

**rTMS affects working memory performance, brain activation  
 and functional connectivity in multiple sclerosis patients**

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54 working memory; 4) functional MRI; 5) connectivity  
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## ABSTRACT

**Objective:** To investigate the effects of high-frequency repetitive transcranial magnetic stimulation (rTMS) of the right dorsolateral prefrontal cortex (DLPFC) on working memory performance, while measuring task-related brain activation and task-related brain connectivity in patients with multiple sclerosis (MS).

**Methods:** Seventeen MS patients and 11 healthy controls (HCs) underwent three experimental sessions (baseline, real-rTMS, sham-rTMS), all including an N-back task (3 task loads: N1, N2, N3; control condition: N0) inside the MR-scanner. Prior to imaging, real-rTMS (10Hz) was applied to the right DLPFC. The stimulation site was defined based on individually assessed N-back task activation at baseline and located using neuro-navigation. Changes in whole brain functional activation and functional connectivity with the right DLPFC were calculated.

**Results:** N-back task accuracy (N2 and N3) improved after real-rTMS (and not after sham-rTMS) compared to baseline ( $P=0.029$  and  $P=0.015$  respectively), only in patients. At baseline, MS patients, compared to HCs, showed higher task-related frontal activation (left DLPFC,  $N2>N0$ ), which disappeared after real-rTMS. Task-related ( $N1>N0$ ) functional connectivity between the right DLPFC and the right caudate nucleus and bilateral (para)cingulate gyrus increased in patients after real-rTMS when compared to sham stimulation.

**Conclusions:** In MS patients, N-back accuracy improved while frontal hyperactivation (seen at baseline relative to HCs) disappeared after real-rTMS. Together with the changes in functional connectivity after real-rTMS in patients, these findings may represent an rTMS-induced change in network efficiency in MS patients, shifting patients' brain function towards the healthy situation. This implicates a potentially relevant role for rTMS in cognitive rehabilitation in MS.

## INTRODUCTION

Multiple sclerosis (MS) is a neurodegenerative disease of the central nervous system. Cognitive deficits are frequently present, affecting up to 70% of all patients<sup>1</sup>. These cognitive symptoms can influence the patients' life significantly, varying from minor impairments in daily living to social isolation and unemployment. Unfortunately, there are currently no treatment options available to tackle these cognitive deficits in MS.

More specifically, problems with working memory are frequent<sup>1,3</sup> and are more prominent in patients with a high frontal lesion load<sup>4,5</sup>. Functional MRI (fMRI) studies on working memory performance show frontal hyperactivation<sup>6-9</sup> and increased frontal inter-hemispheric connectivity<sup>10</sup> in cognitively non-impaired MS patients compared to healthy controls (HC). These changes likely represent functional brain reorganization, a process that is thought to underlie maintained cognitive functioning.

Using high-frequency ( $\geq 5\text{Hz}$ ) repetitive transcranial magnetic stimulation (rTMS), the excitability of a particular cortical region and its connected brain regions can be enhanced<sup>11,12</sup>. In subjects with depression, high-frequency rTMS of the dorsolateral prefrontal cortex (DLPFC) improves working memory performance<sup>13-15</sup> which could not be attributed to mood improvement<sup>13</sup>. **The advantageous effect of rTMS of the DLPFC on working memory performance makes this area particularly of interest as stimulation site for patients with MS.**

The aim of the current study was to investigate the effects of a single session of high-frequency rTMS in MS patients on working memory performance, task-related brain activation (fMRI) and task-related connectivity. We expected to find improved task

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3 performance and changes in local brain activation and connectivity of the stimulated  
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5 area with other task-relevant areas in the brain after high-frequency rTMS of the right  
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7 DLPFC.  
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Confidential: For Review Only

## METHODS

### *Participants and experimental design*

The study protocol was approved by the ethics review board of the VU University Medical Center in Amsterdam. All subjects gave written informed consent prior to participation. All patients were diagnosed with clinically definite MS<sup>16</sup> and had sufficient visual acuity and upper limb motor function to perform the N-back task. Patients and HCs were matched for age, sex and education level. All participants underwent three experimental sessions (baseline, real-rTMS, sham-rTMS) in a randomized single-blind sham-controlled cross-over design (Figure 1).

