# O-MINIMAL FLOWS ON ABELIAN VARIETIES.

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ABSTRACT. Let A be an abelian variety over  $\mathbb{C}$  of dimension n and  $\pi \colon \mathbb{C}^n \longrightarrow A$  be the complex uniformisation. Let X be an unbounded subset of  $\mathbb{C}^n$  definable in a suitable o-minimal structure. We give a description of the Zariski closure of  $\pi(X)$ .

## 1. Introduction.

Let A be a complex abelian variety of dimension n. Write  $A = \mathbb{C}^n/\Lambda$  where  $\Lambda \subset \mathbb{C}^n$  is a lattice and let  $\pi \colon \mathbb{C}^n \longrightarrow A$  be the uniformisation map.

A subvariety V of A is called weakly special if V = P + B where P is a point of A and B is an abelian subvariety. The abelian Ax-Lindemann-Weierstrass theorem is the following.

**Theorem 1.1.** Let Y be a complex algebraic subset of  $\mathbb{C}^n$ . The components of the Zariski closure of  $\pi(Y)$  are weakly special subvarieties.

This theorem is due to Ax (see [1] and [2]) and plays an important role in the new proof by Pila and Zannier of the Manin-Mumford conjecture [7]. Note that the paper [7] provides a different proof of the abelian Ax-Lindemann-Weierstrass theorem. For a proof close in spirit to the contents of this paper, see Section 9 of [5]. In reality, in this statement, Y can be taken to be only semialgebraic ( $\mathbb{C}^n$  being identified with  $\mathbb{R}^{2n}$ ).

The aim of this paper is to investigate the Zariski closure of the sets  $\pi(X)$  where X is definable in an o-minimal structure which is a much wider class of objects. We refer to the book [12] for the notion of a set definable in an o-minimal structure, in particular the structures  $\mathbb{R}_{an}$  and  $\mathbb{R}_{an,exp}$  (this last structure is actually defined and studied in [4]). Just recall that  $\mathbb{R}_{an}$  is the o-minimal structure generated by the restricted analytic functions and  $\mathbb{R}_{an.exp}$  is additionally generated by the graph of the real exponential. For a subset  $\Sigma$  of A, we denote by  $Zar(\Sigma)$  its Zariski closure.

To be able to prove anything, we will need to make certain additional assumptions. Firstly, the set X will be assumed to be *unbounded*.

The necessity of this condition can be demonstrated by the following example. Let  $\mathcal{F}$  be a connected bounded fundamental domain for the action of  $\Lambda$  on  $\mathbb{C}^n$ . The restriction of  $\pi$  to  $\mathcal{F}$  is definable in  $\mathbb{R}_{an}$ . Let V be any algebraic subvariety of A and let  $\widetilde{V} = \pi^{-1}(V) \cap \mathcal{F}$ . Then  $\widetilde{V}$  is definable in  $\mathbb{R}_{an}$  and  $Zar(\pi(\widetilde{V})) = V$ .

However, when X is an unbounded real analytic manifold, we prove the following.

**Theorem 1.2.** Let X be an unbounded real analytic manifold of  $\mathbb{C}^n = \mathbb{R}^{2n}$  definable in an o-minimal structure which is an extension of  $\mathbb{R}_{an}$ . Let  $V = Zar(\pi(X))$ . For any point P of  $\pi(X)$  there is a positive dimensional abelian subvariety  $B_P$  of A such that  $P + B_P$  is contained in V.

In particular, V contains a Zariski dense set of positive dimensional weakly special subvarieties.

To investigate general definable sets X, we will also impose some mild restrictions on the o-minimal structure. Let  $\mathcal{S}$  be an o-minimal structure over  $\mathbb{R}$ , containing  $\mathbb{R}_{an}$  and whose definable sets admit an analytic stratification (as defined in [12], Chapter 3). This condition holds for most 'usual' o-minimal structures, for example  $\mathbb{R}_{an}$  and  $\mathbb{R}_{an,exp}$  (see [4]). We fix such a structure  $\mathcal{S}$  and in what follows and by definable, we will mean 'definable in  $\mathcal{S}$ '.

