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**A multi-proxy analysis of late Quaternary
Palaeoenvironments, Sekhokong Range, Eastern Lesotho.**

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3 **A multi-proxy analysis of late Quaternary Palaeoenvironments, Sekhokong**
4 **Range, Eastern Lesotho.**
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16 **Running Head:** Eastern Lesotho late Quaternary Palaeoenvironments
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24
25 **Abstract**
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27 The eastern Lesotho Highlands host an array of periglacial and glacial geomorphic
28 features. Their analysis has provided past climate interpretations predominantly for
29 cold periods, yet no multi-proxy temporally continuous palaeoenvironmental records
30 exist. This study presents a palaeoenvironmental reconstruction based on
31 sedimentary characteristics, fossil pollen and diatoms from an alpine wetland located
32 in the Sekhokong Mountain Range. The record commences in the late Pleistocene
33 with a wet period from ~16,450-14,440 cal a BP, interrupted by dry conditions from
34 ~16,350-15,870 cal a BP. From ~14,150-8,560 cal a BP, drier conditions are
35 inferred, slowly transitioning to warmer, wetter conditions. Warmer, dry conditions
36 are inferred for ~8,560-7,430 cal a BP, followed by cold, wet conditions from ~7,280-
37 6,560 cal a BP. A dry, warmer period occurs from ~6,560-3,640 cal a BP indicated
38 by pollen, diatom and sedimentary records, followed by cool, wet conditions from
39 ~3,400-1,200 cal a BP. The period from ~1,110 cal a BP to present is characterised
40 by progressive drying. Pronounced cold events are detected from the diatom record.
41 Moisture records appear relatively specific to the topographic setting of Sekhokong
42 near the Great Escarpment edge; thus likely driven by orographically constrained
43 synoptic controls.
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57 **Keywords:** Eastern Lesotho, palaeoenvironments, diatoms, pollen, sediments
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Introduction

The Sani Top region of eastern Lesotho has been a focus of research on relict and active periglacial and glacial geomorphic features for many decades (cf. Marker & Whittington, 1971; Marker, 1994; Boelhouwers et al., 2002; Mills et al., 2009; Grab, 2010; Borg, 2012). This includes the north-facing valley-heads of the Sekhokong Range, 3.5km southwest of the Sani Top border post (Figure 1), with initial debates focussing on their slope origins (Marker & Whittington, 1971; Grab & Hall, 1996; Marker, 1994). More recently the site has been the focus of analyses on environmental features responsible for gully development (Grab & Deschamps, 2004). Most notably, the site has been investigated to develop palaeoenvironmental reconstructions based on variable sedimentary properties exposed along relatively deep wetland gullies (Marker, 1994, 1995, 1998). Further research has interpreted conspicuous debris ridges on the south-facing aspect of the Sekhokong Range as small glacial moraines (Mills et al., 2009). While these past studies have provided valuable information on contemporary geo-ecological stresses and some insights to past environments in the region, no biological proxies have yet been investigated in these records, limiting their interpretative capacity and potential for regional corroboration (Grab et al., 2005).

Detailed multi-proxy, temporally continuous palaeoenvironmental studies have been encouraged for eastern Lesotho (Mitchell, 1992; Grab et al., 2005). With precipitation exceeding evaporation, the catchment is hydrologically important, supplying regional water transfer schemes (Zunckel, 2003; Haas et al., 2010). Despite the concerns of climate change to the region, past instrumental meteorological data are sparse and of relatively poor quality (Grab & Nash, 2010). Climate modelling projections for the region, essential for adaptation, therefore rely strongly on high resolution, well corroborated palaeoclimatic reconstructions (Ziervogel & Calder, 2003; Jones et al., 2009).

Better insight to palaeoenvironmental conditions in eastern Lesotho will also contribute to late Quaternary science in the sub-continent. High altitude, relatively high latitude for mountainous regions in the Southern Hemisphere, and frequent

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3 cold-season frosts and snowfalls in eastern Lesotho, constrain the flora to only very
4 hardy species (Carbutt & Edwards, 2006; Mokotjomela et al., 2009). Any climatic
5 change can potentially lead to the extirpation of certain plant groups, as particularly
6 cold temperatures restrict elevational range shifts (Parmesan & Yohe, 2003; Carbutt
7 & Edwards, 2006; Inouye, 2008). Botanical responses to smaller climatic shifts are
8 therefore more likely to be detected at high altitudes than in adjacent down-slope
9 locations.
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16 This study presents a multi-proxy palaeoenvironmental reconstruction from sediment
17 exposed along a 5m deep gully face on the north-facing slope of the Sekhokong
18 Range. The study utilises pollen, diatoms and sediment as palaeoenvironmental and
19 palaeoclimatic proxies, constrained by a radiocarbon chronology.
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24 **Study Site**

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26 The Sekhokong Mountain Range is located in eastern Lesotho, south of the Sani
27 Top border post at an altitude of 2,920 m asl and with co-ordinates 29°36.517'S,
28 29°15.897'E. The north-facing slope of the Sekhokong Range has at least four valley
29 heads eroded into it, separated by basaltic ridges located just south of its highest
30 point at Hodgson's Peaks (Marker, 1994). The valley heads are approximately 800m
31 wide and 1200m deep, each with a tributary stream flowing into a wetland at the foot
32 of the slope (Marker & Whittington, 1971; Marker, 1994; Grab & Deschamps, 2004).
33 The sampling site (Figure 2) is a gully side-wall formed by one such stream (Marker,
34 1994: hollow C), which provided an exposed sedimentary sequence of more than 5m
35 depth (Figure 3), with alternating colluvial and peat layers (Marker, 1994; Grab &
36 Deschamps, 2004).
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46 Mean seasonal temperatures for the alpine belt at Sani Top vary from 10°C for
47 summer (December through February) to 0°C for winter (June through August; Grab,
48 2010). Precipitation in eastern Lesotho is strongly seasonal, with 70-80% falling
49 between November and March, and less than 10% between May and August (Tyson
50 et al., 1976). Summer precipitation is predominantly in the form of thundershowers
51 and instability storms, controlled by the subtropical high pressure belt, with a smaller
52 proportion of the summer rainfall occurring as lighter orographic drizzle, resulting
53 from an influx of moist maritime air from the east (Sene et al., 1998; Nash & Grab,
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3 2010). Most precipitation above ~3000m asl between May and September falls as
4 snow, yet accounts for less than 10% of total annual precipitation, with an average of
5 2-8 moderate to light snowfalls per year (Nel & Sumner, 2008; Grab & Linde, 2014).
6 Summer winds dominate from the east and northeast, bearing moisture from the
7 Indian Ocean, while winter winds are predominantly north-westerly (Sene et al.,
8 1998; Grab, 2010).
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14 The vegetation at the site comprises large expanses of meadow grasses, with
15 increased sedge cover towards the more water saturated wetland regions, *Erica*-
16 *Helichrysum* shrubs closer to the mountain backwalls, and a variety of small shrub
17 species typical of the 'Drakensberg Alpine Centre' (Carbutt & Edwards, 2004) found
18 in smaller numbers (Marker & Whittington, 1971; Grab & Deschamps, 2004). At a
19 regional scale, there is a considerable shift in vegetation along altitudinal gradients
20 (Carbutt & Edwards, 2004; Mucina & Rutherford, 2006). The Sekhokong site is
21 situated above the treeline, and given its high altitude and substantially depressed
22 temperatures, much of the vegetation comprising late Quaternary
23 palaeoenvironmental record and the contemporary flora at the lower altitude
24 Drakensberg palaeoenvironmental sites Braamhoek Wetland at 1,700 m asl
25 (Norström et al., 2009, 2014) and Mahwaqa Mountain at 2,083 m asl (Neumann et
26 al., 2014) are not present. However, it is likely that during warmer periods, some of
27 these species were able to establish further upslope (Inouye, 2008).
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39 **Methods**

