

1 **Early Jurassic carbon-isotope perturbations in a shallow-water succession from**
2 **the Tethys Himalaya, southern hemisphere**

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7 **ABSTRACT**

8 The Early Jurassic was characterized by extreme carbon-cycle perturbations that
9 are associated with abrupt environmental and climatic change. However, the evidence
10 mainly derives from sections in the western Tethys and northern Europe: localities
11 situated in the northern hemisphere. This paper presents new records of foraminiferal

12 biostratigraphic, sedimentological and carbonate carbon-isotope ($\delta^{13}\text{C}_{\text{carb}}$) data from the
13 Tibetan Kioto Platform formed in the southeastern Tethys (southern hemisphere) during
14 the Sinemurian–lowermost Toarcian interval. Six foraminiferal zones have been
15 recognized: late Sinemurian *Textulariopsis sinemuriensis*, Pliensbachian *Planisepta*
16 *compressa*, *Bosniella oenensis*, *Cyclorbitopsella tibetica* and *Streptocyclammina*
17 *liasica*, and earliest Toarcian *Siphovalvulina* sp. A. Based on biostratigraphy, $\delta^{13}\text{C}_{\text{carb}}$
18 data allow correlation with coeval records from the western Tethys and northern Europe
19 by the identification of both negative and positive $\delta^{13}\text{C}$ excursions. The negative
20 excursions characterize the Sinemurian–Pliensbachian boundary event (SPBE) and the
21 *margaritatus*–*spinatum* zone boundary event (MSBE); the positive $\delta^{13}\text{C}$ excursion
22 characterizes the *margaritatus* zone event (ME). Facies evolution in the Early Jurassic
23 indicates that the establishment of carbonate sedimentation on the Kioto Platform
24 occurred in the context of a global sea-level rise partly coincident with the SPBE and
25 that, in common with other coeval platforms, carbonate production following the
26 negative shift was predominantly made up of skeletal carbonates. Furthermore, the
27 spread of the *Lithiotis* Fauna on the Kioto Platform followed the rebound of isotopic
28 values after the SPBE. This phenomenon has been observed in the Western Tethys and
29 suggests that the global biocalcification event represented by the flourishing of the
30 *Lithiotis* Fauna may have occurred synchronously across the Tethys, possibly reflecting
31 the creation of more favourable marine conditions after the SPBE. Biostratigraphic data
32 indicate that certain index larger benthic foraminifera became extinct around the onset
33 level of the MSBE, likely due to the deleterious impact of this event. However, as in

34 more northerly localities, the *Lithiotis* Fauna persisted during the late Pliensbachian in
35 the shallow-water platforms of the Tethys until its disappearance in the early Toarcian.

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37 **Keywords.** Early Jurassic, carbonate platform, larger benthic foraminifera, carbon-
38 isotope perturbations, *Lithiotis* Fauna, Tibetan Himalaya

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40 **1. Introduction**

41 The Early Jurassic saw the rifting of the super-continent Pangaea with the
42 separation of Africa from North America. During this period, important volcanic
43 activity is indicated by the emplacement of the Central Atlantic Magmatic Province
44 (CAMP) and Karoo-Ferrar Large Igneous Provinces (LIPs). The palaeontological and
45 sedimentary records indicate that severe global environmental and climate changes
46 occurred during this interval (e.g. Bond and Wignall, 2014). Two major environmental
47 and biotic crises, characterized by the deposition of large volumes of organic-rich black
48 shale in the oceans, took place around Triassic–Jurassic boundary time and early in the
49 Toarcian stage (Toarcian oceanic anoxic event, T-OAE) and coincided with the activity
50 of the CAMP and Karoo-Ferrar LIPs, respectively (e.g. Pálffy and Smith, 2000;
51 Blackburn et al., 2013; Ruhl et al., 2020). The geochemical fingerprint of this subaerial
52 volcanism is seen in the sedimentary mercury records from several continents (Percival
53 et al., 2015, 2017).

54 Although considerable work has focused on these two events, the long-term

55 environmental and climatic changes operating in the intervening period remain less well
56 documented. An increasing number of studies have, however, led to recognition of other
57 significant perturbations of the carbon-isotope record: the Sinemurian–Pliensbachian
58 boundary event (SPBE), the *margaritatus* zone event (ME) mainly in the *margaritatus*
59 zone, the *margaritatus*–*spinatum* zone boundary event (MSBE), and the Pliensbachian–
60 Toarcian boundary event (PTBE). As documented in Europe and northern Africa, the
61 SPBE, MSBE and PTBE are characterized by negative carbon-isotope excursions (CIE),
62 whereas the ME is characterized by a positive excursion (e.g. Hesselbo et al., 2007;
63 Littler et al., 2010; Korte and Hesselbo, 2011; Franceschi et al., 2014, 2019; Peti et al.,
64 2017; Baghli et al., 2020). These and other features of the Lower Jurassic $\delta^{13}\text{C}$ curve
65 are documented in great detail in the organic carbon-isotope records from the Sancerre–
66 Couy borehole (France, Peti et al., 2017) and the Mochras Borehole (UK, Storm et al.,
67 2020). Hitherto, it has been proposed that the SPBE environmental and climatic
68 perturbations may have affected the microbially dominated carbonate platforms in the
69 southern margins of the western Tethys and influenced the spread of the large thick-
70 shelled bivalves of the *Lithiotis* Fauna (Franceschi et al., 2014, 2019) that became major
71 reef builders in the Early Jurassic (Leinfelder et al., 2002; Fraser et al., 2004; Posenato
72 and Masetti, 2012), but the possible wider influence of such processes has not been
73 explored.

74 This study presents high-resolution carbonate carbon-isotope ($\delta^{13}\text{C}_{\text{carb}}$) data,
75 foraminiferal biostratigraphy, and facies descriptions from the Sinemurian–lowermost
76 Toarcian of the Wölong section, Tethys Himalaya, Tibet, which was located on the

77 southeastern Tethyan margin, in the southern hemisphere at that time (Fig. 1).
78 Biostratigraphic, isotopic and sedimentological data are used to propose a correlation
79 between the eastern and western Tethys and to discuss how environmental changes may
80 have influenced carbonate-platform evolution in the area.

81 **2. Geological setting and stratigraphy**

82 The study area is located in the Tethys Himalaya, Tibet, representing the northern
83 margin of the Indian sub-continent (Fig. 1), bounded by the Yarlung Zangbo Suture
84 Zone to the north and by the Greater Himalaya to the south. In the southern part of the
85 Tethys Himalaya, a succession encompassing mixed shallow-water carbonates and
86 siliciclastics is exposed, while the northern part exposes deep-water sediments (Liu and
87 Einsele, 1994; Sciunnach and Garzanti, 2012). These successions were deposited
88 during the Early Jurassic at low latitude (23.8°S [21.8°S, 26.1°S]) in the southern
89 hemisphere on the mature passive margin of the southeastern Neotethys (Fig. 1A; Liu
90 and Einsele, 1994; Jadoul et al., 1998; Sciunnach and Garzanti, 2012; Huang et al.,
91 2015). The shallow-water carbonates of the Lower Jurassic in the Tethys Himalaya
92 from Zanskar (India) to southern Tibet (China) are referred to as deposits of the Kioto
93 Carbonate Platform (Gaetani and Garzanti, 1991; Jadoul et al., 1998; Sciunnach and
94 Garzanti, 2012; Han et al., 2016, 2018).

95 The Wölong section, southern Tibet, China, is located in the southern part of the
96 Tethys Himalaya (Fig. 1B; 28°29'2"N, 87°02'3"E). The studied sequence is ~219 m
97 thick and comprises, from bottom to top, the Zhamure (~125 m), Pupuga (~92 m) and

98 Nieniexiongla (~2 m) **formations**. The Zhamure Formation is made up of mixed
99 carbonate-siliciclastic deposits referred to a barrier-island and lagoonal environment
100 (Han et al., 2016). This unit has been roughly ascribed to the Rhaetian(?)–lower
101 Sinemurian based on benthic foraminifera found in the overlying Pupuga Formation
102 and lithostratigraphic correlation (Jadoul et al., 1998; Han et al., 2016). The Pupuga
103 Formation is characterized by a significant decrease in the siliciclastic component and
104 by dominant bioclastic grainstones/packstones deposited on a shallow-water carbonate
105 platform with moderately vigorous water circulation (Han et al., 2016). The larger
106 benthic foraminifera *Orbitopsella praecursor* and large, aberrant bivalves belonging to
107 the *Lithiotis* Fauna are found in the lower and upper part of this unit, respectively,
108 indicating a Pliensbachian age (Jadoul et al., 1998; Wignall et al., 2006; Han et al.,
109 2016).

110 The signature of the Toarcian ocean anoxic event (T-OAE) has been identified at
111 the Pupuga–Nieniexiongla Formation boundary based on biostratigraphical,
112 sedimentological, carbon- and sulphur-isotope data (Jadoul et al., 1998; Newton et al.,
113 2011; Han et al., 2016, 2018). Consequently, the Pupuga Formation must encompass
114 the lower Pliensbachian to lowest Toarcian interval. The Nieniexiongla Formation
115 comprises outer-water ramp carbonates and is mainly composed of micrite alternating
116 with coarser grained redeposited **carbonate-rich** layers interpreted as storm deposits.
117 This formation is poorly dated as Toarcian–Aalenian in age (Han et al., 2018). Although
118 Wignall et al. (2006) published preliminary research on the upper Pliensbachian–lower
119 Toarcian carbon isotopes in the Yunjia section of Tibet, ~500 m away from the Wölong

Commented [BM1]: As the word is plural so it is not capital letter

Commented [H2]: Is this OK? Are they skeletal grains?
Reply: "carbonate-rich" is ok. However, not all skeletal grains. These layers are usually dominated by peloid, ooid and skeletal grains, as well as quartzs.

120 section, the characteristic events mentioned above were not identified due to relatively
121 low-resolution $\delta^{13}\text{C}$ data and limited biostratigraphic control.

122 **3. Material and methods**

123 *3.1 Foraminiferal biostratigraphic analysis*

124 A total of 112 samples from the Wölong section were studied using the larger
125 benthic foraminifera foraminifera (LBF). The LBF biozones in this study were
126 compared with those from the characteristic carbonate platform in the western Tethys
127 to determine age of the sedimentary succession. All the LBF were identified and the
128 main species are plotted in Fig. 3, in relation to the new proposed foraminiferal
129 biozonations.

