Microcarriers' suspension and flow dynamics in orbitally shaken bioreactors

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8 Abstract

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In the present work an effort is made to determine the suspension speed of microcarriers in an orbitally shaken bioreactor of cylindrical geometry, and to assess the associated two-phase flow by means of Particle Image Velocimetry (PIV). Microcarrier technologies are commonly used in the bioprocess industry to culture adherent-dependent cells in three dimensional flow. Commercial GE Cytodex microcarriers were employed throughout this study to best mimic the flow conditions occurring in a bioreactor under standard operating conditions. Suspension speed measurements were obtained at different solid concentrations, that are typical for cell cultures, and for different combinations of orbital to cylinder diameters' ratio, d_o/d_i (c = 2.5 - 12.5 g/L; $d_o/d_i = 0.2 - 0.7$; N = 0 - 200 RPM). The current two-phase PIV results show that mean flow dynamics occurring in the cylindrical bioreactor are not significantly affected by the presence of the microcarriers, and that their suspension is directly associated to the flow transition reported by Weheliye et al. (2013). The flow scaling law included in their study can be successfully employed to predict the full suspension speed across bioreactors of different scales and working under different operating conditions (i.e. inner diameter of the cylinder, d_i , orbital diameter, d_o , and filling volume, V_f).

9 Keywords: Orbitally shaken bioreactor, microcarriers' suspension speed, PIV, two-phase flow.

10 1. Introduction

Stem cells represent attractive therapeutic agents for a wide range of diseases due to their ca-11 pacity to differentiate into a specialized cell type. The large number of cells required for clinical 12 trials (up to millions cells/kg of body weight) demands a fast and reproducible expansion pro-13 tocol. Stem cells are adherent-dependent cells, as they are able to grow and differentiate only 14 if attached to an appropriate support. Two-dimensional (2D) static culture methods rely on 15 the use of disposable multi-layer vessels and have rapidly become the most common route for 16 stem cells expansion (Simaria et al., 2014). However, these methods do not seem appropriate for 17 stem cell large scale production because of the limited cell productivity, labor intense handling 18 procedures and long cultivation times. For example, recent studies proved that commercial 19 requirements would be satisfied only with the production of up to 10^{13} cells per batch, and 20 the use of 10^5 layered vessels per lot, which is not a feasible process (Simaria et al., 2014). In 21

addition, these systems are not able to supply reproducible batch culture conditions (Mohamet 22 et al., 2010). A cost-effective approach which has demonstrated to overcome many of the limi-23 tations of 2D cultures is represented by three-dimensional (3D) dynamic culture methods based 24 on microcarriers suspension technologies (Frauenschuh et al., 2007; Sart et al., 2009; Storm 25 et al., 2010). Microcarriers are generally spherical beads with an ideal size of 100-300 μ m, and 26 can be made of different materials (plastics, glass, silica dextran, collagen). Cell attachment is 27 promoted through electrical charges or collagen coating. In microcarriers culture cells grow as 28 monolayers on the surface of the beads or as multilayers in the pores of macroporous structures, 29 that are usually suspended in culture medium by gentle stirring (GE Healthcare Life Sciences, 30 2013). With this technique the physiological microenvironment of stem cells can be easily mon-31 itored and reproduced, with significant advantages towards large scale production (King and 32 Miller, 2007; Liu et al., 2014). The use of microcarriers in cell cultures allows an increase in 33 the surface area (SA) per unit volume (cm^2/mL) , improving product consistency and decreasing 34 costs (Frauenschuh et al., 2007; Sart et al., 2009; Schop et al., 2008, 2009; Ferrari et al., 2012). 35 Most studies have focused on investigating the optimal medium components, the microcarrier 36 type and concentration, however only a few considered the engineering aspects, the quality of 37 the microcarriers suspension and their impact on the liquid phase flow and turbulence levels. 38 Conditions that promote efficient attachment and uniform distribution of the cells over the mi-39 crocarriers population must be sought and optimized, and from this point of view, the flow 40 and mixing dynamics occurring in the bioreactor must be thoroughly investigated and carefully 41 selected. Efficient flow dynamics is crucial to achieve complete suspension of the microcarri-42 ers, thus preventing particle agglomeration and enhancing the available adherence area for the 43 cells, while mixing is essential to promote mass transfer within the environment and to avoid 44 spatial gradients in culture parameters (e.g. dissolved gases, nutrient concentration, pH), that 45 can directly affect cell growth (Lara et al., 2006). At laboratory scale, adherent-dependent cell 46 cultures are often grown on microcarriers in orbitally shaken reactors (OSRs), which offer an 47 effective solution in the early stages of bioprocess development. Once the process is optimized, it 48 is then scaled-up to traditional stirred tank reactors (STRs), where the velocity characteristics 49 and turbulence levels are different from those found in shaken cultures. To overcome the scaling 50 up/down limitations due to the different types of bioreactor, current bioprocess strategies have 51 seen the development of miniature stirred tanks (for example the Ambr15 cell culture, 10-15 52 mL), to be employed in bioprocess development, while large scale shaken systems up to a scale 53 of 1000 L have recently become available in the market, and studies have demonstrated their 54 mixing effectiveness and oxygen transfer capabilities (Zhang et al., 2009). 55

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⁵⁷ Recently a few studies have focused on the mixing and fluid dynamics of shaken bioreactors. ⁵⁸ The works of Weheliye et al. (2013) and Ducci and Weheliye (2014) have provided a detailed ⁵⁹ understanding of the single-phase flow generated in an orbitally shaken bioreactor at different ⁶⁰ operating conditions (e.g. shaker rotational speed, N, and medium height inside the tank, h), ⁶¹ geometrical characteristics (e.g. cylinder inner diameter, d_i , and orbital shaking diameter, d_o) ⁶² and fluid viscosity, ν . A *Fr-Re* flow transition map was derived, where four types of mean flow were identified depending on the combination of Froude and Reynolds numbers selected.

