

1 **An assessment of urban park access in Shanghai - Implications for the**  
2 **social equity in urban China**

3  
4 **Abstract:**

5 The question whether urban green resources are equitably distributed across different  
6 social groups is a major concern of social equity and environmental justice for both  
7 governments and scholars. This topic is particularly relevant for rapidly developing  
8 countries such as China where inequality is growing. This paper examines whether  
9 and to what extent the distribution of urban park services is equitable for marginalised  
10 population in China. We choose Shanghai as the case study and took into account  
11 three dimensions of group delineation, namely demographic characteristics, social  
12 economic status and social spatial structure. We employ the spatial clustering method  
13 to assess the similarities and differences of the association between the spatial patterns  
14 of accessibility to urban parks among different social groups. Interestingly, we found  
15 that vulnerable groups are favoured over more affluent citizens. Local municipal  
16 endeavours have ensured that the access to Shanghai’s parks remains socially  
17 equitable. Additionally, we attributed it to the path dependence of China’s socialism  
18 legacy before the market-oriented reforms.

19 **Keyword:** Social equity, Environmental justice, Marginalised groups, Park access,  
20 Shanghai

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22

**1.0 Introduction**

23 Green space, as a key ecological factor of the built environment, has many  
24 acknowledged economic and ecological benefits including improved air quality,  
25 mitigating the urban heat island effect, increased provisions of recreational  
26 opportunities, enhanced aesthetic value, promoting physical and mental health and  
27 encouraging people’s sense of spiritual well-being (Wolch et al., 2014, Byrne and  
28 Wolch, 2009, Byrne et al., 2009, Hughey et al., 2016, Xiao et al., 2016, Nowak et al.,

29 1996, Floyd and Johnson, 2002). Most studies contend that within cities, green space  
30 is not always equitably distributed, and people's access is often highly stratified based  
31 on income, ethno-racial characteristics, age, gender, (dis)ability, paucity of political  
32 power and other axes of difference (Lineberry, 1977, Byrne et al., 2009,  
33 McConnachie and Shackleton, 2010). In this vein, the uneven accessibility of urban  
34 green space has become recognized as an environmental justice issue to both scholars  
35 and governments. There is a growing literature on the social equity of green space,  
36 which examines the distribution of green space resources in neighbourhoods with  
37 varying degrees of socio-economic status (SES) or racial/ethnic composition (Byrne  
38 et al., 2015, Ibes, 2015, Hughey et al., 2016, McClintock et al., 2016, Yasumoto et al.,  
39 2014, Landry and Chakraborty, 2009, Jacobson et al., 2005, Talen, 1997, Chang and  
40 Liao, 2011).

41

42 Despite the relevance of environmental justice to the sustainable development of  
43 Chinese cities, so far there exist little empirical evidence in urban China (Wolch et al  
44 2014). Existing research on inequality in urban China have mostly studied the equity  
45 between different social groups in terms of employment opportunities and living  
46 conditions (Wu et al., 2010, Wu, 2002, Wu, 2004, Fan, 2002, Logan et al., 2009).  
47 Furthermore, although urban parks are regarded as an urban planning priority, it is  
48 largely unknown whether this resource is equitably distributed in China. The little  
49 evidence available so far infers that access to urban green spaces in China's  
50 megacities is worsening (Chen and Hu, 2015). The social inequality literatures show  
51 that the transition of China's economy has transformed a society once characterised  
52 by egalitarianism into one that is experiencing an increasing income gap between the  
53 rich and the poor (Wu, 2004, Sicular et al., 2007, Logan et al., 1999). Increasing

54 social inequality is also reflected in the residential distribution of residents as studies  
55 reveal that the residential segregation in Chinese cities is mainly based on tenure and  
56 socio-economic factors (Li and Wu, 2008). So far evidences indicate that high-income  
57 households tend to rely less on public services as they live in privately serviced  
58 neighbourhoods (Li et al., 2012, Shen and Wu, 2013). Disadvantaged groups such as  
59 rural migrants and low-income households congregate in the rented sector largely  
60 consisting of older settlements and dilapidated inner-city neighbourhoods (Li and Wu,  
61 2008, Liao and Wong, 2015, Wang et al., 2015b, 2016). The increasing spatial  
62 segregation between the affluent and the poor therefore intuitively raises the concern  
63 whether the provision of public resources such as access to basic infrastructure is  
64 equitable. The findings would also have important implications for municipal  
65 decision-making in service allocations and resource distribution in against the context  
66 of developing countries such as China.

67

68 Consequently, the aim of this study is to assess whether and to what extent the  
69 distribution of urban park services is equitable for the marginalised population in  
70 urban China. We chose Shanghai as our case study, since it is the largest and most  
71 prosperous Chinese city, which is also experiencing serious residential segregation  
72 problems (Wu and Li, 2005, Li and Wu, 2008). Compared with most extant urban  
73 China studies, which largely rely on national census data at the sub-district level, our  
74 study makes use of fine resolution population data at the *juweihui*, (residential  
75 committee) level from the 6th census of 2010. This would allow us to take into  
76 account the variations of spatial characteristics at the local level. A further strength of  
77 this study is that we adopt the accessibility measurement approach from Talen (1997,  
78 1998) and Talen and Anselin (1998), since the traditional ‘container’ approach divides

79 a particular urban area into smaller zones, such as neighborhoods or census tracts,  
80 which fails to consider people's self-movement and spatial externalities of facilities  
81 (Talen and Anselin, 1998, Nicholls, 2001). Moreover, we use the local indicators of  
82 spatial association (LISA) method (Anselin, 1995) to examine the association  
83 between the distribution of public parks and the spatial congregation of different  
84 social groups. The advantage of the LISA method is that it can identify the local  
85 association between an observation and its neighbours, and visualize their interaction  
86 patterns over space, in the forms small clusters or insignificant outliers (Anselin,  
87 1995).

