1	Sperm competition shapes gene expression and sequence evolution in the ocellated
2	wrasse
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4	Running title: Sperm competition shapes gene evolution
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21 ABSTRACT

Gene expression differences between males and females often underlie sexually dimorphic 22 phenotypes and the expression levels of genes that are differentially expressed between the 23 sexes is thought to respond to sexual selection. Most studies on the transcriptomic response 24 to sexual selection treat sexual selection as a single force, but post-mating sexual selection 25 26 in particular is expected to specifically target gonadal tissue. The three male morphs of the ocellated wrasse (Symphodus ocellatus) make it possible to test the role of post-mating 27 sexual selection in shaping the gonadal transcriptome. Nesting males hold territories and 28 have the highest reproductive success, yet we detected feminisation of their gonadal gene 29 expression compared to satellite males. Satellite males are less brightly coloured and 30 experience more intense sperm competition than nesting males. In line with post-mating 31 sexual selection affecting gonadal gene expression, we detected a more masculinised 32 expression profile in satellites. Sneakers are the lowest quality males and showed both de-33 masculinisation and de-feminisation of gene expression. We also detected higher rates of 34 gene sequence evolution of male-biased genes compared to unbiased genes, which could at 35 36 least in part be explained by positive selection. Together, these results reveal the potential 37 for post-mating sexual selection to drive higher rates of gene sequence evolution and shape 38 the gonadal transcriptome profile.

40 **INTRODUCTION**

41 Males and females within a species share the majority of the genome. Even in species with 42 sex chromosomes, the sex-limited Y or W chromosome (in XY or ZW sex determination systems) is typically small and contains few genes (Skaletsky, et al. 2003; Koerich, et al. 43 2008). Therefore, many sexually dimorphic traits are the product of differences in regulation 44 45 of loci present in both sexes (Dean and Mank 2016). Accordingly, just as many phenotypic traits differ substantially between the sexes, many genes show expression differences 46 between females and males (Parsch and Ellegren 2013). These sex-biased genes are often 47 viewed as the link between mating system, sexual selection and sexual dimorphism (Mank 48 et al 2013). 49

Sexually dimorphic gene expression has recently been shown to respond to sexual selection 50 across populations (Moghadam, et al. 2012; Hollis, et al. 2014; Immonen, et al. 2014) and 51 52 among species (Harrison, et al. 2015). Additionally, intra-sexual comparisons within species have shown that transcriptional dimorphism scales with phenotypic dimorphism among 53 54 individuals in both invertebrates (Snell-Rood, et al. 2011; Bailey, et al. 2013; Stuglik, et al. 55 2014) and vertebrates (Small, et al. 2009; Pointer, et al. 2013; Schunter, et al. 2014; Sharma, et al. 2014). These studies suggest that comparisons of transcriptomes both within and 56 between the sexes can be useful for understanding the transcriptional architecture of sexual 57 dimorphism, and the loci responding to sexual selection. 58

This previous work largely treats sexual selection as a single evolutionary force, focusing
mainly on divergence between males and females. Pre-mating and post-mating sexual
selection could, however, be expected to act in different ways and on different genomic
targets. Pre-mating selection might be expected to largely target gene expression in somatic

tissues involved in competing for, attracting, or securing mates (Emlen, et al. 2012; Khila, et
al. 2012). In contrast, post-mating sexual selection (also referred to as post-copulatory
sexual selection in species with internal fertilisation), a major component of sexual selection
in polyandrous species, has the potential to shape the transcriptional profile of the testes
(Mank, et al. 2013; Harrison, et al. 2015). Focusing on these effects makes it possible to ask
how specific components of sexual selection have shaped variation within and between the
sexes, linking genomic changes to the phenotypic response.

70 Isolating the effects of post-mating sexual selection on gene expression requires analyzing 71 the gonad transcriptome separately from the soma. In addition to comparing the gonad transcriptome between males and females, comparisons between multiple reproductive 72 73 males experiencing differing levels of post-mating sexual selection is also required. As well 74 as identifying the effects and targets of post-mating sexual selection, comparing gonadal transcriptomes can also improve understanding of relative transcriptional investment and 75 potential costs associated with pre- and post-mating sexual selection. For example, 76 comparing the transcriptional profiles of the testes in males from the same species with 77 78 differing investment in somatic pre-mating sexually selected traits may reveal the 79 transcriptional tradeoffs males make in pre-mating versus post-mating sexually selected traits. This shift in transcriptional investment in response to sperm competition could be 80 manifested in the testes, particularly for the genes that are involved in sperm production. 81 82 The ocellated wrasse, Symphodus ocellatus, (Fig. 1) allows for a full dissection of the relationship between different aspects of sexual selection in shaping transcriptional 83 84 dimorphism. S. ocellatus males exhibit three morphs (Taborsky, et al. 1987). Nesting males

85 court females, build and defend nests and provide parental care. Sneaker males do not

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court females, defend nests or provide care (Taborsky, et al. 1987; Alonzo, et al. 2000).
Instead, they surreptitiously join a female and nesting male when they are spawning and
release sperm. Satellite males do not build nests or provide care but they do associate with
a nesting male and attempt to bring females to and chase sneaker males away from this
male's nest. Females strongly prefer nesting males (Alonzo and Warner 2000b; Alonzo
2008). This preference may be adaptive as nesting males are older and/or exhibit faster
growth than both sneakers and satellites (Alonzo, et al. 2000).