 $_{64}$ A transition from a toroidal to a precessional vortex configuration was detected with increasing

 $_{65}$ Froude number, Fr, for fluids of water-like viscosity close to those employed in cell culture (high

- Re range). At low Fr the free surface exhibited an elliptic shape in phase with the shaker table
- orbital movement, while an increasing degree of out-of-phase and a highly three-dimensional free
- ⁶⁸ surface characterised the high end of shaker speeds investigated (Weheliye et al., 2013). A flow
 ⁶⁹ scaling law was derived to predict the occurrence of this flow transition based on the Froude
- ⁷⁰ number, Fr, the fluid non-dimensional height, h/d_i , and the orbital to cylinder diameter ratio,
- d_o/d_i . More specifically it was found that for $h/d_i \leq \sqrt{d_o/d_i}$ the critical Froude number can be obtained from Equation 1, and it is associated to the toroidal vortex reaching the bottom of the cylindrical bioreactor before transition occurs, while for $h/d_i \geq \sqrt{d_o/d_i}$ transition takes
- place without the toroidal vortex expanding all the way to the reactor bottom, and the critical
 speed/Froude number can be found from Equation 2.

$$Fr_{d_o} = \frac{1}{a_{ow}} \frac{h}{d_i} \left(\frac{d_o}{d_i}\right)^{0.5} \tag{1}$$

$$Fr_{d_i} = \frac{1}{a_{ow}} \tag{2}$$

Where a_{ow} is a constant depending on the fluid employed (1.4 for water), and the Froude number 76 is defined as the ratio of the centrifugal to the gravitational accelerations, $Fr_d = 2\pi^2 N^2 d/g$, 77 with d being either the orbital $(d = d_o, \text{ Equation 1})$ or cylinder $(d = d_i, \text{ Equation 2})$ diameters. 78 The flow scaling law of Weheliye et al. (2013) was successfully applied to the mixing time exper-79 iments of Rodriguez et al. (2013, 2014) obtained by means of a base-acid colorisation technique 80 in shaken bioreactors of cylindrical geometry. Rodriguez et al. (2014) compared their data to 81 those obtained by Tissot et al. (2010) for very different operating conditions (d_o, V_f) and biore-82 actor sizes (d_i) , and found out that the two sets of data scaled well when the mixing number 83 was plotted against the ratio of Fr/Fr_{cr} , and achieved a constant value after flow transition 84 occurred $(Fr > Fr_{cr})$. 85

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Recently Mancilla et al. (2015) compared the mean flow and turbulence levels in orbitally shaken 87 flasks with conventional, coiled, 1 and 3 baffle geometries. The 2D-PIV results obtained on a 88 horizontal plane of measurements for increasing rotational speed, N, indicate that the config-89 uration with a single baffle is characterised by turbulence levels 25% higher than in the other 90 configurations investigated, and should be employed for production of bacterial cultures. Nu-91 merical simulation studies of the flow dynamics in shaken systems have been carried out by 92 Zhang et al. (2005) and Zhang et al. (2008) for 250-ml Erlenmeyer flasks and for 24-well and 93 96-well bioreactors with water-like viscous fluids, respectively, while Kim and Kizito (2009) sim-94 ulated the flow in a cylindrical shaken bioreactor for different fluid viscosity. Discacciati et al. 95 (2012) developed a pressure correction method to best capture the free surface deformation and 96 assess the shear stress levels in an orbitally shaken cylindrical container for a high viscous fluid, 97 while Reclari et al. (2014) compared the free surface wave measurements in a shaken cylinder 98 against those predicted by a potential sloshing model, and identified the presence of different 99

¹⁰⁰ modal responses inducing different flow regimes.

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Little information can be found in the literature regarding the flow and mixing dynamics 102 taking place in bioreactors when microcarriers suspensions are considered. Collignon et al. 103 (2010) investigated the suspension of microcarriers for TTP Mixel, A325-A320 Lightnin, three 104 streamed-blades VMI-Rayneri, and Elephant Ear Applikon impellers in a stirred tank reactor, 105 and compared the flow characteristics, shear rate and power consumptions of the different im-106 pellers at the corresponding just suspended speed, N_{is} . Their results indicated that the TTP 107 Mixel and the Ear Elephant Applikon impellers produced the lowest mechanical constraints at 108 their just suspended speed. PIV measurements in a spinner flask were carried out by Ismadi 109 et al. (2014) to assess to what extent flow shear stresses can affect cell culture of mouse induced 110 pluripotent stem cells (iPSC) attached to microcarriers. They show that optimum number of 111 cells was achieved over 7 days in 25 RPM suspension culture, corresponding to a maximum 112 shear of 0.0984 Pa. Nienow et al. (2014) developed a new method for the harvesting of human 113 mesenchymal stem cell (hMSC) in a spinner flask. The cells were cultured in dimple-bottomed 114 spinner flasks equipped with a magnetic horizontal stir bar and a vertical paddle at a working 115 volume of 100 mL and at 30 RPM (N_{JS}) . After expansion, harvesting was implemented by 116 adding trypsin-EDTA and agitating the microcarriers suspension for 7 mins at 150 RPM. Their 117 study indicates that intense agitation for a short period (7 mins) under the presence of a suitable 118 enzyme can promote cell detachment without damaging the cells or affecting their attributes. 119 The overall harvesting efficiency was above 95 %. 120

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Recently Olmos et al. (2015) determined the critical agitation speed for microcarriers' suspension in orbitally shaken Erlenmeyer flasks and cylindrical reactors. They stained the microcarriers with Trypan blue and used a camera rigidly moving with the shaker table to assess their suspension at increasing speed. The Vachy-Buckingham theorem was employed to obtain the non-dimensional model of Equation 3.

$$\frac{N_s}{\sqrt{g/d_o}} = \sqrt{\frac{Fr_s}{2\pi^2}} = A\left(\frac{h}{d_i}\right)^{0.5} \left(\frac{d_o}{d_i}\right)^{0.25} \left(\rho^*\right) \left(\frac{d_p}{d_i}\right)^{-0.07} \tag{3}$$

