1
÷.

Limited diversity associated with duplicated class II MHC-*DRB* genes in the red squirrel population in the United Kingdom compared with continental Europe

4	Keith T. Ballingall ¹ , Angeline McIntyre ^{1,2,*} , Zhenzhen Lin ^{1,3} , Naomi Timmerman ^{1,6} , Erik Matthysen ⁶ , Peter
5	W.W. Lurz ³ , Lynsey Melville ¹ , Amy Wallace ¹ , Anna L. Meredith ³ , Claudia Romeo ⁴ , Lucas A. Wauters ⁵ ,
6	Anthony W. Sainsbury ² and Colin J. McInnes ¹
7	¹ Moredun Research Institute, Midlothian, Scotland, UK,
8	² Institute of Zoology, Zoological Society of London, UK,
9	³ The Royal (Dick) School of Veterinary Studies, The University of Edinburgh, UK,
10	⁴ Department of Veterinary Sciences and Public Health, University of Milan, Italy,
11	⁵ Department of Theoretical and Applied Sciences, University of Insubria, Varese, Italy,
12	⁶ Evolutionary Ecology Group, University of Antwerp, Belgium.
13	
14	Address correspondence to: Keith T. Ballingall, Moredun Research Institute, Pentlands Science Park, Bush
15	Loan, Penicuik, Midlothian, EH26 OPZ, Scotland, UK.
16	E-mail keith.ballingall@moredun.ac.uk, Tel.:+44 (0) 131 445 5111, FAX: +44 (0) 131 445 6235
17	* Current address, Department of Ecosystem and Public Health, University of Calgary, Canada
18	
19	
20	Acknowledgements
21	The authors acknowledge all those who contributed genetic material to this study. KB and CM are supported by
22	the Scottish Government Rural and Environment Science and Analytical Services (RESAS) Division.
23	

25 Abstract

26 The red squirrel (Sciurus vulgaris) population in the United Kingdom has declined over the last century and is 27 now on the UK endangered species list. This is the result of competition from the eastern grey squirrel (S. 28 carolinensis) which was introduced in the 19th century. However, recent evidence suggests that the rate of 29 population decline is enhanced by squirrelpox disease, caused by a viral infection carried asymptomatically by 30 grey squirrels but to which red squirrels are highly susceptible. Population genetic diversity provides some 31 resilience to rapidly evolving or exotic pathogens. There is currently no data on genetic diversity of extant UK 32 squirrel populations with respect to genes involved in disease resistance. Diversity is highest at loci involved in 33 the immune response including genes clustered within the major histocompatibility complex (MHC). Using the 34 class II DRB locus as a marker for diversity across the MHC region we genotyped 110 red squirrels from 35 locations in the UK and continental Europe. Twenty four Scvu-DRB alleles at two functional loci; Scvu-DRB1 36 and Scvu-DRB2, were identified. High levels of diversity were identified at both loci in the continental 37 populations. In contrast, no diversity was observed at the Scvu-DRB2 locus in the mainland UK population 38 while a high level of homozygosity was observed at the Scvu-DRB1 locus. The red squirrel population in the UK 39 appears to lack the extensive MHC diversity associated with continental populations, a feature which may have 40 contributed to their rapid decline.

. .

41 Keywords: Red squirrel, MHC DRB, Population, UK, diversity, Squirrelpox virus, disease

42

44 Introduction

45 The Eurasian red squirrel (Sciurus vulgaris) is currently on the endangered species list in the United Kingdom (UK) although not in the rest of its pan Eurasian range. Within the UK the majority of the population is 46 47 restricted to Scotland with fragmented populations remaining in England and Wales, while the distribution of 48 the eastern grey squirrel (S. carolinensis) has expanded to match that vacated by the red squirrels. As recently 49 described in detail by Signorile et al (2016) the North American eastern grey squirrel was introduced and 50 subsequently translocated across the UK and Ireland on at least 30 occasions from the 1870's until the 1920's 51 (Middleton 1930; Shorten, 1954, Barratt et al. 1999). Grey squirrel numbers have increased ever since and have 52 been estimated at around 2.5 million while red squirrel numbers have declined to approximately 120,000 (Harris 53 et al. 1995). In continental Europe the grey squirrel has also been introduced to Northern Italy on at least three 54 occasions between 1948 and the 1990s, followed by numerous translocations and undocumented releases 55 (Martinoli et al. 2010; Bertolino et al. 2008, 2014). However, no evidence of the SQPV has been reported which 56 may partially explain the slower rate of decline in Northern Italian red squirrels compared with those in the UK. 57 The principal factors that underlie the rapid decline of the red squirrel and replacement by grey squirrels in the 58 UK include competition from the grey squirrel (Gurnell et al. 2004; Kenward and Holm 1993; Tompkins et al. 59 2002; Wauters and Gurnell 1999) and disease caused by infection with the squirrelpox virus (SQPV) (Thomas et 60 al. 2003; La-Rose et al. 2010). SQPV, a member of the Poxviridae family (Thomas et al. 2003; McInnes et al. 61 2006; Darby et al. 2014) is thought to be transmitted by asymptomatic grey squirrels (Sainsbury et al. 2000; 62 Tompkins et al. 2002) to highly susceptible red squirrels. It has been estimated that on average 61% of grey 63 squirrels in the UK are seropositive for SQPV (McInnes et al. 2006), although this fluctuates between 100% and 64 0% depending on the density of squirrels supported by different types of woodland.

Infection of red squirrels with SQPV generally results in death within 2-3 weeks of infection which is likely to be a result of starvation and dehydration due to the inability to forage for food and water and the combined effect of secondary, mainly bacterial, adventitious infections. In areas where red and grey squirrels coexist the decline of red squirrels is up to twenty five times faster if the grey squirrels are carrying SQPV than if they are free from the virus (Rushton et al. 2006). As a consequence, the red squirrel is unlikely to survive in the UK unless populations are maintained in favourable conifer habitats that reduce competition and immigration by grey squirrels (Gurnell et al. 2002).