The high prevalence of multiple males at *S. ocellatus* nest sites, and multiple paternity 93 94 within nests, indicates that sperm competition in this species is intense, and the intensity varies across male morphs (Alonzo and Warner 1999, 2000a, b; Alonzo and Heckman 2010). 95 The risk and intensity of sperm competition is lowest for nesting males and highest for 96 97 sneakers (Alonzo and Warner 2000b). Nesting males invest in traits favoured in pre-mating sexual selection (Alonzo 2008; Alonzo and Heckman 2010), as evidenced by the significant 98 differences in somatic transcription among morphs (Alonzo and Warner 2000a; Stiver, et al. 99 100 2015). In contrast, sneaker males achieve fertilization success solely through post-mating 101 sexual selection. Satellites are in many ways intermediate, investing in pre-mating behaviors 102 as well as post-mating competitive traits (Stiver and Alonzo 2013; Stiver, et al. 2015). The three male types in this species therefore represent a continuum of pre- and post-mating 103 sexual selection. 104

The particularly intense levels of sperm competition in *S. ocellatus* make it an ideal system to test whether sperm competition can drive elevated rates of evolution of male-biased genes (Ellegren and Parsch 2007). Higher rates of evolution of male-biased genes have been detected in many species (Ranz, et al. 2003; Zhang, et al. 2004; Cutter and Ward 2005;

Khaitovich, et al. 2005; Harrison, et al. 2015) and is generally thought to be the result of 109 more intense sexual selection acting on males (Andersson 1994), which drives the evolution 110 111 of male-biased genes. In a similar way, male traits subject to intense sexual selection are also rapidly evolving (Lande 1981). However, rapid rates of evolution could alternatively be 112 non-adaptive, resulting from relaxed constraint or increased drift. Although positive 113 selection has been shown to drive the elevated rate of male-biased gene evolution in 114 Drosophila (Pröschel, et al. 2006), recent work in humans and birds (Gershoni and 115 116 Pietrokovski 2014; Harrison, et al. 2015) suggests drift is the primary cause. However, these species may lack sufficient level of sperm competition to drive rapid rates of male-biased 117 118 gene evolution. If sperm competition is important in explaining the rapid rates of malebiased gene evolution, it should be evident in S. ocellatus, given the intense sperm 119 competition present in this species. 120

121 The three male morphs in S. ocellatus make it possible to test several aspects of how post-122 mating sexual selection affects expression and sequence evolution. First, because territorial males invest in costly somatic pre-mating sexually selected traits, it may be possible to 123 124 identify the signature of this trade-off in the gonadal transcriptome related to post-mating 125 sexual selection. Second, we can use this system to test whether sneaker males, which could be viewed as low quality, invest less in testes transcription compared to satellite males, 126 which likely represent males of higher quality. Finally, the risk and intensity of sperm 127 competition present across the three male morphs make it possible to test the power of 128 sperm competition to shape coding sequence evolution of male-biased genes. Taken 129 130 together, these analyses allow us to ask how post-mating sexual selection has shaped 131 expression and sequence evolution in the ocellated wrasse with the potential to yield

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general insights into how sexual dimorphism, intersexual variation and sexual selection areencoded in the genome.

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135 MATERIALS & METHODS

136 Sample collection and preparation

The samples were netted using 25.4 x 20.3 cm hand nets in the Baie de Revellata of the 137 Mediterranean Sea near the University of Liege Marine Station (STARESO) Calvi, Corsica 138 using SCUBA. We collected gonad samples from wild individuals, totalling 7 females, 4 139 140 nesting males, 5 satellite males and 4 sneaker males during the breeding season of the ocellated wrasse (May and June 2014). All individuals were caught from a total of 6 141 different nests known to be in the spawning phase of the nest cycle (Lejeune 1985; 142 143 Taborsky, et al. 1987; Alonzo 2004) meaning that males were courting females and spawning with these females in their nest. Behavioural observations were made for ten 144 minutes prior to capture to verify individual phenotype (nesting male, satellite, sneaker or 145 female) and that all individuals captured were actively involved in reproduction. All 146 individuals were caught within minutes of being observed. Both males and females spawn 147 148 repeatedly when at an actively spawning nest in this species and only individuals observed to be reproductively active were collected. We aimed to catch one of each type from each 149 nest sampled, though in a few cases this was not possible. Individuals were brought to the 150 surface, euthanized with an overdose of MS-222 and their gonads removed within 10-50 151 min (mean=27 min) of capture. Collection was authorized by a permit to the field research 152 station STARESO by the French government (Arrêté no. 188 en date du 07 Avril 2014). 153

Samples were cut into small pieces after dissection (to allow for better preservation) and
immediately stored in RNAlater (Ambion). RNA extraction was done under standardised
conditions using a Qiagen RNeasy kit (see Table S1 for RNA quality scores). The Wellcome
Trust Centre for Human Genetics prepared mRNA libraries (TruSeq RNA Library Prep Kit v2),
and each sample was individually barcoded and run across each of four lanes of Illumina
HiSeq 2000 in order to eliminate technical variance. On average, we recovered 31 million
100 bp paired-end reads per sample before quality control (Table S1).

161 Read quality was assessed with FastQC v0.10.1

162 (http://www.bioinformatics.babraham.ac.uk/projects/download.html), and reads quality

trimmed with Trimmomatic v0.32 (Lohse, et al. 2012). Specifically, reads were trimmed if
the sliding window average Phred score over four bases was < 15 or if the leading/trailing
bases had a Phred score < 3. Reads were removed post filtering if either read pair was < 36
bases in length. After trimming there were on average 29 million paired ends reads per
sample totalling 199 million reads for females, 116 million reads for nesting males, 149
million reads for satellites and 119 million reads for sneaker males.

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170 De novo transcriptome assembly, mapping and normalisation

We used the default parameters in Trinity v2.0.2 (Grabherr, et al. 2011) to construct a *de novo* transcriptome assembly on the combined pool of 583 million paired sequences. Each
individual sample was mapped to the Trinity reference genome and RSEM v1.2.19 (Li and
Dewey 2011) and Bowtie2 v2.2.4 used to obtain expression levels for the 567,384 contigs. *De novo* transcriptome assemblies generate many non-coding, chimeric or otherwise

spurious contigs, and we have previously developed and applied a series of filters to reduce 176 the number of erroneous contigs (Harrison, et al. 2012; Moghadam, et al. 2013; Chen, et al. 177 2015; Harrison, et al. 2015) when genome annotations are unavailable (see Fig S1 for 178 overview). Firstly, we selected the best isoform for each Trinity contig cluster, based upon 179 180 expression level and, in the case of ties, isoform length (Harrison, et al. 2015). These sequences were subsequently used for analyses of coding sequence divergence. RSEM 181 v1.2.19 was then used to re-map expression to the set of best isoforms to facilitate accurate 182 comparisons between expression and coding sequence evolution. Secondly, non-coding 183 RNA was filtered using a BLASTn with an *E*-value cut-off of 1×10^{-10} between the set of best 184 isoform and Gasterosteus aculeatus (stickleback) non-coding RNA (Ensembl v81) (Flicek, et 185 al. 2013). Finally, we removed all contigs with < 2 FPKM in ³/₄ of the samples per morph. This 186 allowed morph-specific contigs to be retained with reasonable confidence (i.e. expressed in 187 188 at least 3 out of all the samples) and resulted in 39,453 contigs.