Where A is a constant depending on the type of geometry used (1.39 for cylinder, 0.12 for)127 Erlenmeyer flask), and ρ^{\star} and d_p are the relative density and diameter of the microcarriers, 128 respectively. It should be noted that in Equation 3 they considered a Froude number which is 129 defined as a velocity ratio, and it is related to the one defined in this work by the square root 130 of Fr. Direct comparison of Equations 1 and 3 shows that the critical Froude number, Fr_{cr} , 131 associated to the flow transition reported by Weheliye et al. (2013), is related to the suspension 132 Froude number, Fr_s , obtained from the model of Olmos et al. (2015), with the non-dimensional 133 fluid height, h/d_i and orbital to cylinder diameter ratio, d_o/d_i , terms having the same exponents. 134 It is interesting to point out that their model showed a very good agreement also for Erlenmeyer 135 flasks, implying that a similar flow transition to the one reported by Weheliye et al. (2013) could 136 take place also in this geometry. 137

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In the present study a different approach has been developed, where the "just-suspended" speed is estimated from the light scattered by the microcarriers on a laser plane parallel to the bottom of the cylindrical bioreactor, while vertical plane measurements were obtained to assess the homogeneity of microcarriers across the tank volume. Furthermore, two-phase Particle Image Velocimetry experiments were carried out to better comprehend the flow and mixing dynamics in the presence of microcarriers, and to assess how their concentration affects the mean flow characteristics.

¹⁴⁶ 2. Materials and methods

Depending on the measurements being carried out, two different experimental rigs were em-147 ployed. Figure 1 (a) shows the experimental set-up used to obtain the "just suspended speed", 148 where a 300 mW continuous diode laser, a mirror, a Net iCube camera with Macro Lens, and 149 a cylindrical bioreactor with a flat bottom, were all rigidly mounted on a Lab LS-X Kühner 150 shaker table. The laser-light was directed horizontally in order to illuminate the plane located 151 immediately over the vessel bottom, while a camera gained optical access to the measurement 152 plane through a mirror located underneath the bioreactor. The camera was equipped with a 153 macro lens with a shallow depth-of-field, that allowed to capture any small variation of the image 154 brightness, which was directly related to the light scattered by the microcarriers sitting at the 155 bottom of the bioreactor, as the shaking speed was varied. For each orbital speed investigated, 156 50 images were captured, and analysed by home-built Matlab routines to obtain a quantitative 157 average result of the suspension conditions of the system. Before capturing a set of images a 158 sufficient time was given to ensure steady-state condition was achieved at each speed investi-159 gated. Experiments were carried out in a borosilicate glass cylindrical bioreactor of size $d_i = 7$ 160 cm, for different ranges of orbital diameters, $d_o = 1.5 - 5$ cm, and shaker speeds, N = 60 - 140161 RPM. The working liquid was distilled water with a fluid height h = 3 and 5 cm ($V_f = 115.5$, 162 192.5 mL). Commercial microcarriers, GE Cytodex 1 ($\rho = 1.03 \text{ kg/L}, d_{50} = 190 \text{ }\mu\text{m}$) and GE 163 Cytodex 3 ($\rho = 1.04 \text{ kg/L}, d_{50} = 175 \mu \text{m}$), were employed at concentrations typically adopted 164 for stem cell cultures: 2.5, 7.5, 12.5 g/L (0.25, 0.75, 1.25 wt%). Their settling velocity was 165 approximately 0.6 mm/s. More information on the characteristics of the microcarriers employed 166 can be obtained in GE Healthcare Life Sciences (2013). 167

The two-phase PIV system is shown in Figure 1 (b), where a larger Kühner shaker table (1×1) 169 m^2 , SR200-X shaker) is used to hold two cameras sharing the same field of view by a 50 %-170 transmission/50 %-reflection mirror and an optical guiding arm shining the laser onto a mirror 171 positioned underneath the reactor. Contrary to the suspension speed experiments, in this case 172 the measurement region consisted on the vertical plane bisecting the bioreactor into two halves. 173 Each camera was equipped with a different light filter (either green, $\lambda = 532$ nm, or orange λ 174 = 570 nm) to distinguish between the solid and liquid phases. To improve the image quality of 175 the solid phase, fluorescent Rhodamine B isothiocyanate was employed to stain GE Cytodex 3 176

microcarriers, by exploiting the strong bond occurring between the dye and the thin collagen 177 layer that coats the microcarriers' surface. The staining protocol consisted in mixing 2 mg of 178 Rhodamine in 50 ml of deionized water for a 200 mg sample of GE Cytodex 3. Staining was done 179 at room temperature for 12 hrs and a 45 μ m sieve was used to filter the stained particles. After 180 this procedure the two-phase measurements could be carried out up to a solid concentration of 181 0.75 g/L (0.075 wt%). Above this threshold the image quality decreased due to the laser at-182 tenuation across the measurement plane induced by the presence of the microcarriers. Distilled 183 water seeded with 1-40 μ m flakes of painting was used as the continuous phase. Experiments 184 were performed in a glass cylindrical bioreactor of size $d_i = 10$ cm, with an orbital diameter, 185 $d_o = 5$ cm, and a fluid height h = 5 cm ($V_f = 392$ mL) for different shaker speeds, N = 80 - 130186 RPM. 187

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Phase-locked measurements were obtained by a magnetic encoder coupled to the Kühner shaker 189 table. The origin of the angular coordinate, ϕ , was set when the system reaches its position 190 furthest to the left as the clockwise orbit is viewed from above. To fully resolve the large scale 191 flow structures the measurement spatial resolutions of the liquid and solid phases were $\Delta x_i = 1.66$ 192 mm and 1.84 mm, respectively, while the time interval between PIV image pairs was $\Delta t=1-2$ ms. 193 The time interval, Δt , was selected according to the optimisation protocol developed by Gomez 194 et al. (2010). In the rest of the article a cylindrical coordinate system r, ϕ, z is employed with the 195 origin positioned on the cylinder axis at the bioreactor base. As mentioned in the introduction 196 the Froude number based on the orbital diameter is an essential parameter to control the flow 197 dynamics inside the bioreactor, and will be referred to here after either as Fr_{d_0} or, to simplify, 198 as Fr. A comprehensive list of the operating conditions investigated for the suspension speed 199 and PIV experiments is provided in Table 1. 200