Extra safety measures were taken with regard to epileptic seizures, a possible adverse event of high frequency rTMS. In MS, a high cortical lesion load is associated with epilepsy<sup>17</sup>, therefore patients were excluded from participation if they: (a) used medication that lowers seizure threshold; and/or (b) had  $\geq 12$  cortical lesions (= the mean cortical lesion load in an average MS population with comparable disease duration<sup>18</sup>) and/or (c) had cortical lesions in the right DLPFC as assessed on double inversion recovery (DIR). Further exclusion criteria were relapses and corticosteroid-administration 6 weeks prior to investigation.

### *Repetitive Transcranial Magnetic Stimulation (rTMS)*

Repetitive TMS was administered with a MagPro X100 stimulator, using a figure-of-eight TMS-coil (MCF B-65; Medtronic Magoption). Resting motor threshold (RMT) of left first dorsal interosseus muscle was visually determined as described elsewhere<sup>13</sup>.

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3 The real-rTMS stimulation site was determined for each subject individually and was  
4 defined as the peak-voxel activation of the right DLPFC (all voxels that were  
5 significantly higher activated in all three different N-back task loads (1-back, 2-back  
6 and 3-back combined) compared to the control condition, 0-back; N123>N0 contrast).  
7 Online neuronavigation (ASA 4.6, ANT Neuro, Enschede, The Netherlands) and  
8 mechanical coil stabilization allowed precise targeting throughout the stimulation. Our  
9 rTMS protocol (10Hz, 110% RMT, 60 trains of 5sec, 25sec between trains, in total  
10 3000 biphasic pulses in 30min) fulfilled the current international safety guidelines<sup>19</sup>.  
11 For sham, high-frequency rTMS (10 Hz, 60 trains of 5sec, 25sec between trains, in  
12 total 3000 biphasic pulses in 30min) was performed with a lower intensity (80% RMT)  
13 at a presumably non-effective area (2 cm posterior to the vertex)<sup>20</sup>. Participants were  
14 naive to rTMS and blind to stimulation condition.  
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### 33 *Neuropsychological assessment*

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36 All subjects underwent extensive neuropsychological testing specifically aimed to  
37 investigate memory function of which working memory was assessed with the digit  
38 span and Letter-Number Sequencing, both derived from the Wechsler Adult  
39 Intelligence Scale<sup>21</sup>. For a detailed description of the tests used see Hulst et al.,  
40 2012<sup>18</sup>.  
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### *MR imaging – structural MRI*

MRI was performed on a 1.5T whole-body scanner (Siemens Sonata, Erlangen, Germany), using an eight-channel phased-array head coil. At all sessions a localizer, 3D-magnetization prepared rapid acquisition gradient-echo (MPRAGE, repetition time (TR)/ echo time (TE) 2700/5ms, 176 sagittal slices with 1.3mm thickness) and echo planar images (EPI) during administration of the N-back task (276 EPI, TR/TE 2570/45ms, acquisition time 12min) were performed. For white matter lesion detection turbo spin-echo proton density (PD) and T2-weighted images (TR 3130ms, TE 24/85ms, 46 axial slices with 3mm thickness) were obtained. 3D-DIR images were acquired to detect cortical lesions (TR/TE 2350/35ms, 120 sagittal slices). For each subject, the whole brain volume (gray and white matter volume separately, corrected for head size) was measured using the MPRAGE images and SIENAX<sup>22</sup>. White matter lesions were marked and manually outlined on the PD-weighted images using a local-threshold technique. Cortical lesions were scored on the 3D-DIR images according to consensus guidelines<sup>23</sup>.