Orthology between G. aculeatus and S. symphodus sequences was assessed using BLAST 189 (Altschul etal. 1990). Specifically, the longest transcript for each gene was obtained for G. 190 aculeatus (Ensembl v81) (Flicek, et al. 2013) and a reciprocal BLASTn with an E-value cut-off 191 of 1 $\times 10^{-10}$ and minimum percentage identity of 30% was used to identify orthology. 192 Reciprocal orthologs between G. aculeatus and S. symphodus were identified using the 193 194 highest BLAST score. Open reading frames were obtained using BLASTx with E-value cut-off of 1x 10⁻¹⁰ and contigs with invalid open reading frames were removed. This resulted in 195 8,928 orthologous contigs with an average length of 2,951 bp (N50 = 3,575, N90 = 1,656). 196

Normalisation was performed using the TMM function in edgeR (Robinson, et al. 2010) and
 RPKM values generated. We used hierarchical clustering, factor analysis and pairwise

correlations (Spearman's p) to identify any potential outliers. One satellite male sample 199 showed significant deviations from the male distribution (Wilcoxon rank sum test p-value = 200 0.0003, mean pairwise correlations among males ρ = 0.966, mean pairwise correlations 201 between excluded sample and the remaining satellite samples $\rho = 0.953$) and was removed, 202 203 and the normalisation was re-run. Pairwise correlations across samples within each morph were high (median (min-max), nesting males = 0.982 (0.980-0.983); satellites = 0.983 (0.981-204 0.984); sneakers = 0.980 (0.978-0.982); females = 0.977 (0.970-0.981). Post-normalisation 205 206 expression filtering resulted in the removal of genes that had expression < 2 RPKM in ¾ of the samples per morph, leaving 8,906 contigs for the expression analysis. Average 207 expression for males, females and for each male morph was calculated as the logged mean 208 expression of the normalised data plus 1 (to avoid infinite values resulting from log 0). Males 209 and females had similar average log_2 expression across all genes (female median = 4.05, 210 211 male median = 4.01, Wilcoxon rank sum test p-value = 0.555).

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213 Sex-biased and morph-biased expression

Differential expression between the sexes was quantified using edgeR (Robinson, et al. 214 215 2010), using both a fold-change threshold of 2 (Moghadam, et al. 2012; Harrison, et al. 216 2015; Grath and Parsch 2016) across all 3 male morphs vs females and p_{adi} < 0.05, with an 217 FDR correction for multiple testing. This resulted in 5,448 sex-biased contigs, classified as those contigs with at least twice the expression in one sex compared to the other, as well as 218 p_{adi} < 0.05. We also identified sex-biased contigs by comparing each male morph to females 219 (i.e. nesting males vs females, sneakers vs females and satellites vs females). These 220 221 approaches identified consistent patterns of sex-bias, with an overlap of 94% between the

two methods when using nesting males as the reference, 96% when using sneakers and 99%
when using satellite males as the reference. We also used DESeq (v1.18.0) (Anders and
Huber 2010) to calculate differential expression between males and females, specifying the
same parameters to identify sex-bias as used in the edgeR method. Of the sex-biased genes
identified using the edgeR method, 97.4% were also identified as sex-biased using DESeq
using the same fold-change thresholds.

Sex-biased contigs were then divided into male-biased genes, those with at least twice the 228 expression in males compared to females and p_{adj} < 0.05, and female-biased genes, those 229 with at least twice the expression in females compared to males and p_{adj} < 0.05. Because the 230 strength of selection has been shown to correlate with expression level (Krylov, et al. 2003; 231 Nuzhdin, et al. 2004), male-biased (n = 2,590) and female-biased (n = 2,858) contigs were 232 233 divided into quartiles based on average expression level in females for female-biased 234 contigs and average expression level in males (across the three morphs) for male-biased 235 contigs.

236 Morph-biased genes are expressed more highly in one morph compared to the other two 237 morphs. They can be expressed in multiple morphs, but at significantly different levels in one morph compared to the other two. Morph-biased contigs were identified using edgeR, 238 239 comparing differences between the focal morph and the other two morphs (i.e. nesting males vs sneaker and satellite males; satellite males vs sneaker and nesting males; sneaker 240 males vs nesting and satellite males), using an FDR adjustment for false discovery rate at padi 241 242 < 0.05. Because we expect few contigs to exhibit large expression changes across morphs (Pointer, et al. 2013; Hollis, et al. 2014), we report morph-biased contigs both with and 243 without 2-fold expression thresholds. 244

Hierarchical clustering for the average for each morph was performed using Euclidean 245 clustering in the R package pvclust v1.3-2 (Suzuki and Shimodaira 2006) with bootstrap 246 resampling (1000 replicates) for female-biased and male-biased genes. Heatmaps were 247 generated using log₂ average expression for each gene in each morph using the R package 248 249 pheatmap v1.0.2. Factor analysis was conducted in R (using Factanal) with varimax rotation. 250 Gene Ontology functional enrichment was assessed for sex-biased genes in each expression quartile using Gene Ontology Enrichment Analysis and Visualization tool (Eden, et al. 2009) 251 using two unranked lists of genes and function ontology specified with a p-value threshold < 252 0.001. Biomart (Ensembl Genes 86) was used to assign orthology between G. aculeatus and 253

254 *Danio rerio*. Sex-biased genes for each quartile were specified as the target gene set with all 255 other genes as the background. Significant GO terms ($p_{fdr} < 0.05$) are listed in Table S2.

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257 Sequence divergence

Coding sequences for *Xiphophorus maculatus* (platyfish) and *G. aculeatus* (stickleback) were
obtained from Ensembl v81 and the longest transcript for each gene identified (Flicek, et al.
2013). A reciprocal BLASTn with an E-value cut-off of 1 x10⁻¹⁰ and minimum percentage
identity of 30% was used to identify reciprocal 1:1:1 orthologs, resulting in 5,366
orthogroups (Fig. S1). Open reading frames were obtained using BLASTx and *G. aculeatus*protein sequences as the BLAST database.

