## Observation of Direct *CP* Violation in $B^0 \to \pi^+ \pi^-$ Decays and Model-Independent Constraints on the Quark-Mixing Angle $\phi_2$

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We report a new measurement of the time-dependent *CP*-violating parameters in  $B^0 \rightarrow \pi^+ \pi^-$  decays with 535 × 10<sup>6</sup>  $B\bar{B}$  pairs collected with the Belle detector at the KEKB asymmetric-energy  $e^+e^-$  collider operating at the Y(4S) resonance. We find 1464 ± 65  $B^0 \rightarrow \pi^+ \pi^-$  events and measure the *CP*-violating parameters  $S_{\pi\pi} = -0.61 \pm 0.10$ (stat) ± 0.04(syst) and  $A_{\pi\pi} = +0.55 \pm 0.08$ (stat) ± 0.05(syst). We observe large direct *CP* violation with a significance greater than 5 standard deviations for any  $S_{\pi\pi}$ value. Using isospin relations, we measure the Cabibbo-Kobayashi-Maskawa quark-mixing matrix angle  $\phi_2 = (97 \pm 11)^\circ$  for the solution consistent with the standard model and exclude the range 11° <  $\phi_2$  < 79° at the 95% confidence level.

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In the standard model (SM) framework, *CP* violation is attributed to an irreducible complex phase in the Cabibbo-Kobayashi-Maskawa (CKM) weak-interaction quarkmixing matrix [1]. In the decay chain of  $Y(4S) \rightarrow B^0 \bar{B}^0$ , one  $B^0$  decays into  $\pi^+ \pi^-$  at time  $t_{\pi\pi}$ , while the other decays at time  $t_{\text{tag}}$  into a flavor specific state  $f_{\text{tag}}$ . The timedependent *CP* violation [2] is given as

$$\mathcal{P}^{q}_{\pi\pi}(\Delta t) = \frac{e^{-|\Delta t|/\tau_{B^{0}}}}{4\tau_{B^{0}}} [1 + q\{\mathcal{S}_{\pi\pi}\sin(\Delta m_{d}\Delta t) + \mathcal{A}_{\pi\pi}\cos(\Delta m_{d}\Delta t)\}], \qquad (1)$$

where  $\Delta t = t_{\pi\pi} - t_{\text{tag}}$ ,  $\tau_{B^0}$  is the  $B^0$  lifetime,  $\Delta m_d$  is the  $B^0 \bar{B}^0$  mixing frequency [3], and q = +1 (-1) when  $f_{\text{tag}} = B^0(\bar{B}^0)$ .  $S_{\pi\pi}$  and  $A_{\pi\pi}$  are the mixing-induced and direct *CP*-violating parameters, respectively.

The *CP*-violating parameters have been measured by the Belle [4] and *BABAR* [5] collaborations. Both experiments obtained consistent results for  $S_{\pi\pi}$ . In contrast, *BABAR* measured an  $\mathcal{A}_{\pi\pi}$  value consistent with zero, while Belle found evidence for large direct *CP* violation with a significance of 4 standard deviations ( $\sigma$ ) using a data sample containing  $275 \times 10^6 B\bar{B}$  pairs. Here, we report a new measurement with a large data sample ( $535 \times 10^6 B\bar{B}$  pairs) and improvements to the analysis method that increase its sensitivity. We confirm our earlier results and

observe direct *CP* violation in  $B^0 \rightarrow \pi^+ \pi^-$  [6] decays at the 5.5 $\sigma$  level; the disagreement with the *BABAR*  $\mathcal{A}_{\pi\pi}$  measurement [5] remains.

One of the CKM angles,  $\phi_2$  [7], can be measured using  $S_{\pi\pi} = \sqrt{1 - A_{\pi\pi}^2} \sin(2\phi_2 + \kappa)$ , where  $\kappa$  is determined using isospin relations [8]. Measurements of  $\phi_2$  using  $B \rightarrow \pi\pi$ ,  $B \rightarrow \rho\pi$  [9] and  $\rho\rho$  decays [10] give consistent results; the combined  $\phi_2$  value, together with measurements of other CKM angles and sides, is consistent with the unitarity [11,12]. We combine our  $S_{\pi\pi}$  and  $A_{\pi\pi}$  measurements with the world average (W.A.) values of other quantities to obtain a new constraint from  $B \rightarrow \pi\pi$  on  $\phi_2$ . Multiple solutions are found; for the solution consistent with other CKM measurements in the context of the SM, the constraint is more restrictive than those obtained from other *B* decay modes.