40 Sediment was extracted horizontally from a gully side-wall following methods
41 employed by Grab et al. (2005) at a minimum sampling frequency of 5 cm, spanning
42 a total depth of 5.03m (Figure 2). Bulk organic material from 11 samples obtained
43 from relatively equally spaced depths throughout the profile was radiocarbon dated
44 using accelerator mass spectrometry (AMS) by *Beta Analytic* (Table 1). Dates were
45 calibrated using the Southern Hemisphere SHCal13 model (Hogg et al., 2013). The
46 Bacon model v2.2 (Blaauw & Christen, 2011) was used to interpolate dates for the
47 remainder of the profile, selected due to the improved performance of Bayesian over
48 linear regression models, and the inclusion of information on sample thickness. No
49 outliers were identified by the Bacon model.
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Palaeoenvironments were investigated by comparing sediment properties, pollen and diatoms throughout the profile to the contemporary environment and reference collections. At the broadest scale, sediment properties were used to determine regional moisture availability, demonstrated predominantly by relative changes in percentage organic content (Meadows, 1988), and the proportions of gravel- and sand-sized particles to silt- and clay-sized particles (Masselink et al., 2014). It must be noted that very coarse gravels could not be measured using the Malvern Mastersizer, and were therefore excluded from sediment particle size plots. Distinct variations in the skewness:kurtosis ratio were used to identify likely changes in depositional environment, for example from riverine to colluvial sediment (Masselink et al., 2014). Pollen was used to reconstruct past vegetation composition. The presence and absence of indicator species for alternating wetland and grassland conditions for the eastern Lesotho highlands region provides useful qualitative climatic information. Diatoms were used to reconstruct the aquatic conditions and algal biodiversity within the wetland.

Pollen preparation followed standard procedures outlined by Faegri et al. (1989). Once fossil pollen had been isolated and slides prepared, a minimum of 250 grains were counted per sample at a magnification of 400x using an Olympus BX51 light microscope. Identification was made with reference to the African Pollen Database. Due to morphological and environmental similarities, pollen counts from Chenopodiaceae and Amaranthaceae are summed as a single group 'Cheno-Am' (Scott & Nyakale, 2002). As has been conducted at the Braamhoek Wetland site, the Asteraceae:Poaceae ratio is presented as a proxy for the strength of precipitation seasonality (Coetzee, 1967; Norström et al., 2009), which is argued to represent changes in the latitudinal extent and strength of the Westerlies (Mills et al., 2012). Diatom preparation was undertaken using the procedures outlined by Battarbee et al. (2001). A minimum of 300 diatom valves were counted per sample at a magnification of 1000x using oil immersion. Diatoms were identified through consultation with both local (Schoeman, 1973; Schoeman & Archibald, 1976; Harding & Taylor, 2011; Matlala et al., 2011) and international literature (Krammer & Lange-Bertalot, 1986; Snoeijs & Balashova, 1998; Kramer, 2002). Sediment analyses involved determining the organic and carbonate content of each sample

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3 through loss-on-ignition at 550°C and 950°C respectively (Heiri et al., 2001).
4 Sediment particle size distributions, including mean grain size, skewness and
5 kurtosis for each sample were determined using a Malvern Mastersizer 3000.
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10 Major gradients in the biological data were investigated using the indirect ordination
11 technique principal components analysis (PCA) on the percentage composition of
12 diatoms and pollen, while zonation of the multi-proxy profile was designated using
13 the constrained incremental sum of squares (CONISS) cluster analysis technique
14 using the Rioja and Cluster packages in R on the pollen assemblage data. For both
15 pollen and diatom assemblages all statistics were performed on taxa with greater
16 than 2% distribution, and square root transformed before analysis to down-weight
17 dominant species. Redundancy analysis (RDA) was performed to determine the
18 explanatory strength of the pollen distribution in influencing the diatom distribution for
19 the profile (Legendre & Birks, 2012; Mackay et al., 2012). Changes in pollen are a
20 proxy for major changes in landscape vegetation, and as these are predominantly
21 associated with climatic or anthropogenic drivers, we use pollen here to indicate
22 potential drivers of aquatic ecosystem change in local wetlands (Lotter & Birks, 2003;
23 Mackay et al., 2012). All statistical analysis was undertaken using the code-based
24 statistical platform R (Venables & Smith, 2015), and stratigraphic plots were
25 produced using C2 (Juggins, 2007).
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37 **Results**