264 Orthologs were aligned with PRANK v140603 in codon mode (Löytynoja and Goldman 2008)

specifying the tree ((*X. maculatus, G. aculeatus*), *S. ocellatus*). Alignments were quality

filtered using SWAMP v.09 (Harrison et al 2014) to remove poorly aligned regions that might

give false signals of position selection. Specifically, codons were masked if there were more
than seven non-synonymous mutations in a sliding window scan of 15 codons. Gaps and
masked codons were removed from the alignment and orthogroups discarded if the length
< 300bp.

We obtained divergence estimates for each orthogroup using the branch model (model=2, nssites=0) in the CODEML package in PAML v4.8 (Yang 2007) using the tree (*X. maculatus, G. aculeatus, S. ocellatus*#1). The branch model was used to calculate d_N/d_S for the wrassespecific branch. Contigs were excluded if tree length $d_S > 2$ in order to remove sequences which have reached mutational saturation (Axelsson, et al. 2008). This resulted in 4,912 orthogroups remaining out of a total of 5366.

277 For each expression class, we calculated mean d_N and mean d_S from the PAML outputs as 278 the sum of the number of substitutions across all contigs in a given category divided by the number of sites $(d_N = D_N/N; d_S = D_S/S;$ where $D_N =$ number of non-synonymous substitutions, 279 N = number of non-synonymous sites, D_s = number of synonymous substitutions, S = 280 number of synonymous sites). This approach avoids the problems of infinitely high d_N/d_S 281 estimates arising from contigs with extremely low d_s (Harrison, et al. 2015; Wright, et al. 282 2015) and prevents disproportionate weighting and skew from shorter contigs. 1000 283 284 bootstrap replicates were generated to estimate 95% confidence intervals and permutations tests were used to test for significant differences between pairwise 285 comparisons. 286

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288 Polymorphism analysis

Polymorphism data was obtained by first mapping RNA-seq reads to the best isoform Trinity 289 290 assembly using the two-pass alignment method of the STAR aligner v2.4.2a with default parameters (Dobin, et al. 2013). Only uniquely mapping reads were retained. SAMTOOLS 291 mpileup v0.1.19 (Li, et al. 2009) and VARSCAN2 v2.3.9 mpileup2snp (Koboldt, et al. 2009; 292 293 Koboldt, et al. 2012) were used to call SNPs. SAMTOOLS mpileup was run with the probabilistic alignment disabled, a max read depth of 10,000,000 and default minimum base 294 quality of 13. VARSCAN2 mpileup2snp was run with a minimum frequency for homozygote 295 296 of 0.85, minimum coverage of 2, minimum average quality of 20, strand-filter on and pvalue = 1. Valid SNPs were required to have a minimum coverage of 20 in at least four 297 individuals and a minor allele frequency > 0.15, resulting in 218,913 SNPs. SNPs were 298 matched to the reading frame to determine whether they were synonymous or 299 300 nonsynonymous.

In order to ensure the divergence and polymorphism data was comparable for subsequent
 analyses, similar criteria were used to filter both analyses. Specifically, codons that (i) were
 masked by SWAMP (ii) failed the minimum coverage threshold of 20 in at least four
 individuals or (iii) were excluded from PAML due to alignment gaps and the clean filter
 function, were filtered from both the polymorphism and divergence analyses.

The McDonald–Kreitman test (McDonald and Kreitman 1991) was used to estimate the number of contigs evolving under adaptive and neutral evolution by contrasting the number of nonsynonymous and synonymous substitutions (D_N and D_S) with polymorphisms (P_N and P_S). Fisher's Exact tests were run for each contig using D_N, D_S, P_N and P_S. Contigs were removed if the total observations across rows and columns in the 2x2 contingency table was < 6 (Begun, et al. 2007; Andolfatto 2008). For those contigs with significant deviations in D_N,

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312 D_s , P_N and P_s , positive selection was indicated by $D_N/D_s > P_N/P_s$ (McDonald and Kreitman 313 1991).

To examine expression levels of genes under positive selection we expanded our group of genes under putative positive selection by using the Direction of Selection (DoS) test (Stoletzki and Eyre-Walker 2011). DoS (DoS = $D_n/(D_n + D_s) - P_n/(P_n + P_s)$) was calculated as the difference in the proportion of fixed non-synonymous sites and the proportion of polymorphic non-synonymous sites (Stoletzki and Eyre-Walker 2011). An excess of nonsynonymous substitutions compared to polymorphisms (i.e. DoS > 0) indicates putative positive selection.

Lastly, we tested morph-biased genes (identified within the expression analysis) for standing
variation using polymorphism data to test for an excess or under-representation of
nonsynonymous polymorphisms across morph-biased genes. Excess or underrepresentation
is indicative of relaxed purifying selection or positive selection, respectively. For this
analysis, we separately concatenated P_N and P_S for each gene class and used Fisher's Exact
tests (in R v3.1.3) to test for significant differences in P_N/P_S between pairwise comparisons
between morph-biased genes and male-biased genes.

328 Commands are included in the supplementary material.

329

330 **RESULTS**

We had a total of 583 million paired-end reads across all samples after trimming, which we used for *de novo* transcriptome assembly. After filtering our assembly, we recovered 8,928

reciprocal orthologs with *Gasterosteus aculeatus* (stickleback), representing coding
 sequence used for all downstream analysis.

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336 Sex-biased expression across male morphs

337 We estimated expression for each sample, based on an average of 29 million paired-end 338 reads after trimming. We identified greater inter-sexual expression variation, with 5,448 sex biased genes (log₂ M:F > 1 or < -1, p_{adj} < 0.05) compared to intra-sexual expression variation, 339 340 with 34 morph-biased genes (24 nesting male-biased, 2 satellite male-biased, 8 sneaker male-biased, log_2 fold change between morph comparisons > 1 or < -1, p_{adi} < 0.05). Of the 341 342 nesting male-biased contigs, 1 contig was also male-biased and 2 were female-biased, the satellite male-biased contigs 1 was also male-biased and 1 female-biased, and the sneaker 343 344 male-biased contigs 3 were male-biased and 3 female-biased. Previous work has indicated few contigs have large expression changes among morphs (Pointer, et al. 2013; Hollis, et al. 345 346 2014), therefore we also assessed morph-bias without expression thresholds, and only based on statistical thresholds (p_{adj} < 0.05). Using this more relaxed threshold, we recovered 347 41 nesting male-biased contigs, 11 satellite male-biased contigs, and 9 sneaker male-biased 348 349 contigs.