88

89 The paper is structured as follows: part two reviews the existing discussion regarding  
90 the social equity and environmental justice of access green space. Furthermore, we  
91 examine the existing research on social inequality in urban China, in order to develop  
92 our theoretical framework. Part three explains the methodology adopted in this study  
93 and our data sources. Analysis and results are presented in part five and the final  
94 section provides a summary of key findings and important policy implications.

95

## 96 **2.0 Social equity and access to urban green space**

97 The issue of equal access to public services has become important for governments  
98 due to growing concerns in practical policy making (Hastings, 2007, Tsou et al., 2005,  
99 Brambilla et al., 2013). There is a long tradition of studying the distribution of urban  
100 service delivery in the context of social equity and environmental justice, including  
101 playgrounds (Witten et al., 2003), parks (Chang and Liao, 2011, Crompton and Lue,  
102 1992), street trees (Landry and Chakraborty, 2009), amenities (Lowe, 1977, Tsou et  
103 al., 2005) and public transit connectivity (Welch and Mishra, 2013, Jacobsonô et al.,

104 2005). Parks and open green space, as a fundamental element of the built environment  
105 and as a basic public service provided by the government, is therefore a key target for  
106 research (Besenyi et al., 2014, Boone et al., 2009, Floyd and Johnson, 2002, Xiao et  
107 al., 2016). The core concern from an environmental justice perspective, is the spatial  
108 distribution of public goods and services, and most importantly, whether this  
109 distribution is in accordance with the varying needs of different social groups'  
110 socio-economic status, ethno-racial characteristics, age, gender, (dis)ability, paucity  
111 of political power and other axes of difference (Lineberry, 1977, Byrne, Wolch, &  
112 Zhang, 2009; McConnachie and Shackleton, 2010, Harvey, 1973, Jacobson et al.,  
113 2005). The notion of *geographies of need* by Harvey (1973) suggests that localities  
114 with a larger presence of disadvantaged residents are in need for better access to  
115 public services and goods.

116

117 Existing findings have been largely mixed in terms of the direction and magnitude of  
118 the association between green space distribution and marginalised social groups  
119 (Hughey et al 2016, Wolch et al 2014). Earlier research indicates that areas with a  
120 higher share of marginalised residents, are not disadvantaged with respect to the  
121 spatial allocation of public facilities such as urban parks. For example, Lineberry  
122 (1977) asserted that poorer neighbourhoods are in fact favoured in terms of park  
123 distribution. Mladenka and Hill (1977) found no particular discrimination against  
124 low-income neighbourhoods. Moreover, in Chicago Mladenka (1989) found that race  
125 was not a determining factor of park facility distribution, though social class could  
126 possibly be a determinant. Instead, it is argued that the determinants of social equity  
127 specifically regarding public facilities are more exposed to bureaucratic and  
128 professional decision-making processes (Koehler and Wrightson, 1987).

129

130 Recent studies disagree with the ‘unpatterned’ occurrence of inequality. Instead,  
131 several researchers found that the patterns of race and area poverty have become  
132 significant determinants with regard to access to park facilities, with evidence existing  
133 for several countries. For example, Talen’s (1997) study on park accessibility and race  
134 in the cities of Pueblo, Colorado and Macon, Georgia found that ethnic minorities  
135 were more likely to be living in areas with lower levels of park access. With regards  
136 to area poverty, Erkip (1997) revealed that access to parks and recreational facilities  
137 in the city of Ankara is mainly dependent on individual’s level of income. Jones et al  
138 (2009) examined the distribution of access to parks among the residents of  
139 Birmingham, England and found evidences of disparities in provision related to  
140 socioeconomic deprivation. Wolch et al. (2005) and Sister et al. (2007) found that  
141 communities with Latinos, non-white or low-income groups have worse access to  
142 parks in the American context. Landry and Chakraborty (2009) investigated the  
143 environmental equity of ‘green resource-street trees’ in Tampa, Florida and identified  
144 that their spatial distribution is inequitable with respect to race and ethnicity, income,  
145 and housing tenure. In the city of Yokohama, Japan, Yasumoto et al (2014) adopted a  
146 longitudinal approach to investigate the association between socio-demographic  
147 indicators and public park provision over an eighteen-year period, and found that new  
148 parks are located in more affluent communities. Moreover, recent studies drawing  
149 upon the concept of environmental justice contend that more focus need to be placed  
150 on how and why people use urban parks (Byrne and Wolch 2009). In this regard,  
151 Hughey et al. (2016) examined the quality of parks in south-eastern US and found that  
152 disadvantaged neighborhoods tend to have parks with poorer quality whilst Ibes

153 (2015) provided a novel approach to classifying the urban parks according to their  
154 physical, land cover and built features.