38 The sediment record reflects alternating layers of dark coloured clays and peats, and
39 orange coloured gravels (see Figures 2 and 3). The 11 AMS radiocarbon ages for
40 the profile span the entire Holocene period, commencing during the late glacial, with
41 a basal conventional date of 13,200 a BP (Table 1; ~15,870 cal a BP). The upper-
42 most AMS dated sample was at a depth of 16.5 cm, with a conventional date of
43 1,430 a BP (Table 1; ~1,200 cal a BP). As this profile extends to the contemporary at
44 the surface, the temporal resolution is relatively low for the surface layer of
45 sediments. Given the topographic basinal locality of the sampling site, it is unlikely
46 that substantial sediment has been lost through denudational processes during the
47 Holocene. However, some past sediment loss through processes such as sheet
48 erosion and wind deflation cannot be ruled out, but are impossible to ascertain.
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3 The mean sediment accumulation rate, calculated by the Bacon model, is averaged
4 for the sequence at 0.05 cm a^{-1} . There are notable periods of slower sedimentation
5 in the early to mid-Holocene between $10,550 \pm 40 \text{ cal BP}$ ($\sim 12,120 \text{ cal a BP}$) and
6 $6,470 \pm 30 \text{ cal BP}$ ($\sim 7,430 \text{ cal a BP}$), during which time only 40 cm of sediment had
7 accumulated (Figure 3). Sedimentation occurs at a more constant, relatively rapid
8 rate towards the late-Holocene, with a mean sedimentation rate of 0.03 cm a^{-1} from
9 the surface to a depth of 107.5 cm ($3,100 \pm 30 \text{ cal BP}$; $\sim 3,190 \text{ cal a BP}$).
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16 Three zones in the profile were delimited using CONISS, performed on the pollen
17 assemblage data (Figure 4). SKP3 represents the transition from the late
18 Pleistocene to the early Holocene, extending from the bottom of the core at a mean
19 sample depth of 502.5 cm to a depth of 302.5 cm ($\sim 16,450 \text{ cal a BP}$ to $\sim 8,560 \text{ cal a}$
20 BP ie. 7,890 a), comprising 12 samples. This is followed by SKP2, an extensive zone
21 comprising 28 samples, yet representing a shorter period, spanning a depth of 287.5
22 cm to 16.5 cm ($\sim 7,430 \text{ cal a BP}$ to $\sim 1,200 \text{ cal a BP}$, ie. 6,230 a). SKP1 encompasses
23 only the top two samples, extending from a depth of 9.5 cm to the surface ($\sim 1,110$
24 cal a BP to present). These zones correspond closely with large shifts in the diatom
25 and sediment records, and are used in the graphic representation (Figures 5-7) and
26 discussion of each of the records.
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36 The sediment profile comprises alternating clearly-defined layers of coarse orange
37 coloured gravels, dark black peats and organic clays, and green-grey fine clays.
38 Very coarse gravels were found towards the bottom of the profile (Figure 3) but the
39 particle sizes of these were too coarse to be measured using either the Mastersizer
40 or traditional sieving methods. Interspersed in amongst these gravels was a greater
41 proportion, by weight, of smaller particle sizes. As these dominated the samples,
42 their particle sizes are plotted in Figure 5. Results from LOI and particle size
43 analyses confirm fluctuations in organic and carbonate content, and particle size
44 throughout the profile (Figure 5). A period with high percentage organic material
45 ($>45\%$) is noted for depths of 457.5-387.5 cm (Figure 5). AMS dates place this period
46 between $\sim 15,630 \text{ cal a BP}$ and $14,150 \text{ cal a BP}$, within the late glacial. Within this
47 period, organic composition of greater than 75% is observed for depths of 427.5-
48 397.5 cm ($\sim 15,150$ - $14,440 \text{ cal a BP}$). A second, smaller ($>30\%$), peak in percentage
49 organic content is observed for the late Holocene, for depths of 31.5-22.5 cm
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3 (~1,320-1,260 cal a BP). The relative percentages of sand-, silt- and clay-sized
4 particles demonstrate considerably greater fluctuation in the late Holocene, for
5 depths of 134.5-2.5 cm (~3,400-940 cal a BP; Figure 5). Greater percentages of
6 gravel-sized particles are observed for this period, albeit fluctuating. Notably, gravel-
7 sized particles cease at a depth of 211.5 cm (~6,370 cal a BP). Aside from the
8 presence of gravel for a distinct portion, the overall sequence is dominated more by
9 low-amplitude fluctuations than by any long-term trends (Figure 5). The skewness
10 curve largely tracks the mean particle size, indicating that samples with large mean
11 sizes are dominated disproportionately by sand- to gravel-sized particles. The past
12 nature of hydro-geomorphic dynamics (e.g. diffuse overland flow;
13 concentrated/channelized flow) at the site may well have influenced some of the
14 sedimentological characteristics described here. However, sedimentological
15 characteristics along the lengthy extent of exposed contemporary gully sidewalls
16 suggest predominantly uniform deposition across the site through time. Evidence for
17 palaeo channels or palaeo gullies is conspicuously absent and we thus infer that
18 sedimentation processes were dominated by diffuse rather than channelized flow at
19 this site through much of the Holocene.
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33 The pollen record (Figure 6) is dominated by Poaceae (49.2%), Cyperaceae (21.0%)
34 and Asteraceae (19.2%), typical of southern African wetlands (Gasse & Van Campo,
35 1998; Norström et al., 2009, 2014; Neumann et al., 2014). Pollen grains from 24
36 families, or genera where identification was possible, appeared with a frequency of
37 more than 1% at any point throughout the profile. The pollen sum is largely
38 representative of the contemporary local environment comprising a wetland
39 surrounded by a large expanse of meadow, vegetated by grasses, semi-aquatic
40 species, shrubs, herbs and succulents (Figure 6). Occasional *Podocarpus* and *Olea*
41 pollen grains were counted (<2% maximum occurrence; Figure 6). As the eastern
42 Lesotho Highlands are situated above the tree-line, such pollen would have been
43 windblown from adjacent lower altitude forests. PC1 accounts for 26.6% of the
44 variance of the pollen distribution in the samples, separating at extremes *Crassula*,
45 Aizoaceae and Asteraceae with the strongest negative scores, from Poaceae and
46 Cyperaceae with the strongest positive scores. Similarity in species scores for
47 Cyperaceae and Poaceae are notable for PC1, as they represent typically opposing
48 environmental conditions of wetland and grassland respectively. Marked by the
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3 extreme isolation of the SKP1 samples, PC1 appears to be driven by differences
4 between the long-term vegetation regime of the wetland for the early to mid-
5 Holocene, contrasting that of the most recent 1,000 years. PC2 accounts for 22.2%
6 of observed variance in relative pollen abundance across samples, separating
7 Poaceae and Cyperaceae by extremes in species score, representing a division
8 between environments dominated by grassland from those with a greater wetland
9 expanse.
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16 The diatom record (Figure 7) is dominated by *Synedra (Fragilaria) famelica* (26.4%),
17 with smaller populations of *Eunotia bilunaris* (8.2%), *Hantzschia amphioxys* (7.4%)
18 and *Pinnularia divergentissima* (6.6%). Due to the similarities in their ecological
19 preferences (Schmidt et al., 2004; Ohlendorf et al., 2009; Wang et al., 2013),
20 *Staurosirella (Fragilaria) pinnata* and *Fragilaria construens* are grouped together,
21 both of which are r-strategists which can tolerate frequent environmental changes,
22 which for Lesotho, most notably involved being tolerant of seasonal ice cover. PC1
23 accounts for 35.0% of observed variance in diatom species distribution across the
24 profile, segregating at extremes *Fragilaria famelica*, *Pinnularia borealis*, *Hantzschia*
25 *amphioxys* and *Achnanthes minutissima* with strongest negative scores from
26 *Fragilaria pinnata/construens*, *Cymbella laevis* and *Eunotia bilunaris* with strongest
27 positive scores PC2 accounts for 13.3% of the variance in diatom distribution, and
28 separates planktonic and facultative planktonic *Fragilaria pinnata/construens*,
29 *Aulacoseira ambigua* and *Fragilaria famelica* with negative scores, from aerophilic
30 *Hantzschia amphioxys*, *Diploneis parva*, *Pinnularia gentilis* and *Pinnularia*
31 *divergentissima* with positive scores.
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45 Discussion