We first used these expression estimates for hierarchical clustering, which can be used to assess overall transcriptional similarity across morphs and sexes. For male- and femalebiased genes, male morphs cluster more closely to each other than to females (Fig 2). Our clustering also indicates some intra-sexual variation among male morphs, as sneaker and satellite males show greater transcriptional similarity to each other than to nesting males

for female-biased genes expressed in the gonad. All three male morphs were statistically
indistinguishable via bootstrapping in the hierarchical clustering across male-biased genes.
Intra-sexual variation in expression was also evident with factor analysis based on all
expressed genes, which indicated greater transcriptional difference between nesting males
and satellite males (Fig. 3). However, sneaker males showed substantial variation across
both factors, and overlap with both nesting males and satellites (Fig. 3).

In order to test for differences in transcriptional investment among the three morphs we 361 next tested for masculinization and feminization of gonadal expression (Jaquiery, et al. 362 2013; Pointer, et al. 2013; Hollis, et al. 2014) in each of the male morphs, and combined that 363 with estimates of expression variance. Masculinization and feminization of gene expression 364 is the increase in expression of male-biased or female-biased genes, respectively. Similarly 365 366 demasculinization and defeminisation of gene expression is the reduction in expression of male-biased and female-biased genes, respectively. Gene expression variance estimates are 367 increasingly used to infer selection acting on expression level under assumptions that 368 selection on expression will decrease expression variance across replicates (Moghadam, et 369 370 al. 2012; Romero, et al. 2012; Dean, et al. 2015). Nesting males express female-biased genes 371 at significantly higher levels compared to the other male morphs at the lower expression levels (Fig. 4A). Although initially surprising given recent studies in birds and Drosophila 372 (Pointer, et al. 2013; Hollis, et al. 2014), our results indicate that nesting males also exhibit 373 higher variance in expression for female-biased genes at the lower expression levels (Fig. 374 5A) compared to the other morphs, suggesting that although they show some feminization, 375 376 it is unlikely to be due to selection acting to increase expression. In contrast, satellite males 377 had higher expression (Fig. 4B) and lower variance (Fig. 5B) for male-biased genes,

particularly for highly expressed male-biased genes, suggesting that the gonadal
masculinization is the response to selection for higher expression in this morph. These
results do not qualitatively change if we increase the sex-biased threshold to four-fold
expression differences between the sexes (Figure S2, S3), suggesting these results are robust
to comparisons between samples that potentially vary in tissue composition (Harrison, et al.
2015; Montgomery and Mank 2016). Gene Ontology terms for sex-biased genes in the
different expression level quartiles are presented in Table S2.

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386 Rates of evolution

387 In order to test the power of sperm competition to shape gene sequence evolution we compared rates of evolution and population-level polymorphism across sex-biased and 388 389 unbiased genes. As observed in many other animals (Ellegren and Parsch 2007), male-biased gonadal genes showed higher rates of gene sequence evolution than unbiased genes, driven 390 391 by both an increase in d_N and a decrease in d_S (Table 1). Interestingly, female-biased genes in our wrasse data also showed significantly higher rates of sequence evolution, again driven 392 by both an increase in d_N and a decrease in d_S (Table 1). McDonald-Kreitman (MK) tests 393 394 (McDonald and Kreitman 1991) revealed a higher proportion of male-biased genes with 395 signatures of positive selection than unbiased genes (Table 2), indicating adaptive evolution 396 explains at least some of the elevated rate of evolution for male-biased genes. However, 397 only five female-biased genes showed significant evidence of positive selection with McDonald-Kreitman tests, which was not significantly different from the level observed for 398 unbiased genes. We also tested morph-biased genes for differences in rates of evolution 399 400 and standing variation. Although the small number of morph-biased genes results in low

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statistical power (Tables S3 and S4), nesting-male biased genes do show a marginally
significant elevated rate of evolution compared to male-biased genes (Table S3), consistent
with previous work in the bulb mite and pea aphid (Stuglik et al 2014, Purandare et al 2014).
Our analysis of standing polymorphism suggests that this fast rate of evolution may be due
in part to drift (Table S4).

406 To test whether male-biased genes under positive selection have highest expression in morphs subject to strong post-mating sexual selection, we expanded our group of genes 407 408 under putative positive selection by using the Direction of Selection (DoS) test (Stoletzki and Eyre-Walker 2011), which is more permissive than the MK test. Satellite males express male-409 biased genes with DoS > 0 (indicative of putative positive selection) at higher levels than 410 nesting males (Fig. 6). Male-biased genes under putative positive selection also tend to be 411 more highly expressed (Fig. S4A) but tend to be less male-biased in their expression (Fig. 412 S4B) than genes under relaxed constraint. 413

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415 **DISCUSSION**

Here we report patterns of regulatory and sequence evolution in the gonad transcriptome
of wild caught ocellated wrasse, a species with three male morphs which experiences
particularly high levels of sperm competition and a continuum of pre- and post-mating
sexual selection (Alonzo and Warner 2000b; Alonzo and Heckman 2010). Previous work in
this system has revealed somatic variation in gene expression among the male morphs that
may be important in pre-mating sexual selection (Stiver, et al. 2015). Here we focus on the
consequences of post-mating sexual selection on transcriptome evolution in the gonads.

