parietal Bone in Patients with**  
2 **Sagittal Craniosynostosis**

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15 **Abstract**

16 Limited information is available on the effect of sagittal craniosynostosis (CS) on  
17 morphological and material properties of the parietal bone. Understanding these properties  
18 would not only provide an insight into bone response to surgical procedures but also improve  
19 the accuracy of computational models simulating these surgeries. The aim of the present study  
20 was to characterise the mechanical and microstructural properties of the cortical table and  
21 diploe in parietal bone of patients affected by sagittal CS. Twelve samples were collected from  
22 pediatric patients (11 males, and 1 female; age  $5.2 \pm 1.3$  months) surgically treated for sagittal  
23 CS. Samples were imaged using micro-computed tomography (micro-CT); mechanical  
24 properties were extracted by means of micro-CT based finite element modelling (micro-FE) of  
25 three-point bending test, calibrated using sample-specific experimental data. Reference point  
26 indentation (RPI) was used to validate the micro-FE output. Bone samples were classified  
27 based on their macrostructure as unilaminar or trilaminar (sandwich) structure. The elastic  
28 moduli obtained using RPI and micro-FE approaches for cortical tables ( $E_{RPI} 3973.33 \pm 268.45$   
29 MPa and  $E_{micro-FE} 3438.11 \pm 387.38$  MPa) in the sandwich structure and diploe ( $E_{RPI} 1958.17 \pm$   
30  $563.79$  MPa and  $E_{micro-FE} 1960.66 \pm 492.44$  MPa) in unilaminar samples were in strong  
31 agreement ( $r=.86$ ,  $p<0.01$ ). We found that the elastic modulus of cortical tables and diploe  
32 were correlated with bone mineral density. Changes in the microstructure and mechanical  
33 properties of bone specimens were found to be irrespective of patients' age. Although younger  
34 patients are reported to benefit more from surgical intervention as skull is more malleable,  
35 understanding the material properties is critical to better predict the surgical outcome in  
36 patients  $<1$  year old since age-related changes were minimal.

37

38 **Keywords:** Craniosynostosis, Cranial bone microstructure, Biomechanics of cranial bone,  
39 Pediatric

## 40 1 Introduction

41 Craniosynostosis (CS) is a congenital malformation defined by premature fusion of one or  
42 more skull sutures – specialised fibrous joints which connect the bones of the cranial vault [1].  
43 CS results in abnormalities of normal skull growth, causing aesthetic deformity and, in some  
44 cases, functional problems affecting relevant organs such as the brain and eyes. It can present  
45 as part of a genetically or clinically defined syndrome [2], but in the majority of CS patients no  
46 genetic cause is identified and only a single cranial suture is affected – so called ‘non-  
47 syndromic CS [3, 4]. Sagittal CS is the most common type of CS accounting for between 40%  
48 and 55% of non-syndromic cases [3]. If untreated, skull malformations may result in functional  
49 problems such as intracranial hypertension, which may result in visual and neurological harm  
50 [5].

51 Currently, the only interventions for CS are surgical. Surgical techniques can broadly be  
52 divided into minimally invasive techniques, where the fused suture is released and distraction  
53 (internal or external) is applied; or calvarial vault remodelling procedures, more extensive  
54 operations where osteoplastic flaps are cut from the skull, reshaped and repositioned to  
55 correct head shape. Minimally invasive techniques such as spring-assisted cranioplasty (SAC)  
56 have become increasingly popular over the last 20 years, particularly in young infants, as they  
57 involve smaller skin incisions and less extensive soft dissection and osteotomies, with  
58 concurrent reductions in transfusion rates, length of hospital stay and post-operative recovery  
59 [6, 7]. However, the optimal timing of surgery for CS remains unclear and a subject of ongoing  
60 debate.

61 Previous studies have used FE and statistical shape modelling to predict final head changes  
62 and unveil surgical factors affecting the outcome [8-10]. While promising results have been  
63 reported, one of the difficulties in such models is the lack of information on the mechanical  
64 properties of the paediatric skull affected by CS [9]. Moreover, a recent study by Rodriguez-  
65 Florez et al. has suggested that when predicting surgical outcomes, preoperative examination  
66 of cranial bone structure should be considered for patients with an age range of 3-8 months,  
67 as the bone structure strongly affects head shape changes in patients [11]. The structure of  
68 the cranial bone evolves from unilaminar structure in childhood to trilaminar (sandwich)  
69 structure in adults: during growth, the cranial bone differentiates structurally into a three-layer  
70 composite consisting of two external cortical tables and a central trabecular layer, the diploe  
71 [12-14]. Florez et al. reported parietal bone samples with unilaminar structure were associated  
72 with an increased improvement in head shape changes than trilaminar [11].

73 The parameters indicative of bone quality are not accurately interpretable from clinical  
74 examination and traditional radiographic imaging approaches, and often rely on ex-vivo

75 techniques to quantify the changes. The ability to non-invasively measure the material level  
76 changes in vivo that relates to bone pathophysiology represents a powerful tool for disease  
77 diagnosis and management [15-20]. Therefore, there is an immense desire for non-destructive  
78 biomarkers to characterise bone properties. The aim of this study was to characterise the  
79 microstructural and mechanical properties of parietal bone in paediatric patients with sagittal  
80 CS and to identify a translatable biomarker representative of bone properties in order to better  
81 predict surgical outcomes. Bone samples were classified as unilaminar or trilaminar. Since the  
82 cortical and diploe feature independent mechanical properties and architecture, an enhanced  
83 characterisation of each layer was carried out to improve our understanding of changes in the  
84 diseased cranial bone. Micro-computed tomography (micro-CT) based finite element (micro-  
85 FE) modelling has been widely used to indirectly assess the biomechanical properties of bone  
86 and is particularly attractive as a tool to evaluate bones when physical testing of samples is  
87 not possible [21-25]. On the other hand, a common method for direct bone testing is reference  
88 point indentation (RPI), which is a non-destructive approach to test bone material properties  
89 using cyclic micro-indentation [26]. Microstructural properties of the cranial bone were  
90 examined using high-resolution micro-CT; three-point bending tests were carried out on each  
91 sample and the output was used to calibrate micro-FE model which allowed reverse estimation  
92 of each layer's mechanical properties; finally, validation of the results was carried out against  
93 RPI.

## 94 **2 Materials and Methods**

### 95 **2.1 Sample collection and preparation**

96 In this study 12 patients (1 female and 11 males, age =  $5.2 \pm 1.3$  months, ranging between 3  
97 and 8 months) affected by non-syndromic, sagittal CS who underwent SAC at Great Ormond  
98 Street Hospital for Children (GOSH, London, UK) between November 2018 and June 2019  
99 were prospectively recruited. SAC is the standard of care in GOSH for the correction of  
100 scaphocephaly in young children affected by single-suture sagittal CS. Detailed information  
101 on the surgical procedure can be found at Rodgers et al.[6]. As a part of the procedure, a  
102 square craniectomy is performed straddling the sagittal sinus approximately halfway along the  
103 fused sagittal suture, with the cranial bone fragment being usually discarded. Following ethical  
104 approval and parental consent (UK Health Research Authority REC reference: 09/H0722/28)  
105 the excised bone specimens, namely parietal bones, were collected from the operation.  
106 Retrieved bone samples were cleaned of soft tissue, fixed in 4% paraformaldehyde (PFA) for  
107 24 hours, and stored in  $-20^{\circ}\text{C}$  freezer until preparation for testing.