46 Environmental Reconstruction

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48 This study presents the longest continuous multi-proxy palaeoenvironmental record
49 published for eastern Lesotho to date, spanning the termination of the Last Glacial
50 Maximum (LGM) to present. The sedimentary profile demonstrates fluctuations
51 between peat- and clay-rich sediment and coarse gravel, previously inferred to
52 represent moisture fluctuations (Marker, 1994). The broad sedimentation patterns
53 are consistent with those presented by Marker (1994, 1995, 1998), however, the
54 frequency of these fluctuations is considerably higher. Pollen and diatom records
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3 provide additional, higher resolution environmental information, enabling
4 identification of short-lived events.
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8 The CONISS output for Sekhokong indicates few statistically significant zones
9 relative to the long time period covered, and considerable variation in pollen.
10 Redundancy analysis (RDA) on the Sekhokong records reflects the low, yet
11 statistically significant, explanatory strength of pollen in determining the diatom
12 composition (13.3%), indicating that the diatom communities are more likely to be
13 influenced by local habitat than broader regional vegetation. At a more local scale,
14 however, Cyperaceae pollen closely tracks the percentage organic content of
15 sediments, representing marsh conditions. The Asteraceae:Poaceae pollen ratio is
16 very low throughout the profile (<0.5), indicating a wet, probably summer rainfall
17 regime throughout much of the past ~16,450 cal a (Figure 6). As Poaceae and
18 Cyperaceae dominate the contemporary landscape which is limited by relatively cold
19 temperatures at the high altitude of the site, the periodic dominance of a wider range
20 of taxa including *Crassula*, Aizoaceae and Asteraceae, and the coincident increase
21 in absolute taxa for these periods, is interpreted as representing warmer periods
22 during which upslope migration of species can occur, thus increasing the total taxon
23 count (Inouye, 2008). The diatom profile demonstrates a shift from an environment
24 with a large proportion of r-strategist ice tolerant *Fragilaria pinnata/construens* group
25 in SKP3 to an environment dominated by snow tolerant, benthic *Fragilaria famelica*
26 in SKP1 (Figure 7). PC1 for the diatom record separates the undisturbed conditions
27 which comprise the majority of the profile, from a period of heightened pollution and
28 wetland disturbance during SKP1. PC2 indicates moisture fluctuations throughout
29 the profile. Interpretations of the diatom results largely relate to their habitat, with
30 notable segregations in the profile of periods dominated by aerophilic species
31 relative to those dominated by planktonic and benthic species. The relative
32 abundance of *Fragilaria* species is of interest due to their tolerance of seasonal ice
33 and snow, through their ability to respond quickly to environmental change
34 (Schoeman, 1973; Ohlendorf et al., 2000; Karst-Riddoch et al., 2005; Wang et al.,
35 2013). For periods during which the profile is dominated in great quantities by this
36 group, conditions are interpreted as being particularly cold, prohibiting the survival of
37 less ice/snow tolerant diatoms. As the group have a facultative planktonic and
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3 benthic habitat (Sonneman et al., 1999), the alternate vegetation stressors of dry
4 conditions are unlikely.
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8 **SKP3: ~16,450-8,560 cal a BP**
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10 SKP3 commences with the highest relative abundances of Cyperaceae pollen and
11 planktonic diatom species *Aulacoseira ambigua* for the profile, indicative of rather
12 wet conditions, allowing planktonic diatoms and wetland plants to thrive (Gasse &
13 Van Campo, 1998; Siteo et al., 2015). A peak in *Fragilaria* species (>40% of the
14 diatom sum) occurs concurrently. Fragilarioids are r-strategists which tolerate
15 disturbance well, and they are particularly common in high alpine lakes impacted by
16 snow and ice cover (Schmidt et al., 2004; Ohlendorf et al., 2009; Wang et al., 2013)
17 It may well be that their dominance at this time is indicative of cold, harsh
18 environments associated with globally cooler temperatures, and more prolonged ice
19 cover (Figure 7). The pollen profile is also characterised by large proportions of
20 Poaceae during this period, which combined with the presence of the facultative
21 planktonic *Fragilaria pinnata/ construens* and Cyperaceae pollen (Figures 6, 7), is
22 indicative of a large wetland expanse, with at least ponds of shallow water to support
23 this diatom community and Cyperaceae, but surrounded by meadow grasses. This is
24 followed immediately by a short-lived, but very dry period from ~16,350-15,870 cal a
25 BP, inferred from a decrease in the proportion of Cyperaceae pollen, a decline in the
26 relative abundance of planktonic diatoms and increase in aerophilic species
27 *Diploneis parva*, *Eunotia praerupta*, *Hantzschia amphioxys* and *Pinnularia*
28 *divergentissima* (Gasse & Van Campo, 1998), and a lower percentage organic
29 content of sediments (Figures 5-7).
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45 A return to wet conditions occurs from ~15,630-14,440 cal a BP, with a marked
46 dominance of Cyperaceae pollen, a peak in organic content, and a re-emergence of
47 planktonic *Aulacoseira* and facultative planktonic *Fragilaria pinnata/construens*
48 (Figures 5-7). The diatom record during this period is dominated by epiphytic
49 species, particularly *Eunotia bilunaris* and *Cymbella laevis* (Schoeman, 1973; Gasse
50 & Van Campo, 1998), indicating a large presence of macrophytes in the wetland
51 (Figure 7). The percentage carbonate content is particularly low throughout the
52 period, which may be due to reduced levels of photosynthesis due to the
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3 predominance of peat (Figure 5). Together, these proxies suggest the re-
4 establishment of a more extensive wetland, but with shallow water restricted to small
5 ponds suitable for the establishment of macrophytes, and herbs along the drier
