# Computational identification of regulatory features affecting splicing in the human brain.

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## <span id="page-1-0"></span>Acknowledgements

"The most beautiful thing we can experience is the mysterious. It is the source of all true art and all science. He to whom this emotion is a stranger, who can no longer pause to wonder and stand rapt in awe, is as good as dead: his eyes are closed. — Albert Einstein"

As Einstein points out, a sense of wonder is so important to any scientific endeavour. The opportunity I have been given to explore cellular biology using computational methods is truly a great gift. This was largely made possible by the people who have mentored me and given me chances to improve myself such as the option to undertake this PhD. I am incredibly grateful to Dr. Vincent Plagnol for this. I have had the time and space to rediscover my love for bioinformatics and cellular biology which had been lost in years of short term service work. I have gained more in these three years than I could have imagined. I am also very grateful to Prof. Jernej Ule and his lab for the opportunity to collaborate on the recursive splicing project, it was both enjoyable and a great learning experience. I would also like to thank Dr. Chris Sibley who was my mentor on the recursive project, I was very fortunate to have had his help. He is also responsible for most of the great graphs and diagrams in the recursive chapter, I have cited the paper in these cases. Furthermore I'd like to thank all my colleagues in the UGI; Dr. Jon White and Prof. Dave Curtis for their help with the statistics. Lucy van Dorp, Dr. Cian Murphy, Chris Steele and Jack Humphrey for your support and enthusiasm. I have been truly blessed to know such great people and great scientists.

This thesis would not have been written without the support of family, my mother and sister who have both suffered great loss but have remained incredibly supportive, you truly are my heroes. My grandparents who have been a continuous inspiration and support to me throughout my life. And, of course, my girlfriend, Emily Frontain, who kept me company during long hours of thesis writing and revision, making these tasks a true pleasure. I am eternally grateful that I could have these wonderful people in my life.

These three years have not been without sadness. My father passed away suddenly during the first year of my PhD and this was indeed a terrible loss. I did not get the chance to celebrate completion of

this PhD, nor share the successes of the last few years but he is a part of me, both literally (I have half his chromosomes!) and in every delightful moment that comes from being able to follow my passion; exploring cellular biology behind a computer with a cup of coffee. Last year, Konstantin Sofianos, my sister's partner and best friend, passed away in a hospital in Hamburg, Germany as the sun was rising on a crisp Friday morning. The soft, warm, light filled the room as I held my sister's hand in bewildered silence. He died of cancer two weeks before his  $32^{nd}$  birthday. He was a far brighter, more capable man than I and he is sorely missed. I dedicate this body of work to these two fine souls and their love of knowledge and passion for work.

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## <span id="page-7-0"></span>Abstract

RNA splicing has enabled a dramatic increase in species complexity. Splicing occurs in over 95% of mammalian genes allowing the development of exceptional cellular diversity without an increase in raw gene numbers. This is highlighted by the fact that human and nematodes have the same number of genes (20,000 human genes versus 19,000 genes in Caenorhabditis elegans). Although the mechanistic process of splicing is now well understood there remains a multitude of unexplored dynamics that have only become visible with the power of next generation sequencing (NGS).

The human brain is one of the best examples of an intricate cellular structure. Neuronal cell types are incredibly diverse and specialised, regulated through various transcriptional mechanisms. Recently, long genes (150kb+) have been implicated as crucial to neuronal function and their impairment has been attributed to several neurological disorders. I explore this relationship further by showing that long genes are more highly expressed in the brain than other tissues. Long genes are also distinct in that they are deficient in H3k36me3, a histone mark largely associated with splicing and active transcription. Through analysis of brain RNA-seq data, a novel splicing mechanism known as recursive splicing was identified in long introns. Recursive splice sites (RSS) consist of an intronic 3'splice site followed immediately by a 5' splice site. These sites result in a zero-length exon that regulates the use of cryptic promoters ensuring only the functional isoform is expressed. This discovery lead me to question if other non-canonical forms of splicing are common in the brain.

Backsplicing is a recently discovered splicing mechanism pervasive in the tree of life. This occurs when a 3' end of a downstream exon is spliced onto the 5' end of an upstream exon resulting in a circular RNA molecule (hereafter: circRNA). circRNA are enriched in neuronal genes and mediated by RNA binding factors. I have identified and quantified the presence of circRNA within the brain, identifying a large number of highly expressed novel circRNA. From these findings I identify a subset of highly expressed backsplice junctions that occur between two proximal genes from the same family.

In order to understand the function of these splicing reactions I inspected the splicing features themselves, namely; the 5' and 3' splice sites and the branchpoint. The branchpoint remains a poorly characterised feature and until recently very few have been experimentally validated. I explore these features through the ExAC and UCLex consortia, using cumulative variant ratios to annotate invariant positions within the branchpoint and splice sites. By identifying invariant positions I could then investigate how variation impacts splicing efficiency by integrating whole exome and RNA sequence data from the GEUVADIS consortium. Findings show that exon expression is a poor indicator of splicing dysfunction, showing a three fold lower sensitivity than direct analysis of splice junction reads. I also devise a variant effect score that captures a significant portion of change in splice site efficiency enabling improved prediction of deleterious variants.

Together, this thesis hints at the massive potential of NGS to investigate the diversity of splicing related features while identifying novel features that could be implicated in neurological dysfunction.

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## <span id="page-16-0"></span>Chapter 1

## Introduction

## <span id="page-16-1"></span>1.1 Next generation sequencing enables high throughput, nucleotide resolution analysis of cellular processes

Next generation sequencing (hereafter: NGS) has catapulted biological sciences forward by making genomewide studies possible. The power and versatility of genome-wide sequence cannot be underestimated, massive volumes of NGS data are now freely available to analyse and explore.

There have been major advances over the previous high throughput, hybridization-based microarray technology. NGS provides better quality data, more robust results and lower noise [\[Buermans and den](#page-132-0) [Dunnen, 2014\]](#page-132-0). This technology has been applied in large consortia for the systematic evaluation of human polymorphism such as the landmark 1,000 genomes project [\[Abecasis et al., 2012\]](#page-130-0), the UK10K exome project [\[Walter et al., 2015\]](#page-150-0) and most recently the staggering 65,000 sample Exome Aggregation Consortium (ExAC) [\[The EXaC Consortium, 2015\]](#page-149-0). Recent projects have also aimed at combining DNA information with additional data, in particular RNA-sequencing (hereafter: RNA-seq). These include the GEUVADIS project [\[Lappalainen et al., 2013\]](#page-139-0), Illumina Bodymap (www.illumina.com; ArrayExpress ID: E-MTAB-513) and Genotype-Tissue Expression Consortium (GTEx) [\[Genotype-Tissue Expression Consortium, 2015\]](#page-135-0).

Next generation sequencing continues to rapidly accelerate our ability to characterise and discover novel cellular processes. NCBI's public short read sequence archive now hosts over 1,000 TB of data, the equivalent of resequencing the human genome with a 1,000,000 times coverage (Figure [1.1\)](#page-17-1).

It is crucial to find effective ways of analysing these large datasets, particularly given their relevance in understanding cellular biology and ultimately disease etiology. This thesis develops methods by leveraging

<span id="page-17-1"></span>

Figure 1.1: Growth of data in NCBI Short Sequence Read Archive (SRA) as a function of time. [\[NCBI\]](#page-142-0)

publicly available data to explore the non-coding elements of the human brain far more powerfully than was possible before. Primarily, this thesis aims at exploring non-canonical aspects of gene expression, particularly related to RNA splicing.

Compared to microarray technology where a control sample is required to normalize background hybridization [\[Wang et al., 2009\]](#page-151-0), next generation sequencing can provide absolute expression values. Although there remains known bias in the form of batch effects [\[Taub et al., 2010;](#page-148-1) [SEQC/MAQC-III Consortium,](#page-146-2) [2014\]](#page-146-2), especially due to the rapid improvement in equipment and laboratory kits, these samples still provide sequence information which is valuable in its own right.

### <span id="page-17-0"></span>1.2 Splicing in vertebrate genomes

Splicing was first discovered over thirty years ago in adenovirus and highlighted the alternative use of exons to create multiple mRNA from a single gene locus [\[Chow et al., 1977;](#page-133-0) [Berget et al., 1977\]](#page-131-0). With the aid of sequencing we now know that splicing plays a integral role in mRNA diversity affecting over 95% of mammalian genes and controlling regulatory processes such as chromatin modification [\[Pan et al., 2008;](#page-142-2) [Barash et al., 2010\]](#page-131-1). This is an essential aspect of the increase in complexity of vertebrates as they share similar gene numbers to invertebrates (20,000 human genes versus 19,000 genes in Caenorhabditis elegans).

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Figure 1.2: Graphical representation of the splicing process. In brief; after binding of splicing factors of snRNPs the splice sites flanking the intron and branchpoint, several rearrangements occur resulting in the transesterification of the severed 5' intron end to the adensoine at the branchpoint creating an intronic lariat. Following this the transesterification of the 5' exon end and the 3' exon start create the final mRNA and release the intronic lariat. [\[Kornblihtt et al., 2013\]](#page-138-0)

This process is mediated by the spliceosome, a complex of ribonuclearprotein that assembles flanking introns through identification of consensus sequences known as splice sites (the upstream 5' site and downstream 3' site) and the branchpoint. U1 small nuclear riboprotein (snRNP) binds to the 5' splice site with U2AF35 and U2AF65 binding to the 3' splice site and proximal polypyramidine tract respectively. The upstream branchpoint is bound by the branchpoint-binding protein (BBP). Hereafter, the severed 5' intron end is covalently bonded through transesterification of the adensoine at the branchpoint creating an intronic lariat. The second step is the transesterification of the 5' exon end and the 3' exon start thereby creating the final mRNA and releasing the intronic lariat (Figure [1.2](#page-18-0) outlines this process).

The sequence composition of the splice site plays a crucial role in the efficiency of splicing and the inclusion/exclusion of exons. Thus splice sites tend to be highly conserved. Splice sites that diverge from this consensus provide additional variation and these exons may be skipped without the addition of several other modifiers such as cis-regulatory sequences (exonic enhancers/silencers) and trans-acting factors (tissue-specific RNA binding factors such as PTB and NOVA [\[Ule et al., 2006;](#page-150-1) [Jelen et al., 2007;](#page-137-0) [Kafasla](#page-137-1) [et al., 2012\]](#page-137-1)).

The splicing process has been shown to occur simultaneously during transcription [\[Beyer and Osheim,](#page-132-1) [1988;](#page-132-1) [Khodor et al., 2011\]](#page-137-2). Recently, overwhelming evidence has pointed to the co-transcriptional splicing of the majority of exons while still associated with chromatin [\[Tilgner et al., 2012\]](#page-149-1). This is further highlighted by the study of co-transcriptional splicing in the human brain and its use to estimate speed of transcription and provide key insights into exonic definition [\[Ameur et al., 2011\]](#page-130-1). An example of co-transcriptional splicing is shown in Figure [1.3,](#page-20-0) the iconic saw-tooth pattern indicates where splicing occurs and the gradient can be used to infer transcriptional speed.

### <span id="page-19-0"></span>1.2.1 Circular RNAs are a novel class of non coding RNA created by backsplicing

Circular RNA (hereafter circRNA) are pervasively expressed in mammalian cells and enriched in brain, blood and exosomes [\[Ashwal-Fluss et al., 2014;](#page-131-2) [Rybak-Wolf et al., 2015;](#page-145-0) [You et al., 2015;](#page-153-2) [Venø et al., 2015\]](#page-150-2). These non-coding RNA are formed through structural changes within their intronic flanks, often catalysed by RNA binding proteins and palindromic repeat sequences. circRNA can be identified by a back-splice junction which is not present in canonical linear isoforms.

#### Synthesis of circRNA is reliant on intronic sequences

Advances in the field have confirmed the mechanism that allows the 3' end of a downstream exon to be spliced onto the 5' end of an upstream exon, known as a 'backsplice' (see Figure [1.4\)](#page-20-1). Biogenesis is mediated by

<span id="page-20-0"></span>

Figure 1.3: (A) Co-transcriptional splicing pattern in human brain in the genes AUTS2 (A. top) and C21orf34 (A. bottom). A clear sawtooth pattern is visible when looking at the histogram of read coverage across introns. (B. top) Diagram showing the formation of pre-mRNA creating the 'sawtooth' pattern across introns. (B. bottom) Extrapolation of the expected pattern to model intronic read coverage. [\[Ameur et al.,](#page-130-1) [2011\]](#page-130-1)

the spliceosome. circRNAs are generated co-transcriptionally, their production rate closely related to their flanking introns. Canonical mRNA compete with circularization in a tissue-specific manner conserved in vertebrates. This introduces a potential function for circRNAs as regulators of gene expression by competing with linear transcripts. [\[Ashwal-Fluss et al., 2014\]](#page-131-2)

<span id="page-20-1"></span>

Figure 1.4: Diagrams depicting formation of circular RNA via canonical or internal transcription compared to linear mRNA formation [\[Salzman et al., 2012\]](#page-146-0). Canonical and circle splicing are mutually exclusive and are in direct competition.

#### <span id="page-21-0"></span>1.3 Analysis of RNA-seq data and evaluation of splice junctions

This study implements tools and pipelines to exploit an underutilized aspect of RNA sequencing, the splice junctions. A splice junction is inferred from those sequence fragments that overlap exon-exon boundaries in the mRNA and hence map to different exons on the genome (Figure [1.5\)](#page-22-0). This is an incredibly powerful tool to estimate splicing efficiency, provide nucleotide resolution on splicing reactions and reveal patterns not readily evident from gene or exon expression.

#### <span id="page-21-1"></span>1.3.1 Alignment of NGS fragments

Alignment of read fragments produced by NGS technology to the genome/transcriptome is the first step in processing human sequencing data. This can be achieved with a number of different algorithms. The alignment of millions of read fragments requires heuristic approaches for accurate mapping in reasonable wall clock time. This is achieved by sacrificing sensitivity, generally a maximum of 2 mismatches is allowed. Recent advances have improved the level of permutation permitted but this generally results in performance loss. BWA [\[Li and Durbin, 2009\]](#page-140-0) and BOWTIE [\[Langmead et al., 2009\]](#page-139-1) both apply the burrows wheeler compression transform to enable fast searching of read space. There is now a second generation of aligners commonly used such as BOWTIE2 [\[Langmead and Salzberg, 2012\]](#page-139-2).

#### <span id="page-21-2"></span>1.3.2 RNA-seq analysis software

The analysis of RNA-seq data remains highly dependent on different applications of the technology to organism and features of interest. There are multiple methods and approaches to take into consideration. One strategy is the mapping of the sequence fragments to the genome, recovering splice junctions using either predefined exon-exon scaffold reads (such as Tophat2 [\[Trapnell et al., 2009;](#page-149-2) [Kim et al., 2013\]](#page-138-1)) and/or independent alignment of subsequences (such as GSNAP [\[Wu and Nacu, 2010\]](#page-153-3), HISAT [\[Kim et al., 2015\]](#page-138-2), STAR [\[Dobin et al., 2013\]](#page-134-0)). A second method is direct alignment to the transcriptome and quantification of transcript values through assignment of read counts to isoforms (such as RSEM [\[Li and Dewey, 2011\]](#page-140-1), Kallisto [\[Bray et al., 2015\]](#page-132-2)). In this study the focus is on genomic alignment enabling identification of novel features.

There are several common software for quantification of isoforms, a typical example is Cufflinks [\[Trapnell et al., 2010a,](#page-149-3) [2012\]](#page-149-4). Although this does not directly measure splicing change it does enable the building of novel isoforms from the splice junction information. The general steps involve assembling tran-

<span id="page-22-0"></span>

Figure 1.5: Illustration showing how splice junction reads (red) are mapped to two distinct genomic positions based on their constitutive exons (orange). This provides direct evidence of splicing in the cell. [\[Wikimedia](#page-153-0) [Commons, 2009\]](#page-153-0).

scripts based on splicing information, comparison and merging with known annotations and finally differential expression based on the enhanced annotations.

#### Splice junction reads are essential to accurate splicing analysis

Splice junction reads are under-utilized as a means to identify and quantify splicing changes. The mixtureof-isoforms (MISO) model [\[Katz et al., 2010\]](#page-137-3) is probably the most notable exception to this. MISO was the first, popular tool to investigate splice junctions between alternate exons. Figure [1.6](#page-23-0) shows the basic outline of the software. It calculates the levels of inclusion of alternate exons using the 'percent spliced in' (PSI) statistic. This is calculated based on the ratio between splice junctions that support its inclusion compared to those that connect the constitutive exons. In order to determine differential splicing a Bayes factor is applied which quantifies the odds of differential exon usage in two sample groups. Posterior probability distributions of PSI are calculated and used to estimate the Bayes factor.

MISO remains one of the most robust tools, one of the few to look at single skipping events rather than complete isoform expression. However, it is not designed to handle low frequency cryptic events as it requires input of all exons to be tested. The inclusion of cryptic events generally requires enough read depth to build an exon structure.

<span id="page-23-0"></span>

Figure 1.6: MISO work-flow (A) Work-flow showing read fragments from sequencing to alignment and quantification. Fragments aligning to constitutive exons are marked in black and grey, alternative exons in white (B) Psi estimate uses alternate exon reads and splice junctions. (C) Using paired end reads greatly improves results by allowing for insert size to be used (shown in orange) along with the insert distribution (D) A graph showing the estimated psi parameter based on single/paired-end reads based on read coverage. These results were generated by re-sampling at different depths with standard deviations included. Ultimately, paired-end reads have an appreciable effect on expression variance. [\[Katz et al., 2010\]](#page-137-3)

More recently tools such as Junction-seq have been designed to examine splicing efficiency in a

similar method to exon differential expression requiring two groups to test [\[Love et al., 2014;](#page-141-0) [Hartley and](#page-136-0) [Mullikin, 2015\]](#page-136-0). In the following studies, the non-canonical nature of the splicing required the creation of custom algorithms and often required detailed analysis of raw alignment data.

#### <span id="page-24-0"></span>1.4 Sequencing datasets utilized in this thesis

#### <span id="page-24-1"></span>1.4.1 Primary datasets

Two primary datasets are used for multiple analyses in this study (Table [1.1\)](#page-24-2). Each will be discussed below in detail.

<span id="page-24-2"></span>

Consortium Project	Application	Detail	Number of Samples
UKBEC Consortium total RNA-seq Human brain			48
UCLex	Exome	Multiple studies $3,500$	

Table 1.1: Primary next generation sequencing data analysed in this study.

#### UKBEC RNA-seq Brain data

Brain samples were collected from the Medical Research Council Sudden Death Brain and Tissue Bank (Edinburgh, UK). Post-mortem human tissue from four individuals of European descent confirmed to be neurologically normal during life. Twelve central nervous system regions were sampled from each individual. The regions studied were: cerebellar cortex, frontal cortex, temporal cortex, occipital cortex, hippocampus, the inferior olivary nucleus (sub-dissected from the medulla), putamen, substantia nigra, thalamus, hypothalamus, intralobular white matter and cervical spinal cord. The libraries were sequenced using Illuminas HiSeq2000 with 100 base pair paired-end reads. Sample data can be found in the Appendix Table [1.](#page-162-0)

#### UCLex Exome consortium

UCLex is an in-house consortium of custom capture and whole exome sequencing data. It consists of over 3,500 exomes. These are a collection of rare, mendelian type disease and common disease groups with the addition of healthy controls. As the data are in-house this provides a unique opportunity to explore variation across samples and the ability to inspect individual variants within sample groups. The power of a consortium such as this is the standardised processing and variant calling. This allows for improved <span id="page-25-1"></span>filtering of spurious variation and an estimate of variant frequency in various disease conditions. Details on the distribution of samples between groups can be found in Table [1.2.](#page-25-1)

Phenotype	#Samples
Inflammatory Bowel Disease	799
Huntington's Disease	48
Ophthalmology, Retinal disorders	371
Dermatology, Inflammatory disorders	63
Sudden Cardiac Death	98
Keratoconus	12
Primary Immunodeficiency	128
Prion Disease	1112
Epilsepsy	164
ARVC	28
Bone Marrow Failure	184
Cone Rod Dystrophy	40
<b>Healthy Controls</b>	892

Table 1.2: UCLex Sample Information. Phenotype and number of samples

#### <span id="page-25-0"></span>1.4.2 Public datasets analysed

Each thesis chapter makes extensive use of public data to expand on hypotheses and reinforce findings. Table

[1.3](#page-25-2) shows the data consortia analysed and applied in this study. Each dataset will be introduced briefly.

<span id="page-25-2"></span>

Table 1.3: Public next generation sequencing consortia data analysed in this study.