72 In response to the threat posed by SQPV, a number of red squirrel strongholds have been established in 73 the UK which combine measures to control exposure to the grey squirrels with habitat improvement. However, 74 small isolated populations often suffer from reductions in genetic diversity due to inbreeding depression and the 75 effect of genetic drift (Keller and Waller 2002; Charlesworth and Willis 2009). This reduces the ability of such 76 populations to respond to rapidly evolving endemic and exotic pathogens compared with larger more genetically 77 diverse populations (Frankham and Ralls 1998; Bernatchez and Landry 2003). Maintaining existing red squirrel 78 diversity while developing strategies that allow diversity to increase within isolated populations will be 79 important for the long term sustainability of the red squirrel strongholds. Historical evidence indicates that red 80 squirrels may have experienced severe population declines and bottlenecks and there is a complete lack of 81 knowledge on genetic diversity of extant UK populations especially with respect of genes involved in disease 82 resistance. Previous analyses of genetic diversity in the red squirrel have targeted nuclear, neutral microsatellite 83 and mitochondrial markers providing important information on the population structure (Barrett et al. 1999; 84 Grill et al. 2009, Hale et al. 2001) but limited information on the role of diversity in the response to SQPV 85 infection.

86

The highest levels of genetic diversity within mammalian populations are located within genes 87 88 involved in the immune response including those clustered together within the major histocompatibility complex 89 (MHC), (Horton et al. 2004; Robinson et al. 2013). As a consequence, MHC loci are frequently used as a source 90 of genetic markers in studies of population diversity and population health (Sommer 2005; Osborne et al. 2015). 91 The MHC is divided into three major clusters of closely linked genes, class I, II and III. MHC class I and II 92 genes encode proteins responsible for the presentation of small fragments of pathogen proteins for recognition by antigen specific receptors on CD8 or CD4^{+ve} T cells respectively (Bjorkman 1987; Germain and Margulies 93 94 1993). The specificity of the immune response is influenced by the range of pathogen peptides presented by 95 MHC molecules. The majority of MHC diversity associated with the class II MHC loci is found in the second 96 exon which determines part of the peptide binding groove. As a consequence, allelic diversity influences the 97 range of peptides recognised by the immune system (Hughes and Yeager 1988; Hughes and Nei 1989) and 98 many associations with susceptibility to autoimmune and infectious disease have been described (reviewed by 99 Trowsdale 2011).

Earlier analyses of fragmented populations of European ground squirrel (*Spermophilus citellus*,
Ricanova et al. 2011) and spotted suslik, (*Spermophilus suslicus*, Biedrzycka and Radwan 2008) described high

- 102 levels of allelic diversity at the class II MHC-DRB locus. Therefore, this study aims to characterise the DRB
- 103 locus in red squirrels which will allow a comparison of diversity in fragmented UK red squirrel populations with
- 104 populations from continental Europe.

106 Materials and methods

107 *Red squirrel samples*

Genomic DNA was prepared from 42 tissue samples obtained from red squirrels selected from archived material 108 109 held at the Zoological Society of London (ZSL). These animals were found dead and submitted to the ZSL between 1996 and 2006 and represent three locations within mainland UK; Central Scotland, North West 110 111 England, North East England and two island populations, the Isle of Wight and Jersey in the Channel Islands. 112 Twelve road kill samples were obtained from the stronghold population on the Isle of Arran located of the West 113 coast of Scotland, six samples from South West Scotland, six samples from North Central Scotland, thirteen 114 from Northern Scotland and three from Northern Ireland. Eighteen samples of continental European red 115 squirrels were obtained from Belgium and Northern Italy. The location and number of animals sampled at each 116 location is detailed in Figure 1. For comparative purposes, DNA was also prepared from an eastern grey squirrel 117 from the South West of Scotland.

118 Preparation of DNA

Genomic DNA was extracted from muscle or spleen samples using the DNeasy blood and tissue kit (Qiagen)
following the manufacturer's instructions. The quantity and quality of DNA was estimated using a nanodrop
spectrophotometer.

122 Preparation of RNA

Pseudogenes and gene fragments are common features of MHC regions in other mammalian species (Kumanovics et al. 2003). To provide evidence that the *Scvu-DRB* loci are functional, cDNA was prepared from mRNA isolated from the spleen of a red squirrel (sample 15, supplementary Table 1) following euthanasia of a suspected case of squirrelpox in South West Scotland. The spleen was removed, suspended in RNAlaterTM and archived at -20°C. Total RNA was prepared from 20 mg of spleen tissue using the Precellis Ribolyser Tissue RNA kit. Genomic DNA was also prepared from the same sample.

129 Targeting the red squirrel DRB loci

PCR primers Scvu351F and Scvu338R which amplify a 243 bp fragment of the second exon of the red squirrel *DRB* locus were designed using a *DRB* cDNA sequence from the tassel-eared squirrel (accession number
M97616) as the template. Both primers are located within the second exon. The primer sequences are listed in

133 Table 1. Each PCR reaction was carried out in a final volume of 50 μl containing 200 nM of each primer, 1U

134 *Taq* polymerase (Promega, Paisley, UK) and 50 ng of DNA template. Amplification reactions were performed

under the following cycling conditions; 94°C for 4 minutes followed by 30 cycles of 94°C for 30 s, 60°C for 30 s

and 72°C for 30 sec. A final cycle of 72°C for 5 min was added to complete the reaction.

137 Analysis of PCR products

The products of each PCR reaction were separated on a 1% agarose gel, stained with gel red and visualised under a UV transilluminator. PCR products were purified using the SV Gel and PCR Clean-Up System (Promega), quantified and sequenced in both directions using primers Scvu351F and Scvu338R. The forward and reverse sequences were aligned using the SeqManIITM program of the DNASTAR package and polymorphic positions identified. As the primers amplify the products of two polymorphic *DRB1* loci in order to define the allelic diversity at each locus the PCR fragments are cloned.

144 Cloning and sequence analysis

145 Scvu-DRB alleles were cloned into the pGEM-T-easy vector (Promega) and individual clones identified by 146 colony PCR. Digestion of the colony PCR product with the restriction enzyme Rsa I followed by resolution of 147 the fragments on an 8% polyacrylamide gel allowed the selection of clones with identical restriction patterns for 148 sequencing. Depending on the complexity of the direct sequence analysis, up to 12 clones were sequenced in 149 both directions. Sequencing or Taq induced errors were eliminated through comparison with the direct sequence 150 of the PCR product. The majority of alleles including those that differ by single nucleotide substitutions were 151 identified multiple times from different DNA samples and in some cases from cDNA as well as genomic DNA. 152 Those alleles identified from single samples were cloned and sequenced independently from two different PCR 153 reactions to eliminate possible artefacts associated with amplification and cloning.