155

## 156 **2.1 Social inequality in China**

157 The concept of social equity and access to public facilities is still relatively new in the  
158 Chinese context, and research conducted at the neighbourhood level is particularly  
159 scarce. However, this does not mean that social inequality does not exist in China. In  
160 fact, social inequality has become one of the most scrutinized areas for scholars of  
161 urban China especially since the transition to a market based economy (Logan et al.,  
162 2009, Sicular et al., 2007). The evidence to date suggests that China's transition to a  
163 market economy has transformed a society once characterised by egalitarianism into  
164 one that is experiencing an increasing income gap between the rich and the poor  
165 (Sicular et al., 2007). So far studies on inequality in China have focused on the  
166 unequal level of individual socioeconomic achievements, the provision of amenities  
167 primarily between different regions (Zhang and Kanbur, 2005), and the income  
168 disparities among different social groups (Fan, 2002).

169

170 With respect to who is disadvantaged in Chinese cities, studies have identified two  
171 vulnerable groups who are considered to be the new urban poor. The first group  
172 consists of laid-off workers lacking skills and education, which prevents them from  
173 finding new employment or moving out of their deprived neighbourhoods (Wu et al.,  
174 2010). The second group consists of rural migrants who are much more likely to be  
175 working in poorly paid and dangerous jobs compared to native residents (Solinger,  
176 2006). The key obstacle for rural migrants to improve their life in the host society is  
177 the so-called hukou system, which prevents rural hukou holders from accessing the

178 urban welfare system (Chan, 2009) as well as public housing facilities (Logan et al.  
179 2009). The reigning socio-economic inequality has also led to residential segregation,  
180 which is largely centred on tenure and affordability (Li and Wu, 2008). Therefore,  
181 especially those who are excluded from affordable housing such as rural migrants are  
182 much more likely to be renting from the private sector, which is mostly located in  
183 low-income areas (Li and Wu 2008). Segregation also means that the urban poor and  
184 rural migrants are disproportionately more likely to be living in deprived  
185 neighbourhoods, which in turn further increase the likelihood of poverty (Wu et al.,  
186 2010). In contrast, middle class residents tend to be living in newly developed  
187 commodity housing estates, which are usually equipped with better public amenities  
188 compared to low-income areas (Li et al. 2012). In addition, residents in commodity  
189 estates tend to have less demand for public resources since green space and communal  
190 facilities are usually provided within the estate (Xiao et al. 2016; Shen and Wu 2013).  
191 Overall in urban China, marginalised social groups experience unequal access to  
192 various resources such as the job market or the housing market.

193

194 To our knowledge, in relation to green space in China, there are some initial findings  
195 although their main focus is on green space activities rather than access to parks per  
196 se. For instance, Byrne et al. (2015) conducted a survey for Hangzhou to explore how  
197 people's responses to climate change may be related to their local green infrastructure.  
198 Wang et al. (2015a) adopted a comparative framework, revisiting the exogenous  
199 factors for people's self-reported park usage over China and Australia and Zhang et al.  
200 (2015) examined the determinants of young residents' satisfaction levels when  
201 participating in physical activities in urban green spaces.

202

203 The existing social inequality literature signals that marginalised groups including  
204 laid-off state workers and rural migrants, may suffer from inequality such as lack of  
205 public resources and residential segregation (Li and Wu 2008). At the national level  
206 Chen and Hu (2015) found a negative relationship between economic development  
207 and urban public green space, signaling that access to urban green spaces in China's  
208 megacities is worsening. At the Jiedao level (similar to UK ward level) Yin and Xu  
209 (2009) examined the spatial distribution of urban parks based on the 5th national  
210 census and found that urban parks are spatially matched with Shanghai's population  
211 density. However, the question whether there is equitable access to urban parks for  
212 different social groups remains unanswered. Little is known whether marginalised  
213 groups also have poorer access to services in a denser populated context such as  
214 China, where the provision of green space has always been scarce and the quality of  
215 service provision for the entire population is considerably lower. In this vein, this  
216 study approaches an environmental justice framework (Wolch et al 2014, Hughey et al  
217 2016, McClintock et al 2016, Talen, 1997), exploring whether the present urban park  
218 distribution has a particular discrimination for marginalised population during rapid  
219 urban growth, as the shortage of these facilities may lower the life chances of its  
220 residents as well as their mental and physical health.

221

## 222 **3.0 Methodology**

### 223 **3.1 Study area and data source**

224 This paper uses Shanghai as the case study since it is one of the fastest developing  
225 cities in China where the rise of social inequality has been especially dramatic (Li and  
226 Wu 2008). Being the key financial centre of China, Shanghai is also known as the  
227 most populous 'city proper' in the world with growth rate of 37.53 per cent from

228 16,737,734 in 2000, meaning that there are 6.6 million people moving there annually.  
229 The proportion of migration increased from 18.6 per cent in 2000 to 39 per cent in  
230 2010 (NBS 2010). With 6000 people per square kilometre in 2012 Shanghai's  
231 population density is also considerably higher compared to other world cities such as  
232 Tokyo (4300/km<sup>2</sup>), New York (1800/km<sup>2</sup>) and Paris (3800/km<sup>2</sup>) (Demographia World  
233 Urban Area, 2014). The Shanghai municipal government is placing great emphasis on  
234 the provision of green recreational amenities in order to improve the local ecology  
235 system, as well as adding significant public benefits including aesthetic enjoyment,  
236 increased recreation, and access to clean air. According to the Shanghai statistical  
237 yearbooks (2000-2011), the green space of metropolitan area had reached 37.1 km<sup>2</sup> in  
238 2011, which is double that of 1997. Moreover, the green cover ratio increased from  
239 22.2 per cent to 38.2 per cent in the period from 2000 to 2011 while the green space  
240 per capita increased to 13.1 m<sup>2</sup> compared with 4.6 m<sup>2</sup> in 2000.