The data sample used in this analysis was collected with the Belle detector [13] at the KEKB  $e^+e^-$  asymmetricenergy (3.5 on 8 GeV) collider [14] operating at the Y(4*S*) resonance produced with a Lorentz boost factor of  $\beta \gamma =$ 0.425 nearly along the electron beam direction (*z* axis). Since the two *B* mesons are produced approximately at rest in the Y(4*S*) center-of-mass system (c.m.s.), the decay time difference  $\Delta t$  is determined from the distance between the two *B* meson decay vertices along the *z*-direction ( $\Delta z$ ):  $\Delta t \cong \Delta z/c\beta\gamma$ . In the Belle detector, a silicon vertex detector and a 50-layer central drift chamber (CDC) are used for charged particle tracking, and an array of aerogel threshold Cherenkov counters as well as the dE/dx measurements in the CDC provide the particle identification (PID) information to distinguish charged pions and kaons. The devices are placed inside a superconducting solenoid coil providing a 1.5 T magnetic field.

We employ the event selection of Ref. [4] except for the PID requirement, which is removed. This increases the signal detection efficiency by 23%. The PID information is instead used in a likelihood fit in this analysis, improving the measurement errors for the *CP*-violating parameters by about 10% compared with the previous analysis. We reconstruct  $B^0 \rightarrow \pi^+ \pi^-$  candidates using oppositely charged track pairs. We select *B* meson candidates using the energy difference  $\Delta E \equiv E_B^* - E_{\text{beam}}^*$  and the beam energy constrained mass  $M_{\text{bc}} \equiv \sqrt{(E_{\text{beam}}^*)^2 - (p_B^*)^2}$ , where  $E_{\text{beam}}^*$  is the c.m.s. beam energy, and  $E_B^*$  and  $p_B^*$  are the c.m.s. energy and momentum of the *B* candidate. We define the signal box as 5.271 GeV/ $c^2 < M_{\text{bc}} < 5.287 \text{ GeV}/c^2$  and  $|\Delta E| < 0.064 \text{ GeV}$ .

The standard Belle algorithm identifies the flavor of  $f_{\text{tag}}$ using properties of its decay products, and provides qdefined in Eq. (1) and a variable r [15]. The parameter rranges from r = 0 (no flavor discrimination) to r = 1(unambiguous flavor assignment). The candidate events are categorized into six r intervals (l = 1, 6). The wrong tag fraction in each l bin,  $w_l$ , and the differences between  $B^0$  and  $\overline{B}^0$  decays,  $\Delta w_l$ , are determined using data.

To discriminate the continuum background  $(e^+e^- \rightarrow$  $q\bar{q}, q = u, d, s, c$ ), we form a signal  $(q\bar{q})$  likelihood function,  $\mathcal{L}_{S(B)}$ , from features of the event topology and require *r*-dependent thresholds of  $\mathcal{R} = \mathcal{L}_S / (\mathcal{L}_S + \mathcal{L}_B)$  for the candidates, as the separation between signal and  $q\bar{q}$  background depends on r. The thresholds are determined to be 0.50, 0.45, 0.45, 0.45, 0.45, and 0.20 for each *l* bin by optimizing the expected sensitivity using signal Monte Carlo (MC) events and events in the sideband region  $5.20 \,\text{GeV}/c^2 < M_{\text{bc}} < 5.26 \,\text{GeV}/c^2 \text{ or } +0.1 \,\text{GeV} < \Delta E <$ +0.5 GeV. We further divide the data sample into two categories having  $\mathcal R$  above or below 0.85 to take into account the correlation between the  $\Delta E$  shape of  $q\bar{q}$  background and  $\mathcal{R}$ . We thus have 12 distinct bins of  $\mathcal{R}$  vs r; these bins are labeled  $\ell = 1, 6$  ( $\ell = 7, 12$ ) for the six r intervals with  $\mathcal{R} > 0.85$  ( $\mathcal{R} < 0.85$ ).

