

1 **Suprathermal electron penetration into the inner magnetosphere of Saturn**

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13 Key Points:

14 • In Saturn's inner magnetosphere, the hot-electron population largely disappears

15 inside of $L=L_c$.

16 • L_c varies greatly from pass to pass on timescales of 10 h or less.

17 • 90% of L_c values lie between 4.7 and 8.4, with median of 6.2.

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21 Abstract

22 For most Cassini passes through the inner magnetosphere of Saturn, the hot-electron
23 population ($>$ few hundred eV) largely disappears inside of some cut-off L-shell. Anode-
24 and-actuation-angle averages of hot-electron fluxes observed by the Cassini Electron
25 Spectrometer (ELS) are binned into $0.1-R_s$ bins in dipole L to explore the properties of
26 this cutoff distance. The cut-off L-shell is quite variable from pass to pass (on time scales
27 as short as 10-20 h). At energies of 5797 eV, 2054 eV, and 728 eV, 90% of the inner
28 boundary values lie between $L \sim 4.7$ and 8.4, with a median near $L=6.2$, consistent with
29 the range of L values over which discrete interchange injections have been observed, thus
30 strengthening the case that the interchange process is responsible for delivering the bulk
31 of the hot electrons seen in the inner magnetosphere. The occurrence distribution of the
32 inner boundary is more sharply peaked on the night side than at other local times. There
33 is no apparent dependence of the depth of penetration on large-scale solar wind
34 properties. It appears likely that internal processes (magnetic stress on mass-loaded flux
35 tubes) are dominating the injection of hot electrons into the inner magnetosphere.

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37 Index Terms: 2756, 2730, 2740, 2764

38 Key Words: Saturn's magnetosphere, interchange injections

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42 1. Introduction

43 The plasma content of the inner magnetosphere of Saturn (inside of $L \sim 10$, where
44 L is the equatorial crossing point in R_s of a dipole magnetic field line) is a combination of
45 cool, dense plasma that originated in water gas and ice emitted by the moon Enceladus,
46 extremely high-energy radiation belt particles, and a suprathermal population that exists
47 in the energy range between the dense plasma and the high-energy particles. The
48 suprathermal population, which is presumably the source for the radiation belts, appears
49 to originate in the outer magnetosphere, perhaps by processes associated with magnetic
50 reconnection in the magnetotail. The electron portion of this population shows evidence
51 of roughly adiabatic transport from beyond $L \sim 11$ inward [Rymer et al., 2008].

52 The most well-established transport mechanism in this radial range is the
53 centrifugally-driven interchange instability, which has been identified as an important
54 process moving cold, inner-magnetosphere plasma outward and hot, outer-magnetosphere
55 material inward to replace it. Numerous studies have examined the properties of discrete
56 flux tubes or flow channels identified as the inflow elements of the interchange
57 instability. In particular, the radial distribution of the occurrence of discrete interchange
58 signatures indicates the depth in the magnetosphere to which interchange can deliver hot
59 plasma [e.g., Hill et al., 2005; Chen and Hill, 2008; Kennelly et al., 2013]. Such discrete
60 injections are common, but surveys have found that clear, distinct events are relatively
61 infrequent, depending on the phenomenology used to identify them (~ 1 /hour [Chen and
62 Hill, 2008] to < 1 /day [Kennelly et al., 2013]). More often, the suprathermal electron
63 population is more continuous in time and space. Nevertheless, it is generally thought
64 [e.g., Rymer et al., 2008] that the suprathermal population in the inner magnetosphere is

65 the product of many interchange events, delivering hot plasma that subsequently drifts
66 and mixes azimuthally.

67 Figure 1a is an example of a rather typical inbound pass by the Cassini spacecraft
68 through the inner magnetosphere on 13 Feb 2010. The figure shows the color-coded
69 energy flux of electrons observed by the Electron Spectrometer (ELS), part of the Cassini
70 Plasma Spectrometer (CAPS) [Young et al., 2004], for 10.5 hours as Cassini traveled
71 from $L \sim 10$ to $L \sim 4.6$. Within this pass there are a few examples of discrete injections that
72 show the characteristic energy dispersion analyzed by Hill et al. [2005] and Chen and
73 Hill [2008] (point 1, marked below the time axis). There are also a few examples of the
74 very recent injections described by Burch et al. [2005], which show little energy
75 dispersion and are characterized by an absence of electrons at thermal energies (point 2,
76 also marked below the time axis). In addition to those, there is a general suprathermal
77 continuum, with temporal structure on the same scale as the identifiable injections.

78 In Figure 1a there is also a fairly sharp cutoff in the suprathermal population after
79 ~ 0800 UT ($L \sim 7$). This sharp drop in the intensity of the hot electrons has been noted
80 previously [e.g., Rymer et al., 2007; Schippers et al., 2008]. Rymer et al. [2007]
81 attributed it to enhanced losses (energy loss in collisions with neutrals and/or pitch-angle
82 scattering into the atmospheric loss cone) at lower L values. However, they also
83 mentioned that the inner edge of the hot electron population may be due to transport
84 effects; they suggested that the observed energy dependence of this hot-electron cutoff
85 [Rymer et al., 2007] may be due to the faster azimuthal drift out of the injected flux tubes
86 by more-energetic particles [see also Burch et al., 2005; Paranicas et al., 2016].

87 Another noteworthy feature apparent in Figure 1a is seen beginning around 1140
88 UT, when the energy flux appears to increase uniformly across all energy channels above
89 ~ 20 eV. Rather than true electron fluxes in the ELS energy range, this is the signature of
90 background caused by penetrating radiation-belt particles, both electrons with energies
91 above about 1 MeV and ions with energies of 10's of MeV. In the vast majority of
92 Cassini's passes through the inner magnetosphere, there is a clear gap between the inner
93 edge of the hot-electron population and the onset of significant penetrating background so
94 that the presence of the background does not affect our ability to identify the inner edge.
95 We will return to this point below.

96 A different pass through the inner magnetosphere is illustrated in Figure 1b. This
97 pass, on 20 March 2010, occurred two orbits after the one shown in Figure 1a, under very
98 similar orbital conditions. Both passes were at very low latitudes near midnight local
99 time. It is clear, however, that the hot electron population in the second event extends
100 much deeper into the inner magnetosphere, with the inner edge near $L=5.2$, compared to
101 $L\sim 7$ in Figure 1a. Moreover, the boundary is quite sharp, with very significant fluxes
102 dropping sharply to near zero in a short distance. It is unlikely that the neutral gas in the
103 inner magnetosphere has changed substantially between these two orbits, causing the
104 electron loss region to contract. It is also unlikely that such a distributed loss region
105 could produce a sudden sharp radial cutoff in the suprathermal population. Rather, we
106 find it more plausible that the inward transport has varied, delivering the hot population
107 deeper into the magnetosphere in the case of Figure 1b. In this interpretation, it is the
108 transport itself that governs the location of the inner edge of the hot electron population,
109 transport that may well vary temporally.

110 In this study, we explore the possibility that the inner edge of the hot-electron
111 population is the result of the depth of penetration of the inward transport process. In
112 particular, we examine the temporal and spatial variability of this cut-off L-shell,
113 compare it with the radial range over which discrete interchange injections are observed,
114 and explore its possible relationship to the radial extent of the radiation belts and to solar
115 wind properties. We find clear evidence that the inner edge varies significantly with
116 time: from orbit to orbit and even from inbound to outbound during a single pass through
117 the inner region, and we discuss the implications of this variability.

118

119 2. Instrumentation and Analysis

120 We use data from the CAPS/ELS, as illustrated in Figure 1 [Coates et al., 1996;
121 Linder et al., 1998; Young et al., 2004; Lewis et al., 2008]. Briefly, CAPS/ELS is a top-
122 hat hemispherical electrostatic analyzer covering the energy range of 0.58-26,000 eV in
123 63 logarithmically spaced energy channels, with one energy sweep every 2 s. The
124 analyzer comprises 8 anodes, each with an angular field of view (FOV) of $20^\circ \times 5^\circ$.
125 Because Cassini is a non-spinning spacecraft, the FOV is swept across the sky by the
126 rotation of an actuator that can nominally scan $\pm 104^\circ$, providing coverage of 56% of the
127 full 4π solid angle. Combined with simultaneous magnetometer measurements, it is thus
128 possible for ELS to provide information about the nature of the electron pitch angle
129 distribution. For the present study, however, we use fluxes averaged over all 8 detectors
130 and over 16 consecutive energy sweeps, which comprise a so-called A-cycle of data, thus
131 approximating an omnidirectional average.

132 From data files available from the Planetary Data System, we follow the
133 prescription in Section 9.3.4 of the CAPS_PDS_USER_GUIDE [Wilson et al., 2012] to
134 convert raw ELS counts C_{lmn} for each energy (l), azimuth (m), and polar angle (n) in a
135 given A-cycle to number flux j_{lmn} using the expression

$$136 \quad j_{lmn} = \frac{C_{lmn}}{S_n G_{ln} E_l \tau} \quad (1)$$

137 where C_{lmn} are the counts in a particular channel; S_n is a scale factor that depends on the
138 anode and the microchannel-plate high voltage level; G_{ln} is the geometric factor
139 (including the efficiency), which depends on the anode and the energy level; E_l is the
140 energy; and τ is the accumulation time for a single measurement (0.0234375 s). The
141 values of the various parameters in Equation 1 can be found in the
142 CAPS_PDS_USER_GUIDE.

143 As mentioned above, the individual fluxes (Eq. 1) are then averaged over all
144 anodes and all azimuths in an A-cycle to produce an A-cycle averaged flux spectrum
145 which is then merged with ephemeris data and further averaged into L bins of width
146 $\Delta L=0.1$. A set of L bins between L=4 and L=12 is accumulated for each half-orbit
147 (inbound or outbound) of Cassini data, providing a basic data set of bin-averaged fluxes
148 in 80 L bins x 63 energies x 336 half-orbits, covering the intervals when CAPS was
149 operating between Saturn Orbital Insertion (1 Jul 2004) and the last perigee pass before
150 the end of CAPS data (20 May 2012).

151 For each half-orbit in this basic data set, we identify the innermost extent of the
152 hot-electron population by setting a simple threshold condition for the flux at each energy
153 level. We focus on energy levels 12, 18, and 24 (corresponding to electron energies of
154 5797 eV, 2054 eV, and 728 eV, respectively), which are representative of the

155 suprathermal population and typically show clear flux enhancements when that
156 population is present (c.f., Figure 1). Starting at a low L bin (described in the next
157 paragraph) and working outward, we identify the first bin where the flux exceeds the
158 threshold for that energy level.

159 To avoid false identifications of the inner hot-electron boundary caused by
160 penetrating radiation, the region of significant background contamination must first be
161 identified before the search for the inner edge of the hot electrons can be conducted.
162 Thus, the first step in the search is to find the outermost L shell where the penetrating
163 radiation has significant levels. To do this, we use the highest-energy ELS channel,
164 which typically has very few ambient electrons deep in the magnetosphere (c.f., Figure 1)
165 and for which the count rate is thus dominated by penetrating particles. Starting at the
166 lowest L bin and working outward, we identify the first bin where the “flux” in this
167 channel falls below a specified value. By trial and error, we find that an apparent flux of
168 $100 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ eV}^{-1}$ provides a good determination of where the penetrating background
169 becomes low enough to allow the suprathermal electrons to be seen, but the results from
170 using 50 or 150 are essentially the same. The search for the inner edge of the hot
171 electrons then begins from that L value and works its way outward.