154 Red squirrel Class II DRB nomenclature

We followed the accepted convention of MHC allelic nomenclature proposed by Klein et al. (1990) - which uses the first two letters of the genus and species (*Scvu*) followed by the locus (*Scvu-DRB1*) and then an allele designation (*Scvu-DRB1a, 1b, 1c,* based on the order of their identification). *DRB* alleles were assigned to either the *DRB1* or *DRB2* locus depending on sequence similarity and phylogenetic clustering. The allelic nomenclature shown in Table 2 is used throughout.

160 Analysis of Scvu-DRB gene transcription

161 First strand cDNA was prepared using the ImProm-II RT system (Promega) in a 40 µl reaction using 200 ng of 162 Total RNA. Using the full length DRB transcript from the tassel-eared squirrel (Sciurus aberti) as a template, 163 primers Scvu363 and Scvu364 (listed in Table 1) were designed within exons 1 and exon 3 and tested for their 164 capacity to amplify the Scvu-DRB transcripts. Reverse transcription-PCR was carried out in 50 µl reactions 165 using each combination of forward and reverse primer, 3 µl of cDNA template and 200 nM of each primer in 166 GoTaq polymerase master mix (Promega, Paisley, UK). Amplification reactions were performed under the 167 following conditions; 94°C for 4 minutes followed by 30 cycles of 94°C for 1 min, 55°C for 1 min and 72°C for 1 168 min. Fragments were visualised on 1% agarose gels and those of the expected size were gel purified and cloned 169 into the pGEM-T-easy vector as detailed above.

170 Sequence analysis

171 Scvu-DRB gene sequences were assembled from each bi-directional sequence using the SeqManII program. 172 All polymorphic sites were inspected manually. All sequences have been deposited in the European 173 Nucleotide Archive and assigned accession numbers listed in Table 2. Multiple alignments of the nucleic acid 174 and predicted amino acid sequences were produced using Clustal Omega available on the EMBL-EBI website 175 (http://www.ebi.ac.uk/Tools/msa/clustalo/). Multiple alignments of the Scvu-DRB sequences generated here 176 and other published sequences were used to estimate maximum likelihood trees using PhyML-aLRT (Version 177 2.4.5) (Anisimova and Gascuel 2006) launched from TOPALi v2.5 (Milne et al. 2008). Prior to phylogenetic 178 tree estimation, the model selection feature in the TOPALi v2 package which produces improved estimates of 179 likelihood values was used to select the nucleotide substitution model JC+G (Jukes and Cantor 1969). To test 180 for positive selection we compared the average number of synonymous substitutions (dS) with the average 181 number of non-synonymous substitutions (dN) for codons predicted to determine the antigen-binding sites 182 (ABS), the remaining sites (non-ABS) and all sites. We used the modified Nei and Gojobori method with 183 Jukes-Cantor correction as the substitution models. The codons predicted to determine amino acids associated 184 with the APS were selected according to Reche and Reinherz (2003) and are shown in Figure 2. The average 185 dN and dS and their variances estimated using 10000 bootstrap replicates were used to test the null hypothesis 186 that H_0 , dN=dS (test for neutrality) using a Z test. This analysis was carried out in MEGA version 6 (Tamura et 187 al 2013). Rejection of the null hypothesis in favour of the alternative hypothesis where dN > dS where the 188 probability values P are less than 0.05 is considered evidence for positive selection.

190 Results

191 Identification of two Scvu-DRB loci

192 A 243 bp fragment of the second exon of the Scvu-DRB locus was initially amplified from 10 red squirrel DNA 193 samples from UK population 1 (Figure 1). Sequence analysis of the PCR fragments identified 29 identical 194 polymorphic positions in each of these 10 animals. The presence of two distinct sequences which were identical 195 in all ten animals was confirmed through analysis of individual clones obtained from four of these animals. The 196 two sequences did not appear to segregate as expected for alleles at a single locus as no animal homozygous for 197 either allele was identified. Therefore, rather than alleles at a single locus, we concluded that they are likely to 198 represent two independent DRB loci, inherited together within a single haplotype. All 10 animals genotyped 199 appeared homozygous for this one haplotype. The presence of two independent and polymorphic DRB loci was 200 confirmed through identification of alleles at each locus in animals from populations 11 and 12 from Belgium 201 and Italy respectively. The sequences identified from population 1 were used as reference sequences for each of these loci and termed Scvu-DRB1a and Scvu-DRB2a (Supplementary Figure 1). 202

203 Are both Scvu-DRB loci transcribed?

Using primers Scvu363 and Scvu364 located in exons 1 and 3, three correctly spliced transcripts representing two alleles at locus 1, (*Scvu-DRB1a* and *Scvu-DRB1b*) and a single allele at locus 2, (*Scvu-DRB2a*) were identified in sample 15 from population 2 (Supplementary Table 1)[°], confirming that both loci are transcribed and therefore likely to be functional. No polymorphic sites were identified in the genomic DNA primer binding sites within exon 2 suggesting that the genotyping primers are likely to amplify the majority of *DRB* allelic diversity in red squirrels. The genotyping of a DNA sample from the same squirrel produced an identical result to the cDNA analysis.

211

212 Scvu-DRB sequence analysis

Sequence analysis of the PCR products from the remaining 90 samples identified a range of nucleotide substitutions not present in population 1. Where novel and multiple substitutions were identified, individual alleles were resolved through cloning. A total of 19 *Scvu-DRB1* alleles and 5 *Scvu-DRB2* alleles were identified. The alleles associated with each squirrel sample are shown in supplementary Table 1. The sequences have been assigned ENA database accession numbers LN832043 to LN832063 as shown in Table 2. The nucleotide sequences of the 24 *Scvu-DRB* variants are shown in supplementary Figure 1 while the predicted amino acid 219 sequences are shown in Figure 2. The Scvu-DRB1 locus is the more polymorphic of the two with 19 of the 24 220 alleles. Twenty seven polymorphic nucleotide positions corresponding to 15 dN substitutions were identified 221 within the second exon of the Scvu-DRB1 locus compared with 16 polymorphic positions corresponding to eight 222 dN substitutions within the second exon of the Scvu-DRB2 locus. Allelic diversity at both DRB1 and DRB2 loci 223 was generally associated with small numbers of nucleotide substitutions with many alleles differing at only one 224 or two positions. Alleles DRB1a and 1b, DRB1m and 1n and DRB2b and 2c differ at single dS substitutions. 225 Alleles DRB1e and 1h show the highest level of diversity with 90% identity while the most diverse DRB2 226 alleles, DRB2a and 2e, show 93% identity in pair-wise comparisons. Inter-locus diversity is greater with 85% 227 identity between DRB1a and DRB2a.