### *Visuo-spatial N-back task*

In the scanner, participants performed a visuo-spatial N-back working memory task with three increasing task loads (N1, N2 and N3) and a control condition (N0)<sup>24</sup>. In every trial (2.8 seconds) a yellow dot randomly appeared on the screen at the left, right, bottom or top of a blue diamond. The location on the diamond corresponded to four similar locations on an MRI-compatible response box (Current Designs, Inc., Philadelphia, Pennsylvania). During the N0 condition, participants were asked to

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3 respond immediately by pressing the corresponding button. During the Nx conditions  
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5 participants had to indicate *where* the yellow dot was one (N1), two (N2), or three  
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7 (N3) trials before, while simultaneously remembering the new locations as the task  
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9 continued. The task was programmed in E-Prime 1.22.0 (Psychology Software Tools,  
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11 Pittsburgh, Pennsylvania) using a block design including three blocks per condition  
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13 (20 trials per block; 60 trials total). The blocks were presented in order of increasing  
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15 difficulty (N0, N1, N2, N3). This loop was repeated three times. The main behavioral  
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17 outcome measures were the absolute number of accurate responses and the  
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19 reaction time for each task load.  
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### 27 *Functional MRI analyses*

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30 *Preprocessing:* All functional image analyses were performed using FSL 5.0.2  
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32 (FMRIB's Software Library, <http://www.fmrib.ox.ac.uk/fsl>). For each subject, all non-  
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34 brain tissue was removed from the images (BET) and motion correction (MCFLIRT)  
35  
36 was applied. The functional images were aligned to the subject's MPRAGE using  
37  
38 affine registration (FLIRT) through boundary-based registration and subsequently to  
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40 the MNI152 standard brain using non-linear registration (FNIRT, warp resolution:  
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42 10mm, 12 degrees of freedom).  
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50 *Brain activation:* For first-level FEAT analysis, high-pass filtering (230sec cut-off  
51  
52 period) was used and spatial smoothing was performed (full-width-at-half-maximum  
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54 Gaussian kernel of 5mm). We performed whole brain activation analysis and seed-  
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56 based connectivity analysis using a double-gamma hemodynamic response function  
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3 in context of the general linear model using a block design. Pre-threshold masking  
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5 was performed using a gray matter mask (MNI\_thr25\_2mm) in order to increase the  
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7 statistical power by reducing the number of voxels included in the analysis.  
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10 To calculate brain activation patterns, all task loads were contrasted with the control  
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12 condition (i.e. N1>N0; N2>N0; N3>N0) to find relevant areas involved in working  
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14 memory performance. This approach was chosen since there is no clear *a priori*  
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16 hypothesis about which degree of working memory engagement rTMS might affect  
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18 the most. This allows us to be highly sensitive to small, yet meaningful, changes in  
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20 response to rTMS in the different task loads.  
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25 To describe baseline differences between patients and controls, group-analysis was  
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27 carried out using an unpaired t-test. Next a within-subjects (patients or controls)  
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29 repeated measures design was used (paired tripled t-test) to investigate if there was  
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31 an effect of real-rTMS compared to baseline and sham-rTMS. Finally, the differences  
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33 in brain activation between patients and controls were investigated using a mixed  
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35 effect second level analyses (FLAME). These analyses were done for the three  
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37 different task loads (N1>N0, N2>N0, N3>N0).  
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44 *Brain connectivity:* Connectivity was calculated using a generalized  
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46 psychophysiological interaction (gPPI) model to identify voxels (whole-brain) that are  
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48 related to activation in a seed region in a given psychological context while  
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50 controlling for other task variables<sup>25</sup>. For each subject individually, a seed-region was  
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52 defined by computing a sphere of 6 mm around the baseline peak-voxel of task-  
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54 related activation in the right DLPFC in standard space. This was the same region  
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3 that was used for neuronavigation. The time series within this seed region served as  
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5 the physiological regressor. As psychological regressors we used the BOLD-  
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7 response of the three contrasts of the N-back task (N1>N0, N2>N0 and N3>N0),  
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9 resulting in three gPPI analyses per session. Subsequently, to perform a group  
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11 analysis, all the individual seed-regions of the right DLPFC were combined in one  
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13 common mask. Using this common mask, differences in connectivity between groups  
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15 and between sessions were calculated.  
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19 **All image analyses (activation and connectivity) were performed using a cluster**  
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21 **correction, which allows for a correction of multiple comparisons by taking into**  
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23 **account the activation and connectivity of associated voxels into clusters of voxels.**  
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25 **Differences between conditions within clusters were considered significant at  $P \leq 0.05$ .**  
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32 *Behavioral statistics:* Statistical analyses on demographic, clinical and behavioral  
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34 variables were performed using IBM Statistical Package for the Social Sciences  
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36 version 20.0. To study baseline differences between patients and HCs, unpaired t-  
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38 tests were performed when variables were normally distributed; otherwise the Mann-  
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40 Whitney U-test was used. As N-back accuracy measures **and reaction times** were not  
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42 normally distributed, non-parametric statistics (Friedman's ANOVA combined with the  
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44 Wilcoxon Signed Ranks Test) were performed. A *P*-value of <0.05 (two-tailed) was  
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46 considered as statistically significant.  
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## RESULTS