241

242 Our study area focuses on the metropolitan area of Shanghai, which is mainly within  
243 the external ring road comprising of nine administrative districts: Huangpu, Luwan,  
244 Xuhui, Changning, Jing'an, Putuo, Zhabei, Hongkou, Yangpu and Pudong, where the  
245 population density is 16,828 per km<sup>2</sup> at the area of 660 km<sup>2</sup>.

246

247 **[FIGURE 1 HERE]**

248 **[FIGURE 2 HERE]**

249

250 The data for this study is drawn from several primary sources. Firstly, local  
251 socioeconomic information at the "juweihui" level (similar to the US census tracts  
252 level) is taken from the Sixth National Population Census of the People's Republic of

253 China 2010 and any blocks located outside of the metropolitan area were excluded  
254 from the analysis. Secondly, details on urban park locations were derived from the  
255 Shanghai Environmental Protection Bureau. In total, there are 366 public parks in  
256 Shanghai and 216 parks are within the 15.7 km<sup>2</sup> boundary of our study area. Thirdly,  
257 the street network information is taken from the Shanghai Municipal Bureau of  
258 Planning. Before the estimation, we digitized all the information in the geographic  
259 information system. Table (1) summarizes all the variables employed in this study as  
260 well as the general descriptive statistics. There are 2730 samples in total, and it is seen  
261 that the variables selected, namely that of social class characteristics are categorized  
262 into three dimensions, including the general demographic characteristics, urban  
263 spatial structure and social-economic status. The first dimension calculates the portion  
264 of people in census block under the age of 20, above the age of 60, with their local  
265 city being Hukou, their unemployment rate and marriage rate. The second dimension  
266 is mainly concerned with local residents and migration population density. Since  
267 income level is not available, we therefore rely on housing type as an indicator of  
268 one's social-economic status. As a rule of thumb it is assumed that individuals with  
269 high incomes would purchase commodity housing for a higher quality of life, and  
270 those with low incomes would choose affordable housing units. Finally, the access  
271 level shows the results of the amount of park acreage located within 1.5 km and 3.2  
272 km of each census block via the existing street network.

273 **[TABLE 1 HERE]**

274

### 275 **3.2 Urban park access as an aspect of social equity**

276 We chose urban parks as our measure of social equity as green parks offer a variety of  
277 health and economic benefits (Besenyi et al., 2014, Xiao et al 2016, Wolch et al 2014)

278 and a space for social interaction and creating a sense of belonging for marginalised  
279 groups (Byrne and Wolch, 2009, Hughey 2016). Recall that, this study attempts to  
280 understand the spatial association pattern of park access with different social groups  
281 and examine whether urban resources are distributed equitably for the socio-economic  
282 characteristics of residents in urban China. Since, Wolch, Byrne and Newell (2014)  
283 stated that despite a growing literature, there is no consensus among scholars about  
284 how to measure green space access. The common approach is to employ GIS,  
285 measuring accessibility (Oh and Jeong, 2007), therefore, this study follows Talen  
286 (1997, 1998) and Talen and Anselin (1998)'s framework to investigate the  
287 relationships between equity of public parks and the socio-economic characteristics of  
288 the populations in a given area. Generally, their procedure involves three stages: the  
289 first step is to measure accessibility to facilities (parks in this case), then to map and  
290 spatially cluster accessibility value of each census unit using the technique of Local  
291 Moran LISA statistic. Finally, a standard two-sample test (Mann–Whitney U test) is  
292 employed in order to investigate whether the socio-economic characteristics of blocks  
293 with high and low access to public facilities is statistically equal.

294

### 295 **3.3 Measuring accessibility to parks**

296 The notion of "accessibility" has become a central concept in physical planning and is  
297 widely considered a useful tool for policy assessment (see Neutens et al. 2010 for a  
298 summary of the existing measurement of accessibility for urban service). The present  
299 methods for measuring spatial accessibility of neighbourhood parks in the literature  
300 can be categorized into three general approaches (Zhang et al., 2011), including the  
301 travel cost approach, the container approach and gravity model-based approach.  
302 However, recent studies reveal that these geographical approaches cannot fully

303 capture the actual park users' activities since they do not consider the mental barriers  
304 to park usage (Byrne and Wolch, 2009).