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SUSPENDED SPEED	SOLID-LIQUID PIV
$d_i = 7 \text{ cm}$	$d_i = 10 \text{ cm}$
$d_o = 1.5, 2, 2.5, 3, 4, 5 \text{ cm}$	$d_o = 5 \text{ cm}$
N = 0 - 200 RPM	N = 80, 90, 96, 110, 130 RPM
$h = 2, 3, 4, 5 \text{ cm} (V_f = 76.9 - 192.5 \text{ mL})$	$h = 5 \text{ cm} (V_f = 392.5 \text{ mL})$
c= 2.5, 7.5, 12.5 g/L (0.25, 0.75, 1.25 $wt%$)	$c = 0.25, 0.5, 0.75 \text{ g/L} \ (0.025, 0.05, 0.075 \ wt\%)$

Table 1: Geometrical details of the shaken systems and operational conditions investigated for the two-phase measurements.

202 3. Results and discussion

In the following sub-sections the three parts of the investigation, that is, microcarriers' suspension speed (\S 3.1), microcarriers' dispersion (\S 3.2), and two-phase flow dynamics (\S 3.3), are discussed in sequence. In brief, the rationale for the selection of these three parts of the work was to identify the range of speeds over which suspension occurs for different operating conditions, to assess the microcarriers' suspension and dispersion mechanisms as the shaker speed is increased, and to determine the flow dynamics and transition of the two-phase system as well as compare them against those obtained for a single-phase (Weheliye et al., 2013).

210 3.1. Microcarriers suspension speed

The just suspended speed was estimated from the brightness of the images taken on the horizontal measurement plane, which is directly proportional to the amount of particles sitting at the bottom of the reactor. The image brightness, $I_B(N)$, at a given shaking speed, N, is defined in Equation 4 by adding the pixel greyscale, p_{ij} , across the area delimited by the bioreactor walls

²¹⁵ on the horizontal plane of measurement:

$$I_B = \sum_{N_{tot}} p_{ij} \tag{4}$$

where N_{tot} is the total number of pixels across the area.

The microcarriers' suspension process and its correlation to the brightness percentage index, 218 $I_B(N)/I_B(0)$, for increasing shaking speed, N, can be gained from Figure 2, where steady-state 219 images of the microcarriers' concentration over horizontal planes are coupled to the $I_B(N)/I_B(0)$ 220 curve at key speeds. This set of experiments was carried out for an orbital diameter $d_o = 2.5$ 221 cm and a microcarriers' concentration c = 2.5 g/L. At low shaking speeds the microcarriers are 222 uniformly distributed over the vessel bottom, and the brightness index is approximately con-223 stant up to a speed of 110 RPM, when the particles start being arranged in a spiral pattern on 224 the bioreactor base and a drop of $I_B(N)/I_B(0)$ occurs. As the orbital speed is further increased 225 a nearly constant value of the brightness index is attained above 150 RPM, implying that the 226 "just-suspended" condition is achieved. 227

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To better compare the results obtained for the different conditions analysed, the normalised brightness index, I^* , of Equation 5, which is scaled with the zero-speed, $I_B(0)$, and final-speed, $I_B(\infty)$, brightnesses, is used in the rest of the work.

$$I^* = \frac{I_B(N) - I_B(\infty)}{I_B(0) - I_B(\infty)}$$
(5)

The suspended speed is associated to a 95 % decrease of the brightness index with respect to the zero-speed condition, and it is identified as the speed at which $I^* = 5\%$. Based on the statistical error of the brightness index, ≈ 3 %, and the non-linear regression method used to fit the data points, the uncertainty affecting the just suspended speed was found to be ≈ 5 %.

A video showing the particle suspension dynamics is also provided in the supplementary materials (JS-Video.avi). In this case however the shaker table was started from still conditions and, similarly to standard operating procedures, was gradually accelerated to a final speed of 140 rpm

239 by the controller mounted on the shaker system (i.e. steady-state conditions were not achieved

at intermediate speeds). As a consequence the instantaneous velocity associated to each frame 240 is unknown, and the following discussion is made in terms of number of revolutions of the shaker 241 tray (i.e. the encoder was used to acquire a frame per revolution). In agreement with the data 242 reported in Figure 2, darker zones start appearing at the periphery of the bioreactor (t = 3 - 5)243 s of the video), with microcarriers being more concentrated at the centre for increasing speed. 244 This is well captured in Figure 3 (a), where the radial profiles of the normalised brightness index, 245 $I^{*}(r)$, are shown for selected time instants, counted in number of revolutions, n, of the shaker 246 tray, and corresponding to increasing shaking speed. After 100 revolutions, the shaker table has 247 not gained a speed high enough to lift the particles, and the index I^* is nearly constant across 248 the bioreactor diameter and close to unity. As the shaker table is accelerated a drop of I^* occurs 249 after 110 revolutions, with the micriocarriers being suspended for $r/R \ge 0.6$, while the center of 250 the bioreactor, $r/R \leq 0.3$, is still unaffected after 130 revolutions. It is worth noticing that also 251 the rate of suspension is lower in proximity of the bioreactor axis. For example, a 10 revolutions 252 increment (n = 120 - 130) for $r/R \ge 0.6$ determines a variation of the normalised brightness 253 index of $\Delta I^* \approx 0.45$, while a similar drop (≈ 0.5) occurs at r/R = 0.3 over a larger range of 254 shaker revolutions, $\Delta n = 30$ (n = 140 - 170). 255

