

PROCEEDINGS B

Historical drivers of extinction risk: using past evidence to direct future monitoring

Journal:	<i>Proceedings B</i>
Manuscript ID:	RSPB-2015-0928.R1
Article Type:	Research
Date Submitted by the Author:	n/a
Complete List of Authors:	Di Marco, Moreno; Sapienza Università di Roma, Department of Biology and Biotechnologies; The University of Queensland, Arc Centre of Excellence for Environmental Decisions, Centre for Biodiversity and Conservation Science; The University of Queensland, School of Geography Planning and Environmental Management Collen, Ben; University College London, Department of Genetics, Evolution & Environment Rondinini, Carlo; Sapienza Università di Roma, Department of Biology and Biotechnologies Mace, Georgina; University College London, Department of Genetics, Evolution & Environment
Subject:	Ecology < BIOLOGY, Environmental Science < BIOLOGY
Keywords:	biodiversity, conservation, human threats, mammals, random forest model
Proceedings B category:	Population and community Ecology

SCHOLARONE™
Manuscripts

1 **Historical drivers of extinction risk: using past evidence to direct future monitoring**

2

3 *Di Marco, Moreno^{abc1}, Ben Collen^d, Carlo Rondinini^a and Georgina M. Mace^d*

4

5 ^aGlobal Mammal Assessment program, Department of Biology and Biotechnologies, Sapienza
6 Università di Roma, Viale dell' Università 32, I-00185 Rome, Italy.

7 ^bARC Centre of Excellence for Environmental Decisions, Centre for Biodiversity and Conservation
8 Science, The University of Queensland, 4072 Brisbane, Queensland, Australia

9 ^cSchool of Geography, Planning and Environmental Management, University of Queensland, 4072
10 Brisbane, Queensland, Australia

11 ^dCentre for Biodiversity & Environment Research, Department of Genetics, Evolution &
12 Environment, University College London, Gower Street, London WC1E 6BT UK.

13

14 **Corresponding author**

15 ¹ Centre for Biodiversity and Conservation Science, Goddard Building, The University of
16 Queensland, St LUCIA, QLD 4072 (Brisbane, Australia); email to moreno.dimarco@gmail.com

17 **Summary**

18 Global commitments to halt biodiversity decline mean that it is essential to monitor species'
19 extinction risk. However the work required to assess extinction risk is intensive. We demonstrate an
20 alternative approach to monitoring extinction risk, based on the response of species to external
21 conditions. Using retrospective IUCN Red List assessments, we classify transitions in the extinction
22 risk of 497 mammalian carnivores and ungulates between 1975-2013. Species that moved to lower
23 Red List categories, or remained Least Concern, were classified as "lower risk"; species that stayed
24 in a threatened category, or moved to a higher category of risk, were classified as "higher risk".
25 Twenty-four predictor variables were used to predict transitions, including intrinsic traits (species
26 biology) and external conditions (human pressure, distribution state, conservation interventions).
27 The model correctly classified up to 90% of all transitions and revealed complex interactions
28 between variables, e.g. protected areas *vs* human impact. The most important predictors were: past
29 extinction risk, protected area extent, geographical range size, body size, taxonomic family, human
30 impact. Our results suggest that monitoring a targeted set of metrics, would efficiently identify
31 species facing a higher risk, and could guide the allocation of resources between monitoring species'
32 extinction risk and monitoring external conditions.

33

34 **Keywords**

35 biodiversity; conservation; human threats; mammals; random forest model;

36 **Introduction**

37 Despite a growing international commitment to conservation, the current biodiversity crisis
38 is characterised by increasing human pressures and continuing decline in the status of many species
39 and habitats [1]. Reversing this trend has become the aim of one of the ambitious Aichi biodiversity
40 targets proposed for 2020 [2]: reducing the extinction risk of known threatened species. If this target
41 is achieved, it will in turn have a positive synergistic effect other targets (such as the protection of
42 forests and the maintenance of carbon stocks [3]). Progress towards meeting this global biodiversity
43 target relies on monitoring the extinction risk of species and evaluating how this risk changes over
44 time. Over recent decades, the International Union for Conservation of Nature (IUCN) has assessed
45 the extinction risk of more than 70,000 species of plants, vertebrates and invertebrates on the Red
46 List of Threatened species [4]. The classification of threatened species is clearly an effective
47 conservation tool [5], with the IUCN Red List underpinning both international policy processes [2]
48 and research aimed at improving conservation responses [6].

49 However, classifying and monitoring species' extinction risk requires intensive expert effort
50 and considerable financial resources, which is unsustainable without change in either the strategy
51 for assessment or funding [7]. Approaches such as sampling of taxa can be used to provide short-
52 cuts, but it remains a substantial task [8]. Overall statistics from the IUCN Red List are used for
53 measuring the status and trends of biodiversity [1,6] and for designing global-scale strategies for
54 conservation interventions [9]. In addition, species-specific assessments inform direct actions to
55 address particular threats at specific times and sites, requiring a comprehensive species-level
56 approach [10].

57 The extinction risk of species, assessed using the IUCN Red List criteria [11], is a
58 consequence of their biological traits, past and current environmental conditions, direct human
59 pressures and the interactions between these factors [12,13]. Environmental changes and pressures
60 on species are increasing in intensity and are the main cause of current increases in extinction rates.
61 Extinction risk modelling has been used to better represent and quantify these external drivers,

62 which can change and intensify over a short timeframe [14,15]. Biological traits by contrast change
63 very slowly, and determine the way in which species respond to external pressures [13]. Historical
64 information on species' extinction risk, and the way in which risk has changed in response to known
65 pressures, could therefore be a good way to predict future biodiversity trends, particularly when the
66 pressures can be effectively monitored or forecast.

67 Di Marco *et al.* undertook a retrospective assessment of the extinction risk of the world's
68 carnivores and ungulates between 1975 and 2008 [16] by applying the current IUCN Red List
69 criteria [11] to historical information. Studying past trends in extinction risk can indicate the
70 circumstances under which conservation policies and strategies are or are not successful.
71 Retrospective assessments can also guide the interpretation of future scenarios of emerging threats,
72 for example, inferring the likely consequences of land use change or climate change [17].
73 Therefore, one approach to reducing the logistical and financial constraints of constant extinction
74 risk monitoring could be to use well-validated models, based on past trends, to predict the effect of
75 changing external pressures on future extinction risk [18,19].

76 In many cases Red List categories remain stable over long periods of time, especially for the
77 large number of species listed as being of Least Concern (LC) [11]. The most useful information
78 therefore concerns those species whose extinction risk is likely to escalate. We use historical
79 records to develop and refine models of change in extinction risk, for identifying those species for
80 which high-risk combinations of biological vulnerability and extrinsic threats occur. We use current
81 [4] and historical [16] information on Red List categories for 497 species of mammalian carnivores
82 and ungulates in the period 1975-2013, to represent "transitions" in species' extinction risk (Fig. 1).
83 We classified species in two groups: "lower risk" transitions, for those species not facing a
84 significant increase in their extinction risk over time, and "higher risk" transitions, for those species
85 facing a significant increase in their extinction risk over time (see Methods and Table S1). This
86 approach is not analogous to measuring ordinal transitions between Red List categories (e.g. [20]),
87 since we deliberately highlight species that will be of greatest concern to conservation, namely

88 those that remain at a relatively high risk of extinction over time, and those that move from lower to
89 higher risk categories.