### 108 **2.2 Micro-computed tomography (micro-CT)**

109 Parietal bone samples were thawed in phosphate buffered saline (PBS) at room temperature  
110 for less than 3 hours prior to micro-CT scanning. Each sample was scanned using a Skyscan  
111 1172 (Skyscan, Kontich, Belgium) at a voxel size of  $8.9 \mu\text{m}$ , with an X-ray tube operated at 49  
112 kV,  $200 \mu\text{A}$ , 885 ms exposure time, a rotational step of  $0.4^{\circ}$  over  $180^{\circ}$  total rotation, and a 0.5  
113 mm aluminum filter. The slices were reconstructed using NRecon 1.7.1.0 (Skyscan, Kontich,  
114 Belgium). 2D/3D analyses were performed using CTAn software (Skyscan, Kontich, Belgium).  
115 Finally, CTvox (Skyscan, Kontich, Belgium) was used for 3D visualisation and production of  
116 colour-coded images of trabecular thickness and separation.

117 A visual inspection of the micro-CT images demonstrated that the structure of parietal bone  
118 samples was either unilaminar ( $n=6$ ) composed of diploe or trilaminar ( $n=6$ ) with an outer and  
119 inner cortical table and a diploe core. Calibrated micro-CT was used to assess trabecular bone  
120 mineral density (BMD) and cortical tissue mineral density (TMD) using two SkyScan-supplied  
121 bone phantoms with known mineral density values of  $0.25$  and  $0.75 \text{ g/cm}^3$  calcium  
122 hydroxyapatite. The phantoms were scanned and reconstructed using the same scan settings.

### 123 **2.3 Sample beam mechanical testing**

124 Beam-shaped specimens were cut from the parietal bone, parallel to the sagittal suture and  
125 under constant irrigation at room temperature using a diamond saw (Isomet™, Buehler,

126 Coventry, UK), with a target width of 2 mm. The beams were placed on a custom-made fixture  
127 with adjustable span in a manner consistent with simply supported boundary condition and  
128 loaded midspan. A span length of 10.40 or 5.40 mm was used. Different lengths were chosen  
129 due to the restrictions in the size of the sample available.

130 The samples were subjected to a three-point bending test using a Zwick Roell material testing  
131 machine (Z0.5, Zwick Roell Group, Ulm, Germany) at 0.005 mm/s for 4 cycles up to a  
132 maximum deflection of 0.5 mm. During the test, the force-displacement curves were recorded  
133 and used later for calibration of the micro-FE model and indirect estimation of the calvarial  
134 bone material properties.,.

## 135 **2.4 Micro-Finite Element Modelling (Micro-FE)**

136 3D CAD models of bone samples were generated from the reconstructed micro-CT images  
137 (Figure 1). Each image-set was imported into ScanIP software (version N-2018.03,  
138 Simpleware Ltd., Exeter, UK). The image datasets were subsampled to a pixel size of 20  $\mu\text{m}$   
139 to remove un-necessary detail and help with mesh creation. In each dataset, the regions  
140 representing the parietal bone were segmented using a combination of threshold and flood fill  
141 operations to remove any floating or disconnected structures. Three different volumes of  
142 interest (VOI) in the shape of rectangular beams matching the dimensions of the beam used  
143 for the mechanical test were extracted from each dataset to examine intrasample variability  
144 (Figure 1B). The selected beams were in the same or close to the location of the beam  
145 prepared for experimental three-point bending. Therefore, it can be assumed that the FE  
146 model would well represent the beam tested experimentally. In each beam, cortical  
147 compartment and diploe were manually segmented according to macro-porosity (Figure 1C):  
148 cortical bone shows a compact structure with low porosity; trabecular bone shows a lattice-  
149 type and highly porous structure. We inversely characterised the Young's modulus of the  
150 diploe in unilaminar, cortical and diploe in trilaminar structures, using micro-FE model of three-  
151 point bending - calibrated with three-point bending experimental output. A design of  
152 Experiment stage followed by model optimization (using Latin hypercube sampling design  
153 algorithm implemented in ANSYS - Release 19.0, ANSYS, Inc. and ANSYS Europe, Ltd.)  
154 allowed the estimation of the different layer mechanical properties by matching the mechanical  
155 response of the micro-FE model (displacement vs reaction force) with the relative curve  
156 retrieved during the three-point bending test. The outer layer properties (diploe in the  
157 unilaminar samples, cortical layer in trilaminar samples) was compared with RPI  
158 measurements for validation.

159 Symmetry within the beam was assumed, to simplify the use of boundary conditions and to  
 160 decrease the computational time. A comparison with the numerical results between the full  
 161 beam and quadrant beam models was carried out in 4 samples and a deviation of less than  
 162 10% was found. Then a quadrant from each beam was used to build tetrahedral meshes  
 163 (SimplewareFE, version N-2018.03, Simpleware Ltd., Exeter, UK) with a coarseness value of  
 164 -25, which corresponded to an average of 98,182 nodes (17,927 nodes/mm<sup>3</sup>) and 381,530  
 165 elements (69,191 elements/mm<sup>3</sup>) for all patients and imported to ANSYS to simulate bending  
 166 using the same conditions as the mechanical testing (supplementary materials). A mesh  
 167 sensitivity analysis on element size was performed on 4 samples to achieve optimal balance  
 168 between accuracy (with a deviation threshold of 5%) and CPU time. For all micro-FE models,  
 169 the bone material properties were considered to be isotropic, linear elastic, and uniform with  
 170 a Poisson's ratio of 0.3. The loading point and support were simulated using displacement  
 171 conditions on specific node subsets (linearly increasing displacement from 0 to 0.5mm for the  
 172 loading point, while imposing zero displacements for the support). The diploe and cortical  
 173 moduli were determined using a response surface optimisation method targeting the peak  
 174 force obtained in experimental testing with an error percentage of less than 0.5.