424 Gene expression and sexual selection

425 The design of our study makes it possible to compare both inter and intra-sexual transcriptional variation to explore how post-mating sexual selection shapes the gonadal 426 427 transcriptome and drives gene sequence evolution. Previous work on somatic tissues showed equal or greater intra-sexual than inter-sexual differences in transcription (Snell-428 Rood, et al. 2011; Schunter, et al. 2014). This is in clear contrast to our results here (Fig. 1) 429 and our previous work on male morphs in wild turkeys (Pointer, et al. 2013), which show the 430 431 greatest difference is first by sex, then within sex by morph. This may reflect fundamental 432 differences between somatic and gonad transcriptional variation, as somatic tissues in general tend to show far less inter-sexual variation than the gonad (Pointer, et al. 2013; 433 Harrison, et al. 2015), in the latter case resulting from the profound functional and 434 physiological differences in producing and delivering male versus female gametes. 435 436 Relatively few genes showed significant expression differences among male morphs, however average expression across male- and female-biased gene categories was similar to 437 previous studies in birds (Pointer, et al. 2013), and mites (Stuglik, et al. 2014). Previous work 438 439 has suggested that sex-biased genes shift expression in response to sexual selection (Hollis, 440 et al. 2014; Immonen, et al. 2014) and are correlated with the magnitude of male sexually 441 selected traits (Pointer, et al. 2013). Based on this, we might expect territorial males in S. 442 ocellatus to show greater male-biased expression. However, we observed the opposite, and territorial males instead exhibit significant feminization of expression for female-biased 443 genes. Although models of gene expression evolution have yet to be validated, the high 444 variance exhibited across replicates suggests that expression of female-biased genes is 445

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unlikely to be the result of positive selection in territorial males. High expression variance in
female-biased genes, coupled with the high investment nesting males make in somatic traits
(Alonzo 2008; Alonzo and Heckman 2010; Alonzo and Warner 2000a; Stiver et al 2015), is
consistent with a trade-off between maintaining costly pre-mating sexually selected traits
and the constraints of post-mating sexual selection shaping gene expression in the gonad.

451 In contrast to territorial males, satellite males showed the highest expression level for malebiased genes, consistent with the greater transcriptional investment in genes likely to be 452 important in post-mating sexual selection compared to nesting males. Satellites also showed 453 significantly less variation in expression for both male- and female-biased genes, consistent 454 with positive selection under recent models of gene expression evolution (Moghadam, et al. 455 2012; Romero, et al. 2012; Dean, et al. 2015). Satellite males also have the largest absolute 456 gonad mass out of the three morphs (Alonzo & Stiver unpublished data), illustrating 457 concordance between male-biased gene expression and absolute gonadal mass. These 458 results are consistent with the possibility that, freed from the costs of pre-mating somatic 459 sexually selected traits, satellite males are able to invest more in post-mating sexually 460 461 selected gene expression patterns that may aid them in sperm competition. This is 462 somewhat at odds with results from the wild turkey, where subordinate males, which are analogous in many ways to satellite males in the wrasse, show reduced expression of male-463 biased genes (Pointer, et al. 2013). However, it is worth noting that subordinate male 464 turkeys are effectively non-reproductive (Krakauer 2005, 2008), and therefore do not 465 experience sperm competition. 466

Sneaker males show defeminization of female-biased genes demasculinization of male biased expression and high variance, indicating no directional selection and suggesting that

they are simply lower quality on average than the other morphs. This is consistent with the 469 life history trajectory of sneaker males, which tend to be the males with slowest early 470 growth rate (Alonzo, et al. 2000). Small males typically breed as 1 year old sneaker males, 471 then go on to become 2 year old satellite males, never becoming nesting males, while larger 472 473 males become satellites as 1 year olds then nesting males as 2 year olds (Alonzo et al. 2000). Though, sneaker males release the most sperm per spawn out of all three morphs (Alonzo 474 and Warner 2000a), they also generate the least total sperm (across successive mating 475 476 attempts) and have the lowest average individual mating success and paternity of all three morphs (Alonzo and Warner 2000b; Alonzo et al. in prep). Therefore, demasculinization and 477 high variance of male-biased gene expression may reflect the low overall male quality of 478 sneaker males. 479

Alternative mating tactics are common in fish, and appear to have evolved many times
independently (Mank and Avise 2006). Given the repeated origin of these phenotypes, it will
be interesting for future studies to determine whether our observed patterns of gonadal
gene expression differentiation among morphs are conserved among systems with similar
mating systems.

485 Sperm competition and sequence evolution

Our results are somewhat unusual compared to previous studies in animals in that both male- and female-biased genes show elevated rates of sequence evolution. This was due to elevated d_N and a reduced d_S in both male- and female-biased genes, and suggests that sexual selection alone, which in this species would act more forcefully on males, cannot explain elevated rates of evolution observed in both sexes. Although female-biased genes have been observed to evolve more rapidly in a yeast (Whittle and Johannesson 2013), and

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both male- and female-biased genes exhibit faster rates of evolution in an alga (Lipinska, et al. 2015), expression studies in adult animals have tended to show faster rates of evolution primarily in male-biased genes (Ellegren and Parsch 2007). It is not clear at this point whether our results represent a species-specific pattern, or are exhibited by other species with similar mating systems. We also note that the contigs we removed from the d_{N/d_S} analysis due to mutational saturation could also have been the most rapidly evolving genes, causing an overall underestimation of divergence.

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500 Interestingly, recent work in birds (Harrison, et al. 2015) and humans (Gershoni and 501 Pietrokovski 2014) have suggested that fast rates of evolution for male-biased genes might be due to relaxed constraint rather than positive selection, and this is consistent with 502 studies in insects showing relaxed constraint characterizes caste-biased genes (Hunt, et al. 503 2011). In contrast, our results show that male-biased genes have a higher proportion of loci 504 showing evidence of positive selection, suggesting that at least some of the acceleration in 505 506 rates of evolution for male-biased genes is due to adaptive evolution. This may be due to 507 the intense level of sperm competition experienced by males of these species (Alonzo and Heckman 2010) and the resulting strength of post-mating sexual selection among 508 509 alternative male types. Extended haploid selection due to external fertilization may also explain our results. 510

In summary, the alternative mating strategies of *S. ocellatus* make it possible to isolate the complex effects of post-mating sexual selection on gonadal genome evolution. Our results reveal the potential for post-mating sexual selection to masculinize the transcriptome and drive adaptive evolution of male-biased genes.

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699 DATA ACCESSIBILITY

- 700 Data are available in the NCBI short read archive (www.ncbi.nlm.nih.gov/sra) BioProject ID
- 701 PRJNA344726.

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703 AUTHOR CONTRIBUTIONS

- JEM, SHA, RD designed research, SEM, BMN, SHA performed research, RD, AEW analysed
- data, RD, JEM, SHA, AEW wrote paper, all authors revised paper.

707 **TABLES**

Table 1. Rates of evolution (d_N/d_S) for sex biased genes. Values for sex-biased gene categories that are significantly different from unbiased genes are in bold.