228 Substantial allelic diversity within and between DRB1 and DRB2 loci is associated with positions 229 predicted to directly interact with peptides bound within the peptide binding domain (Figure 2). Sixteen of the 230 18 amino acid positions estimated by Reche and Reinherz (2003) to directly interact with peptides bound within 231 the class II MHC peptide binding domain are shown to be variable or adjacent to a variable amino acid in red 232 squirrels (Figure 2). As positive selection is thought to drive and maintain diversity at MHC loci we tested the 233 hypothesis that dN > dS at codons predicted to determine the antigen-binding sites (ABS), the remaining sites 234 (non-ABS) and all sites. This hypothesis was rejected in the analysis of all sites (dN-dS = 0.96, p = 0.17) and the 235 non ABS (dN-dS = -0.73, p = 1.0) and only at ABS sites was the hypothesis supported (dN-dS = 2.663, 236 p=0.004).

237

238 *Phylogenetic analysis*

The relationship between *Scvu-DRB1* and *B2* sequences was further explored by phylogenetic analysis using the nucleic acid alignment shown in supplementary Figure 1. The tree topology (Figure 3) generally supports the two locus hypothesis as the two major clusters are formed by the *DRB1* and *DRB2* allelic lineages, the only exception being *Scvu-DRB11* which clusters independently of the other *DRB1* alleles despite sharing many of the nucleotide and amino acid motifs characteristic of the *DRB1* locus. This may be due to a recombination event between *DRB1* and *DRB2* loci. The *S. aberti* (*Scab-DRB*) and the *S. carolinensis* (*Scca-DRB*) sequences all cluster with the *Scvu-DRB1* loci.

246 The distribution of Scvu-DRB1 and Scvu-DRB2 allelic diversity in UK and continental European red squirrels

The distribution and frequency of the 19 *Scvu-DRB1* alleles and 5 *Scvu-DRB2* alleles in UK and continental European red squirrels is shown in Figure 4 and Table 3 respectively. Twelve *Scvu-DRB1* and 4 *Scvu-DRB2* alleles were identified in the 18 animals from continental populations 11 and 12, while only 6 *Scvu-DRB1* and a single *Scvu-DRB2* allele were found in 55 samples obtained from six UK mainland populations. Both *DRB* loci were homozygous in 78% of animals from the mainland UK compared with 16% of the continental red squirrels.

The highest level of allelic diversity with 12 *DRB* alleles associated with nine distinct haplotypes was identified in the population from northern Italy, while the population with least diversity was population 1 from central Scotland with only a single haplotype. These data indicate that the extensive allelic and haplotype diversity associated with continental European red squirrels is not present in UK populations analysed.

With the exception of population 10 from the Isle of Arran, the *Scvu-DRB1a/Scvu-DRB2a* haplotype dominates the UK population. This haplotype was not identified in the continental populations or in the small number of samples from the Channel Islands. Given the proximity of the Channel Islands to the French coast, it is not surprising that they share alleles with continental populations. However, population 10 shares allelic diversity with samples from Belgium rather than with other UK populations. This suggests that this population may have a more recent continental European origin.

264 Discussion

265 In response to selection by rapidly evolving pathogens, genes associated with protective immunity are often highly diverse (Barreiro and Quintana-Murci 2010). Such diversity increases the probability of population 266 267 survival in the face of novel infections whereas populations with limited diversity are less secure. A major 268 source of immunological diversity is within the MHC where substantial allelic diversity is thought to be 269 maintained by a form of balancing selection (heterozygous advantage and/or frequency dependent selection) 270 arising from the requirement to respond to rapidly evolving or novel pathogens (Hughes and Yeager 1998; 271 Meyer and Thomson 2001). High levels of allelic diversity at MHC loci are often associated with large 272 populations with high levels of genetic exchange whereas low levels are often associated with smaller, more 273 isolated populations (reviewed in Sommer et al. 2005; Radwan et al. 2010).

274 Comparison of class II MHC DRB diversity in UK and continental squirrel populations

275 While comparing diversity at the class II MHC Scvu-DRB locus in UK red squirrels with populations from 276 continental Europe, we identified a duplication of the Scvu-DRB locus, described Scvu-DRB1 and Scvu-DRB2 277 transcripts and sequenced families of alleles at each locus. We provide evidence of positive selection at sites 278 associated with the binding of peptide antigens in agreement with orthologous loci in other species (Babik et al. 279 2005, Cizkova et al. 2011). Limited Scvu-DRB1, Scvu-DRB2 allelic and haplotype diversity was identified in 280 geographically distinct populations of red squirrel in the UK. A single DRB haplotype (DRB1a/DRB2a) appears 281 to dominate the UK population with levels of homozygosity ranging between 68% and 100% depending on the 282 population analysed. In contrast, substantial allelic diversity was identified in samples from continental Europe 283 where Belgian and Italian populations provided 12 Scvu-DRB1 and 4 Scvu-DRB2 alleles from 18 animals 284 compared with only 6 Scvu-DRB1 and a single Scvu-DRB2 allele in 55 samples from 6 populations from the UK 285 mainland. While it is likely that some alleles present at lower frequencies will not have been recorded in both 286 continental European and UK squirrels, it is clear that the extensive MHC diversity in continental European 287 squirrels is not present in UK populations.