#### ENCODE

The Encyclopedia Of DNA Elements (ENCODE) was launched in September 2003 to identify functional elements throughout the human genome. The landmark project aimed at using high-throughput approaches on a variety of functional elements. ENCODE targets range from genes, promoters, enhancers, to transcription factor binding sites, methylation sites and histone modifications. For the purpose of this study I will only focus on a subset of these data, specifically histone modification data taken from mouse and human brain. [\[The ENCODE Project Consortium, 2004\]](#page-148-2)

#### ExAC Consortium

Exome Aggregation Consortium (ExAC) is an initiative from a collaboration of groups centred around the Broad Institute and Massachusetts Institute of Technology. The goal is to aggregate vast collections of whole exome data and provide general statistics to the scientific community. The dataset currently spans a staggering 61,000 unrelated individuals. [\[Samocha et al., 2014;](#page-146-1) [The EXaC Consortium, 2015\]](#page-149-0)

#### GTEx Consortium

Genotype-Tissue Expression (GTEx) project provides a large resource for interpreting tissue-based gene expression, regulation and its relationship to variation. This project aims to study gene expression differences between multiple human tissue types and compare this to genotype information. This information has been used to calculate expression based quantitative trait loci (eQTL) and provide a large, publicly available dataset for further scientific investigation. This consortium contains over 237 post-mortem donors, with 28 tissue samples per donor spanning 54 distinct body sites. Paired-end mRNA sequencing was performed on a total of 1749 samples, with an average of 82 million reads per sample. This information was processed into files freely downloadable from their website (http://www.gtexportal.org/home/). [\[The Genotype-Tissue](#page-149-5) [Expression \(GTEx\) project Consortium, 2015\]](#page-149-5)

#### Illumina Bodymap

The Illumina Bodymap is a resource of 16 human tissues made available from Illumina sequencing. A total of 48 samples (including biological replicates) were sequenced using a ribosomal RNA depletion protocol to produce paired-end sequenced data (www.illumina.com; ArrayExpress ID: E-MTAB-513).

#### GEUVADIS project

The GEUVADIS consortium combined RNA-seq from lymphoblastoid cell lines of 465 individuals with variant data from the 1,000 Genomes Project. A subset of 423 samples were analysed as these were part of the 1,000 Genomes Phase 1 dataset. Paired-end, 75bp RNA-seq was performed on total RNA of the 465 EpsteinBarr-virus-transformed lymphoblastoid cell lines. This resulted in an average of 48.9M reads per sample. [\[Abecasis et al., 2012;](#page-130-0) [Lappalainen et al., 2013\]](#page-139-0)

#### <span id="page-27-0"></span>1.5 Overview of chapters

A brief overview of chapters in this thesis is outlined below.

#### <span id="page-27-1"></span>1.5.1 Long genes, recursive splicing and their relationship to the brain

Lately, several studies have indicated that long genes are linked to several neurological disorders [\[Lagier-](#page-139-3)[Tourenne et al., 2012;](#page-139-3) [Polymenidou et al., 2011;](#page-143-0) [King et al., 2013\]](#page-138-3). I investigate the characteristic differences in these long genes by noting their enrichment in brain and distinct epigenetic profile (compared to shorter genes). It is noted that in Drosophila melanogaster long introns can contain cryptic elements known as recursive splice sites (hereafter: RSS) that allow for processing of large introns. Recursive splicing is the reconstitution of a 5' splice site after an initial splicing reaction, hence resulting in no exonic inclusion. In this chapter RSS are identified for the first time in long genes within the human brain using a custom designed pipeline. The proposed function of these recursive elements is the maintenance of canonical upstream exons. Alternate cryptic promoters result in inclusion of a 'poison' exon that marks the transcript for nonsensemediated decay (NMD).

#### <span id="page-27-2"></span>1.5.2 Circular RNA are a pervasive phenomena linked to neuronal genes

The circularization of exons is far more pervasive than first believed. Circular RNAs (hereafter: circRNA) are not only enriched in neuronal genes but their synthesis appears to be partially regulated through RNA binding proteins [\[Rybak-Wolf et al., 2015;](#page-145-0) [You et al., 2015;](#page-153-2) [Venø et al., 2015;](#page-150-2) [Ashwal-Fluss et al., 2014\]](#page-131-2). Current research also suggests that circularization partially regulates transcription by reducing the production of canonical mRNA. Here I develop a pipeline to mine a large brain cohort for circular RNA, produce high confidence counts and explore the circRNA landscape in brain. From these findings I identify a subset of highly expressed back-splice junctions that occur between two proximal genes from the same family. In order to explore the presence or absence of these junctions a custom alignment algorithm was implemented.

#### <span id="page-27-3"></span>1.5.3 Exploring polymorphic variation using large exome consortia

Large exome consortia provide a unique opportunity to use variant information in ways never before possible. For instance, it is possible to identify important genes due to significant deficiency in deleterious variation. This relationship has been extrapolated in this study to identify positions within features that are highly invariant. A pipeline was developed to create a nucleotide resolution map of splice sites and branchpoints based on exome data. This identified specific positions in splice sites and branchpoint motifs that show fewer mutations.

In order to explore the effects of this variation a pipeline was created to integrate splicing variants with gene expression data. Gene/exon expression did not provide significant resolution between variant/wildtype groups highlighting the need for more sensitive measures. Multiple statistics were applied using splice junctions which provided far better resolution of functional change. This change in splicing efficiency can be partially captured through a sequence-based variant effect score.

### <span id="page-29-0"></span>Chapter 2

## Recursive splicing in human brain

#### <span id="page-29-1"></span>2.1 Introduction

Work from this thesis chapter has been published in [\[Sibley et al., 2015\]](#page-147-0).

#### <span id="page-29-2"></span>2.1.1 Long genes form a subclass with unique characteristics

The human transcriptome contains a great diversity of genes, one of the striking aspects is their length. Gene lengths range from the Tyrosine tRNA (0.2kb) to the Dystrophin gene (2500kb). Over 2,000 genes in the human genome are longer than 150kb, more than ten times the average gene length (10-15kb). This raises questions as to the diverse roles these genes could play and what unique mechanisms are required for correct transcription of this extreme subclass.

In this chapter I will focus on the characteristics of long genes and their relevance to clinical pathology, particularly neurological, and the discovery of cryptic elements known as recursive splice sites.

#### Long genes as candidates for neurological pathology

Recent literature suggests that disruption of long genes (150kb+) are a key component of several neurological disorders. Long genes differ from shorter genes in transcript processing and key RNA binding proteins. Both TDP-43 and FUS/TLS (Fused in sarcoma/translated in lipsarcoma) are elongation factors enriched in large introns [\[Polymenidou et al., 2011;](#page-143-0) [Lagier-Tourenne et al., 2012\]](#page-139-3). Their absence reduces expression of these genes substantially by affecting transcript stability of intronic sequences. Similarly, Topoisomerase 1 (TOP1), a protein that resolves DNA super-coiling, decreases expression of long genes in a dose-dependant fashion in neurons of both mouse and human [\[King et al., 2013\]](#page-138-3).

Disruption of the MECP2 gene, involved in methylation and transcriptional repression has been shown to cause Rett syndrome, an autism-like disorder with severe neurological implications [\[Chahrour and](#page-133-1) [Zoghbi, 2007\]](#page-133-1). This genes methylation function is widespread but long genes are particularly susceptible due to their increased length and hence the effect of this gene is largely present in brain and neurons [\[Gabel](#page-134-1) [et al., 2015\]](#page-134-1).

Recently, recurrent DNA double-strand breaks (RDCs) that occur in primary neural stem progenitor cells (NSPCs) have been found within long genes. Almost 90% of these genes are involved in synapse function and/or neural cell adhesion indicating length may play an important role in this process which is essential for neural development. [\[Wei et al., 2016a\]](#page-152-0)

These findings suggest that long genes are intimately linked to neurological dysfunction. Further investigation into the processing of long genes is essential to improve our understanding of splicing mechanisms within these genes.

#### Long introns as a peculiar feature of long genes

A common characteristic of long genes is that they often contain one or more long introns. Long introns are generally defined as those longer than 50kb. Over 3,000 human introns are larger than 50 kb, with nearly half being longer than 100 kb [\[Belshaw and Bensasson, 2006\]](#page-131-3). Long introns place a high resource burden on the cell and raise several questions regarding their presence in higher eukaryotes. Firstly, the transcription of large introns requires a massive energy commitment to produce pre-mRNA that will be removed and degraded. Secondly, this increases time of transcription for creation of the mRNA (a 150kb intron takes nearly 20min to transcribe!). Thirdly, large introns increase the likelihood of inclusion of cryptic splice sites either through translocation, mutation or RNA binding protein dysfunction [\[Belshaw and Bensasson, 2006\]](#page-131-3). These were initially defined as pseudo-exons or cryptic exons [\[Sun and Chasin, 2000\]](#page-148-3). One source of cryptic exons are repeat elements which are surprisingly common in higher eukaryotes.

#### Repeat elements are abundant in long introns and play a role in their processing

One central question to the transcription and correct splicing of long introns is how splice sites can be efficiently connected across such a huge distance. In vertebrate genomes introns are significantly enriched for interspersed repetitive elements (mainly SINEs and LINEs). Figure [2.1](#page-31-0) shows the presence of repeat elements across various species. These repeats have enough complementarity to form stems in large introns, effectively folding the intron into compact structures [\[Shepard et al., 2009\]](#page-147-1). Similar RNA hairpins are crucial for splicing of group I and group II introns present in bacteria [\[Pyle et al., 2007\]](#page-143-1). These hairpins found in eukaryotes have also been shown to regulate alternative splicing [\[Rogic et al., 2008\]](#page-145-1). In human an average of 9.4 possible hairpins were found per 50kb of intron, these mostly formed between oppositely oriented primate-specific Alu-repeats (81.7%) [\[Belshaw and Bensasson, 2006\]](#page-131-3). An interesting exception to this case is chicken, where LINE elements replace this functionality [\[Belshaw and Bensasson, 2006\]](#page-131-3). In conclusion, these RNA hairpins enable the folding of intronic RNA and would significantly reduce the distance between donor and acceptor splice sites making them central to the correct processing of large introns.

<span id="page-31-0"></span>

Figure 2.1: The percentage of different interspersed repeats for the complete set of large introns for various species. The light grey bars are for the total percentage of repeats in large introns. The dark grey bars are for the short interspersed element repeats. The black bars are only for long interspersed element repeats. [\[Belshaw and Bensasson, 2006\]](#page-131-3)

#### Repeat elements can form cryptic elements if not repressed

An interesting side effect of long vertebrate introns containing many Alu repeats is that their splice sites need to be masked by RNA-binding proteins (RBPs). hnRNP C is an example of an RBP that represses Alu elements [\[Zarnack et al., 2013\]](#page-154-1). The uncontrolled expression and splicing of these elements could lead to disease and as such much be tightly regulated by the cell [\[Dhir and Buratti, 2010\]](#page-134-2). The evolution of a repeat element as it gets included in a transcript is highlighted in Figure [2.2.](#page-32-1)

<span id="page-32-1"></span>

Figure 2.2: Schematic showing exonisation of Au elements and its evolution to non-sense element (NE) and finally to pseudoexon (PE) potentially conveying a novel function often resulting in human disease. [\[Dhir](#page-134-2) [and Buratti, 2010\]](#page-134-2)

The evolution of Alu exons into cryptic elements indicate the need to carefully explore introns for similar features. Potentially long introns may harbour some of these elements, potential remnants of evolution that may fulfil important functions.

#### <span id="page-32-0"></span>2.1.2 Cryptic elements in long genes

#### Recursive splicing is a novel mechanism observed in vertebrates to process long introns

Interestingly, invertebrate genomes that contain long introns are almost completely deficient of repeat elements hence stem/hairpin structures are practically absent [\[Belshaw and Bensasson, 2006\]](#page-131-3). Hence, another mechanism must be operating to process these long introns. In Drosophila it was discovered that large introns undergo a process called recursive splicing (Figure [2.3\)](#page-33-1) to remove an intron by processing it in multiple steps [\[Hatton et al., 1998;](#page-136-1) [Burnette et al., 2005\]](#page-132-3).

Recursive splicing was first discovered in Drosophila melanogaster's Ultrabithorax (Ubx) gene as a mechanism to process its long intron [\[Hatton et al., 1998\]](#page-136-1). The 73kb Ubx intron was spliced out in four steps, the final step including a recursive site. Recursive sites consist of back-to-back 3' and 5' intronic splice sites thereby creating a zero-length exon. Computational analyses have predicted nearly 200 recursive sites in D. melanogaster, 7 of which were validated by inhibiting lariat de-branching enzymes [\[Burnette et al., 2005\]](#page-132-3). A recent breakthrough (and co-publication of [\[Sibley et al., 2015\]](#page-147-0)) identified nearly 200 recursive sites on a genome-wide scale in Drosophila by leveraging RNA-seq data using splice junctions and co transcriptional splicing patterns [\[Ameur et al., 2011;](#page-130-1) [Duff et al., 2014\]](#page-134-3).

Given the enrichment of recursive splicing in long genes [\[Duff et al., 2014\]](#page-134-3) and the established

<span id="page-33-1"></span>relationship between long genes and neuronal tissue [\[Polymenidou et al., 2011;](#page-143-0) [Lagier-Tourenne et al., 2012\]](#page-139-3), I endeavoured to explore the existence of recursive sites in the long genes (150kb+) within human brain.



Figure 2.3: Schematic of the recursive site creating a zero length exon in fruit fly needed to process long introns . [\[Sibley et al., 2015\]](#page-147-0)

### <span id="page-33-0"></span>2.1.3 Histone modifications are a central characteristic of genes and their cell specific expression

Another crucial aspect of genes is their chromatin state. This is largely determined by histones which are composed of highly conserved proteins (H3, H4, H2A, H2B and H1). These function as building blocks, packaging DNA into nucleosomes that can be folded into chromatin super structures [\[Luger and Richmond,](#page-141-1) [1998\]](#page-141-1). Histones can be posttranslationally modified, most commonly on their tails. The N and C terminals protrude from the core nucleosome and have the potential to be modified and to interact with neighbouring nucleosomes. This can function as binding sites for other proteins and regulate chromatin structure. Histone modifications include acetylation, phosphorylation, methylation, and ubiquitylation.

Histones have been identified as integral components of the machinery that modulates gene transcription, repair, replication and recombination [\[Strahl and Allis, 2000\]](#page-148-0). A list of histone marks associated with transcription are shown in Figure [2.4.](#page-34-0) One of the most well known and well defined histone modifications related to transcription is the trimethylation of H3 lysine 36 (H3k36me3).

<span id="page-34-0"></span>

	N termini Residue:	Modification state	Associated protein/module	Function
H <sub>3</sub>	18 23 910 14 28	<b>Unmodified</b>	Sir3/Sir4/Tup1	Silencing
	N٠	Acetylated	Bromodomain	Transcription
	N.	Acetylated	?	Histone deposition?
	N.	Phosphorylated	SMC/ Condensins?	Mitosis/meiosis
	N	Phos/acetyl	?	Transcription
	N.	Methylated	$\overline{\phantom{a}}$	Transcription?
	N١	Higher-order combinations	$\overline{?}$	$\overline{\phantom{a}}$
H <sub>4</sub>	$\mathsf{N}$ 16 $\mathbf{B}$	Acetylated	?	Transcription
	N١ 12	Acetylated	RCAF?	Histone deposition
CENP-A		Phosphorylated	?	<b>Mitosis</b>

Figure 2.4: Histone modifications known at different residues within the N terminus of histones, some of these have been associated to transcription. [\[Strahl and Allis, 2000\]](#page-148-0)

#### H3k36me3 histone modification provides insight into transcription

H3k36me3 is widely recognised as a transcriptional histone mark. It has been linked to active gene bodies, splicing, repair and gene activation [\[Jenuwein and Allis, 2001;](#page-137-4) [Kolasinska-Zwierz et al., 2009;](#page-138-4) [Sims and](#page-147-2) [Reinberg, 2009\]](#page-147-2). H3k36me3 is enriched around exons, although alternatively spliced exons tend to show lower levels, indicating a link with transcription and splicing [\[Sims and Reinberg, 2009\]](#page-147-2). Transcription can also accumulate further histone marks creating a feedback effect. This may also explain why levels of H3k36me3 also tend to increase toward the 3' end of the gene [\[Pokholok et al., 2005\]](#page-143-2).

Interestingly, although there are multiple writers of H3K36 methylation only SETD2 is responsible for H3K36 trimethylation. Not surprisingly, SETD2 has been identified as instrumental in dealing with cancer's aberrant transcription [\[Pfister et al., 2015\]](#page-143-3). H3k36me3 deacetylation is necessary after transcription to prevent initiation of transcription from aberrant sites within the gene [\[Pokholok et al., 2005\]](#page-143-2).

Duff et al. in their analysis of recursive sites in fruit fly did not identify any histone marks enriched for recursive sites in Drosophila, however they did not evaluate whether intron length had any impact on histone enrichment [\[Duff et al., 2014\]](#page-134-3). The further investigation of H3k36me3 is another key characteristic that can help understand processing differences between long and short genes.

#### <span id="page-35-0"></span>2.2 Methods

#### <span id="page-35-1"></span>2.2.1 Software and tools used in this Chapter

Several software packages were used extensively in this thesis. Python [\[Cock et al., 2009\]](#page-133-2) (programming language) was used for general file parsing, scripts to wrap and automate other tools and custom analysis on BAM/SAM alignment files. Python packages include Pysam, Biopython and Bedtools libraries [\[Cock et al.,](#page-133-2) [2009;](#page-133-2) [Quinlan and Hall, 2010;](#page-144-0) [Li et al., 2009\]](#page-140-2).

R statistical [\[R Core Team, 2016\]](#page-144-1) was applied for normalisation of gene expression (DESeq, DE-Seq2 [\[Anders and Huber, 2010\]](#page-130-2)), general matrix manipulation (dplyr,tidyr) and plotting of data (ggplot2) [\[Wickham, 2009;](#page-152-1) [Wickham and Francois, 2015;](#page-152-2) [Wickham, 2016\]](#page-152-3)).

Bedtools [\[Quinlan and Hall, 2010\]](#page-144-0) was used for manipulating genomic coordinate data. This tool is without a doubt the most essential to any bioinformaticians kit.

#### <span id="page-35-2"></span>2.2.2 Ancillary public datasets

Both public and primary data were used in this study. The UKBEC Brain consortium was used as primary data. Public RNA-seq datasets used in this study include; GTEx consortium [\[The Genotype-Tissue Expres](#page-149-6)[sion \(GTEx\) project Consortium, 2014\]](#page-149-6) and Illumina Bodymap version 2.0 [\[Derrien et al., 2012\]](#page-134-4). Please see Table [1.3](#page-25-2) for more information.

Additional datasets analysed for this study alone include C2C12 mouse myoblasts (GSM521256) and myogenic lineage (GSM521259) [\[Trapnell et al., 2010b\]](#page-149-7) , mouse embryonic stem cells (GSM1346027) and motor neurons (GSM1346035) [\[Herrera et al., 2014\]](#page-136-2), and differentiation of haematopoietic stem cells (GSM992931) into erythroid lineage (GSM992934) [\[Madzo et al., 2014\]](#page-141-2).

#### <span id="page-35-3"></span>2.2.3 Expression of long genes in the brain

I quantified the relationship between expression of long genes in neurons and all major tissue types available in the GTEx consortium [\[Genotype-Tissue Expression Consortium, 2015\]](#page-135-0), Illumina Bodymap version 2.0 (www.illumina.com; ArrayExpress ID: E-MTAB-513) and several mouse cell lines during differentiation (details in Datasets section). For GTEx, gene count data were downloaded, normalised and fold change ratios where calculated using DESeq [\[Anders and Huber, 2010\]](#page-130-2). The ratios were correlated to gene length to determine trends in the data.
For the Illumina Bodymap (www.illumina.com; ArrayExpress ID: E-MTAB-513) and ancillary mouse datasets; raw sequence data were downloaded, mapped to their respective genome assemblies (hg19 for human and mm9 for mouse) using Tophat2 [\[Trapnell et al., 2009\]](#page-149-0). Aligned reads were summarised into gene counts using HTSeqCount [\[Anders et al., 2015\]](#page-130-0) and differential expression between relevant groups done with DESeq[\[Anders and Huber, 2010\]](#page-130-1).

Plots were created using the log fold change calculated by DESeq and length of the longest transcript for each gene. Loess smoothing curves were calculated and graphing was done using ggplot2 [\[Wickham, 2009\]](#page-152-0).

#### 2.2.4 Identification of recursive splicing in brain

An in-house bioinformatics pipeline was created to process the UKBEC Brain consortium sequence data (Figure [2.5\)](#page-38-0). Raw FASTQ data were aligned to the human genome (build hg19) using the STAR aligner (v2.3 [\[Dobin et al., 2013\]](#page-134-0)) with enhanced splicing annotations from GENCODE v19 [\[Steijger et al., 2013\]](#page-147-0). All aligned BAM files were pooled and only reads within long introns (150kb+, n=943 in 780 genes) were selected. An algorithm then scanned for split reads (also referred to as junction reads) that mapped to a canonical exon and terminated intronically. Junctions were classified as known or novel using the knowngene UCSC annotations [\[Abe et al., 2015\]](#page-130-2).