130 *3.2 Point-counting in thin-section and facies analysis*

131 A total of 176 samples of the Wölong section were used for quantitative estimates
132 of abundance of terrigenous and skeletal carbonate grains (including common
133 foraminifera, and fragments of bivalves, brachiopods, gastropods, sponges,
134 echinoderms, etc.). These estimates were carried out through point-counting on thin-
135 sections using the Image J software. Thin-section photographs were taken when the
136 field-of-view best represented the petrological character of the sediment. For grainstone
137 and packstone facies, the number of grains was usually more than 300 in the field-of-
138 view. The grains in the photographs were circled using polygon or freehand selections
139 and their area ratio was calculated automatically.

140 In the shallow-water carbonate-platform settings, the content and diversity of
141 terrigenous and skeletal carbonate grains is sensitive to changes in sea level and
142 environment (Flügel, 2010). Combined with the previous detailed results of microfacies

Commented [H3]: Out of how many samples collected overall?

Reply: I think it does not make too much sense to state the total number. Additionally, we did not present it in 3.2 and 3.3

143 analysis by Han et al. (2016), we use these newly obtained quantitative data on changes
144 in relative abundance of quartz and skeletal carbonate grains through the section to
145 establish a more detailed characterization of the evolution of the Kioto Carbonate
146 Platform. In order to obtain a more comprehensive understanding of the entire evolution
147 in Tethyan carbonate systems across the Early Jurassic, the facies data from this study
148 and Han et al. (2016) were compared with those from the well-studied Trento Carbonate
149 Platform, Italy of the western Tethys (Franceschi et al., 2014, 2019).

150 *3.3 Carbon- and oxygen-isotope analysis*

151 A total of 91 carbonate-rich samples from the Wölong section were selected for
152 analysis of whole-rock carbonate carbon and oxygen isotopes. Cement-filled veins and
153 pores, or larger bioclasts, were avoided during micro-drilling to obtain powders.
154 Samples were dissolved by purified phosphoric acid (H₃PO₄) at 70°C and the evolved
155 CO₂ was measured by a Finnigan MAT Delta Plus XP mass spectrometer coupled to an
156 in-line GasBench II autosampler for isotope ratios at Nanjing University. Results are
157 reported in the standard delta notation in per mil deviation from the Vienna Pee Dee
158 Belemnite (VPDB) standard. Replicated measurements of a standard show an analytical
159 precision (1σ error) of 0.05‰ for δ¹³C_{carb} and 0.07‰ for δ¹⁸O_{carb}.

160 **4. Results**

161 *4.1. Biostratigraphy*

162 The studied samples are moderately fossiliferous and the ubiquitous presence of
163 LBF has enabled production of a high-resolution biostratigraphy based on these
164 organisms (Fig. 2).

165 In the past, the Lower Jurassic inner platform carbonates have proved difficult to
166 date. Data presented in this paper enable stage and sub-stage level dating through
167 biostratigraphic comparison with Western Tethyan biozones (BouDagher-Fadel and
168 Bosence, 2007). From this regional biostratigraphic study, it is clear that six biozones
169 can be recognized. The LBF distribution is plotted in order to understand the
170 chronostratigraphy and the depositional palaeoenvironments of the Early Jurassic in the
171 Tethys Himalaya, thereby producing a new pan-Tethyan LBF biozonation scheme.
172 Most index fossils described below are shown in Fig. 3. The LBF as a whole are
173 comparable to those described from the inner carbonate platform environments
174 widespread along the rifted western margins of the Early Jurassic Tethys, notably those
175 recorded from Morocco, Italy and Greece as well as southern Spain (see detailed results
176 below, cf. Barattolo and Romano, 2005; BouDagher-Fadel and Bosence, 2007;
177 BouDagher-Fadel, 2018).

178 *Textulariopsis sinemuriensis* zone (\approx *raricostatum* ammonite zone): This zone is
179 equivalent to the western Tethyan Zone of *Lituosepta recoarensis* and *Orbitopsella* spp.
180 zone (Fig. 4) and corresponds to the upper Sinemurian (~18–60 m). The foraminifera
181 of this zone are rare and recrystallized and are mainly small textularids and
182 *Siphovalvulina* spp. Assemblages include *Siphovalvulina colomi* (Fig. 3A), *S.*
183 *gibraltarensis*, *Duotaxis metula*, *Textulariopsis sinemurensis* and *Cyclorbitopsella* sp.
184 The presence of *Siphovalvulina colomi* and *Textulariopsis sinemurensis* indicates a
185 Sinemurian–early Pliensbachian age (BouDagher-Fadel et al., 2001; Noujaim Clark
186 and BouDagher-Fadel, 2004; BouDagher-Fadel and Bosence, 2007; Gale et al., 2018),

187 but the occurrence of *Cyclorbitopsella* sp. at 33.3 m points to an age not older than late
188 Sinemurian (BouDagher-Fadel and Bosence, 2007; BouDagher-Fadel, 2018). The
189 absence of species such as *Orbitopsella primaeva*, *Lituosepta recoarensis* and
190 *Everticyclammina praevirguliana*, which first appear in the upper Sinemurian of the
191 western Tethyan province, is notable and most likely due to the poor preservation of the
192 microfauna at this horizon.

193 *Planisepta compressa* zone (\approx *jamesoni* ammonite zone) (Fig. 4): This zone is
194 characterized by the presence of *Planisepta compressa* and *Rectocyclammina* species,
195 which indicates the onset level of the Pliensbachian stage (\sim 60–125 m). It also includes
196 *Siphovalvulina colomi*, *Everticyclammina praevirguliana* (Fig. 3C), *Orbitopsella*
197 *primaeva*, *Textularia* sp., *Lituosepta recoarensis* Cati (Fig. 3D), *Mesoendothyra* sp.,
198 *Glomospira* sp., *Siphovalvulina gibraltarensis*; and dasyclad spp. are common.
199 Foraminifera of this subzone are well preserved, which explains the appearance of
200 many upper Sinemurian species that are not preserved in the stratigraphically
201 underlying horizon.

202 *Bosniella oenensis* zone (\approx *ibex* ammonite zone) (Fig. 4): *Bosniella oenensis* (Fig.
203 3E) is common throughout the upper Sinemurian and lower Pliensbachian of the
204 western Tethyan region (BouDagher-Fadel, 2018) but first appears in Tibet at this
205 horizon indicating the uppermost part of the lower Pliensbachian stage (\sim 125–132 m).
206 *Cyclorbitopsella tibetica* and *Orbitopsella praecursor* are also still common over this
207 interval.

208 *Cyclorbitopsella tibetica* zone (\approx *davoei* ammonite zone) (Fig. 4): This zone is

209 represented by the disappearance of *Bosniella oenensis* and corresponds to the lowest
210 part of the upper Pliensbachian (~132–153 m). This zone is characterized by the
211 common occurrences of *Cyclorbitopsella tibetica*. Other upper Sinemurian–
212 Pliensbachian forms such as *Orbitopsella primaeva* (Fig. 3G), *Orbitopsella praecursor*
213 (Fig. 3H), *Mesoendothyra* sp., *Streptocyclammina liasica*, *Litiosepta recoarensis* and
214 *Planisepta compressa* (Hottinger) (Fig. 3I) are still present.

215 *Streptocyclammina liasica* zone (\approx *margaritatus* and *spinatum* ammonite zones)
216 (Fig. 4): This zone corresponds to the upper Pliensbachian (~153–208 m).
217 *Streptocyclammina liasica*, which ranges from Pliensbachian to Toarcian in the western
218 Tethyan province (BouDagher-Fadel, 2018), makes its first appearance in this zone.
219 LBF include *Pseudocyclammina* sp., *Streptocyclammina liasica* (Fig. 3J), *Litiosepta*
220 *recoarensis*, *Siphovalvulina* sp., *Glomospira* sp., *Mesoendothyra* sp., *Siphovalvulina*
221 *colomi*; small miliolids and fragments of algae are common throughout. Foraminifera
222 are generally rare in the uppermost part of this zone. They include *Palaeomayncina*
223 *termieri* (Fig. 3K), *Haurania* sp. A, *Planisepta compressa*, *Litiosepta recoarensis*,
224 *Siphovalvulina* spp., *Glomospira* sp., *Siphovalvulina colomi*; small miliolids, and
225 dasyclad sp. fragments occur commonly throughout this interval.

226 *Siphovalvulina* sp. A zone (\approx *tenuicostatum* ammonite zone) (Fig. 4). This zone
227 corresponds to the lower Toarcian (~208–250 m) and to the western Tethyan *Socotrainsa*
228 *serpentina* zone (see BouDagher-Fadel, 2018). Foraminifera in this zone are badly
229 preserved and rare specimens of *Socotrainsa* cf. *serpentina* are present only at one
230 horizon of this interval. This zone is characterized by the appearance of *Siphovalvulina*

Commented [H4]: Is this correct? Check with Marcelle
I have added a space. What does 'A' stand for?

Commented [BM5R4]: Yes Hugh this is right. It is a new
species of Haurania but we do not have enough specimens to
name it as new species sp we call it sp. A in order to
differentiate from other Hauranias..

231 sp. A. (Fig. 3L) and the disappearance of *Planisepta compressa* (see BouDagher-Fadel,
232 2018). *Lituosepta recoarensis*, which ranges in the Western Tethys from upper
233 Sinemurian to lower Toarcian, still persists in this zone. Other LBF include *Glomospira*
234 spp., *Pseudopfenderina* sp., *Textularia* sp., *Mesoendothyra* cf. *croatica* (Fig. 3M),
235 *Rectocyclammina* sp.; dasyclad spp. are also present.