288 Origin of the Scvu-DRB1a/Scvu-DRB2a haplotype

The origin of the *Scvu-DRB1a/Scvu-DRB2a* haplotype which dominates the UK red squirrel populations is unclear. This haplotype may be a remnant from the original population that colonised the British Isles following the end of the last ice age between 7 and 10 thousand years ago when the UK remained connected with Western 292 Europe. The failure to identify this haplotype in the continental European or Channel Island populations 293 supports this observation; however our analysis is limited to 18 animals from Italy and Belgium and is clearly 294 not representative of the continental population as a whole. There is evidence that the original red squirrel 295 population that colonised the British Isles was almost driven to extinction in the 18th century (summarised in 296 Barratt et al. 1999). The lack of MHC diversity supports this extreme population bottleneck in which all but the 297 most frequent alleles were lost due to inbreeding and drift. Historical records, confirmed by recent genetic 298 analysis, indicate that animals from other parts of the UK and from Western Europe were re-introduced to 299 restore lost or depleted UK populations including some from Scandinavia, re-introduced to secure populations in 300 southern Scotland (Hale et al. 2004). The Scvu-DRB1a/Scvu-DRB2a haplotype may have originated with 301 animals from Scandinavia which subsequently expanded throughout the UK. By extending future analyses to 302 include samples from Scandinavia and other areas of Western Europe, the origin of the Scvu-DRB1a/Scvu-303 DRB2a haplotype may become clearer.

304 Consequence of limited DRB diversity in UK red squirrels

305 Consistent with functional class II MHC-DRB orthologues in other vertebrates, much of the allelic diversity is 306 associated with non-synonymous substitutions at locations predicted to interact with peptides held within the 307 peptide binding groove (Hughes and Nei 1989). Such diversity influences the range of peptides presented to 308 CD4+ve T cells, one of the key regulatory cell types controlling both antibody and cellular responses to viral 309 infection. Any reduction in the range of pathogen antigens available for recognition by the immune system may 310 influence subsequent responses to infection at individual and population levels. However, the diversity between 311 DRB loci suggests that each may present a distinct range of peptides for recognition by the immune system 312 (Brown et al. 1993). Haplotypes with two diverse DRB loci will allow a wider array of peptides to be presented 313 to T cells compared with haplotypes with only a single functional DRB locus. While this study has focused on 314 the Scvu-DRB loci as a marker for MHC diversity, additional class II and class I loci will be included in future 315 analyses, allowing a more complete picture of MHC haplotype diversity in squirrel populations from the UK 316 and continental Europe.

Levels of MHC diversity in continental European red squirrels are consistent with a robust population associated with frequent genetic exchange between populations. This is in contrast to the limited diversity in the UK squirrels which is consistent with a strong founder effect which has led to low levels of diversity in the remaining small isolated populations in the UK. Inbred wildlife populations are often susceptible to environmental change including the introduction of new pathogens and SQPV appears to be responsible for much of the decline of the UK red squirrel population (Rushton et al. 2006). Wildlife populations within a stable environment are generally resilient to the endemic pathogens; a range of which (adenovirus; Sainsbury et al. 2001), (hepatozoon species; Simpson et al. 2006) (mycobacteria; Meredith et al. 2014) have been described in red squirrels in the UK. However, the impact of these infections appears limited compared with the exotic SQPV, although they might have a stronger impact on captive collections (Everest et al. 2014; Shuttleworth et al. 2014).

328 Providing evidence for a direct link between MHC diversity and squirrelpox disease susceptibility 329 remains challenging as samples from healthy animals with evidence of SQPV exposure for comparison with 330 samples from animals known to have been killed by the virus are required. While limited MHC diversity may 331 contribute directly to the decline of the UK red squirrel population through a failure to present protective 332 antigens for recognition by the immune system, it may also reflect a general decrease in diversity across the 333 genome (reviewed by Sommer et al. 2005). Previous analysis of UK red squirrel population diversity using 334 neutral markers such as the mitochondrial d-loop (Barratt et al. 1999) and a range of microsatellites (Hale et al. 335 2004; Grill et al. 2009) also identified limited diversity compared with continental populations.

336 Limited MHC diversity has been described in other species and populations which have gone through 337 population bottlenecks. These include the cheetah, where limited diversity at the MHC has been linked to 338 susceptibility to viral infection (O'Brien et al. 1985) and in the Tasmanian devil, where it has been linked with 339 susceptibility to a transmissible tumour (Siddle et al. 2007). Limited MHC diversity has also been recorded in 340 expanding populations following a population bottleneck, including the European Beaver (Ellergren et al. 1993) 341 the European and North American Moose (Miko and Anderson 1995) and the Mountain Goat (Mainguy et al. 342 2007). These populations are however predicted to remain susceptible to novel pathogen infections. The red 343 squirrel population of the UK may provide a warning to such populations as it may be the first recorded example 344 of a wildlife population with limited genetic diversity that expanded following a population bottleneck in the 18th century as a result of reforestation efforts (Shorten 1954) only to be decimated by an exotic viral infection 345 in the 20th century. 346

347 It may be fortuitous, but no evidence of SQPV has been reported in continental European red squirrels despite 348 the introduction on at least three occasions of eastern grey squirrels to Northern Italy between 1948 and the 349 1990s, followed by numerous translocations and undocumented releases (Martinoli et al. 2010; Bertolino et al. 350 2008, 2014). The Italian red squirrel population is the most genetically diverse population analysed in this study 351 with a large number of diverse MHC haplotypes associated with high levels of heterozygosity. The absence of 352 SQPV along with a genetically diverse red squirrel population and low levels of diversity in Italian grey 353 squirrels (Signorile et al. 2014) may have contributed to the relatively slow spread of grey squirrels in Northern 354 Italy compared with those in the UK (Bertolino et al. 2014).

355 MHC diversity and red squirrel conservation

356 Surprisingly, the distribution of alleles in the red squirrel population on the Isle of Arran, located off the West 357 coast of Scotland, suggests that they are more closely related to those from continental Europe than to other 358 squirrels from the UK. The Scvu-DRB1a/Scvu-DRB2a haplotype dominant in mainland UK populations was not 359 recorded and existing records indicate that red squirrels were introduced to the island between the 1930s and 360 1950s. This supports an earlier study which identified two mitochondrial haplotypes in the Arran population, 361 one of which was also found in Belgium populations (Barratt et al. 1999). As the Arran population appears 362 unique in the UK we suggest that animals from this population could be used to expand levels of diversity and 363 contribute to long term population health in other Scottish red squirrel strongholds (and potentially other areas 364 of mainland UK) with established red squirrel populations with limited MHC diversity. This approach may be 365 preferable to introductions from continental Europe with the risk of introducing exotic pathogens. Currently red 366 squirrel reintroduction strategies in the UK are focused on controlling the grey squirrel population and habitat 367 restoration which favours red squirrels with little regards to population genetic diversity. We suggest that by 368 incorporating a simple measure of MHC diversity in the reintroduction strategy overall population health would 369 be improved in the longer term.