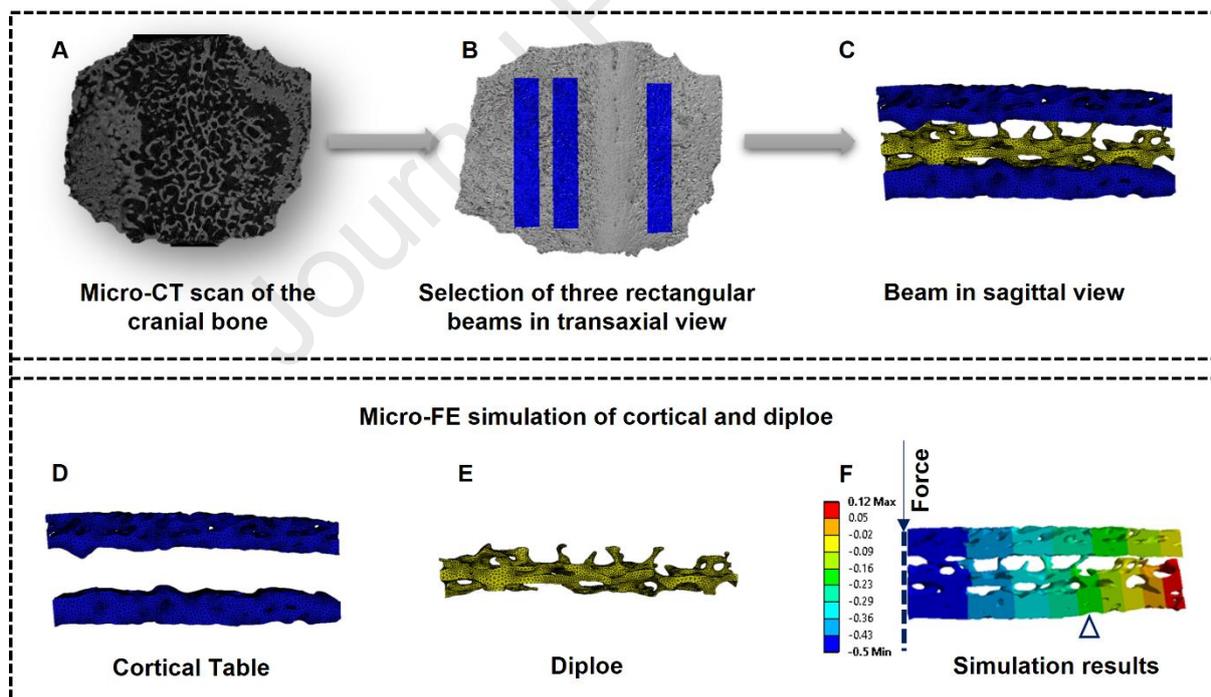


Figure 1. An Overview of operational steps performed for micro-FE modelling of three-point bending in parietal bone samples. The sample presented in this figure is representative of a parietal bone with trilaminar structure. A) Parietal bone samples were collected from craniostylosis patients and scanned with micro-CT; (B) Reconstructed micro-CT images were imported into ScanIP software and three rectangular beams were excised; (C) Rectangular beams with trilaminar (sandwich) structure were segmented into (D) cortical tables and (E) diploe compartments and meshed for subsequent (F) micro-finite element analysis.

## 175 2.5 Morphometric Analysis

176 Each resized dataset was imported into CTAn for morphological analysis [27]. The measured  
 177 structural parameters for all samples (unsegmented) were bone volume fraction (BV/TV; %),  
 178 specific bone surface (BS/BV; mm<sup>-1</sup>), Cs.Th (cross-sectional thickness; mm) and bone mineral  
 179 density (BMD, g/cm<sup>3</sup>). For the diploe analysis BV/TV, BS/BV, Cs.Th, BMD, trabecular  
 180 thickness (Tb.Th; mm), trabecular number (Tb.N; mm<sup>-1</sup>), trabecular spacing (Tb.Sp; mm),  
 181 bone pattern factor- index of trabecular bone connectivity (Tb.Pf; mm<sup>-1</sup>), and porosity (Po ;%)  
 182 were determined. For cortical bone, BV/T, BS/BV, Po, and tissue mineral density (TMD, g/cm<sup>3</sup>)  
 183 were analysed.

## 184 2.6 Reference Point Indentation (RPI)

185 Microindentation was performed using an RPI system (BioDent; Active Life Scientific, Santa  
 186 Barbara, CA, USA). Briefly, RPI measures the displacement (relative to the bone surface) of  
 187 a stainless steel test probe that indents the bone at a given load, dwells for a short period  
 188 (typically <200 ms), and unloads to ~0 N [26]. The probe assembly consists of a cannula-like  
 189 reference probe and a test probe that slides inside the reference probe. This allows the  
 190 reference probe to establish and maintain a reference point on the material enabling the test  
 191 probe to precisely indent the material relative to that established reference point. Each  
 192 indentation was performed using the BP2 probe (375 µm diameter, 90° cono-spherical, 5 µm  
 193 radius tip) and consisted of 10 cycles at 2 Hz with a maximum force of 2 N per cycle.  
 194 Measurements were repeated at 5 regions per sample and each indentation site was  
 195 approximately 5 mm apart (spacing between the indentation was >3 times the indent diameter  
 196 [28]). Throughout the testing, specimens were kept moist with PBS at room temperature. Prior  
 197 to testing, probes were calibrated using polymethylmethacrylate (PMMA) according to the  
 198 manufacturer's instruction [29, 30].

199 RPI output was used to calculate the elastic modulus of diploe ( $E_{\text{Diploe unilaminar}}$ ) in unilaminar  
 200 samples and cortical bone ( $E_{\text{Cortical trilaminar}}$ ) in trilaminar structures. The elastic modulus was  
 201 calculated using the Oliver–Pharr technique [31]: initially, the reduced elastic modulus ( $E_r$ )  
 202 was calculated using the equation shown below:

$$203 \quad E_r = \frac{\sqrt{\pi}S}{2\sqrt{A}}$$

204 where S is the unloading slope when maximum indentation force is reached and A is the  
 205 contact area, which is the projected area geometry of the cono-spherical tip calculated from  
 206 radius and the contact depth of the indenter.

207 
$$Area = \pi ((r \times 0.414) + Indentation\ Depth)^2$$

208 From the reduced elastic modulus, the bone elastic modulus was computed:

209 
$$\frac{1}{E_r} = \frac{1 - \nu^2}{E} + \frac{1 - \nu_i^2}{E_i}$$

210 where  $E$  and  $\nu$  (0.3) are the elastic modulus and Poisson's ratio for the bone and  $E_i$  (200GPa)  
211 and  $\nu_i$  (0.3) are the same parameters for the indenter.

212

## 213 **2.7 Statistical analysis**

214 All Statistical analyses were performed using SPSS 25.0 (SPSS, Inc., Chicago, IL, USA).  
215 Results are presented as mean  $\pm$  standard deviation. Mann Whitney U test was used to assess  
216 the statistical significance of the differences between cortical tables and diploe. The correlation  
217 between the mechanical properties and microstructural parameters of the bone samples, and  
218 the agreement between RPI and micro-FE approaches were evaluated using Spearman's  
219 rank correlation. Results were considered significant when  $P < 0.05$ .

## 220 3 Results

### 221 3.1 Biomechanics of Parietal Bone in Patients with sagittal CS

222 A total of 12 samples were collected from pediatric patients representing unilaminar (n=6) and  
223 trilaminar (n=6) structure. The elastic modulus obtained for parietal bone was determined  
224 using RPI and micro-FE model of three-point bending.