90 We acknowledge that our study species are not a representative subset of all mammals, let
91 alone life on earth. For example, carnivores and ungulates are generally characterised by longer
92 generation times [21] and higher risk of extinction [4] relative to other mammals. Nonetheless the
93 high conservation attention devoted to these groups (also in relation to the many flagship species
94 they include) makes a perfect case for testing our analytical approach.

95 We predicted higher and lower extinction risk transitions for species, using a comprehensive
96 set of variables, which represent the conditions faced by the species during the study period. Our
97 analyses therefore mimic a hypothetical situation in which relevant biological datasets and reliable
98 forecast environmental and conservation metrics were available in the 1970s. This would have
99 enabled conservation planners to predict which species would be in a higher or lower risk condition
100 over the next 40 years.

101

102 **Methods**

103 **Obtaining extinction risk transitions**

104 We included all species of carnivores (Carnivora), ungulates (Perissodactyla and terrestrial
105 Cetartiodactyla) and Proboscidea (discussed below together with ungulates) currently assessed in
106 the IUCN Red List [4]. We excluded those species identified as being historically (<1970) extinct
107 or Data Deficient (DD). We also excluded the Saudi gazelle (*Gazella saudya*), declared extinct in
108 the 1980s, since we had no detailed information available for its life history traits (apart from body
109 mass) or spatial distribution. We considered 497 species in our analyses, representing 93% of all
110 extant species in the study groups.

111 We compared the most recent species' extinction risk categories assessed in the IUCN Red
112 List [11] with a retrospective assessment for 1975 [16]. We calculated an extinction risk transition
113 value for each species between the two time periods in terms of the number of Red List categories

114 changed (Fig. 1). A negative transition (<0) characterised species that moved toward a lower
115 category of risk, a stable transition ($=0$) characterised species that maintained the same Red List
116 status, and a positive transition (>0) characterised species that moved toward a higher category of
117 risk.

118 We considered changes in species' extinction risk over a *c.* forty-year period (1975-2013).
119 This is a reasonable reference period for species in our study groups, as it corresponds to >10
120 generations for small carnivores and ~ 2 generations for large bodied species such as elephants and
121 rhinos [21].

122

123 **Classifying extinction risk transitions**

124 Because we were most interested in species that had fared unusually badly compared to
125 those following an average trend over the study period, we identified species with a transition value
126 significantly higher than random, when compared to other species within the same original
127 extinction risk category. To do this we: (i) randomly re-assigned the observed transitions across all
128 species within each original Red List category; (ii) compared the observed transitions with the
129 randomly assigned transitions; (iii) repeated the previous steps 10,000 times. As an example, the
130 transition of a species moving from LC (in 1975) to NT (in 2013) was higher than a transition
131 randomly selected from other originally LC species in $\sim 85\%$ of the comparisons. Species with a
132 transition value higher than random in $\leq 5\%$ of the comparisons were included in the "lower risk"
133 group. Species with a transition value higher than random in $> 5\%$ of the comparisons were
134 included in the "higher risk" group. Importantly, a species retaining the same category over the time
135 period (net change = 0) may have a transition value higher than random if several other species in
136 the same original category had moved to lower categories of risk (net change < 0).

137 The randomization resulted in two groups containing species characterised by different
138 extinction risk trajectories (Table S1). The "lower risk" group included species that were LC

139 throughout the study period, together with species that underwent a change from any category to a
140 lower category of risk. The "higher risk" group included all species that underwent a change from
141 any category to a higher category of risk, together with species that were originally threatened or
142 near threatened and retained their category. This classification reflects the intrinsic properties of the
143 Red List criteria, in particular the fact that remaining within the same Red List category has
144 different implications depending upon the category. For example, a species classified as LC
145 throughout the time period does not face any significant decline over time. In contrast, a species
146 classified as Vulnerable (VU) throughout the time period faces a strong continuing decline in
147 abundance ($\geq 30\%$) and/or remains at a very low population size. The species in the latter case
148 therefore has a much higher probability of extinction ($\geq 10\%$ in 100 years) [11].

149

150 **Modelling the drivers of extinction risk transition**

151 We modelled the probability that a species is included in the higher risk or in the lower risk
152 group, based on its original extinction risk category and the conditions in place over the study
153 period. Extinction risk has been shown previously to be attributable to a combination of intrinsic
154 and extrinsic factors [13]. Following recent work [22], our model included three classes of external
155 predictor variables and one class of intrinsic (biological) predictors (see Table 1 for a complete list
156 and description). The external variables are intended to reflect conditions faced by the species
157 during the study period. We measured: i) distribution state variables, such as species' range size
158 (measured in orders of magnitude); ii) human pressure variables, such as the human influence index
159 [23]; and iii) conservation response variables, measured as the proportional coverage and absolute
160 extent of protected area (PAs) within species ranges (again the extent was measured as an order of
161 magnitude). The fourth group of predictor variables reflects species life-history traits (i.e. species
162 biology) including physical characteristics (e.g. body-size), reproductive timing (e.g. weaning age)
163 and reproductive output (e.g. weight at birth) [24]. We used an existing dataset [25], in which
164 multiple imputation techniques had been used to fill gaps in life-history data [26].

165 Obtaining measures of external predictor variables corresponding to exactly the same years
166 as the assessment period was not always possible. Nonetheless most of these data refer to the
167 second half of the study period (i.e. \geq 1990s), where the highest decline in species status was
168 observed [16]. We assumed that changes that occurred within a relevant part of the 40-year study
169 period (especially the second half of the period) would serve as a valid approximation for the entire
170 period. In addition, this reduces the risk of collinearity between predictor variables (including levels
171 of habitat loss and other proxies of human pressure) and original threat status (derived from
172 retrospective assessments of extinction risk in the 1960s-1970s). We decided to not include
173 variables that could not reasonably be used as predictors of future extinction risk change. For
174 example, measures related to species distribution such as biogeographical realm - while probably
175 acting as a proxy for regional pressure levels - could not reasonably be used by conservation
176 planners to predict future changes in extinction risk of species.