172 Figure 2 shows the outer boundary of the penetrating radiation determined
173 according to the foregoing procedure. The figure shows the color-coded apparent flux in
174 energy channel 1, which at low L is actually dominated by the penetrating radiation (red
175 colors). The blue line at low L is the location where this “flux” falls below the threshold
176 of 100. It is apparent from Figure 2 that the intensity and extent of the penetrating
177 background in ELS does vary with time, usually rather slowly but occasionally fairly

178 sharply over just an orbit or so. In an analysis of the outer boundary of the >1 MeV
179 electron radiation belt, Roussos et al. [2014] found similar and even greater variability.
180 In the results and discussion sections below, the boundary identified by Roussos et al.
181 will be compared with the ELS penetrating boundary determined here.

182 As mentioned above, the inner edge of the hot plasma population is identified
183 using a simple threshold flux value for each energy channel. Because we are using 0.1 R_s
184 bins for the identification, the process discriminates against isolated injections that are
185 occasionally seen inward of the main hot population. Further, the location of the
186 identified edge is weakly dependent on the threshold flux that is used. Varying the
187 threshold provides a way of estimating the uncertainty in the determination. Figure 3
188 shows the results of applying three different thresholds to each of the three energy
189 channels 24, 18, and 12 (5797 eV, 2054 eV, and 728 eV, respectively). Figure 3a shows
190 the color-coded bin-averaged flux of electrons in channel 18, half-orbit by half-orbit, for
191 the first 50 half-orbits of the mission (1 July 2004 – 29 Apr 2006). Superimposed are the
192 outer edge of the penetrating background, as described above, and the inner edge of the
193 2054 eV population, for a threshold flux value of $37 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ eV}^{-1}$. Figure 3b shows
194 the color-coded inner edge derived for all three energy channels, offset slightly in half-
195 orbit number for clarity. The solid dots show the inner edge determined from the center
196 value of the three thresholds used, and the error bars show the range of edge
197 determinations associated with the lower and upper threshold employed. The nine
198 different thresholds are listed in Table 1.

199 Figure 3a reveals that the inner edge of the hot-electron fluxes is readily
200 discernible and quite variable from orbit to orbit. Further, the simple threshold

201 requirement apparently does a good job of identifying the inner edge, except where ELS
202 coverage does not extend inside of $L=5.6$, in which case we do not report an edge
203 location.

204 Figure 3b shows that varying the threshold does at times result in an uncertainty
205 in the derived edge value by $1 R_s$ or more, with lower thresholds resulting in lower edge
206 values. However, for most of the points the determination is well localized. The
207 variability in the determination over these 50 orbits is substantially greater than the
208 typical uncertainty in the measurements. For the full data set, the median differences
209 between the edge determined with the medium threshold and those determined by either
210 the high or low thresholds are $<0.2 R_s$ for all three energy levels, and the average
211 difference is $<0.5 R_s$.

212 Figure 3b also indicates that the edges determined on the basis of the three
213 different energy channels typically agree quite well with each other, especially when the
214 uncertainty in the determinations is low. This is partly due to the fact that we have
215 chosen the three thresholds for each channel such that over the entire data set the median
216 edge values for the low, medium, and high thresholds are statistically the same for the
217 three energy levels. But the point-to-point tracking of the three channels seen in Figure
218 3b shows that within this constraint, the determinations using those three channels do
219 agree quite well.

220 The horizontal bars in the two panels of Figure 1 show the ranges of the edges
221 that were determined for these specific passes, based on the thresholds in Table 1, and the
222 vertical dashed lines indicate the centroid of values obtained from the medium threshold

223 for all three channels. The dependence on the threshold is apparent, but the medium
224 threshold values do seem to identify the inner edge quite well.

225 Of the 336 half-orbits executed by Cassini between 1 Jul 2004 and 20 May 2012,
226 the above procedure identified (225, 218, 212) inner edge values for Channel 12, (226,
227 219, 215) for Channel 18, and (227, 222, 212) for Channel 24, where the three values in
228 each set correspond to the low, medium, and high threshold values listed in Table 1.
229 Most of the half-orbits for which an edge was not determined corresponded to times
230 when CAPS was off or not taking data inside of $L=5.6$. A few edges were not identified
231 because the thresholds were too high (as shown by the fact that successively higher
232 thresholds result in successively fewer determinations).

233

234 3. Results

235 Figure 4 is a statistical comparison of the outer edge of the penetrating
236 background derived from ELS data as described above and the outer edge of the >1 MeV
237 electron radiation belt determined by Roussos et al. [2014]. The principal difference
238 between them is that the outer boundary found by Roussos et al. is typically $\sim 2 R_s$ further
239 from Saturn than is the point where the ELS penetrating background falls below the
240 threshold we have stipulated. This is presumably just due to a different flux threshold
241 being adopted in the two studies; the MIMI instrument used by Roussos et al. is designed
242 to measure energetic particles and is thus more sensitive to them than is ELS.

243 Figure 5 shows a point-by-point comparison of the ELS-derived background edge
244 with the radiation belt boundary found by Roussos et al. [2014] for the years 2005
245 through 2010. To account for the different sensitivity of the two instruments, we have

246 simply offset the L range of the two measurements by $2 R_s$. The Roussos data are plotted
247 in blue according to the left-hand axis, while the ELS boundary is plotted in red
248 according to the right-hand axis. With the offset, it is easier to compare the temporal
249 variations of the two determinations.

250 While one could argue that some intervals in Figure 5 show similar trends in the
251 two derived outer boundaries, a detailed correspondence is far from obvious. Both show
252 evidence of variability from orbit to orbit, and the variability is generally greater in the >1
253 MeV electron boundary than in the ELS background (see also Figure 4). Nevertheless,
254 we find a weak correlation ($R=0.315$) between the two boundary determinations, which
255 for the 230 points in our analysis has a probability of only 10^{-6} of being random. We
256 return to this comparison in the discussion below.

257 In Figure 6 we turn to our primary objective, the inner edge of the hot-electron
258 population. That figure shows the inner edge determined using the medium thresholds
259 (Table 1) for all three energy channels (12, 18, 24), as described above, for the entire data
260 set. Figure 7 shows the statistics of the boundary determinations for all three thresholds,
261 for all three energy levels. From both Figures 6 and 7 it is apparent that there is large
262 variability in the depth of penetration of the hot electrons. At the medium thresholds,
263 $\sim 90\%$ of the inner boundaries of hot-electron penetration lie between $L \sim 4.7$ and 8.4 , with
264 a median near 6.2 .

265 Figure 6 shows that the variability is rapid, from orbit to orbit and even from
266 inbound to outbound on the same orbit. Figure 8 explores this variability in greater
267 detail. Shown there are distributions of values of ΔL , where ΔL is the difference in inner
268 edge determinations between each inbound pass and the subsequent outbound pass

269 (blue); between subsequent inbound passes (red); and between subsequent outbound
270 passes (green) for the entire data set. We have used the edge determinations from
271 Channel 18, with the medium threshold from Table 1. Superimposed on these
272 distributions, in light dashed lines, are several distributions derived by taking the
273 observed set of edge values and reordering it randomly before calculating the difference
274 between two consecutive values. If there were persistence in the edge values from pass
275 to pass, one would expect the distribution of ΔL values to be narrower than for a random
276 arrangement of the values. Figure 8 shows that the distributions of observed pass-to-pass
277 changes are only slightly narrower than the reordered distributions, if at all. Thus, while
278 there may be some very weak repeatability in the observed inner edge, each observed
279 value is largely unrelated to the previous value. This contrasts with the situation found
280 for the outer boundary of the radiation-belt electrons, which shows clear temporal
281 persistence on the timescale of inbound to outbound passes [Fig. 9b of Roussos et al.,
282 2014].

283 It has been noted previously [DeJong et al., 2010] that the flux of electrons in the
284 energy range 12-100 eV is enhanced in the presence of hot, injected electrons and that
285 this flux enhancement extends inward closer to Saturn on the night side than on the day
286 side. One might thus expect a day-night asymmetry in the properties of the hot electrons
287 as well. Figure 9 shows a sequence of energy-time spectrograms of ELS energy flux for
288 all the passages through the inner magnetosphere during 2010, during which time the
289 inbound passes all occurred between LT~22 and LT~3, whereas the outbound passes all
290 occurred between LT~10 and LT~16. For each passage through the inner region
291 ($4.5 < L < 10$), two spectrograms are shown: The upper one in each set is the inbound

292 (nightside) pass, and the lower one is the outbound (dayside) pass. The inbound passes
293 are all time-reversed so that L increases from right to left for both passes, enabling more
294 direct inbound/outbound comparisons.

295 In Figure 9, the variability in the depth of penetration of hot electrons emphasized
296 above is clearly visible. There are major differences from orbit to orbit and from inbound
297 to outbound, which are separated by only ~10-20 hours. Moreover, there does appear to
298 be a day/night difference in the appearance of the hot-electron population, with the
299 nightside population often more robust than the dayside one. Indeed, there are a few
300 passes (e.g., 13-14 Aug) where the dayside hot electrons seem almost entirely absent.

301 Figure 10 shows the inner edge determinations from Channel 18 with the medium
302 threshold for all of the inbound and outbound passes in 2010. While there are several
303 exceptions, the inner edge on the outbound (dayside pass) does typically seem to be
304 further from Saturn than on the inbound (nightside). The three dashed vertical lines
305 indicate passes where the dayside fluxes were so low that no inner edge was found.

306 To explore further a possible local time dependence of the depth of penetration of
307 the hot electrons, Figure 11 shows, for four different local time ranges, the occurrence
308 distribution of the inner edge of the Channel 18 electron fluxes, determined using the
309 medium threshold of Table 2. The large majority of determinations in our data set fall in
310 the nightside range (21-03 LT), so the distributions for the other LT ranges do not have
311 good statistics, but it does appear that there is a significant difference in the typical
312 locations of the inner edge on the dayside compared to the night side. Relative to the
313 night side, there are substantially more dayside boundaries at larger L values and many

314 fewer in the range $5 < L < 7$. There are too few measurements in the dawn and dusk sectors
315 to draw conclusions for those.

316 Finally, we wish to examine the possibility that conditions in the solar wind have
317 some control over the depth of penetration of the hot electrons into Saturn's inner
318 magnetosphere. At the Earth, it is well known that solar wind properties (especially the
319 north-south component of the interplanetary magnetic field and the solar wind velocity)
320 affect the strength of the convection that brings plasma-sheet material in close to the
321 Earth. At Saturn there is now evidence that under conditions of high solar wind dynamic
322 pressure the solar wind may have an important influence on magnetotail dynamics
323 [Thomsen et al., 2015], which may control the injection of outer-magnetosphere material
324 into the inner region.

325 At Saturn, of course, there is no upstream solar wind monitor to show exactly
326 what the input conditions are to the magnetosphere, but we can estimate the upstream
327 solar wind plasma properties with the University of Michigan mSWIM 1.5-D MHD
328 model, with solar wind conditions as observed at 1 AU as a boundary condition [Zieger
329 and Hansen, 2008]. The mSWIM predictions of solar wind properties are publicly
330 available on the University of Michigan web site (<http://mswim.engin.umich.edu/>).
331 Although the model does not reliably predict the magnetic field orientation, it has been
332 shown to do a reasonably good job of estimating the solar wind density and flow speed,
333 with a fidelity that depends on the relative alignment of Earth and Saturn and on the
334 nature of the solar wind environment [see Zieger and Hansen, 2008, for details]. Figure
335 12 shows 100 days of mSWIM predictions at Saturn (from 21 Sep 2007 to 31 Dec 2007)
336 compared with ELS determinations of the penetration distance of the hot electrons. The

337 top two panels show the modeled solar wind speed and dynamic pressure and illustrate
338 well the recurrent stream structure that characterized the solar wind at Saturn during this
339 phase of the solar cycle. The stippled regions indicate Cassini periapsis passes, and the
340 bottom panel shows the inner electron boundary for the three energy channels (12, 18,
341 and 24), determined using the medium flux thresholds in Table 1, with the error bars
342 giving the range that results from using the low and high thresholds.