236 4.2. Sedimentological observations

237 The Lower Jurassic of the Wölong section is characterized by mixed carbonate–
238 siliciclastic facies in the lower part and by mainly carbonate facies in the upper part.
239 Overall, therefore, the terrigenous and carbonate content decreases and increases from
240 bottom to top, respectively (Fig. 9). Terrigenous material is dominated by quartz grains
241 (mainly ranging from 0.1 to 0.2 mm in diameter) that are abundant in the bottom part
242 of the section (~0–50 m), with a percentage typically varying from ~20% to ~90%,
243 whereas skeletal carbonate grains vary in abundance from 0% to 10% (~2% on average;
244 Fig. 9). Over the interval of the stratigraphically lowest negative CIE (~54–130 m),
245 quartz and skeletal carbonate grains show an overall decrease and increase, respectively,
246 compared to subjacent strata. In the overlying interval, quartz is significantly lower in
247 abundance, with a content typically lower than 10% (~130–170 m), with sporadic
248 occurrences of sandstones, but falls almost to zero over the interval ~170–220 m. By
249 contrast, the skeletal carbonate grains content increases in the interval of ~130–220 m,
250 with an average abundance of ~14% and peaks over 20% and 40% (Fig. 9).

Commented [H6]: Is this correct? I have added a space.
Check with Marcelle.

Commented [BM7R6]: Yes it is right

251 4.3. Carbon and oxygen isotopes

252 $^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ data from the Wölong section mainly range from -1.5‰ to
253 $+2.5\text{‰}$ and -6‰ to -15‰ , with an average of 1‰ and -9‰ , respectively (Fig. 5).
254 $^{13}\text{C}_{\text{carb}}$ data present a broad overarching negative excursion ($\sim 54\text{--}130$ m) with a
255 magnitude of $\sim 2.5\text{‰}$; higher in the section values remain relatively constant between
256 1‰ and 1.5‰ ($\sim 130\text{--}146$ m). Stratigraphically higher still, a positive CIE ($\sim 146\text{--}172$
257 m) occurs that is immediately followed up-section by a second negative CIE ($\sim 172\text{--}$
258 202 m): both excursions have a magnitude of $\sim 2\text{‰}$ (Fig. 5). All data are provided in the
259 Supplementary material.

260 **5. Discussion**

261 5.1. Influence of diagenesis and facies-dependency on $\delta^{13}\text{C}_{\text{carb}}$ from Wölong section.

262 Samples from Wölong display weak correlation between $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ (R^2
263 $= 0.4558$) (Fig. 6A). Although such a pattern can be suggestive of limited post-
264 depositional change, covariance between $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ is not necessarily a
265 fingerprint of diagenesis (Marshall, 1992; Swart and Oehlert, 2018): coincident changes
266 in sea-surface temperature and global carbon cycling could, for example, produce such
267 an effect. The overwhelming majority of $\delta^{18}\text{O}$ values in this study are less than $\sim 5\text{‰}$,
268 which are considered to be indicative of diagenetic alteration (cf. Kaufman and Knoll,
269 1995); such values are considerably more negative than expected for Early Jurassic
270 seawater and are found at Wölong between $\sim 21\text{--}130$ m. Nevertheless, $\delta^{13}\text{C}_{\text{carb}}$ values
271 in the same interval fall in the range $\sim 1\text{‰}$ to 1.5‰ , which is close to that ($\sim 1\text{‰}$ to

272 3‰) reported for skeletal calcite and bulk carbonate from coeval pelagic, epicontinental
273 and platform-carbonate successions in European areas (cf. Jenkyns and Clayton, 1986,
274 1997; Dera et al., 2011; Korte and Hesselbo, 2011; Franceschi et al., 2014, 2019). These
275 observations suggest that the $\delta^{18}\text{O}_{\text{carb}}$ values from the Wölong section underwent
276 obvious diagenetic modification, while $\delta^{13}\text{C}_{\text{carb}}$ was relatively well preserved and more
277 faithfully reflects the isotopic ratio of the Early Jurassic seawater. In general, carbon
278 isotopes behave more conservatively than oxygen isotopes during diagenesis because
279 the volume of carbon in any carbonate rock is vastly greater than in any potential
280 reactive fluid and, unlike oxygen isotopes, recrystallization during burial at elevated
281 temperature has only minor effect (e.g. Scholle and Arthur, 1980).

282 The samples used for $^{13}\text{C}_{\text{carb}}$ analysis are dominated by carbonates as mentioned
283 above. The previous work shows that dolomite, micrite, wackestone, packstone,
284 grainstone and sandy carbonate are dominant in the studied interval (Han et al., 2016)
285 and therefore five characteristic facies were divided based on grain type and/or texture
286 (Fig. 5C). Given that lithology may influence $\delta^{13}\text{C}_{\text{carb}}$, the characteristic facies of
287 analysed samples were plotted against $\delta^{13}\text{C}_{\text{carb}}$ values (Fig. 5A). Each facies displays a
288 wide range of $\delta^{13}\text{C}_{\text{carb}}$ values. Additionally, the box-plots show that the carbon-isotope
289 values of each facies are close, mostly ranging from $\sim -1\%$ to 1.5‰ (Fig. 6B). These
290 observations therefore suggest that $\delta^{13}\text{C}_{\text{carb}}$ are not facies-dependent and that they likely
291 reflect original seawater composition.

292 Detailed microfacies analysis shows that the studied interval was dominated by
293 barrier-island, lagoonal and inner and open-platform environments (Han et al., 2016).

294 Due to the barrier island usually depositing quartz-rich sandstone, unsuitable for
295 carbon-isotope analysis, the majority of samples for $\delta^{13}\text{C}_{\text{carb}}$ analysis are from two
296 depositional environments, namely lagoon and inner and open platform, and the
297 boundary is around the Zhamure–Pupuga contact. However, every major change in
298 $\delta^{13}\text{C}_{\text{carb}}$ is recorded in sediments derived from a constant depositional environment, not
299 across lithological transitions. In summary, local depositional environment and facies
300 likely had a negligible influence on $\delta^{13}\text{C}_{\text{carb}}$.

301 *5.2. The Sinemurian–Pliensbachian boundary event*

302 A negative CIE at the Sinemurian–Pliensbachian boundary (SPBE) was first
303 described from sections in the UK based on skeletal calcite from belemnites and
304 brachiopods (Jenkyns et al., 2002; Korte and Hesselbo, 2011). Although Danisch et al.
305 (2019) suggested that the SPBE CIE may represent just a phase in which isotopic
306 values return to previous values after a positive excursion during the late Sinemurian,
307 several lines of evidence suggest that this negative CIE must be a genuine event.
308 Evidence of the same CIE was widely reported in other sections from the western
309 Tethys, including Portugal (Duarte et al., 2014), UK (Jenkyns et al., 2002; Korte and
310 Hesselbo, 2011; Price et al., 2016; Storm et al., 2020), France (Peti et al., 2017), Italy
311 (Woodfine et al., 2008; Franceschi et al., 2014, 2019), and Algeria (Baghli et al., 2020).
312 The wide geographical expression of this CIE suggests that the phenomenon
313 responsible for the perturbation in the carbon cycle had supra-regional impact.
314 Furthermore, the CIE is recorded in carbonate, organic matter and fragments of

315 terrestrial wood (e.g. Korte and Hesselbo, 2011; Peti et al., 2017; Franceschi et al.,
316 2019), and therefore must reflect a perturbation of the carbon cycle that involved the
317 whole ocean–atmosphere system. A correlation with demise of some western Tethyan
318 carbonate platforms has been proposed, suggesting significant environmental change
319 (Jenkyns, 2020).

320 Biostratigraphic data from the Wölong section allow the Zhamure Formation (~0–
321 114 m) to be referred to the upper Sinemurian to lower Pliensbachian (Fig. 2). Although
322 the foraminifera in the upper Sinemurian interval are rare and badly preserved, the
323 lowest appearance of *Rectocyclammina* sp. can be taken to mark the boundary between
324 the *Siphovalvulina colomi* zone and the *Lituosepta recoarensis* zone, as defined by
325 Boudagher-Fadel (2018), and therefore identify the base of the Pliensbachian. With this
326 constraint, the 2‰ negative CIE in $\delta^{13}\text{C}_{\text{carb}}$ starting at ~54 m can be correlated with the
327 SPBE (Fig. 7), whose onset has been dated to the upper Sinemurian (*raricostatum*
328 ammonite zone) and extends to the *davoei* ammonite zone in the middle portion of the
329 Pliensbachian (cf. Storm et al., 2020). This correlation is strengthened by the features
330 of the CIE at Wölong. Its magnitude is comparable to that of the SPBE in European
331 successions and, furthermore, the shift is preceded by an interval in which isotopic
332 values are roughly constant. This pattern is clearly visible in several $\delta^{13}\text{C}$ records from
333 northern Europe and western Tethys (e.g. Korte and Hesselbo, 2011; Peti et al., 2017;
334 Franceschi et al., 2019; Storm et al., 2020). The recognition of the SPBE in the southern
335 hemisphere reinforces evidence that this CIE has global expression and therefore
336 reflects a global-scale variation in the isotopic composition of the ocean-atmosphere

337 reservoirs of the exogenic carbon cycle.

338 Based on current evidence, the SPBE is an isotopically negative carbon-cycle
339 perturbation with a magnitude of ~2–4‰ in $\delta^{13}\text{C}_{\text{carb}}$ and ~5–7‰ in $\delta^{13}\text{C}_{\text{org}}$, respectively
340 (Figs. 5 and 7). For the origin of the SPBE CIE, hypotheses such as enhanced
341 hydrothermal activity in the context of Pangaeian rifting (Franceschi et al., 2014, 2019)
342 or late pulses of the Central Atlantic Magmatic Province (Ruhl et al., 2016; Shöllhorn
343 et al., 2020) have been proposed, but the causal mechanism(s) of the negative excursion
344 still remain unclear. Massive input of isotopically light carbon into ocean–atmosphere
345 system would be expected to trigger global warming and ocean acidification. A negative
346 excursion in $\delta^{18}\text{O}$ has been highlighted in lower Pliensbachian successions of western
347 Tethys and northern Europe in coincidence with the main CIE of the SPBE (e.g. Dera
348 et al., 2011; Baghli et al., 2020), which is suggestive of a warming phase. However,
349 some authors have reported evidence of cooling in the latest Sinemurian/early
350 Pliensbachian (Price et al., 2016; Korte and Hesselbo, 2011). This apparent discrepancy
351 might suggest either that cooling was local or that a more complex evolution of climate
352 was associated with the SPBE. Ocean acidification remains a possibility and may be
353 implicated in the crisis/demise of some western Tethyan carbonate platforms
354 (Franceschi et al., 2019; Jenkyns, 2020).