We extract signal candidates in the global area  $M_{\rm bc} > 5.20 \text{ GeV}/c^2$  and  $-0.3 \text{ GeV} < \Delta E < +0.5 \text{ GeV}$  by applying the above requirements and the vertex reconstruction algorithm in Ref. [16]. The selected candidates include not only signal events but also  $B^0 \rightarrow K^+ \pi^-$ ,  $q\bar{q}$ , and threebody *B* decay backgrounds. We estimate the signal and background yields with an unbinned extended maximum likelihood fit, making use of  $\Delta E$ ,  $M_{\rm bc}$ , and the kaon identification probability  $x_{\pm} = \mathcal{L}_{K^{\pm}}/(\mathcal{L}_{K^{\pm}} + \mathcal{L}_{\pi^{\pm}})$  for the positively and negatively charged tracks of the candidates,

where  $\mathcal{L}_{\pi^{\pm}}$  ( $\mathcal{L}_{K^{\pm}}$ ) is the likelihood value for the pion (kaon) hypotheses.

We use a sum of two bifurcated Gaussians and a single Gaussian to model the  $\Delta E$  and  $M_{bc}$  shapes, respectively, for both  $B^0 \rightarrow \pi^+ \pi^-$  and  $K^+ \pi^-$ . The probability density functions (PDF) as a function of  $x_{\pm}$  for the signal and  $B^0 \rightarrow K^+ \pi^-$  decays are obtained from a large data sample of inclusive  $D^{*+} \rightarrow D^0 \pi^+$ ,  $D^0 \rightarrow K^- \pi^+$  decays. The yields of  $B^0 \rightarrow K^+ \pi^-$  events are parameterized as  $n_{K^{\pm}\pi^{\mp}} =$  $n_{K\pi}(1 \mp \mathcal{A}_{K\pi})/2$ , where  $\mathcal{A}_{K\pi} = -0.113 \pm 0.020$  [3] is the direct *CP* asymmetry in  $B^0 \rightarrow K^+ \pi^-$  decays. We fix the  $\mathcal{A}_{K\pi}$  value and float the  $B^0 \rightarrow K^+ \pi^-$  yield  $n_{K\pi}$  in the fit. For the signal and  $B^0 \rightarrow K^+ \pi^-$  events, we use MCdetermined event fractions in each  $\mathcal{R}$ -*r* bin.

The  $\Delta E$  ( $M_{bc}$ ) shape of the  $q\bar{q}$  background is described by a second-order polynomial (an ARGUS function [17]). We use qr-dependent two-dimensional ( $x_+, x_-$ ) PDFs for the  $q\bar{q}$  background to take into account the correlation between positively and negatively charged tracks. These PDFs are determined from the sideband events.

For the three-body *B* decays, we employ a smoothed two-dimensional  $\Delta E \cdot M_{bc}$  histogram obtained from a MC sample. We use the same  $x_{\pm}$  PDFs as those of the signal and  $B^0 \rightarrow K^+ \pi^-$  decays, with a  $\Delta E$ -dependent kaon fraction determined from the MC sample.

By fitting to the data in the global area, we determine the yields of the signal and background components. Interpolating to the signal box, we obtain  $1464 \pm 65 \pi^+\pi^-$ ,  $4603 \pm 105 K^+\pi^-$ , and  $10764 \pm 33 q\bar{q}$  events, where the errors are statistical only. The contribution from three-body *B* decays is negligible in the signal box. From the signal yield and the detection efficiency (53.1%), we estimate the measured branching fraction to be  $(5.2 \pm 0.2) \times 10^{-6}$ , in agreement with the W.A. value [18]. Figure 1 shows the projection plots of  $\Delta E$ ,  $M_{\rm bc}$ , and  $x_{\pm}$  for candidate events.