Next we introduce the notion of essential Zariski closure. Let X be an unbounded definable set as before. For R>0, let B(0,R) be the open unit ball of centre 0 and radius R. The variation of the sets  $\pi(X\cap B(0,R))$  when R varies is what we call an o-minimal flow. We show that for R large enough, the Zariski closure of the set  $\pi(X\setminus (X\cap B(0,R)))$  is constant. We call this the essential Zariski closure of  $\pi(X)$  and denote it by  $Zaress(\pi(X))$ .

For an abelian subvariety B of A, write  $V_B \subset \mathbb{C}^n$  for the tangent space to B at the origin and  $p_B$  for the projection  $\mathbb{C}^n \longrightarrow V_B$ .

We prove the following:

**Theorem 1.3.** Let X be an unbounded definable subset of  $\mathbb{C}^n$ . Let V be  $Zaress(\pi(X))$ .

For each point P, in  $\pi(X)$ , there exists a positive dimensional abelian subvariety  $B_P$  of A such that  $P + B_P$  is contained in V.

In particular, V contains a Zariski dense set of positive dimensional weakly special subvarieties.

We prove a characterisation of subvarieties of an abelian variety containing a Zariski dense set of weakly special subvarieties (see proposition 4.1). Let V be such a subvariety. Our proposition 4.1 shows that

there exist abelian subvarieties B and B' of A such that A = B + B' and  $B \cap B'$  is finite, V = B + V' where V' is a subvariety of B'.

We deduce the following.

**Theorem 1.4.** Assume that X is a definable subset of  $\mathbb{C}^n$  such that for all abelian subvarieties B of A,  $p_B(X)$  is unbounded. Then components of  $Zaress(\pi(X))$  are weakly special.

The strategy of the proof of the theorem 1.2 relies on the theory of o-minimality and Pila-Wilkie counting theorem. Let X be as in the statement and V be the Zariski closure of  $\pi(X)$ . Using a suitable definable set and applying Pila-Wilkie theorem, we show that there exists a positive dimensional semi-algebraic set  $W \subset \mathbb{C}^n = \mathbb{R}^{2n}$  such that X + W is contained in  $\pi^{-1}(V)$ . Applying the Ax-Lindemann-Weierstrass theorem, we then show that for any P of  $\pi(X)$ , there exists a weakly special subvariety  $P + B_P \subset V$ .

Finally, we would like to point out one possible application of our theorem.

Recall the following theorem of Bloch-Ochiai (see Chapter 9 of [3]) which is proved using Nevanlinna theory.

**Theorem 1.5.** Let A be an abelian variety and  $f: \mathbb{C} \longrightarrow A$  be a non-constant holomorphic map. Then the Zariski closure of  $f(\mathbb{C})$  is a translate of an abelian subvariety.

Theorem 1.4 implies some cases of theorem 1.5.

Consider for example  $A = \mathbb{C}^n/\Lambda$  (where  $\Lambda$  is a lattice such that A is a simple abelian variety) and  $f: \mathbb{C} \longrightarrow A$  given by  $f(z) = (z, \ldots, z, e^z, \ldots, e^z) \bmod \Lambda$  with s factors of z and r times of  $e^z$  with r+s=n. Then consider the set  $X \subset \mathbb{C}^n$  given by

$$X = \{(x + iy, \dots, x + iy, e^x e^{iy}, \dots, e^x e^{iy}) : x \in \mathbb{R}, y \in [0, 2\pi]\}.$$

Clearly X is unbounded and definable in  $\mathbb{R}_{an,exp}$  and its image in A is contained in  $f(\mathbb{C})$ . By theorem 1.4, the Zariski closure of  $f(\mathbb{C})$  is A (since A is simple).

It is not however always possible to "extract" such a definable unbounded set X from  $f(\mathbb{C})$  as the example of  $(e^z, e^{iz}) \subset \mathbb{C}^2$  shows. Indeed, in this example, for any subset  $Y \subset \mathbb{C}$  such that f(Y) is definable, both the real and imaginary parts of  $z \in Y$  must be bounded.