371 Figure Legends

372 Fig. 1

373 Map of Western Europe showing the population number and number of red squirrels sampled from each374 location in parenthesis.

375 Fig. 2

376 Multiple alignments of the predicted amino acid sequences derived from three red Squirrel DRB1 and DRB2

transcripts aligned with nineteen *DRB1* and *DRB2* allelic sequences derived from the genomic analysis of 100

378 red squirrels from the UK and continental Europe. Only unique allelic sequences are included. The full length

379 DRB transcript derived from the tassel-eared squirrel (Sciurus aberti, Scab-DRB) is used as the reference

sequence. Sequences are numbered from the first amino acid of the mature protein. The portion of the DRB-β1

domain encoded by the second exon is shaded and amino acid positions predicted by Reche and Reinherz (2003)

to interact with peptides within the peptide binding domain are indicated with a *. Sequence identity is

indicated by a . and missing sequence is indicated by a -.

384 Fig. 3

385 Maximum likelihood tree estimating the relationships between Squirrel DRB nucleotide sequences. The tree is

386 generated using the HKY substitution model and rooted using the murine DRB orthologue, H2-EB1,

387 (NM_010382). Only bootstrap values 60 or above are shown. Species designations are as follows; Scab, Sciurus

388 aberti (tassel eared squirrel, M97616); Scvu, Sciurus vulgaris (Eurasian Red squirrel, LN832043 to LN832063),

389 *Scca*, *Sciurus carolinensis* (eastern grey squirrel).

390 Fig. 4

391 Distribution of *Scvu-DRB1* and *DRB2* allelic diversity in each red squirrel population.

394 395 Tables

Table 1 PCR primers

Table 1. FCK primers						
Primer Specificity		Template/Location	Sequence			
Scvu351F	DRB1 and DRB2	gDNA, exon 2	5'-AGTGCCATTTCTACAACGGGAC-3'			
Scvu338R	DRB1 and DRB2	gDNA, exon 2	5'-CTCTCCGCTCCACAGTGAAGC-3'			
Scvu363F	DRB1 and DRB2	cDNA, exon 1	5'-TCCTCTCCTGTTCTCCAGCAT-3'			
Scvu364R	DRB1 and DRB2	cDNA, exon 3	5'-CACAGTCACCTTCGGCTTAAC-3'			

 Table 2. Scvu-DRB1/DRB2 allelic nomenclature and associated accession numbers

Scvu-DRB allele	Accession Number	Scvu-DRB allele	Accession Number
Scvu-DRB1a	LN832039	Scvu-DRB1m	LN832052
Scvu-DRB1b	LN832040	Scvu-DRB1n	LN832053
Scvu-DRB1c	LN832042	Scvu-DRB10	LN832054
Scvu-DRB1d	LN832043	Scvu-DRB1p	LN832055
Scvu-DRB1e	LN832044	Scvu-DRB1q	LN832056
Scvu-DRB1f	LN832045	Scvu-DRB1r	LN832057
Scvu-DRB1g	LN832046	Scvu-DRB1s	LN832058
Scvu-DRB1h	LN832047	Scvu-DRB2a	LN832041
Scvu-DRB1i	LN832048	Scvu-DRB2b	LN832059
Scvu-DRB1j	LN832049	Scvu-DRB2c	LN832060
Scvu-DRB1k	LN832050	Scvu-DRB2d	LN832061
Scvu-DRB11	LN832051	Scvu-DRB2e	LN832062

Table 3. Scvu-DRB allelic frequencies associated with individual populations

Population Allelic Frequencies												
Population	1	2	3	4	5	6	7	8	9	10	11^{*}	12#
N	10	6	6	13	3	10	10	10	2	12	10	8
DRB1a	1.0	0.50	0.5	0.69	0.667	0.75	0.65	0.09	0.75	-	-	-
DRB1b		0.167	0.42	0.31	0.333	0.2	-	-	-	-	-	-
DRB1c	-	-	-	-	-	-	0.1	-	-	-	-	-
DRB1d	-	-	-	-	-	-	-	-	-	0.375	0.45	0.062
DRB1e	-	-	-	-	-	-	-	-	0.25	-	0.25	-
DRB1f	-	0.333	0.08	-	-	-	0.05	-	-	-	-	-
DRB1g	-	-	-	-	-	-	-	0.1	-	-	-	-
DRB1h	-	-	-	-	-	-	-	-	-	0.625	0.1	-
DRB1i	-	-	-	-	-	-	-	-	-	-	0.1	-
DRB1j	-	-	-	-	-	-	-	-	-	-	0.1	-
DRB1k	-	-	-	-	-	-	-	-	-	-	-	0.062
DRB11	-	-	-	-	-	-	-	-	-	-	-	0.062
DRB1m	-	-	-	-	-	0.05	0.1	-	-	-	-	-
DRB1n	-	-	-	-	-	-	0.1	-	-	-	-	-
DRB10	-	-	-	-	-	-	-	-	-	-	-	0.537
DRB1p	-	-	-	-	-	-	-	-	-	-	-	0.125
DRB1q	-	-	-	-	-	-	-	-	-	-	-	0.125
DRB1r	-	-	-	-	-	-	-	-	-	-	-	0.062
DRB1s	-	-	-	-	-	-	-	-	-	-	-	0.062
DRB2a	1.0	1.0	1.0	1.0	1.0	1.0	1.0	0.9	1.0	1.0	0.85	0.75
DRB2b	-	-	-	-	-	-	-	0.1	-	-	0.15	-
DRB2c	-	-	-	-	-	-	-	-	-	-	-	0.125
DRB2d	-	-	-	-	-	-	-	-	-	-	-	0.062
DRB2e	-	-	-	-	-	-	-	-	-	-	-	0.062
Legend Table 3, N = Number of individuals genotyped; *, Belgian population; #, Italian population												