Nineteen MS patients and 11 HCs participated in this study. Two patients were excluded due to moderate and self-limiting adverse events (vasovagal syncope) during determining the RMT or during real-rTMS. These incidents were most likely facilitated by an hyperextension of the neck<sup>26</sup>. After adjusting the neck support, no further vasovagal syncope were observed.

Patients and controls did not significantly differ with regard to age, sex, handedness, premorbid IQ and educational level (Table 1). The parameters for rTMS (e.g. applied rTMS-intensities based on the RMT and the order of sham-rTMS and real-rTMS) did not differ between patients and controls (Table 2).

### *Neuropsychology*

The neuropsychological test battery revealed no differences between patients and HCs in any cognitive domain. None of the patients were clinically impaired in working memory. Patients differed significantly from HCs regarding anxiety and depression measures (Table 1). Important to note is that 14/17 patients had subclinical values in the HADS-D (below cut-off 11).

### *Structural MRI*

There were no differences between patients and HCs in normalized total brain, gray matter or white matter volume (Table 2). None of the patients had DIR-visible cortical lesions within the right DLPFC (compliant with the exclusion criteria).

**Table 1.** Demographical, clinical and cognitive data of healthy controls (HC) and MS patients (MS)

Demographical and clinical measures	HC (n=11)				MS (n=17)				P-value
Age (y)	42.3 (11.1)				43.3 (8.3)				.796
Sex (female/male) <sup>†</sup>	6/5				10/7				.826
Handedness (R/L) <sup>†</sup>	10/1				15/2				.619
Premorbid IQ	107.6 (8.3)				106.7 (11.1)				.821
Educational level <sup>†</sup>	6.0 (5.0-6.0)				6.0 (5.5-6.0)				.842
Disease type	-				13/4 (RRMS/SPMS)				-
Disease duration (y)	-				11.9y (6.8)				-
EDSS <sup>†</sup>	-				3.5 (1.5-4.5)				-
HADS-A	3.8 (1.6)				6.1 (3.4)				<b>.049*</b>
HADS-D	1.8 (2.1)				5.0 (4.0)				<b>.023*</b>
CIS-R	17.8 (11.5)				25.5 (11.7)				.098
<b>Working Memory</b>									
N-back accuracy	<b>N0<sup>†</sup></b>	<b>N1<sup>†</sup></b>	<b>N2<sup>†</sup></b>	<b>N3<sup>†</sup></b>	<b>N0<sup>†</sup></b>	<b>N1<sup>†</sup></b>	<b>N2<sup>†</sup></b>	<b>N3<sup>†</sup></b>	
Baseline	60	59	53	39	60	59	51	38	<i>ns</i>
	59-60	56-60	44-54	30-50	60-60	55.5-60	36-55.5	27-45	