355 *5.3 The late Pliensbachian–early Toarcian (pre-T-OAE) events*

356 The features of the $\delta^{13}\text{C}_{\text{carb}}$ curve stratigraphically above the SPBE level in the
357 Wölong section correspond well with the $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{TOC}}$ curves generated from

358 the nearby Yunjia section that can be physically correlated to Wölong (Fig. 5; cf Wignall
359 et al., 2006). The Wölong and Yunjia records can therefore be combined to create the
360 denser composite curve shown in Fig. 7. A positive shift with a magnitude of ~2‰ in
361 $\delta^{13}\text{C}_{\text{carb}}$ (Wölong and Yunjia) and of ~4–5‰ in $\delta^{13}\text{C}_{\text{TOC}}$ (Yunjia) is observed (~146–172
362 m) in the lower Pupuga Formation (Fig. 7). Biostratigraphic constraints allow referral
363 of this positive shift to the upper Pliensbachian (*S. liasica* LBF zone, roughly coincident
364 with the *margaritatus* and *spinatum* ammonite zones) (Figs. 4 and 7). This feature
365 correlates with a positive shift in the $\delta^{13}\text{C}$ record identified in the *margaritatus*
366 ammonite zone in terrestrial and marine organic matter, wood and carbonate in
367 European and North American successions (e.g. van de Schootbrugge et al., 2005; Suan
368 et al., 2010; Korte and Hesselbo, 2011; Silva et al., 2011; Silva and Duarte, 2015; Ruhl
369 et al., 2016; Peti et al., 2017; De Lena et al., 2019; Storm et al., 2020). This positive
370 shift is named the margaritatus zone event (ME) following Korte and Hesselbo (2011),
371 Ruhl et al. (2016) and De Lena et al. (2019). It is worth noting that the onset level of
372 the ME in Wölong and Yunjia extends downward to the upper *Cyclorbitsella tibetica*
373 LBF zone, notionally correlative with the *davoei* ammonite zone (Figs. 4 and 5). This
374 stratigraphic arrangement may suggest an earlier onset of the ME in the eastern Tethys
375 than the western Tethys or, more probably, an apparent diachroneity due to lower
376 resolution of the LBF scheme as compared to the ammonite biozonation.

377 The ME positive excursion has been attributed to burial of $\delta^{13}\text{C}$ -depleted organic-
378 rich sediment, which could be illustrated by the coeval widespread occurrence of black
379 shales in Spain, Portugal and North America over this interval (Rosales et al., 2006;

380 Suan et al., 2010; Silva and Duarte, 2015; De Lena et al., 2019). This process could
381 have drawn down CO₂ levels that had increased during the SPBE and thereby caused a
382 cooling phase, as suggested by a coincident positive shift in δ¹⁸O values in carbonate
383 archives from a number of sections (e.g. Rosales et al., 2004; Suan et al., 2010; Korte
384 and Hesselbo, 2011; Harazim et al., 2013; Korte et al., 2015; Baghli et al., 2020).

385 After the increase observed over the ME interval, δ¹³C values undergo another
386 negative excursion with a magnitude of ~2‰ in δ¹³C_{carb} (Wölong and Yunjia) and of
387 ~4‰ in δ¹³C_{TOC} (Yunjia) in the upper Pupuga Formation (Fig. 5). This CIE occurs in
388 the upper *Streptocyclamina liasica* LBF zone, equivalent approximately to the upper
389 *margaritatus* and lower *spinatum* ammonite zones (Figs. 4 and 5) and, in the Wölong
390 and Yunjia sections, closely follows the ME with a magnitude of ~2‰ in δ¹³C_{carb} and
391 ~4‰ in δ¹³C_{TOC}. This negative shift is here correlated with the *margaritatus*–*spinatum*
392 boundary event (MSBE) that has been documented in carbonates, organic matter and
393 wood fragments in multiple sections from European shelf seas and western Tethyan
394 basins between the *margaritatus* and *spinatum* ammonite zones (Fig. 7; Korte and
395 Hesselbo, 2011; Peti et al., 2017; Storm et al., 2020; Mercuzot et al., 2020). Based on
396 these observations, the *spinatum*–*margaritatus* ammonite zone boundary in this study
397 is positioned at the minimum δ¹³C_{carb} value of this event (~200 m; Fig. 7). During the
398 MSBE interval, a positive δ¹⁸O excursion in skeletal calcite from the western Tethys
399 and Arctic shelf areas has been reported, which suggests a cooling phase and possible
400 regional sea-level fall (Rosales et al., 2006; Suan et al., 2010, 2011; Korte and Hesselbo,
401 2011; Korte et al., 2015). Therefore, this negative δ¹³C excursion has been linked to

402 release of isotopically light carbon from sediment reworking, organic-matter oxidation,
403 and heterotrophic remobilization probably related to mixing within a re-oxygenated
404 water column (Rosales et al., 2006; Korte and Hesselbo, 2011; Silva and Duarte, 2015;
405 Mercuzot et al., 2020).

406 The T-OAE has been pinpointed at the base of the Nieniexiongla Formation based
407 on multiple lines of evidence: the disappearance of the *Lithiotis Fauna*, changes in
408 sedimentary facies, and carbon- and sulphur-isotope excursions starting at the Pupuga–
409 Nieniexiongla Formation boundary (Fig. 7; ~218 m) (Newton et al., 2011; Han et al.,
410 2016, 2018). Therefore, following the MSBE, the Pliensbachian–Toarcian boundary
411 negative CIE, which has been widely reported in northern Europe, northern Africa and
412 even the palaeo-Pacific Ocean, if everywhere correctly identified (Hesselbo et al., 2007;
413 Suan et al., 2008; Littler et al., 2010; Ait-Itto et al., 2017; Ikeda et al., 2018) would be
414 expected in the interval of ~200 m to ~218 m. However, no carbon-isotope features that
415 could be linked to this event are found at the Wölong and Yunjia sections, probably due
416 to an incomplete stratigraphic record (Figs. 5 and 7). A gap is likely, because a sea-level
417 fall associated with cooling and coincident with the Pliensbachian–Toarcian boundary
418 event is widely reported in west Tethyan and Arctic shelf-sea areas (e.g. Suan et al.,
419 2010; Ruebsam et al., 2019), and also observed in Tibet (Fig. 9; cf. Han et al., 2016).

420 Another explanation is that this CIE is not a genuine event or at least is a very
421 minor one in terms of carbon-cycle dynamics. Several studies from hemipelagic
422 sections with stratigraphically relatively expanded Pliensbachian successions have

423 failed to observe the peculiar negative CIE at the Pliensbachian-Toarcian boundary or
424 found it much reduced (Hermoso et al., 2009; 2012; Storm et al., 2020). A similar lack
425 of clear signal in coeval $\delta^{13}\text{C}$ values from organic matter strongly dominated by
426 terrestrial plant debris is characteristic of three Moroccan sections (Bodin et al. 2016).
427 Nannofossil-rich carbonate in the Peniche section, Portugal (the Global Boundary
428 Stratotype Section and Point for the base of the Toarcian Stage: da Rocha et al., 2016)
429 shows a clear negative carbonate carbon-isotope excursion at the stage boundary,
430 although it is more poorly expressed in associated wood (Hesselbo et al., 2007). Bodin
431 et al. (2016) proposed that the negative CIE primarily expressed in carbonates during
432 the Pliensbachian–Toarcian boundary interval might be related to Tethys-wide collapse
433 of neritic carbonate systems, which would have reduced the input of relatively ^{13}C -rich
434 neritic lime mud into basinal areas and thereby caused a negative $\delta^{13}\text{C}_{\text{carb}}$ signal in
435 accumulating hemipelagic carbonates. However, given the innate isotopic variability of
436 organic matter that could confound an atmospheric or marine signal, a locally
437 incomplete stratigraphic record of a global event remains a strong possibility.

438 *5.4 The evolution of the Kioto Carbonate Platform*

439 *5.4.1 The evolution of facies and depositional environments*

440 In the Wölong section, facies evolution is characterized by a progressive decrease
441 of terrigenous content paralleled by an increase in carbonate (Figs. 8 and 9). This
442 evolution likely testifies to a marine transgression that established the Kioto Carbonate
443 Platform, a large shallow-water sedimentary system that, during the Sinemurian–
444 Pliensbachian interval, was situated on the northern margin of the Indian continent and

445 extended across western Zanskar (India) and eastern southern Tibet (China) (Gaetani
446 and Garzanti, 1991; Jadoul et al., 1998; Sciunnach and Garzanti, 2012; Han et al., 2016,
447 2018). In terms of stratigraphy, the dominant quartzose sandstone (Fig. 8A), sandy
448 oolitic grainstone/oolitic sandstone (Fig. 8B) facies of the Zhamure Formation, referred
449 to a barrier-island environment, are replaced by the mainly bioclastic and oolitic
450 grainstone carbonate facies (Fig. 8C and D) of the Pupuga Formation (Han et al., 2016).
451 This evolutionary pattern may simply represent the transition from more proximal to
452 more distal open-marine facies caused by sea-level rise in studied area. A significant
453 transgression, possibly related to global warming, is actually documented beginning
454 shortly after the onset of SPBE and is widely documented in the Boreal and Tethyan
455 regions, as well as in southeastern Panthalassa (Legarreta and Uliana, 1996; Hesselbo
456 and Jenkyns, 1998; Korte and Hesselbo, 2011; Haq, 2018).

457 *5.4.2 The possible influence of Early Jurassic key events*

458 Based on the relationship between facies changes and carbon-isotope events in the
459 Wölong section (Fig. 9), carbonates begin to become more prevalent from the level of
460 the SPBE on upwards and mainly contain bioclasts and coated grains, whereas
461 microbial carbonates are nearly absent (cf. Han et al., 2016). This stratigraphic pattern
462 resembles what has been observed in the Trento Platform (northern Italy) in western
463 Tethys where a change in the type of precipitated or secreted carbonate from microbial
464 (prior to the SPBE) to skeletal (after the SPBE) is observed (Franceschi et al., 2019).
465 This change may be seen as possible evidence of a crisis in microbial carbonate
466 production, triggered by the phenomena possibly associated with the SPBE (e.g. ocean

467 acidification, enhanced freshwater input; Franceschi et al., 2019; Jenkyns, 2020) that
468 may have had Tethys wide scale.