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The spiral pattern, described in Figure 2 and shown in the supplementary video, is further 257 analysed in Figure 3 (b), where the azimuthal profiles of I^* are plotted at r/R = 0.8 for an in-258 creasing number of shaker table revolutions (n = 100 - 135). It is evident that for n = 110 - 122259 the profiles show a cyclic variation in the azimuthal direction, with 5 peaks over the range of 260 θ considered. As expected the intensity of the profiles is decreasing as more microcarriers are 261 lifted with increasing speed (i.e. number of revolutions), and the profiles are randomly shifted 262 with respect to each other along θ , because the instants considered were taken far apart in time, 263 and the spiral structure might have rotated with respect to the bioreactor. However an estimate 264 of the spiral inclination can be gained from Figure 4 (a), where a single cycle of I^* has been 265 obtained through a phase-average, $\langle \rangle$, along the azimuthal direction with a period $\Delta \theta = 20^{\circ}$. 266 This analysis was performed at different radii for a single frame, n = 117. The phase-averaged 267 profiles were normalised by their maximum variation $\langle \Delta I_B \rangle$, so that the final brightness param-268 eter assumed a maximum absolute intensity of ≈ 1 for all the radii considered (r/R = 0.6 - 0.9). 269 It should be noted that in Figure 4 (a) the flow direction is from right to left and opposite to that 270 of θ . The peak shifts to the right as the radius increases, which means that the spiral is oriented 271 towards the center in the direction of motion. The variation of the peak azimuthal coordinate, 272 θ_{max} , against the radius is shown in Figure 4 (b) for two time instants, n = 117 and 120. The 273 peak azimuthal coordinate, θ_{max} , shows a linear increase with r/R and the slope magnitude is 274 nearly the same for both instants considered (i.e. 18.57° vs 18.86°). A visualisation of the spiral 275 locus is provided in the inset diagram, where the arrow points in the flow direction. 276 277

The variation of I^* against the shaker tray speed is plotted in Figures 5 (a) and (b) for two orbital diameters, $d_o = 1.5$ and 2.5, respectively. Three different microcarriers' concentrations are considered, c = 2.5, 7.5 and 12.5 g/L, while the fluid height and vessel size are kept constant ($h = 5 \text{ cm}, d_i = 7 \text{ cm}$). It should be noted that by definition the index, I^* , can assume only values between 0 and 1 at high and low shaking speeds, respectively. Data points are fitted with the model of equation 6, where in the remainder part of the work the variable x can either be the shaker speed, N, or the Froude number ratio, Fr/Fr_{cr} .

$$I^*(x) = \frac{1}{1 + e^{a(x - x_0)}} \tag{6}$$

The parameters x_0 and a position the curve along the x coordinate, and control its rate of decay, 285 respectively. The plots of Figure 5 (a) cross the 5 % reference line within a relative small range 286 of suspension speeds, $N_s = 153 - 160$ RPM, and a correlation between the concentration and 287 the suspension speed seems to be present (i.e. lower suspension speeds occur for lower concen-288 trations). However this correlation is not present in the data of Figure 5 (b) for $d_o = 2.5$ cm, 289 where an opposite behaviour is observed (i.e. lowest suspension speed for greatest concentration 290 considered). Also in this case the range of variation of the suspended speed is relatively small, 291 N = 145 - 152 RPM, and it is within the error of the measurement technique employed. Based 292 on this consideration it was concluded that the concentration should not affect to a large extent 293 the suspension of the microcarriers, at least within the range of concentration considered in this 294 study, which includes those commonly employed in the bioprocess industry. 295

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On the contrary the variation of the suspension speed with the orbital diameter is significant. 297 This is evident in Figure 6 (a) where the normalised brightness index, I^* , is plotted against the 298 shaker speed for different orbital diameter, $d_o = 1.5$, 2.5 and 5 cm. As expected the suspension 299 speed, N_s , increases with decreasing orbital diameter, and assumes values of 120 RPM, 144 RPM 300 and 153 RPM for $d_o = 5 \text{ cm } 2.5 \text{ cm}$ and 1.5 cm, respectively. In Figure 6 (b) an attempt was 301 made to assess whether the suspension mechanism would scale with the critical Froude number 302 ratio, Fr/Fr_{cr} . In fact the three systems are associated to different d_o/d_i and therefore reach 303 the flow transition at different speeds (Weheliye et al., 2013). However the plot of Figure 6 (b) 304 does not support this scaling procedure with the lowest (highest) orbital diameter still being 305 associated to the greatest (lowest) critical Froude number ratio. This was explained by consid-306 ering that the fluid height (h = 5 cm) of two, $d_o = 1.5 \text{ cm}$ and 2.5 cm, out of the three systems 307 investigated is too large for the flow to fully develop to the cylinder bottom before transition 308 occurs. In both cases $h/d_i > \sqrt{d_o/d_i}$ (0.71 > 0.46 for $d_o = 1.5$ cm and 0.71 > 0.59 for $d_o = 2.5$ 309 cm) and Equation 2 shall be used to determine the critical Froude number, Fr_{cr} . 310

Based on these considerations a second set of measurements was carried out to assess the sus-311 pension process when $h/d_i \leq \sqrt{d_o/d_i}$, and a critical speed exists for the flow to extend to the 312 bottom of the reactor. The variation of I^* with d_o is provided in Figures 7 (a) and (b) for 313 increasing speed and critical Froude number ratio, respectively. In agreement with Figure 6 314 (a) the plots of Figure 7 (a) intercept the 5% reference line at increasing suspension speed for 315 decreasing orbital diameter. In this case however when the brightness index is plotted against 316 the critical Froude number ratio (see Figure 7 b) the data tend to collapse on a single curve, 317 indicating that the parameter Fr/Fr_{cr} can be successfully used for scaling across different con-318 figurations (i.e. d_o/d_i), provided that the fluid height satisfies the condition $h/d_i \leq \sqrt{d_o/d_i}$. 319