177 We used Random Forest modelling (RF) to estimate the probability that a species was
178 included in the higher risk or in the lower risk group. RF modelling is a powerful tool for ecological
179 analysis [27], and it has been successfully used to model extinction risk in mammals [28,29] and
180 amphibians [30]. RF is a machine learning technique with a number of characteristics that make it
181 suitable for extinction risk prediction [15], including: limited assumptions about data distributions,
182 high classification stability and performance, and ability to cope with collinear predictors. In a
183 recent test, RF showed the highest performance in predicting global mammal extinction risk among
184 several machine learning methods [29]. Our model included several variables which are external to
185 species biology (human pressures, habitat state, conservation responses), hence, in common with
186 other studies [15], we did not include phylogenetic constraints into our analyses. However we tested
187 whether this could influence our results by independently examining the effect of including
188 taxonomy for predicting extinction risk [29].

189 We ran a full RF model, including all predictor variables, and ranked the variables according
190 to their relative importance, i.e. their contribution to model's classification accuracy. Variable

191 importance, as well as the classification accuracy of the model, were calculated using an automated
192 bootstrapped cross-validation procedure (implemented within the RF routine). During each iteration
193 of the RF model, one third of the data were left out and used to cross-validate the classification
194 ability of the model, see [31] for additional details.

195 Based on the final variable importance scores, we ran a series of partial RF models, each
196 time including one additional variable following the variables' ranked importance. First we ran the
197 model including only the most important variable, then added the second most important variable
198 and re-ran the model, and so on until the last variable was included. We measured the performance
199 of each partial RF model in terms of: proportion of correctly classified species (PCC), proportion of
200 correctly classified higher risk species (sensitivity), proportion of correctly classified lower risk
201 species (specificity), True Skill Statistic (TSS = sensitivity + specificity - 1) [32].

202 In order to account for the effect of including the original (1975) species Red List status in
203 the model, we re-ran the full model after removing this variable. Because of its potential role in Red
204 List assessments and its representation of past threat conditions [33], we also re-ran the model after
205 removing species' range size (RangeSize). In this latter case, we also removed the variable
206 representing extent of PA within the species range (RangeProtkm), as it has a weak positive
207 correlation with range size ($R^2 = 0.56$). We used degraded values of both range size and PA extent,
208 i.e. order of magnitude rather than actual values (as for previous work [33]), to better represent the
209 availability of coarse and approximate information during the study period. Finally, we built a
210 single conditional inference classification tree to visually represent the interaction between
211 predictor variables.

212 We adopted alternative classifications of extinction risk transitions and tested the
213 performance of our model under different formats of the response variable. First, we repeated our
214 RF modelling using ordinal changes in Red List categories as a numeric response variable (e.g. +2
215 for a species moving from LC to VU; see also [20]). Second, we repeated our RF modelling after
216 removing all species that did not change their Red List category between 1975-2013; in this case we

217 classified the remaining species in two categories: "uplisted" for species moving to higher
218 extinction risk categories and "downlisted", for species moving to categories of lower risk. Third,
219 we divided species in three groups: "LC to LC", including species remaining LC throughout the
220 study period; "downlisted", including species that underwent a downlisting in their Red List
221 category; "higher risk", following original classification already described.

222 The quantification of spatial variables was performed in GRASS GIS [34]. Statistical
223 analyses were performed in R [35] using the packages 'randomForests' [31] and 'party' [36].

224

225 **Results**

226 Our classification of extinction risk resulted in 277 species being included in the lower risk
227 group (55% of all species) and 220 species in the higher risk group (45% of species). The full RF
228 model for classification of higher risk vs lower risk species performed well in cross-validation
229 (Table 2): 89% of all species were correctly classified, with a sensitivity of 0.84, and a specificity of
230 0.93 (TSS = 0.77). After removing the Red List category in 1975 from the model (i.e. the most
231 important predictor), 82% of the species were still correctly classified, but the ability to correctly
232 classify higher risk transitions was reduced (sensitivity = 0.78; TSS = 0.64). Subsequent removal of
233 range size caused further deterioration in the model performance; although 79% of species were still
234 correctly classified, there was a substantial reduction in sensitivity and TSS (sensitivity = 0.73; TSS
235 = 0.57).

236 The six most important variables in the full RF model were: Red List category in 1975, PA
237 extent (representing conservation response), range size (representing distribution state), body size
238 (representing biology), family (representing taxonomy) and human impact index (representing
239 human pressure) (Fig. 2A). A sequence of partial RF models, adding one variable at a time from the
240 most important to the least important, showed that some of the variables had a contrasting effect on
241 sensitivity and specificity. For example adding the taxonomic family to the model substantially

242 increased sensitivity, but reduced specificity. In contrast, adding the human influence index slightly
243 increased both sensitivity and specificity.

244 The extinction risk transition of 87% of species could be correctly predicted from one
245 variable alone (Red List category in 1975), highlighting the importance of knowing the initial
246 condition when modelling changes in extinction risk. However this was biased toward lower risk
247 species (specificity = 0.95 vs sensitivity = 0.78). Adding five additional variables did not
248 substantially alter the overall classification ability, but improved the balance between specificity
249 and sensitivity (Fig. 2A). Even after removing the Red List categories in 1975 from the model, the
250 performance remained fairly good, but then several variables had to be included in order to
251 correctly classify ~78% of the higher risk and ~86% of the lower risk species (Fig. 2B). Subsequent
252 removal of range size required the use of >50% of all variables to achieve a sensitivity of ~73% and
253 specificity of ~83% (Fig. S1).

254 A single conditional inference tree (Fig. 3), represents the interplay between correlates of
255 extinction risk transitions. For example, species that were LC in 1975 had a much higher probability
256 of being in the higher risk group if they had a relatively low coverage of PAs during the study
257 period (<1,000 km²) and faced a substantial increase in human population density within their range
258 (> 30%).

259 When changes in Red List categories were used as an ordinal numeric response variable, the
260 following values were observed: -3 (n=1 species), -2 (n=3), -1 (n=11), 0 (n=369), +1 (n=79), +2
261 (n=23), +3 (n=9), +4 (n=2). In this case the RF regression model performed poorly in terms of total
262 variance explained (13%). The relative importance of variables in determining model performance
263 was also different with respect to the importance measured in the transition classification model,
264 with the 6 most important variables now being: forest cover change, family, human population
265 change, generation length, age at first birth, proportion of protected areas (Fig S2).

266 When excluding species that did not undergo a change in their Red List category, our
267 sample reduced to 15 down-listed and 113 up-listed species. The RF model then gave highly biased

268 results in this case, due to the high class imbalance, and classified all species as being uplisted (i.e. a
269 complete imbalance toward sensitivity). The overall classification accuracy in this case was
270 misleadingly high (88%), as the model was unable to predict improvement in species conservation
271 status.

272 When dividing species into three groups, there were 15 downlisted species, 262 LC to LC
273 species and 220 higher risk species. Here again, the overall classification accuracy of the model was
274 high (89%), but the predictive ability for the downlisted class was very low (only 1 correct
275 prediction, Table S2).

276

277 **Discussion**

278 By focusing on extinction risk transitions, we were able to distinguish between two groups
279 of species. The higher risk group included species that remained at high extinction risk and those
280 whose extinction risk increased between 1970 and 2010. The lower risk group included species that
281 remained at, or improved their status to, low extinction risk during the same period. This
282 classification is different from the Red List status, since it identifies species that are undergoing an
283 unusual increase in extinction risk compared to other species that started the period in the same risk
284 category.