225 RPI allowed calculation of superficial layer Young's modulus, hence cortical Young's modulus  
226 for the trilaminar structure ( $E_{\text{Cortical trilaminar}}$ ) and diploe for the unilaminar structures ( $E_{\text{Diploe}}$   
227  $_{\text{unilaminar}}$ ). The average total indentation distance (TID) in the cortical layer of trilaminar samples  
228 ( $36.25 \pm 3.56 \mu\text{m}$ ) was much lower than the average thickness of the cortical layer ( $269.47 \pm 75$   
229  $\mu\text{m}$ ) in all samples. A significantly higher elastic modulus for the cortical bone in trilaminar  
230 structures was found in comparison to diploe ( $E_{\text{Cortical trilaminar}} 3973.33 \pm 268.45 \text{ MPa}$  vs  $E_{\text{Diploe}}$   
231  $_{\text{unilaminar}} 1958.17 \pm 563.79 \text{ MPa}$ ,  $p < 0.01$ ) in unilaminar structure (supplementary materials). The  
232 average TID and unloading slope were:  $53.33 \pm 8 \mu\text{m}$  and  $0.24 \pm 0.06 \text{ N}/\mu\text{m}$  for unilaminar  
233 samples and  $36.25 \pm 3.56 \mu\text{m}$  and  $0.31 \pm 0.03 \text{ N}/\mu\text{m}$  for trilaminar samples ( $p < 0.05$ ).

234 Micro-FE allowed indirect evaluation of bone Young's modulus by means of model  
235 optimization; when all bone samples were initially treated as a homogeneous material (cortical  
236 layer and diploe treated as same), an average value of  $1412.16 \pm 539.11 \text{ MPa}$  (range;  $729.69$   
237  $- 2416.98$ ) was derived. When the cortical layer was separated from diploe in the subset of  
238 patients who had a trilaminar structure, the values indicated that Young's modulus of the diploe  
239 layer in unilaminar structures was lower than the cortical ( $E_{\text{Cortical trilaminar}} 3438.11 \pm 387.38 \text{ MPa}$   
240 vs  $E_{\text{Diploe unilaminar}} 1960.66 \pm 492.44 \text{ MPa}$ ,  $p < 0.01$ ) but higher than the diploe ( $E_{\text{Diploe unilaminar}}$ :  
241  $1960.66 \pm 492.44$  vs  $E_{\text{Diploe trilaminar}} 651.13 \pm 331.35 \text{ MPa}$ ,  $P < 0.01$ ) in trilaminar structures.

242 The level of agreement between the micro-FE and RPI data for cortical and diploe is illustrated  
243 in Figure 2. Spearman's correlation, used to assess the relationship between  $E_{\text{Micro-FE}}$  and  $E_{\text{RPI}}$ ,  
244 confirmed a strong correlation ( $r = .86$ ,  $p < 0.01$ ). There were no significant correlations between  
245 age and the elastic modulus of samples (Figure 3).

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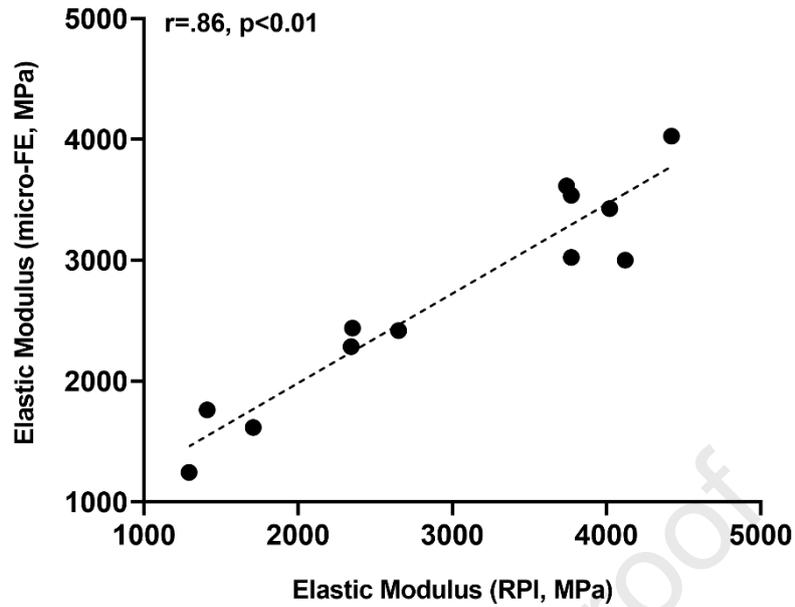


Figure 2. Correlation between elastic modulus derived from reference point indentation (RPI) and micro-FE models. The cortical modulus from trilaminar samples and diploe modulus from unilaminar samples were considered. Strong, positive correlation was found between  $E_{\text{micro-FE}}$  and  $E_{\text{RPI}}$ .

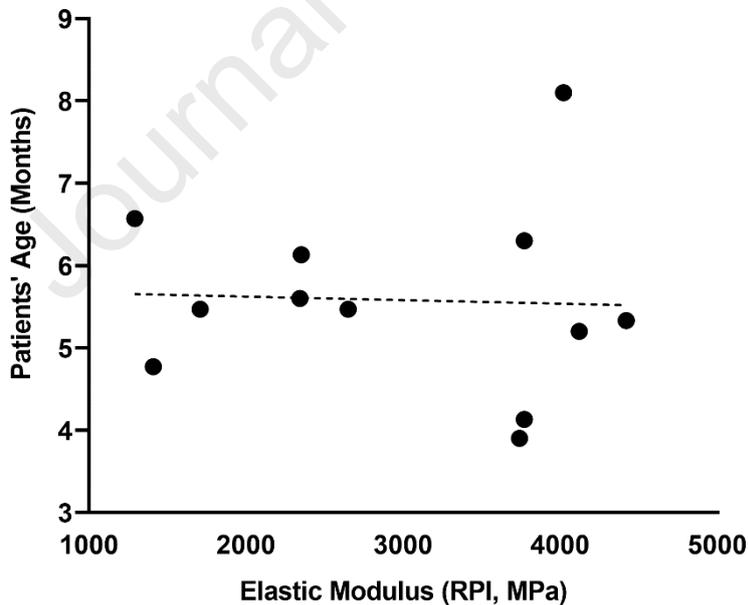


Figure 3. Relationship between patients' age and elastic modulus obtained from reference point indentation. For trilaminar samples the modulus for cortical tables and, for unilaminar the modulus for diploe were considered. No significant correlations were found.

259  
 260

### 261 3.2 Sagittal CS and Microstructure of Parietal Bone

262 Figure 4 represents differences in the macrostructure of micro-CT scanned parietal bones  
 263 between patients. A sandwich structure was observed in six patients with a mean age of  $5.5$   
 264  $\pm 1.5$  months (range; 3-8), whereas the other six with a mean age of  $5.7 \pm 0.6$  months (range;  
 265 4-6) represented a unilaminar diploe structure. No statistical differences were found when the  
 266 age of patients in the two subgroups was compared.