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The data presented in Figures 6 and 7 are summarised in Figure 8, where the suspended to 321 critical Froude number ratio is plotted against the parameter $h/d_i/\sqrt{d_o/d_i}$. As indicated by the 322 inset schematics values of $h/d_i/\sqrt{d_o/d_i} < 1$ identify those configurations for which the toroidal 323 vortices extend to the bottom of the bioreactor when the critical speed is achieved, while this 324 does not occur for $h/d_i/\sqrt{d_o/d_i} > 1$, and flow transition takes place without the flow developing to 325 the reactor base. The error bars in Figure 8 are supposed to provide a reference, and correspond 326 to a 2 RPM variation in the suspension speed N_s (i.e. $dFr_s/Fr_{cr} = 2 \times (N_s/N_{cr}^2) dN_s$). From 327 Figure 8, the 95 % suspension condition is achieved for $Fr_s/Fr_{cr} \leq 1.1$ when $h/d_i/\sqrt{d_o/d_i} < 1$, 328 while the suspended to critical Froude number ratio tends to drift further away from the dashed 329 reference line at $Fr_s/Fr_{cr} = 1.1$ as $h/d_i/\sqrt{d_o/d_i}$ increases above 1. It is interesting to note that 330 the suspension speed data obtained by Olmos et al. (2015) in Erlenmeyer flasks showed a good 331 scaling with the critical speed, N_{cr} , also for $h/d_i/\sqrt{d_o/d_i} > 1$. 332

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The coefficients a and x_0 of Equation 6, used to determine the suspended to critical Froude number ratio (i.e. $Fr_s/Fr_{cr} = \log(19)/a + x_0$ for 95% suspension), are provided in Table 2. It is worth pointing that the range of variation of the decay coefficient for data associated to $h/d_i/\sqrt{d_o/d_i} > 1$ (7 < a < 14.3) is lower than that for $h/d_i/\sqrt{d_o/d_i} < 1$ (14 < a < 17.8). This implies that for $h/d_i/\sqrt{d_o/d_i} < 1$ suspension occurs more sharply with increasing speed.

	h = 5 cm								1	$h = 3 \mathrm{cm}$	1
	$d_o = 1.5 \text{ cm}$			$d_o = 2.5 \text{ cm}$			$d_o = 5 \text{ cm}$		$2 \mathrm{~cm}$	$3~{\rm cm}$	4 cm
	$2.5~{\rm g/L}$	$7.5~{ m g/L}$	$12.5~\mathrm{g/L}$	$2.5~{\rm g/L}$	$7.5~{ m g/L}$	$12.5~{\rm g/L}$	$7.5~{ m g/L}$	$12.5~\mathrm{g/L}$	c = 2.5 g/L		'L
a	13.1	9.8	12.8	7.1	14.3	10	14	14.13	17.57	15.39	17.83
x_0	1.05	1.05	1.19	0.87	0.98	0.87	0.72	0.74	0.95	0.92	0.94
	$h/d_i/\sqrt{d_o/d_i} > 1$							$h/d_i/$	$d_o/d_i < 1$	1	

Table 2: Coefficients a and x_0 obtained for all the sets of data analysed in this work.

338

339 3.2. Microcarriers' dispersion

A similar analysis to that employed in the previous section was carried out over vertical planes of measurement to assess the dispersion across the bioreactor of the microcarriers' suspension. In this case the normalisation of the brightness index was done according to Equation 7, where the coefficient varies from 0 (low concentration of microcarriers' over the volume) to 1 (homogenous concentration across the bioreactor volume).

$$I^* = \frac{I_B(N) - I_B(0)}{I_B(\infty) - I_B(0)}$$
(7)

The variation of I^* with the critical Froude number ratio, Fr/Fr_{cr} , is provided in Figure 9, where inset snapshots provide a visual reference of the degree of dispersion. Data refer to a system with $d_i = 13$ cm, $d_o = 5$ cm and h = 6.5 cm $(h/d_i/\sqrt{d_o/d_i} < 1)$. The vertical and horizontal lines provide a reference of the suspended to critical Froude number ratio, $Fr_s/Fr_{cr} = 1.1$, found in the previous section, and of the 95 % degree of homogeneity, respectively. From Figure 9 it can be concluded that complete dispersion is achieved at a speed slightly higher than the suspended one, $\approx 1.2 \times Fr_{cr}$ (95 % threshold).

352

A closer view at the dispersion of microcarriers across the tank can be gained from the ax-353 ial and radial cumulative brightness profiles of Figures 10 (a) and (b), respectively ($d_i = 10$ cm, 354 $d_o = h = 5$ cm). The axial (radial) cumulative brightness was obtained by adding the image 355 brightness along the radial (axial) direction. Before proceeding with the discussion, it is worth 356 mentioning that a limitation of adopting the brighness index as a reference for microcarriers' 357 concentration is that in the vertical plane of measurements the laser enters the bioreactor from 358 the base, and therefore complete brightness homogeneity is impossible to achieve due to reflec-359 tions. This explains why brightness maxima are always located at z = 0, even at the higher speed 360 investigated, when microcarriers' suspension has certainly occurred. Despite this the current 361 data provide a reliable description of the suspension over a vertical plane for increasing speed. 362 Bearing this in mind, the plot of Figure 10 (a) shows that the axial distribution of microcarriers 363 is poor for $N \leq 100$ with the normalised brightness index, $I_B(z, N)/I_B(0, N)$, being relatively 364 low for $z/d_i \leq 0.04$, while, in agreement with the higher decay coefficients observed in Table 2 365 for $h/d_i/\sqrt{d_o/d_i} < 1$, a sharp change in $I_B(z, N)/I_B(0, N)$ occurs over a relatively small range of 366 shaker speeds, N = 100 - 105 RPM. The curves of N = 105 RPM and N = 130 RPM are nearly 367 parallel for $z/d_i \ge 0.06$ indicating that a similar degree of dispersion along the axial direction 368 has been achieved for both, while the lower intensity of $I_B(z, N)/I_B(0, N)$ indicates that fewer 369 microcarriers are suspended for the lower speed considered. 370