305

306 Nevertheless, this study adopts the accessibility measurement from Talen's (1997),  
307 which belongs to the gravity model-based category. It has two theoretical advantages.  
308 Firstly, the direct (Euclidean) distance measures of park accessibility are intuitive but  
309 not realistic. Nicholls (2001) states that the estimation would be inaccurate if the  
310 straight distance method is utilized to identify the radii of the targeted area. Therefore,  
311 the travel distance computed by the shortest route algorithm via a street network  
312 analysis appears more suitable, as it captures the actual routes that all groups of  
313 people are likely to use to reach the public facilities (Talen, 1997). Secondly, the  
314 container approach seems problematic due to the issue of Modifiable Areal Unit  
315 Problem (MAUP), which ignores the spatial size of geographic containers. The  
316 traditional 'container' approach divides an urban area into smaller zones and  
317 calculates the amount of parkland available to residents within each of these units  
318 (Talen and Anselin 1998). However, Talen and Anselin (1998) argue that such  
319 estimations are inappropriate, as they assume the benefits of services provided are  
320 allocated only to residents within the predefined zone. In fact for true public goods,  
321 service provision is not limited to specific geographic boundaries, therefore such an  
322 assumption ignores people's self-movement and the spatial externalities of facilities  
323 (Nicholls, 2001). Consequently, this study adopted the gravity model-based approach,  
324 measuring the access level referred to in the covering model (Hodgart, 1978) to  
325 characterize and compare the accessibility of parks, taking into account both the park  
326 size and distance to parks within certain distances for each given census block (Talen  
327 and Anselin, 1998). By using an existing administrative spatial unit (juweihui in our

328 case), which is then comparable to other existing studies, we can therefore avoid any  
329 arbitrary spatial unit definitions. The formula for this measurement is as follows:

$$330 \quad Z_{ij} = \frac{S_j}{d_{ij}^\alpha} \quad (\text{Equation 1})$$

331 Where,  $S_j$  is the number of facilities or their size (we use size for this study),  $d_{ij}$  is the  
332 network distance between tract  $i$  and facility  $j$ , and  $\alpha$  is the search of distance (radii).  
333 It is noted that two critical distances radii ( $\alpha$ ) are used: 1.6 km (15 minutes walking  
334 distances) and 3.2 km (15 minutes cycling distance). Since, a distance of 1.5 km is the  
335 criteria for park access given in De Chiara and Koppelman (1975); the 3.2 km  
336 distance is the criteria used to test the sensitivity of park access in Macon and Georgia  
337 (Talen 1997). It is known that Shanghai like most mega cities in developing countries  
338 is highly populated, and green public resources per capita is thus very scarce; it is  
339 assumed that people would be more inclined to pay higher travel cost (time and  
340 distance) to access the green spaces. Therefore, we also included two radii area to  
341 represent different access behaviours, such as walking and cycling.

342

### 343 **3.4 Analysis methods**

344 The analysis method of this study is divided into two steps. Firstly, we follow Talen's  
345 (1997) and Li et al. (2015) approach, using local indicators of spatial association  
346 (LISA) (Anselin, 1995) to determine the existence of statistically significant spatial  
347 clusters of single or bivariate variables. Furthermore, it also gives us an indication of  
348 the spatial non-stationarity, outliers or spatial regimes, similar to the use of the Moran  
349 scatterplot in Anselin (1996). Its formula is defined as:

$$350 \quad I_i = \frac{z_i - \bar{z}}{\sum_j w_{ij} (z_j - \bar{z})} \quad (\text{Equation 2})$$

351 Where,  $z_i$  and  $z_j$  are expressed in deviations from the mean, and  $w_{ij}$  is the spatial  
352 weight. The summation over  $j$  is across each row  $i$  of the spatial weights matrix.

353 Indeed, the key strength of LISA indicator is to allow for the detection of significant  
354 patterns of association around an individual location, including hot spots and spatial  
355 outliers (Anselin, 1995).

356

357

358 According to Talen and Anselin (1998) there are very few instances in the existing  
359 literature that outline the spatial association pattern of accessibility with  
360 socioeconomic characteristics. In this respect, they suggested that the bivariate  
361 treatment of local indicators of spatial association (LISA) (Anselin, 1995) is the most  
362 suitable approach for this research objective. Nevertheless, the second task of this  
363 research, which is to assess whether or not the distribution of urban park services is  
364 equitable for marginalised population sub-groups, is reliant on the univariate  
365 treatment in LISA technique, which only considers the accessibility level of each  
366 census area.

367

368 Secondly we apply the Mann-Whitney U test in order to discern the spatial  
369 distributional relationship between population characteristics and access to parks. For  
370 instance, the test can explore whether census areas with a large share of low-income  
371 or aging population have better access to parks than the wealthier and younger  
372 neighbourhoods. The Mann-Whitney U test compares measures of location for two  
373 groups, blocks with high access vs. blocks with low access based on the clustering  
374 result above, examining whether accessibility favors one particular socioeconomic  
375 group over another or equal. The formula of Mann Whitney U statistic is defined as:

$$U = n_1 n_2 + \frac{n_2(n_2 + 1)}{2} - \sum_{i=n_1+1}^{n_2} R_i$$

376 (Equation 3)

$$z = \frac{U - m_U}{\sigma_U},$$

(Equation 4)

377

378

379 Where,  $n_1$  and  $n_2$  are the sample size of each group, and  $R_i$  is the rank.  $m_U$  and  $\sigma_U$  are

380 the mean and standard deviation of  $U$ . In most circumstances, a two-sided test is

381 required for  $Z$  score, which means the sign of estimation results has different

382 meanings. For example, the lower side test (negative sign) presents that Group 1's

383 values tend to be smaller than Group 2's values, while the upper side test (positive

384 sign) shows Group 1's values tend to be larger than Group 2's values.