Real-rTMS	60	59	54	46	60	60	54	44	<i>ns</i>
	59-60	56-60	44-58	34-56	60-60	56.5-60	50-58	33-51	
Sham-rTMS	60	59	58	45	60	58	53	44	<i>ns</i>
	59-60	58-60	50-60	42-48	58.5-60	53-59.5	43-57.5	33-47	
<b>N-back reaction time (ms)</b>	<b>N0<sup>†</sup></b>	<b>N1<sup>†</sup></b>	<b>N2<sup>†</sup></b>	<b>N3<sup>†</sup></b>	<b>N0<sup>†</sup></b>	<b>N1<sup>†</sup></b>	<b>N2<sup>†</sup></b>	<b>N3<sup>†</sup></b>	
Baseline	320	320	730	520	340	260	790	660	<i>ns</i>
	270-370	180-530	340-1084	440-900	320-430	220-610	510-950	550-920	
Real-rTMS	300	210	360	630	360	370	570	470	<i>ns</i>
	280-330	170-260	220-1030	450-840	310-460	250-630	280-960	450-560	
Sham-rTMS	300	200	540	470	330	260	480	550	<i>ns</i>
	270-350	160-610	200-670	430-560	290-440	200-400	290-840	450-770	

Demographical and clinical measures	HC (n=11)	MS (n=17)	P-value
Digit span			
Forward	10.3 (1.9)	9.4 (2.5)	.340
Backward <sup>†</sup>	8.0 (8.0-8.0)	7.0 (6.0-10.5)	.124
LNS	11.0 (1.3)	10.8 (2.4)	.767
<b>Processing speed - LDST</b>	63.8 (8.2)	56.2 (14.6)	.130
<b>Spatial memory - LLT<sup>†</sup></b>	14.0 (8.0-20.0)	16.0 (6.5-39.5)	.602
<b>Verbal memory and learning - VLGT</b>	61.9 (5.0)	57.2 (10.6)	.185
<b>Semantic memory</b>			
WLG-Animals	27.6 (4.6)	24.3 (7.2)	.198
WLG-Professions	20.6 (5.4)	19.0 (5.5)	.444
WLG-M-words	13.4 (3.3)	12.4 (5.6)	.617

Data are means (standard deviation) for normally distributed variables, variables indicated with <sup>†</sup> were not normally distributed and therefore medians (interquartile range) are provided, y = years; HADS: Hospital Anxiety and Depression Scale, A: anxiety, D: depression; CIS-8: Checklist individual strength. LNS: Letter-Number Sequencing; LDST: Letter Digit Substitution Task, total number of substitutions is provided; LLT: Location Learning Task, total number of displacements is provided; VLGT: verbal learning and memory task, total number of correct items is provided; WLG: Word List Generation. *P*-value of N-back accuracy: the overall accuracy of N1, N2 and N3 was compared between HCs and MS, the baseline condition (N0) was omitted. \*Significant differences were found between patients and HCs; ns = no significant differences between HCs and MS patients for the task loads separately (N0 HC versus N0 MS; N1 HC versus N1 MS; N2 HC versus N2 MS; N3 HC versus N3 MS).