343 The first three periapsis passes in Figure 12 occurred during the declining phase
344 of solar wind speed enhancements, in regions of low dynamic pressure. The fourth
345 periapsis pass occurred during a period when the dynamic pressure was almost two
346 orders of magnitude higher than in the earlier low-dynamic-pressure intervals. The fifth
347 periapsis pass occurred during a transition from low to high dynamic pressure. In spite of
348 the large difference in ambient dynamic pressure during these periapsis passes, there is no
349 clearly discernible difference in the penetration distance of the hot electrons. The inner
350 boundary during the high dynamic-pressure interval is not particularly higher or lower
351 than in the previous low dynamic-pressure intervals.

352 In Figure 13, the relationship between the penetration distance for Channel 18
353 (medium threshold) and the solar wind speed and dynamic pressure is examined for the
354 entire date set. Each data point shows the mSWIM-predicted V_{sw} or P_d at the time of
355 the periapsis pass, with error bars showing the range of estimated values during the
356 preceding and following 24 hours. The two left-hand panels show the results for the full
357 data set, and the right-hand panels show only the upper and lower quartiles of the solar
358 wind parameters. It is clear from this figure that the range of penetration L values is
359 basically independent of the solar wind speed and dynamic pressure.

360

361 4. Discussion

362 For most Cassini passes through the inner magnetosphere of Saturn, the hot-
363 electron population largely disappears inside of some cut-off L-shell. The cut-off L-shell
364 is quite variable from pass to pass, but it typically lies outside (at larger L than) the region
365 of penetrating background in ELS, enabling our simple threshold-based algorithm to
366 identify the hot-electron cutoff distance in each pass.

367 The outer edge of the penetrating background in ELS generally lies $\sim 2 R_s$ inward
368 of what Roussos et al. [2014] have identified as the outer boundary of the >1 MeV
369 electron population, and their boundary exhibits greater variability than ours. There are
370 times when the excursions in the two boundaries appear to track each other, at least in the
371 sign of the change, but many other times when they do not. It is worth noting that the
372 ELS penetrating background is produced by a combination of energetic electrons (>1
373 MeV) and trapped protons (probably $>$ several 10s of MeV). Studies of data from the
374 Cassini MIMI instrument have shown that the proton radiation belt is rather stable,
375 whereas the electron belt is more variable [Roussos et al., 2011, 2014, and references
376 therein]. The proton belt extends out to $L \sim 5$ and may thus be dominating the penetrating
377 background in ELS much of the time, with radiation-belt electrons contributing the small
378 element of variability to the background. The relative contribution of energetic protons
379 and energetic electrons to the ELS background is beyond the scope of the present study,
380 and the important fact for our current purposes is that the background does not prevent us
381 from identifying the inner edge of the hot-electron penetration.

382 In identifying the inner boundary of the hot electrons, we have used a simple
383 fixed threshold for each energy channel. We have made no attempt to correct the fluxes
384 for the latitude of the spacecraft at each measurement point as was done by Roussos et al.
385 [2014]. The main reason is that, unlike the high-energy radiation belt particles studied by
386 Roussos et al., the pitch-angle distributions of hot electrons are not always peaked in the
387 perpendicular direction [e.g., Schippers et al., 2008; Rymer et al., 2007; Clark et al.,
388 2014], so a universal correction factor is not applicable and might even be counter-
389 productive in times of non-pancake distributions. Rymer et al. [2007] argued that the
390 observed pitch-angle distributions in the CAPS energy range suggested efficient pitch-
391 angle scattering. At higher E (>20 keV) Clark found ~80% pancake, but still rather flat.
392 Therefore, we expect a rather weak latitude dependence of the fluxes, and for simplicity
393 we have adopted a single threshold. In practice, for some high-latitude passes we do see
394 lower fluxes, which in some cases never exceed our threshold, so no cutoff L is found.
395 However, the statistics for 2008 (high latitude) vs 2005 and 2010 (low latitude) do not
396 show any systematic offsets.

397 At higher electron energies, in the MIMI range, Rymer et al. [2007] found a clear
398 energy dependence to the radial location of the sharp drop-off of the phase space density
399 at low L values, with higher energies having a drop-off at higher L values. They
400 hypothesized that this is due either to precipitation losses in the inner region (strong pitch
401 angle scattering is faster for higher-energy particles) or to the tendency for more-
402 energetic particles to gradient-drift out of an injection channel before it reaches its
403 innermost extent [see also Paranicas et al., 2016]. At the ELS energies we have studied,
404 this energy dependence is likely to be quite weak and in fact is not apparent in our results.

405 In general, all three energy levels show similar trends from pass to pass. As might be
406 expected, the derived boundary locations do depend somewhat on the exact value of the
407 threshold flux that is used in the analysis (Table 1), but again the trends are similar, and
408 we have used the variation with respect to the threshold value as a measure of the
409 uncertainty in the derived boundary location.

410 At the medium thresholds for all three channels, 90% of the inner boundary
411 values lie between $L \sim 4.7$ and 8.4, with a median near $L=6.2$. The depth of penetration of
412 hot electrons is therefore consistent with the range of L values over which discrete
413 interchange injections have been observed [e.g., Hill et al., 2005; Chen and Hill, 2008;
414 Kennelly et al., 2013], strengthening the case that the interchange process is responsible
415 for delivering the bulk of the hot electrons seen in the inner magnetosphere.

416 The penetration distance can vary dramatically from pass to pass, including
417 between inbound and outbound passes on the same orbit (with a time separation of ~ 10 -
418 20 hours). Unlike the outer boundary of the radiation-belt electrons determined by
419 Roussos et al. [2014], there is no more coherence between subsequent passes (inbound to
420 outbound, inbound to inbound, outbound to outbound) than between a random sampling
421 of passes. Thus, the penetration distance apparently changes on time scales too short for
422 Cassini to measure (\sim few hours). We suggest that these time scales may reflect the
423 time between successive bursts of interchange motions, perhaps triggered by tail
424 reconnection episodes as Saturn sheds internally produced plasma down the tail and into
425 the solar wind.

426 Most of our determinations are from the midnight quadrant, where the occurrence
427 clearly peaks near $L \sim 5.5-6$. In other local time sectors, the occurrence distribution is

428 broader, and especially in the noon quadrant there is a significantly higher percentage of
429 boundaries found between $L \sim 7.5$ and 9.5 (Figure 11). For the low-latitude passes of
430 2010, which were inbound near midnight local time and outbound near noon, most of the
431 midnight passes show deeper penetration than the noon passes (Figure 10). A night-to-
432 day outward radial displacement $\sim 0.2-1 R_s$ might be expected in the L range $\sim 5-6$ due to
433 the existence of the noon-to-midnight electric field inferred to exist within the inner
434 magnetosphere [c.f., Thomsen et al., 2012; Wilson et al., 2013; and references therein],
435 but the occurrence distributions in Figure 11 do not exhibit a straightforward outward
436 shift from midnight to noon. Indeed, there remain numerous dayside passes where the
437 boundary is found at values as low as $L \sim 4.5-5$. Interestingly, Figures 13 and 14 of
438 Thomsen et al. [2012] suggest that outward displacements associated with the noon-to-
439 midnight electric field may be greatly diminished inside of $L \sim 5$, so that penetrations to
440 very low L values may not be much displaced during drift to the opposite local time
441 sector, potentially accounting for the two-peaked distribution seen in Figure 11.

442 As seen in Figure 9, there also appears to be a day/night difference in the
443 appearance of the hot-electron population, with the nightside population often more
444 robust than the dayside one. This is in agreement with previous analyses [e.g., DeJong et
445 al., 2010] and may suggest that the initial hot-plasma injections occur dominantly on the
446 night side, gradually decaying as they are carried around to the dayside. However, there
447 remains a lack of consensus regarding the local time of origin of discrete injection events
448 [e.g., Chen and Hill, 2008; Kennelly et al., 2013], particularly since such studies have so
449 far not taken into account the radial transport times of the injections [Paranicas et al.,
450 2016]. This question merits further study.

451 Using mSWIM predictions to estimate the solar wind properties, we find that
452 during several episodes of fairly prolonged (~10-15 d) low or high solar-wind pressure,
453 there was no clearly discernible difference in the penetration distance of the hot electrons.
454 The inner boundary during the high dynamic-pressure interval was not particularly higher
455 or lower than in the previous low dynamic-pressure intervals, suggesting no strong
456 dependence on what the solar wind was doing. Within a +/- 1d arrival window, there is
457 no detectable correlation between the penetration distance and solar wind speed or
458 dynamic pressure. It thus appears that internal dynamics such as the release of mass-
459 loaded flux tubes are more likely responsible than solar wind variations in determining
460 how deep in the magnetosphere hot plasma will be injected.

461

462 5. Conclusions

463 We have used anode-and-actuation-angle averages of hot-electron fluxes observed
464 by CAPS/ELS and binned into 0.1- R_s bins in dipole L to explore the inner edge of the
465 hot-electron population in Saturn's inner magnetosphere. The inner edge is almost
466 always outside the region of strong penetrating background in the ELS detector, so we
467 are able to determine the edge for most of Cassini's passes through the inner
468 magnetosphere.

469 At energies of 5797 eV, 2054 eV, and 728 eV, 90% of the inner boundary values
470 lie between $L \sim 4.7$ and 8.4, with a median near $L=6.2$, consistent with the range of L
471 values over which discrete interchange injections have been observed [e.g., Hill et al.,
472 2005; Chen and Hill, 2008; Kennelly et al., 2013], and thus strengthening the case that
473 the interchange process is responsible for delivering the bulk of the hot electrons seen in

474 the inner magnetosphere. The occurrence distribution of the inner boundary is more
475 sharply peaked on the night side than at other local times, perhaps as a consequence of
476 the noon-to-midnight global electric field that exists within the inner magnetosphere.

477 The strong pass-to-pass variability in the hot-electron boundary may reflect a
478 relatively short time between successive bursts of interchange motions, perhaps triggered
479 by tail reconnection episodes as Saturn sheds internally produced plasma down the tail.
480 There is no apparent dependence of the depth of penetration on large-scale solar wind
481 properties, further supporting the likelihood that internal processes (magnetic stress on
482 mass-loaded flux tubes) are dominating the injection of hot electrons into the inner
483 magnetosphere.

484

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493

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553
554

555 Table 1. Adopted Flux Threshold Values ($\text{cm}^{-2} \text{s}^{-1} \text{sr}^{-1} \text{eV}^{-1}$)

	Channel 12 (5797 eV)	Channel 18 (2054 eV)	Channel 24 (728 eV)
Low	14	21	27
Medium	25	37	50
High	40	60	90

556

557

558

559 Figures

560

561 Figure 1. Color-coded electron count rate (proportional to energy flux) as a function of
562 energy and time for intervals on a) 13 February 2010 and b) 20 March 2010. As Cassini
563 moves inward toward Saturn, the intensity of the hot electron population (>100 eV) drops
564 sharply at an inner boundary marked by the dashed vertical lines. The horizontal lines
565 show the range of boundary locations at three different energy levels, identified based on
566 the flux thresholds in Table 1. Points 1 and 2 marked below the time axis in a) indicate
567 times when dispersed and undispersed, respectively, discrete injections can be seen.

568

569 Figure 2. Apparent number flux in ELS energy channel 1 (nominally 26 keV) as a
570 function of L and half-orbit number for all CAPS data (1 July 2004 – 20 May 2012). The
571 intense “fluxes” at low L values are actually due to penetrating particles from Saturn’s
572 radiation belts. The blue line at low L is the location where the apparent flux falls below
573 $100 \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ eV}^{-1}$ and identifies the outer boundary of the penetrating background
574 region.