Expression	Total	Orthologs ^a	Filter	d _N	ds	$d_{\rm N}/d_{\rm S}$
class	contigs		b	(95% CI)	(95% CI)	(95% CI)
				significance ^c	significance ^c	significance ^c
Male-	2590	1567	1435	0.022	0.344	0.064
biased				(0.021-0.023)	(0.337-0.351)	(0.061-0.067)
				P < 0.0001	P < 0.0001	P < 0.0001
Female-	2858	1603	1481	0.023	0.347	0.066
biased				(0.022-0.024)	(0.342-0.353)	(0.063-0.068)
				P < 0.0001	P = 0.024	P < 0.0001
Unbiased	3458	2175	1996	0.021	0.351	0.059
_				(0.020-0.021)	(0.345-0.356)	(0.057-0.061)

^aNumber of contigs that are 1:1:1 orthologs with *X. maculatus* and *G. aculeatus*.

⁵Number of 1:1:1 orthologs after filtering.

^cSignificance based on 2-tailed permutations tests (1000 replicates), compared to unbiased
 genes

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717 **Table 2**. McDonald-Kreitman tests for selection

Expression class	Contigs ^a	Positive selection ^b (significance)
Male-biased	425	19 (<i>P</i> = 0.0056)
Female-biased	231	5 n.s
Unbiased	623	9

^aNumber of 1:1:1 orthologs after filtering.

^bNumber of contigs with significant positive selection (significant deviations in D_N , D_s , P_N and P_s, and $D_N/D_s > P_N/P_s$). P values from Fisher's Exact test compare sex-biased to unbiased

721 genes.

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Figure 1. The ocellated wrasse (Symphodus ocellatus) has three male morphs. Brightly

- coloured nesting males (top left) hold territories and females (bottom left) prefer to mate 728
- with nesting males. Satellites associate (bottom right) with nesting males and help defend 729
- the nest by chasing away sneakers (top right). Illustration credit: Clara Lacy. 730



Figure 2. Hierarchical clustering and heat maps based on average within-morph expression
for females (FEM), nesting males (NM), satellite males (SAT) and sneaker males (SN) for (A)
female-biased genes (n = 2,858) and (B) male-biased genes (n = 2,590). Confidence intervals
for hierarchical clustering are based on 1000 bootstrap replicates, and branches with <80%
support have been collapsed.



Figure 3. Factor analysis of three male morphs based on normalized RPKM values for all
expressed contigs. Nesting males are identified with circles and a black ellipse, satellite
males with triangles and a dark grey ellipse, and sneaker males with squares and a light grey
ellipse.





Figure 4. Gene expression for each of the morphs for (A) female-biased genes and (B) malebiased genes. Data is divided into quartiles based upon expression level in females for panel (A) and males for panel (B). Red = females (FEM), dark blue = nesting males (NM), light blue = satellite males (SAT) and white = sneaker males (SN). Significance is indicated based on Wilcoxon rank sum tests (* = p < 0.05, ** = p < 0.01, *** = p < 0.001).

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760Figure 5. Gene expression variance for each of the morphs for (A) female-biased genes and761(B) male-biased genes. Data is divided into quartiles based upon expression level in females762for panel (A) and males for panel (B). Red = females, dark blue = nesting males, light blue =763satellite males and white = sneaker males. Significance is indicated based on Wilcoxon rank764sum tests (* = p < 0.05, ** = p < 0.01, *** = p < 0.001).</td>





Figure 6. Male-biased gene expression for genes under putative positive selection for each

- of the morphs. Red = females, dark blue = nesting males, light blue = satellite males and
- 773 white = sneaker males. Significance is indicated based on Wilcoxon rank sum tests (* = p < 774 0.05, ** = p < 0.01, *** = p < 0.001).

RNA quality		Read r	Read number			
Morph	Sample	260/280	260/230	Raw paired	Paired reads	% retained
				reads	after trimming	
Female	14003	2.09	2.32	32,912,641	30,062,449	91.4
	14007	2.11	1.70	26,425,448	24,203,325	91.6
	14012	2.09	2.28	28,318,371	26,370,307	93.1
	14013	2.10	2.30	26,683,758	25,049,998	93.9
	14016	2.10	2.30	36,207,483	33,893,881	93.6
	14018	2.12	2.33	29,186,747	27,638,121	94.7
	14019	2.09	2.32	33,958,247	31,701,901	93.4
Nesting male	14004	2.08	2.24	32,343,023	30,122,757	93.1
	14008	2.13	2.26	32,060,846	29,487,537	92.0
	14011	2.10	2.21	27,493,908	25,817,118	93.9
	14021	2.11	1.69	32,753,374	30,686,654	93.7
Satellite	14002	2.10	2.26	31,681,769	29,615,187	93.5
	14006	2.09	2.28	31,704,381	29,925,131	94.4
	14009	2.09	1.96	30,237,815	28,125,718	93.0
	14015	2.10	2.26	33,690,970	31,635,100	93.9
	14022	2.07	1.67	31,521,712	29,784,095	94.5
Sneaker	14001	2.10	2.10	31,262,426	29,109,185	93.1
	14005	2.14	2.06	30,852,163	28,750,108	93.2
	14010	2.10	2.28	31,366,093	29,394,148	93.7
	14014	2.11	1.95	33,214,909	31,279,877	94.2

Table S1. RNA quality scores and raw and post-trimming read counts per sample

GO term	Description	Sex bias	Expression quartile	p-value (FDR)
0004872	Receptor activity	Female-biased	1st	0.0002
0060089	Molecular transducer activity	Female-biased	1st	0.0001
0005102	Receptor binding	Female-biased	1st	0.002
0038023	Signalling receptor activity	Female-biased	1st	0.002
0004888	Transmembrane signalling receptor activity	Female-biased	1st	0.001
0099600	Transmembrane receptor activity	Female-biased	1st	0.001
0004871	Signal transducer activity	Female-biased	1st	0.006
0043565	Sequence-specific DNA binding	Female-biased	1st	0.018
0005506	Iron ion binding	Female-biased	4th	0.008
0003777	Microtubule motor activity	Male-biased	4th	0.08

Table S2. Functionally enriched Gene ontology terms for sex-biased genes in each expression quartile compared to background all genes (FDR < 0.05)

Expression	Total	Orthologs	Filter ^b	d _N	ds	$d_{\rm N}/d_{\rm S}$
class	contigs	а		(95% CI)	(95% CI)	(95% CI)
				significance ^c	significance ^c	significance ^c
Nesting	41	18	17	0.031	0.332	0.094
male-biased				(0.025-0.038)	(0.296-0.374)	(0.074-0.117)
				n.s.	n.s.	P = 0.044
Satellite	11	3	2	0.007	0.287	0.023
male-biased				(0.003-0.008)	(0.278-0.292)	(0.012-0.029)
				n.s	n.s.	n.s
Sneaker	9	6	6	0.036	0.330	0.110
male-biased				(0.023-0.041)	(0.244-0.413)	(0.082-0.137)
				n.s	n.s	n.s

Table S3. Rates of evolution (d_N/d_S) for morph-biased contigs.