These detected junctions were then enumerated and paired if they spanned the intron in an exon-like fashion with a maximum of 400bp gap between the junctions (see PE1 and PE2 from Figure [2.5\)](#page-38-0). For these potential exons, all stop codons in all frames were identified.

Furthermore, all junctions from the 5' end of the upstream exon were identified and classified based on their presence in UCSC, RefSeq and GENCODE databases [\[Harrow et al., 2012;](#page-136-0) [Abe et al., 2015;](#page-130-2) [O'Leary](#page-142-0) [et al., 2016\]](#page-142-0), all novel junctions were noted as potential cryptic upstream elements.

Another method to identify recursive sites is using the co transcriptional "saw-tooth" pattern created by pre-mrna transcripts mapping to the intron [\[Ameur et al., 2011\]](#page-130-3). For more information please refer to Section [1.2.](#page-17-0) Pooled BAM files were summarised into 5kb bins and these were used to perform linear regression. As transcription occurs at a constant rate, similar gradients should be seen across all introns within a genes. Dividing the large intron based on the above identified splice junctions I could determine whether the new gradients would resemble other introns within the gene (Figure [2.6\)](#page-39-0).

Similarly, an alternate dataset was generated using cross-linking and immunoprecipitation (iCLIP) for fused in sarcoma (FUS) in human brain. iCLIP analysis of FUS binding enables linear regression analysis in a similar way to total RNA-seq data and results in a saw-tooth patten.

Final classification as a recursive splicing element, required adequate splice junction coverage, the significant improvement of co-transcriptional gradient with addition of the splice junction in both RNA-seq and FUS iCLIP datasets.

#### H3k36me3 histone enrichment in long genes

In order to determine whether long introns have unique histone enrichment patterns compared to short introns, data from both mouse (mm9) and human (hg19) brain was downloaded for H3k36me3 and a control enhancer mark, H3k4me1 (ENCODE project data) [\[Parkhomchuk et al., 2009\]](#page-143-0). For mouse, embryonic brain tissue was used (accessions: GSM1000072, GSM1000096) and for human ; Cingulate Gyrus, Hippocampus (Middle) and Mid Frontal Lobe from adult (accessions: GSM669947,GSM773013,GSM773052).

For each dataset, reads were binned into 100bp windows, normalised by total read count. Each exon was flanked by 400bp to compensate for exonic histone signal extending into the intron. Introns were adjusted accordingly. All bins overlapping exons were summed and a mean value was taken across introns. All introns were binned according to size in the following categories: 400nt-2kb, 2-5kb, 5-20kb, 20-50kb, 50-100kb, 100+kb. Only transcripts with at least one intron of 50kb+ were selected.

All introns and exons were normalised using the shortest intron bin (400bp-5kb). RPKM (Reads Per Kilobase of transcript per Million mapped reads) values were then calculated for both exons and introns based on their normalised values. Pearson correlation is then calculated between normalised intron counts and intron length. For exons the normalised exon RPM was correlated to the shortest neighbouring intron.

<span id="page-38-0"></span>

Figure 2.5: Recursive splicing pipeline. Briefly, data are aligned to the human genome, BAM alignment files are parsed for splice junction information which is used to annotate any recursive-like junctions that exist are parsed for splice junction information which is used to annotate any recursive-like junctions that exist within large introns. If a potential recursive junction is found the co transcriptional pattern of the intron with or without the proposed recursive site is checked.

<span id="page-39-0"></span>

Figure 2.6: The impact of inclusion of the recursive site to modelling the co-transcriptional sawtooth pattern present in RSS genes. Through use of splice junction data, effectively dividing the intron into two. This results in significantly improved goodness of fit of the linear model. [\[Sibley et al., 2015\]](#page-147-1)

## 2.3 Results

#### 2.3.1 Expression of long genes is enriched in the brain

It has already been observed in ES cells that long genes, which are mostly silent in an undifferentiated state, become expressed in neurons [\[Thakurela et al., 2013\]](#page-148-0). Long genes (150kb+) appear to be consistently more highly expressed in brain in both GTEx (Figure [2.7\)](#page-40-0) and Illumina Bodymap datasets (Figure [2.8\)](#page-41-0). The Illumina Bodymap data includes both Dystrophin and Titin, well known long genes that are highly expressed in muscle. These tend to follow the expected trend, however, Dystrophin's longest intron is only 45kb, this could explain its slightly higher expression pattern.

<span id="page-40-0"></span>

Figure 2.7: GTEx data comparisons by tissue show that long genes are more highly expressed in brain compared to other tissues. Dotted blue line indicates 150kb gene length. Plot shows gene length (log10) as a function of ratio of expression in tissue / expression in brain. Data are represented as Loess smoothing curves. Trendlines indicate an overall enrichment of expression of long genes in the brain compared to all other measured tissues.

For further investigation several other public datasets were explored. Figure [2.9](#page-41-1) shows the increase in expression of long genes during differentiation of mouse embryonic stem cells into motor neurons compared to myogenic and erythroid differentiation.

<span id="page-41-0"></span>

Figure 2.8: Multiple plots from Illumina Bodymap II resource. Graphs show gene expression in each tissue relative to brain (log2foldchange). Genes containing recursive sites (red) and two long genes highly expressed in muscle tissue (dystrophin and titin) are highlighted. All remaining genes are in grey.[\[Sibley et al., 2015\]](#page-147-1)

<span id="page-41-1"></span>

Figure 2.9: Public data showing effects of differentiation on different cell lines (after versus before) as a log fold change. Samples analysed from left to right include; C2C12 mouse myoblasts (GSM521256) into myogenic lineage (GSM521259) [\[Trapnell et al., 2010b\]](#page-149-1) , mouse embryonic stem cells (GSM1346027) into motor neurons (GSM1346035) [\[Herrera et al., 2014\]](#page-136-1), and differentiation of haematopoietic stem cells (GSM992931) into erythroid lineage (GSM992934) [\[Madzo et al., 2014\]](#page-141-0). [\[Sibley et al., 2015\]](#page-147-1)

#### 2.3.2 Recursive splice sites identified in human brain

Figure [2.10](#page-42-0) highlights the filtering process from 1.5 billion reads, to the 3,000 novel junctions and finally to the 11 confirmed recursive sites in 9 genes (see Table [2.1\)](#page-48-0). Part of the filtering process was modelling the co-transcriptional splicing pattern and determining if the inclusion of the RSS improved regression gradient. This could be done in both RNA-seq and FUS iCLIP data and clearly shows the consistent use of the recursive sites in these genes (Figure [2.11\)](#page-43-0).

<span id="page-42-0"></span>

Figure 2.10: Filtering of read junctions through the recursive pipeline. Pooling all brain samples 1.5 billion reads is reduced to 2981 splice junctions within long introns to the final 11 recursive sites found in 9 genes.

Recursive sites showed a strong consensus 3' intronic splice site immediately followed by a 5' splice site (Figure [2.14\)](#page-44-0) comparable to those found in Drosophilia [\[Hatton et al., 1998\]](#page-136-2). These sites are highly conserved across all vertebrate species (Figure [2.13\)](#page-44-1). Interestingly, these 9 genes (see Table [2.1\)](#page-48-0) are also some of the longest in human and these elements appear to originate from some of the longest introns across species (see Figure [2.12](#page-43-1) A). From looking at the distribution of novel recursive junctions it is clear that the vast majority occur in long genes (Figure [2.12](#page-43-1) B).

Alternative 5' splice sites were identified downstream of the recursive site indicating the potential for inclusion of an alternate exon (hereafter: recursive exon, Figure [2.15\)](#page-45-0). The alternative splice sites also appear to be conserved (Figure [2.15](#page-45-0) c). These exons contained a stop codon in almost every frame, indicating that these are likely poison exons which would cause transcript degradation through the nonsense-mediated

<span id="page-43-0"></span>

Figure 2.11: Ratio of improvement in gradient before/after adding the recursive site to modelling of the cotranscriptional sawtooth pattern. Red and black dots show junctions that significantly improve the regression gradient and goodness of fit. Grey dots show no significant change. Red dots contact RS-sites and black dots contact sequence of 3' splice sites. [\[Sibley et al., 2015\]](#page-147-1)

<span id="page-43-1"></span>

Figure 2.12: (A) Intronic lengths of RSS introns compared to all introns across different species. (B) Histogram of gene lengths (grey bars) with percentage of genes with RSS containing novel junctions (blue bars). [\[Sibley et al., 2015\]](#page-147-1)

decay pathway. This also reinforces previous studies that found exon recognition is essential for splicing to occur [\[Ameur et al., 2011\]](#page-130-3). The recursive exon appears to be a requirement for correct identification of the splice site, as experimentally proved in CADM1 [\[Sibley et al., 2015\]](#page-147-1). Interestingly, as compared to Drosophilia, the recursive site does not appear to be necessary for effective splicing of the intron.

The inclusion of a recursive exon, although at very low levels, does occur in all cells. This was investigated by interrogating the upstream gene body of 142 candidate recursive sites (high confidence targets, all cassette exons starting with 5' splice motif GURAG, and novel junctions detected that were consistent with recursive sites but failed to meet significance in linear regression analysis). Several junctions were found between recursive sites and cryptic upstream elements including unannotated promoters and exons. RT-PCR confirmed that an alternative promoter in NTM leads to 100% inclusion of the recursive

<span id="page-44-1"></span>

Figure 2.13: (Top) Recursive sites as detected using junction reads (black), the upstream splice junction (red) is abundant while the downstream poison exon junction (blue) is far less prevalent. (Middle) Linear regression of the sawtooth pattern created by binning read coverage across the intron clearly showing splicing to the recursive site. (Bottom) The sequence of the recursive site, showing 3' splice site (blue) head-to-head with a 5' splice site (red). These sites have a high level of species conservation, particularly across mammalian species.

<span id="page-44-0"></span>

Figure 2.14: Motif of the recursive site showing the polypyramidine tract and 3' splice site followed immediately by a strong consensus 5' splice site.

exon. A similar minor promoter was discovered in CADM2 (Figure [2.17\)](#page-47-0). Figure [2.16](#page-46-0) shows the major (P1) and minor (P2) promoters and the associated splice site strength (calculated by MaxEnt [\[Yeo and Burge,](#page-153-0) [2004\]](#page-153-0)) for both the reconstituted recursive and alternative RS-exon 5' splice site. Each promoter donates three nucleotides from its upstream exon to reconstitute the RS 5' splice site and this has a large impact on splice site strength. The major promoter reconstitutes a stronger splice site and is preferentially selected by the splicing machinery. However, the minor promoter's reconstituted RSS is weaker than the alternative RS-exon 5' splice site and therefore the RS-exon site is preferentially selected. All MaxEnt scores show a

<span id="page-45-0"></span>

Figure 2.15: (A) Representation of recursive poison exons containing multiple stop codons (red bars) and consensus splice site locations (blue bars). (B) Phylo-P conservation scores aligned at RS-sites and (C) alternate recursive exon 5 splice sites. [\[Sibley et al., 2015\]](#page-147-1)

similar trend for all RS sites and are present in Table [2.2.](#page-49-0)

## 2.3.3 H3k36me3 signal is deficient in long introns

The strong sequence motif of RSS lead to further questions regarding the intronic characteristics of long genes. Such strong conservation may be necessary to distinguish these sites from other background cryptic elements if the environment was not conducive to transcription. I investigated the relationship between intron length and H3k36me3, a well known transcription and splicing-related histone mark. Sequence data from human post-mortem brain tissue and mouse brain tissue were downloaded from ENCODE. H3k36me3 was compared against H3k4me1 (an unrelated enhancer mark) to determine if the effect was specific.

Based on the mouse embryonic brain data (Figure [2.18\)](#page-49-1) a significant decrease in splicing mark H3k36me3 was seen with increase in intron length. This pattern was not seen in the control enhancer mark, H3k4me1. Similarly, exon enrichment for H3k36me3 was inversely proportional to intron length but remained constant in H3k4me1. This systematic decrease in enrichment is clearly visible when looking across the introns grouped in bins according to length (Figure [2.19\)](#page-50-0).

The samples analysed for the human data are shown in Figure [2.20.](#page-51-0) These data follow the same

<span id="page-46-0"></span>



trend as dictated by the mouse data but does not have a strongly significant correlation. Reasons for this could include the quality of DNA as human post-mortem tissue tends to be more degraded and hence more variable.

<span id="page-47-0"></span>

Figure 2.17: Sawtooth co-transcriptional pattern showing the improvements made by linear regression (blue lines). Primers were included in the first RS exon (blue) and second RS exon (red). Zoomed area shows the sequence at the start of the second RS-exon which is also linked to a minor promoter. [\[Sibley et al., 2015\]](#page-147-1)

<span id="page-48-0"></span>



<span id="page-49-0"></span>

Gene, RS site	Sequence reconstituted 5'ss	Score	RS-exon sequence	Score	RS-site favoured?
PDE4D RS-site 1	TGGGTAAGT	9.23	TGGGTAAGT	9.23	<b>YES</b>
CADM1	CAGGTAAGT	12.75	GCAGTAAGT	7.27	<b>YES</b>
ANK3	AAGGTAAGT	12.19	AGGGTAAGT	10	<b>YES</b>
<b>OPCML</b>	CAGGTAAGT	12.75	<b>GAGGTATGA</b>	7.98	<b>YES</b>
PDE4D RS-site 2	TGGGTAAGT	9.23	GAGGTATGG	7.93	<b>YES</b>
CADM2 RS-site 1	AAGGTGAGT	11.31	TGGGTAAGT	9.23	<b>YES</b>
ROBO <sub>2</sub>	CATGTAAGT	8.03	<b>ACTGTATGA</b>	3.19	<b>YES</b>
HS6ST3	CAGGTAAGA	11.11	<b>ATAGTATGT</b>	4.33	<b>YES</b>
NCAM1	CAGGTAAGA	11.11	TATGTATGG	1.39	<b>YES</b>
CADM2 RS-site 2	AAGGTAAGC	10.62	AAGGTAAAA	$\overline{7}$	<b>YES</b>
<b>NTM</b>	AAGGTAAGT	12.19	CAGGTAGGT	10.73	YES

Table 2.2: MaxEnt splice scores for both RSS reconstituted 5'ss and the RS-exon alternative 5' ss.

<span id="page-49-1"></span>

Figure 2.18: Mouse embryonic brain: Relationship of intron length to histone marks H3k36me3 (splicing, repair and active transcription) and H3k4me1 (enhancer mark). Introns are binned and normalised by length.

<span id="page-50-0"></span>

Figure 2.19: Mouse embryonic brain: Relationship of intron length to histone marks H3k36me3 (splicing, repair and active transcription). Introns are binned and read counts are normalised by length.

<span id="page-51-0"></span>

Figure 2.20: Adult human brain: Relationship of intron length to histone mark H3k36me3 (splicing, repair and active transcription) across multiple brain regions. Enrichment of histone marks in exons and introns shown on the left and right respectively.

## 2.4 Discussion

Long genes have previously been linked to neurological disorders [\[Lagier-Tourenne et al., 2012;](#page-139-0) [Polymenidou](#page-143-1) [et al., 2011;](#page-143-1) [King et al., 2013\]](#page-138-0). Here I strengthen that connection by proving that long genes are enriched in brain in multiple datasets. Differentiation appears to have an effect on gene length, showing a slight trend and enrichment in long genes over differentiation. This could be due to the length of time and energy required for transcribing these genes. In actively dividing cells expression of long genes may be impractical and unlikely to complete in a timely fashion.

Here I document the first study to identify recursive splicing in vertebrates. Splicing proteins process these sites in two steps; the recursive exon is detected and the first half of the intron is removed. The recursive 5' splice site is then recognised and the remainder of the intron is removed without inclusion of any exonic nucleotides. A custom pipeline was created to analyse post mortem brain data and 11 high confidence sites were discovered. This pipeline utilised both junction reads and co-transcriptional splicing patterns to effectively characterise these splicing reactions.

Recursive sites are highly conserved and appear to prevent the use of cryptic upstream elements not consistent with the main isoform (Figure [2.21\)](#page-53-0). Although fewer sites are reported than in Drosophilia, the mechanism is also somewhat different, rather than being used to process long introns, recursive sites in human are involved in promoter control. Exon definition is a key element in splicing, even when processing recursive sites an alternate 5' end is required for splicing recognition. This exon contains multiple stop codons and thus its inclusion in a transcript results in its degradation via nonsense mediated decay (NMD).

The inclusion of the recursive exon depends on the strength of the RSS which is in direct competition with the alternate, recursive exon 5' splice site. RSS strength is largely determined by the upstream exon as this provides three crucial nucleotides of the core splice site motif. This implies that inclusion of a noncanonical upstream exon could lead to a weak RSS which would be out-competed by the alternate 5' splice site of the recursive exon. This could be predicted computationally through the splice site scoring program MaxEnt. This opens exciting possibilities to explore splicing competition as a mechanism of transcriptional control.

It is also interesting to note that RSS genes are some of the longest in the human genome. It is striking that the appearance of Alu type SINE repeat elements have provided a way for higher eukaryotes to efficiently process long introns without the help of RSS, which is required in Drosophilia. This may indicate that from this point in evolution RSS were no longer required for intron processing and could differentiate into other roles. Alternatively, it is also possible that RSS sites have evolved from Alu elements that evaded silencing RNA binding proteins.

Further work will include investigation of shorter introns for potential recursive behaviour and exploration of other non-canonical splicing mechanisms that may operate in long introns. Another avenue to explore is the evolution of these elements. It is clear that more work can be done on the relationship between repeats in long introns and the creation and function of recursive elements. The synergy in this relationship is likely to yield fascinating insights into cellular evolution.

<span id="page-53-0"></span>

Figure 2.21: (A.) Model for inclusion of recursive exon dependant on promoter usage. (B.) Schematic showing the mechanism of action for recursive splicing resulting in inclusion of the poison recursive exon with use of the minor isoform while it is excluded in the major isoform.

An investigation into histone characteristics of long genes shows a deficiency of H3k36me3 in long introns. This potentially plays a significant role in preventing aberrant transcription of sites within the intron. It also explains why H3k36me3 deacetylation is essential after transcription to prevent interference from within the intron [\[Pokholok et al., 2005\]](#page-143-2).

This also points to the need for an extremely strong splice signal for the recursive site to be effective. Exploring the relationship between genes with long introns and the constantly growing histone modification landscape could yield insights into the relationship between processing and chromatin structure. Specifically an investigation into sub groups of long introns that have very few repetitive elements or enrichment of enhancer/silencing marks might pave the way to finding other functionally related elements.

## Chapter 3

# Characterising circular RNA in the human brain

## 3.1 Introduction

#### History of RNA circles

Circular RNA (circRNA) are a recent addition to the growing ranks of non-coding RNA. The circularization of exons within a gene was originally discovered in plants, encoding subviral agents [\[Sanger et al., 1976\]](#page-146-0) and later in the sex-determining SRY gene as a result of unusual genomic structure [\[Capel et al., 1993\]](#page-132-0). CircRNA were also identified at the Fmn locus as creating an inert transcript thereby reducing the expression level of the formin protein [\[Chao et al., 1998\]](#page-133-0).

#### Circular RNA are prevalent in all forms of life

Recent publications provided computational and experimental evidence that circRNAs are pervasively expressed throughout the tree of life [\[Danan et al., 2012;](#page-133-1) [Salzman et al., 2012;](#page-146-1) [Wang et al., 2014\]](#page-151-0). From these findings a subclass of circRNA was identified as microRNA sponges, such as CDR1as. CDR1as acts a super sponge for mir-7, implicated as an important microRNA in Alzheimers disease. Absence of this circRNA in zebrafish caused a 70% reduction in mid brain size with complete loss in 5% of animals [\[Memczak et al.,](#page-141-1) [2013\]](#page-141-1). Similarly, the SRY circle was shown to be a mir-sponge for mir-138 [\[Hansen et al., 2013\]](#page-136-3).