Another (counter)-example is the following. Define the iterated exponential function  $exp_n(x)$  by  $exp_1 = exp$  and  $exp_n = exp \circ exp_{n-1}$ . By Proposition 9.10 of [4], a definable function in  $\mathbb{R}_{an,exp}$  is bounded by  $exp_n(x^m)$  for some n, m. Therefore a graph of a function which 'grows faster' than any  $exp_n$  will not satisfy the assumptions of our theorems.

Note that it is a long-standing open problem whether there exists an o-minimal structure containing a "super-exponential" function.

We conclude this introduction with an open question in the spirit of [10]. It concerns the topological closure of  $\pi(X)$  rather than Zariski closure. Recall from [10] that a real weakly special subvariety is defined to be a translate of a real subtorus of A (hence not necessarily algebraic).

Conjecture 1.6. Let X be, as <u>before</u>, an unbounded definable real analytic manifold. We denote by  $\overline{\pi(X)}$  the topological closure of  $\pi(X)$ .

There exists a real analytic submanifold V of A containing a dense subset of real weakly special subvarieties such that

$$\overline{\pi(X)} = \pi(X) \cup V.$$

In section 4, we prove a characterisation of subvarieties of abelian varieties containing a Zariski dense subset of weakly special subvarieties, namely that such a subvariety is a union of weakly special ones. We believe this result and our argument to be of independent interest.

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### 2. Proof of theorem 1.2.

In this section we assume that X is an unbounded real analytic submanifold of  $\mathbb{C}^n = \mathbb{R}^{2n}$  definable in some o-minimal structure which contains  $\mathbb{R}_{an}$ . Let V be the Zariski closure of  $\pi(X)$  in A.

2.1. A definable set and point counting. The contents of this section are essentially a reproduction of the arguments of Orr from Section 9 of [5] with slight adjustments.

In this section we define a certain definable set associated with X and, using Pila-Wilkie theorem, show that this set contains a positive dimensional semi-algebraic subset.

Choose a fundamental set  $\mathcal{F}$  for the action of  $\Lambda$  on  $\mathbb{C}^n$  such that  $X \cap \mathcal{F}$  is non-empty. We choose  $\mathcal{F}$  to be an open connected subset of  $\mathbb{C}^n$  such that  $\overline{\mathcal{F}}$  is compact and  $\Lambda$ -translates of  $\overline{\mathcal{F}}$  cover  $\mathbb{C}^n$ . The set  $\mathcal{F}$ 

is an 'open parallelepided'. Since  $\mathcal{F}$  is an open subset of  $\mathbb{C}^n$ , we have that  $\dim(X \cap \mathcal{F}) = \dim(X)$ . Let  $\widetilde{V}$  be  $\mathcal{F} \cap \pi^{-1}V$ . This is a definable set since the o-minimal structure contains  $\mathbb{R}_{an}$  and  $\pi$  restricted to  $\mathcal{F}$  is definable in  $\mathbb{R}_{an}$ .

Consider the definable set

$$\Sigma = \{ x \in \mathbb{C}^n : \dim(X + x) \cap \widetilde{V} = \dim(X) \}.$$

We have the following lemma:

**Lemma 2.1.** If  $\lambda \in \Lambda$  and  $X \cap (\mathcal{F} - \lambda) \neq \emptyset$ , then  $\lambda \in \Sigma$ .

*Proof.* From  $\Lambda$ -invariance of  $\pi^{-1}V + \lambda = \pi^{-1}V$ , we see that for  $\lambda$  as in the statement (in particular for  $\lambda \in \Lambda$ ),  $X + \lambda \subset \pi^{-1}V$ .

It follows that

$$(X + \lambda) \cap \widetilde{V} = (X + \lambda) \cap \mathcal{F}.$$

As  $\mathcal{F} - \lambda$  is an open subset of  $\mathbb{C}^n$ , we see that

$$\dim(X \cap (\mathcal{F} - \lambda)) = \dim(X) = \dim((X + \lambda) \cap \mathcal{F})$$

The conclusion follows.