**Table 2.** Structural MRI measures and rTMS-parameters of healthy controls (HC) and MS patients (MS)

Structural MRI measures	HC (n=11)		MS (n=17)		P-value	
	NBV in L <sup>a</sup>	1.45 (0.06)		1.44 (0.09)		.657
NGMV in L <sup>b</sup>	0.76 (0.06)		0.76 (0.06)		.948	
NWMV in L <sup>a</sup>	0.69 (0.02)		0.68 (0.03)		.301	
T2 lesion volume in mL <sup>†</sup>	-		4.24 (1.48-6.89)		-	
Number cortical lesions	-		5.82 (3.40)		-	
Cortical lesions in right DLPFC	-		0 (0)		-	
rTMS parameters	Real-rTMS	Sham-rTMS	Real-rTMS	Sham-rTMS		
First session (# of subjects)	6	5	9	8	-	
RMT (%)	47.2 ±7.1	48.8 ±7.1	53.2 ±7.7	53.7 ±7.6	.061	.139
rTMS intensity <sup>†</sup> (%)	54 44-62	39 33-45	59 55-63.5	44 40.5-46	.161	.083
Delay rTMS / MRI (min)	5.4 ±8.7	6.5 ±3.1	6.8 ±1.6	6.0 ±1.6	-	

Data are means (standard deviation), except for <sup>†</sup> where because of non-normal distribution median and interquartile range are provided. NBV: normalized brain volume; NGMV: normalized gray matter volume; NWMV: normalized white matter volume; NHV: normalized hippocampal volume, L: liters, mL: milliliters. RMT (resting motor threshold) and rTMS intensity are provided in % maximal stimulator power. The latency between the end of stimulation and the beginning of the MRI measurements is provided in minutes. *P*-value: significance of difference between HC and MS group; *P*-value regarding rTMS-parameters: left value: significance of difference between real-rTMS in HCs and real-rTMS in patients; right value: significance of difference between sham-rTMS in HCs and sham-rTMS in patients.

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3 **Cross-sectional baseline differences between patients and controls in N-back task**  
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5 *accuracy, task-related brain activation and connectivity*  
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8 MS patients and HCs had similar accuracy scores and reaction times on all task load  
9 levels at baseline (Table 1). The accuracy decreased with increasing task load in  
10 patients (N0 > N1:  $P=0.033$ ; N1 > N2:  $P<0.001$ ; N2 > N3:  $P<0.001$ ) and in HCs (N0 >  
11 N1:  $P=0.246$ ; N1 > N2:  $P=0.033$ ; N2 > N3:  $P=0.021$ ).  
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18 Regarding task-related activation, in both groups, and in all different task load  
19 conditions a robust effect of task was found for the bilateral fronto-parietal network  
20 (Figure 2A+B). At baseline, patients compared with HCs showed higher task-related  
21 activation in the left DLPFC (N2>N0) and right temporal pole (N3>N0, Table 3, Figure  
22 2C). No differences between the groups were detected on N1>N0. No differences in  
23 connectivity between the right DLPFC (stimulated area) and other areas in the brain  
24 were seen between patients and HCs at baseline.  
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38 **Effects of stimulation - Changes in N-back task accuracy and reaction time after**  
39 *rTMS*  
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42 **MS patients:** Improved N-back task accuracy after real-rTMS compared to baseline  
43 was observed during the 2-back ( $P=0.029$ ) and 3-back task loads ( $P=0.015$ ), which  
44 was not seen after sham-rTMS compared to baseline ( $P=0.312$  and  $P=0.170$  for 2-  
45 back and 3-back respectively). The difference in accuracy after real-rTMS compared  
46 to sham-rTMS in patients did not reach statistical significance ( $P=0.077$ ).  
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3 Reaction times did overall not differ between the conditions, except for a significant  
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5 faster reaction time after real-rTMS compared to baseline during the 3-back condition  
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7 ( $P=0.016$ ).  
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10 **HCs:** No change in N-back task accuracy was found between real-rTMS and  
11  
12 baseline or between real-rTMS and sham-rTMS. On the 2-back task load, controls  
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14 did improve after sham-rTMS compared to baseline ( $P=0.023$ ).  
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18 There were no significant differences in reaction time observed for the different  
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20 conditions.  
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### 23 24 25 26 27 *Changes in task-related brain activation after rTMS*