575

576 Figure 3. a) Fluxes of electrons at 2054 eV, averaged over ELS anode and all azimuths
577 in an A-cycle, and binned in $0.1-R_s$ bins for each half-orbit. Bin-averaged fluxes are
578 shown as a function of L and half-orbit number for the first 50 Cassini half-orbits (1 July
579 2004 – 29 Apr 2006). The blue line at low L values is the identified outer boundary of
580 the penetrating background, and the stars at higher L are the identified inner boundary of
581 the hot electrons, based on the medium threshold for channel 18 in Table 1. b) Identified

582 inner boundary of the hot electrons at three different energy channels, for the same 50
583 half-orbits as panel a. Symbols show the values determined using the medium thresholds
584 in Table 1, and the error bars show the range of values if the low and high thresholds are
585 used.

586

587 Figure 4. Occurrence statistics of the outer edge of the ELS penetrating background (left)
588 and the $>1\text{MeV}$ electron radiation belts (right) [Roussos et al., 2014]. The upper and
589 lower boundaries of the bars correspond to the 5th and 95th percentile levels, while the
590 dashed horizontal lines show the 25th and 75th percentiles, and the solid horizontal bars
591 indicate the median values.

592

593 Figure 5. Point-by-point comparison of the outer edge of the ELS penetrating
594 background (red, right-hand axis) and the outer edge of the $>1\text{MeV}$ electron radiation
595 belts (blue, left-hand axis) [Roussos et al., 2014]. The ELS boundaries are offset by $2 R_s$
596 to facilitate comparison of the two.

597

598 Figure 6. L value of the inner edge of the hot-electron population determined using the
599 medium flux thresholds (Table 1) for three ELS channels.

600

601 Figure 7. Occurrence statistics of the inner edge of the hot-electron population derived
602 for three different energy channels, with three different flux thresholds for each (Table 1).
603 The flux thresholds are chosen to yield the same median values for all three channels.
604 The upper and lower boundaries of the bars correspond to the 5th and 95th percentile

605 levels; the dashed horizontal lines show the 25th and 75th percentiles; and the solid
606 horizontal bars indicate the median values.

607

608 Figure 8. Occurrence distributions of the change in inner boundary location from each
609 inbound pass to the subsequent outbound pass (blue), from each inbound pass to the
610 subsequent inbound pass (red), and from each outbound pass to the subsequent outbound
611 pass (green). The black dashed curves show the occurrence distribution from pass to pass
612 when the various passes are reordered randomly. Different curves result from different
613 randomizations.

614

615 Figure 9. Electron energy-flux spectrograms for fifteen passes through the low-latitude
616 inner magnetosphere in 2010. For each orbit there are two panels: The upper corresponds
617 to the inbound pass (reversed in time so that L increases to the right), and the lower
618 corresponds to the outbound pass. Inbound passes all occurred on the night side
619 ($22 < LT < 3$), and outbound passes all occurred on the day side ($10 < LT < 16$).

620

621 Figure 10. Comparison of the inner edge of the Channel 18 electron fluxes (medium
622 threshold) for inbound (solid circles) and outbound (open circles) passes on the same
623 orbits during 2010. Vertical dashed lines show orbits where the outbound fluxes were
624 too low to allow the identification of the inner edge.

625

626 Figure 11. Occurrence distribution of the inner edge of the Channel 18 electron fluxes
627 (medium threshold) for four different local time sectors.

628

629 Figure 12. Solar wind speed and dynamic pressure predicted for Saturn by the mSWIM
630 1.5-D MHD model for the interval from 21 September 2007 to 30 December 2007, a
631 period when there were alternating intervals of sustained high and low dynamic pressure.
632 The bottom panel shows the inner boundary of hot electrons for the three energy channels
633 (12, 18, 24), with the stippled regions drawn to aid the comparison. In the bottom panel
634 the open circles show the penetration distance derived with the medium threshold for
635 each channel, and the error bars show the ranges between the low and high threshold
636 values.

637

638 Figure 13. Solar wind speed (top row) and dynamic pressure (bottom row) calculated
639 from the mSWIM model, versus the corresponding inner edge of the Channel 18 electron
640 fluxes (medium threshold) for all data (left column) and for just the upper and lower
641 quartiles of the solar wind parameters (right column). The error bars show the range of
642 solar wind values predicted within ± 1 day of the inner edge determination.

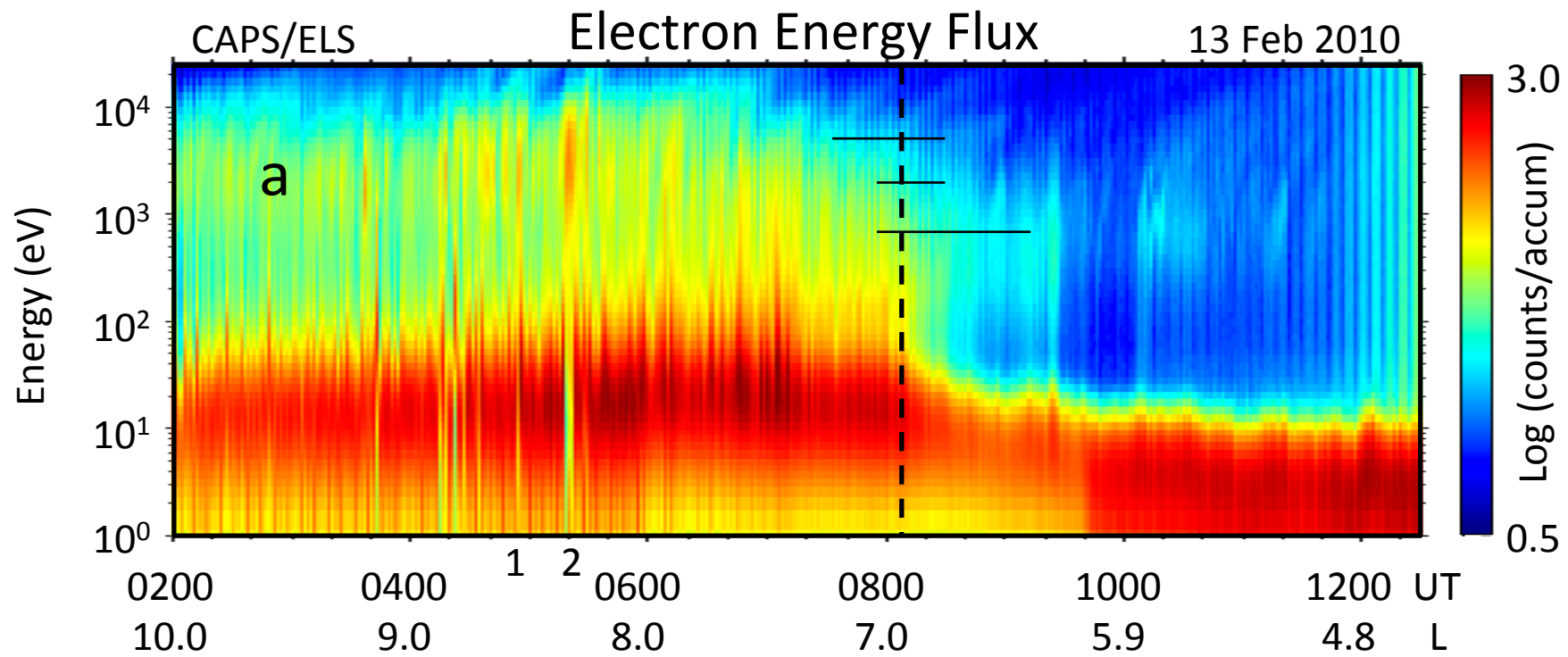


Figure 1a.

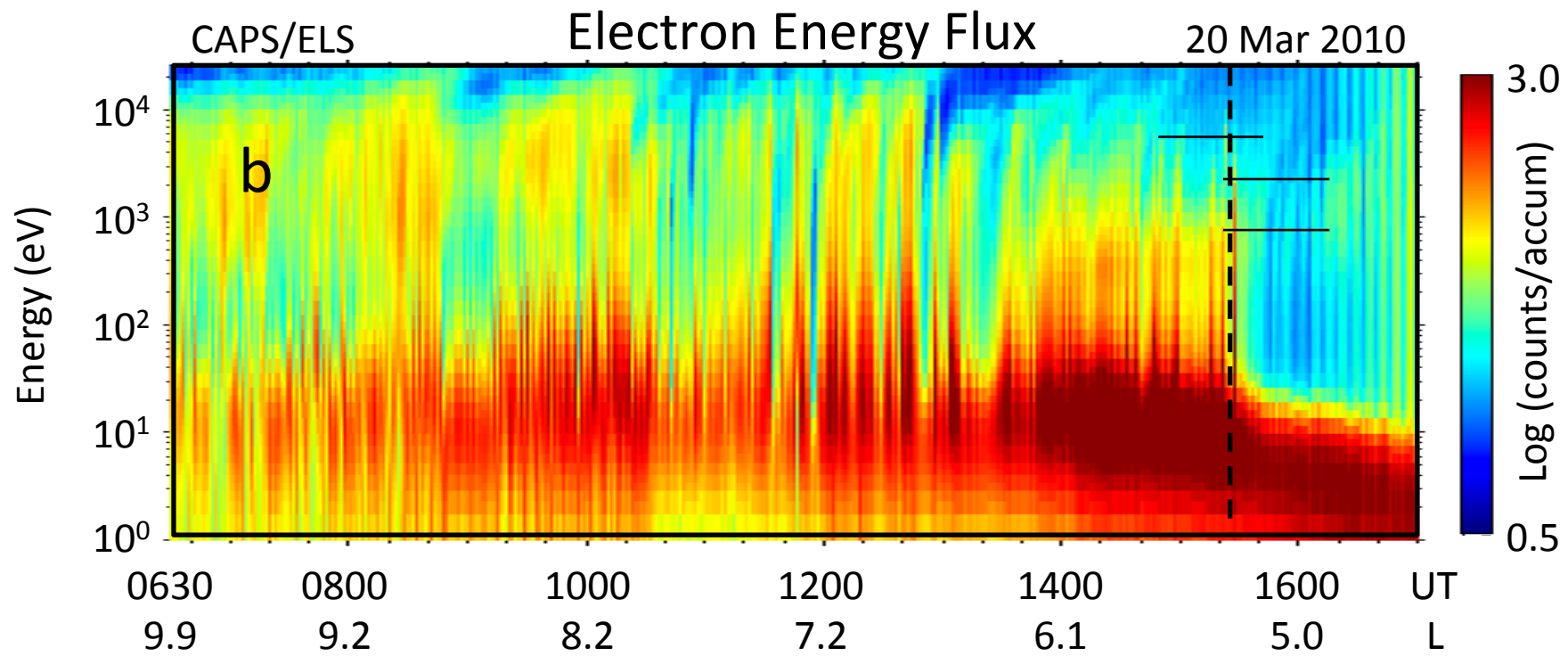


Figure 1b.