6 wetland edge. This cool, moist period is consistent with results obtained from the
7 eastern Drakensberg foothills (Neumann et al., 2014) indicating a progressive shift
8 from the arid conditions during the LGM.
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14 By ~14,150 cal a BP, the relative abundance of Cyperaceae pollen had decreased to
15 15%, coinciding with a decrease in Asteraceae pollen and a peak in Poaceae pollen
16 (Figure 6). At the same time a peak in aerophilic diatoms, particularly *Diploneis*
17 *parma* and *Eunotia praerupta* is noted, and an increase in the percentage
18 composition of sand-sized particles and carbonates, but with a decrease in organic
19 matter (Figures 5, 7. This indicates a drying of the site, reducing the spatial extent of
20 the wetland. The percentage organic composition decreases more slowly,
21 suggesting a change from wetland to grassland species which maintained the
22 organic input in the sediment (Figure 5). If the Asteraceae:Poaceae pollen ratio
23 accurately reflects the strength of seasonality (Norström et al., 2009), which for the
24 eastern Lesotho highlands would be driven by shifts in the Westerlies (Mills et al.,
25 2012), then the low ratio for this period (Figure 6) would indicate warmer conditions
26 associated with weakened Westerlies, which in turn would further increase the rate
27 of peat production. Thereafter, the relative abundance of Cyperaceae and
28 Asteraceae pollen progressively increases, paired with a more pronounced decrease
29 in Poaceae pollen which persists throughout the remainder of the profile (Figure 6).
30 This is concurrent with a low relative abundance of planktonic and facultative
31 planktonic diatoms, but large proportions of epiphytic species (Figure 7). Such proxy
32 evidence suggests that the region was slowly warming throughout this period, with
33 surface water supporting macrophytes, indicating the persistence of wetland
34 conditions. Maximum temperatures are inferred from a reduction in *Fragilarioids* and
35 an increase in pollen taxon diversity to have been experienced between ~8,560-
36 7,280 cal a BP, consistent with the Holocene Altithermal in southern Africa
37 (Neumann et al., 2014).
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56 **SKP2: ~7,430-1,200 cal a BP**
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3 SKP2 commences with a change in the pollen, diatom and sediment record (Figures
4 5-7). The gradual increase in Asteraceae and Cyperaceae pollen noted during the
5 terminal period of SKP3 is reversed with a decrease in these taxa, while Poaceae
6 pollen increases (Figure 6). This is paired with major increases in *Fragilaria famelica*
7 and aerophilic diatom species (Figure 7), suggesting regional drying and a
8 dominance of snow in mean annual precipitation to support the *Fragilaria group*. The
9 pollen and diatom composition suggests a sudden, extreme drying of the wetland,
10 potentially during a period of comparatively colder temperatures than those
11 immediately preceding it, which possibly reflects cooling following the maximum
12 temperatures of the Holocene Altithermal (Neumann et al., 2014). This is followed by
13 an increase in Cyperaceae pollen and decrease in Poaceae until ~6,720 cal a BP
14 (Figure 6), indicating progressively wet conditions. This terminates with a peak in
15 *Fragilaria* diatoms (Figure 7), inferred as a second pulse of particularly cold
16 conditions unsuitable to many other species. Consistent proportions of drought
17 tolerant *Crassula*, Aizoaceae, Chen-Am, Apiaceae and *Anthospermum* and the
18 largest sum of aerophilic diatoms follows, persisting until ~3,640 cal a BP. The multi-
19 proxy evidence indicates that this was likely the driest period represented by this
20 palaeoenvironmental sequence. Poaceae predominates the pollen sum during this
21 period, suggesting regional grassland conditions, while the relative increase in the
22 total observed taxa is interpreted to be driven by an increase in temperatures
23 facilitating an up-slope plant succession in an environment otherwise too cold to
24 support considerable plant diversity (Inouye, 2008; Figure 6).
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41 The second half of SKP2, from ~3,400-1,200 cal a BP, is marked by continuous
42 fluctuations in the relative abundance of Poaceae, Cyperaceae and Asteraceae
43 pollen, and in the ratios of benthic and aerophilic diatoms (Figures 6, 7). Very
44 pronounced and frequent changes in sediment particle size distributions mark clearly
45 defined sedimentary lenses observed *in situ*. This period is characterised by the
46 emergence and maintenance of the ice tolerant, facultative planktonic *Fragilaria*
47 species, suggesting persistently cooler conditions throughout SKP2 (Figure 7). The
48 pollen and sediment record, and changes in the ratios of aerophilic to planktonic
49 diatom species, indicate fluctuations in moisture throughout SKP2, resulting in large
50 variations in wetland size. Wet phases, with greater wetland size and surface water
51 depth, are indicated by peaks in Cyperaceae pollen and supported by increases in
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3 the proportional representation of benthic diatoms from ~3,260-3,190 cal a BP, and
4 at ~3,050 cal a BP. Dry phases with smaller wetland extent and drier wetland surface
5 are indicated by pollen of drought resistant succulents, shrubs and grasses,
6 supported by increases in aerophilic diatoms at ~3,260 cal a BP, ~2,690 cal a BP
7 and ~1,380 cal a BP (Figure 5). A prolonged wet event is indicated from ~2,690-
8 1,470 cal a BP, inferred from a high percentage composition of organic material in
9 the sediment record, and supported by a peak in benthic diatoms *Fragilaria famelica*
10 and *Eunotia bilunaris* which would require a habitat comprising standing water, and
11 greater proportions of Cyperaceae pollen, and which may include more regular
12 snowfalls (Figures 5-7), (Gasse & Van Campo, 2001; Vilbaste, 2001). This is
13 followed by the highest relative abundance of Poaceae pollen in the sequence,
14 coinciding with a peak in aerophilic diatoms, indicating a particularly dry period
15 (Figures 6, 7).
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26 **SKP1: ~1,110 cal a BP - Present**