Fix a basis  $\lambda_1, \ldots, \lambda_{2n}$  of  $\Lambda$ . Then  $\Lambda \otimes \mathbb{Q}$  is identified with  $\mathbb{Q}^{2n}$ . We define the height of an element  $\lambda = \sum a_i \lambda_i \in \Lambda$   $(a_i \in \mathbb{Z})$  as

$$H(\lambda) = \max(|a_1|, \dots, |a_{2n}|).$$

This height thus coincides with the usual height on  $\mathbb{Q}^n$ .

**Proposition 2.2.** There exists  $T_0 \ge 0$  such that for all  $T \ge T_0$ ,

$$|\{x\in \Sigma\cap \Lambda: H(x)\leq T\}|\geq T/2.$$

Proof. This is essentially Lemma 9.1 of [5].

The first observation is that if  $x_1$  and  $x_2$  are two points of  $\Lambda$  such that  $X \cap (\mathcal{F} - x_1)$  and  $X \cap (\mathcal{F} - x_2)$  are both non-empty, then  $\Sigma \cap \Lambda$  contains at least one point of height h for every h between  $H(x_1)$  and  $H(x_2)$ .

Note that X is path-wise connected in the Euclidean topology. Let C be a path from a point in  $X \cap (\mathcal{F} - x_2)$  to a point in  $X \cap (\mathcal{F} - x_2)$ .

When C crosses over from  $\mathcal{F} - u_1$  to to an adjacent domain  $\mathcal{F} - u_2$ , the heights of  $u_1$  and  $u_2$  change by at most one.

It follows that for any h between  $H(x_1)$  and  $H(x_2)$ , there is a  $u \in \Lambda$  of height  $\leq h$  such that  $X \cap (\mathcal{F} - u)$  is not empty. This u belongs to  $\Sigma \cap X$ .

By assumption X is unbounded. Thus as x varies in  $\Lambda$  such that  $X \cap \mathcal{F} - x$  is non-empty, h(x) goes to infinity.

It follows that there is an  $h_0$  such that for any  $h > h_0$ ,  $\Sigma \cap \Lambda$  contains at least one point of height h.

Take 
$$T_0 = 2h_0$$
.

**Remark 2.3.** The referee has pointed out to us that Tsimerman, in [11], has made a similar observation. Namely, that in a similar setting an unbounded analytic set should intersect 'a lot of fundamental domains'.

We now use the following theorem of Pila and Wilkie ([6], Theorem 1.8).

For a definable subset  $\Theta \subset \mathbb{R}^n$ , we define  $\Theta^{alg}$  to be the union of all positive dimensional semi-algebraic subsets contained in  $\Theta$ . We define  $\Theta^{tr}$  to be  $\Theta \backslash \Theta^{alg}$ .

**Theorem 2.4** (Pila-Wilkie). Let  $\Theta$  be a subset of  $\mathbb{R}^n$  definable in an o-minimal structure. Let  $\epsilon > 0$ . There exists a constant  $c = c(\Theta, \epsilon)$  such that for any T > 0,

$$|\{x\in\Theta^{tr}\cap\mathbb{Q}^n:H(x)\leq T\}|\geq cT^\epsilon.$$

From Proposition 2.2 it now follows that  $\Sigma^{alg} \cap \Lambda$  is not empty.

Let W be a connected positive dimensional semi-algebraic subset contained in  $\Sigma$ . For each w in W,  $\dim((X+w)\cap \widetilde{V})=\dim(X)$  and hence an analytic component of  $(X+w)\cap \mathcal{F}$  is contained in  $\pi^{-1}V$ . By analytic continuation, we see that  $X+w\subset \pi^{-1}V$ . We have proved:

**Proposition 2.5.** With the notations and assumptions of this section, there exists a positive dimensional semialgebraic subset W such that

$$X + W \subset \pi^{-1}V$$
.

2.2. **Final argument.** We use the following lemma whose proof can for example be found in [5], Lemma 8.1.

**Lemma 2.6.** Let  $\mathcal{Z}$  be a connected complex analytic subset of  $\mathbb{C}^g$ . Let  $\mathcal{X}$  be a connected irreducible semialgebraic set contained in  $\mathcal{Z}$ . Then there is a complex algebraic variety  $\mathcal{Y}$  such that  $\mathcal{X} \subset \mathcal{Y} \subset \mathcal{Z}$ .