469 Another notable feature observed in the Wölong section is the appearance of large
470 thick-shelled bivalves in the Pupuga Formation of the late Pliensbachian (Figs. 2, 8E,
471 F and 9), which are mainly represented by the lithiotid *Cochlearites* and lesser numbers
472 of *Lithiotis* (cf. Wignall et al., 2006), together named ‘*Lithiotis* Fauna’ in this study by
473 reference to Franceschi et al. (2014) and Posenato et al. (2018). They are important
474 constructors of bioherms and biostromes, and their spread represents a global-scale
475 biocalcification event that is peculiar to the Early Jurassic (e.g. Fraser et al., 2004;
476 Brame et al., 2019). Franceschi et al. (2014) pointed out that the spread of the *Lithiotis*
477 Fauna on the Trento Platform occurred after the SPBE and was synchronous at regional
478 scale. They therefore hypothesized that the amelioration of environmental conditions
479 following a phase of dysoxia, coincident with the SPBE carbon-isotope perturbation,
480 created the meso–oligotrophic conditions suitable for the diffusion of the bivalves. In
481 apparent contrast to this hypothesis, the occurrence of forms referred to the *Lithiotis*
482 Fauna has been reported in the uppermost Sinemurian from Morocco (Danisch et al.,
483 2019). Nevertheless, this age attribution appears debatable because it is based on the
484 presence of the larger benthic foraminifera *Pseudopfenderina butterlini* and *Lituosepta*
485 *recoarensis*. These two Jurassic species, however, are long-ranging and can be found
486 from the Sinemurian to the Bathonian and the upper Sinemurian to the lower Aalenian,
487 respectively (see BouDagher-Fadel, 2018).

488 Matching of the stratigraphic distribution of the *Lithiotis* Fauna against the carbon-

489 isotope curve from Wölong, if correlated to the western Tethyan sections of Rocchetta
490 and Viote (Trento Platform, northern Italy: Franceschi et al., 2019), suggests that also
491 on the Kioto Platform the spread of the large bivalves post-dated the rebound of the
492 isotopic values following the SPBE (Fig. 9). In Wölong, the appearance of the *Lithiotis*
493 Fauna roughly corresponds to the onset of the ME that followed shortly after the SPBE.
494 In western Tethyan sections of Italy, the appearance of the *Lithiotis* Fauna also broadly
495 corresponds to a positive shift in $\delta^{13}\text{C}_{\text{carb}}$ values (Viotte around 45 m; Rocchetta around
496 13 m) that we refer to the ME (Fig. 9). This line of evidence seems to corroborate the
497 hypothesis that the global diffusion of the *Lithiotis* Fauna followed the SPBE and was
498 effectively synchronous at the scale of the entire Tethys, thus strengthening the
499 hypothesis of a connection between the termination of the isotope perturbation and the
500 creation of conditions suitable for the spread of these bivalves. In any event, a possible
501 local appearance of the bivalves of the *Lithiotis* Fauna in the Sinemurian, if confirmed,
502 is not necessarily incompatible with a synchronous large-scale spread. These organisms
503 may have appeared prior to the SPBE, but then may have proliferated only after
504 environmental conditions became more suitable after the isotopic perturbation. More
505 accurate bio-chronostratigraphic constraints on the first occurrence of these bivalves
506 globally are needed to shed further light on this matter.

507 It is fair to say that massive CO_2 injection into the ocean–atmosphere during the
508 SPBE could have triggered global warming and enhanced nutrient input to the oceans
509 with the development of oxygen-depleted environments, just like the T-OAE (cf.
510 Jenkyns, 2010). The most stressed marine conditions could have exceeded the tolerance

511 levels of *Lithiotis* communities. Such conditions are typically favorable for elevated
512 primary productivity and organic-matter burial. Organic-rich sediments have been
513 reported from the hemipelagic facies of Lusitanian Basin, Portugal (Duarte et al., 2010,
514 2012), carbonate-ramp facies of Basque–Cantabrian basin, northern Spain (Quesada et
515 al., 1997, 2005; Rosales et al., 2006), and from shallow-water settings of the Trento
516 Platform, Italy (Bassi et al., 1999; Franceschi et al., 2014) during the SPBE, and widely
517 from global sites during the ME, as discussed in section 5.3. Combining the
518 observations from both the eastern and western Tethys, this study leads to the
519 suggestion that the persistent burial of organic matter during the SPBE and ME could
520 have gradually drawn down atmospheric $p\text{CO}_2$ and thus reversed the syn- and post-
521 SPBE greenhouse effect, progressively facilitating the formation of more stable
522 ecosystems. The resultant better oxygenated meso-oligotrophic conditions could have
523 proved favorable for the development and diffusion of the *Lithiotis* Fauna in the entire
524 Tethyan tropics/subtropics immediately following the SPBE and during and after the
525 ME. A further element at play might have been global sea-level rise that is documented
526 shortly after the time of onset of the SPBE (Legarreta and Uliana, 1996; Hesselbo and
527 Jenkyns, 1998; Haq, 2018) and that led to the expansion of carbonate-rich shelf
528 environments, the ecosystem where the *Lithiotis* Fauna thrived.

529 Foraminiferal data from Wölong highlight an extinction of index taxa, such as
530 *Palaeomayncina termieri*, *Planisepta compressa*, and *Lituosepta recoarensis*, at the
531 onset level of the MSBE (~177–185 m; Figs. 2 and 8). The skeletal grain content overall
532 shows a decreasing trend through the upper Pliensbachian and reaches a minimum

533 generally consistent with the extinction level (Fig. 9). This event broadly corresponds
534 in timing with the *gibbosus* ammonite subzone biotic crisis in the latest *margaritatus*
535 ammonite zone known from the western Tethys and northeastern Panthalassa (Dera et
536 al., 2010; Caruthers et al., 2014). This association likely suggests a global biotic crisis
537 at the time of the MSBE, although the possible links with the phenomena responsible
538 for the carbon-isotope perturbation are yet to be explored. Subsequently, although a
539 global biotic crisis occurred again at the Pliensbachian–Toarcian boundary (Dera et al.,
540 2010; Caruthers et al., 2014), the variation in LBF and *Lithiotis* Fauna is not clear
541 because of the lack of appropriate records of this event, likely biased by stratigraphic
542 incompleteness due to sea-level fall at this time, as mentioned above. However,
543 *Lithiotis* communities thrived on Pliensbachian shallow-water carbonate platforms in
544 western (Italy and Morocco) and eastern (Tibet) Tethyan regions, suggesting that the
545 environmental and climatic changes associated with the carbon-cycle perturbations did
546 not completely destroy the conditions for skeletal production of carbonates until the
547 early Toarcian (Brame et al., 2019).

548 6. Conclusions

549 This study presents foraminiferal biostratigraphic, sedimentological and $\delta^{13}\text{C}_{\text{carb}}$
550 data from the Lower Jurassic (Sinemurian–lowermost Toarcian) shallow-water
551 carbonates of the Kioto Platform (Tethys Himalaya, Tibet). A total of six foraminiferal
552 zones have been recognized: late Sinemurian *Textulariopsis sinemuriensis*,
553 Pliensbachian *Planisepta compressa*, *Bosniella oenensis*, *Cyclorbitopsella tibetica*

554 and *Streptocyclammina liasica*, and earliest Toarcian *Siphovalvulina* sp. A. The
555 foraminiferal biostratigraphic framework and isotope data make it possible to propose
556 a correlation of the $\delta^{13}\text{C}$ records of the western Tethyan region and northern Europe.
557 Three carbon-isotope perturbations are identified: the negative $\delta^{13}\text{C}$ excursions of the
558 Sinemurian–Pliensbachian boundary event (SPBE) and *margaritatus*–*spinatum*
559 boundary event (MSBE) and the positive excursion of the margaritatus zone event
560 (ME), mainly in the *margaritatus* zone. The identification of these carbon-isotope
561 perturbations in a section deposited in the austral portion of the Tethys strengthens the
562 global significance of these events.

563 Facies investigation shows that sedimentary environments in the Tethys Himalaya
564 underwent a transgressive evolution from terrigenous-dominated to mainly carbonate-
565 rich. The carbonate facies that developed at the time of the widespread establishment
566 of the shallow-water carbonate environment of the Kioto Platform, which occurred after
567 the SPBE, are characterized mainly by skeletal grains: a similar pattern to that observed
568 in coeval platform carbonates in the western Tethys. The sediments of the Kioto
569 Platform document the spread of the large bivalves of the *Lithiotis* Fauna. This spread
570 occurred closely following the SPBE, in an analogous way to what has been observed
571 in the western Tethys, suggesting that, following the carbon-isotope perturbation, these
572 organisms could flourish across the whole of the Tethyan region.

573 There was a global biotic crisis around the onset level of the MSBE, as expressed
574 in this study by extinctions of certain index larger benthic foraminifera. However,
575 *Lithiotis* communities thrived in Tibet (eastern Tethys: southern hemisphere) and in

576 Morocco and Italy (western Tethys: northern hemisphere) until the early Toarcian,
577 likely recording a general response on the entire shallow-water platform belt.

578 **Acknowledgements**

579 We thank Wei An, Juan Li and Shiyi Li for their assistance in the field, and Yiwei
580 Xu, and Jingxing Jiang for their help in preparing the samples. This study was
581 financially supported by the National Natural Science Foundation of China (nos
582 41888101, 41525007, 42002121, 41802126), scholarship grant from China Scholarship
583 Council (201706190163), and the program B (201802B079) for Outstanding PhD
584 candidate of Nanjing University. We thank the reviewers, including Mariano Parente
585 (University of Naples), for their constructive comments on the manuscript.

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874 **Figure captions**

875 **Fig. 1.** (A) Early Jurassic palaeogeographic map of the Tethys Ocean, modified after
876 Golonka (2007) and Han et al. (2018). (B) Detailed road map showing the studied
877 section, modified after Han et al. (2016), and also the location of the nearby Yunjia
878 section studied by Wignall et al. (2006) and Newton et al. (2011), ~500 m away from
879 the Wölong section. Y: Yorkshire, Cleveland Basin; M: Mochras Core, Cardigan Bay
880 Basin. S: Sancerre core, Paris Basin, France; P: Peniche, Lusitanian Basin; A: Algeria,
881 T: Trento Carbonate Platform; K: Kioto Carbonate Platform.