285 We included candidate predictor variables from a range of classes (see Methods) and found
286 that a small number of variables can efficiently predict the extinction risk transition of ungulates
287 and carnivores. Interestingly, no class of predictor variables was consistently more important, and
288 the top predictors in our model included variables of different classes. These variables have been
289 highlighted previously [13,28] and include initial conservation status, certain biological traits
290 (represented by body mass), levels of human encroachment (represented by the human influence
291 index), and the degree of conservation action (represented by PA coverage). The importance of
292 considering conservation interventions in extinction risk modelling has already been demonstrated

293 for Australian birds [20] and for African mammals [22], and we confirm it here in a global scale
294 analysis.

295 Our results show that the probability of a species being at higher risk was reduced by some
296 adequate level of PAs coverage (one thousand km² or more; Fig. 3), while it was increased by
297 limited PA coverage and high levels of human pressure. To a first approximation this indicates the
298 conditions under which PAs deliver positive conservation outcomes [37]. Monitoring the progress
299 of PA expansion and the extent of human encroachment within species ranges can therefore be
300 strategic. Future projections of these variables may be translated into global projection of species
301 extinction risk, and allow for a proactive planning of conservation interventions [38].

302 Our models included measures of environmental change (e.g. the amount of suitable habitat
303 for a species during the study period) and static measures of human impact (e.g. human influence
304 index). These classes of variables are potentially both important, they represent the extrinsic factors
305 acting on species and the changes that occurred to the environment during the study period. Among
306 general proxies of human pressures and habitat state, we also included information on levels of tree
307 cover and tree cover change (see also [22]). While the role of these variables is probably more
308 influential for forest-dependent than for non-forest species, it is known that habitat clearance has a
309 contagious effect [39] and we use tree cover, a well mapped habitat feature at a global scale [40], to
310 estimate the general condition of natural habitats within species ranges.

311 The extinction risk transition model performed well in cross validations, the classification
312 ability was high for both lower risk and higher risk species. The availability of a dataset with
313 retrospective extinction risk assessments [16] made it possible for us to validate our extinction risk
314 model. This type of validation is common in other environmental science areas, such as climate
315 change modelling, and has been used to validate models of climate change effects on species
316 distribution [41]. We suggest that as our knowledge of past extinction risk improves, this approach
317 could become standard practice in extinction risk modelling.

318 Unlike many previous studies, we did not convert IUCN Red List categories into numerical
319 measures of extinction risk (e.g. LC to Extinct, from 0 to 5; [20,42]), or use extinction risk
320 probabilities described in Red List Criterion E [43]. These involve assumptions about the
321 relationship between categories and probability of extinctions that are not supported in theory or in
322 practice [11]. We simply assumed that species in the higher risk group have higher conservation
323 requirements than those in the lower risk group, and found that predicting ordinal changes in Red
324 List categories (as in [20]) was substantially less efficient than predicting extinction risk transitions.
325 We also found that excluding those species with no change in their Red List category, or assigning
326 stable LC species to a separate group, resulted in a biased allocation of model error with downlisted
327 species being systematically misclassified. In this case the model is unable to predict the outcome of
328 conservation success, i.e. those situations in which the extinction risk of a species is reduced over
329 years.

330 Our results on the relative importance of different predictor variables can be used to identify
331 priorities for future data gathering. We suggest that monitoring a set of such variables over time
332 would allow conservationists to effectively anticipate future extinction risk. The accuracy of these
333 predictions will rest on the assumption that these variables represent the drivers of transitions in
334 species extinction risk. Our results demonstrates that this was the case for past extinction risk
335 transitions, but the emergence (or the exacerbation) of new threats (such as climate change) would
336 need to be accounted for to have a robust forecasting of extinction risk [17,44]. However, this is
337 not a weakness unique to our approach: threats to biodiversity change over time [45,46] and any
338 model used to forecast extinction risk would require continuing updates and recalibration to account
339 for emerging threats. Monitoring the emergence of new threats and the occurrence of rapid changes
340 in external conditions, e.g. due to geopolitical rearrangements or acceleration in the use of natural
341 resources [16], will be necessary. Yet even this would probably be easier than continuously
342 assessing the extinction risk category of all species in real time.

343 McCarthy et al. [20] investigated optimal investment strategies to prevent the extinction and
344 minimise the number of threatened Australian birds, using conservation investments to model the
345 probability of species moving between Red List categories. A similar approach could be combined
346 with our modelling framework here, to measure the probability of undergoing a high risk transition.
347 In this case the probability can be modelled as a function of the intrinsic and extrinsic conditions in
348 place for the species, plus the conservation budget available. However, adequate information on
349 global conservation expenditure for threatened species needs to be available to reliably model the
350 relationship between investments and status change.

351 Our approach can provide guidance on how to allocate resources among monitoring of
352 species extinction risk and monitoring of external conditions, it can inform the identification of key
353 variables to be monitored. There is great potential for the application of our approach to other taxa,
354 especially considering the increasing availability of retrospective extinction risk assessments for
355 groups such as amphibians [47] and corals [48], and the potential to use historical information to
356 perform retrospective assessments for other groups [16].

357

358 **Acknowledgements**

359 We thank four anonymous reviewers for their comments. We thank Piero Visconti for providing
360 data on habitat loss. MDM was supported by Fondazione Brusarosco & Società Italiana di Ecologia
361 (Premio Brusarosco 2013).

362

363 **References**

- 364 1 Butchart, S.H.M. et al. 2010 Global Biodiversity: Indicators of Recent Declines. *Science* **328**,
365 1164–1168.
- 366 2 CBD 2010 *Strategic plan for Biodiversity 2011-2020*. Montreal, QC.
- 367 3 Di Marco, M., Butchart, S. H. M., Visconti, P., Buchanan, G. M., Ficetola, G. F. & Rondinini,
368 C. 2015 Synergies and trade-offs in achieving global biodiversity targets. *Conserv. Biol*,
369 doi:10.1111/cobi.12559
- 370 4 IUCN 2013 *IUCN Red List of Threatened Species*. Version 2013.2 (www.iucnredlist.org).
- 371 5 Rodrigues, A. S. L., Pilgrim, J. D., Lamoreux, J. F., Hoffmann, M. & Brooks, T. M. 2006 The
372 value of the IUCN Red List for conservation. *Trends Ecol. Evol.* **21**, 71–76.
- 373 6 Hoffmann, M. et al. 2010 The impact of conservation on the status of the world's vertebrates.
374 *Science* **330**, 1503–9.
- 375 7 Rondinini, C., Di Marco, M., Visconti, P., Butchart, S. & Boitani, L. 2014 Update or outdate:
376 long term viability of the IUCN Red List. *Conserv. Lett.* **2**, 126–130.
- 377 8 Baillie, J. E. M. et al. 2008 Toward monitoring global biodiversity. *Conserv. Lett.* **1**, 18–26.
- 378 9 Rodrigues, A. S. L. et al. 2004 Global gap analysis: priority regions for expanding the global
379 protected-area network. *Bioscience* **54**, 1092–1100.
- 380 10 Hayward, M. W. 2011 Using the IUCN Red List to determine effective conservation strategies.
381 *Biodivers. Conserv.* **20**, 2563–2573.
- 382 11 IUCN 2001 *IUCN Red list categories and criteria, version 3.1*. IUCN Gland, Switzerland and
383 Cambridge, UK.
- 384 12 Mace, G. M., Collar, N. J., Gaston, K. J., Hilton-Taylor, C., Akçakaya, H. R., Leader-Williams,
385 N., Milner-Gulland, E. J. & Stuart, S. N. 2008 Quantification of extinction risk: IUCN's system
386 for classifying threatened species. *Conserv. Biol.* **22**, 1424–1442.