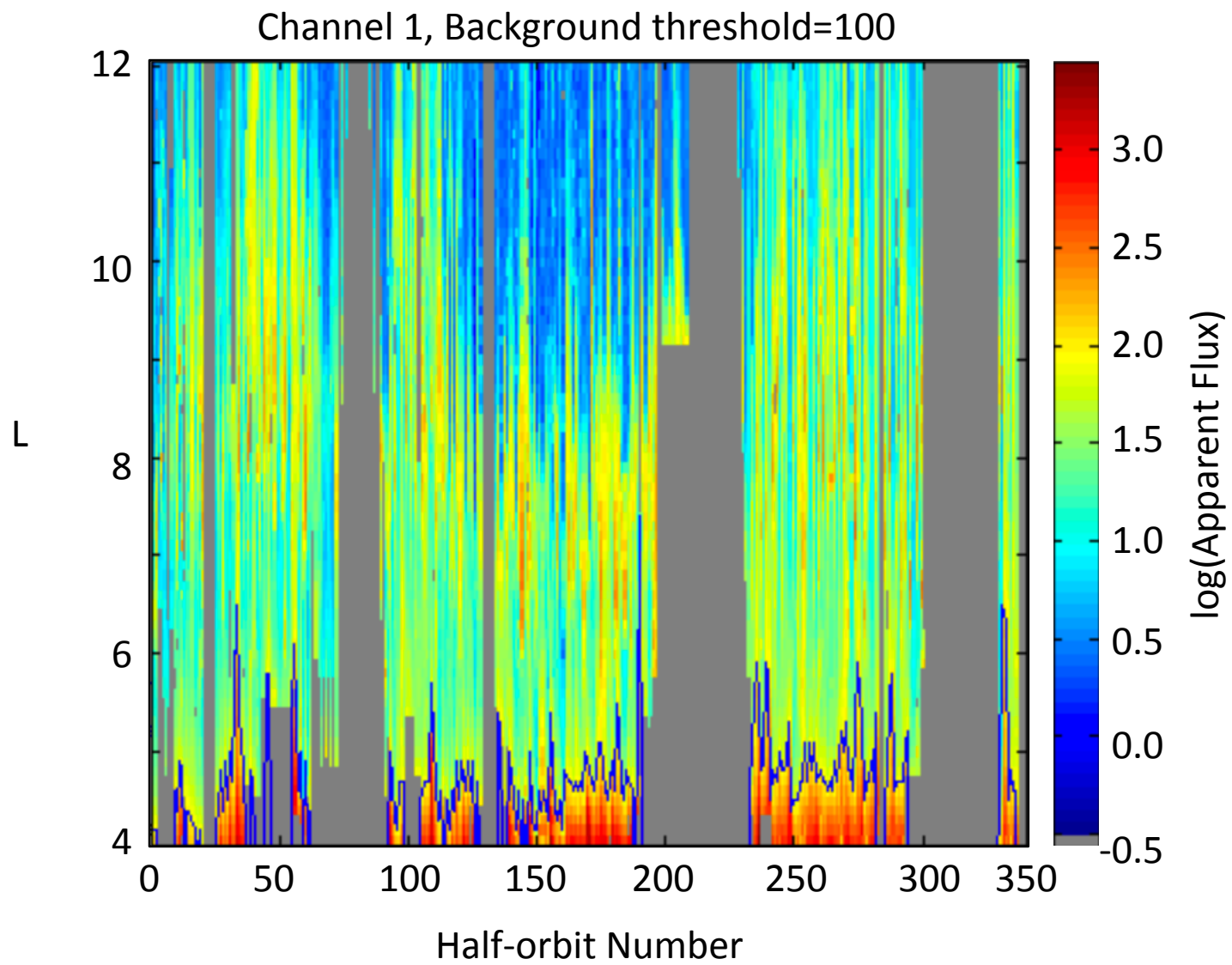


Figure 2.

CAPS/ELS Channel 18 (2054 eV)

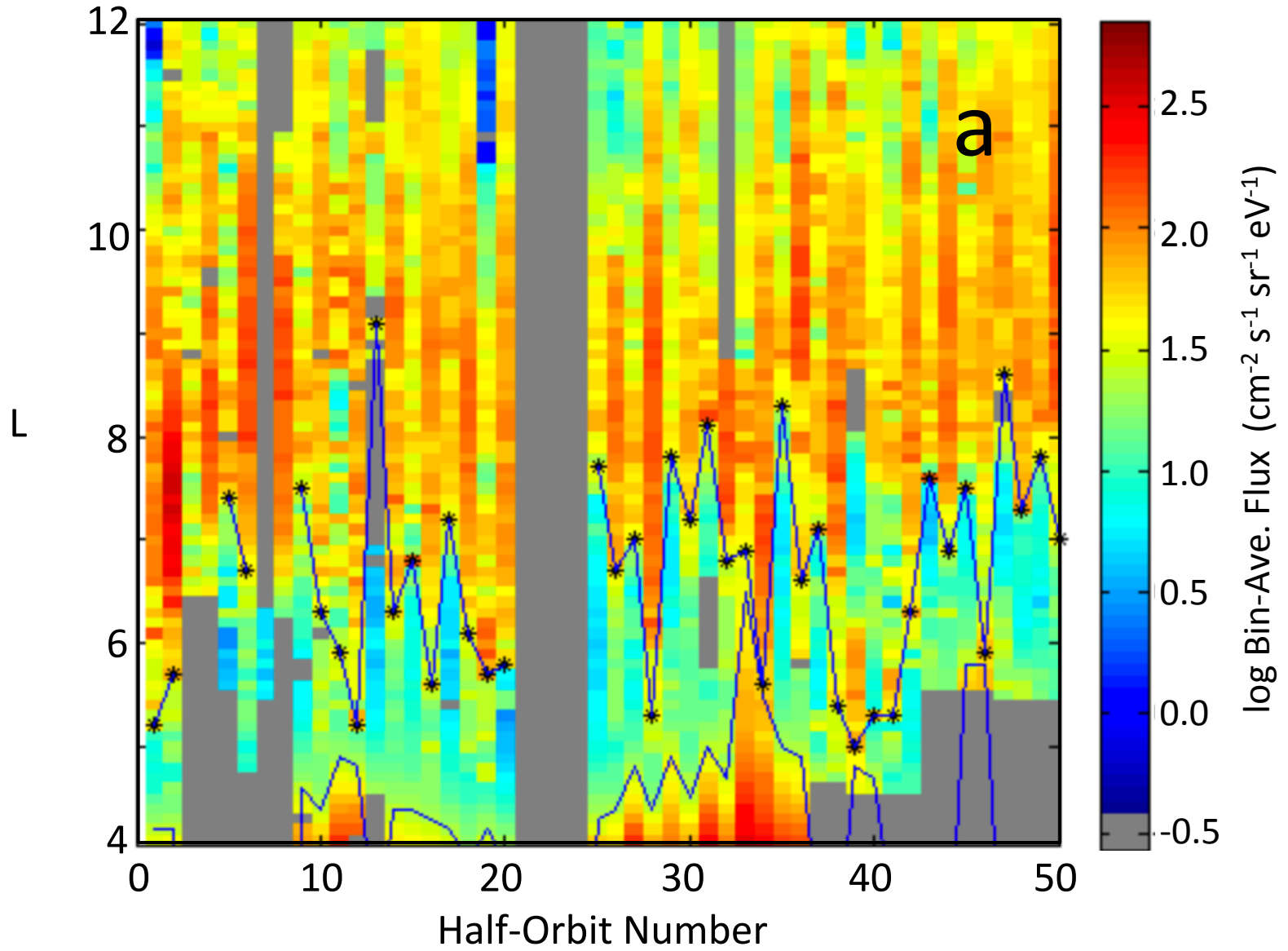


Figure 3a.

Penetration distance

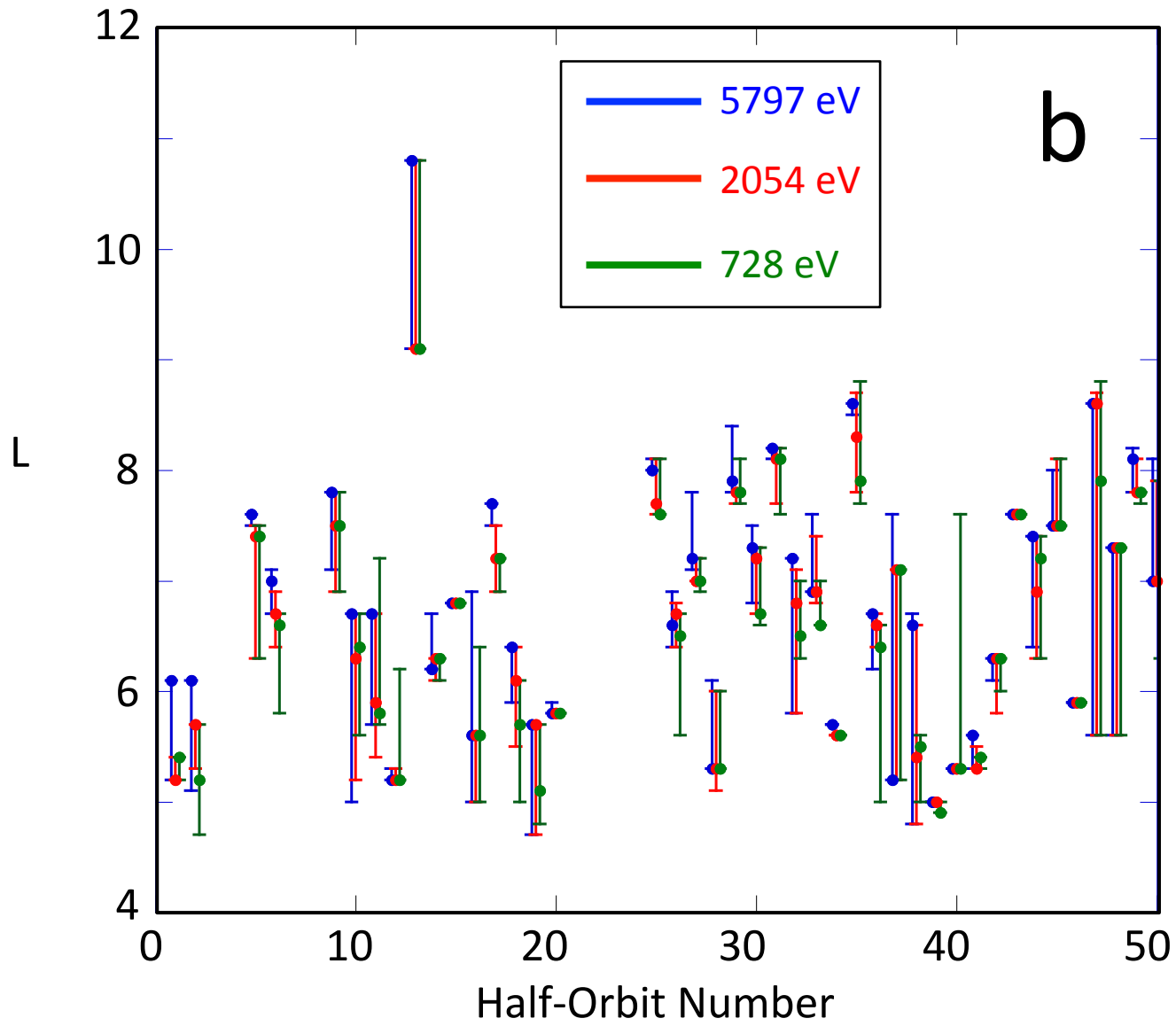


Figure 3b.