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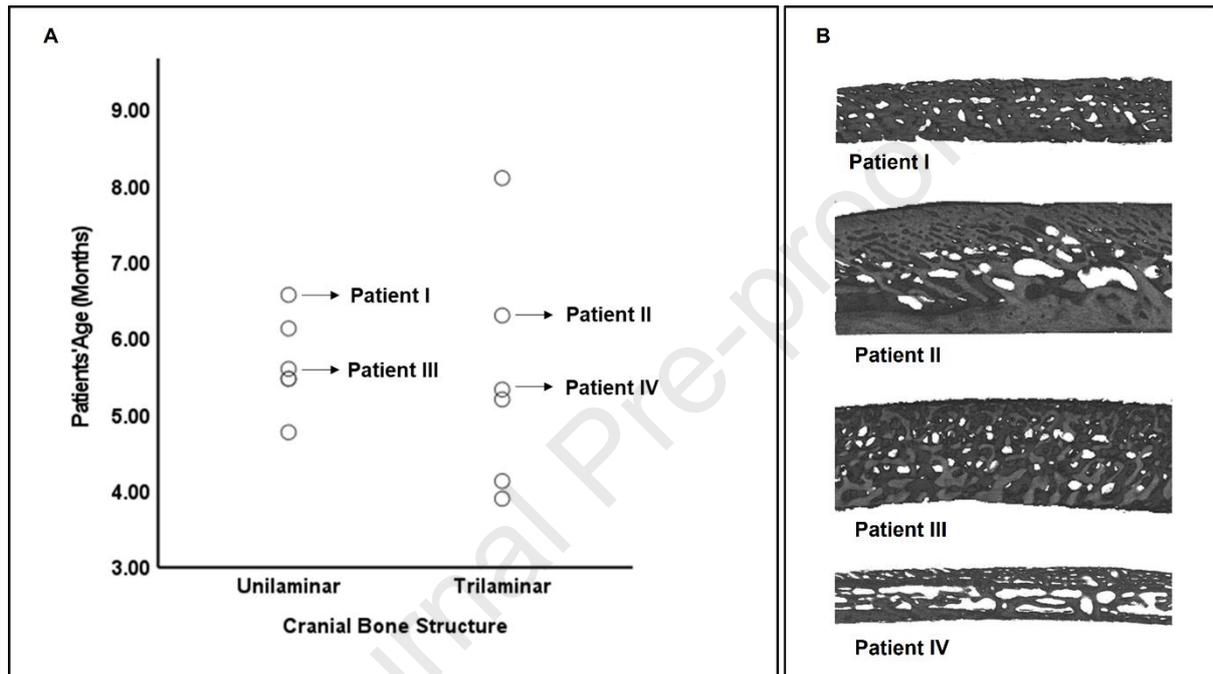


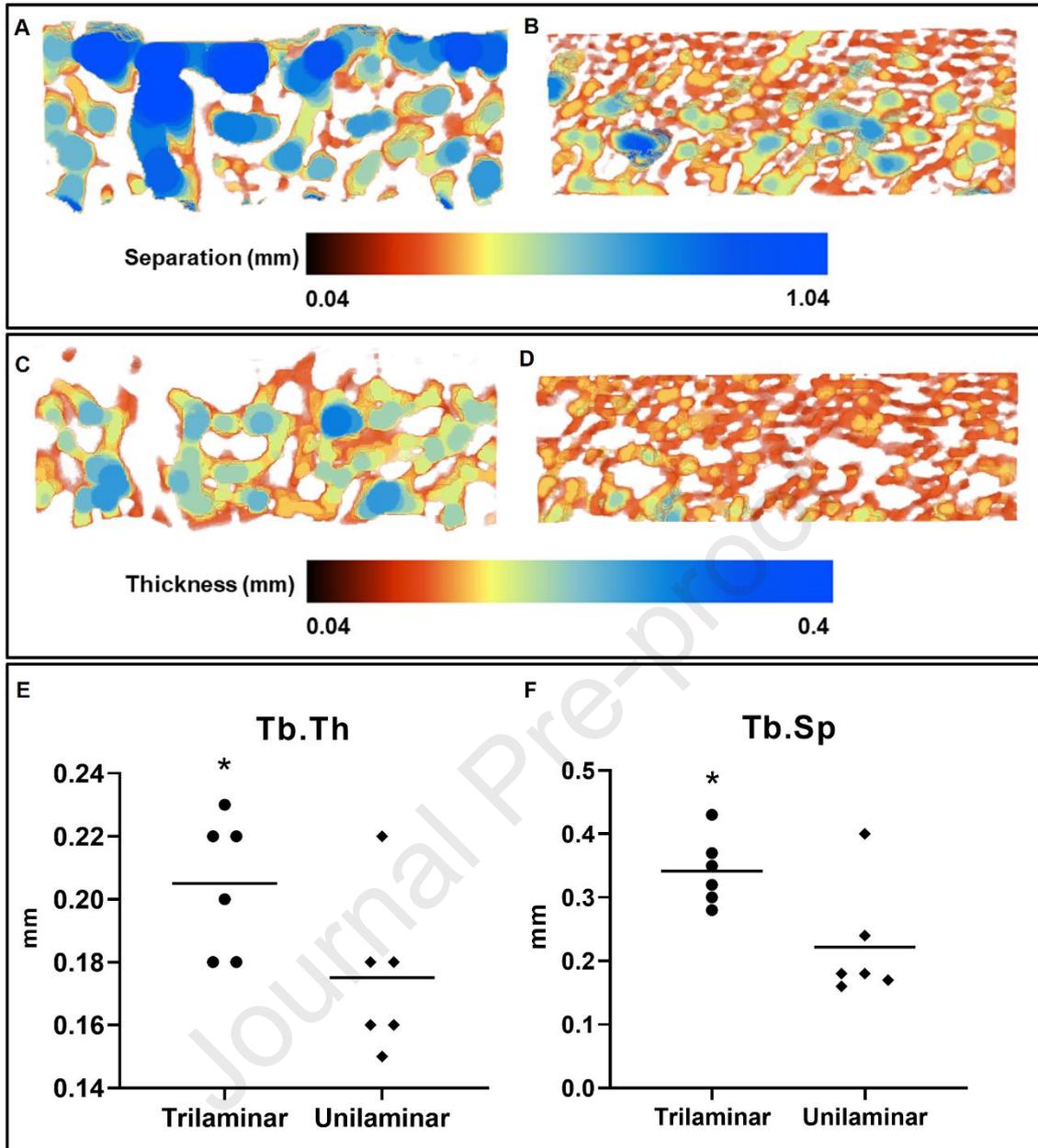
Figure 4. Age is not the sole representative of cranial bone macrostructure in patients with sagittal craniosynostosis. (A) Variation in macrostructure of cranial bone in 3-8 months old patients. (B) Representative micro-CT images of retrieved parietal bone samples. 3D visualization of unilaminar structure in (I) 6.5 month old and (III) 5.6 month old patients; and trilaminar structure in (II) 6.3 month old and (IV) 5.3 month old patients.