- 387 13 Cardillo, M., Mace, G. M., Gittleman, J. L., Jones, K. E., Bielby, J. & Purvis, A. 2008 The
388 predictability of extinction: biological and external correlates of decline in mammals. *Proc. R.*
389 *Soc. B* **275**, 1441–1448.
- 390 14 Di Marco, M., Rondinini, C., Boitani, L. & Murray, K. A. 2013 Comparing multiple species
391 distribution proxies and different quantifications of the human footprint map, implications for
392 conservation. *Biol. Conserv.* **165**, 203–211.
- 393 15 Murray, K. A., Verde Arregoitia, L. D., Davidson, A., Di Marco, M. & Di Fonzo, M. M. I. 2014
394 Threat to the point: improving the value of comparative extinction risk analysis for conservation
395 action. *Glob. Chang. Biol.* **20**, 483–494.
- 396 16 Di Marco, M., Boitani, L., Mallon, D., Hoffmann, M., Iacucci, A., Meijaard, E., Visconti, P.,
397 Schipper, J., Rondinini, C. 2014 A retrospective evaluation of the global decline of carnivores
398 and ungulates. *Conserv. Biol.* **28**, 1109–1118.
- 399 17 Visconti, P. et al. 2015 Projecting global biodiversity indicators under future development
400 scenarios. *Conserv. Lett.*, doi:10.1111/conl.2014.12159
- 401 18 Cardillo, M., Mace, G. M., Gittleman, J. L. & Purvis, A. 2006 Latent extinction risk and the
402 future battlegrounds of mammal conservation. *Proc. Natl. Acad. Sci.* **103**, 4157–4161.
- 403 19 Davies, T. J. et al. 2008 Phylogenetic trees and the future of mammalian biodiversity. *Proc.*
404 *Natl. Acad. Sci.* **105**, 11556–11563.
- 405 20 McCarthy, M.A., Thompson, C. J. & Garnett, S. T. 2008 Optimal investment in conservation of
406 species. *J. Appl. Ecol.* **45**, 1428–1435.
- 407 21 Pacifici, M., Santini, L., Di Marco, M., Baisero, D., Francucci, L., Grottolo Marasini, G.,
408 Visconti, P. & Rondinini, C. 2013 Generation length for mammals. *Nat. Conserv.* **5**, 89–94.
- 409 22 Di Marco, M., Buchanan, G. M., Szantoi, Z., Holmgren, M., Grottolo Marasini, G., Gross, D.,
410 Tranquilli, S., Boitani, L. & Rondinini, C. 2014 Drivers of extinction risk in African mammals:
411 the interplay of distribution state, human pressure, conservation response and species biology.
412 *Philos. Trans. R. Soc. B* **369**, 20130198.

- 413 23 Sanderson, E. W., Jaiteh, M., Levy, M. A., Redford, K. H., Wannebo, A. V. & Woolmer, G.
414 2002 The human footprint and the last of the wild. *Bioscience* **52**, 891–904.
- 415 24 Bielby, J., Mace, G. M., Bininda-Emonds, O. R. P., Cardillo, M., Gittleman, J. L., Jones, K. E.,
416 Orme, C. D. L. & Purvis, A. 2007 The fast-slow continuum in mammalian life history: an
417 empirical reevaluation. *Am. Nat.* **169**, 748–757.
- 418 25 Di Marco, M., Cardillo, M., Possingham, H. P., Wilson, K. A., Blomberg, S. P., Boitani, L. &
419 Rondinini, C. 2012 A novel approach for global mammal extinction risk reduction. *Conserv.*
420 *Lett.* **5**, 134–141.
- 421 26 Penone, C., Davidson, A., Shoemaker, K., Di Marco, M., Rondinini, C., Brooks, T., Young, B.,
422 Graham, C. & Costa, G. 2014 Imputation of missing data in life-history trait datasets: which
423 approach performs the best? *Meth. Ecol. Evol.* **5**, 961–970.
- 424 27 Cutler, D. R., Edwards, T. C., Beard, K. H., Cutler, A., Hess, K. T., Gibson, J. & Lawler, J. J.
425 2007 Random forests for classification in ecology. *Ecology* **88**, 2783–2792.
- 426 28 Davidson, A. D., Hamilton, M. J., Boyer, A. G., Brown, J. H. & Ceballos, G. 2009 Multiple
427 ecological pathways to extinction in mammals. *Proc. Natl. Acad. Sci.* **106**, 10702–10705.
- 428 29 Bland, L. M., Collen, B., Orme, C. D. L. & Bielby, J. 2015 Predicting the Conservation Status
429 of Data-Deficient Species. *Conserv. Biol.* **29**, 250–259.
- 430 30 Murray, K.A., Rosauer, D., McCallum, H. & Skerratt, L. F. 2011 Integrating species traits with
431 extrinsic threats: closing the gap between predicting and preventing species declines. *Proc. R.*
432 *Soc. B* **278**, 1515–1523.
- 433 31 Liaw, A. & Wiener, M. 2002 The randomforest package. *R News* **2**, 18–22.
- 434 32 Allouche, O., Tsoar, A. & Kadmon, R. 2006 Assessing the accuracy of species distribution
435 models: prevalence, kappa and the true skill statistic (TSS). *J. Appl. Ecol.* **43**, 1223–1232.
- 436 33 Di Marco, M. & Santini, L. 2015 Human pressures predict species' geographic range size better
437 than biological traits. *Glob. Chang. Biol.* **21**, 2169–2178.