Outer Edge of Penetrating Background

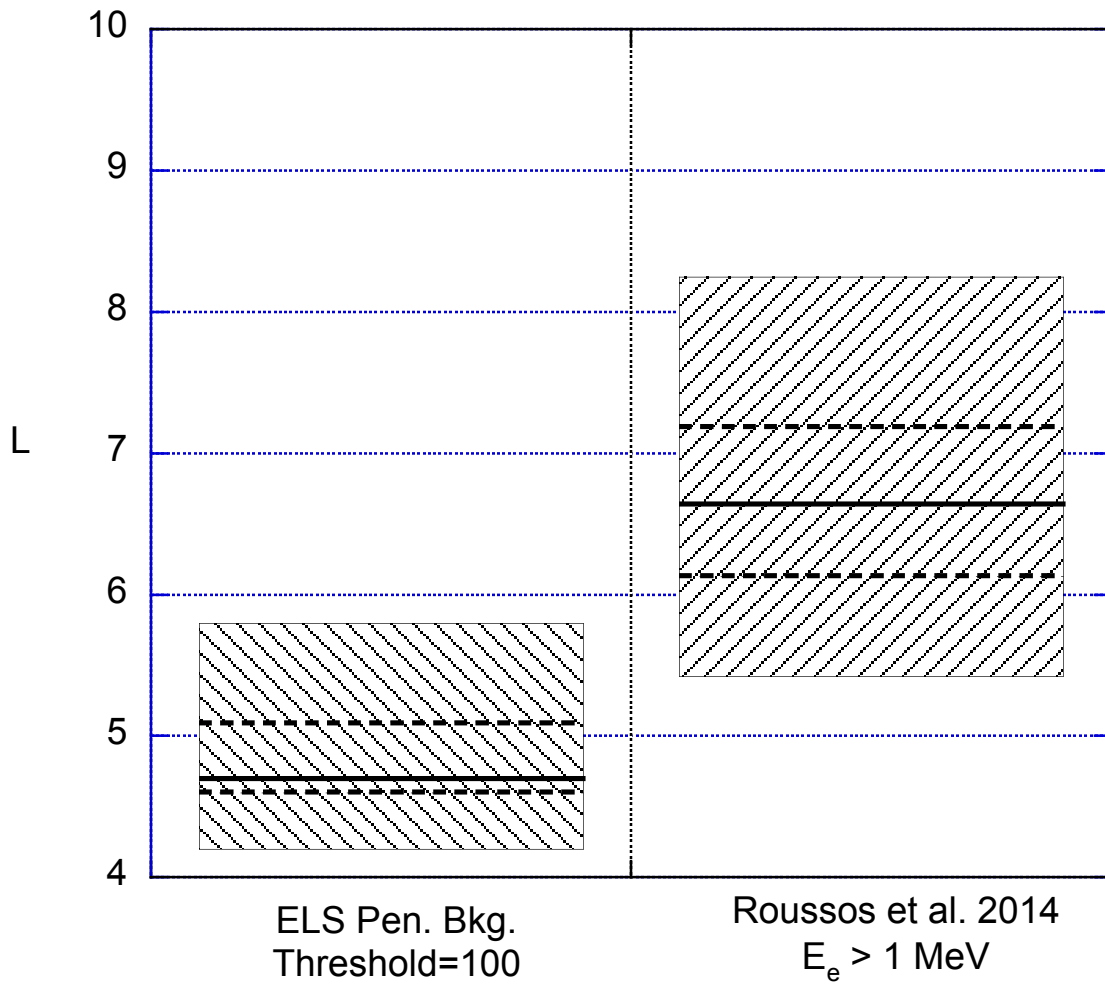


Figure 4.

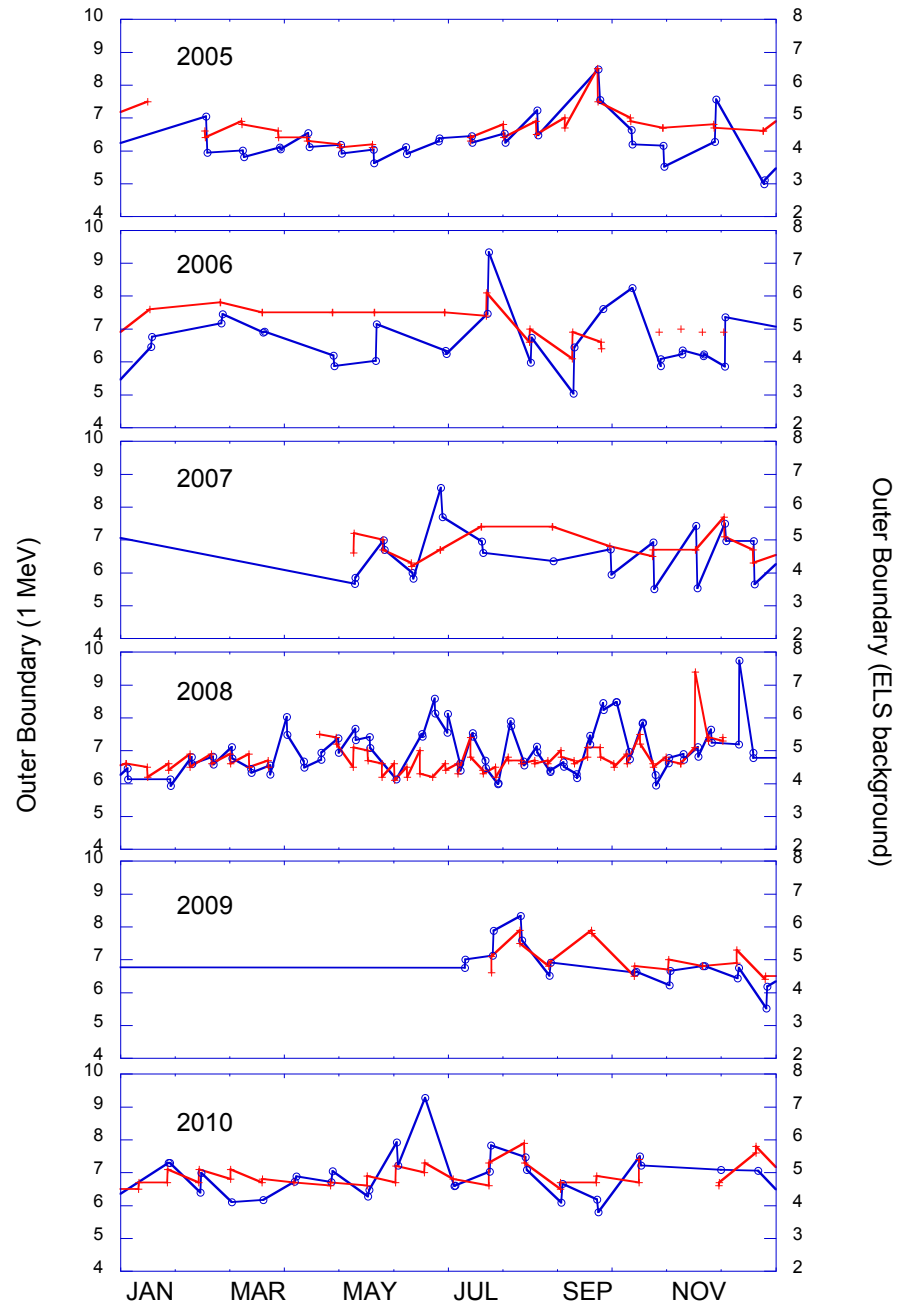


Figure 5.

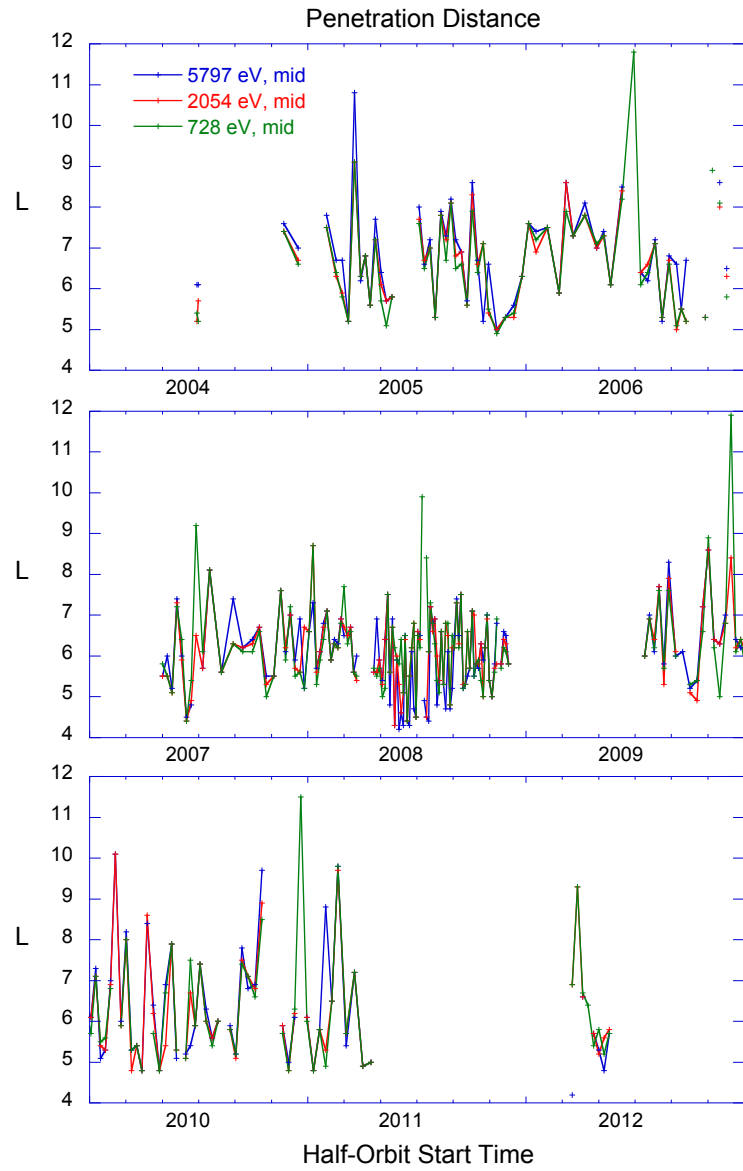


Figure 6.

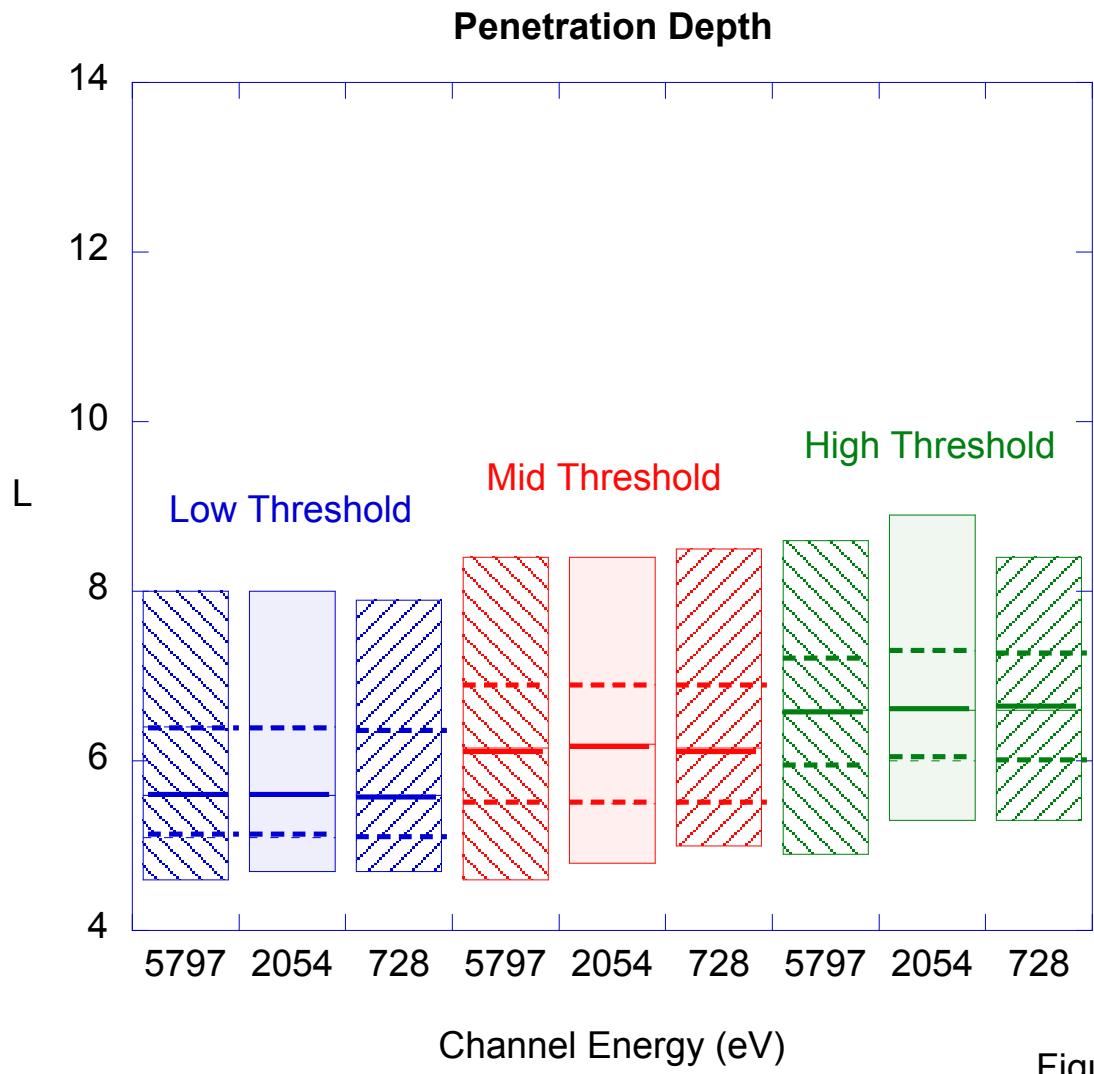


Figure 7.