882 **Fig. 2.** Lithological log, after Han et al. (2016), and biostratigraphic framework and
883 foraminiferal distribution chart of the Wölong section on the Kioto Platform. *Lithiotis*
884 Fauna data are from Jadoul et al. (1998) and Han et al. (2016, 2018) for the Wölong
885 section; larger benthic foraminiferal zones are established based on BouDagher-Fadel
886 (2018). Foraminifera disappearing at 177–185 m, namely at the onset level of the
887 MSBE (see Figs. 5 and 8 for details), are marked in purple rectangles.

888 **Fig. 3.** Index foraminiferal species of the Wölong section. A: *Siphovalvulina colomi*
889 BouDagher-Fadel, Rose, Bosence and Lord; B: *Rectocyclammina* sp.; C:
890 *Everticyclammina praevirguliana* Fugagnoli; D: *Lituosepta recoarensis*; E: *Bosniella*
891 *oenensis* Gušić; F: *Cyclorbitopsella tibetica* Cherchi, Schroeder and Zhang; G:
892 *Orbitopsella prilpeva*; H: *Orbitopsella praecursor* (Gümbel); I: *Planisepta compressa*
893 (Hottinger); J: *Streptocyclammina liasica* Hottinger; K: *Palaeomayncina termieri*; L:
894 *Siphovalvulina* sp. A; M: *Mesoendothyra* cf. *croatica* Gušić. Scale bars: Fig. A =

895 0.15mm; Figs. B-E, G, K, L = 0.5mm; Figs. F, H-J, M = 1mm.

896 **Fig. 4.** Proposed correlation between LBF zones and *Lithiotis* Fauna of the Wölong
897 section, and standard Tethyan and Boreal ammonite zones of the Lower Jurassic.
898 Ammonite zones are after Page (2003) and correlation is according to BouDagher-Fadel
899 (2018). Abbreviations: Sin. = Sinemurian; Toar. = Toarcian; Biv. = Bivalve.

900 **Fig. 5.** Carbon-isotope correlation between the Wölong (A, this study) and Yunjia (B,
901 Wignall et al., 2006) sections based on the position of the top *Lithiotis* Fauna horizon
902 and the Pupuga–Nieniexiongla Formations boundary, i.e., the Toarcian maximum
903 flooding surface and abrupt facies change (black dashed line, Han et al., 2016, 2018).
904 $\delta^{13}\text{C}_{\text{carb}}$ profile of the Wölong section has been illustrated with characteristic
905 microfacies. 1. (black data points): Finely crystalline dolostone; 2 (red data points):
906 Micrite; 3 (blue data points): Wackestone/Packstone; 4 (green data points): Grainstone;
907 5 (purple data points): Mixed carbonate–siliciclastic deposits. Note that these two
908 outcrops can be combined into one composite section because of their close proximity
909 to one another. B. a. z. equivalent = Boreal ammonite zone equivalent.

910 **Fig. 6.** Correlation between $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ (A) and box-plot (B) showing the
911 relationship between isotopic values and facies of the studied samples.

912 **Fig. 7.** Carbon-isotope chemostratigraphical correlation between the Wölong (this
913 study) and Yunjia (Wignall et al., 2006) sections in Tibet and characteristic profiles
914 reported from the Mochras core, Cardigan Bay Basin, Wales, UK (Storm et al., 2020),
915 Cleveland Basin, UK (green data points, Ruhl et al., 2016, and black and blue data
916 points, Korte and Hesselbo, 2011), and Trento Platform, northern Italy (Franceschi et

917 al., 2019). SPBE: Sinemurian–Pliensbachian boundary event; MSBE: *margaritatus*–
918 *spinatum* boundary event; ME: *margaritatus* zone event, mainly in the *margaritatus*
919 zone. Mbs: metres below surface.

920 **Fig. 8.** Microfacies and *Lithiotis* Fauna from the Wölong section. **A:** Quartzose
921 sandstone (~2 m); **B:** Sandy oolitic grainstone/oolitic sandstone (~87 m), with larger
922 foraminiferan *Lituosepta recoarensis*; **C:** Bioclastic grainstone (~193 m), including
923 diverse fragments of bivalves, foraminifera, brachiopods, echinoderms, etc.; **D:** Oolitic
924 grainstone (~205 m), displaying strong micritization of ooids and bioclasts; **E:** *Lithiotis*
925 Fauna in the lower section (~150 m); **F:** *Lithiotis* Fauna in the upper section (~198 m).

926 **Fig. 9.** Quartz and carbonate skeletal grain abundance (this study), relative sea-level
927 (RSL) changes constructed by microfacies analysis (Wölong section, Han et al., 2016),
928 and *Lithiotis*–chemostratigraphic correlation between the Kioto (Wölong section, this
929 study) and Trento (Italy, Franceschi et al., 2014) Platforms during the Sinemurian–
930 Pliensbachian interval. Qg: Quartz grains; Sg: Skeletal grains.