371

Similarly to the axial profiles, the radial profiles of the cumulative brightness index, $(I_B(z, N) -$ 372 $I_B(0,N)/I_B(0,N)$, Figure 10 (b), show little suspension for N < 102, while at greater speeds 373 the radial distribution is characterised by double crested profiles, where the peaks capture the 374 higher microcarriers' concentration already present in the top-right inset of Figure 9. The peaks 375 are located close to the reactor axis and they occur in the region swept by the precessional vortex 376 once flow transition has occurred. Based on these results and those in the previous section it 377 can be concluded that microcarriers are pushed from the periphery towards the centre of the 378 reactor base, and they are then sucked into the bulk flow by the depression created close to the 379 axis of the bioreactor by the two-counter rotating and precessional vortices, before and after 380 flow transition, respectively. 381

382 3.3. Two-phase flow dynamics

Two-phase Particle Image Velocimetry experiments were carried out to better understand the influence of the solid phase on the mean characteristics of the flow, and to assess whether the flow transition reported by Weheliye et al. (2013) can be extended to the two-phase system. A preliminary analysis was carried out to assess whether the free surface wave, which is the flow

driving mechanism, is affected by the microcarriers' concentration. The study of Weheliye et al. 387 (2013) showed that for a single-phase system the nondimensional wave amplitude, $\Delta h/d_i$, is 388 proportional to the Froude number, meaning that for selected combinations of N and d_o , the 389 free surface will assume a fixed inclination, which is independent of the fluid height h and vessel 390 diameter, d_i . The constant of proportionality, a_o , depends on the fluid considered, and is equal 391 to 1.4 in the case of water, and decreases with increasing fluid viscosity (Ducci and Weheliye, 392 2014). The variation of $\Delta h/d_i$ against Fr (0.25 < Fr < 0.5) for different microcarriers' con-393 centrations at $h/d_i = 0.5$, and $d_o/d_i = 0.5$ is provided in Figure 11. The data points are all 394 located close to the reference line, which corresponds to a single-phase system with water as the 395 working fluid $(a_{ow} = 1.4)$. A small decrease of the slope might be seen for increasing micro-396 carriers' concentrations, that is consistent with the behaviour reported by Ducci and Weheliye 397 (2014) for increasing viscosity. This means that the flow dynamics of the two-phase system is 398 not remarkably affected by the presence of microcarriers at the concentration considered, and 399 that the applicability of the relation found by Weheliye et al. (2013) can be extended to the 400 two-phase system. Lower values of the slope coefficient, a_o , might imply that the critical Froude 401 number for the two-phase system is slightly higher than that of the single-phase (see Equation 402 1), and therefore the suspended speed data points of Figure 8 might get closer to the horizontal 403 reference line of $Fr/Fr_{cr} = 1$. 404

405

The phase-resolved velocity vector fields and tangential vorticity, $\omega_{\theta}/(\pi N)$, contour maps of 406 the liquid and solid phases are shown in Figure 12 (a-b) and (c-d) for in-phase, prior to flow 407 transition, and out-of-phase conditions, respectively. For both flow conditions the phase angle 408 was $\phi = 0$ and the microcarriers' concentration, c = 0.5 g/L. The velocity fields of the liquid 409 and solid phases for in-phase flow (Figures 12 a and b) are qualitatively similar to each other, 410 and are characterised by the two vortical cell configuration already identified by Weheliye et al. 411 (2013) at the same speed for single-phase flow. However, in the toroidal vortex region, the 412 vorticity of the solid phase assumes values slightly higher than for the liquid one (mainly on the 413 left hand side vortex), indicating that a slip velocity is present between the two phases. Similar 414 conclusions can be drawn when comparing the velocity fields for the out of phase flow (Figures 415 12 c and d). In this case the axial slip velocity, $|u_{z_S} - u_{z_L}| < 0.02 \times \pi N d_o$ (0-6 mm/s). It is 416 worth mentioning that this range of values is comparable to the average and maximum velocities 417 of the liquid phase over the plane of measurement, 0.033 and $0.10 \times \pi N d_o$, respectively. 418

419 4. Conclusions

This study is the first one to provide insight on the two-phase flow dynamics occurring in an orbitally shaken bioreactor when microcarriers are used in suspension under real process conditions. The suspension dynamics of the two-phase system was investigated using a visualization approach, which allowed to estimate the "just - suspended" shaking speed from the light scattered by the microcarriers on a laser plane parallel to the bottom of the cylindrical bioreactor. The shaking system was studied varying solid concentration and orbital diameter, and the results highlightened the correlation between the microcarriers suspension and the critical Froude number corresponding to the occurrence of the flow transition identified by Weheliye et al. (2013) for a single-phase system. It was found that for bioreactor configurations corresponding to $h/d_i/\sqrt{d_o/d_i} < 1$ the suspended Froude number, Fr_s , is nearly constant and equal to $1.1 \times Fr_{cr}$, while for $h/d_i/\sqrt{d_o/d_i} > 1$ the suspended speed tends to increase, and suspension is delayed to higher speeds after flow transition. From this point of view the first type of configuration should be sought because it achieves full suspension and at the same time minimises power consumption and shear rates.