402 References

- Anisimova M, Gascuel O (2006) Approximate likelihood ratio test for branches: A fast, accurate and powerful
 alternative. Systematic Biology 55:539-552
- Babik W, Durka W, Radwan J (2005) Sequence diversity of the MHC DRB gene in the Eurasian beaver (Castor fiber). Mol Ecol 14: 4249–4257.
- Barratt EM, Gurnell J, Malarky G, Deaville R, Bruford MW (1999) Genetic structure of fragmented populations
 of red squirrel (*Sciurus vulgaris*) in the UK. Mol Ecol 8:55-63
- Barreiro LB, Quintana-Murci L (2010) From evolutionary genetics to human immunology: how selection
 shapes host defense genes. Nature Rev Genet 11:17-30
- Bernatchez L, Landry C (2003) MHC studies in nonmodel vertebrates: what have we learned about natural
 selection in 15 years? J Evol Biol 16:363-377
- Bertolino S, Lurz PWW, Sanderson R, Rushton SP (2008) Predicting the spread of the American grey squirrel
 (*Sciurus carolinensis*) in Europe: a call for a co-ordinated European approach. Biological Conservation
 141:2564-2575
- Bertolino S, Cordero di Montezemolo N, Wauters LA, Martinoli A (2014) A grey future for Europe: *Sciurus carolinensis* is replacing red squirrels in Italy. Biological Invasions, 16:53-62
- Biedrzycka A, Radwan J (2008) Population fragmentation and major histocompatibility complex variation in the
 spotted suslik, *Spermophilus suslicus*. Mol Ecol 17:4801-4811
- Brown JH, Jardetzky TS, Gorga JC, Stern LJ, Urban RG, Strominger JL, Wiley DC (1993) Three-dimensional
 structure of the human class II histocompatibility antigen HLA-DR1. Nature 364:33-39
- Bjorkman PJ, Saper MA, Samraoui B, Bennett WS, Strominger JL, Wiley DC (1987) Structure of human class I
 histocompatibility antigen. Nature, 329:506-512
- 424 Charlesworth D, Willis JH (2009) The genetics of inbreeding depression. Nature Rev Genet 10:783-796
- Cížková D, Gouy de Bellocq J, Baird SJ, Piálek J, Bryja J (2011) Genetic structure and contrasting selection
 pattern at two major histocompatibility complex genes in wild house mouse populations. Heredity
 106:727-740
- 428 Darby AC, McInnes CJ, Kjær KH Wood AR, Hughes M, Martensen PM, Radford AD, Hall N, Chantrey J
 429 (2014) Novel Host-Related Virulence Factors Are Encoded by Squirrelpox Virus, the Main Causative
 430 Agent of Epidemic Disease in Red Squirrels in the UK. PLoS ONE 9:e96439
- Ellegren H, Hartman G, Johansson M, Andersson L (1993) Major histocompatibility complex monomorphism
 and low-levels of DNA fingerprinting variability in a reintroduced and rapidly expanding population of
 beavers. Proc Natl Acad Sci USA 90:8150-8153
- 434 Everest DJ, Shuttleworth CM, Stidworthy MF, Grierson SS, Duff JP, Kenward RE (2014) Adenovirus: an
 435 emerging factor in red squirrel *Sciurus vulgaris* conservation. Mammal Rev 44:225-233
- 436 Frankham R, Ralls K (1998) Inbreeding leads to extinction. Nature 392:441-442
- 437 Germain RN, Margulies DH (1993) The biochemistry and cell biology of antigen processing and presentation.
 438 Annu Rev Immunol 11:403-450
- Grill A, Amori G, Aloise G, Lisi I, Tosi G, Wauters LA, Randi E (2009) Molecular phylogeography of
 European *Sciurus vulgaris*: refuge within refugia? Mol Ecol 18:2687-2699

- Gurnell J, Clark MJ, Lurz PWW, Shirley MDF, Rushton SP (2002) Conserving red squirrels (Sciurus vulgaris):
 mapping and forecasting habitat suitability using a Geographic Information Systems Approach.
 Biological Conservation 105:53-64
- 444 Gurnell J, Wauters LA, Lurz PW, Tosi G (2004) Alien species and interspecific competition: effects of 445 introduced eastern grey squirrels on red squirrel population dynamics J Anim Ecol 73:26-35
- Hale ML, Lurz PWW, Shirley MDF, Rushton S, Fuller RM, Wolff K (2001) Impact of Landscape management
 on the genetic structure of red squirrel populations. Science 293:2246-2248
- Hale M.L, Lurz PWW, Wolff K (2004) Patterns of genetic diversity in the red squirrel: footprints of
 biogeographic history and artificial introductions. *Conservation Genetics* 5:167-179
- Harris S, Morris P, Wray S (1995) A Review of British Mammals: Population Estimates and Conservation
 Status of British Mammals Other than Cetaceans. Report to the Joint Nature Conservation Committee,
 Peterborough, UK
- Horton R, Wilming L, Rand V Lovering RC, Bruford EA, Khodiyar VK, Lush MJ, Povey S, Talbot CC Jr,
 Wright MW, Wain HM, Trowsdale J, Ziegler A, Beck S (2004) Gene map of the extended human
 MHC. Nature Rev Genet 5:889-899
- Hughes AL, Yeager M (1998) Natural selection at major histocompatibility complex loci of vertebrates. Annu
 Rev Genet 32:415-434
- Hughes AL, Nei M (1989) Nucleotide substitution at major histocompatibility complex class II loci: Evidence
 for overdominant selection. Proc Natl Acad Sci USA 86:948-962
- Jukes TH, Cantor CR (1969) Evolution of protein molecules In *Mammalian protein metabolism III* (HN Munro,
 ed), Academic Press, New York: 21-132
- 462 Keller L, Waller D (2002) Inbreeding effects in wild populations. Trends in Ecology and Evolution 17:230-241
- Kenward RE, Holm JL (1993) On the replacement of the red squirrel in Britain: a phytotoxic explanation. Proc
 R Soc B- Biolog Sci 251:187-194
- Klein J, Bontrop RE, Dawkins RL Erlich HA, Gyllensten UB, Heise ER, Jones PP, Parham P, Wakeland EK,
 Watkins DI (1990) Nomenclature for the major histocompatibility complexes of different species: a
 proposal. Immunogenetics, 31:217-219
- 468 Kumanovics A, Takada, T, Lindahl, KF (2003) Genomic organization of the mammalian MHC. Annu Rev
 469 Immunol 21:629-657
- 470 La-Rose JP, Meredith AL, Everest DJ, Fiegna C, McInnes CJ, Shaw DJ, Milne EM (2010) Epidemiological and
 471 postmortem findings in 262 red squirrels (*Sciurus vulgaris*) in Scotland, 2005 to 2009. Vet Rec
 472 167:297-302
- 473 Mainguy J, Worley K, Cote SD (2007) Low MHC *DRB* class II diversity in the mountain goat: past bottlenecks
 474 and possible role of pathogens and parasites. Conservation Genet 8:885–891
- 475 Martinoli A, Bertolino B, Preatoni DG Balduzzi A, Marsan A, Genovesi P, Tosi G, Wauters LA (2010)
 476 Headcount 2010: the multiplication of the grey squirrel populations introduced in Italy. *Hystrix* Italian J
 477 of Mammalogy 21:127-136
- 478 Meredith A, Del Pozo J, Smith S, Milne E, Stevenson K, McLuckie J (2014) Leprosy in red squirrels in
 479 Scotland. Vet Rec 175:285-286
- 480 Meyer D, Thomson G (2001) How selection shapes variation on the human major histocompatibility complex: a
 481 review. Annals of Human Genet 65:1-26