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28 SKP1 comprises only two samples, representing the period from ~1,110 cal a BP to
29 present, limiting the detail of climatic or environmental inferences. The two samples,
30 however, indicate contrasting climatic and environmental conditions. An increase in
31 organic content, silt-sized particles, and the Asteraceae:Poaceae pollen ratio occurs
32 (Figures 5,6), suggesting wet, yet seasonally less distinct rainfall, likely a response to
33 a strengthening of the Westerlies. The diatom record reflects a peak in snow-tolerant
34 diatoms *Fragilaria famelica* (Wang et al., 2013) and of aerophilic species (Figure 6),
35 supporting the inference of cold but relatively dry conditions. SKP1 terminates with a
36 decrease in Cyperaceae pollen and continued increases in *Crassula* and *Pentzia*,
37 which with increased aerophilic diatoms, suggests drying to present conditions
38 (Figures 6, 7). Abundant *Crassula* pollen may be indicative of human and animal
39 disturbance during recent centuries (Norström et al., 2009). A higher resolution
40 record is required to determine the validity of these inferences of contrasting climatic
41 conditions over the past ~1000 years.
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53 **Regional Comparison**

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55 The Sekhokong palaeoenvironmental reconstruction contributes to refining the
56 Holocene environmental and climatic record for southern Africa. The commencement
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3 of the Sekhokong record coincides with the phase of deglaciation following the LGM.
4 Pollen records from Mahwaqa Mountain in the eastern Drakensberg foothills
5 (Neumann et al., 2014), and multiproxy analyses of charcoal, pollen and diatoms
6 from Braamhoek Wetland in the northern Drakensberg foothills (Finné et al., 2009;
7 Norström et al., 2014), indicate a shift towards wetter conditions during this cool
8 post-glacial phase, with maximum precipitation inferred from speleothem records
9 from Makapansgat to have been attained by 13,000 cal a BP (Holmgren et al.,
10 2003). This period is further been confirmed to have been characterised by greater
11 moisture availability in meta-analyses for southern Africa (Chevalier & Chase, 2016).
12 This is consistent with wet conditions inferred for the start of the Sekhokong record
13 based on diatom, pollen and sediment results. This is notable as the speleothem
14 record suggests a progressive increase in moisture following the LGM, extending
15 into the early Holocene (Holmgren et al., 2003). These wet conditions by 13,000 cal
16 a BP may indicate a northerly shift of the Inter-tropical Convergence Zone (Truc et
17 al., 2013; Singarayer & Burrough, 2015).
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30 The deglaciation period globally is interrupted by two cold events globally which
31 coincide with this record: Heinrich event H1 from 18,000-15,000 cal a BP (Álvarez
32 Solás et al., 2011) and the Younger Dryas from 13,000-11,500 cal a BP, both driven
33 by meltwater pulses in the Northern Atlantic (Mayewski et al., 1996). The period of
34 rapidly fluctuating environmental conditions detected in the Sekhokong record by an
35 increase in *Fragilaria* species, is concurrent with and a decrease in the relative
36 number of pollen taxa. It is possible, therefore, that these changes dated to ~15630
37 cal a BP are indicative of particularly cold conditions resulting in increased seasonal
38 ice cover and a decline in vegetation diversity. By contrast, sample SK24 which has
39 an interpolated date of ~12,120 cal a BP, reflects evidence for warm conditions
40 coincident with the Younger Dryas, but at too poor a sampling resolution for a
41 definitive interpretation. Isotope records from the archaeological sites in western
42 Lesotho similarly reflect contradictory evidence for a Lesotho manifestation of
43 Younger Dryas conditions (Smith et al., 2002; Roberts et al., 2013), although
44 arguably this may be attributed to cold dry conditions discouraging settlement during
45 this period, and consequently not accumulating archaeological material at the
46 excavated sites. More recent analysis of stable isotopes from organic material and
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3 tooth enamel at Sehonghong in the eastern Lesotho Highlands, by contrast, provides
4 supporting evidence for cold conditions associated with this event (Loftus et al.,
5 2015). Further evidence in support of a Younger Dryas event in southern Africa
6 includes oxygen isotopes from mollusc shells at Elands Bay (Cohen et al., 1992),
7 archaeological isotope evidence from Bushman's Rock Shelter (Abell & Plug, 2000),
8 a re-analysis of pollen data from Wonderkrater (Thackeray & Scott, 2006), and
9 isotope records from hyrax middens in the Cederberg (Quick et al., 2011; Chase et
10 al., 2015). Southern Hemisphere manifestations of global cooling events associated
11 with instabilities in the Arctic ice sheets clearly requires further investigation.
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20 The overall warming period associated with deglaciation continues until optimal
21 conditions at the Holocene Altithermal (Wanner et al., 2015). The timing of this event
22 is unclear, with discrepancies for much of southern Africa, but it broadly spans the
23 period 7,500-6,500 cal a BP (Holmgren et al., 2003; Truc et al., 2013; Neumann et
24 al., 2014; Wanner et al., 2015). Maximum temperatures at Sekhokong are inferred to
25 have been attained by 7,280 cal a BP. There is no clear warm signal coinciding with
26 the Holocene Altithermal for the lower-altitude (1700m asl), more northerly
27 Braamhoek Wetland (Norström et al., 2009, 2014; Finné et al., 2010). However,
28 pollen records from a similarly low altitude eastern Drakensberg site, Mahwaqa
29 Mountain, indicate a clearly defined Holocene Altithermal maximum at 6,500 cal a
30 BP (Neumann et al., 2014). By this time, cooler conditions are indicated for
31 Sekhokong by a reduction in pollen taxon diversity, and supported by a re-
32 emergence of *Fragilariods*.
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43 Climate and environmental change over the past 2,000 years is of interest given
44 rapid climate fluctuations and increased anthropogenic influence on the environment
45 (Mayewski et al., 2004; Wanner et al., 2008, 2014). The LIA, a short-lived cold event
46 from AD 1300-1800 (Wanner et al., 2008, 2015), has been of regional interest
47 (Tyson et al., 2000). A peak in *Fragilaria* species coupled with a decrease in pollen
48 taxa diversity tentatively suggests a cold period some time during the past ~1,110
49 years at Sekhokong. Debate regarding precipitation during the LIA in southern Africa
50 continues, with current understanding that dry conditions prevailed in the summer
51 rainfall zone (cf. Ekblom et al., 2008; Gillson & Ekblom, 2009; Neumann et al., 2010;
52 Chevalier & Chase, 2016) and wet conditions in the winter rainfall zone (Stager et
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3 al., 2012; Weldeab et al., 2013). For Sekhokong, proxy evidence for the past ~1000
4 years suggests dry conditions, in support of this hypothesis. However, due to the low
5 temporal frequency of samples, any rapid fluctuations in moisture would not have
6 been detected. Evidence for increased anthropogenic influence on the local and
7 regional environment, similar to that inferred from the pollen and diatom records at
8 Sekhokong for this period, have been reported from a range of locations since AD
9 ~1,800 (cf. Baxter & Meadows, 1999; Neumann et al., 2008, 2011, 2014; Norström
10 et al., 2009).

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18 Comparisons with the pollen-based palaeoenvironmental reconstruction for
19 Mahwaqa Mountain in the eastern Drakensberg foothills (Neumann et al., 2014) are
20 notable due to the proximity of the sites. Of particular interest are delays in the onset
21 of dry periods at Sekhokong relative to Mahwaqa. The driest period in the profile
22 from Mahwaqa Mountain is inferred to occur from 4,600-3,500 cal a BP (Neumann et
23 al., 2014). For Sekhokong, the period of driest conditions occurs earlier, at ~6,560-
24 3,640 cal a BP. This may reflect the influence of the escarpment in blocking
25 moisture, as the Mahwaqa Mountain site is situated at a lower altitude to the east of
26 the Great Escarpment, and would thus more easily receive moisture from the Indian
27 Ocean than the eastern Lesotho highlands located in the rain shadow, particularly
28 during periods of strengthened or more frequent coastal lows (Scott et al., 2012;
29 Neumann et al., 2014). This hypothesis requires further investigation, and provides
30 strong impetus for the analysis of synoptic climate drivers throughout the late
31 Quaternary using spatial transects (Chase & Meadows, 2007).
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43 **Conclusion**

44 This study presents the longest temporally continuous multi-proxy
45 palaeoenvironmental reconstruction for eastern Lesotho. The high altitude setting is
46 host to a niche environment of cold-resilient plant and diatom species, the analysis of
47 which facilitates the detection of subtle fluctuations in local and regional climate.
48 Results indicate cycles of dry and wet conditions throughout the late-Quaternary,
49 and discrete, particularly cold events. Climatic and environmental variability is
50 substantially more enhanced during the last ~5,450 years, with evidence for
51 anthropogenic influence during the last ~1100 years.
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Table 1: Raw AMS radiocarbon dates acquired from Beta Analytic for the Sekhokong profile.