385

## 386 **4.0 Analysis results**

### 387 ***4.1 Spatial clustering of social groups and park access distribution***

388 In order to evaluate the spatial pattern between park access and socio-economic

389 characteristics we firstly analysed the mapped spatial distribution of three variables,

390 namely welfare housing (as an indicator for low-income households), commodity

391 housing (as a proxy for high income) and the presence of migrant residents. Figures 2,

392 3 and 4 display the spatial clustering of socio-economic indicators and the distribution

393 of parks, which is calculated with the LISA bivariate measurement. Areas shown in

394 red are neighbourhoods that have a high presence of the social group defined by the

395 three indicators above as well as high access to park facilities. Blocks coloured in

396 light blue are areas that have a low percentage of the social group but a high level of

397 park access. Only the blocks that are statistically significantly are shaded.

398

399 **[FIGURE 3 HERE]**

400 **[FIGURE 4 HERE]**

401 **[FIGURE 5 HERE]**

402

403 Figure 2 shows the distribution of migrant residents and park access and reveals that  
404 most of the areas with high percentages of migrants and high rates of park access are  
405 located within the inner ring of the city, with old districts such as Huangpu and Xuhui  
406 displaying the highest accessibility for migrant residents. One possible explanation for  
407 this outcome could be because rural migrants living in inner city Shanghai tend to be  
408 residents of physically dilapidated low-income neighbourhoods that are awaiting  
409 regeneration. Surrounding neighbourhoods that have already undergone  
410 redevelopment, have gained more green space, as part of Shanghai's public green  
411 space plan (Shanghai Municipality 2001). In comparison, blocks with low access to  
412 parks but have a high presence of migrant residents are mostly located in the  
413 peri-urban areas, which are still dominated by light industrial uses. With regards to  
414 welfare housing, most high-high neighbourhoods are situated outside of the outer ring  
415 road of Shanghai and are relatively concentrated. There are considerably fewer blocks  
416 with low park access and high welfare housing percentage, suggesting that the  
417 Shanghai government's planning considers proximity to urban parks as a requirement  
418 for welfare housing developments. In contrast, commodity-housing neighbourhoods  
419 are more likely to be located in areas with low park access, as figure 4 reveals that the  
420 light blue shaded blocks are much more prevalent than high-high blocks. The fact that  
421 most commodity housing blocks are located in the outer areas of Shanghai suggests  
422 that the provision of park access has not kept up with the private housing development  
423 rate. Information on the date and number of parks built so far in Shanghai confirms  
424 this explanation (SADACA 2014). Whilst the majority of existing parks were built in  
425 the 60s and 80s, only a small number of parks have been built since the millennium.  
426 However, the greatest surge of private housing developments have taken place after

427 the millennium thereby affirming that provisions of park spaces has not been a top  
428 agenda for private developers as well as the government.

429

#### 430 *4.2 Socioeconomic characteristics of high-access neighbourhoods and low-access* 431 *areas*

432 Table (2) shows the median scores of the socioeconomic indicators of two types of  
433 areas, namely areas with high access to urban parks and areas with a low access to  
434 parks. In order to test whether there is a significant difference in the distribution of  
435 certain social groups in relation to access to urban parks, we employ the  
436 Mann-Whitney U-test to test each set of socio-economic characteristics. The U-test is  
437 non parametric and the null hypothesis is that there exist no significant difference  
438 between the two sets of data with regards to park access and that the data sets could  
439 have been sourced from a common population (Talen 1997).

440

441 **[TABLE 2 HERE]**

442

443 Both the model results of the one-mile (1.6km) and two-mile (3.2km) range yielded  
444 very similar results except for unemployment rate and shows that there is a very stark  
445 difference between social groups in terms of park access. Firstly with regards to  
446 demographic characteristics the U-test reveals that areas with high access to parks  
447 measured both at the 1.6km and 3.2km range tend to have a larger percentage of  
448 people above the age of 60. In comparison areas with low access to parks tend to have  
449 a significantly higher share of residents below the age of 20. Moreover, the  
450 percentage of married households is also considerably higher in neighbourhoods with  
451 a lack of public parks. Housing choices and demand for different amenities could be a

452 reason for this outcome as married families with children prefer areas with better  
453 access to schools and shopping facilities whilst elderly people may choose parks for  
454 recreational purposes. In addition, areas with higher population densities are  
455 associated with better park access, which suggests that the distribution of parks is  
456 relatively equal amongst the population. In terms of the longstanding argument that  
457 the migrant population is highly disadvantaged compared to the urban population  
458 (Fan 2002; Li and Wu 2008; Wu et al. 2010) the U-test results shows that the  
459 distribution of park facilities appears to be in favour of marginalised groups. The  
460 share of migrant residents is significantly higher in high park access areas whereas the  
461 percentage of native Shanghai residents is significantly larger in neighbourhoods  
462 where urban parks are not in close vicinity. However, it is important to note most  
463 areas with high park access and high migrant population percentage is located in the  
464 inner city of Shanghai where many housing blocks are of a poor physical quality and  
465 have a high share of low-income residents (figure 2). In comparison, areas where  
466 there is good access to urban parks but has a low share of migrant residents tend to be  
467 newly developed commodity neighbourhoods such as the Lianyang area in Pudong  
468 New District where the estate itself already provides an abundant level of private  
469 green space.