- 482 McInnes CJ, Wood AR, Thomas K, Sainsbury AW, Gurnell J, Dein FJ, Nettleton PF (2006) Genomic
 483 characterization of a novel poxvirus contributing to the decline of the red squirrel (*Sciurus vulgaris*) in
 484 the UK. J Gen Virol 87:2115-2125
- 485 Middleton A.D (1930) Ecology of the American gray squirrel in the British Isles. Proc Zool Soc Lond, 100:809–
 486 843
- 487 Mikko S, Andersson L (1995) Low major histocompatibility complex class-II diversity in European and North 488 American moose. Proc Natl Acad Sci USA 92:4259-4263
- 489 Milne I, Lindner D, Bayer M, Husmeier D, McGuire G, Marshall DF, Wright F (2008) TOPALi v2: a rich graphical interface for evolutionary analyses of multiple alignments on HPC clusters and multi-core desktops. Bioinformatics 25:126-127
- 492 O'Brien SJ, Roelke ME, Marker L, Newman A, Winkler CA, Meltzer D, Colly L, Evermann JF, Bush M, Wildt
 493 DE (1985) Genetic basis for species vulnerability in the cheetah. Science 227:1428-1434
- 494 Osborne AJ, Pearson J, Negro SS, Chilvers BL, Kennedy MA, Gemmell NJ (2015) Heterozygote advantage at
 495 MHC *DRB* may influence response to infectious disease epizootics. Mol Ecol 24:1419-1432
- 496 Radwan J, Biedrzyck A, Babik, W (2010) Does reduced MHC diversity decrease viability of vertebrate
 497 populations? Biological Conservation 143:537-544
- 498 Reche PA, Reinherz EL (2003) Sequence variability analysis of human class I and class II MHC molecules:
 499 functional and structural correlates of amino acid polymorphisms. J Mol Biol 331:623-641
- Ricanova S, Bryja J, Cosson J-F Gedeon C, Choleva LS Ambros M, Sedlacek F (2011) Depleted genetic
 variation of the European ground squirrel in Central Europe in both microsatellites and the major
 histocompatibility complex gene: implications for conservation. Conservation Genet 12:1115-1129
- Robinson J, Halliwell JA, McWilliam H, Lopez R, Marsh SG (2013) IPD the Immuno Polymorphism
 Database. Nucleic Acids Res 41:D1234-1240
- Rushton SP, Lurz PW, Gurnell J, Nettleton P, Bruemmer C, Shirley MD, Sainsbury AW (2006) Disease threats
 posed by alien species: the role of a poxvirus in the decline of the native red squirrel in Britain.
 Epidemiol Infect 134:521-533
- Sainsbury AW, Adair B, Graham D (2001) Isolation of a novel adenovirus associated with splenitis, diarrhoea,
 and mortality in translocated red squirrels. *Sciurus vulgaris*. Verhandlungsberichte über Erkrankungen
 der Zootiere 40:265-270
- Sainsbury AW, Nettleton P, Gilray J Gurnell J (2000) Grey squirrels have a high seroprevalence to a parapoxvirus associated with deaths in red squirrels. Animal Conservation 3:229-233.
- 513 Shorten M. (1954) Squirrels. Collins, London
- Shuttleworth CM, Everest DJ, McInnes CJ, Greenwood A, Jackson NL, Rushton S, Kenward RE (2014) Inter specific viral infections: Can the management of captive red squirrel collections help inform scientific
 research? Hystrix, the Italian J Mammalogy 25:18-24
- Siddle HV, Kreiss A, Eldridge MD, Noonan E, Clarke CJ, Pyecroft S, Woods GM, Belov K (2007)
 Transmission of a fatal clonal tumor by biting occurs due to depleted MHC diversity in a threatened
 carnivorous marsupial. Proc Natl Acad Sci USA 104:6221-16226
- Signorile AL, Wang J, Lurz PWW, Bertolino S, Carbone C, Reuman DC (2014) Do founder size, genetic
 diversity and structure influence rates of expansion of North American grey squirrels in Europe?
 Diversity Distrib 20:918-930

- Simpson VR, Birtles RJ, Bown KJ, Panciera RJ, Butler H, Davison N (2006) *Hepatozoon* species infection in
 wild red squirrels (*Sciurus vulgaris*) on the Isle of Wight. Vet Rec 159:202-205
- Sommer S (2005) The importance of immune gene variability (MHC) in evolutionary ecology and conservation.
 Frontiers in Zool 2:1-18
- Tamura K, Stecher G, Peterson D, Filipski A, Kumar S (2013) MEGA6: Molecular Evolutionary Genetics
 Analysis version 6.0. Mol Biol Evol 30:2725-2729
- Thomas K, Tompkins D, Sainsbury A, Wood AR, Dalziel R, Nettleton PF, McInnes CJ (2003) A novel poxvirus
 lethal to red squirrels (*Sciurus vulgaris*). J Gen Virol 84:3337-3341
- Tompkins D, Sainsbury AW, Nettleton P, Buxton D, Gurnell J (2002) Parapoxvirus causes a deleterious disease
 of red squirrels associated with UK population declines. Proc R Soc Lond 269:529-533
- 533 Trowsdale J (2011) The MHC, disease and selection. Immunol Letters 137:1-8
- Wauters LA, Gurnell J (1999) The mechanism of replacement of red squirrels by grey squirrels: A test of the
 interference competition hypothesis. Ethology 105:1053-1071