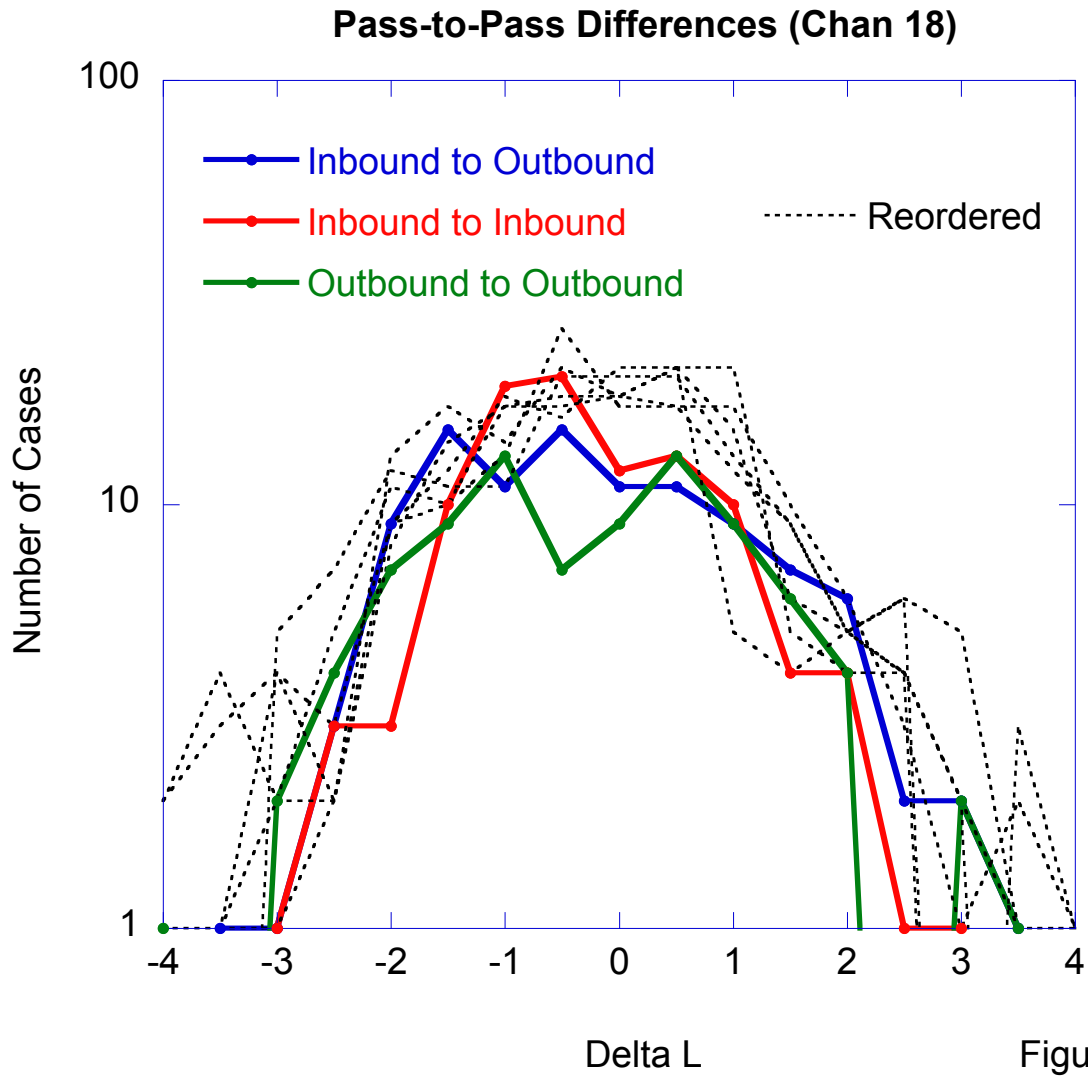


Figure 8.

Low-Latitude Inner Magnetosphere Passes - 2010

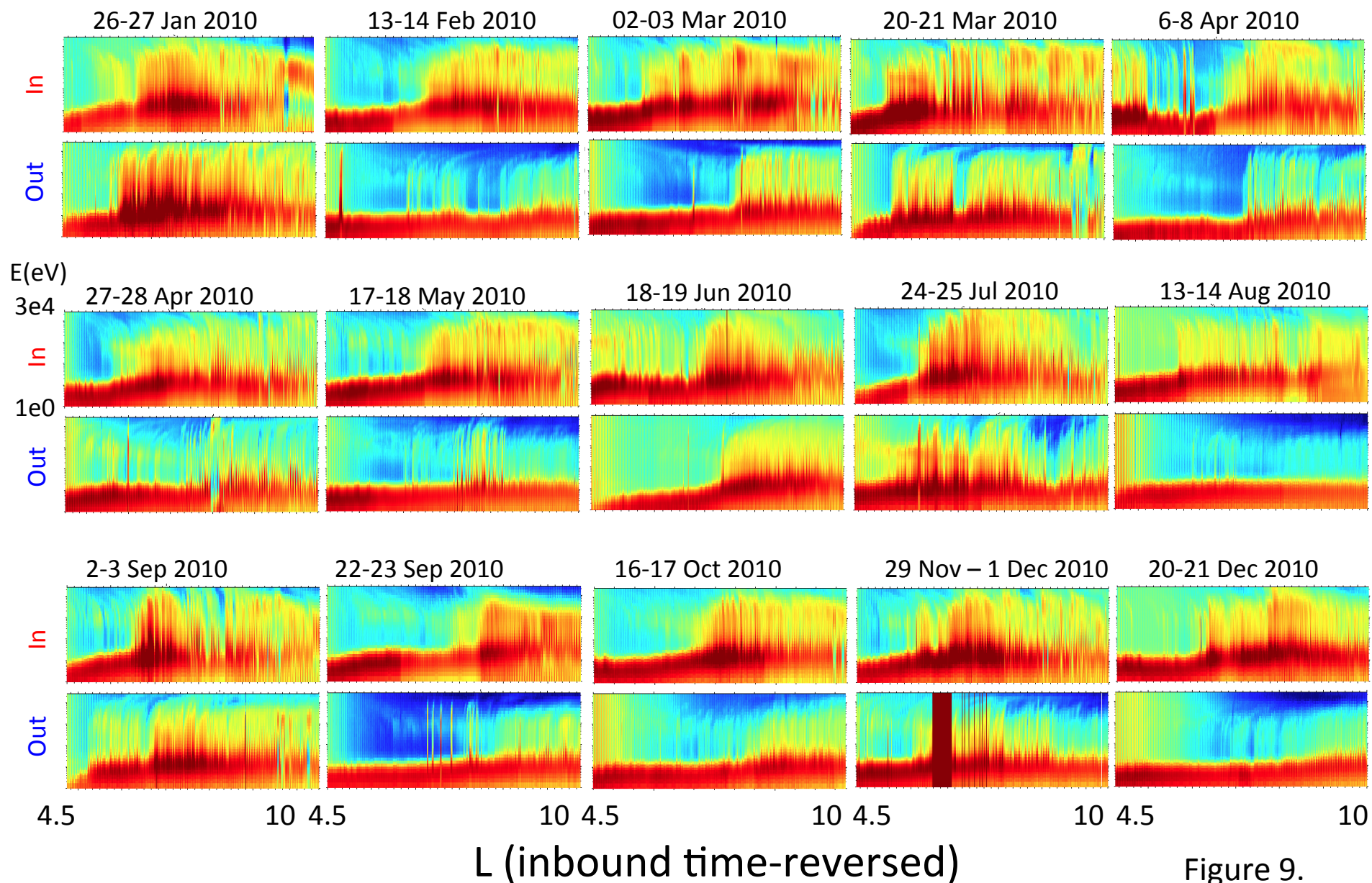
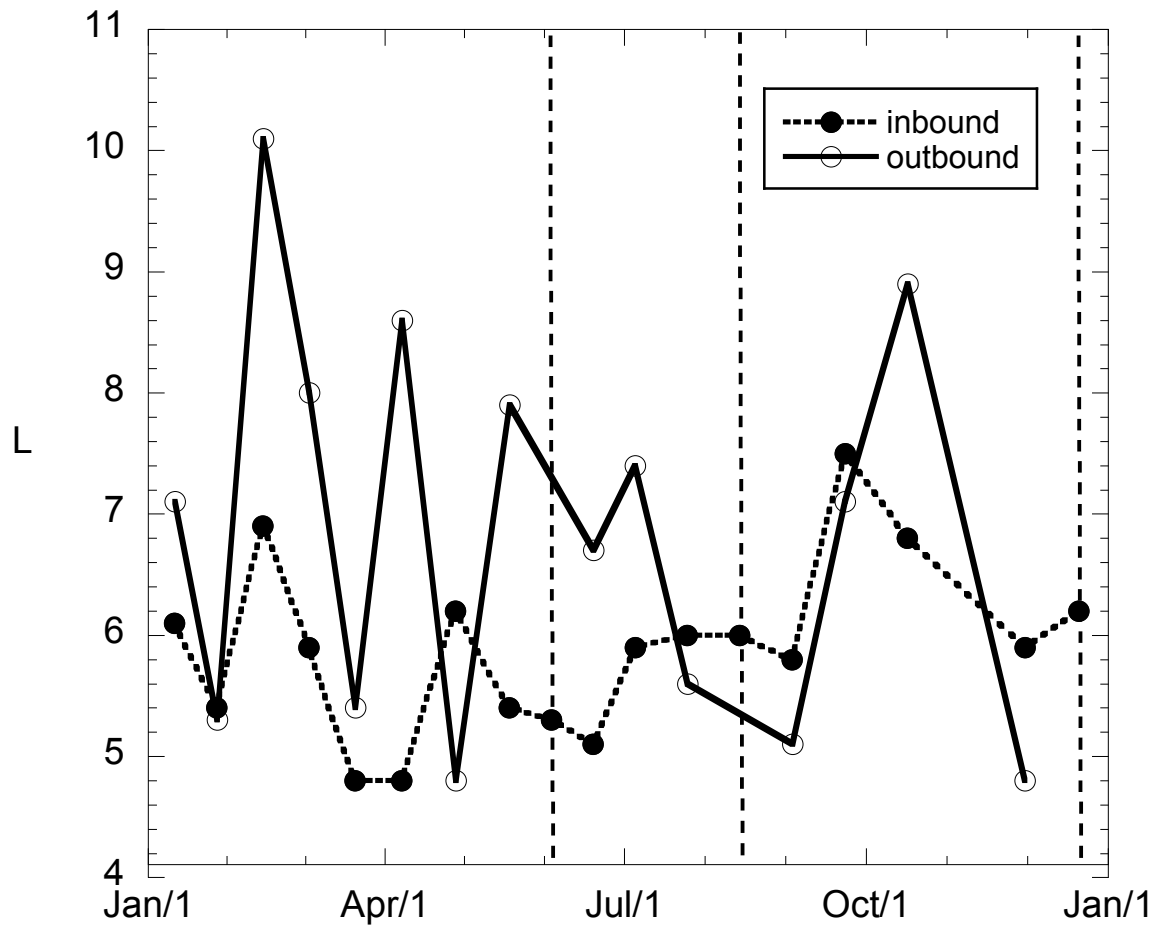


Figure 9.