^aNumber of contigs that are 1:1:1 orthologs with *X. maculatus* and *G. aculeatus.* ^bNumber of 1:1:1 orthologs after filtering

^cP-values from permutations tests, 1,000 replicates, comparing morph-biased genes to male-biased genes

Expression class	Contigs SNPs ^a	р _N	ps	$p_{\rm N}/p_{\rm S}$
		-	-	-

Table S4. Polymorphism (p_N/p_S) for morph-biased contigs.

Nesting male- biased	15	12	24	0.5 P = 0.0008
Satellite male- biased	2	0	17	0 P = 0.2525
Sneaker male- biased	5	7	14	0.5 P = 0.0097
Male-biased	1326	901	6530	0.14

P values from Fisher's exact tests between morph-biased genes and male-biased genes.



Figure S1. Flowchart of the number of contigs present at each stage of the filtering to produce a dataset for the expression analysis, a dataset for the PAML analysis and a dataset for the McDonald-Kreitman (MK) test.



Figure S2. Gene expression for each of the morphs for (A) female-biased genes and (B) male-biased genes using a 4-fold threshold difference in expression between males and females. Data is divided into quartiles based upon expression level in females for panel (A) and males for panel (B). Red = females, dark blue = nesting males, light blue = satellite males and white = sneaker males. Significance is indicated based on Wilcoxon tests (* = p < 0.05, ** = p < 0.01, *** = p < 0.001).



Figure S3. Gene expression variance for each of the morphs for (A) female-biased genes and (B) male-biased genes using a 4-fold threshold difference in expression between males and females. Data is divided into quartiles based upon expression level in females for panel (A) and males for panel (B). Red = females, dark blue = nesting males, light blue = satellite males and white = sneaker males. Significance is indicated based on Wilcoxon tests (* = p < 0.05, ** = p < 0.01, *** = p < 0.001).



Figure S4 (A) Gene expression level and (B) sex-biased expression of male-biased genes under putative positive selection (DoS > 0, light grey) and under relaxed constraint (DoS < 0, dark grey). Boxes represent medians and first and third quartiles and notches represent approximate 95% confidence intervals. Wilcoxon tests comparing the two gene classes * P < 0.05, ***P < 0.001.

Supplementary methods

Trim RNA-seq data Trimmomatic v0.32

java -jar trimmomatic-0.32.jar PE -phred 33 forward.fastq reverse.fastq forward_paired.fastq.gz forward_unpaired.fastq.gz reverse_paired.fastq.gz reverse_unpaired.fastq.gz ILLUMINACLIP:adaptors.fa:2:30:10 LEADING:3 TRAILING:3 SLIDINGWINDOW:4:15 MINLEN:36

De novo transcriptome assembly Trinity v2.0.2

Trinity --seqType fq --max_memory 10G --left forward.fastq --right reverse.fastq --CPU 12 --normalize_reads --output trinity_output_directory --bflyHeapSpaceMax 16G

Map RNA-seq data and quantify expression for expression analysis RSEM v1.2.19 and Bowtie2 v2.2.4

extract-transcript-to-gene-map-from-trinity reference.fa Trinity_transcript_gene_map

rsem-prepare-reference --bowtie2 --transcript-to-gene-map Trinity_transcript_gene_map reference.fa Trinity_reference

For each sample:

rsem-calculate-expression -p 12 --bowtie2 --paired-end Sample1_forward.fastq Sample1_reverse.fastq Trinity_reference Sample1

These exact steps were repeated on the final set of best isoforms chosen on the basis of contig expression and length.

Map RNA-seq data for polymorphism analysis STAR v2.4.2a

STAR --runMode genomeGenerate --genomeDir indexdirectory1 --genomeFastaFiles reference.fa --runThreadN --limitGenomeGenerateRAM

For each sample:

STAR --genomeDir indexdirectory1 --readFilesIn forward.fastq reverse.fastq -runThreadN --outFilterMultimapNmax 1

Run second index, include SJ.out.tab.Pass1.sjdb for samples 1 to n:

STAR --runMode genomeGenerate --genomeDir indexdirectory2/ --genomeFastaFiles reference.fa --sjdbFileChrStartEnd Sample1/SJ.out.tab.Pass1.sjdb Sample2/SJ.out.tab.Pass1.sjdb /Sample-n/SJ.out.tab.Pass1.sjdb --sjdbOverhang 99 -runThreadN 4 --limitGenomeGenerateRAM 80000000000

STAR --genomeDir indexdirectory2 --readFilesIn forward.fastq reverse.fastq -runThreadN --outFilterMultimapNmax 1

Identify SNPs SAMTOOLS v0.1.19 and VARSCAN2 v2.3.9

samtools faidx reference.fa

For each sample:

samtools view -bS file.sam | samtools sort - file_sorted

samtools mpileup -Bd 10000000 -f reference.fa sample1_sorted.bam sample2_sorted.bam ... > allsamples.mpileup

varscan mpileup2snp allsamples.mpileup.vcf --min-coverage 2 --min-reads2 2 --minavg-qual 20 --min-var-freq 0.01 --min-freq-for-hom 0.85 --p-value 1 --strand-filter 1 -output-vcf 1 --vcf-sample-list vcf-sample-list.txt > allsamples.mpileup2snp.vcf

Sequence divergence analysis PRANK v140603 and SWAMP v.09 and PAML v4.8

python SWAMP.py -i input_folder - branchcodes_all.txt -m 100 -t 7 -w 15 > swampoutput