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29 **MS patients:** Compared to baseline, brain activation increased in parietal and  
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31 occipital regions after real-rTMS and after sham-rTMS in all three task load  
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33 conditions. During the N3>N0, after sham-rTMS compared to baseline, increased  
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35 activation was additionally found in the left insular region. No areas showed  
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37 decreased activation after real-rTMS and after sham-rTMS compared to baseline. No  
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39 differences in activation after real-rTMS compared to sham-rTMS were found ( Table  
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41 3).  
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46 **HCs:** Compared to baseline, increased activation in multiple brain areas was seen  
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48 after real-rTMS (in all three task load conditions) and after sham-rTMS (only in the  
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50 N3 task load, see Table 3); Table 3 additionally provides the areas of significantly  
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52 different activation after real-rTMS compared to sham-rTMS in HCs.  
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3 **MS patients versus HCs:** After real-rTMS, patients showed higher activation of the  
4 left inferior parietal lobule compared to HCs during N1>N0; no differences in frontal  
5 activation were detected between the two groups. After sham-rTMS, higher activation  
6 during N2>N0 in patients was detected in the left superior frontal gyrus and parietal  
7 regions compared to HCs (data not shown).  
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18 *Effects of stimulation - Changes in **connectivity of right DLPFC** after rTMS*  
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21 **MS patients:** No connectivity differences were detected between baseline and real-  
22 rTMS or between baseline and sham-rTMS. After real-rTMS compared to sham-  
23 rTMS, increased connectivity was detected between right DLPFC (stimulated area,  
24 seed region) and the head of the right caudate, bilateral paracingulate gyri, left  
25 anterior cingulate gyrus and frontal pole (N1>N0, Table 3, Figure 3A+B).  
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33 **HCs:** No connectivity differences were detected between baseline and real-rTMS or  
34 between baseline and sham-rTMS. Also no differences between real-rTMS and  
35 sham-rTMS were observed.  
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41 **MS patients versus HCs:** No differences in functional connectivity were detected  
42 between patients and controls.  
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49 *Functional connectivity – the effect of task load*  
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52 Post hoc, the results from the gPPI analysis were further investigated. We zoomed in  
53 on the significant differences in functional connectivity after real-rTMS compared with  
54 sham stimulation, i.e. within the cluster-corrected difference mask (real vs. sham  
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3 condition; contrast  $N1>N0$ , Figure 3A+B). We extracted the mean connectivity  
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5 parameter estimates for  $N1>N0$ ,  $N2>N0$  and  $N3>N0$  from each individual first level  
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7 FEAT with featquery. rTMS-induced changes were defined as differences, seen after  
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9 real-rTMS but not after sham-rTMS, both compared to baseline. Additionally, we  
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11 contrasted the real and the sham condition.  
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15 This post-hoc analysis revealed that patients' connectivity parameter estimates of the  
16  
17 right DLPFC augmented with increasing task load in all sessions. Furthermore,  
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19 connectivity after real-rTMS was significantly higher than after sham-rTMS at all task  
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21 loads ( $N1>N0$ :  $P<0.001$ ,  $N2>N0$ :  $P=0.001$ ,  $N3>N0$ :  $P=0.003$ , Figure 3D).  
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25 In healthy controls no differences in connectivity were detected and therefore this  
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27 post-hoc analysis could only be performed in the patient group.  
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Table 3. Changes in brain activation and brain connectivity after real-rTMS and sham-rTMS