470

471 With regards to the effects of financial wealth and access to parks, the U-tests yielded  
472 some very surprising results. Firstly, compared to low park access areas, high park  
473 access neighbourhoods have a higher share of welfare housing. In other words, areas  
474 with a poor access to public parks have significantly lower percentage of welfare  
475 housing. Secondly there appeared to be no discrimination in terms of public park  
476 access for residents in affordable homes as there is no significant difference in the

477 distribution of this type housing between the high and low access neighbourhoods.  
478 Moreover, the percentage of unemployed residents also does not significantly differ  
479 between areas with good access to parks and neighbourhoods with poor park access  
480 measured at the 1.6km distance range. In fact, measured at the 3.2km range the  
481 percentage of unemployed residents is significantly higher in high access  
482 neighbourhoods as compared to low access areas. There are several possible  
483 explanations for these outcomes. Firstly, we speculate that the Shanghai government  
484 has been considerate of the need for recreational facilities of working class residents  
485 and low-income families and devised land use policies according to their needs. A  
486 further reason could be that most marginalised groups tend to congregate in the inner  
487 city and within the outer ring area, parts of the city that are more likely to have parks  
488 (SADACA 2014).

489

490 In contrast to the positive effects of economic disadvantage, the percentage of  
491 residents living in commodity housing neighbourhoods is significantly higher in areas  
492 where there is poor access to park facilities. This is surprising as residents in  
493 commodity housing are usually more likely to be home-owners as well as more  
494 affluent and thus in a better position to exercise greater degrees of choice regarding  
495 the location and access facilities for their accommodation. We speculate that the  
496 reason for this outcome could be related to the provision of private recreational  
497 facilities in gated communities. This would also explain why local natives are also  
498 living in low park access blocks since according to the findings of Li and Wu (2008)  
499 native Shanghai citizens are more likely to be homeowners.

500

501 **5.0 Conclusion**

502 Many studies have noted that inequality is worsening in urban China and is also  
503 reflected in the residential location and tenure of social groups (Li and Wu 2008;  
504 Logan et al. 2009). Whilst affluent households mostly live in commodity estates  
505 developed through the private market, disadvantaged groups such as rural migrants  
506 are more likely to live in rented properties (Li and Wu 2008; Wu 2004; Liao and  
507 Wong 2015; Wu et al. 2010). Consequently, there are growing concerns that the  
508 unequal residential distribution of social groups may affect their access to public  
509 facilities. Despite the importance of this issue, little is known whether public  
510 resources are distributed equally amongst all the residents in urban China during this  
511 especial era. In order to address this question, our study explored whether the  
512 provision of public parks is equal amongst all social groups using the case of  
513 Shanghai. Our findings show that in Shanghai low-income social groups are not  
514 disadvantaged in terms of access to urban parks. The U-test results provide a highly  
515 positive outcome in terms of social equity and access to parks as marginalised groups  
516 such as migrants, unemployed individuals and residents of welfare housing are more  
517 likely to live in areas with better park access when compared to the general  
518 population.

519

520 We speculate that there are two possible explanations for this outcome. Firstly, the  
521 outcome may be related to Shanghai municipality's urban green space planning  
522 strategy, which emphasises on an even spatial distribution of public green space  
523 (Shanghai Municipality 2001) and the planning legacy of China's socialist era. The  
524 Chinese state's dominant role in urban planning may therefore play a bigger role in  
525 affecting social equity than issues such as poverty and race when it comes to affecting  
526 the equity of public resource distribution. In contrast to Western societies where poor

527 urban park access is widening the equity gap (Witten et al., 2003, Smoyer - Tomic et  
528 al., 2004, Hewko et al., 2002), Shanghai's case reveals that although particular social  
529 groups are more susceptible to unequal treatment, it is possible to mitigate such  
530 effects. Planning regulations considerate of these 'patterns' of inequality can balance  
531 out some of the institutional and market inequalities.

532

533 The second potential explanation for the social equity in urban China is that rather  
534 than an entirely planned outcome by planning authorities, some social groups are  
535 unintentionally benefiting from the access to urban parks especially in the case of  
536 rural migrants. The GIS map reveals that the majority of high-high blocks of rural  
537 migrants are located in the inner city where most migrants are tenants living in  
538 physically deprived but cheap accommodations. However, given their inner city  
539 location, low-income neighbourhoods still enjoy access to urban parks that were  
540 either built during the planned economy era or were recently constructed as part of the  
541 wider inner city regeneration strategy of the Shanghai government (Shanghai  
542 Municipality 2001). Although rural migrants are not explicitly stated as target groups,  
543 they may be indirectly benefitting from the municipality urban green space plan.

544

545 However, the downside is that marginalised groups, especially rural migrants, are the  
546 first to be displaced due to redevelopment and are almost always unable to return to  
547 their former residence. With the gradual redevelopment of inner city Shanghai and the  
548 concentration migrant residents (Liao and Wong 2015), it remains to be seen whether  
549 rural migrants will continue to have good access to urban parks. Moreover, both the  
550 government (SADACA 2014; Shanghai Municipality 2001) and research (Wolch et al.  
551 2014) acknowledge that the development of new public parks is insufficient and

552 lagging behind the residential developments in Shanghai. Green space is increasingly  
553 becoming a commodity (Xiao et al. 2016) despite the government's efforts and policy  
554 initiatives such as reducing the walking distance to public green space in the city  
555 proper to 500m (MOHURD 2015). The consequence of China's transition to a market  
556 economy is that most green spaces are produced within private commodity estates  
557 communities (Xiao et al. 2016), which also explains our result of why affluent  
558 neighbourhoods do not have good access to public green space. The long-evolved  
559 nature of the socio-spatial patterns of historical Western cities indicates that green  
560 spaces have always tended to be either created by and for the better-off, or captured  
561 by them. It will be interesting to see what becomes of this progressive feature of  
562 China's 'design-and-build' cities as secondary property markets start to mature.  
563 Western experience and theory suggests that green spaces will help shape social  
564 geography over time as the more wealthy outbid the less wealthy, and capture the  
565 external value of popular urban facilities like parks.