By proposition 2.5 and the above lemma, we see that for any  $x \in X$ , there exists a positive dimensional complex algebraic subset  $Y_x$  containing X and contained in  $\pi^{-1}(V)$ . By the abelian Ax-Lindemann-Weierstrass theorem 1.1, the Zariski closure of  $\pi(Y_x)$  is a union of weakly special subvarieties of V. Therefore, V contains a subvariety of the form  $P + B_P$  where  $P = \pi(x)$  and  $B_P$  is a positive dimensional abelian subvariety of A. This finishes the proof of theorem 1.2.

#### 3. Cell decomposition and essential closure.

In this section we consider an unbounded definable set  $X \subset \mathbb{C}^n$ . We refer to section 8 of [4] for the definition of a real analytic cell. What is relevant to us is that a real analytic cell in  $\mathbb{R}^n$  is a definable real analytic submanifold, definable-analytically isomorphic to  $\mathbb{R}^m$  for some  $m \leq n$ . By Theorem 8.9 of [4], there is a finite number of analytic cells  $X_1, \ldots, X_k$  such that X is a disjoint union of the  $X_k$ .

**Proposition 3.1.** The essential closure  $Zaress(\pi(X))$  is the union of  $Zar(\pi(X_i))$  where  $X_i$ s are the unbounded cells.

*Proof.* We start with a lemma.

**Lemma 3.2.** Let Z be a real analytic manifold in  $\mathbb{C}^n$  and  $U \subset Z$  an open subset.

Then

$$Zar(\pi(U)) = Zar(\pi(Z))$$

In particular, if Z is an analytic unbounded submanifold of  $\mathbb{C}^n$ , then

$$Zaress(\pi(Z)) = Zar(\pi(Z))$$

*Proof.* One inclusion is obvious.

Write  $Zar(\pi(U)) \subset \mathbb{P}^m$  for some m and let  $s \in H^0(\mathbb{P}^m, \mathcal{O}(l))$  for  $l \geq 1$  such that s is zero on  $\pi(U)$ . Then  $s \circ \pi$  is zero on U and by analytic continuation  $s \circ \pi$  is zero on Z. It follows that s is zero on  $\pi(Z)$ , hence  $Zar(\pi(Z)) \subset Zar(\pi(U))$ .

Let  $X = X_1 \coprod \ldots \coprod X_k$  be a cell decomposition of X. For R large enough,  $X \cap B(0, R)$  contains the union of all the bounded cells in the above decomposition.

We have

$$Zaress(\pi(X)) = \bigcup_{\{i: X_i \text{ unbounded}\}} Zaress(\pi(X_i)).$$

By Lemma 3.2, for an unbounded cell  $X_i$ ,

$$Zaress(\pi(X_i)) = Zar(\pi(X_i)).$$

The result follows.

# 4. Characterisation of subvarieties containing a dense set of weakly special subvarieties.

In this section we prove a proposition which we believe to be of independent interest.

Let A be an abelian variety and V a subvariety of A. Define the stabiliser of V as

$$Stab(V) = \{ P \in A : P + V = V \}.$$

Recall that for an abelian subvariety B of A, there exists an abelian subvariety B' such that A = B + B' and  $B \cap B'$  is finite. We always refer to B and B' as above.

# **Proposition 4.1.** Let V be an irreducible subvariety of A.

- (1)  $Assume \dim Stab(V) > 0.$ 
  - Then there exists abelian subvarieties B and B' of A such that A = B + B' and V = B + V' where V' is a subvariety of B'.
- (2) Assume that Stab(V) is finite. Then the set of positive dimensional weakly special subvarieties contained in V is not Zariski dense.
- (3) Assume again that  $\operatorname{Stab}(V)$  is finite. Let  $\Sigma$  be the set of all positive dimensional weakly special subvarieties contained in V.

For an abelian subvariety  $B \subset A$ , denote by B' an abelian subvariety such that A = B + B'.