- 438 34 GRASS Development Team 2010 *Open source GIS Software, Version 6.4.0*. Open Source
439 Geospatial Foundation. (<http://grass.osgeo.org>)
- 440 35 R Development CoreTeam 2011 *R: A language and environment for statistical computing*.
441 Vienna, Austria. (<http://www.R-project.org>)
- 442 36 Strobl, C., Hothorn, T. & Zeileis, A. 2009 Party on. *R Journ.* **1**, 14–17.
- 443 37 Geldmann, J., Barnes, M., Coad, L., Craigie, I. D., Hockings, M. & Burgess, N. D. 2013
444 Effectiveness of terrestrial protected areas in reducing habitat loss and population declines. *Biol.*
445 *Conserv.* **161**, 230–238.
- 446 38 Rondinini, C. et al. 2011 Reconciling global mammal prioritization schemes into a strategy.
447 *Philos. Trans. R. Soc. Lond. B.* **366**, 2722–2728.
- 448 39 Boakes, E. H., Mace, G. M., McGowan, P. J. K. & Fuller, R. a 2010 Extreme contagion in
449 global habitat clearance. *Proc. R. Soc. B* **277**, 1081–1085.
- 450 40 Hansen, M. C. et al. 2013 High-Resolution Global Maps of 21st-Century Forest Cover Change.
451 *Science* **342**, 850–853.
- 452 41 Martínez-Meyer, E., Peterson, A. T. & Hargrove, W. W. 2004 Ecological niches as stable
453 distributional constraints on mammal species, with implications for Pleistocene extinctions and
454 climate change projections for biodiversity. *Glob. Ecol. Biogeogr.* **13**, 305–314.
- 455 42 Purvis, A., Gittleman, J. L., Cowlishaw, G. & Mace, G. M. 2000 Predicting extinction risk in
456 declining species. *Proc. R. Soc. B* **267**, 1947–1952.
- 457 43 Mooers, A. Ø., Faith, D. P. & Maddison, W. P. 2008 Converting endangered species categories
458 to probabilities of extinction for phylogenetic conservation prioritization. *PLoS One* **3**, e3700.
- 459 44 Mantyka-Pringle, C. S., Visconti, P., Di Marco, M., Martin, T. G., Rondinini, C. & Rhodes, J.
460 R. 2015 Climate change modifies risk of global biodiversity loss due to land-cover change. *Biol.*
461 *Conserv.* **187**, 103–111.
- 462 45 Brook, B. W., Sodhi, N. S. & Bradshaw, C.J.A. 2008 Synergies among extinction drivers under
463 global change. *Trends Ecol. Evol.* **23**, 453–60.

- 464 46 Mace, G. M. 2010 *Drivers of biodiversity change*. In *Trade-offs in Conservation: Deciding*
465 *What to Save* (eds N. Leader-Williams R. Smith & W. Adams), pp. 349–364. Wiley-Blackwell
466 Publishing Ltd.
- 467 47 Stuart, S. N., Chanson, J. S., Cox, N. a, Young, B. E., Rodrigues, A. S. L., Fischman, D. L. &
468 Waller, R. W. 2004 Status and trends of amphibian declines and extinctions worldwide. *Science*
469 **306**, 1783–1786.
- 470 48 Carpenter, K. E. et al. 2008 One-third of reef-building corals face elevated extinction risk from
471 climate change and local impacts. *Science* **321**, 560–563.
- 472 49 Nelson, A. 2008 *Travel time to major cities: A global map of Accessibility*. Glob. Environ.
473 Monit. Unit - Jt. Res. Cent. Ispra Italy (<http://bioval.jrc.ec.europa.eu/products/gam/index.htm>)
- 474 50 Alkemade, R., Oorschot, M., Miles, L., Nellemann, C., Bakkenes, M. & ten Brink, B. 2009
475 GLOBIO3: A Framework to Investigate Options for Reducing Global Terrestrial Biodiversity
476 Loss. *Ecosystems* **12**, 374–390.
- 477 51 Visconti, P. et al. 2011 Future hotspots of terrestrial mammal loss. *Philos. Trans. R. Soc. Lond.*
478 *B.* **366**, 2693–702.
- 479 52 Rondinini, C. et al. 2011 Global habitat suitability models of terrestrial mammals. *Philos. Trans.*
480 *R. Soc. B* **366**, 2633–2641.
- 481 53 WCS & CIESIN 2005 *Last of the Wild Project, Version 2 (LWP-2): Global Human Footprint*
482 *Dataset*. (<http://sedac.ciesin.columbia.edu/data/set/wildareas-v2-human-footprint-geographic>)
- 483 54 CIESIN & CIAT 2005 *Gridded Population of the World, Version 3 (GPWv3): Population*
484 *Density Grid*. Palisades, NY NASA Socioecon. Data Appl. Cent.
- 485 55 CIESIN, FAO & CIAT 2005 *Gridded Population of the World, Version 3 (GPWv3): Population*
486 *Count Grid, Future Estimates*. Palisades, NY NASA Socioecon. Data Appl. Cent.
- 487 56 Jones, K. E. et al. 2009 PanTHERIA: a species-level database of life history, ecology, and
488 geography of extant and recently extinct mammals. *Ecology* **90**, 2648.

489 57 UNEP-WCMC 2013 *The World Database on Protected Areas (WDPA)*: Cambridge, UK.
490 (<http://www.wdpa.org/>)

491 **Table 1** Description of the variables used in the model. Variables are organised in different classes:
 492 human pressure (P), species biology (B), distribution state (D), conservation response (R).
 493 Examples of previous use of the variables for predicting extinction risk in terrestrial mammals, and
 494 the original data sources for each variables are also provided.
 495

Class	Variable	Description and justification	Examples	Source
-	Dependent variable	Extinction risk transition as described in Table S1.		[4,16]
-	RL75	Red List category in 1975, representing original species status (i.e. extinction risk at the beginning of the study period).		[16]
P	Acc_50	Travel distance from major cities (accessibility), measured as the median value of the variable within species ranges (percentiles tested: 5, 10, 20, 50). A proxy of human encroachment.	[22,29]	[49]
P	AOOloss	Proportional loss of suitable habitat within species ranges (1970-2010). A proxy of the main driver of mammal species decline calculated from back casts of global land cover changes, from the IMAGE integrated assessment model [50].	[22]	[51,52]
P	HII_5	Human influence index, measured as the proportion of species ranges where the variable had values larger than 5 (values tested: 5, 10, 20). A proxy of the human impact on the environment.	[22,29]	[53]
P	HPD90_50	Human population density in 1990, measured as the median value the variable within species ranges (percentiles tested: 5, 10, 20, 50). A proxy of human encroachment,	[13,22,29]	[54]
P	PopChange	Proportional change in human population count in 1990-2010, measured as the mean value observed within species range.		[55]
P	ForestCG	Proportional change in forested habitat within species ranges between 2000-2012. A proxy of natural habitat loss.		[40]
B	AFB_d	Age at first birth	[24,25]	[56]
B	BirthW	Birth weight	[22]	[56]
B	BodySize	Body mass	[13,28,29]	[56]
B	DietBrdth	Number of dietary categories eaten by the species	[22]	[56]
B	InterbInt	Interbirth interval	[24]	[56]
B	LitPY	Litters per year		[56]
B	LitSiz	Litter size	[22,24,29]	[56]
B	WeanAge	Weaning age	[13,24]	[56]
B	Fam	Taxonomic family		[4]
B	Ord	Taxonomic order	[13,22]	[4]
B	GenLen	Generation length	[24]	[21]
B	HabBrdth	Number of habitat layers used by each species.		[56]
D	TreeCov_50	Median tree cover within species range in 2000 (percentiles measured: 5, 10, 20, 50). A proxy of forests state.		[40]
D	Hab	Species habitat preferences, classified as: forest, grassland, shrubland, bareland, coastal or generalist (when >1 of the previous applied).		[52]
D	RangeSize	Species range size, measured as an order of magnitude (e.g. 1 for ranges of 10-100 km ² , 2 for ranges of 100-1000 km ² , etc.).	[13,22,28]	[4]
R	RangeProt_prop	Proportion of species range covered by protected areas with an IUCN category I to IV.	[22]	[57]
R	RangeProtkm	Extent of protected areas within species ranges, measured as an order of magnitude (as described for "RangeSize")		[57]