931

Fig. 1

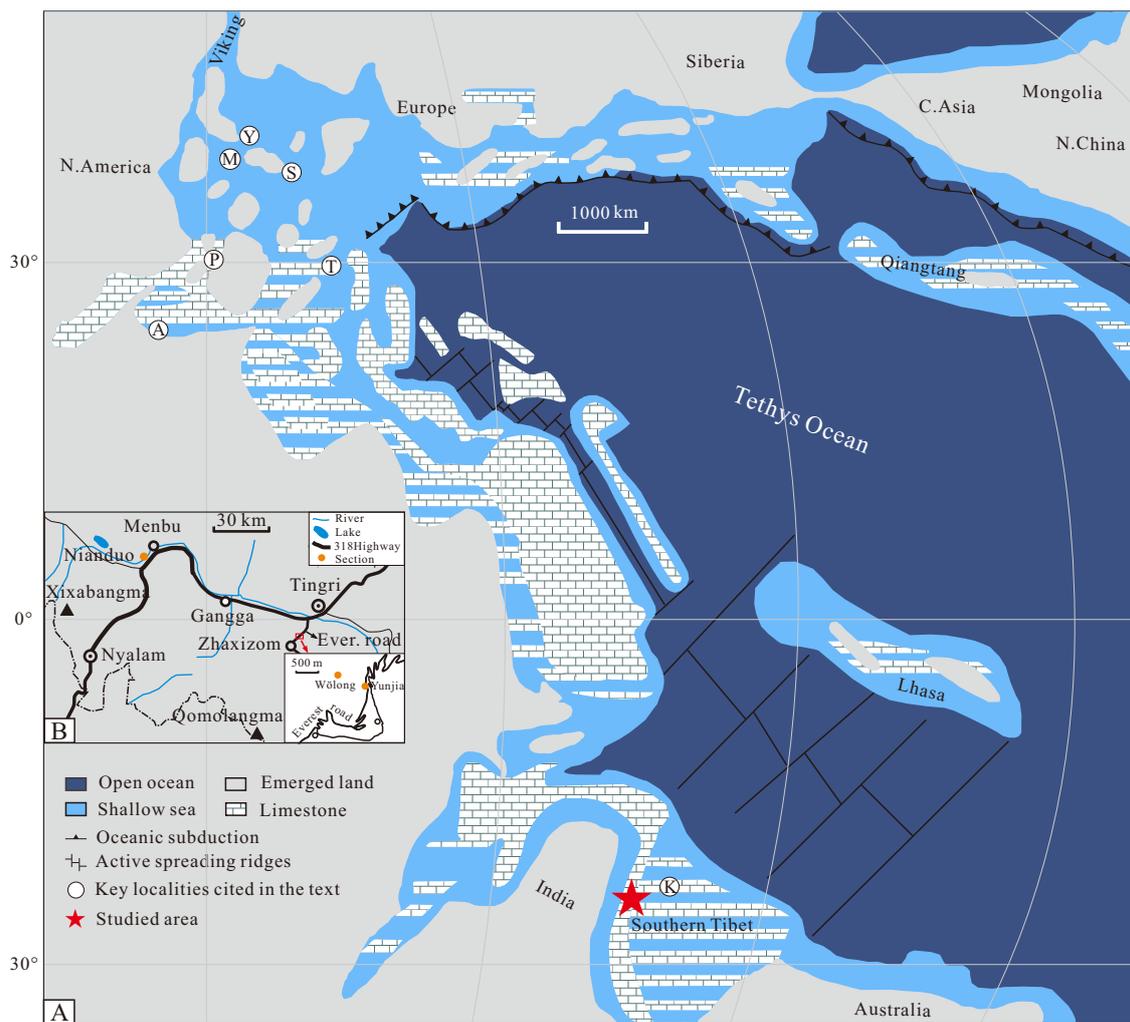


Fig. 2

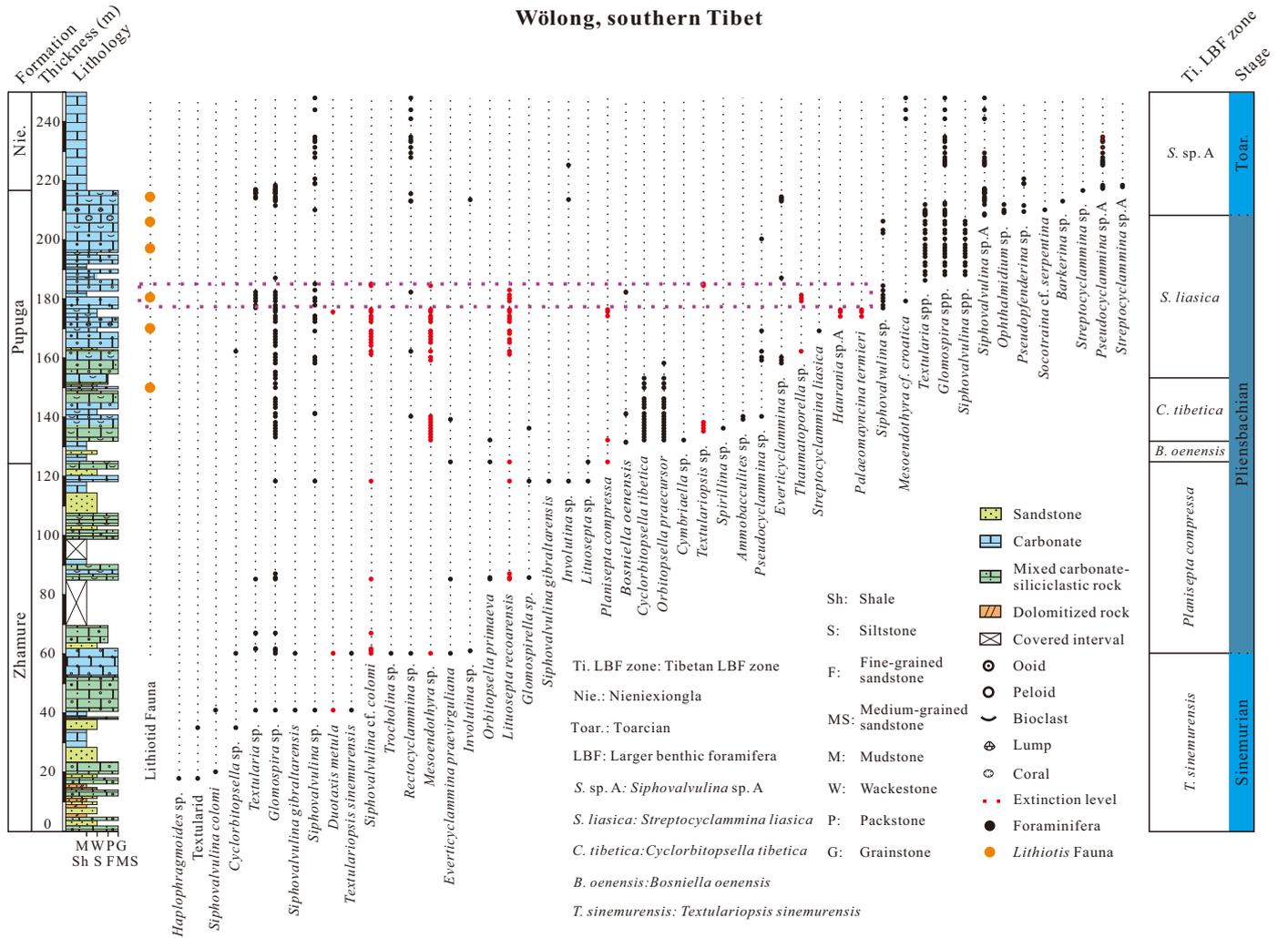


Fig. 3

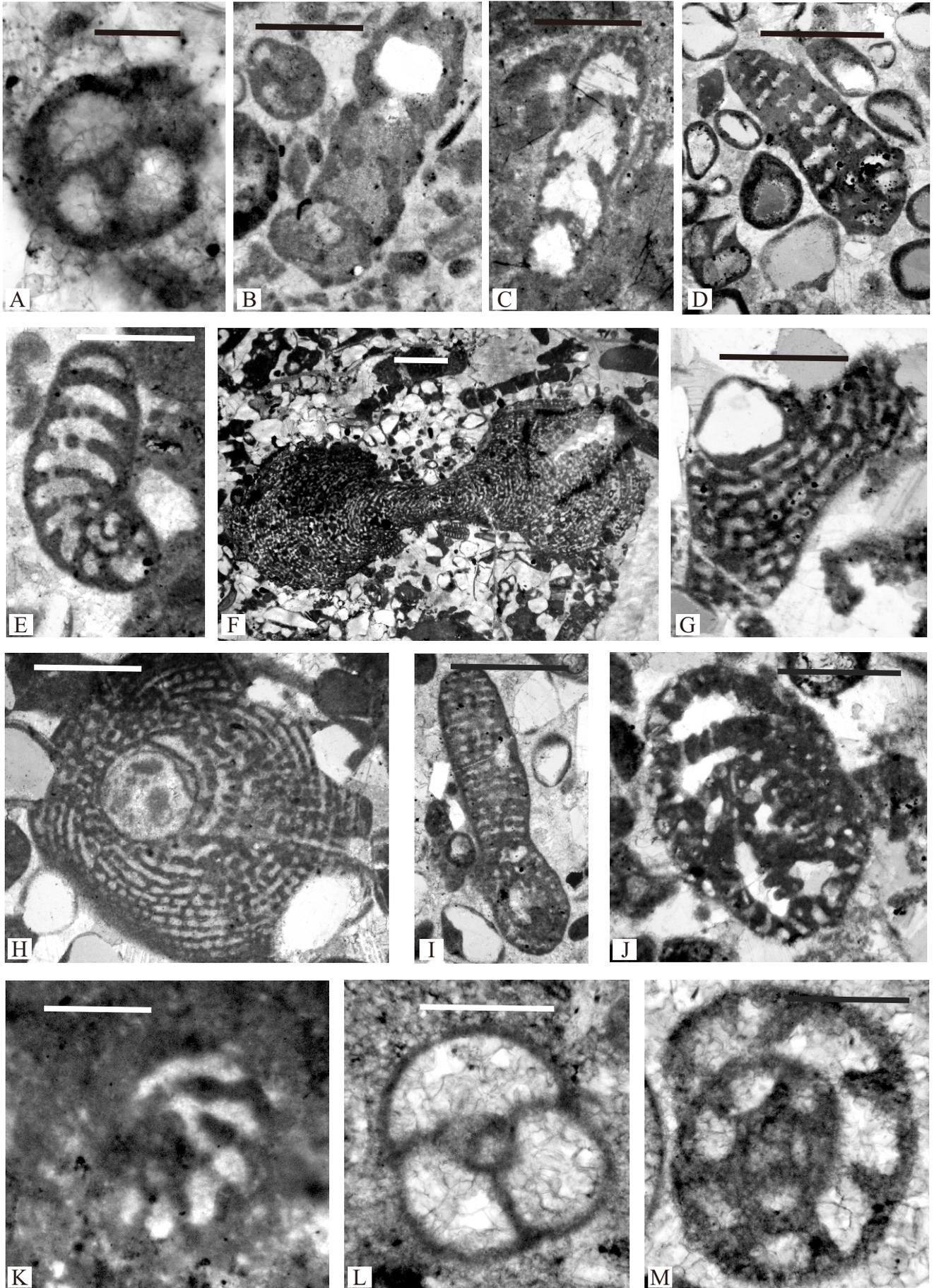


Fig. 4

Stage	Ammonites		This study		
	Tethyan	Boreal	LBF	Biv.	Formation
Toar. Pflensbachian	<i>tenuicostatum</i>	<i>tenuicostatum</i>	<i>S. sp. A</i>		Nie.
	<i>emaciatum</i>	<i>spinatum</i>	<i>S. liasica</i>	<i>Lithotis</i> Fauna	Pupuga
	<i>algovianum</i>				
	<i>lavinianum</i>	<i>margaritatus</i>			
	<i>davoei</i>	<i>davoei</i>	<i>C. tibetica</i>		
	<i>ibex</i>	<i>ibex</i>	<i>B. oenensis</i>		
	<i>jamesoni</i>	<i>jamesoni</i>	<i>Planisepta compressa</i>		Zhamure
	<i>raricostatum</i>	<i>raricostatum</i>	<i>T. sinemurensis</i>		