The functions for the majority of circRNA remain unknown. The majority do not exhibit traits or

capacity to act as miRNA sponges and possess low RNA binding protein density when compared to 3'/5' UTRs. Several studies have been unable to find evidence of translation of circRNA [\[Guo et al., 2014;](#page-135-0) [You](#page-153-1) [et al., 2015;](#page-153-1) [Memczak et al., 2013;](#page-141-1) [Wang et al., 2014\]](#page-151-0) although a recent publication indicates that a rolling circle amplification mechanism (RCA) can in fact translate circRNA in eukaryotic cells [\[Abe et al., 2015\]](#page-130-2)

An alternate class of circular RNA, circular intronic RNA (ciRNA), form by circularization of introns. ciRNA impact expression of their parent genes by effecting elongation of the Pol II complex [\[Zhang et al.,](#page-154-0) [2013\]](#page-154-0). Knock-down of ci-ankrd52 slightly increased intron retention and a knock-down of downstream splicing events. ciRNA appear to be localized to the nucleus (rather than circRNA which is largely cytoplasmic) and some appear to have alternate roles (other than regulating their parent gene) as they aggregate at different locations in the nucleus. The authors argue that ciRNA may bind RNA binding proteins in a similar way that (after depletion of debranching enzymes) intronic lariats in the cytoplasm sequester TDP-43 thereby suppressing TDP-43 toxicity in ALS disease model [\[Armakola et al., 2012;](#page-131-0) [Zhang et al., 2013\]](#page-154-0)

#### Flanking intronic sequences are repeat based or bind splicing factors

Flanking intronic sequences play a key role in circularization. This can be achieved through two known mechanisms; reverse complementary repeat sequences or the binding of splice factors. The splicing factor muscleblind (MBL) has been shown to bind to neighbouring introns drastically increasing the production rate of its circRNA. The proposed mechanism suggests than when MBL protein is in excess, it binds to neighbouring introns increasing the circular isoform and decreasing mRNA production. [\[Ashwal-Fluss et al.,](#page-131-1) [2014\]](#page-131-1)

Reverse complementary repeats in neighbouring introns allow for pre-mrna folding, creating the loop required for backsplicing (Figure [3.1\)](#page-57-0). Alternative formation of inverted repeated Alu pairs (IRAlus) and competition between them can lead to alternative circularization, meaning several different circRNA can be formed from the same gene (Figure [3.2\)](#page-57-1). On average 3 Alu elements were present in both up- and downstream introns, indicating even partially complementary Alus are enough to promote RNA pairing. Any complementary sequences in flanking introns can promote circularization, an example of this is the SRY gene [\[Wang et al., 2014;](#page-151-0) [Zhang et al., 2014\]](#page-154-1)

Removal of these sequences dramatically decreases circularization efficiency. Intron length on its own is not a reliable predictor of circularization. The complementary flanking sequences are not conserved between human and mouse and indicate the ability for rapid evolutionary change. [\[Zhang et al., 2014\]](#page-154-1)

<span id="page-57-0"></span>

Figure 3.1: Diagrams outlining the proposed mechanism of circularization with inverted repeated Alu pairs (IRAlus) [\[Zhang et al., 2014\]](#page-154-1).

<span id="page-57-1"></span>

Figure 3.2: (A) Due to multiple Alu elements, there are several conformations pre-mrna can fold into indicating multiple circRNA can be formed from the same gene. (B) Three different tracks using different RNA-seq protocols namely, PolyA+ (inclusion of only mRNA with polyA tails), PolyA- (inclusion of all RNA while depleting rRNA) and PolyA- RNase R (polyA- with digestion of all linear RNA with RNase R). This shows the presence of several circRNA only when depleting linear RNA with RNase R. [\[Zhang et al.,](#page-154-1) [2014\]](#page-154-1).

#### circRNA are enriched in mammalian brain and neurological development

Several recent studies have shown that circRNAs are significantly enriched in mammalian brain but even more so in synaptic genes and synaptoneurosomes [\[Rybak-Wolf et al., 2015;](#page-145-0) [You et al., 2015;](#page-153-1) [Venø et al.,](#page-150-0) [2015;](#page-150-0) [Ashwal-Fluss et al., 2014\]](#page-131-1). These circRNAs are often well conserved between human, mouse and occasionally Drosophila and are regulated during neuronal differentiation and development [\[Rybak-Wolf](#page-145-0) [et al., 2015;](#page-145-0) [Venø et al., 2015\]](#page-150-0). Interestingly, significant expression differences are often observed between the linear and circular isoforms of neural genes, furthermore, the localization of circRNA products (and not their linear counterparts) tend to be higher at the synapse than in the cytoplasm [\[Rybak-Wolf et al., 2015;](#page-145-0) [You et al., 2015\]](#page-153-1). This leads to the conclusion that some circRNA may function independently of their linear siblings.

Brain related RNA binding proteins such as TDP-43, FUS and muscleblind have already been implicated in neurodegenerative disease and further work is essential to elucidate mechanisms and effects on circRNA and their relationship to pathology [\[Lagier-Tourenne et al., 2012;](#page-139-0) [Polymenidou et al., 2011\]](#page-143-1).

circRNA diversity in brain is estimated at 3 circRNA per gene. However, over 2,000 genes show 10 or more isoforms [\[Rybak-Wolf et al., 2015;](#page-145-0) [Venø et al., 2015\]](#page-150-0). Their complexity is further increased as there is evidence of differential inclusion of internal exons [\[You et al., 2015\]](#page-153-1). There is also evidence that circRNA differential expression is linked to neural plasticity [\[You et al., 2015;](#page-153-1) [Venø et al., 2015\]](#page-150-0). This research provides tantalizing clues to the complex cellular processes regulating creation of circRNA and their potential importance in neuronal function.

#### Potential use of circRNA as biomarkers and their relevance to cancer genomics

circRNAs have been quantified at detectable levels in saliva, blood and within exosomes. Interestingly, 60% of the 327 circles identified in saliva are non-canonical [\[Bahn et al., 2015\]](#page-131-2). The enrichment of circRNA in blood is comparable to brain, this provides a unique opportunity to explore their roles as biomarkers [\[Bahn](#page-131-2) [et al., 2015\]](#page-131-2). Some circRNA found in blood appear to be more highly expressed than their linear isoforms and may provide a proxy for quantification of expression. [\[Memczak et al., 2015\]](#page-141-2)

The discovery of exosomes, small membrane vesicles secreted by cells, has provided a unique opportunity to identify biomarkers for disease. Over a 1,000 circRNAs have been identified in human serum exosomes and are enriched in exosomes compared to host cells indicating an active regulation of circRNA transport.[\[Bahn et al., 2015\]](#page-131-2)

In cancer serum differential regulation of circles is clearly present with 67 missing species and 250

novel cancer-specific circRNA being detected. [\[Li et al., 2015\]](#page-140-0) A recent publication has shown that novel circRNA can be produced from gene fusions caused by chromosomal rearrangements (see Figure [3.3\)](#page-59-0). These circRNA can contribute to cellular transformation, promote cell viability and confer resistance to therapeutics. They have been shown to have tumor-promoting properties in in vivo testing. [\[Guarnerio et al.,](#page-135-1) [2016\]](#page-135-1)

<span id="page-59-0"></span>

Figure 3.3: (A) Chromosomal translocation in cancer produces a gene fusion which results in novel conformations of complementary Alu elements. These elements promote the circularisation of exons within the fusion gene. (B) The oncogenic fusion proteins (both linear and circular RNA) promote tumourigenesis and resistance to therapeutics. [\[Guarnerio et al., 2016\]](#page-135-1)

#### 3.1.1 Challenges in identifying Circular RNAs

#### Detection of circRNA relies on the backsplice junction

CircRNA have remained largely unexplored until now due to difficulties with detection as the only distinguishing feature from the linear transcript is the unexpected backsplice junction. This has lead to several strategies in an attempt to identify and quantify circRNA. Initial approaches focused on validation using custom sequencing kits, mostly exploiting RNase to deplete linear RNA [\[Jeck et al., 2013;](#page-137-0) [Zhang et al.,](#page-154-1) [2014\]](#page-154-1). However, total RNA-seq provides a unique opportunity to explore circRNA without requiring specific sample preparation.

Two approaches are currently in use; the first splits unmapped reads into smaller fragments, aligns them to the genome and looks for inverted mapping of read fragments, indicating a potential backsplice site. This approach can successfully identify novel, non-canonical, circRNA but requires reads to overlap the backsplice in a more or less symmetrical way [\[Memczak et al., 2013;](#page-141-1) [Hoffmann et al., 2014\]](#page-136-4). This greatly reduces its sensitivity. The majority of new tools embrace this strategy while focusing on different attributes of circRNA [\[Zhang et al., 2014;](#page-154-1) [Gao et al., 2015\]](#page-135-2).

Recently, an aligner was created using this strategy [\[Hoffmann et al., 2014\]](#page-136-4), however the resource consumption and time usage on a large dataset (50 high depth RNA-seq samples) is intractable. Average runtime per sample is 15 hours with a memory footprint of 20%. For over a terabyte of data this translates to a minimum of 200GB of memory. This software appears to be most effective when analysing data produced by a circRNA enrichment protocol (such as the use of RNase R to degrade linear mRNA) with far lower depth.

An alternative approach is to create a database of all possible backsplices from annotated exons. Aligning unmapped reads back to this database can accurately quantify all circles. Although this method is more sensitive, it is heavily annotation dependent and cannot identify non-canonical circles. [\[Salzman](#page-146-1) [et al., 2012;](#page-146-1) [Wang et al., 2014\]](#page-151-0). All circular RNAs discovered thus far have been uploaded and merged into a public repository called Circbase [\[Memczak et al., 2013\]](#page-141-1).

## 3.2 Methods

In addition to the software and tools described in section 2.1.1, this section lists the methods that have been specifically used for this chapter.

#### 3.2.1 Accurate quantification of Circular RNA

Effective identification of circular RNA relies on detection of the backsplice location. Careful quality control is essential to accurately quantify circles. The outline of the bioinformatics pipeline developed for this purpose is shown in Figure [3.4.](#page-62-0)

#### Identifying all circRNA in human brain using total RNA sequencing data

All brain samples were mapped to the human genome (build hg19) using the STAR aligner (v2.3 [\[Dobin](#page-134-0) [et al., 2013\]](#page-134-0)). All unmapped reads were pooled and realigned using the strategy outlined in [\[Memczak et al.,](#page-141-1) [2013\]](#page-141-1), all reads were divided into smaller seed fragments, aligned to the genome and all inverted fragments were taken as proof of circularization (see Figure [3.4](#page-62-0) A). These were then enumerated and filtered to produce a list of potential circular rna. All identified circRNA were merged with all known circRNAs in the Circbase repository.

#### Creation of a backsplice database and enumeration of circRNA

A backsplice database was created from the discovered circRNA (see Figure [3.4](#page-62-0) B); the 5' and 3' ends of each circRNA are joined to create an artificial reference with a total length of 150 nucleotides. This required a 100bp read to overlap with at least 15 nucleotides. Sequence reads from each brain sample were then aligned against the circRNA database and human genome simultaneously using Bowtie2 [\[Langmead and Salzberg,](#page-139-1) [2012\]](#page-139-1).

#### 3.2.2 Pitfalls to identification of Circular RNA

One concern when investigating circRNA is to be aware of situations in which reads align to scaffold junctions that do not originate from circular molecules. Although a strategy for paired-end sequencing will be discussed in the following section it is also important to investigate the spurious alignments than can occur across the read scaffold. Figure [3.5](#page-63-0) shows various instances were reads can be misaligned to scaffolds.

The first clear concern is mismatches across the read indicating mismapping. Collections of mismatches on a single end, centred around the central splice location or randomly distributed were common (Figure [3.5](#page-63-0) A,B,C). These alignments often were primary and may have resulted from similar pseudo genes, other non-canonical splicing or PCR artefacts.

A second consideration is imbalance in the overhang lengths. The scaffolds were created to minimize this as each end was 15bp shorter than a read fragment. This however, did not circumvent minimum overhang

<span id="page-62-0"></span>

Figure 3.4: Outline of bioinformatics pipeline for processing raw sequence reads to produce high confidence count data for both novel and known circRNA. Briefly, all samples were aligned using STAR, (A) unmapped reads were pooled and initial circRNA discovery was done based on the algorithm from Memzack et. al [\[Memczak et al., 2013\]](#page-141-1). (B) All identified circRNA were merged with known circles from Circbase and appropriate backsplice scaffolds were generated for each circRNA. All samples were realigned to the human genome (GRCh37) and backsplice scaffolds. (C) Raw alignment results were filtered using read scaffold alignment. Paired-end information was used to determine which fragments originated from a circular molecule (green, blue read) and which did not (purple read).  $(D)$  This information was used to determine differences in distributions of alignment scores for true positives (green line) and false positives (purple line). A threshold could then be assigned to filter reads of interest, this produced a final list of high confidence counts.

reads, with multiple mismatches on the overhang (Figure [3.5](#page-63-0) D). More common than this was the aligner labelling the last bases as low quality (a known bias in Illumina reads is their quality drop off at the 3' terminus) thereby soft-clipping these bases without directly affecting alignment score.

<span id="page-63-0"></span>

Figure 3.5: Graphical display of several common misalignments that occur when mapping reads to scaffold backsplice junctions. Separate exon ends are shown in orange and green, mismatches are shown in red, low quality bases shown in purple.

It is clear strict filters need to be applied to minimise the occurrence of these false positive alignments. Several filters were implemented to remedy these effects and are described below.

#### <span id="page-63-1"></span>Quality control of backsplice hits, filtering and library normalisation

All samples were pooled to maximize power for the remaining experiments. Each read pair was evaluated based on the alignment score of the read mapping to the backsplice database (hereafter: backsplice/scaffold read) and the location of its mate which aligned to the human genome (hereafter: genome read) (see Figure [3.4](#page-62-0) C).

All genome reads falling outside the predicted bounds of the backsplice read (denoting the outer boundary of the circRNA) are considered false positives. The backsplice reads alignment score is recorded and the distribution of true positives and false positives is calculated (Figure [3.4](#page-62-0) D). These distributions allowed determination an appropriate alignment score cut off of -15 (Figure [3.6\)](#page-64-0).

Lastly, the mapping quality (MAPQ) for each backsplice read was required to be higher than 20. Mapping quality grades the uniqueness of the read i.e. the confidence the read aligner has that it has mapped the read to the correct location.

<span id="page-64-0"></span>

**CircRNA Junction Read** 

Figure 3.6: Distribution of alignment scores for backsplice reads. Separate categories were created for genome reads located within the backsplice read bounds ("inside", red), false positives were those located outside the backsplice boundary ("outside",green) and those with unmapped mate pairs ("unmapped",blue). A threshold was set to a minimum alignment score of -15 (scale from -60 to 0) to minimise the inclusion of false positive results.

Finally, the raw counts for all circRNAs are library normalised using the bioconductor DESeq package [\[Anders and Huber, 2010\]](#page-130-1). A further step is taken to normalise these circRNA by comparing junction counts of backsplices to brain housekeeping gene GAPDH. High confidence circRNA are then annotated accordingly based on overlapping genes, pseudogenes, including a list of recently identified constrained genes intolerant to non-synonymous mutation [\[Samocha et al., 2014\]](#page-146-2). This is compiled into a database of circles, hereafter: CircBrDB.

#### Case study: circRNA differential expression in Bipolar disorder

After the creation of the CircBrDB circRNA catalogue in healthy human brain, these backsplices could be searched for in other datasets. One example is a study done by Akula et. al [\[Akula et al., 2014\]](#page-130-4) on 4 post-mortem samples of dorsolateral prefrontal cortex from 4 bipolar patients and 4 controls. Data was downloaded from the repository (GEO: GSE53239) , aligned to the database of scaffold backsplices and the human genome (GRCh37). These raw alignment results were then filtered as stipulated in section [3.2.2.](#page-63-1) The resulting backsplice counts were imported into DESeq [\[Anders and Huber, 2010\]](#page-130-1), library normalised and low abundance counts were removed. The remaining data were run through the differential expression software to determine fold change across control and bipolar brains. Results were ranked by P value.

#### 3.2.3 Pairwise analysis of highly similar gene pairs

A subgroup of the most highly expressed backsplice junctions were found to connect two proximal genes rather than lie within a single gene. These genes were often from the same family, with clear homology and similar structure. An example of this is the tubulin protein family i.e.  $TUBA1A - TUBA1B$  and  $TUBB2B$ - TUBB2A. These backsplices have been detected in other datasets [\[Rybak-Wolf et al., 2015;](#page-145-0) [Guo et al.,](#page-135-0) [2014\]](#page-135-0) and were disregarded as alignment artefact. In order to explore this relationship further a pipeline was developed to determine if these backsplice junctions and associated trans-splicing junctions were in fact biological in nature.

A flow diagram covering the computational steps of the pipeline is shown in Figure [3.7.](#page-68-0) This was implemented using Python, Biopython and custom Python libraries.

#### Compiling annotations

The CircBrDB backsplice junctions were annotated using GENCODE [\[Harrow et al., 2012\]](#page-136-0) v19 exon and intron annotations. All information was stored in data structures to allow for ease of access.

#### Parsing aligned data to retrieve valid alignment pairs for further analysis

Previously aligned data from all 48 brain samples generated by Bowtie 2 was sorted by read name and analysed. For each read pair, the read aligning to the backsplice scaffold in CircBrDB database was interrogated to ensure it was of high mapping quality. This was done to correct for the heuristic nature of the Bowtie2 algorithm, which does not penalise certain low quality mismatches towards the end of the read fragment. Manual analysis was required in order to ensure read integrity. For each read pair, the read mapping to the backsplice scaffold (backsplice read) and its mate (mate read) were investigated. Each read must achieve a mapping quality score (MAPQ) above 25 or complete sequence match to either the genome reference or the backsplice sequence (see Figure [3.7A](#page-68-0)).

#### Creating paired gene annotations for proximal gene pairs

A paired annotation is created for all provided gene pairs using GENCODE transcript annotations. This involves identifying reciprocal exons between the two genes (hereafter: exon siblings) using exon position and collecting sequence information from the exons. The backsplice junction is then annotated according to the transcripts provided, indicating exonic/intronic overlap within each transcript exon/intron. For each exon both up and downstream splice junctions are recorded. This allows for evaluation of the canonical transcript junction vs backsplice junction. This process is demonstrated in Figure [3.7B](#page-68-0).

#### Evaluating the backsplice read and determining minimum overhang

Each read mapping to a backsplice junction is split into its constitutive exons. An overhang distribution is then calculated for each exon, this provides a maximum and minimum value showing how far into the exon all backsplice reads extend (Figure [3.7C](#page-68-0)). Each exon fragment is then locally realigned to its exon sibling starting with an initial 15 nucleotide fragment from the splice site. The length of the fragment is then increased in a step-wise fashion to the maximum overhang. This provides the minimum overhang required for a satisfactory number of nucleotide differences between backsplice and canonical exon to indicate this read overhang was correctly mapped (Figure [3.7D](#page-68-0)). A threshold of 2 nucleotide changes was required to define the minimum overhang length. A dynamic programming, local alignment algorithm from the Biopython package with the gap opening penalty -1 and gap extension penalty -4 was used. [\[Cock et al., 2009\]](#page-133-2)

Each overhang is evaluated in this way to determine if it can be anchored on either end of the backsplice (Figure [3.7E](#page-68-0)). All reads that passed the minimum overhang length on both exons were flagged and counted. A large subset however only identified a single significant overhang, in order to salvage these reads the mate pair was evaluated.

#### Evaluating the mate read to validate backsplicing

The mate read was used to determine if the read pair supported the backsplice (Figure [3.7F](#page-68-0)). Similar to the exon fragment analysis, I need to identify if the mate read maps uniquely to the gene that supports the backsplice junction. The mate sequence was aligned to the exon sibling i.e. Gene A exon 2 vs Gene B exon2. In order to reduce computational cost a heuristic string matching algorithm was used to determine if there was enough difference to warrant pairwise alignment. This method elucidated high quality backsplice alignments that could be enumerated to determine the prevalence of each backsplice/transplicing event.

#### Evaluating trans-splicing

In much the same way, this pipeline allows for the evaluation of trans-spliced junctions purported to map between proximal, highly similar genes. These junctions have been largely ignored or considered mapping artefact. This is curious as mapping algorithms are technically biased towards mapping reads to known canonical splice junctions and hence a certain amount of evidence is required for the presence of these non-canonical junctions [\[Dobin et al., 2013\]](#page-134-0). Therefore, further investigation of these features alongside the backsplice junctions was undertaken.

<span id="page-68-0"></span>

Figure 3.7: Additional pipeline steps to validate backsplices between homologous genes. Annotations are downloaded from GENCODE v19 for each gene. (A) The scaffold read and genomic read are evaluated to ensure they map with satisfactory quality. (B) The genes involved in the backsplice are compared to identify exon pairs with high similarity (hereafter: sibling exons), this provides an exon to compare against the current alignment. (C) Maximum overhang per exon fragment is determined using reads aligned to the scaffold. (D) Exon siblings are pairwise aligned, differences are noted, a minimum overhang length is determined that anchors a read to a specific exon. (E) This minimum overhang can then be used to determine which reads have sufficient evidence to come from a particular exon. In most cases only one side can be uniquely anchored (coloured overhangs) while the other cannot (black overhangs). (F) The mate read can then be pairwise aligned to the exon sibling to ensure if it is sufficiently unique.