268 The 3D microstructure of all samples was examined regardless of their structure. The mean  
 269 cross-sectional thickness for all samples was  $1.32 \pm 0.29$  mm. The average BV/TV, BS/BV,  
 270 porosity and BMD were respectively  $59.63 \pm 11.23$  %,  $16.50 \pm 4.90$   $\text{mm}^{-1}$ ,  $40.37 \pm 11.23$  %  
 271 and  $1.34 \pm 0.29$   $\text{g.cm}^{-3}$ . When the microstructure of the parietal bones with sandwich structure  
 272 was compared with the unilaminar samples, a significantly higher BS/BV was found in  
 273 unilaminar samples ( $20.14 \pm 3.40$  vs  $12.87 \pm 3.08$   $\text{mm}^{-1}$ ,  $p=.01$ ). Porosity was also higher in  
 274 unilaminar parietal bone specimens in comparison to sandwich structure, although it was not  
 275 statistically significant ( $43.24 \pm 13.43$  vs  $37.49 \pm 8.79$  %). A trend towards increasing in BMD  
 276 from unilaminar to trilaminar bone structure ( $1.19 \pm 0.21$   $\text{g.cm}^{-3}$  vs  $1.49 \pm 0.29$   $\text{g.cm}^{-3}$ ,  $p=.09$ )  
 277 was observed. A similar trend was observed when cross-sectional thickness (unilaminar  $1.22$

278  $\pm 0.29$  mm vs trilaminar  $1.43 \pm 0.27$  mm) and BV/TV (unilaminar  $56.76 \pm 13.43$  % vs trilaminar  
279  $62.51 \pm 8.79$  %) in unilaminar and trilaminar samples were compared.

280 The 3D analysis of diploe segmented from the trilaminar structure (diploe<sub>trilaminar</sub>) and  
281 unilaminar (diploe<sub>unilaminar</sub>) samples showed no significant differences when BV/TV (unilaminar  
282  $56.76 \pm 13.43$  % vs trilaminar  $50.49 \pm 8.11$  %) and BS/BV (unilaminar  $20.14 \pm 3.40$  mm<sup>-1</sup> vs  
283 trilaminar  $18.66 \pm 2.28$  mm<sup>-1</sup>) were compared. The diploe in the unilaminar structure was  
284 significantly thicker in cross section when compared to diploe from trilaminar structure  
285 (unilaminar  $1.22 \pm 0.28$  mm vs trilaminar  $0.77 \pm 0.24$  mm,  $p=.01$ ). A significantly thicker  
286 (unilaminar  $0.18 \pm 0.02$  mm vs trilaminar  $0.21 \pm 0.02$  mm,  $p=.04$ ) and more separated  
287 (unilaminar  $0.22 \pm 0.09$  mm vs trilaminar  $0.34 \pm 0.05$  mm,  $p=.04$ ) trabeculae was found in the  
288 trilaminar structure when compared to the unilaminar structure (Figure 5). No significant  
289 differences were found when the trabecular number (unilaminar  $3.26 \pm 0.66$  mm<sup>-1</sup> vs trilaminar  
290  $2.48 \pm 0.30$  mm<sup>-1</sup>) and porosity (unilaminar  $43.24 \pm 13.43$  mm<sup>-1</sup> vs trilaminar  $49.51 \pm 8.11$  mm<sup>-1</sup>)  
291 was considered. Analysis of Tb.Pf revealed a concave and convex structure respectively in  
292 unilaminar and trilaminar structure (unilaminar  $-0.12 \pm 3.33$  mm<sup>-1</sup> vs trilaminar  $4.27 \pm 1.99$  mm<sup>-1</sup>,  
293  $p=.03$ ). When comparing the BMD no significant differences were found (unilaminar  $1.19 \pm$   
294  $0.21$  vs trilaminar  $1.13 \pm 0.32$  g.cm<sup>-3</sup>). Overall, an increase in BV/TV was associated with  
295 dense ( $r=.71$ ,  $p=.01$ ) and a high number of trabeculae ( $r=.81$ ,  $p<0.01$ ) with a significant  
296 reduction in BS/BV ( $r=-.59$ ,  $p=.04$ ), Po ( $r=-1$ ,  $p<0.01$ ), Tb.Sp ( $r=-.74$ ,  $p=.01$ ), and Tb.Pf ( $r=-$   
297  $.73$ ,  $p=.01$ ). Parietal bone samples with larger cross sections had a thinner trabeculae ( $r=-.59$ ,  
298  $p=.04$ ). BS/BV had a significant positive correlation with Po ( $r=0.6$ ,  $p=0.04$ ), and negative  
299 correlation with Tb.Th ( $r=-.78$ ,  $p<0.01$ ) and BMD ( $r=-.64$ ,  $p=.03$ ). Moreover, the higher the  
300 trabecular number the more separated they were ( $r=-.85$ ,  $p<0.01$ ). Tb.Pf was significantly  
301 negatively correlated with BV/TV ( $r=-.73$ ,  $p=.01$ ) and Tb.N ( $r=-.69$ ,  $p=.01$ ).

302



303 Figure 5. Diploe structure in paediatric patients with craniosynostosis. Representative colour-  
 304 coded images of trabecular separation in (A) trilaminar and (B) unilaminar structures.  
 305 Representative colour-coded images of trabecular thickness in (C) trilaminar and (D)  
 306 unilaminar structures. Trabecular thickness (E) and trabecular separation (F) were  
 307 significantly higher in trilaminar samples compared to unilaminar. Statistical comparisons: \*  
 308 denotes  $p \leq 0.05$ .

309

310 Assessment of the cortical morphology showed a  $BV/TV$  of  $71.09 \pm 8.61\%$  (range; 59.91-  
 311 81.77) which was statistically negatively correlated with  $BS/BV$   $12.88 \pm 3.17 \text{ mm}^{-1}$  (range;  
 312 9.36-16.46;  $r = -0.88$ ,  $p = 0.02$ ) and total porosity  $28.91 \pm 8.61\%$  (range; 18.23-40.09;  $r = -1.00$ ,  
 313  $p < 0.01$ ). An increase in TMD  $2.30 \pm 0.19 \text{ g.cm}^{-3}$  (range; 1.94 - 2.46) was positively correlated  
 314 with  $BV/TV$  and had a significant negative correlation with  $BS/BV$  ( $r = -0.83$ ,  $p = 0.04$ ). No  
 315 correlations were found between the microstructural properties and age.

316 **3.3 Elastic modulus of the parietal bone is related to specific architectural**  
317 **parameters**

318 The elastic modulus of the cortical bone segmented from trilaminar structure and diploe from  
319 unilaminar structure measured by RPI and FEA was positively correlated with bone mineral  
320 density ( $E_{RPI}$ ;  $r=.57$ ,  $p=.05$  and  $E_{micro-FEA}$ ;  $r=.64$ ,  $p=.03$ ).  $E_{diploe}^{micro-FEA}$  for all 12 samples increased  
321 significantly with a decrease in trabecular thickness ( $r=-.61$ ,  $p=.03$ ), and  $Tb.Pf$  ( $r=-.73$ ,  $p=.01$ ).