496 **Table 2** Performance of the random forest models. The full model is compared with partial models,
 497 where the original species status (RL75) and the range size (RangeSize) were removed.
 498

Metric	Full model	RL75 removed	RL75 and RangeSize removed*
PCC†	0.89	0.82	0.79
Sensitivity	0.84	0.78	0.73
Specificity	0.93	0.86	0.84
TSS‡	0.77	0.64	0.57

499

500 *When removing the variable RangeSize the extent of protected areas within the range was also
 501 removed, to avoid a potential surrogate effect.

502 †PCC, proportion of correctly classified species.

503 ‡TSS, true skill statistics.

504 **Figure legends**

505

506 **Fig. 1** Transition of species' extinction risk categories in the period 1975-2013. The plot reports the
507 number of species (carnivores and ungulates) in each Red List category for each time period.
508 Circles' size is proportional to the number of species while arrows represent the proportion of
509 species moving from an initial category to a final category (arrows' width scales with the proportion
510 of species in the original category). Data were obtained from [4,16].

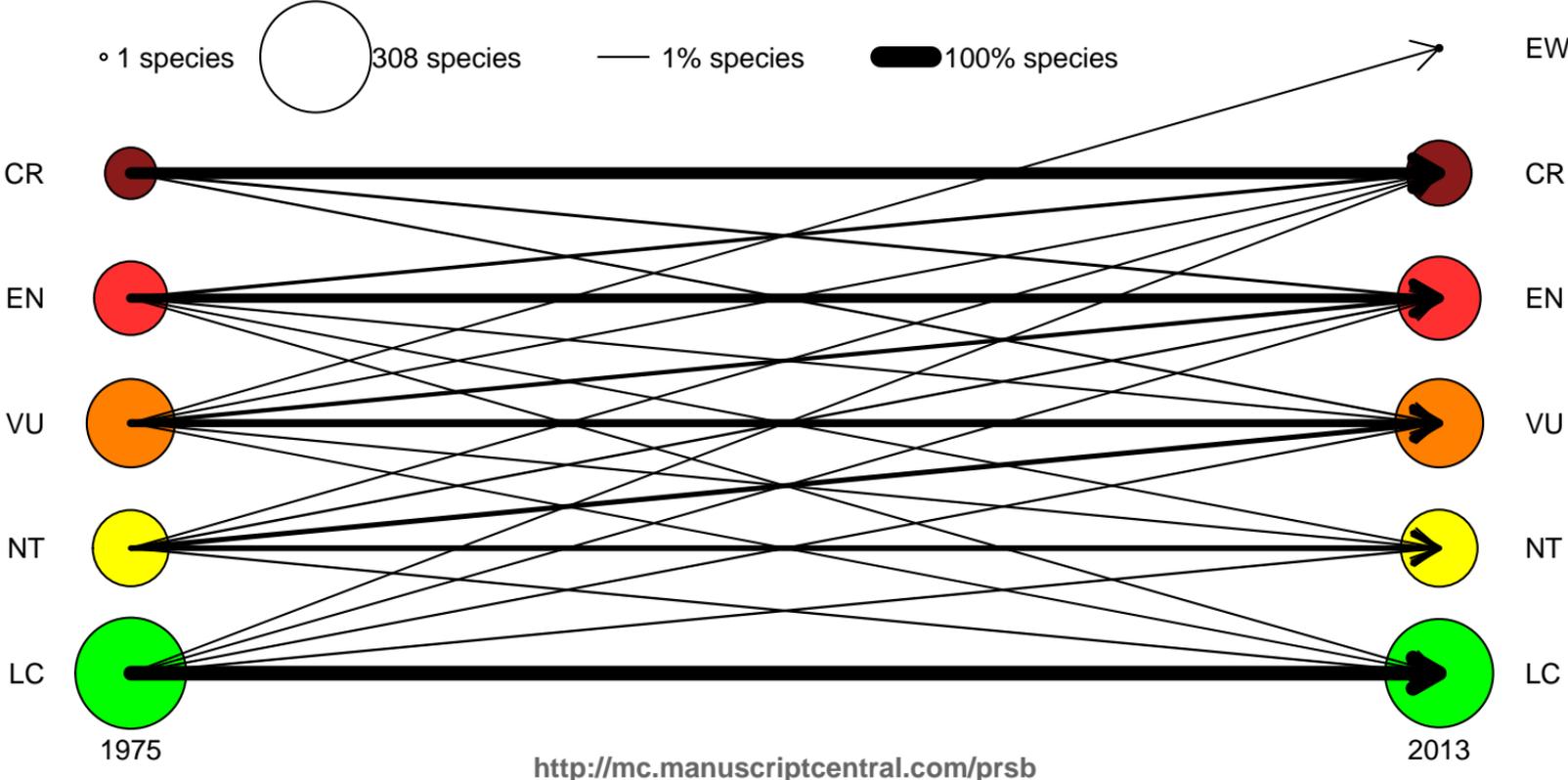
511

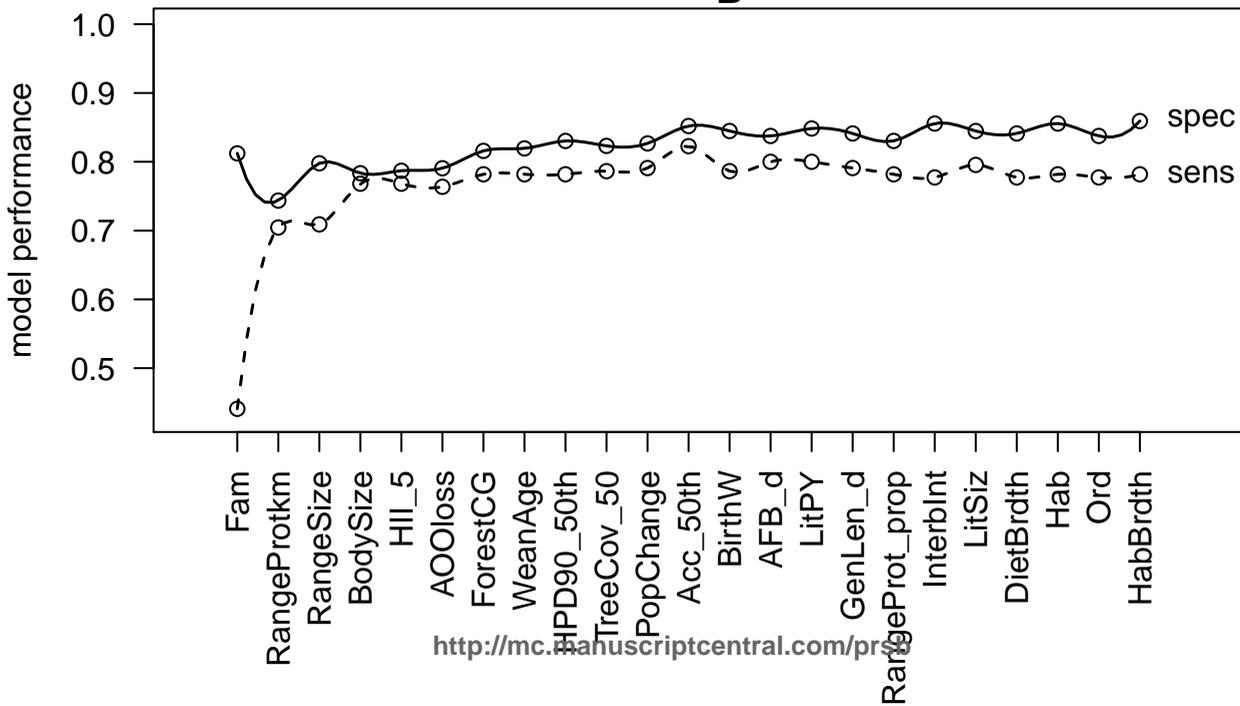
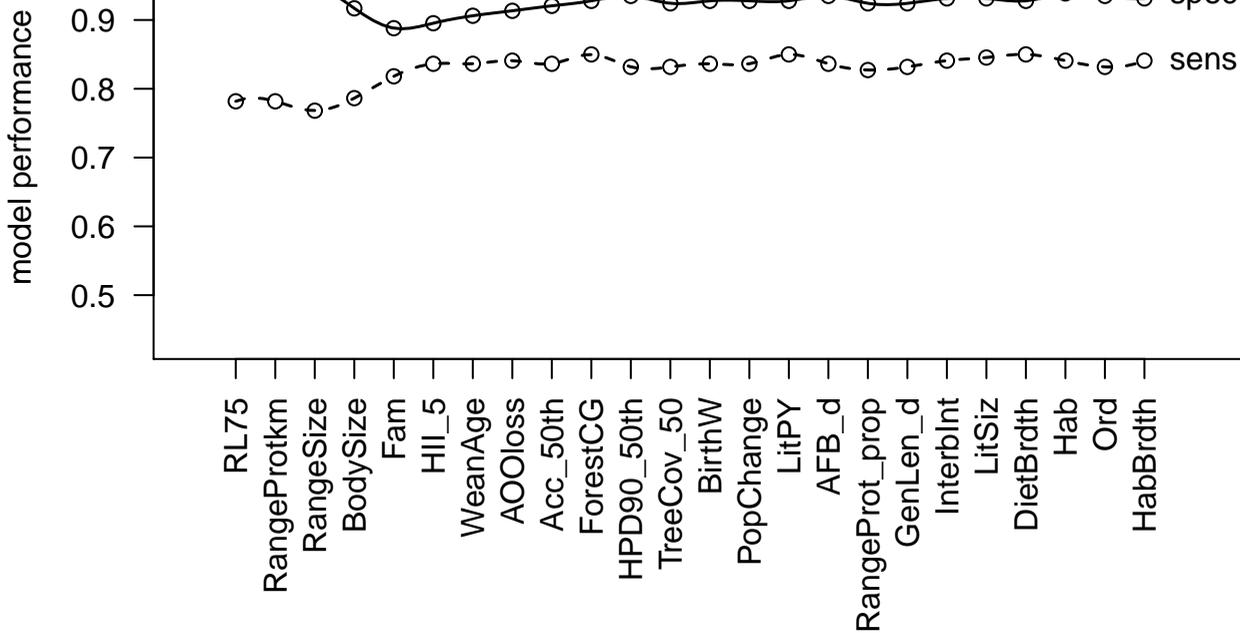
512 **Fig. 2** Performance of extinction risk models with an increasing number of variables, considering
513 all variables (A) or all variables apart from original status (B). Variables are added iteratively to the
514 models, from left to right according to their ranked importance in the original full model. Each
515 series of symbols (y-axis) represents the specificity (spec) or sensitivity (sens) of a model that
516 included the variables on its left or below it (x axis).

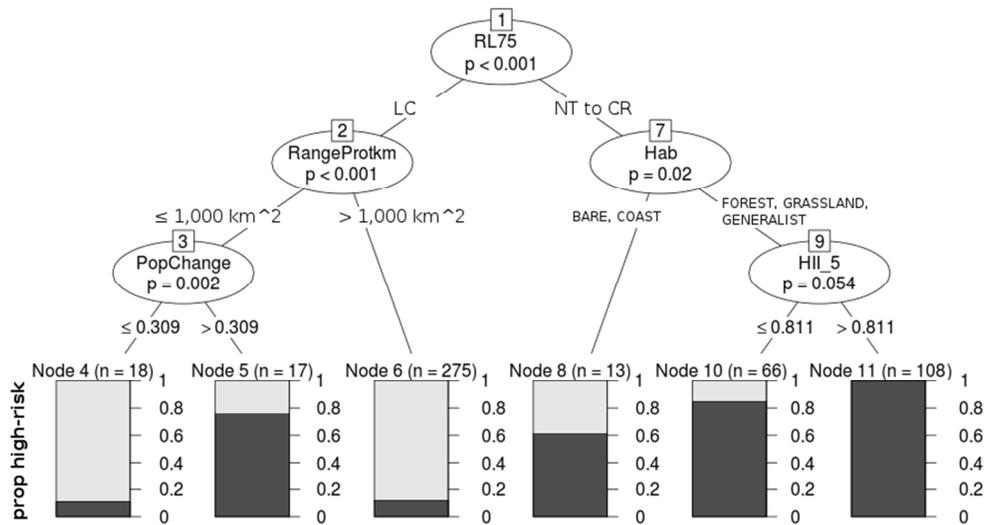
517

518 **Fig. 3** Conditional inference classification tree for extinction risk transition. Each terminal node
519 reports (in dark grey) the proportion of higher risk species. See Table 1 for a description of the
520 variables.

521







Conditional inference classification tree for extinction risk transition. Each terminal node reports (in dark grey) the proportion of higher risk species. See Table 1 for a description of the variables.
 317x183mm (72 x 72 DPI)