## 3.3 Results

#### 3.3.1 High confidence circRNA

All circRNAs identified in the brain dataset were collected into a database described as "CircBrDB". Table [3.1](#page-69-0) outlines the breakdown of processing circRNA, starting with 107,000 circRNA identified during the discovery step of the pipeline, a total of 1,100 circRNA were detected at high levels, above control junctions (standard splice junctions from brain house-keeping genes) see Figure [3.8.](#page-70-0) I start by investigating the circRNAs with the most read count evidence. The top 35 circRNAs (with average sample expression above 200 high quality backsplice reads per sample) are shown in Table [3.2,](#page-75-0) annotated by gene, constraint (deficiency of deleterious variation, see Chapter 4), function and disease association. A third of these are novel to CircBrDB while the remaining are also found in Circbase. CDR1as is incredibly abundant as it is only expressed in circular form [\[Memczak et al., 2013\]](#page-141-1).

<span id="page-69-0"></span>

	circRNA
CircBrDB (Identified in brain)	107,560
All circRNAs in Circbase	92,369
Final merged database (CircBrDB and Circbase)	112,652
Total detected circRNA with $>5$ high quality reads	103,549
Total above stringent mapping error controls	1,100
High confidence targets $(>100 \text{ counts})$	80
High confidence targets $(>200 \text{ counts})$	35

Table 3.1: Breakdown of circRNA backsplices identified in brain.

## 3.3.2 Backsplice junctions forming between closely related genes and genepseudogenes are abundant in the brain

Several of the top hits in Table [3.2](#page-75-0) originate from circRNA formation between two proximal (10-50kb apart) but separate genes. These genes often belong to the same gene family and have identical exon structure. It was also observed that backsplicing occurs between a gene and its proximal pseudogene. Examples include; CKMT1B-CKMT1A, TUBB2A-TUBB2B and TUBA1B-TUBA1A. Interestingly, both tubulin families (TUBA and TUBB) have a large number of high quality backsplice counts. These backsplice junctions persist in the data even under very strict filters (mapping quality  $(MAPQ) > 30$ , alignment score  $(AS) > -10$ ) designed to correct for homology. The distribution of novel to known circles found between genes is shown in Figure [3.9.](#page-71-0)

<span id="page-70-0"></span>

Figure 3.8: Venn diagram outlining the overlap backplice junctions (denoting circRNA) found in CircBase (public repository) and CircBrDB (database used in this study).

Inclusion of gene-pseudogene backsplices in the top 35 indicate these pseudogenes may have a yet unexplored function. Proximal genes (and gene-pseudogene pairs) are always located on the same strand suggesting that transcript read-through could generate one pre-mRNA molecule. A recent publication points to this happening in proximal genes in cancer [\[Grosso et al., 2015\]](#page-135-3). Due to the high sequence identity between these genes a method had to be developed to verify these results. To the author's knowledge no tool exists to tackle this specific alignment query.

#### 3.3.3 Pairwise realignment of backsplice junctions

A further processing step was created to determine the validity of backsplice junctions between highly similar genes. Results will be focused on the two most highly expressed backsplice junctions in the Tubulin gene families. Tables [3.3,](#page-76-0) [3.4](#page-76-1) and [3.5](#page-76-2) show the backsplice junctions present that pass realignment analysis in the TUBA gene pair, TUBB gene pair and TUBB2B and pseudogene pair respectively. Scaffold reads, that map to the backsplice uniquely, are the strongest evidence followed by mate reads which show that both reads from a mate pair map in a configuration consistent with the backsplice. The majority of backsplice junctions are too similar to distinguish from aligner artefact. However, the TUBA gene pair shows expression of several backsplices with scaffold support. The TUBB genes show a single strongly supported junction while the high similarity between TUBB2B and its pseudogene show inconclusive results.

<span id="page-71-0"></span>

Figure 3.9: A Venn diagram outlining the known occurrences of backsplice junctions between proximal genes in CircBase (public repository) and CircBrDB (database used in this study).

#### Pairwise realignment of transplicing junctions

Similarly, the results for transplicing realignments are shown in Tables [3.6,](#page-77-0) [3.7](#page-77-1) and [3.8](#page-77-2) for TUBA gene pair, TUBB gene pair and TUBB2B - pseudogene pair respectively. These junctions, are more abundant and appear to be more pervasive. Interestingly, very few show scaffold reads indicating the scaffold is not specific enough to anchor a read on both sides. It is noted that TUBB2B and pseudogene show scaffold reads in both backsplice and transplicing junctions.

## 3.3.4 Reciprocal back/transplicing junctions across Tubulin genes

Based on the results from the pairwise realignment, both backsplice and transplicing junctions appear in a reciprocal configuration. Figures [3.10](#page-72-0) and [3.11](#page-72-1) show the proposed model, whereby the transplice and backsplice products could be created simultaneously from a splicing reaction between the two mRNA transcripts. Figure [3.12](#page-73-0) shows the genomic context around these genes with the percent of total transplicing junctions compared to canonical splicing.
# TUBB2A-B



Figure 3.10: Reciprocal splicing in TUBB gene pair. TUBB2A (green) and TUBB2B (orange) show transplicing (red) and backsplicing (blue) junctions. Total numbers of junctions found across all samples are included. Transplice and backsplice junctions are grouped into reciprocal pairs (yellow text).



Figure 3.11: Reciprocal splicing in TUBA gene pair. TUBA1B (orange) and TUBA1A (green) show transplicing (red) and backsplicing (blue) junctions. Total numbers of junctions found across all samples are included. Transplice and backsplice junctions are grouped into reciprocal pairs (yellow text).



Figure 3.12: Comprehensive illustration of splicing in Tubulin gene pairs within their genomic context. Transplicing (red) and backsplicing (blue) junctions are shown. The percentage of junctions that are identified as transplicing are shown in red text.

### 3.3.5 Novel circRNA found in 18S rRNA

A brain-specifc circRNA (hg19 location chr21:9827249-9827513) was detected 60kb away from the nearest gene (Figure [3.13\)](#page-73-0), this region is highly enriched for histone marks including H3k36me3. This circle does overlap both spliced ESTs and a Human 18S ribosomal RNA repeat sequence. This could describe an additional level of control over ribosomal genes, or it could be involved in rolling circle transcription identified previously [\[Hourcade et al., 1973\]](#page-136-0).

<span id="page-73-0"></span>

Figure 3.13: A UCSC browser track of the brain specific circle located in an 18S rRNA gene.

#### 3.3.6 Case study: circRNA differential expression in Bipolar disorder

After classifying circRNA across multiple brain regions this database could be applied to other human brain data to sensitively quantify the presence of circRNA in much the same way as protein coding transcripts can be used to estimate expression.

A differential expression was performed on high confidence count data from publicly available, rRNA depleted samples of dorsolateral prefrontal cortex from 4 bipolar patients and 4 controls [\[Akula et al., 2014\]](#page-130-0).

Interestingly, one of the largest fold-changes occurred in *BPTF* (Table [3.9\)](#page-77-0), a constrained gene implicated as being involved in the development of Bipolar disorder [\[Li et al., 2013\]](#page-140-0). As FDR significance cannot be achieved with current counts these results are speculative. The study showed that the linear BPTF mRNA did not show any significant changes to transcript or gene expression [\[Akula et al., 2014\]](#page-130-0). This circle and others have been observed in several datasets [\[Salzman et al., 2012;](#page-146-0) [Memczak et al., 2013;](#page-141-0) [Venø et al., 2015\]](#page-150-0) including maternal plasma [\[Koh et al., 2014\]](#page-138-0). Recently, it has been reported that several circRNAs in BPTF are some of the most highly expressed in brain [\[Rybak-Wolf et al., 2015\]](#page-145-0). This opens the possibility of looking at the expression change of circRNA to uncover subtle isoform changes that could provide insight to their function and regulation.





Circ ID	Database type	Circle counts	Fits circle (mate)	Fits circle (scaffold)
039370	CircBrDB	65	104	104
0026129	Circbase			
006692	CircBrDB	126	492	492
0026130	Circbase			
072371	Circbase, CircBrDB	267	464	278
048643	CircBrDB	333	870	780

Table 3.3: Backsplice junctions for the  $TUBA1A/B$  gene pair. Circle counts are the total reads mapping to the scaffold read with Mapping Quality higher than 30. Fits circle (mate) indicates the number of read pairs that map in a configuration consistent with backsplicing. Fits circle (scaffold) indicates the number of scaffold reads consistent with the backsplice. Circbase is a public repository for circRNA while CircBrDB is the database generated during this study from brain data.

				Circ ID Database type Circle counts Fits circle (mate) Fits circle (scaffold)
	$050637$ CircBrDB			
001517	CircBrDB		19	19
	103425 CircBrDB			
022384	CircBrDB	2.352	5,306	4.252

Table 3.4: Backsplice junctions for TUBB2A/B gene pair. Circle counts are the total reads mapping to the scaffold read with mapping quality higher than 30. Fits circle (mate) indicates the number of read pairs that map in a configuration consistent with backsplicing. Fits circle (scaffold) indicates the number of scaffold reads consistent with the backsplice. Circbase is a public repository for circRNA while CircBrDB is the database generated during this study from brain data.

			Circ ID Database type Circle counts Fits circle (mate) Fits circle (scaffold)
$083557$ CircBrDB			
$032750$ CircBrDB	196	206	
$043960$ CircBrDB			

Table 3.5: Backsplice junctions for TUBB2B and pseudogene. Circle counts are the total reads mapping to the scaffold read with mapping quality higher than 30. Fits circle (mate) indicates the number of read pairs that map in a configuration consistent with backsplicing. Fits circle (scaffold) indicates the number of scaffold reads consistent with the backsplice. Circbase is a public repository for circRNA while CircBrDB is the database generated during this study from brain data.



Table 3.6: Transplice junctions for  $TUBA1A/B$  gene pair. Transplice counts are the total reads mapping to the scaffold read with mapping quality (MAPQ) higher than 30. Fits Transplice (mate) indicates the number of read pairs that map in a configuration consistent with transplicing. Fits Transplice (scaffold) indicates the number of scaffold reads consistent with the transplice. Circbase is a public repository for circRNA while CircBrDB is the database generated during this study from brain data.



Table 3.7: Transplice junctions for TUBB2A/B gene pair. Transplice counts are the total reads mapping to the scaffold read with mapping quality (MAPQ) higher than 30. Fits Transplice (mate) indicates the number of read pairs that map in a configuration consistent with transplicing. Fits Transplice (scaffold) indicates the number of scaffold reads consistent with the transplice. Circbase is a public repository for circRNA while CircBrDB is the database generated during this study from brain data.



Table 3.8: Transplice junctions for TUBB2B and pseudogene. Transplice counts are the total reads mapping to the scaffold read with mapping quality (MAPQ) higher than 30. Fits Transplice (mate) indicates the number of read pairs that map in a configuration consistent with transplicing. Fits Transplice (scaffold) indicates the number of scaffold reads consistent with the transplice. Circbase is a public repository for circRNA while CircBrDB is the database generated during this study from brain data.

<span id="page-77-0"></span>

Table 3.9: Differentially expressed circRNA in Bipolar brain. Data was produced using DESeq [\[Anders](#page-130-1) [and Huber, 2010\]](#page-130-1) differential expression of backsplices identified in the dorsolateral prefrontal cortex from 4 patients and 4 control samples. A circRNA in BPTF is identified as differentially expressed and has been shown as a recent candidate for the development of neurodevelopmental disorders [\[Li et al., 2013\]](#page-140-0).

## 3.4 Discussion

circRNA are a new class of non-coding RNAs generated by backsplicing of an upstream 3' exon start to a downstream 5' exon end. Formation of circRNA is reliant on these exon splice sites being brought into close proximity. This is catalysed by complementary sequences in flanking introns, either directly through nucleotide hybridization or via RNA binding proteins. A minority of circRNA can function as microRNA sponges. Current evidence suggests that circRNA utilize the spliceosome similarly to linear transcripts thereby controlling gene expression through competition. However, data suggests there are other, undiscovered functions for these noncoding molecules.

This chapter outlines an analysis protocol to produce robust counts for circRNA from RNA-seq data. A custom 2-step pipeline is used to sensitively recover as much data as possible, emphasizing the use of paired-end data. This approach is applied to discover the most in-depth list of backsplice events in the human brain currently available, nearly doubles the number of circRNA backsplice junctions in Circbase when looking at the most robust and highly expressed circRNA.

A pipeline was designed to systematically investigate trans/backsplicing between pairs of proximal genes. This is the first algorithm to investigate splicing between highly similar genes although similar analysis approaches have been created to determine locations of overhangs in repeats using megaBLAST [\[Wilson and](#page-153-0) [Stein, 2015;](#page-153-0) [Criscione et al., 2014\]](#page-133-0). This pipeline is both resource and labour intensive as it cannot be applied indiscriminately, it is essential to verify transcript structure and gene annotations.

I find reads that consistently map the back/transplices between these genes. There can be several explanations for this. One possibility is biological or technical noise, high transcription rates of these genes, partial degradation of transcripts and random ligation events could produce these fragments which can then be amplified by PCR. However, the fact that I see these backsplices consistently across brains samples with a distribution of overhang lengths indicate multiple different fragments originate from these backsplices. Paired-end sequencing, which has been undervalued in identification of circRNA, greatly improves resolution by providing consistent mate-pairs mapping uniquely in a fashion concordant with these backsplices.

circRNA / backsplice count data is a gross underestimation of transcript abundance. Detecting circles relies heavily on the backsplice junction although, if paired-end data are available, this could be mitigated to some degree. This is further hampered by the need for unique reads when dealing with highly similar genes. Ultimately, if these splicing events are real they are likely expressed at much higher frequency than stated. These biases will affect accurate quantification as well as statistics applied to this data, specifically differential expression which is designed for canonical gene expression.

For backsplicing to occur splice sites must be proximal. This implies backsplices between genes requires structural changes in the chromatin. Recent studies have revealed that regions containing highly expressed genes tend to be folded into loops with the help of the transcription factor CTCF [\[Tang et al.,](#page-148-0) [2015\]](#page-148-0). These regions also tend to be significantly enriched for histone marks which aid transcription. In cancer the role of CTCF in preventing the formation of trans-gene products due to insulating loops [\[Qin](#page-143-0) [et al., 2015\]](#page-143-0) has been investigated.

Another consideration is whether the backsplice would create a circular RNA. This would require transcript read-through between genes. It was recently shown that read-through between proximal genes does occur and can be linked to tumor phenotypes [\[Grosso et al., 2015\]](#page-135-0). In the available data no significant evidence of transcript read-through could be detected. This could be due to the low copy number of these events or the instability of such a long RNA fragment. An alternative hypothesis is that two separate RNA molecules could interact post-transcriptionally to produce two hybrid linear fragments. In order to answer these questions laboratory validations will be required.

There is great potential for circRNA based on independent cellular regulation from linear isoforms, enrichment in blood plasma and saliva and their association with neuronal cells and various RNA binding factors implicate their importance as key molecules in cellular processes. The recent finding that gene fusions in cancer can create novel circRNA through complementary Alu elements within the fused introns indicates structural aspects are crucial in circRNA synthesis. The fact that these cancer-specific circRNA are tumourgenic and provide resistance to therapeutics only emphasizes the need for further investigation. [\[Guarnerio et al., 2016\]](#page-135-1)

Here I expand on the known catalogue of circRNA and related backsplicing in the brain while exploring a peculiar subclass of RNA molecules potentially generated by splicing between transcripts.

# Chapter 4

# Annotating and functional determination of non-coding features using variant information from exome sequencing

## 4.1 Introduction

The human genome is a vast landscape containing 3 gigabases of sequence. An estimated 1% resides within genes and other functional units and yet these are so paramount to cellular function they show similarity across various species. One key aspect to understanding the genome is identifying ways to annotate and discover patterns of bias in sequence data. Here I explore how a similarly powerful method can give further insight into cellular function.

#### <span id="page-80-0"></span>4.1.1 Variant conservation as a method to identify constrained sequence

The similarity of genes across diverse species is one of the fundamental observations of evolutionary genetics. This information can be utilized to determine nucleotide conservation across species indicating the importance to cellular function. Sequence conservation across species is widely used to define functional genetic elements. Nucleotide substitution rates that are lower than expected by neutral drift indicate the cells need to conserve particular sequence motifs. This has spurred computational comparisons of vertebrate genomes to elucidate classes of functional elements including protein-coding genes, RNA genes, enhancers and microRNA target sites [\[Guigo et al., 2003;](#page-135-2) [Nobrega et al., 2003;](#page-142-0) [Siepel et al., 2007\]](#page-147-0). These methods have proved highly valuable but lack the ability to identify species-specific conservation or recently evolved mechanisms.

The use of exome sequencing to identify rare, casual variants has paved the way for the analysis of complex, heritable traits. Through using the ExAC exome consortium Samocha et al. were able to estimate the rates of de-novo mutation, produce gene-specific probabilities for different mutation types (such as synonymous, missense, nonsense, essential splice site and frameshift) and apply these to find genes which have significantly fewer mutations than expected (See Figures [4.1](#page-81-0) and [4.2\)](#page-82-0) [\[Samocha et al., 2014\]](#page-146-1). These "constrained" genes are not only enriched for many disease associated genes but contain hundreds of unknown genes which have yet to be understood [\[Samocha et al., 2014\]](#page-146-1). This resource provides an additional level of annotation when analysing variant data as mutations within these regions could be highly relevant to disease causation.

With the creation of large exome consortia such as UCLex and ExAC (See Chapter 1) I am now able to query variants directly to determine the proportion of variation across sequence features. This provides an unprecedented opportunity to confirm human-specific elements with base-pair resolution.

<span id="page-81-0"></span>

Figure 4.1: (A) Distribution of Z scores for missense mutations across genes in the human genome. Z scores are based on observed vs expected prevalence of single nucleotide polymorphism (SNPs) using ExAC data. A tail of significantly invariant genes is shown beyond the red line. (B) This highlights a higher prevalence of non-synonymous missense variation in Autism spectrum disorder (ASD) and intellectual disability compared to unaffected individuals. Black lines indicate population means. [\[Samocha et al., 2014\]](#page-146-1)

<span id="page-82-0"></span>

Figure 4.2: (A) Distribution of Z scores across genes in the human genome. Z scores are based on observed vs expected prevalence of SNPs using ExAC data, divided into synonymous(grey), missense(orange) and protein-truncating(red). (B) The proportion of genes that are highly intolerant to deleterious mutation, broken down into categories based on ClinGen annotation. Showing the relationship between cellular importance and probability of genes to be highly intolerant to deleterious mutation. This is showcased by haploinsufficient (HI) genes that consist mostly of constrained genes. [\[Samocha et al., 2014;](#page-146-1) [The EXaC](#page-149-0) [Consortium, 2015\]](#page-149-0)

#### 4.1.2 Branchpoints are an essential element to exon recognition and splicing

Branchpoints are one of the crucial exonic features required for the formation of the intronic lariat and recognition of the intronic 3' splice site [\[Reed, 1989\]](#page-144-0). Disruption of these locations can result in splicing defects attributed to numerous hereditary diseases [\[Stenson et al., 2003\]](#page-147-1).

Identification of branchpoints is not trivial as they are intronic and poorly understood. In silico prediction of these sites have provided a large number of candidates but verifying these sites was intractable [\[Corvelo and Eyras, 2008\]](#page-133-1). Alternatively, lariat-spanning junctions can be mined from total RNA-seq data [\[Taggart et al., 2012\]](#page-148-1) or lariat debranching enzymes can be inhibited during sample preparation [\[Bitton et al.,](#page-132-0) [2014\]](#page-132-0). Both approaches are suboptimal in terms of high throughput discovery and have only elucidated a few hundred results.

Recently two studies have identified tens of thousands of branchpoints genome-wide using two different methods. Through the use of individual nucleotide resolution UV cross-linking and immunoprecipitation (iCLIP) [König et al., 2010] more than 64% of branchpoints across 50,000 introns have been resolved [\[Briese](#page-132-1) [et al., 2016\]](#page-132-1). Secondly, by enriching for intronic lariats (via RNase R digestion) and reverse transcribing the branched junction (CaptureSeq) Mercer et. al were able to determine branchpoint location (Figure [4.3\)](#page-84-0) [\[Mercer et al., 2015\]](#page-142-1). This identified 59,359 high-confidence human branchpoints in >10,000 genes. Their results supported previous reported studies that the conservation of the U2 binding site; the upstream U and branchpoint A (UnA, referred to as Bbox) remained the most conserved. However, it was also noted that splicing is resistant to Bbox mutations [\[Berglund et al., 1997;](#page-131-0) [Gao et al., 2008\]](#page-134-0). Another recent study looked at the impact of branchpoint distance from the 3' splice site. Proximal branchpoints were six times more likely to be spliced [\[Rosenberg et al., 2015\]](#page-145-1) indicating that clear effects on splicing can be observed at these locations.

These breakthroughs have made the study of branchpoints possible. For the first time, I am able to evaluate these cryptic features using human polymorphism data.