There exists a finite set  $B_1, \ldots, B_r$  of abelian subvarieties of A and  $W_1, \ldots, W_r$  of subvarieties of  $B'_i$  such that

$$Zar(\Sigma) = \bigcup_{i=1}^{r} B_i + W_i.$$

*Proof.* Assume dim Stab(V) > 0 and let B be the neutral component of Stab(V).

Let B' be an abelian subvariety such that A = B + B' and let  $\psi \colon A \longrightarrow A/B$  be the quotient. Let V' be  $\psi|_{B'}^{-1}(\psi(V))$ . Then

$$V = \{B + x : x \in V\} = \{B + x : x \in V'\} = B + V'.$$

This proves (1).

We will now prove (2). Assume that  $\operatorname{Stab}(V)$  is finite. We start by reducing to the case where  $\operatorname{Stab}(V) = \{0\}$ . Let  $A' = A/\operatorname{Stab}(V)$  and let  $\phi \colon A \longrightarrow A'$  be the quotient map and let  $V' = \phi(V)$ . Note that  $\phi^{-1}(V') = V + \operatorname{Stab}(V) = V$ . We claim that  $\operatorname{Stab}(V') = \{0\}$ . Let  $P \in \operatorname{Stab}(V')$  and  $Q \in \phi^{-1}(P)$ . We have

$$\phi(Q+V)=P+V'=V'$$

It follows that  $Q+V \subset \phi^{-1}(V') = V$  and for dimension reasons Q+V = V. Hence  $Q \in \operatorname{Stab}(V)$  and  $P = \phi(Q) = 0$ .

As the conclusion of (2) holds for V if and only if it holds for V', we may therefore assume that  $Stab(V) = \{0\}$ .

For m > 1, consider the map

$$\phi_m: V^m \longrightarrow A^{m-1}$$

defined by

$$\phi_m(x_1,\ldots,x_m)=(x_1-x_2,\ldots,x_m-x_{m-1}).$$

By [13], Lemma 3.1, there exists m > 1 such that the map  $\phi_m$  is a generic embedding.

Let P + B be a positive dimensional weakly special subvariety contained in V. Then  $\phi_m((P+B)^m) = B^{m-1}$ . The map  $\phi_m$  is therefore not injective on  $(P+B)^m$ . Therefore V can not contain a Zariski dense set of positive dimensional subvarieties of the form P+B. This proves (2).

Let us now prove (3). Let  $\Sigma$  as in the statement, the set of all positive dimensional weakly special subvarieties contained in V and let W be a component of  $Zar(\Sigma)$ . Then W contains a Zariski dense set of weakly special subvarieties and by (2), Stab(W) is positive dimensional. It follows from (1) that W = B + W' where B is an abelian subvariety of A and W' a subvariety of B'. Since  $Zar(\Sigma)$  has finitely many components, the conclusion of (3) follows.

**Remark 4.2.** The geometric aspect of Lang's conjecture predicts that given a variety of general type V, the union of subvarieties, not of general type, is not Zariski dense. It is a known fact that a subvariety V of an abelian variety is of general type if and only if  $\operatorname{Stab}(V)$  is finite. Therefore, our proposition 4.1 implies the geometric Lang's conjecture for subvarieties of abelian varieties.

**Remark 4.3.** This proposition is an abelian analogue of the result of the first author (see [9]) in the hyperbolic case which is proved by completely different methods.

#### 5. Proof theorems 1.3 and 1.4.

In this section we deduce theorems 1.3 and 1.4 from the preceding results.

Let A and X be as in the assumptions of Theorem 1.3. Let V be a component of the essential Zariski closure of  $\pi(X)$ .

In section 3 we have seen that  $Zaress(\pi(X))$  is a finite union of Zariski closures of sets of the form  $\pi(Y)$  where Y is an unbounded definable real analytic submanifold of  $\mathbb{C}^n$ . Therefore, the conclusion of theorem 1.3 follows from theorem 1.2.

Let now X be as in 1.4. By theorem 1.3, V = Zaress(X) contains a Zariski dense set of positive dimensional weakly special subvarieties.

From proposition 4.1, we deduce that V is of the form V = B + V' where B is a positive dimensional abelian subvariety of A and V' is a subvariety of B'. Reiterating the argument with B' and V', we conclude that components of V are weakly special.

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