To determine  $S_{\pi\pi}$  and  $A_{\pi\pi}$ , we apply an unbinned maximum likelihood fit to the  $\Delta t$  distribution of the 16 831 candidates in the signal box. The signal distribution in Eq. (1) is modified to incorporate the effect of incorrect flavor assignment, using  $w_l$  and  $\Delta w_l$ . This distribution is then convolved with the proper time interval resolution function  $R_{sig}(\Delta t)$  [19]. The final signal PDF is given by



FIG. 1. (a)  $\Delta E$ , (b)  $M_{\rm bc}$ , and (c)  $x_{\pm}$  projection plots of the  $B^0 \rightarrow \pi^+ \pi^-$  candidates having  $\mathcal{R} > 0.85$  in the signal box of (a)  $M_{\rm bc}$  with  $x_{\pm} < 0.4$ , (b)  $\Delta E$  with  $x_{\pm} < 0.4$ , and (c)  $M_{\rm bc}$  with 0 GeV  $< \Delta E < 0.02$  GeV. Figure (c) is the sum of  $x_+$  and  $x_-$  distributions.

$$\begin{split} P_{\pi\pi}^{q\ell}(\Delta t) &= (1 - f_{\rm ol}) \mathcal{P}_{\pi\pi}^{q\ell}(\Delta t) \otimes R_{\rm sig}(\Delta t) + f_{\rm ol} \mathcal{P}_{\rm ol}(\Delta t),\\ \text{where the outlier PDF } \mathcal{P}_{\rm ol}(\Delta t) \text{ accommodates a small fraction } f_{\rm ol} \text{ of events having large } \Delta t \text{ values. The } \Delta t \text{ distribution for } B^0 \rightarrow K^+\pi^- \text{ is } \mathcal{P}_{K^\pm\pi^\mp}^{q\ell}(\Delta t) = (1/4\tau_{B^0}) \times e^{-|\Delta t|/\tau_{B^0}}[1 - q\Delta w_l \mp q(1 - 2w_l)\cos(\Delta m_d\Delta t)]; \text{ the corresponding PDF } P_{K^\pm\pi^\mp}^{q\ell}(\Delta t) \text{ is constructed in the same manner as the signal PDF. The } q\bar{q} \text{ background distribution contains prompt and finite-lifetime components; it is convolved with a background resolution function modeled as a sum of two Gaussians and combined with the outlier PDF to give the <math>q\bar{q}$$
 background PDF  $P_{q\bar{q}}(\Delta t)$ . All the parameters of  $P_{q\bar{q}}(\Delta t)$  are determined using sideband events.

We define a likelihood value for the *i*-th event, which lies in the  $\ell$ -th bin of  $\mathcal{R}$  vs r:

$$P_i = \sum_k n_k^{\ell} \mathcal{P}_k^{q(\ell)}(\vec{s}_i) P_k^{(q\ell)}(\Delta t_i).$$
<sup>(2)</sup>

Here,  $n_k^{\ell}$  is the fraction of component  $k \in \{\pi^+ \pi^-, K^+ \pi^-, K^- \pi^+, q\bar{q}\}$  in  $\mathcal{R}$ -r bin  $\ell$ ;  $\mathcal{P}_k^{q(\ell)}(\vec{s})$  is the event-by-event probability for component k as a function of  $\vec{s} = (\Delta E, M_{\rm bc}, x_+, x_-)$ ; and  $P_k^{(q\ell)}(\Delta t)$  is the event-by-event probability for component k and flavor tag q as a function of  $\Delta t$ . In the fit,  $S_{\pi\pi}$  and  $\mathcal{A}_{\pi\pi}$  are the only free parameters and are determined by maximizing the like-lihood function  $\mathcal{L} = \prod_i P_i$ .