434

An analysis of the suspension mechanisms highlighted that microcarriers are pushed from the 435 perisphery towards the centre of the reactor base along a spiral pattern, and then they are 436 sucked into the bulk flow by the depression created close to the axis of the bioreactor by the 437 two-counter rotating and precessional vortices, before and after flow transition, respectively. 438 Vertical plane measurements were used to assess the homogeneity of the microcarriers across 439 the reactor volume, and it was found that full dispersion is achieved at $\approx 1.2 \times Fr_{cr}$. A model 440 was developed to fit the suspension data, and showed that suspension dynamics are faster and 441 occur over a narrower range of speeds for $h/d_i/\sqrt{d_o/d_i} < 1$. The free surface experiments vali-442 dated the relation found by Weheliye et al. (2013) between the non-dimensional wave amplitude 443 of the cylindrical bioreactor, $\Delta h/d_i$, and the Froude number, and it was found that the presence 444 of the microcarriers might reduce the constant of proportionality between the two parameters, 445 and result in slightly higher critical Froude number, Fr_{cr} . The velocity fields of the liquid and 446 solid phases were simultaneously measured over a vertical plane bisecting the vessel, and their 447 mean flows were found to be very similar both for in-phase and out-of-phase conditions. This 448 is in agreement with previous studies on stirred tank reactors where low solid concentrations 449 are employed. The range of variation of the axial slip velocity, $|u_{z_S} - u_{z_L}| < 0.02 \times \pi N d_o$ (0-6 450 mm/s), was comparable in magnitude to the average and maximum velocities of the liquid phase 451 over the plane of measurement, 0.033 and $0.10 \times \pi N d_o$, respectively. 452

453

Further studies are called for to investigate the suspension dynamics of the next generation 454 of microcarriers. Biodegradable materials are increasingly used to make microcarriers for cell 455 adherent applications in order to avoid the need for the cell detachment and recovery steps. 456 However the materials used are often characterised by densities much heavier than water, thus 457 requiring considerable energy to be suspended. The flow visualisation methodology established 458 in this work, as well as the simultaneous measurement of the two-phase flow characteristics, 459 could be implemented for other microcarriers' types to assess the quality of suspension, and its 460 dependence on the bioreactor geometry and operating conditions. 461

462	Nome	enclature					
463	Abbreviation						
464	2D	Two-Dimensional					
465	OSB	Orbitally shaken bioreactor					
466	STR	Stirred Tank Reactor					
467	PIV	Particle Image Velocimetry					
468	3D	Three-Dimensional					
469							
470	Greek	x Symbols					
471	ν	Kinematic viscosity, m^2/s					
472	ρ	Microcarriers' density kg/m^3					
473	$ ho^{\star}$	Microcarriers' relative density, -					
474	ϕ	Phase angle of the table, $^\circ$					
475	ω_i	Vorticity component in the <i>i</i> th direction, s^{-1}					
476							
477	Roma	n Symbols					
478	a	Decay coefficient of Equation 6, -					
479	a_{ow}	Constant of proportionality for water, -					
480	d_i	Inner diameter of the cylinder, m					
481	d_o	Orbital diameter, m					
482	d_p, d_{50}	Microcarriers' diameter, m					
483	Fr	Froude number, -					
484	Fr_{cr}	Critical/transitional Froude number, -					
485	Fr_s	Suspended Froude number, -					
486	g	Gravitational acceleration, m/s^2					
487	h	Fluid height at rest, m					
488	Δh	Free surface height, m					
489	I^*	Normalised brightness index, -					
490	I_B	Brightness index, -					
491	n	Number of shaker revolution, -					
492	N	Shaking frequency, s^{-1}					
493	N_{cr}	Critical shaking frequency, s^{-1}					
494	N_s	Suspension shaking frequency, s^{-1}					
495	R	Inner radius of the cylinder, m					
496	Re	Reynolds number, -					
497	u_i	Velocity in the i th direction, m/s					
498	V_f	Fluid filling volume, m ³					
499	x_0	Position coefficient of Equation 6, -					

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(a)



(b)

Figure 1: Experimental set-ups: (a) suspended speed; (b) two-phase PIV.



Figure 2: Visualization of the suspension mechanism and variation of the brightness percentage index, $I_B(N)/I_B(0)$, with shaking speed ($d_o = 1.5$ cm, h = 5 cm, c = 2.5 g/L).



Figure 3: Profiles of the normalised brightness index I^* for increasing number of shaker revolutions ($d_o = 2$ cm, h = 3 cm, c = 2.5 g/L): (a) radial profiles; (b) azimuthal profiles (r/R = 0.8).



Figure 4: (a) Phase-averaged azimuthal profiles of the image brightness at n = 117 for different radii (r/R = 0.6 - 0.9); (b) Radial and azimuthal coordinates of the brightness peak for n = 117 and 120 $(d_o = 2 \text{ cm}, h = 3 \text{ cm}, c = 2.5 \text{ g/L})$.



Figure 5: Variation of I^* with shaker speed for different microcarriers' concentrations (h = 5 cm, $d_i = 7$ cm): (a) $d_o = 1.5$ cm; (b) $d_o = 2.5$ cm.



Figure 6: Variation of I^* for different orbital diameters (h = 5 cm, $d_i = 7$ cm, c = 2.5 g/L): (a) variation with shaker speed, N; (b) variation with Fr/Fr_{cr} .



Figure 7: Variation of I^* for different orbital diameters (h = 3 and 5 cm, $d_i = 7$ cm, c = 2.5 g/L): (a) variation with shaker speed, N; (b) variation with Fr/Fr_{cr} .



Figure 8: Variation of the suspended to critical Froude number ratio, $\frac{F_{T_s}}{F_{T_{cr}}}$, with critical height ratio, $\frac{h}{d_i}/\sqrt{\frac{d_o}{d_i}}$, for all the conditions investigated.



Figure 9: Variation of the normalised brightness index, I^* , with the Froude number ratio, $F_r/F_{r_{cr}}$, obtained from measurements on a vertical plane ($d_i = 13 \text{ cm}$, $d_o = 5 \text{ cm}$, h = 6.5 cm).



Figure 10: Profiles of the axial and radial cumulative brightness indices for increasing shaken speed ($d_i = 10$ cm, $d_o = 5$ cm, h = 5 cm): (a) Axial profiles; (b) Radial profiles.



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Figure 12: (a) Velocity vector fields and tangential vorticity contour maps of the liquid and solid phases before and after flow transition (h = 5 cm, $d_i = 10$ cm, $d_o = 5$ cm, c = 0.5 g/L): (a) Liquid phase, N = 90 RPM; (b) Solid phase, N = 90 RPM; (c) Liquid phase, N = 110 RPM; (d) Solid phase, N = 110 RPM.