566

567 Returning to the research question of whether Chinese cities are socially equitable in  
568 terms of access to urban facilities, the answer appears to be yes but not for long. This  
569 paper confirms existing studies to some extent as it shows that different social groups  
570 also have varying degrees of access to urban parks (Wolch et al., 2014, Talen 1997,  
571 1998; Talen and Anselin 1998; Mlandenka 1989; Hasting 2007; Wolch et al., 2005;  
572 Sister et al., 2007). However, the difference lies in the fact that in the context of China,  
573 marginalised population groups that would normally live in low access areas tend to  
574 live in high park access neighbourhoods.

575

576 The implication of our study therefore is that urban planning needs to pay particular  
577 attention to the needs of marginalized groups. Our research indicates that it is the  
578 equitable planning approach from China's socialist era that has ensured the access to  
579 urban parks for low-income residents. Based on Shanghai's evidence, we thus  
580 recommend Chinese municipal governments to lead the construction of public parks  
581 and allow free public access but also explicitly state in their planning strategy that  
582 disadvantaged population groups should be prioritised. With regards to future studies  
583 on park access there are several aspects needing further research. Firstly, more  
584 understanding is needed in terms of the people's threshold distance preference on  
585 accessing urban parks. Xiao et al. (2016) assert that there is mitigating effect of club  
586 green space on urban public parks, which means many people are unwilling to access  
587 urban public park that requires long travel journey. Secondly, whilst our research  
588 revealed the equity of access to urban parks, more information is needed in regards to  
589 the quality of urban parks and whether the quality deteriorates in neighborhoods with  
590 a high portion of low-income residents. Finally, our measurement of accessibility is  
591 based on street network analysis and therefore only illuminates the physical aspects of  
592 accessibility. Future studies could improve our understanding of accessibility by  
593 incorporating alternative measures that take into account the psychological barriers of  
594 users (Byrne, 2012, Byrne and Wolch, 2009).

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List:

Tables: Table 1. Descriptive Statistics

Table 2: The estimation of social groups in high and low access census blocks

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Figure (2) The parks distributions in the study area of Shanghai

Figure (3) Migrants density, high and low LISA values.

Figure (4) Welfare housing, high and low LISA values.

Figure (5) Commodity housing, high and low LISA values.

**Tables:**

Table 1. Descriptive Statistics

		Minimum	Maximum	Mean	Std. Deviation
Demographic characteristics	% age under 20	0.00	0.40	0.12	0.03
	% age 60 above	0.00	0.39	0.18	0.06
	% local city Hukou	0.00	58.88	0.86	1.97
	Unemployment rate	0.00	0.03	0.00	0.00
	%Marriage	0.00	1.00	0.62	0.12
Social spatial structure	Resident population density	6.00	37518.00	4242.37	2309.91
	Migration population density	0.00	3667.00	122.18	164.58
Social Economic status	%Commodity housing	0.00	11.00	0.28	0.36
	%Affordable housing	0.00	0.65	0.00	0.03
	%Welfare Housing	0.00	3.97	0.24	0.26
Access level to parks	park area within 1.6km (in m <sup>2</sup> )	0.00	1125770.00	68000.84	100334.15
	park area within 3.2km (in m <sup>2</sup> )	0.00	1371650.00	316282.50	253587.12

N=2730

Table 2: The estimation of social groups in high and low access census blocks

Variable			Mann-Whitney U test	
	High access Median	Low access Median	Z	p-value
<b>1.6 km covering range</b>				
%Under age 20	10.01	11.84	-9.140	0.000***
%Above age 60	21.77	16.56	12.967	0.000***
%Hukou origin:				
local city	64.58	62.75	2.859	0.004***
Unemployment rate	0.22	0.227	-0.510	0.610
Resident population density	38800	25300	7.312	0.000***
Migration population density	727.00	556.19	4.432	0.000***
%Marriage	58.62	65.91	-7.698	0.000***
%Commodity housing	6.25	21.42	-4.005	0.000***
%Affordable housing	0.00	0.00	-0.274	0.784
%Welfare Housing	19.92	2.01	6.848	0.000***
<b>3.2 km covering range</b>				
%Under age 20	10.37	12.06	-9.132	0.000***
%Above age 60	20.64	16.91	13.843	0.000***
%Hukou origin:				
local city	65.34	61.00	6.402	0.000***
Unemployment rate	0.250	0.223	2.081	0.037*
Resident population density	38050	27500	9.320	0.000***
Migration population density	712.64	553.21	6.681	0.000***
%Marriage	59.53	67.05	-11.153	0.000***
%Commodity housing	11.35	24.41	-3.232	0.001**
%Affordable housing	0.00	0.00	0.478	0.633
%Welfare Housing	23.82	0.82	9.567	0.000***

Notes: \* p<0.05; \*\*p<0.01; \*\*\*p<0.001