Beta Analytic Laboratory ID	Sample Number	¹⁴ C Age (yr BP)	1σ Uncertainty (yr)	2σ calibrated age range (BP)	Mean depth (cm)	Sample Thickness (cm)	d13C
Beta-405431	SK3	1,440	±30	1,345-1,275	16.5	5	-24.5
Beta-405432	SK7	1,380	±30	1,300-1,185	45.5	5	-25.1
Beta-405433	SK11	2,680	±30	2,780-2740	75.5	5	-24.0
Beta-405434	SK14	3,100	±30	3,360-3,175	107.5	5	-25.3
Beta-393710	SK21	3,130	±30	3,375-3,215	134.5	3	-25.3
Beta-405436	SK25	5,450	±30	6,258-6,180	202.5	5	-26.5
Beta-405437	SK30	6,420	±30	7,415-7,225	267.5	5	-24.8
Beta-405438	SK31	6,470	±30	7,425-7,272	287.5	5	-26.8
Beta-405439	SK34	10,550	±30	12,555-12,420	327.5	5	-28.9
Beta-405440	SK38	12,660	±40	15,135-14,860	412.5	5	-28.5
Beta-393710	SK41	13,180	±40	15,880-15,675	472.5	5	-26.0

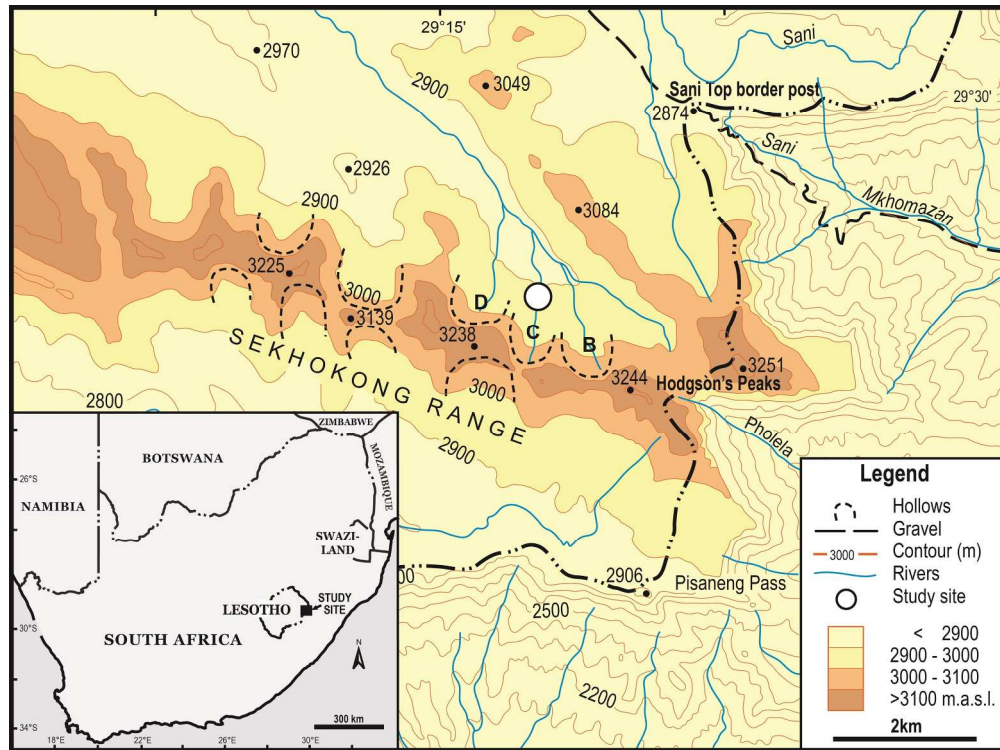


Figure 1: Location of the Sekhokong study site in the regional and local context.

109x82mm (600 x 600 DPI)

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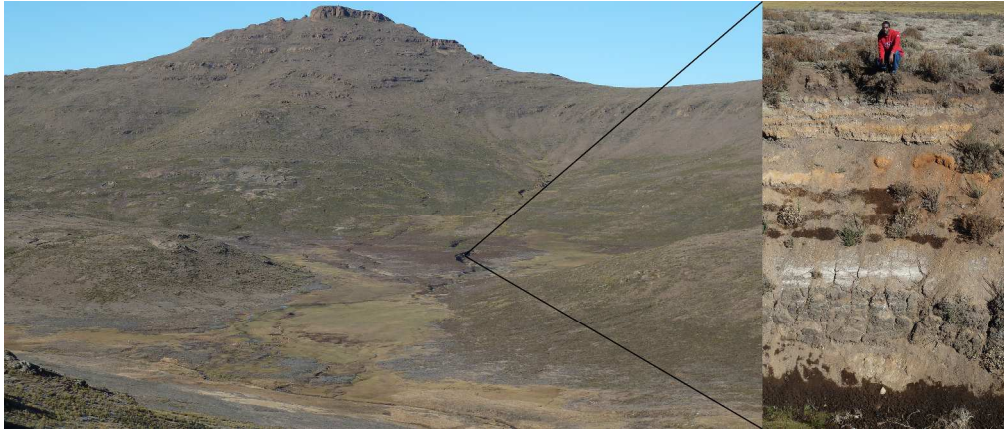


Figure 2: Exposed gully profile sampled at Sekhokong.