The unbinned maximum likelihood fit yields  $S_{\pi\pi} = -0.61 \pm 0.10(\text{stat}) \pm 0.04(\text{syst})$  and  $\mathcal{A}_{\pi\pi} = +0.55 \pm$ 



0.08(stat)  $\pm$  0.05(syst). The correlation between  $S_{\pi\pi}$  and  $\mathcal{A}_{\pi\pi}$  is  $\rho = +0.15$ . Figures 2(a) and 2(b) show the background subtracted  $\Delta t$  distributions of the signal events with r > 0.5 for  $q = \pm 1$  and the asymmetry  $\mathcal{A}_{CP}$  in each  $\Delta t$  bin, respectively, where  $\mathcal{A}_{CP} = (N_+ - N_-)/(N_+ + N_-)$  and  $N_{+(-)}$  is the number of signal events with q = +1 (-1) obtained by a fit in each  $\Delta t$  bin.

The main contributions to the systematic error come from the vertex reconstruction ( $\pm 0.03$  for  $S_{\pi\pi}$  and  $\pm 0.01$  for  $\mathcal{A}_{\pi\pi}$ ) and event fractions ( $\pm 0.01$  for  $S_{\pi\pi}$  and  $\pm 0.04$  for  $\mathcal{A}_{\pi\pi}$ ); the latter includes a conservative uncertainty for the possible  $q\bar{q}$  background flavor asymmetry of  $\pm 0.02$ . We include the effect of tag side interference [20] on  $S_{\pi\pi}$  ( $\pm 0.01$ ) and  $\mathcal{A}_{\pi\pi}$  ( $\pm 0.02$ ). Other sources of systematic error for both  $S_{\pi\pi}$  and  $\mathcal{A}_{\pi\pi}$  are the uncertainties in the wrong tag fraction ( $\pm 0.01$ ), physics parameters  $\tau_{B^0}, \Delta m_d$ , and  $\mathcal{A}_{K\pi}$  (<0.01), resolution function ( $\pm 0.02$ ), background  $\Delta t$  shape (<0.01), and fit bias ( $\pm 0.01$ ). We add each contribution in quadrature to obtain the total systematic error.

To validate our *CP*-violating parameter measurement, we check the measurement of  $\mathcal{A}_{\pi\pi}$  using a timeintegrated fit, and obtain  $\mathcal{A}_{\pi\pi} = +0.56 \pm 0.10$ , consistent with the time-dependent fit results. An unbinned extended maximum likelihood fit to the q = +1 (q = -1) subset with  $\mathcal{R} > 0.85$  and r > 0.5 yields  $280 \pm 20$  ( $169 \pm$ 16)  $\pi^+\pi^-$  signal events, in agreement with the measured  $\mathcal{A}_{\pi\pi}$  value taking into account the dilution due to the wrong tag fractions and  $\mathcal{B}^0 \overline{\mathcal{B}}^0$  mixing. We also check the



FIG. 2. (a)  $\Delta t$  distributions of  $B^0 \rightarrow \pi^+ \pi^-$  signal events with r > 0.5 after background subtraction for q = +1 (solid line) and q = -1 (dashed line), and (b) asymmetry  $\mathcal{A}_{CP}$  plot. The curves are projections of the fit result. The difference in the heights of the q = +1 and q = -1 components in (a) is due to direct *CP* violation.

FIG. 3. Confidence regions for  $S_{\pi\pi}$  and  $A_{\pi\pi}$ . The curves show the contour for  $1 - \text{C.L.} = 3.17 \times 10^{-1}$  (1 $\sigma$ ),  $4.55 \times 10^{-2}$  (2 $\sigma$ ),  $2.70 \times 10^{-3}$  (3 $\sigma$ ),  $6.33 \times 10^{-5}$  (4 $\sigma$ ),  $5.73 \times 10^{-7}$ (5 $\sigma$ ), and  $1.97 \times 10^{-9}$  (6 $\sigma$ ) from inside to outside. The point with error bars is the  $S_{\pi\pi}$  and  $A_{\pi\pi}$  measurement.