# 4.1.3 Exploring splice site variation by integrating genomic variation with gene expression data

High-throughput functional interpretation of variation has only recently become feasible thanks to the rise of next generation sequencing. The GEUVADIS consortium combined RNA-seq from lymphoblastoid cell lines of 462 individuals from the 1,000 Genomes Project [\[Abecasis et al., 2012\]](#page-130-2) with their variant data [\[Lappalainen](#page-139-0) [et al., 2013\]](#page-139-0) (see Section [1.4.2](#page-26-0) for more information). This landmark study illustrated the relationship and effect of exonic variation on gene expression. Analysis performed focused on expression quantitative trait loci (eQTLs), the effect of regulatory and loss of function variants and allele-specific effects. This was succeeded by a second study [\[Rivas et al., 2015\]](#page-144-1), looking at protein truncating variation (PTV), using GEUVADIS data in combination with gene expression and exome data from the Genotype-Tissue Expression (GTEx) consortium [\[Genotype-Tissue Expression Consortium, 2015\]](#page-135-3).

Interestingly, their focus on how premature stop codons can trigger nonsense-mediated decay (NMD) supports previous findings that these variants tend to occur roughly 50bp upstream of the 3' exonic splice site [\[Nagy and Maquat, 1998\]](#page-142-2). They improve this prediction substantially while noting that rare PTVs are more likely to trigger NMD. They also note the effect of variation around splice sites, defining variants which affect exon expression and how this relates to the allele frequency of the variant (see Figure [4.4\)](#page-85-0) . Overall this study emphasises the importance of nucleotides close to the splice site motif but does not discuss how this information can be used to improve current prediction or how sequence affects the probability of mutation.

An alternative approach to determining variant effects on gene expression, specifically through investigation of alternative exon splicing, was done using a neural network called "SPANR" (Figure [4.5\)](#page-85-1). This algorithm combined variant information with thousands of annotated RNA binding factors, splicing related features and splice site annotations. Each variant was then classified according to the features they dis-

<span id="page-84-0"></span>

Figure 4.3: Identification of branchpoints using (A) CaptureSeq and (B) RNase R to digest linear mRNAs and selectively enrich circular RNAs including lariats. (C) Reads are aligned to the human genome to identify branchpoint locations with the 3' termini indicating the branching nucleotide.(D) Examples of identified branchpoints in the EEF2 gene. [\[Mercer et al., 2015\]](#page-142-1)

rupt and other surrounding RNA features. This information was then combined with splicing information from multiple cell types to train the model to estimate the effect for each variant. This process produced robust and impressive results but remains computationally very expensive and limited to predicting inclusion/exclusion of alternative exons. The question of how much variation can be captured using just splice

<span id="page-85-0"></span>![](_page_85_Figure_0.jpeg)

Figure 4.4: An integrated analysis of Genotype-Tissue Expression (GTEx) consortium data. (A) Shows the proportion of variants that effect splicing efficiency and their nucleotide position relative to the splice site. (B) Shows a classification of the types of events that results from splicing. [\[Rivas et al., 2015\]](#page-144-1)

<span id="page-85-1"></span>site information is not addressed. [\[Xiong et al., 2014\]](#page-153-1)

![](_page_85_Figure_3.jpeg)

Figure 4.5: (A) Top: A pipeline using machine learning techniques to predict splicing changes by correlating DNA/RNA features with splicing levels in healthy tissues. (A) Bottom: This technique can be applied to filter lists of variants to identify those with a high probability of resulting in splicing changes within genes. [\[Xiong et al., 2014\]](#page-153-1)

A significant study was recently published that explored alternative exon regulation through introduction of systematic variation in thousands of minigenes transfected into human HEK293 cells. Through the analysis of thousands of data points a model was designed to predict exon skipping effects and variant effects on splice sites. This model used the ratios of exonic hexamers, which appear to function in an additive

way in splicing. These hexamers included core sequences for exonic enhancers/silencers, branchpoints and cryptic splice sites and outperformed the machine learning algorithm "SPANR" mentioned earlier [\[Rosenberg](#page-145-1) [et al., 2015\]](#page-145-1)

Rosenberg et. al noted that although degenerate sequences in the introns had an impact on splicing, there are indeed many more splicing enhancer/silencing sequences than previously discovered that operate within exons and have a stronger impact than intronic sequence. They were able to predict SNP effects within the alternate exon and splice sites with high accuracy better than that of the state of the art software MaxEnt [\[Yeo and Burge, 2004\]](#page-153-2). Similar to SPANR their software resides as a web service, with limited use to predict exon skipping as it requires exon definition for all three exons. This imposes limits on number of calls that can be made at one time and lack of automation as it must be manually submitted. [\[Rosenberg](#page-145-1) [et al., 2015\]](#page-145-1)

## 4.2 Methods

In addition to the software and tools described in section 2.1.1, this section lists the methods that have been specifically used for this chapter.

#### 4.2.1 Calculating the cumulative variant ratio across features of interest

In order to determine whether variants could provide insight into feature conservation both UCLex and ExAC [\[The EXaC Consortium, 2015\]](#page-149-0) datasets were interrogated. For each feature a 20bp flanking region was defined. The cumulative ratio of variants to reference calls was calculated for each position across all features. Features tested include; splice-sites of internal exons, branchpoints and the first 60bp of internal exons for all highly constrained genes [\[Samocha et al., 2014\]](#page-146-1).

#### 4.2.2 Elucidation of potentially deleterious branchpoint variants

In order to gain the highest resolution branchpoint features from both described high throughput studies [\[Briese et al., 2016;](#page-132-1) [Mercer et al., 2015\]](#page-142-1) were merged. This resulted in a final set of over 90,000 branchpoints. Schematic of the process is shown in Figure [4.6.](#page-87-0)

Through visual inspection of the cumulative ratio plots it became clear the  $1^{st}$  motif position (upstream U) and  $3^{rd}$  position (branchpoint A) (UnA) remain the most conserved, in agreement with previous studies [\[Berglund et al., 1997;](#page-131-0) [Gao et al., 2008\]](#page-134-0). Through mining these positions in the available consortia,

<span id="page-87-0"></span>![](_page_87_Figure_0.jpeg)

Figure 4.6: Merging branchpoints to achieve an annotated and comprehensive list of all variants. Identified branchpoints from one study (red) are merged with a second (orange), overlapping branchpoints are merged into a single site (blue).

filtering for extremely rare homozygous variants, I was able to select a small number of potentially disease causing candidates present in branchpoints. Ultimately, to determine their effect on splicing further investigation was necessary.

# 4.2.3 Interpreting splice site variation through integration with gene expression data

A custom pipeline was developed to integrate exome-based genomic variation from the 1,000 genomes project with polyA RNA-seq from the GEUVADIS study [\[Lappalainen et al., 2013\]](#page-139-0). This data was then applied to splicing related features to determine the functionally observable effect of mutation.

This pipeline consisted of custom python scripts with extensive use of Pysam, Biopython and Bedtools libraries [\[Cock et al., 2009;](#page-133-2) [Quinlan and Hall, 2010;](#page-144-2) [Li et al., 2009\]](#page-140-1).

#### Defining splice site and branchpoint annotations and gathering variant information

A full list of exonic splice sites was retrieved from the GENCODE v19 annotation [\[Harrow et al., 2012\]](#page-136-1). All known branchpoints from both studies [\[Mercer et al., 2015;](#page-142-1) [Briese et al., 2016\]](#page-132-1) were merged into a single database using Bedtools. This merged annotation was used for all further investigation.

A strict sequence definition was then created for both splice sites and branchpoints based on accumulated data from variant graphs and literature. Variants occurring in the last exonic position or two first intronic positions of both 5' / 3' splice sites were recorded. Similarly, for branchpoints only variants occurring at the central A or upstream U (UnA) were recorded and used for further analysis. This strict definition was chosen to ensure the variants directly affected the features as several other exonic features in close proximity may also overlap extended splice motifs [\[Xiong et al., 2014\]](#page-153-1). These variants were grouped into a splicing associated variation database and used for all further analysis.

#### Exon expression analysis of potentially deleterious mutation

Exon expression data from the GEUVADIS project was analysed to determine if there was a visible effect on gene/exon expression in the data. These data consisted of library depth and Peer-factor normalized read counts. For each variant all samples with at least one allele (heterozygous or homozygous) were grouped and compared to remaining wildtype samples across the gene of interest containing the splicing variant.

#### Annotation of variants and creation of a variant splice site score

#### Splice sites annotation

In order to focus on rare variants most likely to result in deleterious change a threshold was set for variations with fewer than 10 homozygote samples.

<span id="page-88-0"></span>For each variant the entire splice site sequence was extracted. The variant was applied to the human reference sequence to create a mutated splice site. With small indels care was taken to replace nucleotides in a manner to maintain exon integrity. Table [4.1](#page-88-0) shows the bases extracted from each splicing feature.

		Splicing feature Number of exonic bases Number of intronic bases
5P	2 exonic	7 intronic
3P	2 exonic	20 intronic
RP		5 intronic

Table 4.1: Sequence extracted from each splicing feature for further analysis.

Both 3' and 5' splice sequences were scored using the splice site scoring tool MaxEnt [\[Yeo and Burge,](#page-153-2) [2004\]](#page-153-2). MaxEnt models sequence motifs based on the principle of maximum entropy. The maximum entropy distribution is determined using a set of constraints estimated from available splice site data. MaxEnt outperforms naive motif summarising approaches by taking the surrounding sequence of each nucleotide position into account. The difference in splice site score was calculated between wildtype and variant splice sites. This was used to indicate the degree of deviation from the functional splice site.

#### Branchpoint annotation

A position specific scoring matrix commonly used for motif discovery was applied on the annotated branchpoint database. A degenerate consensus was created. This was concordant with current research indicating the first and third positions were generally "U" and "A" respectively. The score was normalized using

the average intronic GC content (GC 40%). Scoring was done according to a PWM formula originally used for splice sites and other biological sequence [\[Shapiro and Senapathy, 1987\]](#page-147-2). The algorithm was implemented using Biopython [\[Cock et al., 2009\]](#page-133-2), the equation is shown below:

$$
score = 100 \times \frac{Variant PWM score - Minimum PWM score}{Maximum PWM score - Minimum PWM score}
$$

#### Mining BAM files for splice junction data

For each variant position GENCODE v19 annotation was used to identify the gene, exon of interest and upstream exon. All data from the gene of interest were extracted from the 426 BAM files (mapping statistics are available in Appendix Table [2\)](#page-155-0). All junction reads that have at least a 15bp overhang on either side of the intron and were in proximity to the exon of interest were retained. Read counts were extracted from the shores of the exon of interest and the neighbouring exon connected by the junction.

In order to make this analysis tractable optimization was essential as storage and processing of 426 RNA-seq samples was over 2 terabytes of binary compressed data. In order to minimize resource requirements all genes of interest were extracted from all BAM files to create a smaller, easily accessed copy. All junctions and read counts were recorded in hash tables for efficient storage.

After collection of the raw data the canonical splice junction (hereafter; JunctionA) was identified. This was defined as the most highly expressed junction across all samples. Several other statistics were collected based on this junction and are described in Table [4.2](#page-90-0) and Figure [4.7.](#page-90-1) As all splicing starting from the same splice site was in direct competition, this allowed the calculation of canonical junction ratios compared to other non-canonical junctions from the same splice site. These splicing ratios were implemented at both the effected variant splice site and the upstream splice site connecting the junction (UPSTR). Furthermore, it was noticed that in some cases splice junctions were shifted a short distance from the splice site (due to the effect of the variant). In order to capture these a separate statistic (JA Ratio) took these junctions into consideration.

Shifted junctions were defined as junctions that originate within 20bp of the exon of interest splice site and splice in the same direction as the canonical splice junction. A similar approach was taken for the Branchpoint variants as these were associated with the downstream 3' splice site. Filtering was done to remove all samples with low upstream exon expression  $\ll 1.15$  normalized exon shore coverage) indicating insufficient expression for analysis.

<span id="page-90-0"></span>![](_page_90_Picture_76.jpeg)

Table 4.2: Statistics generated from splice junctions and exon expression. \*Shifted junctions are defined as junctions that originate within 20bp of the exon of interest splice site and splice in the same direction as the canonical splice junction.

<span id="page-90-1"></span>![](_page_90_Figure_2.jpeg)

Figure 4.7: Calculation of multiple ratio statistics dependent on which splice site is being investigated. A. Upstream splice site (UPST). B. Variant location (exon of interest i.e. EOI) and C. Looking only at shifted junctions from the variant location (JA Ratio). D. Exonic shores within the variant and upstream exon (Variant exon, UPSTR exon).

#### Statistical testing to determine significant difference and correlation

A Wilcoxon ranked test was applied to test each statistic; data were divided between wildtype and variant (heterozygous and homozygous were grouped) samples. This provided a P value to gage the difference in expression between these groups indicating whether a change is in fact present.

I correlated the score of each variant to each statistic by fitting a linear model. Initially there was no significant trend in the data. This was largely due to high variance in gene expression leading to inclusion of uninformative samples, this made distinguishing lack of signal from lack of coverage intractable. I filtered aggressively using the P values from the Wilcoxon test (p value  $< 0.0005$ ) to allow the selection of variants that show differential expression between wildtype and variant alleles. From this filtered data significant correlation between variant score and splicing statistics was obtained.

Given the P value distribution tends towards bimodal/non-normal further verification was necessary. In order to verify results each statistic was bootstrapped 1,000 times with replacement to achieve an average r-squared. In order to get a measure of sensitivity a leave one out cross validation was also performed.

## 4.3 Results

#### 4.3.1 Variant ratio graphs

Figure [4.8](#page-92-0) shows variant frequencies at each codon position closely mimic traditional sequence conservation. Figures [4.9](#page-93-0) and [4.10](#page-93-1) show overlapping variant graphs of splice sites and branchpoints from UCLex and ExAC respectively. The overlay of splice sites with branchpoints is intended purely as context for comparison of frequency of variation between these two features. It is clear that splice sites have far lower variation than branchpoints (where conserved positions are about as invariant as exons).

Figures [4.9](#page-93-0) and [4.10](#page-93-1) have similar trends indicating the robust nature of variant summary regardless of individual samples. It is clear that the first two intronic splice site positions are highly conserved. Interestingly, the first exonic position is shown as equally highly conserved in the ExAC data. When evaluating the branchpoint graph it is clear that both the first and third positions are conserved compared to the intronic context. The first position appears to be more highly conserved in both UCLex and ExAC datasets.

<span id="page-92-0"></span>![](_page_92_Figure_0.jpeg)

Figure 4.8: The cumulative ratio of variants across internal exons of highly constrained genes. Each codon position is represented by a different colour. Codon conservation is clearly observed indicating the sensitivity of this approach to identify functional conservation.

#### 4.3.2 Potential branchpoint disease variants

By evaluating low frequency changes at the  $1^{st}$  position and  $3^{rd}$  position of all identified branchpoints (within range of the exome capture) it is possible to identify potentially disruptive mutations.

At least 103 (51:  $1^{st}$  position, 52:  $3^{rd}$  position) homozygous branchpoint changes were identified in the UCLex data, 20 % of these fall within the constrained gene category.

For the ExAC data, 191 variants (83:  $1^{st}$  position, 108:  $3^{rd}$  position variants) are found with 5 or less homozygous calls and less than 100 heterozygous calls. 10% of these fall within constrained genes. Interestingly, 59 variants are found on the X chromosome, this introduces the added complexity of the male as hemi-zygous for variants on the X chromosome. However, heterozygous counts for these variants are also extremely low (<8 heterozygous calls) and a similar imbalance is not present in the UCLex data. This phenomenon remains unexplained, possibly indicating a variant calling artefact or an X-linked disease cohort in ExAC.

<span id="page-93-0"></span>![](_page_93_Figure_0.jpeg)

Figure 4.9: UCLex data variant graph showing the ratio of variants across splice sites (blue line) and branchpoints (green line). Yellow positions indicate intronic positions, the branchpoint site is highlighted in purple, exonic nucleotides are shown in orange.

<span id="page-93-1"></span>![](_page_93_Figure_2.jpeg)

Figure 4.10: ExAC data variant graph showing the ratio of variants both splice sites (blue line) and branchpoints (green line). Yellow positions indicate intronic positions, the branchpoint site is highlighted in purple, exonic nucleotides are shown in orange.

#### 4.3.3 Integrated variant and splice junction analysis

Table [4.3](#page-94-0) shows the number of relevant splice feature variants retained after filtering for gene expression. These were filtered further if wildtype and variant groups showed significant splicing difference across any of the statistics. It is clear that splice site mutations occur very infrequently, more than half occur in genes that are not being expressed. Further, due to high levels of noise and expression variation it was essential to select a subgroup of variants that show measurable impact on the splicing phenotype of the gene in question. This reduces usable data to roughly 10% but provides a solid foundation for further testing.

Feature		Total Variants Expression filtering Significant variants	
$\kappa$	1607	770	155
२	1402	664	130
RР	1001	808	201

<span id="page-94-0"></span>Table 4.3: Results from filtering variants associated with splicing features. In order, total rare variation associated with splice features, total variants after filtering for gene expression in the cell line and total variants with highly significant (p< 0.0005) change between wildtype/variant groups.

Bar plots in Figure [4.11](#page-95-0) provide an overview of general properties associated with variants and their distribution around the splice site. As expected transition/ transversion  $(Ti/Tv)$  ratios remain constant across filtering and features. Interestingly, 5' splice sites seem to show the highest conservation at the 2nd intronic position. While 3' splice sites show no significant preference but higher indel occurrence, possibly this can be tolerated more readily by the splicing machinery. Similarly, branchpoints conform to the literature and variant graphs showing fewer effect-variants on the first "U" position.

#### Exon expression does not capture subtle splicing change

In order to determine the effects of rare splicing variants exon expression levels of the gene were investigated. Figure [4.12](#page-96-0) shows three examples of exon expression in genes containing variants within core motifs. It became apparent that very little detectable difference was present in the majority of cases. I concluded that either the majority of these variants have no effect, the effect was being masked due to variance in sample expression or that exon expression does not capture subtle changes to splicing effectively.

#### Score distributions

If splicing machinery is indeed so robust that the majority of rare variants have no substantial effect a score would be ideal to measure the effect of the variant on the splicing motif. A score was designed using MaxEnt [\[Yeo and Burge, 2004\]](#page-153-2) to score the wildtype and variant splice sites and the difference between them. This

<span id="page-95-0"></span>![](_page_95_Figure_0.jpeg)

Figure 4.11: Splice site and branchpoint bar plots for both expression filtered (Raw) and significant P value filtered data. Three bar plots show proportion of transitions (Ti) ,transversions (Tv) and indels at each position relative to splice site. (A) 5' splice site variants (B) 3' splice site variants (C) Branchpoint variants.

score compares each splice site against a model created using all known splice sites. Functional splice sites are generally scored above 5. Score distributions were calculated for all variants at 3' and 5' splice sites and are shown in Figures [4.13](#page-97-0) and [4.14.](#page-97-1)

While the distribution shapes are similar it is clear 3' splice sites appear more narrow. This effect could also be due to the difference in model efficiency at capturing nucleotide differences at 3' splice sites. The bimodal distribution of the difference (red) indicates the propensity of variant changes to have very

<span id="page-96-0"></span>![](_page_96_Figure_0.jpeg)

Figure 4.12: Exonic expression for three mutations in core motifs of (A) 5' Splice site (B) 3' Splice site and (C) Branchpoint. Each graph represents exon expression across the length of the gene with consecutive exons arrange from  $1^{st}$  - last exon on the x-axis and normalized exon read count on the y-axis. Wildtype and variant samples are summarized by the blue and green line respectively. Standard deviations are included for each exon. Exon containing the splicing variant is highlighted by a red point on the x-axis.

little (or no) effect or a strong effect.

The score distribution for branchpoints calculated using a position weight matrix is show in Figure [4.15.](#page-98-0) Although this does show a slight bimodal trend it is clearly far less specific. Figure [4.15](#page-98-0) B shows the decomposition of this distribution into the first position (U) and  $3^{rd}$  position (A) of the motif. It is interesting that the distributions indicate a clear difference in score effect. This can be explained by the prominence of the central "A" in the majority of branchpoints. A change to this nucleotide would have a drastic impact on the score. This could be an effect of sampling bias, as all current techniques rely on the central adenine to anchor the branchpoint motif.

<span id="page-97-0"></span>The difference between the wildtype and variant scores allowed quantification of motif deviation. It was clear that splicing should be affected based on the variant score even though exon expression showed no effect. The accuracy of this difference score was then tested by looking at splice junctions.

![](_page_97_Figure_1.jpeg)

<span id="page-97-1"></span>Figure 4.13: Distribution of 3' splice site scores for wildtype (blue), variant (green) and difference (wildtype - variant) (red) categories. MaxEnt score shown on the X axis respectively.

![](_page_97_Figure_3.jpeg)

Figure 4.14: Distribution of 5' splice site scores for wildtype (blue), variant (green) and difference (wildtype - variant) (red) categories. MaxEnt score shown on the X axis.

#### Variant impact on splicing efficiency

In order to investigate the effect on splicing four statistics were created for each splicing feature (5' , 3' and branchpoint) (please refer to Table [4.2](#page-90-0) and Figure [4.7\)](#page-90-1). There are several common effects presented here.