805x343mm (180 x 180 DPI)

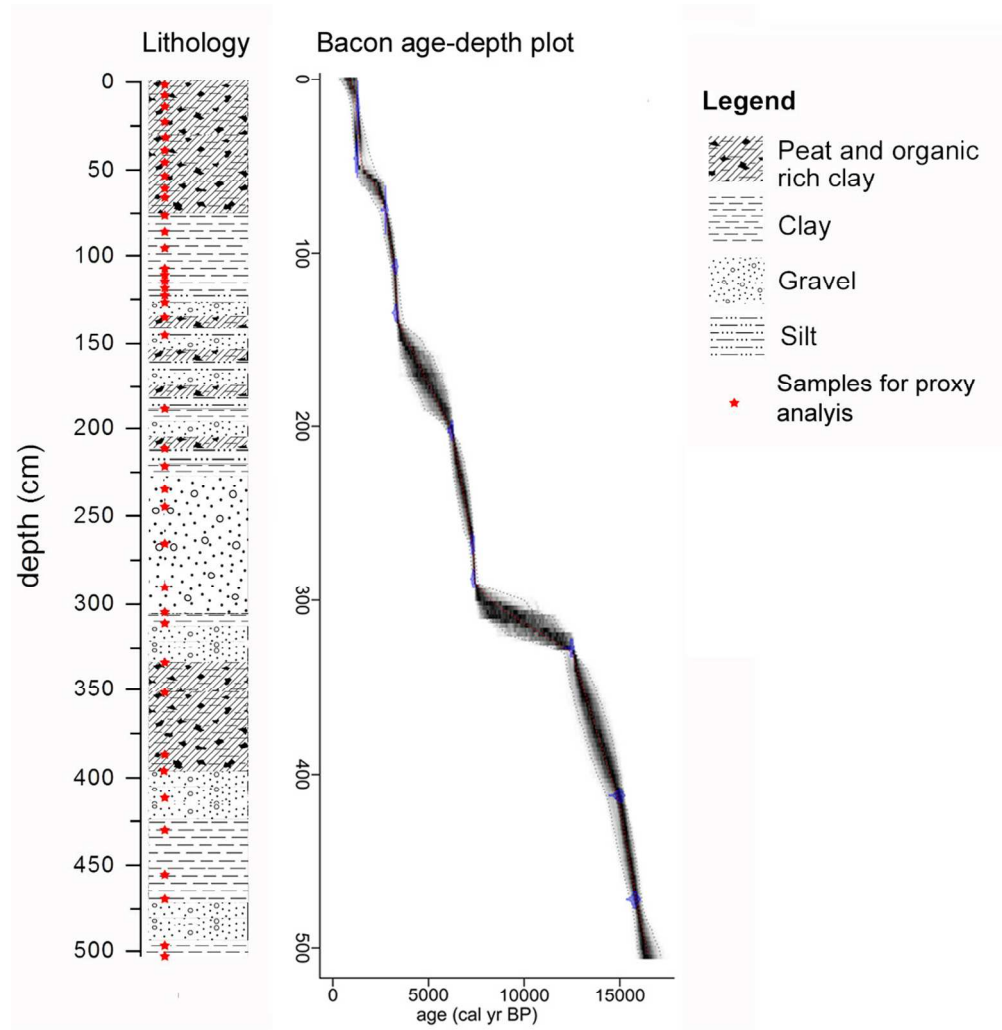


Figure 3: Stratigraphic log of the Sekhokong gully section, with the Bacon age-depth model.

143x159mm (220 x 220 DPI)

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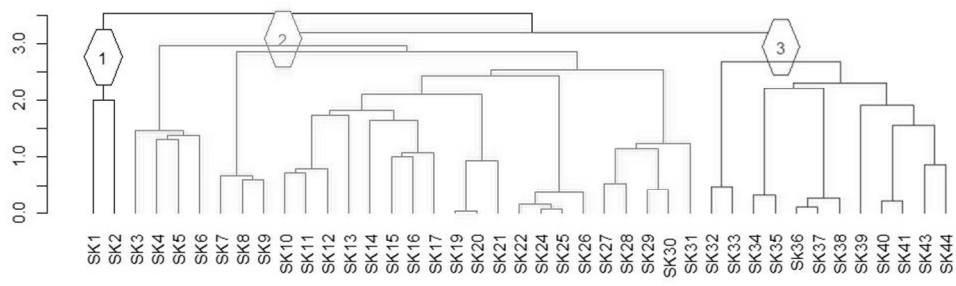


Figure 4: CONISS output separating the Sekhokong pollen profile into zones.

352x107mm (72 x 72 DPI)

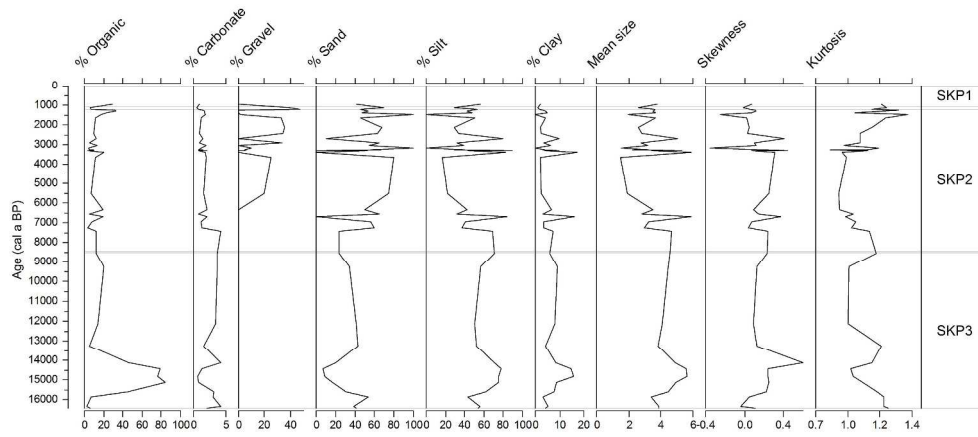


Figure 5: Stratigraphic diagram reflecting changes in sediment properties at Sekhokong.

1725x752mm (72 x 72 DPI)

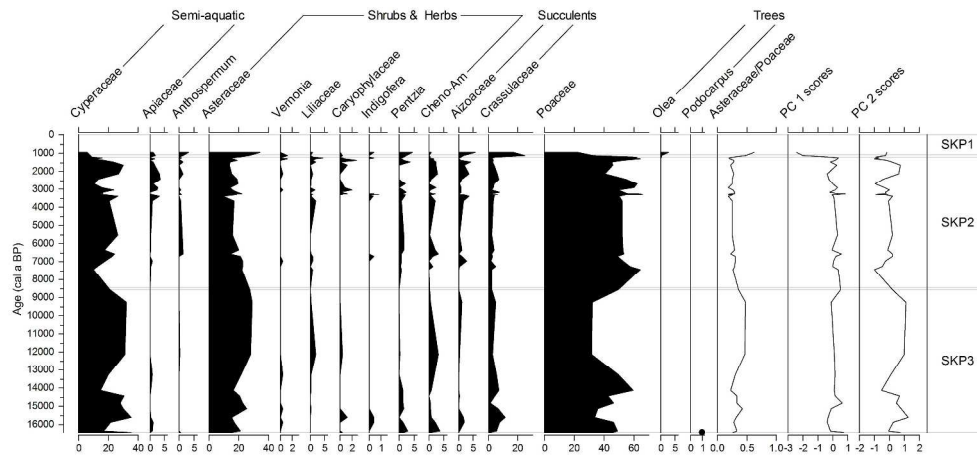


Figure 6: Pollen percentage diagram for the Sekhokong profile.

1872x861mm (72 x 72 DPI)