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## 322 4 Discussion

323 Surgical procedures performed for the management of sagittal CS are highly variable between  
324 centres and there is no consensus in the literature regarding optimal surgical treatment.  
325 Regardless of the surgical approach employed, most clinicians advocate for surgical  
326 intervention within the first year of patients' life to take advantage of skull malleability and  
327 rapidly expanding brain to drive optimal remodelling of the skull [32, 33]. At Great Ormond  
328 Street Hospital, we favour minimally invasive SAC [6, 8], safe and successful in expanding the  
329 skull, but sometimes with suboptimal shape results. Age alone cannot explain the changes in  
330 the final morphological SAC outcome [11]. Previous studies have focused on understanding  
331 the pathogenesis of the disease, whilst material and morphological properties of cranial bone  
332 in patients affected by CS remain unknown. In this study, we characterised the microstructure  
333 and mechanical properties of parietal bone retrieved from sagittal CS patients. Initially bone  
334 samples were scanned with micro-CT. A rectangular beam was then prepared from each  
335 specimen for experimental three-point bending and RPI. Following that, micro-CT datasets  
336 were used to generate micro-FE models of three-point bending. For each sample three beams  
337 matching the dimensions of the beam used for the experimental three-point bending test were  
338 extracted (from the micro-CT datasets) to examine intrasample variability. Using micro-FE  
339 model of three-point bending, calibrated using three-point bending experimental data- we  
340 inversely characterised the young's modulus of the diploe in unilaminar, cortical and diploe in  
341 trilaminar structures. To validate such inverse characterisation step, we compared the  
342 superficial Young's modulus retrieved using RPI and we found very good matching in both  
343 unilaminar (micro-FE vs diploe RPI) and trilaminar (micro-FE vs cortical layer RPI).

344 Three-point bending test is one of the most common approaches to examine biomechanical  
345 properties of whole bone [34-36]. Several assumptions underlie the use of beam theory to  
346 calculate the elastic modulus of bone including a beam with uniform cross-section,  
347 homogenous isotropic material, and a high length to depth ratio (a ratio of over twenty for bone  
348 samples) [37, 38]. These assumptions have been identified as a potential source of errors  
349 when testing short specimens as the bending test will generate a larger shear deformation in  
350 addition to bending deformation thus reducing the value of Young's modulus derived from the  
351 test [39-41]. In order to overcome these limitations, micro-FE models can be used to determine  
352 the mechanical properties of bone samples. In the present study, to take into account the  
353 complexity of parietal bone structure and provide an insight into non-invasive methods for  
354 determining the elastic modulus of the parietal bone, samples were micro-CT scanned and  
355 micro-FE models were generated. We showed that the elastic modulus of the entire beams  
356 calculated from micro-FE models were  $1412.16 \pm 539.11$  MPa. In comparison to the literature,

357 this is the first study to investigate the mechanical properties of cranial bone in CS patients.  
358 Few studies have reported the mechanical properties of adult and infant cranial bone [42-47].  
359 Coats and Margulies tested human infant (<1 year old) cranial bone in three-point bending at  
360 test rates of 1.58 and 2.81 m/sec and found an elastic modulus of 461 MPa for parietal bone  
361 [45]. Wang et al reported an elastic modulus of 1103.01 MPa for parietal bones in 1-2 year old  
362 infants [48]. Margulies et al. investigated the age-dependent changes in skull properties in a  
363 bending set up. The reported elastic modulus for parietal bone ranged from 71.6 MPa at 25  
364 weeks gestation to 3582.2 MPa at 6 months term [49].

365 For micromechanical characterisation of cortical and diploe, micro-FE derived moduli were  
366 compared with RPI. Good correlation was found in the past between RPI / micro-indentation  
367 profiles and the respective finite element simulations [50, 51], hence in this work, the modelling  
368 was restricted to the simulation of the three-point bending to account for the differences in  
369 stiffness between the cortical and the diploe layers in the trilaminar samples. While RPI  
370 experimental values validated the micro-FE indirect estimation of surface stiffness for  
371 unilaminar and trilaminar samples, model optimization allowed robust estimation of the  
372 stiffness of the diploe layer, which well compared with literature values [48, 49, 52]. The three-  
373 layer moduli could be used in FE simulations which explicitly model the three layers of the  
374 sandwich structure of the skull [53]. Results from RPI and micro-FE approaches were in strong  
375 agreement with each other. Peterson and Dechow examined the cortical material properties  
376 of human parietal bone in an age range of 58-88 years [54]. A longitudinal modulus ( $E_1$ ) with  
377 grand means of 10.6 GPa for the inner and 13 GPa for the outer table was found. Davis et al.  
378 loaded 47 samples from one six year-old human cranium to failure via four-point bending and  
379 reported an elastic modulus of  $9.87 \pm 1.24$  GPa for cranial cortical bone [55]. The moduli found  
380 for the cortical tables in our study using RPI and micro-FE were respectively  $3973.33 \pm 268.45$   
381 MPa and  $3438.11 \pm 387.38$  MPa. The modulus of the diploe ( $E_{\text{Diploe unilaminar}} 1958.17 \pm 563.79$   
382 and  $E_{\text{Diploe trilaminar}} 651.13 \pm 331.35$  MPa) in this study falls within the 0.4 to 2.8 GPa range  
383 reported by Melvin et al. [52] for bones tested in compression. To the author's knowledge, no  
384 information is available on the mechanical properties of the cortical tables and diploe in the  
385 fetal population.

386 The variability in the structure of the bone (unilaminar or trilaminar) is associated with the  
387 magnitude of final head shape changes after SAC [11]. Therefore, we have reviewed various  
388 morphological parameters to identify an imaging biomarker that would represent the bone  
389 structure. We found that bone macrostructure was not reflective in the sample cross-sectional  
390 thickness and BMD. Although parietal bone specimens with the sandwich structure were  
391 generally thicker with increased BMD when compared to unilaminar types, no significant

392 differences were found. This can be due to the limited number of samples examined here.  
393 Florez et al. [11] reported parietal bone samples with sandwich structure was significantly  
394 thicker than the samples with a unilaminar structure. When patients' age was considered, no  
395 correlations were found with thickness (range; 0.82 – 1.88 mm) and BMD (range; 0.92-1.84  
396 g.cm<sup>-3</sup>). The postnatal growth of the calvarium occurs rapidly during the first year followed by  
397 a reduced growth rate until the skull approaches adult size at approximately the age of 7 [56].  
398 In non-CS infants, parietal bone thickness with a median of 1.45 mm in the age group of 3-6  
399 months, 1.69 mm in the age group of 6-9 months, and a mean of 3.4 -4.1 mm in the age group  
400 of 0-12 months were reported using CT and histological analysis [12, 57]. Since the changes  
401 in cranial thickness correlate with occipitofrontal circumference and cephalic index [11, 12,  
402 58], determining the thickness and in-depth understanding of factors affecting it such as  
403 gender [59], ethnic origin [60] and anatomical site [59, 61] would aid predicting the final head  
404 shape outcome. Our data showed that age is not a significant predictor of parietal thickness  
405 for the cohort examined. A minimum thickness of 0.82 mm and a maximum of 1.88 mm was  
406 found respectively for 4.7- and 4.1-month-old patients. This variation in the thickness might be  
407 due to the remodelling of the bone and changes in the microstructure as a result of the disease.  
408 Moreover, no age-related changes in the macrostructure of the samples were found (Figure  
409 4) and patients of the same age were identified with different bone structures. A 6.5 month old  
410 patient was represented with unilaminar diploe, whilst a sandwich structure was found in a 6.3  
411 month old patient. This is in line with previous findings from our group on the cranial bone  
412 structure of sagittal CS [11]. Further research is required to study the longitudinal structural  
413 changes of the non-diseased cranial bone to investigate how CS interferes with the  
414 developmental timeline. It must be noted that in this study all the parietal bone samples were  
415 harvested from the same anatomical site.