<span id="page-98-0"></span>![](_page_98_Figure_0.jpeg)

Figure 4.15: A. Distribution of Position weight matrix scores for Branchpoint variants. MaxEnt score shown on the X axis B. Distribution of Position weight matrix scores for both Position 1 (U) and Position 3 (A) branchpoint variants in red and green respectively. MaxEnt score shown on the Y axis.

Overall, in cases where variation has an impact on splicing a dosage effect is clear between homozygous, heterozygous and wildtype. Heterozygous splice site mutation appears to result in a 25-50% change from wildtype. Variant impact on branchpoint mutations appear to be far more muted. This indicates that splicing machinery can recover more efficiently from these changes. Exonic expression is rarely as accurate or distinguishable as the splicing statistics.

#### Splicing variation decreases efficiency

All splicing features show evidence of variation significantly decreasing splicing efficiency. It is also worth noting that high difference scores for 3' splice site mutations tended to result in a 3 (or multiple of 3) base pair shift into the exon (Figures [4.16](#page-101-0) and [4.17\)](#page-102-0). This points to a potential rescue mechanism that keeps the transcript in-frame.

5' splice site variants that reduce splicing efficiency tend to be compensated by increased expression of alternate exon starts (Figure [4.18\)](#page-103-0), exon skipping and intron retention (Figure [4.19\)](#page-104-0) or exon extension (Figure [4.20\)](#page-105-0).

Branchpoints also show a minor (5-15%) but significant reduction in splicing efficiency (Figures [4.21](#page-106-0) and [4.22](#page-107-0) ). However, in one case the dosage effect was more striking, resulting in a 25% and 50% reduction for heterozygous and homozygous respectively (Figure [4.23\)](#page-108-0). This resulted in the creation of an alternate, novel 3' splice site within the exon.

#### Splicing variation improves efficiency

In rare cases a variant change at a splice site seems to significantly increase its efficiency thereby promoting splicing in an otherwise unused exon. This phenomenon is present in both 3' (Figure [4.24\)](#page-109-0) and 5' splice sites (Figures [4.25](#page-110-0) and [4.26\)](#page-111-0) leading to selective use of a single splice site over another (both isoforms are present at equal levels in the wildtype) and inclusion of cryptic exons respectively.

#### Correlation of score to splicing efficiency

In order to investigate the effectiveness of the variant effect scores a linear regression analysis of the scores against each splicing statistic was undertaken. For this analysis only those variants that showed highly significant (P value < 0.00005) differences between wildtype and variant groups were investigated. This was necessary as variance within unfiltered results was overwhelming due to technical and biological noise.

Figures [4.27](#page-112-0) and [4.28](#page-113-0) show the linear regression for each statistic versus score for 5' and 3' splice sites respectively. All statistics are significantly (P value  $< 0.05$ ) correlated with score. In all cases the upstream splice site (UPSTR) and shifted canonical ratio (JAR) statistics performed best. This indicates that, as expected, a significant proportion of splicing variation can be explained by the difference in sequence.

A similar analysis was done for the branchpoint score but none of the statistics showed significant correlation or r-squared above 0.01. This indicates the mutability of sequence is not a major consideration in the majority of cases, and that several unknown contributing factors are involved such as multiple branchpoints per intron.

The above analysis was repeated to determine if variant frequency, like score, can predict splicing efficiency. There is no correlation between damaging splice variants and minor allele frequency (see Figures [4.29](#page-114-0) and [4.30\)](#page-115-0). For both 3' and 5' splice sites no significant correlation or r-squared > 0.06 was obtained. This implies that MAF cannot be used to predict splicing pathogenicity in a similar way as other deleterious variation [\[Rivas et al., 2015\]](#page-144-1). It is possible that splicing mutations are incredibly rare and may be selected against regardless of effect. Alternately, selection may not operate on these rare, neutral mutations and thus cannot be distinguished from functional mutations on allele frequency alone.

The distribution of data in figures [4.27](#page-112-0) and [4.28](#page-113-0) is L-shaped indicating a skew in the P values. This is possibly due to the bimodal/non-normal tendency of the distribution. For further assurance, each statistic was bootstrapped a 1,000 times with replacement to achieve an average r-squared. In order to get a measure of sensitivity a leave one out cross validation was done on the data. This is summarized in Tables [4.4](#page-100-0) and [4.5.](#page-100-1) Surprisingly, all statistics appear to be sensitive with the exception of the variant exon. This highlights the lack of sensitivity given by exon expression. This could be due to the inconsistent effects to the variant exon depending on the type of recovery mechanism the cell uses. For example, the inclusion of cryptic elements or subtle splice site shifts is unlikely to result in much change.

<span id="page-100-0"></span>

Splice statistic	Mean grad		Grad-lowerCI Grad-upperCI Min grad		Max grad	Final variants
<b>UPST</b>	0.314925627	0.306135018	0.323716235	0.217787552	0.393927925	46
JAR.	0.492104854	0.480462679	0.503747029	0.390713733	0.584601649	-20
EOI	0.376046549	0.361683527	0.39040957	0.156183516	0.534347488	-24
VE.	0.028974493	0.026476701	0.031472284	0.008900012	0.093380182	-53

Table 4.4: Cross validation of linear regression on Splicing statistics for 5' splice sites. Slope of regression is correlated to score to determine r-squared. EOI: A ratio of JunctionA/all junctions from the variant exon, UPST : A ratio of JunctionA/all junctions from the neighbouring junction exon ,VE: Read count of the last 100bp of the variant exon, normalized by total mapped reads ,JAR: Shifted junction ratio A ratio of JunctionA/(JunctionA + shifted junctions). Columns in order are; Splicing statistic, Mean gradient, Gradient (lower confidence interval),Gradient (upper confidence interval), Minimum gradient,Maximum gradient and number of final variants used.

<span id="page-100-1"></span>![](_page_100_Picture_137.jpeg)

Table 4.5: Cross validation of linear regression on Splicing statistics for 3' splice sites. EOI: A ratio of JunctionA/all junctions from the variant exon,  $\text{UPST} : A$  ratio of JunctionA/all junctions from the neighbouring junction exon ,VE: Read count of the last 100bp of the variant exon, normalized by total mapped reads ,JAR: Shifted junction ratio A ratio of JunctionA/(JunctionA + shifted junctions). Columns in order are; Splicing statistic, Mean gradient, Gradient (lower confidence interval),Gradient (upper confidence interval), Minimum gradient,Maximum gradient and number of final variants used.

<span id="page-101-0"></span>![](_page_101_Figure_0.jpeg)

Figure 4.16: Splicing variation decreases efficiency. A. 3' splice site variant significantly decreases canonical splicing (UPST ratio) and creates a shifted junction (JA ratio). B. This results in a shifted exon start by 12 nucleotides.

<span id="page-102-0"></span>![](_page_102_Figure_0.jpeg)

Figure 4.17: Splicing variation decreases efficiency. A. 3' splice site Variant significantly decreases canonical splicing (UPST ratio) and creates a shifted junction (JA ratio). B. This results in a shifted exon start by 3 nucleotides.

<span id="page-103-0"></span>![](_page_103_Figure_0.jpeg)

Figure 4.18: Splicing variation decreases efficiency. A. 5' splice site Variant significantly decreases canonical splicing (UPST ratio) and expression of the affected exon (variant exon). B. This results in an increase of alternate junction expression.

<span id="page-104-0"></span>![](_page_104_Figure_0.jpeg)

Figure 4.19: Splicing variation decreases efficiency. A. 5' splice site Variant significantly decreases canonical splicing (UPST ratio) and expression of the affected exon (variant exon). B. This results in intronic retention and complete loss of splicing.

<span id="page-105-0"></span>![](_page_105_Figure_0.jpeg)

Figure 4.20: Splicing variation decreases efficiency. A. 5' splice site Variant significantly decreases canonical splicing (UPST ratio). B. This results in exon extension and loss of splicing.

<span id="page-106-0"></span>![](_page_106_Figure_0.jpeg)

Figure 4.21: Splicing variation decreases efficiency.91A. Branchpoint variant slightly decreases canonical splicing (UPST ratio). B. This results exon skipping in a small percentage of cases.

<span id="page-107-0"></span>![](_page_107_Figure_0.jpeg)

Figure 4.22: Splicing variation decreases efficiency. A. Branchpoint variant slightly decreases canonical splicing (UPST ratio) and shows an increase in 3' splice site shifts (JA ratio). B. This results in use of cryptic 3' splice site in a small percentage of cases.


Figure 4.23: Splicing variation decreases efficiency. A. Branchpoint variant slightly decreases canonical splicing (UPST ratio). B. This results in use of the primary splice site, removing an alternate 3' splice site.



Figure 4.24: Splicing variation improves efficiency. A. 3' splice site variant increases canonical splicing (UPST ratio,JA ratio). B. This results in preferential splicing to this location.



Figure 4.25: Splicing variation improves efficiency. A. 5' splice site variant increases canonical splicing (UPST ratio, JA ratio). B. This results in inclusion of an altomate exon not present in wildtype.



Figure 4.26: Splicing variation improves efficiency. A. 5' splice site variant increases canonical splicing (UPST ratio,JA ratio) and expression of an alternate exon (variant exon). B. This results in inclusion of an alternate exon not present in wildtype.



Figure 4.27: Linear regression of 5' splice splice site variant score against difference in means (wildtype group sample mean - variant group sample mean) for; A. Upstream splice site B. Upstream splice site (including only shifted junctions) C. Variant exon splice site ratio D. Variant exon shore.



Figure 4.28: Linear regression of 3' splice splice site variant score against difference in means (wildtype group sample mean - variant group sample mean) for; A. Upstream splice site B. Variant splice site (including only canonical and shifted junctions) C. Variant exon splice site ratio D. Variant exon shore .



Figure 4.29: Linear regression of 5' splice site variant frequencies from ExAC against difference in means (wildtype group sample mean - variant group sample mean) for; A. Upstream splice site B. Upstream splice site (including only shifted junctions) C. Variant exon splice site ratio D. Variant exon shore .



Figure 4.30: Linear regression of 3' splice site variant frequencies from ExAC against difference in means (wildtype group sample mean - variant group sample mean) for; A. Upstream splice site B. Upstream splice site (including only shifted junctions) C. Variant exon splice site ratio D. Variant exon shore .

# 4.4 Discussion

# 4.4.1 Variant ratio graphs are a novel method to annotate human specific features

Here I outline an approach to identify 'conserved' genomic positions within splicing features using the frequency of polymorphisms across many individuals. Having successfully identified the expected conservation of the first two intronic splice site nucleotides I have expanded to show that branchpoints also show a pattern of conservation centring on the U and A positions consistent with the literature. This has lead to the identification of over 400 rare, branchpoint mutations in two large consortia which can be investigated further.

The caveat of this approach is the requirement of precise location for genomic features. In order for variant graphs to highlight important nucleotides they must overlap precisely. Using currently available data, a single genomic location cannot be explored in the same way as phylop/GERP species conservation can. This technique provides a unique opportunity to explore nucleotide constraint as a complementary approach to classic species conservation.

#### 4.4.2 More data are necessary to model branchpoints effectively

Branchpoints are emerging as important features that, when disrupted, have a measurable impact on splicing. This study identifies instances where branchpoint variation results in significant (albeit not drastic) change to splicing. Currently, there is not sufficient information to build a successful model for variant effects on branchpoints. Most noticeably, sequence variation appears to be higher than splice sites even at invariant positions. This could indicate that splicing machinery can compensate more effectively to rescue these effects.

Branchpoints may be far more mutable for several reasons; there could be multiple "rescue" branchpoints per intron or other splicing signals (i.e. polypyrimidine tract and 3' splice site) may be sufficient to compensate for weak motifs. At least 40% of branchpoints remain unidentified, this number is likely an underestimate due to lack of knowledge about tissue specific branchpoints. Furthermore, a number of branchpoint variants are missed as they are outside the exome capture range (generally exome baits only capture 50bp around an exon). The use of whole genome sequencing may alleviate this by improving relevant intronic variant information. Lastly, both studies that have identified the largest percentage of branchpoints tend to bias the branchpoint location toward the nearest adenine residue. This could mean that several sites have been mis-annotated and reinforces the need for further discovery and high-throughput validation methods.

#### 4.4.3 Splice junctions accurately define splice changes

After investigation of exon expression in genes with splicing variants it became clear that the majority had no visible effect. This resulted in two hypotheses; splicing variation often has no effect or the effect is not captured by exon expression. A pipeline was designed for the quantification of splice junctions which showed striking differences in splicing efficiency as well as various splicing compensation mechanisms such as triplicate nucleotide shifts at 3' splice sites.

A score was created to predict the divergence caused by variants from the wildtype splicing feature. This proved effective for splice sites but not for branchpoints. It is clear the distribution of scores for both 3' and 5' splice sites appear to be bimodal, having either a negligible or drastic effect on the splicing motif. However, the score still requires a continuous scale to capture the direction of effect. Interestingly, variation can also enhance splicing efficiency. This effect, although rare, could be just as pathogenic, resulting in the inclusion of cryptic elements thereby creating aberrant transcripts.

#### 4.4.4 Minor allele frequency is not a predictor of splice site pathogenicity

On average the motif score explained roughly 40% of variance. Unmeasured effects such as exonic enhancers/silencers, RNA binding proteins and epigenetic environment (i.e. prevalence of histone marks, methylation) is not be captured. The focus of the study on the nuclear splicing motif is intentionally strict to reduce false positives resulting from altering these features.

This analysis is made possible by significant advances in the field [\[Lappalainen et al., 2013;](#page-139-0) [Rivas](#page-144-0) [et al., 2015\]](#page-144-0). These studies showed the power of integrating variation with gene expression. However, their hypothesis that allele frequency can be used to determine deleterious effect does not hold true for splicing variation. Based on current data, minor allele frequency is not an effective predictor of splicing pathogenicity. These mutations are incredibly rare and likely innately selected against. This may change with future expansions of exome consortia if enough rare variation can be captured.

#### 4.4.5 Technical and biological variation impact data quality

Although GEUVADIS is a breakthrough study it does suffer from technological and computational biases. This is particularly evident in gene expression data. The sequenced data was produced on older, less robust Illumina machines at lower depth with shorter reads. This increases the level of missingness and reduces usable data. Another consideration is the older algorithms used for alignment and variant calls. The recovery of splice junctions is dependent on both the algorithm employed and the length of the reads. Alignment algorithms do not fully recover these reads, especially those with short overhangs. Furthermore, novel junctions are easily missed if read coverage or gene expression is not high enough to pass noise thresholds. For the exome variant data; several insertion/deletions within the data were clearly low quality or incorrectly called and were excluded from this analysis.

Compound variation or multiple proximal SNPs may result in false positive associations as reported by Rivas et al [\[Rivas et al., 2015\]](#page-144-0). Although not explicitly tackled by this analysis, is very rare (a single occurrence was noted) and has a minimal effect at locations used for this study.

A large source of variation, exacerbated by lack of read coverage, is the variable expression of several genes across the cell population. This makes distinguishing lack of signal from lack of expression challenging. In order to compensate only variants that showed appreciable change between variant/wildtype groups were selected to estimate predictive value of the variant score.

#### 4.4.6 Variant splicing score captures significant splice junction change

Multiple statistics were generated to describe splicing efficiency. All statistics used a canonical junction to define a ratio of canonical splicing over other, potentially aberrant splicing. Statistics were centred at the splice site where the variant occurs or at the opposite splice site described by the canonical junction. Interestingly, the highest concordance is different between 3' and 5' junctions. 5' splice sites appear to be best described by including shifted junctions (JAR 66%) in the vicinity of the splice site. 3' Splice sites are best described by their upstream canonical splice site (UPST 49%). Potentially the exon may be skipped or a cryptic event occurs within the intron that is not proximal to the original splice site and is not accounted for by the shifted junction statistic.

The poor correlation of variant exon expression to splicing change is truly striking. On average it shows a 2-3 fold weaker correlation than splice junction statistics. This indicates that a great deal of change is currently being missed by focusing on approaches that look at exonic/isoform expression alone.

#### 4.4.7 How the current study compares to recent publications

The recent study by Rosenberg et al. [\[Rosenberg et al., 2015\]](#page-145-0) attempted to predict variant effect based on variation in and around splice sites. They report similar concordance results based on sequence changes at splice sites from GEUVADIS data (concordance of 40% from MaxEnt, their software, HAL achieves 60%). Although MaxEnt is outperformed it still achieves an almost equivalent accuracy on heterozygous SNPs ; 81.7% vs 87.1%. This is impressive as HAL is trained on a vast, custom designed library of minigenes.

Rosenberg et al. apply MISO [\[Katz et al., 2010\]](#page-137-0) to quantify splicing in GEUVADIS data. Although this is a particularly robust tool it does not quantify cryptic events accurately. MISO focuses on known isoforms and expected exon skipping events. This is a major disadvantage as the majority of splicing mutations generate cryptic splice sites which will not be measured. This likely accounts for the higher concordance found in this study as all splice junctions were included in the analysis. Furthermore, HAL includes surrounding exonic and intronic variants making direct comparison difficult.

The pipeline designed here does not require access to a website and can be run very efficiently on thousands of SNPs, potentially as part of a standard annotation pipeline for exome data. Any interesting results from this initial analysis could then be selected manually and validated using the HAL online software.

#### 4.4.8 Optimization is essential for reproducibility of this analysis

A significant part of what made this study feasible was computational optimizations. Analysis of over four hundred BAM files is challenging for several reasons. Firstly, the amount of space required for processing is excessive, well over 2TB just to host the data. This was circumvented by selecting only regions that contained variants of interest across the entire cohort. This was necessary to reduce processing times and storage requirements. Optimizations in terms of analysis focused on running steps in parallel and producing large hash tables (very efficient data structures in Python) to avoid reprocessing unnecessarily. The end result is an analysis which took just over 3 days of wall clock time to process several terabytes worth of raw files. And could be rerun (excluding initial processing and downloading) within hours.

#### 4.4.9 Conclusion

These observations serve as a fundamental starting point for further work into the improved annotation of splicing variants. This will allow for efficient detection of effects on splicing, including currently unexplored instances where variant changes can create novel splice sites/cryptic exons.

Integration of further data may drastically improve variant score prediction. Inclusion of epigenetic environment such as prevalence of histone marks and methylation in a cell type specific manner will further increase correlation. Defining a robust score can be used to rank splicing variation and identify mutations that create novel splice sites within exons or introns. These variants remain largely undetected and result in various disease phenotypes such as Autosomal recessive bestrophinopathy and X-linked retinitis pigmentosa [\[Davidson et al., 2010;](#page-134-0) [Webb et al., 2012\]](#page-152-0).

# Chapter 5

# Conclusion

### 5.1 Core features and central concepts of the thesis

#### 5.1.1 Splicing as the primary force behind species diversity

Splicing has been known as an integral part of species diversity for over thirty years [\[Chow et al., 1977;](#page-133-0) [Berget et al., 1977\]](#page-131-0). It shows the complexity that can be reached without the need to increase raw gene numbers and provides a remarkable way to enhance cellular complexity and transcriptional control. Here, I look at splicing from multiple angles to show we have only scratched the surface of this exciting and dynamic process.

Splicing can be a mechanism to control transcript integrity through the inclusion/exclusion of poison exons. This mechanism, known as recursive splicing, shows how splice sites can be reconstituted by other splicing reactions and splice site competition can play a vital role in guiding the cellular machinery. I then explored how the structure of co-transcribed RNA can create circular molecules through a backsplice junction. This creates a further layer of complexity, showing how RNA structure plays a crucial role in splicing processes. Lastly, I looked at the three core features of the splicing reaction, namely; 5' and 3; splice sites and the branchpoint. The branchpoint has been poorly characterised although it has been shown to be disease causing. I explore the impact of variation at these features, demonstrating that the functional effect of sequence variation can be predicted to some degree. Ultimately, the diversity of splice site strength is crucial to correct cellular function, disruptions of these features can result in deleterious effects within the gene and pathology within the cell. This thesis forms the foundation for further exploration into the dynamics of splicing and the cells unique ability to utilise this mechanism in multiple ways.

#### 5.1.2 Splice junction reads are key to sensitive gene expression analysis

Splice junctions are a key concept and central to sensitive gene expression analysis. The power of detecting splicing events with nucleotide precision has long been underestimated and overlooked. A striking realization from this study is that exon expression is indeed a poor substitute for splice junction analysis. It is 2-3 fold less sensitive than splicing statistics and cannot distinguish subtle splicing changes than can have a drastic effect on the final transcript. Ideally, analysis should integrate expression and splicing in a meaningful way, early attempts (such as Cufflinks [\[Trapnell et al., 2012\]](#page-149-0) and MISO [\[Katz et al., 2010\]](#page-137-0)) still miss much of the most interesting non-canonical aspects of splicing. This thesis aims to contribute to the field of RNA processing by demonstrating uses of alignment tools and standard bioinformatic techniques to exploit splice junction reads.