Penetration Depth



Date 2010

Figure 10.

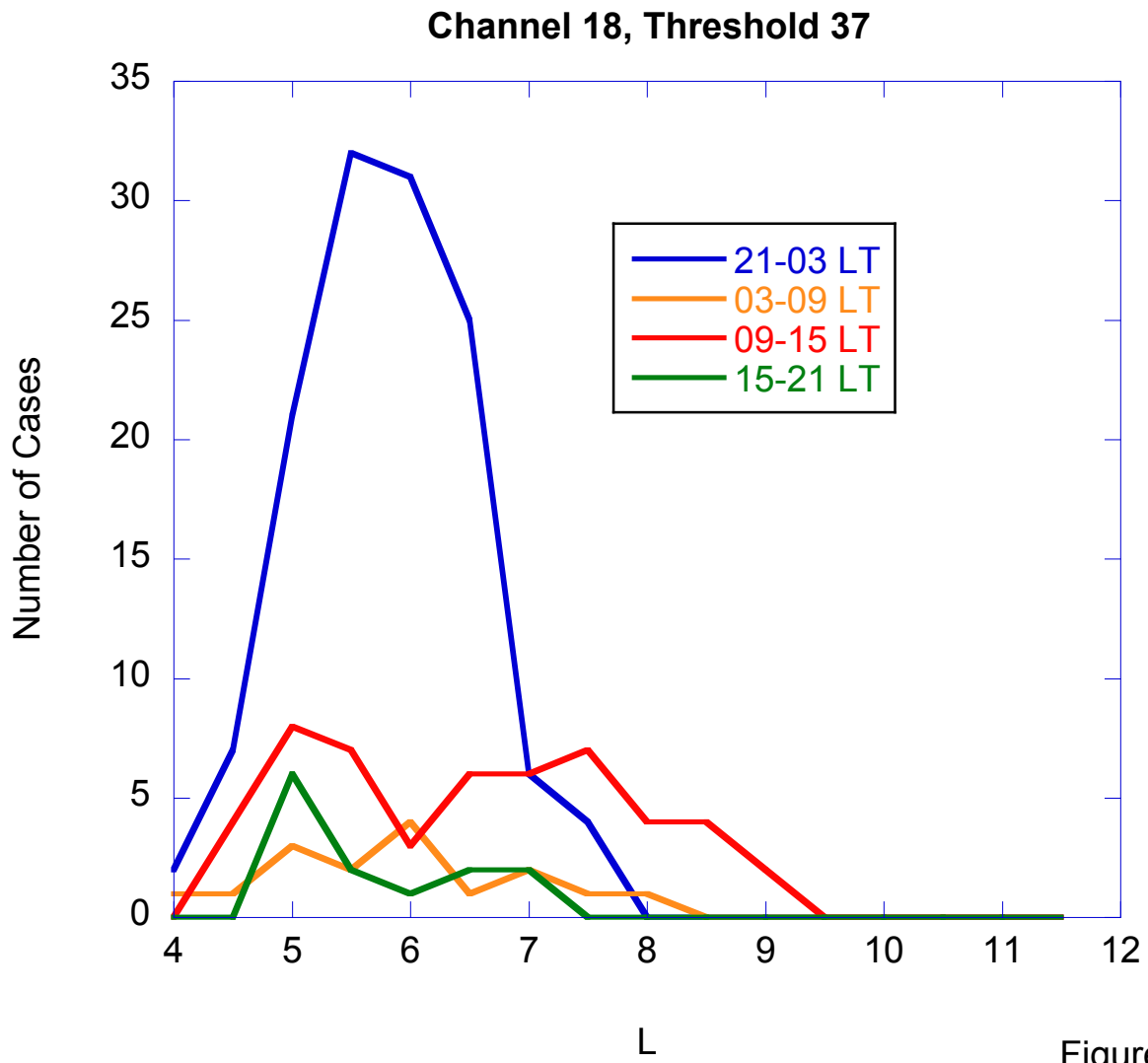


Figure 11.

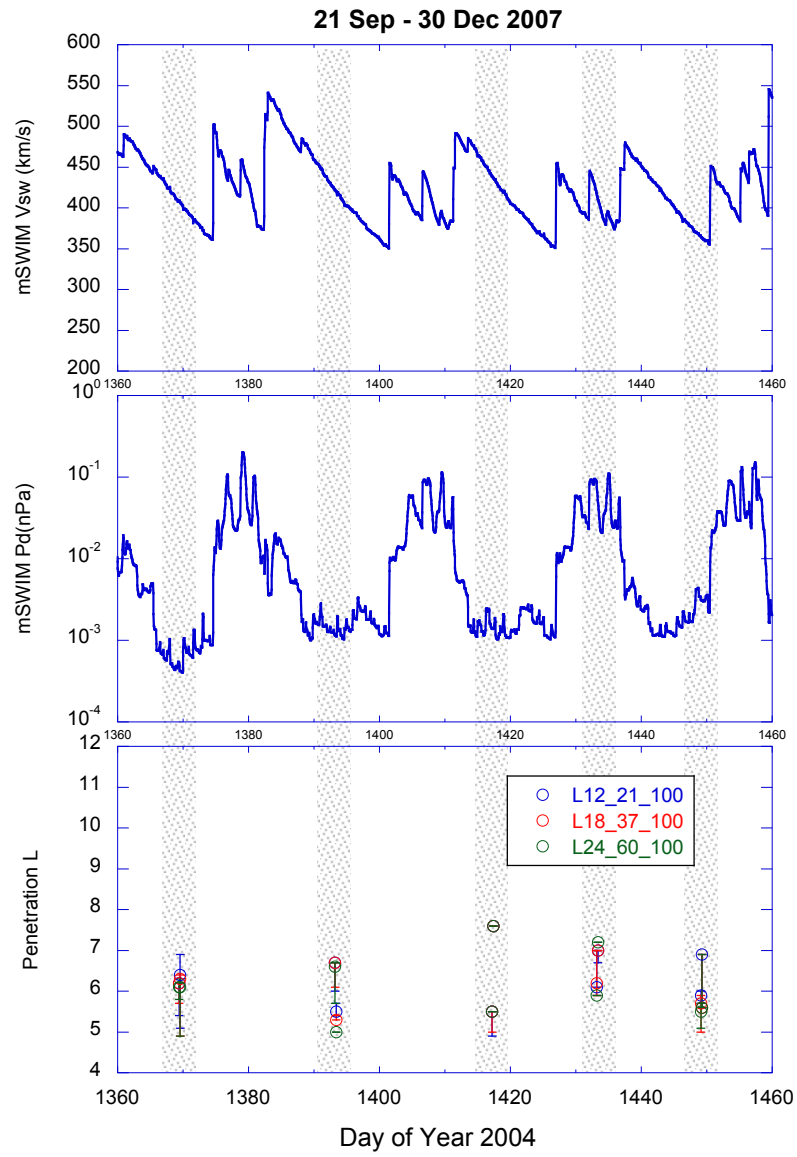


Figure 12.

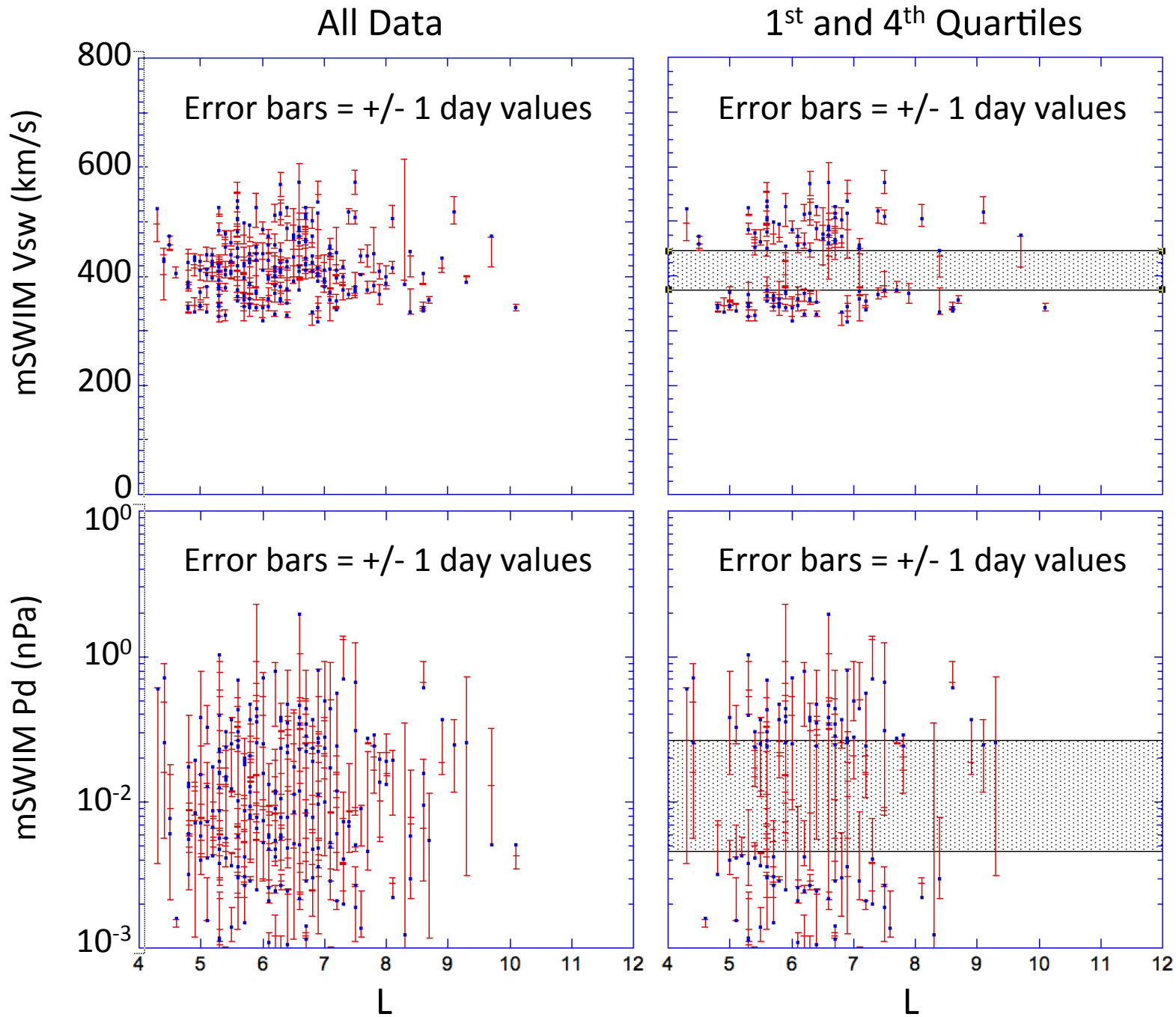


Figure 13.