direct *CP* asymmetry in  $B^0 \to K^+ \pi^-$  events by floating  $\mathcal{A}_{K\pi}$  in the time-dependent fit, and we obtain a value consistent with the W.A. [3] and the same  $\rho$  value as from the nominal fit. The fit is applied to various data subsets: a subset containing events with positive (negative)  $\Delta E$  in which the  $B^0 \to K^+ \pi^-$  contamination is suppressed (enriched), where  $\mathcal{A}_{\pi\pi} = +0.60 \pm 0.11 \ (+0.51 \pm 0.12)$ , events with  $\mathcal{R} > 0.85 \ (\mathcal{R} < 0.85)$  where the  $q\bar{q}$  background fraction is suppressed (enriched), events with  $x_{\pm} < 0.4$  where the signal fraction is enhanced, and events in one of the six *r* bins having different wrong tag fractions. All fits to the subsets yield *CP* asymmetries consistent with the overall fit result. No sizable asymmetry is found in a fit to sideband events.

We determine the statistical significance of our measurement using a frequentist approach [21], taking into account both statistical and systematic uncertainties. Figure 3 shows the resulting two-dimensional confidence regions in the  $S_{\pi\pi}$  and  $A_{\pi\pi}$  plane. The case of no direct *CP* violation,  $A_{\pi\pi} = 0$ , is ruled out at a confidence level (C.L.) of  $1 - 4 \times 10^{-8}$ , equivalent to a 5.5 $\sigma$  significance for one-dimensional Gaussian errors. We also observe mixing-induced *CP* violation with a significance greater than 5.3 $\sigma$  for any  $A_{\pi\pi}$  value.

To constrain  $\phi_2$ , we use the isospin relations [8] with our measured values of  $S_{\pi\pi}$  and  $\mathcal{A}_{\pi\pi}$ , the W.A. branching ratios of  $B^0 \to \pi^+ \pi^-$  (5.2 ± 0.2 in units of 10<sup>-6</sup>),  $\pi^0 \pi^0$ (1.31 ± 0.21) and  $B^+ \to \pi^+ \pi^0$  (5.7 ± 0.4), and the W.A. direct *CP*-asymmetry for  $B^0 \to \pi^0 \pi^0$  (0.36 ± 0.33) [18]. We follow the statistical method of Ref. [11] assuming Gaussian distributions. Figure 4 shows the difference 1-C.L. plotted for a range of  $\phi_2$  values. We find four



FIG. 4. Difference 1-C.L. plotted for a range of  $\phi_2$  values obtained with an isospin analysis using Belle measurements of  $S_{\pi\pi}$  and  $A_{\pi\pi}$ , and the W.A. values for the direct *CP* asymmetry in  $B^0 \to \pi^0 \pi^0$  decays and branching fractions for the  $B \to \pi\pi$  modes. The solid and dashed lines indicate C.L. = 68.3% and 95%, respectively.

different solutions consistent with our measurement. For the solution consistent with the expectation from other CKM measurements,  $(100^{+5}_{-7})^{\circ}$  [11], we find  $\phi_2 = (97 \pm 11)^{\circ}$ . We exclude the  $\phi_2$  range  $11^{\circ} < \phi_2 < 79^{\circ}$  at the 95% confidence level.

In summary, using a data sample containing  $535 \times$  $10^6 B\bar{B}$  pairs, we measure the *CP*-violating parameters in  $B^0 \rightarrow \pi^+ \pi^ S_{\pi\pi} = -0.61 \pm 0.10$ (stat)  $\pm$ decays: 0.04(syst) and  $A_{\pi\pi} = +0.55 \pm 0.08(\text{stat}) \pm 0.05(\text{syst}).$ We report the first observation of direct CP violation with  $5.5\sigma$  significance. Our results as well as the evidence for direct *CP* violation in  $B^0 \rightarrow K^+ \pi^-$  decays [22] rule out superweak models, i.e., extensions of the SM in which all *CP* violation occurs through  $\Delta B = 2$  processes [23]. The measured  $S_{\pi\pi}$  and  $A_{\pi\pi}$  values in this Letter are consistent with those reported in Ref. [4], and supersede Belle's earlier evidence for direct *CP* violation. Among the four  $\phi_2$ solutions, the  $\pm 1\sigma$  range for the  $\phi_2$  solution consistent with the SM is more restrictive than that from measurements of  $B \to \rho \pi$  [9] and  $B \to \rho \rho$  decays [10].

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