### 5.1.3 Predicting damaging variation on splicing

MaxEnt [\[Yeo and Burge, 2004\]](#page-153-0) has been the splice site scoring software used for more than ten years now. This striking fact indicates that sequence clearly plays a substantial role in predicting RNA processing. This tool has been used extensively in this thesis and is recognized as state of the art [\[Rosenberg et al., 2015\]](#page-145-0). Investigating variant effects on splicing remains largely unexplored. Several recent large studies [\[Xiong et al.,](#page-153-1) [2014;](#page-153-1) [Rosenberg et al., 2015\]](#page-145-0) have focused on evaluating alternative splicing by considering all variants in and around these exons without directly answering the question: what effect do variants have on the central splicing motif at a single site? This question was addressed here and shows that each splice site can be evaluated independently and functional effects can be predicted and used to guide annotation. The question that remains is how much of the predictive power of the recent tools (produced by aforementioned studies) can be attributed to this. Expansion and inclusion of variants further from the splice site may in fact be confounded with multiple other features and this needs to be systematically investigated.

#### 5.1.4 The evolution of sequencing technology and its impact on splicing analysis

The acceleration of sequencing technology is at the epicentre of recent bioinformatics innovation. It has provided a unique opportunity for creative methods to be developed and has driven bioinformatics to utilise this technology to the fullest. Although a massive improvement over hybridization-based techniques, sequencing still suffers from common drawbacks such as batch effects and bias due to experimental protocol. Another major remaining drawback is read length. Although improvements are continuously being made reads from the industry leader, Illumina, remain relatively short.

We are now looking ahead to the next (3rd) generation of sequencing by companies such as Pacific Biosciences [\[Sakai et al., 2015\]](#page-145-1) and Oxford Nanopore [\[Wei et al., 2016b\]](#page-152-1). These technologies promise much longer reads at lower cost. This does not come without its complications and currently the estimated error rate of Oxford Nanopore's sequencer is a staggering 38% [\[Laver et al., 2015\]](#page-140-0). This can be mitigated to some degree by high coverage sequencing (as these errors are random). As the technology improves however this would likely be an excellent way to retrieve full transcripts, potentially showing knock-on effects of splicing changes that are not recognizable now. Also, in the case of peculiar splicing patterns, this would once again open a new world to identify and expand on non-canonical transcripts. One exciting application would be to inspect the reciprocal splicing patterns identified in Chapter 3.

#### 5.1.5 Data processing as a crucial skill in bioinformatics

Optimisation is an integral part of any successful genomics undertaking and is likely to become even more paramount in future as data volumes continue to increase.

Genomics implies the mining of huge quantities of raw data. This creates unique challenges as computational demands are diverse. The first consideration is hard drive space. In this study the mining of 50 high depth RNA-seq samples (UKBEC brain data) required several terabytes of space and multiple iterations of pipeline development over the entire study. Similarly, mining 426 GEUVADIS RNA-seq samples (processed BAM files alone are over 2 terabytes) required several workarounds to enable efficient querying of data within reasonable wall clock time. Secondly, both cpu and memory demands are high, for instance, STAR sequence aligner requires at least 10 cores and 50 gigabytes of memory per sample to perform optimally.

This brings us to the first level of optimisation which involves processing raw data; efficient read mappers, highly optimized co-ordinate based tools (such as Bedtools) are essential to overcome basic "heavy lifting" of common data types. These steps often require effective use of a cluster compute system for initial alignment, annotation and further coordinate-based manipulation.

However, in pipeline design the interaction of these tools often result in data bottlenecks that need to be individually addressed. Another challenge, especially in exploratory science, is the need for specialised and tailored algorithms and statistics. These are by nature suboptimal as they are often custom code written in a high-level programming language.

Once initial testing has been completed a second step of optimisations must be applied to the pipeline.

Generally this requires compartmentalising pipeline steps and efficient use of data structures. Compartmentalised processes can be optimised independently and parrallelised which greatly increase efficiency. Data structures can allow for saving of complex, preprocessed data that can be recalled when needed. Although this is seldom discussed in detail I do believe it is an essential (and often overlooked) skill to successfully carrying out any genomics project.

# 5.1.6 Stepping forward, understanding splicing within the context of exon definition

Exon definition is key to splice site recognition. It plays an essential role in recursive splicing, even when said exon is not included in the final mRNA. A natural expansion of this study is to investigate this relationship further, potentially through cryptic exons. Recently Ling et. al linked the presence of cryptic exons to cellular deficiency of RNA binding protein TDP-43 [\[Ling et al., 2015\]](#page-140-1). TDP-43 silences and blocks the expression of these spurious exons but in its absence their expression can disrupt mRNA within neurons resulting in severe pathology. Further work could include investigation of RNA binding factor knockdown experiments (currently available on ENCODE) and their impact on recursive and cryptic exon expression.

A natural extension of the current work on splicing variation (in lieu of cryptic exons) is to start modelling variant changes within introns to determine if these locations are likely to form novel splice sites. Secondly, scanning introns for strong splice motifs (both 5' and 3') can predict locations of 'viable' cryptic exons. Ideally this should take into account RBP data (i.e. TDP-43) as this will help annotate sites.

Splicing remains an intricate process. The sheer elegance of a system that functions so efficiently and yet remains robust to even large sequence change is both impressive and daunting. There are clearly still many secrets and hidden mechanisms to the operation of this system. In order to improve our knowledge of splicing and hence, factors that can disrupt its function, we must integrate data. In this study the integration of variant and expression data is a powerful first step to understanding the effect of variation on transcription. It is clear there is much more than can be explained by polymorphism alone and I believe to design an effective tool to predict splicing function we will need to integrate data from other sources such as histone modification, Dnase hypersensitivity, RNA binding protein density etc. For example, it is clear that H3k36me3 will have a pronounced effect on recognition of splice motifs. In cases with high densities of this mark I would need more drastic sequence change to see effects and vice versa.

## 5.2 Medical Implications

Whole Exome sequencing has been a core part of the expansion of sequencing technology and its impact on clinical genetics. The promise of exome sequencing comes from the prediction that 85% of the disease-causing mutations are located in coding and functional regions of the genome [\[Botstein and Risch, 2003;](#page-132-0) [Majewski](#page-141-0) [et al., 2011\]](#page-141-0). This however, translated into a 20% causal variant discovery in cohorts [\[Yang et al., 2013\]](#page-153-2), which indicates variants are either being missed or much deleterious variation lies outside of the exome.

While this study aims to improve the former (through enhanced variant splicing prediction), the latter has motivated large-scale whole genome sequencing projects such as  $100,000$  Genomes Project run by Genomics England Ltd. This will provide a huge improvement to splicing-related features such as deepintronic variation which could create cryptic exons and disrupt branchpoints. A further advantage would be detection of variation at recursive splice sites that could contribute significantly to brain-related pathology. Change here is likely to have a striking effect as the importance of strong splice signals is exemplified by the strong species conservation and lack of transcription histone marks.

The improved understanding of splice site related variation can highlight potentially damaging polymorphism. These variants can now be classified as having either negative or positive effect on splicing; a negative impact on canonical splice sites is well documented as disease causing but the preferential use of an alternative exon or creation of a new splice site (either exonic or intronic) has not been explored extensively although there are documented cases where this variation is pathogenic [\[Webb et al., 2012\]](#page-152-0).

Certain branchpoint mutations are disease causing [\[Stenson et al., 2003\]](#page-147-0), however, the ability to predict branchpoint mutation from sequence remains elusive. The degenerate nature of this feature makes it difficult to characterise, largely due to the lack of known sites and lack of variant information at these positions (as they often fall outside exome capture). Whole genome sequencing will greatly help with the latter but primary identification of these sites will still be essential to successfully modelling this interaction. Factors such as histone modifications and chromatin state may play a key role in how specific this motif needs to be and will need to be studied further.

Circular RNA have great potential as influential, non-coding RNA. Their enrichment in neurons (particularly synaptomes) hints at their importance in neuronal function. circRNA also have a future as disease biomarkers as they are enriched in various easily accessible tissues. In order to use them as biomarkers their detection must be as sensitive and robust as possible and this work will contribute to the methods applicable to accurate quantification from RNA-seq data. This in conjunction with circRNA enrichment

techniques such as RNase treatment (to degrade linear RNA) is essential for accurate quantification.

Another unexplored clinical application is to improve understanding of how cancer deregulates cellular machinery. One example would be the androgen receptor gene which is crucial in prostate cancer. In 2008 it was shown that cryptic exons within these genes signify the presence of cancer [\[Scott M. Dehm, 2008;](#page-146-0) [Hu et al., 2009\]](#page-137-1), these splice isoforms have recently been further expanded [\[Lu and Luo, 2013;](#page-141-1) [Krause et al.,](#page-139-1) [2014\]](#page-139-1). The ability to accurately predict potential cryptic exon presence according to mutation of splice sites could be highly advantageous in identification of causal mutation in cancer.

## 5.3 Further thoughts and future work

#### 5.3.1 Recursive splicing as a genomic mechanism to control promoter usage

The elucidation of recursive splicing, a process whereby an initial splicing step reconstitutes a 5' splice site, allowing for exclusion of a poison exon, will no doubt yield novel deleterious mutations with significant impact on neuronal function. The occurrence of RS in genes with long (150kb+) introns are significantly enriched in the brain and carry characteristic transcription-related histone marks signatures. Taken together, recursive splicing opens the discussion on another level of transcriptional control using the intrinsic power of exon definition and splice site strength to determine exon inclusion.

The use of co-transcriptional patterns to identify splicing (and hence RS) is only effective in long introns. In order to identify gradients in the data you have to bin read counts by at least one kilobase. Other effects such as expression of the gene, number of samples and heterogeneity between brain regions substantially increase noise levels. For these reasons the co-transcriptional pattern is not detectable in shorter introns.

Another concern is the definition of recursive exon. This is partially defined by the lack of an annotated exon. However, recent improvements in annotation show inclusion of some recursive exons as "alternate" exons. This will require further careful definition of what constitutes a recursive exon. Rather than searching for cases outside of known exons the focus could be shifted to whether the cell can effectively use the head-to-head splice sites in different situations.

The further exploration of RS in shorter genes and other tissues is a logical next step. This will require algorithmic improvements relying heavily on splice junctions and superior sample numbers to identify true positive cases. A natural extension would also focus on analysis of splicesosomal RBPs and their impact on these sites. This will likely expand situations in which this mechanism may fulfil different roles.

#### 5.3.2 Circular RNA as novel, brain enriched RNA molecules

Circular RNA has recently received a great deal of attention. These non-coding RNA remain largely shrouded in mystery. The majority have no reported function, they are independently regulated from their linear isoforms, are significantly enriched in brain, detected in saliva, blood and exosomes. Their characterization depends on their backsplice junction. Here I create a sensitive algorithm combining two well documented analysis procedures to maximise and discover large numbers of circRNA within the human brain.

Although circRNA studies have been done in fetal brain and differentiated neuronal cells [\[Venø](#page-150-0) [et al., 2015;](#page-150-0) [Rybak-Wolf et al., 2015\]](#page-145-2), this is the first, thorough documentation of circRNA in a large human brain cohort. This would explain the large increase in novel circRNA isoforms.

A novel algorithm was created to examine a subclass of backsplice junctions between proximal, highly homologous gene pairs. Findings indicate potential reciprocal transplicing/backsplicing between transcripts. When looking at highly similar genes the attempt to distinguish bona-fide backsplicing from standard splicing is very challenging. Initial results indicate this could be a novel biological mechanism but without laboratory validation it remains inconclusive.

Quantifying circRNA is notoriously challenging. Relying solely on the backsplice junction results in a significant loss of reads (due to the minimum overhang requirement). This can be somewhat circumvented by creating artificial scaffolds to allow for read recovery, although this also increases redundancy within the search space reducing unique mapping reads. Many of these initially identified backsplice junctions appear to be very lowly expressed, it is unclear if these are noise or low-level biological variation. Currently there is no standard on how to determine expression of circRNA, as such I focus on highly expressed examples.

Identification of transplicing/backsplicing between genes will remain controversial until significant laboratory evidence is available. However, this effect can be further explored in different organisms that many share the same gene structures such as mouse and zebrafish. Due to the difficulty in obtaining high quality RNA from human brain, a comprehensive study of such gene pairs could be undertaken in more easily accessible tissue or within cell lines.

The field of circRNA is still developing rapidly. Future studies will surely provide hints to explore in more detail. One future goal would be to explore transplicing/backsplicing across the transcriptome, possibly in combination with Hi-C and CTCF CHIP-seq data (ideally in human brain), to determine whether chromosomal structure plays a role in their formation.

Currently circRNA are being investigated as potential biomarkers. Taking the opportunity to mine the wealth of publicly available data could reveal further disease markers. This could be particularly interesting in cases where linear isoforms do not show any differential expression, as in the case study of BPTF in Bipolar disorder.

#### 5.3.3 Analysis of splicing variants and their impact on transcription

Large exome consortia provide a unique opportunity to use variant frequencies in novel ways. I outline a method to create a nucleotide resolution map of invariant positions within genomic features. I could then explore the effect of these variants on splicing efficiency by integrating gene expression RNA-seq with whole exome sequencing. In order to do this an estimate of the effect of the variant on the splice site was created. This score captures a significant proportion of variance between variants and non-variants. The effect of variation on splicing efficiency can be either positive or negative, both are potentially deleterious to canonical expression. Surprisingly, the majority of variation has no significant effect on splicing indicating the robust nature of cellular splicing.

Integration of RNA-seq and whole exome is still in its infancy, as such this study remains statistically underpowered. Correlations are drawn from small (20-60) numbers of cases (after filtering) which show significant variant effects. The inability to accurately identify true negative cases is a central concern. Generally, the lack of expression of genes and inadequate sequencing depth increases variability leading to high levels of "missing-ness". Although it is clear the variant score captures a component of sequence variation it remains only part of the story.

Ideally future work would require larger RNA-seq and Exome consortia. With the increase in samples it will be possible to accurately classify variant effects, particularly cases with no effect (or marginal impact). This could also allow potential integration of cell specific epigenetic factors (histone marks, methylation) and RNA binding factors. The expansion of variants of interest deeper into splice sites would also be an important step however this will require careful annotation of variants and their predicted overlap with other exonic/intronic features.

## 5.4 Final thoughts

Together, this thesis hints at the enormous potential of next generation sequencing to propel our understanding of cellular biology through its sensitivity, re-usability and scale. Central to this is the use of publicly available resources which are becoming increasingly abundant as time passes. It is my opinion that in the near future all cellular studies will benefit directly from the wealth of sequence data, guiding experimental

work and testing hypotheses before entering the lab. Soon computational approaches will not stand separately but be an essential step in every biological study informing experimental design as much as final validation.

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## Appendix













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NA20534.2.M1112158	NA20534	TSI	1899371	69522208	65790691
NA20535.3.M1202022	NA20535	TSI	633996	51054612	49085769
NA20536.1.M1111241	NA20536	TSI	1164949	48563226	46297925
NA20537.3.M1202028	NA20537	TSI	904841	42493642	40481686
NA20538.1.M1202095	NA20538	TSI	610884	23633388	22427211
NA20538.3.M1202026	NA20538	TSI	614099	30945634	29451080
NA20539.4.M1202085	NA20539	TSI	736229	54419362	
					52261367
NA20540.1.M1111242	NA20540	TSI	1003907	43122492	41077962
NA20541.1.M1111244	NA20541	TSI	1286550	70862334	67783961
NA20542.7.M1202192	NA20542	TSI	1485413	40504508	37927522
NA20543.1.M1202098	NA20543	TSI	1138898	38104108	35996270
NA20543.2.M1112155	NA20543	TSI	744267	53774994	51554174
NA20544.4.M1202085	NA20544	TSI	583495	53373484	51281171
NA20581.1.M1111244	NA20581	<b>TSI</b>	759189	46565118	44789200
NA20582.4.M1202082	NA20582	TSI	630883	63922312	61608501
NA20585.3.M1202026	NA20585	TSI	505441	38528754	37087075
NA20586.2.M1112157	NA20586	TSI	1536637	63740492	60150210
NA20588.5.M1201315	NA20588	TSI	823315	61972428	59450006
NA20589.1.M1111243	NA20589	TSI	1248011	60526676	57879685
NA20752.5.M1201317	NA20752	TSI	679320	70510870	68136769
NA20754.1.M1202098	NA20754	TSI	655555	29684412	28376610
NA20754.4.M1202081	NA20754	TSI	529139	49148590	47431969
NA20756.1.M1202094	NA20756	TSI	742828	33035010	31539850
NA20756.2.M1112166	NA20756	TSI	747127	56013648	53752911
NA20757.1.M1111241	NA20757	TSI	1418589	60807078	57864551
NA20758.2.M1112158	NA20758	TSI	1912519	82746652	78492271
NA20759.4.M1202083	NA20759	TSI	637270	46341942	44631092
NA20760.3.M1202025	NA20760	TSI	811560	37635188	35807344
NA20761.1.M1111247	NA20761	TSI	1528755	58661614	55688979
NA20765.1.M1202097	NA20765	TSI	979334	38602064	36656920
NA20765.2.M1112155	NA20765	TSI	1449420	86041348	82389654
NA20766.1.M1111244	NA20766	TSI	1158508	58778104	56234749
NA20768.5.M1201311	NA20768	TSI	1671637	60039132	56819741
NA20769.6.M1201195	NA20769	TSI	782315	42844788	41047256
NA20770.3.M1202021	NA20770	TSI	535786	33257732	31881288
NA20771.1.M1202094	NA20771	TSI	1018433	31960800	30205387
NA20771.2.M1112157	NA20771	TSI	1463564	58780634	55747308
NA20772.3.M1202236	NA20772	TSI	8341509	79218158	68688634
NA20773.1.M1202097	NA20773	TSI	973379	33648318	31854306
NA20773.6.M1201194	NA20773	TSI	848575	48591958	46518112
	NA20774	TSI		42082872	
NA20774.7.M1202191			1393495		39627515
NA20778.4.M1202081	NA20778	<b>TSI</b>	268583	17279354	16593404
NA20783.4.M1202086	NA20783	TSI	604998	60799330	58712555
NA20785.4.M1202081	NA20785	TSI	733696	54310656	52204325
NA20786.1.M1202098	NA20786	TSI	694653	32119758	30719998
NA20786.2.M1112158	NA20786	TSI	1403649	65654308	62684411
NA20787.6.M1201193	NA20787	TSI	959170	65645640	63047408
NA20790.2.M1112156	NA20790	TSI	928292	54023024	51730387
NA20792.6.M1201196	NA20792	TSI	949584	52821590	50631144
		<b>TSI</b>			
NA20795.5.M1201311	NA20795		769118	72875624	70416161
NA20796.1.M1202092	NA20796	TSI	2289332	103677232	99030240
NA20797.2.M1112156	NA20797	TSI	1039820	79094216	75634812
NA20798.1.M1202097	NA20798	TSI	830705	39045770	37297027
NA20798.6.M1201196	NA20798	TSI	550778	40597892	39092754
NA20799.1.M1111243	NA20799	TSI	1582489	64787760	61613844
NA20800.1.M1111245	NA20800	TSI	1464204	73763766	70555905
NA20801.7.M1202195	NA20801	TSI	1654123	76258848	72839213
NA20802.1.M1111247	NA20802	TSI	927102	57581676	55162916
NA20803.7.M1202191	NA20803	TSI	3096589	112168988	106102448
NA20804.4.M1202081	NA20804	TSI	774218	54276740	52172136
NA20805.4.M1202087	NA20805	TSI	389860	34905740	33669593
NA20806.3.M1202025	NA20806	TSI	653451	35963954	34295353
	NA20807	TSI			
NA20807.5.M1201311			982007	67671066	65092361
NA20808.4.M1202086	NA20808	TSI	596061	54180286	52187916
NA20809.6.M1201192	NA20809	TSI	742592	50484026	48464472
NA20810.2.M1112157	NA20810	TSI	1456100	71188628	67774556
NA20811.1.M1111245	NA20811	TSI	880562	48797942	46708256
NA20812.2.M1112166	NA20812	TSI	666723	60337382	58268267
NA20813.5.M1201311	NA20813	TSI	898268	68032742	65399777
NA20814.2.M1112156	NA20814	<b>TSI</b>	953715	65684348	63051875
NA20815.5.M1201315	NA20815	TSI	665495	62647002	60471181
NA20816.3.M1202027	NA20816	TSI	1272231	53197162	50608890
NA20819.3.M1202022	NA20819	TSI	1160829	42423376	40233352
NA20826.1.M1111241	NA20826	TSI	1005035	57621730	55265703
NA20828.2.M1112168	NA20828	TSI	1198492	89680648	85978900

Table 2: Sequence statistics for GEUVADIS samples analysed in Chapter 4.

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Table 1: Read statistics from UKBEC post mortem brain data.