416 Gender is one of the important risk factors in sagittal CS, affecting 3.5 males for every 1  
417 affected female [62-65]. In our study, the effect of gender was not considered as 11 male  
418 patients were compared to 1 female patient. The role that gender may play in predisposing an  
419 individual to certain forms of CS has been of interest. It has been suggested that the male  
420 predominance in certain forms of CS is attributable to a larger head circumference in male  
421 fetuses resulting in a higher degree of intrauterine constraint [66, 67], while in other studies  
422 higher levels of circulating serum androgens [68], dysregulation of osteoblast differentiation  
423 and genetic factors were demonstrated to contribute to development of sagittal CS and gender  
424 related differences [69]. There is limited research available on how the gender difference can  
425 affect the material and morphological properties of bone. Future research should address this  
426 further.

427

428 Previous studies have shown that micro-CT scanning voxel size affects the cortical and  
429 trabecular bone microstructure if the voxel size is not appropriately small compared to the  
430 dimensions of the structure being measured [27, 70, 71]. The microstructural properties of the  
431 bone samples in this study were determined at the same 20  $\mu\text{m}$  resolution that the CAD  
432 models were generated in order to minimise the error when investigating the correlation  
433 between the microstructural and mechanical properties of the samples in the micro-FE model.  
434 In a previous publication by our group [11], when parietal bone samples were scanned at  
435 isotropic voxel sizes of 5–8  $\mu\text{m}$  (equivalent to an approximate resolution of 6–9  $\mu\text{m}$ ) a BV/TV  
436 of  $50 \pm 10\%$  was found compared to our current findings :  $59.63 \pm 11.23 \%$ .

437 The mechanical response of the cranial bones may be highly sensitive to their corresponding  
438 microstructure [13]. Our findings indicated that the unilaminar samples represented a more  
439 separated and thicker diploe with a reduction in their corresponding micro-FE derived elastic  
440 modulus when compared to trilaminar specimens. The trilaminar parietal bone is analogous  
441 to an engineering sandwich structure, which is composed of stiff cortical tables (micro-FE  
442  $3438.11 \pm 387.38$  MPa) and energy absorbing porous lightweight diploe (micro-FE  $651.13 \pm$   
443  $331.35$  MPa). Zhai et al reported that the thickness ratio of the diploe to the entire sandwich  
444 structure plays an important role in determining the strength in a tangential direction [72]. For  
445 both RPI and micro-FE approaches, the elastic modulus of segmented cortical tables and  
446 diploe correlated well with tissue and bone mineral density measured by micro-CT. Cortical  
447 porosity is one of the most important predictors of bone strength and has been reported to be  
448 negatively correlated with elastic modulus [73-75], although we did not find any significant  
449 correlations. Previous studies have captured vascular porosity when voxel size of 41 and 82  
450  $\mu\text{m}$  were used to scan human tibiae [76] and radii [77]. Therefore, the cortical porosity  
451 determined in our study at 20  $\mu\text{m}$  resolution may be representative of vascular porosity.  
452 Further research using histological and high-resolution micro-CT is needed to confirm that as  
453 limited information is available in the literature on the microstructure of parietal bone from  
454 paediatric patients,

455 The radiation dose used here for imaging and micro-FE modelling of the samples were too  
456 high for clinical applications. Hence, it highlights the need for translatable imaging biomarkers.  
457 Our results indicated that bone mineral density and cross-sectional thickness should be further  
458 investigated as a potential imaging biomarker in the clinical assessment of sagittal CS for  
459 identifying the macrostructure and evaluating the mechanical properties. Previous studies  
460 have indicated that Hounsfield unit (HU) values obtained from computed tomography (CT)  
461 scans can be used for determining regional BMD through calibration using a phantom with  
462 known bone mineral density [78-81]. In a recent study by Delye et al. BMD of the cranial bone

463 was determined in 187 patients (age range 0–20 years old) based on the average number of  
464 HUs of skull models processed from CT data [57].

465 This study has a few limitations that should be taken into consideration when interpreting the  
466 results. First, the parietal bone specimens were fixed in PFA. Long term embalming of bone  
467 samples has been shown to significantly affect their mechanical properties [82]. Although the  
468 parietal bones here were not stored in PFA for long period, further studies are required to  
469 address the effect of our preservation method on their material properties. Second, the  
470 influence of the cortical site (inner or outer table) was not taken into consideration when tested  
471 with RPI. Previous experimental studies have reported a higher elastic modulus for the outer  
472 cortical table than the inner table [13, 54]. Third, the assumption of linear, isotropic and  
473 homogeneous material properties in the micro-FE model. The accuracy of the model can be  
474 further improved by implementing heterogeneous and anisotropic material properties.  
475 Nevertheless, the goal of this study was not to optimise the modelling approach but to  
476 characterise the mechanical properties of samples using the simplest and most commonly  
477 used micro-FE modelling approach. Moreover, a manual segmentation approach was  
478 employed for cortical and diploe analysis in this study. Using a fully automatic segmentation  
479 technique, problems associated with operator dependencies (precision and bias) are avoided  
480 and ensure high consistency between datasets.

481

## 482 **5 Conclusion**

483 Using non-destructive approaches, we provided an insight into the mechanical and  
484 morphological properties of cranial bone in sagittal CS patients, as well as the relationship  
485 between the two. Certain craniofacial procedures, which rely on the malleability of cranial bone  
486 are ideally carried out in the first year of patients' life to achieve desired head shape changes.  
487 Our results revealed that age was not a determinant of bone microstructure and elastic  
488 modulus in sagittal CS patients, which indicates the need for tailoring patient-specific  
489 treatments to other parameters. To achieve optimal surgical outcomes, the mechanical and  
490 microstructure of the samples should be considered prior to surgery. Since micro-CT cannot  
491 be used to assess patients preoperatively, it highlights the need for translatable imaging  
492 biomarkers for clinical settings to identify the structural type and determine mechanical  
493 properties. This study suggests that morphological parameters such as bone mineral density  
494 and cross-sectional thickness [57, 81, 83-86] - which could be measured by routine CT clinical  
495 examination - should be further investigated as potential imaging biomarkers.

496

## Acknowledgments

We would like to acknowledge UCL Institute of Orthopaedics and Musculoskeletal Science for mechanical testing instruments and Prof Timothy Arnett for the micro-CT scanner used in this research. This work was supported by the Great Ormond Street Hospital Charity Clinical Research Starter Grant (award number 17DD46) as well as the NIHR GOSH/UCL Biomedical Research Centre Advanced Therapies for Structural Malformations and Tissue Damage pump-prime funding call (grant n. 17DS18), EPSRC grant - the Engineering and Physical Sciences Research Council (EP/N02124X/1), and the European Research Council (ERC-2017-StG-757923). This report incorporates independent research from the National Institute for Health Research Biomedical Research Centre Funding Scheme. The views expressed in this publication are those of the author(s) and not necessarily those of the NHS, the National Institute for Health Research or the Department of Health.

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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