Fig. 5

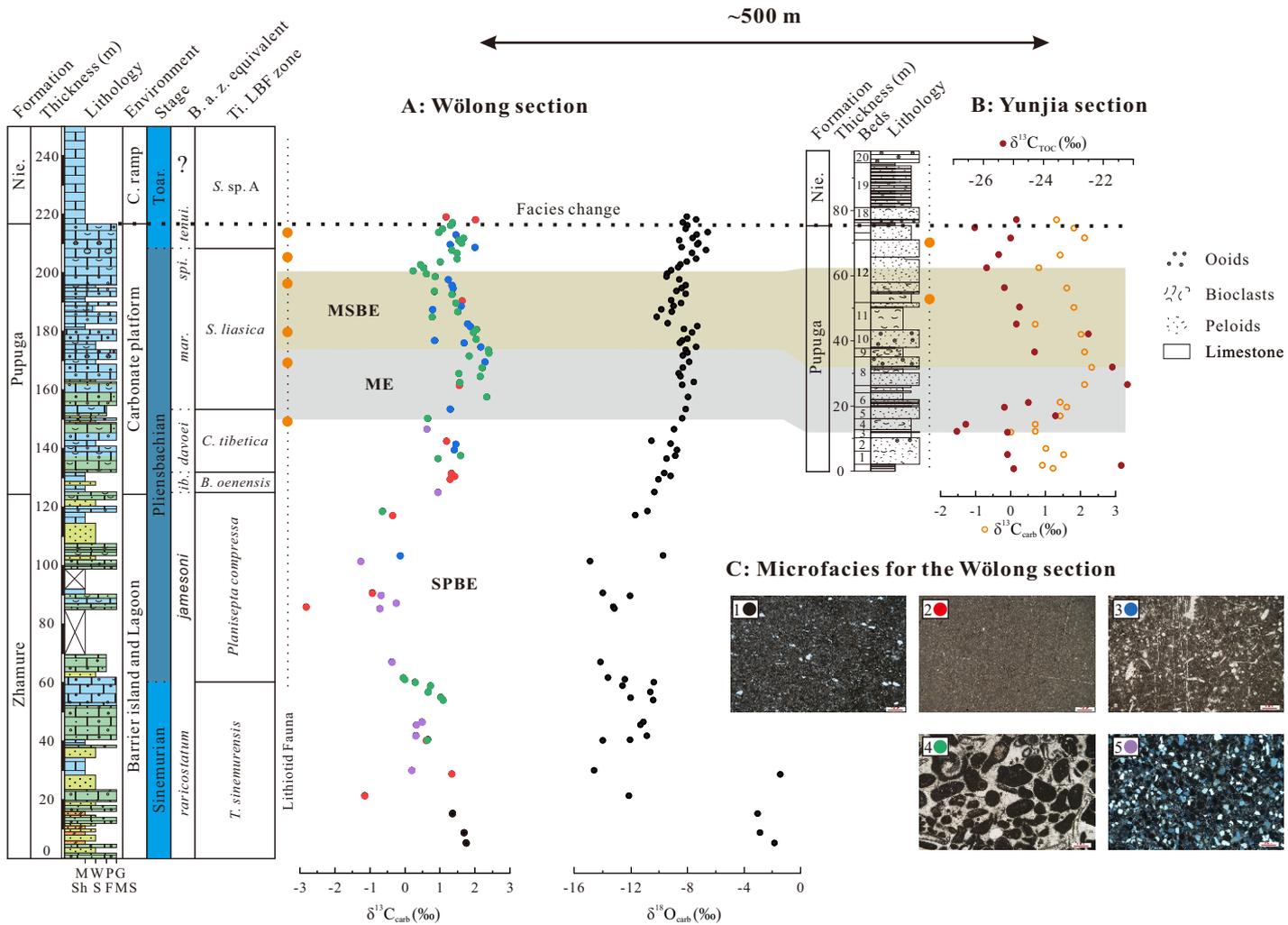


Fig. 6

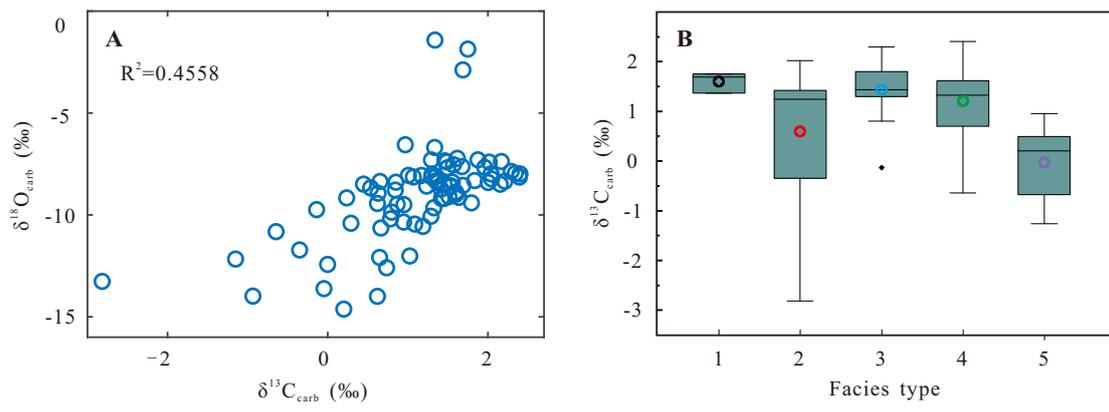


Fig. 7

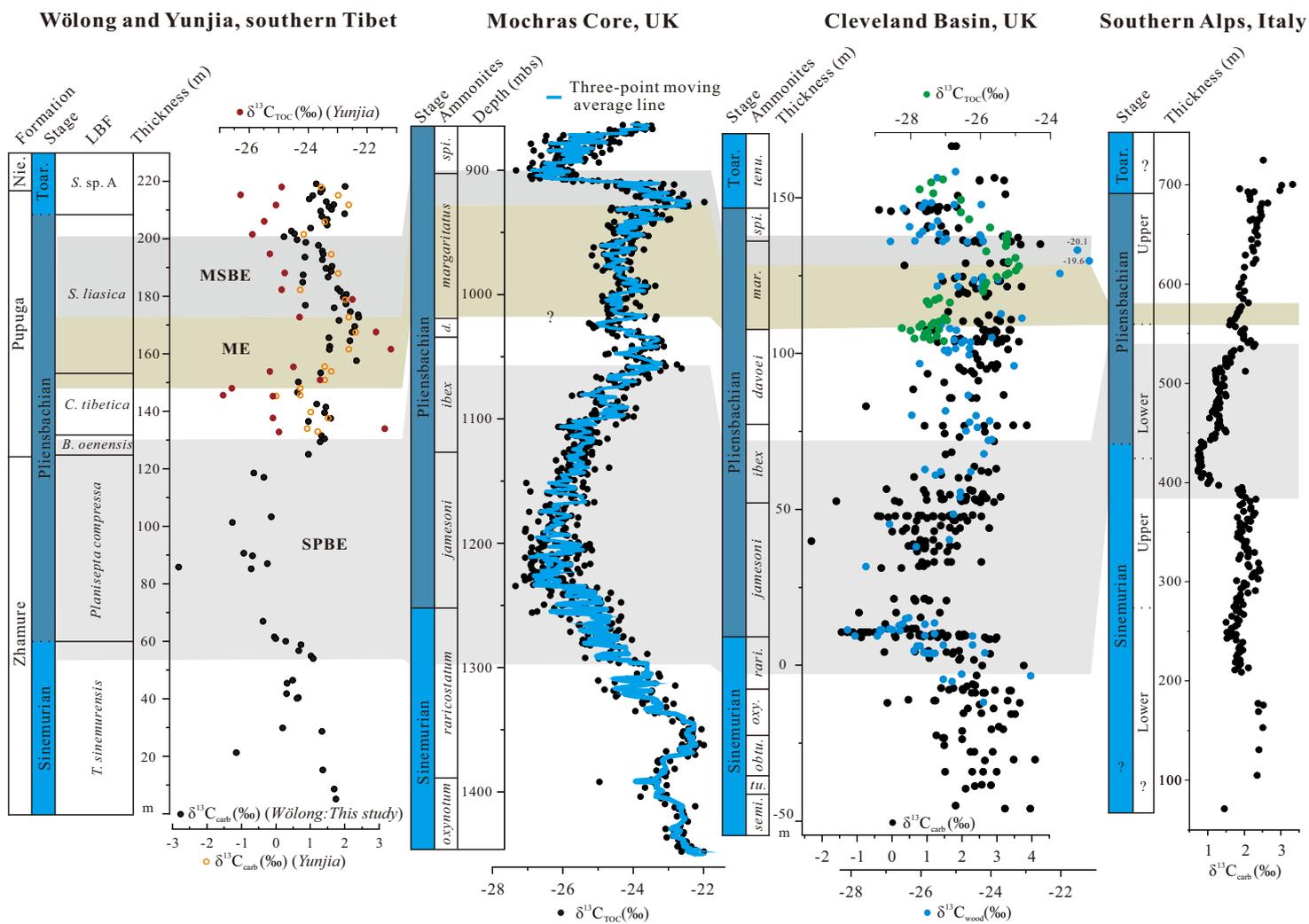


Fig. 8

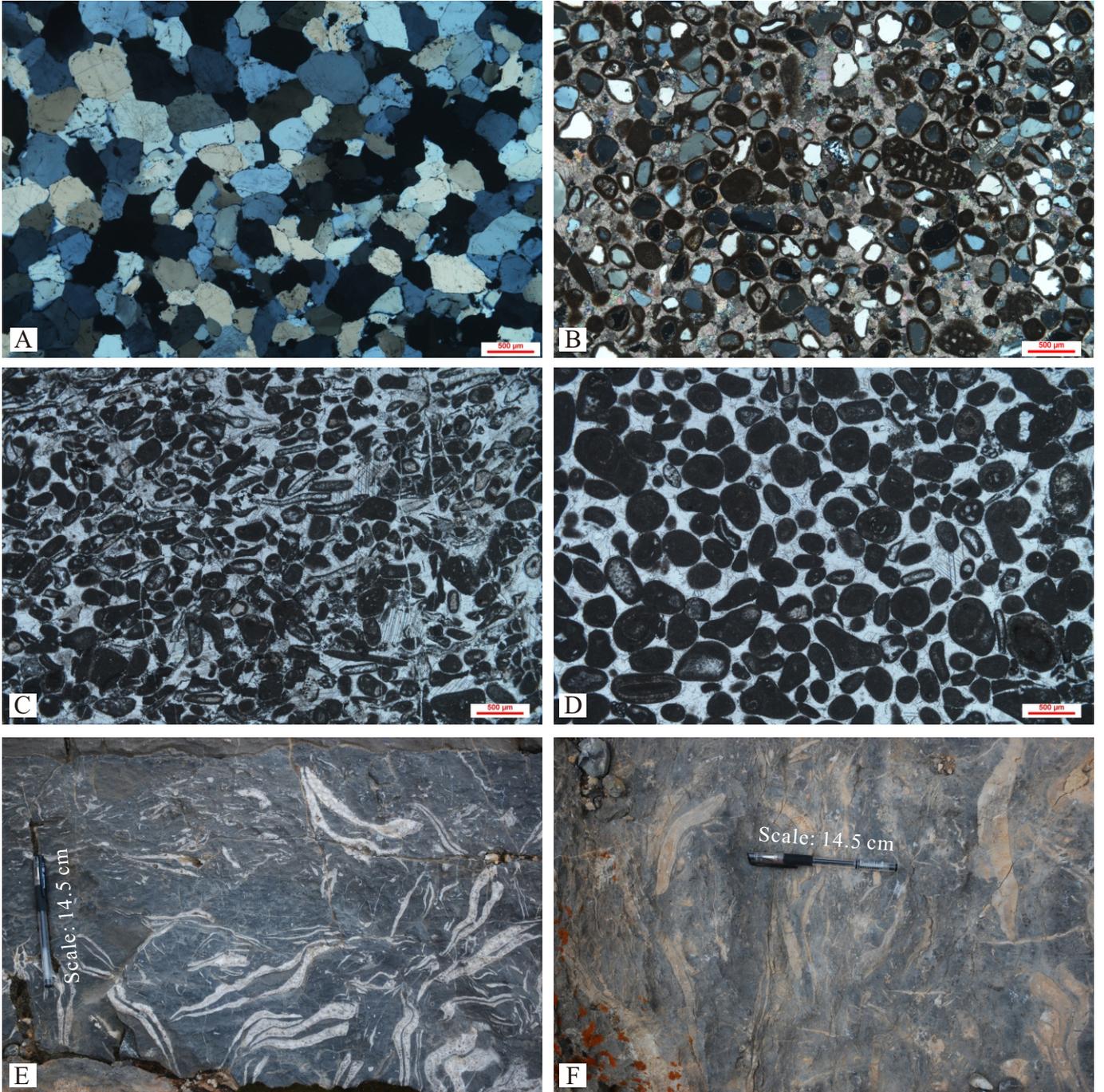


Fig. 9