				Number of clusters	Cluster-size	Zmax	x	y	z	Location of peak voxel
Activation	MS	Real > Baseline	N1>N0	1	331	3.55	-10	-76	12	Intracalcarine cortex L
				2	291	3.67	24	-86	40	Lateral occipital cortex R
		N2>N0	1	1631	4.33	-12	-74	10	Intracalcarine cortex L	
		N3>N0	1	211	3.87	40	-68	30	Lateral occipital cortex R	
		Sham > Baseline	N1>N0	1	531	4.0	14	-56	12	Precuneus R
			2	342	3.71	-36	6	14	Operculum L	
	N2>N0		1	244	3.67	16	-76	30	Cuneus R	
			2	229	3.76	2	6	46	Paracingulate gyrus R	
	N3>N0		1	555	3.70	26	-72	32	Lateral occipital cortex R	
				2	259	3.83	4	4	48	Paracingulate gyrus R
			3	239	3.29	36	22	12	Operculum R	
		Real > Sham	No significant differences in N1 > N0; N2 > N0 and N3 > N0							
Activation	HC	Real > Baseline	N1>N0	1	594	3.44	-6	-62	30	Precuneus L
				2	393	4.05	-24	30	-14	Frontal orbital cortex L

		3	214	3.86	2	36	-10	Paracingulate gyrus R
	<b>N2&gt;N0</b>	1	691	4.06	2	32	-16	Frontal medial cortex R
		2	450	3.72	2	-78	36	Cuneus R
		3	216	3.04	16	-86	30	Lateral occipital cortex R
		4	188	3.81	36	-94	-6	Occipital lobe R
		5	171	3.56	-52	-26	14	Parietal operculum L
	<b>N3&gt;N0</b>	1	366	3.51	16	-60	28	Precuneus R
<b>Sham &gt; Baseline</b>		<i>No significant differences for N1 &gt; N0 and for N2 &gt; N0</i>						
	<b>N3&gt;N0</b>	1	800	3.56	4	-68	40	Precuneus R
		2	203	3.70	26	16	-32	Temporal pole R
<b>Real&gt;Sham</b>		<i>No significant differences</i>						
	<b>N1&gt;N0</b>							
	<b>N2&gt;N0</b>	1	179	4.01	-56	-28	-8	Middle temporal gyrus L
		2	251	3.60	22	-100	-8	Occipital lobe R
		3	248	3.59	-6	30	-16	Anterior cingulate cortex L
		4	191	3.48	-18	-100	-12	Occipital lobe L
<b>N3&gt;N0</b>		<i>No significant differences</i>						
<b>Real&lt;Sham</b>		<i>No significant differences for N1 &gt; N0 and for N2 &gt; N0</i>						
	<b>N3&gt;N0</b>	1	190	3.93	56	42	0	Inferior frontal gyrus R

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<b>Connectivity</b>	<b>MS</b>	<b>Real &gt; Baseline</b>	<i>No significant differences for N1 &gt; N0; N2 &gt; N0 and N3 &gt; N0</i>							
		<b>Sham &gt; Baseline</b>	<i>No significant differences for N1 &gt; N0; N2 &gt; N0 and N3 &gt; N0</i>							
		<b>Real&gt;Sham</b>	<b>N1&gt;N0</b>	1	5925	3.78	9	12	6	Head of caudate nucleus R, putamen Anterior cingulate L, Paracingulate gyrus L and R, Frontal pole L
				<i>No significant differences for N2 &gt; N0 and for N3 &gt; N0</i>						
<b>Connectivity</b>	<b>HC</b>	<b>Real &gt; Baseline</b>	<i>No significant differences for N1 &gt; N0; N2 &gt; N0 and N3 &gt; N0</i>							
		<b>Sham &gt; Baseline</b>	<i>No significant differences for N1 &gt; N0; N2 &gt; N0 and N3 &gt; N0</i>							
		<b>Real &gt; Sham</b>	<i>No significant differences for N1 &gt; N0; N2 &gt; N0 and N3 &gt; N0</i>							

Cluster: the number of significant clusters that were detected within a certain contrast; Clustersize in number of voxels; Zmax: maximal z-value of the cluster; x, y, z: MNI-space coordinates of the Zmax. All results for activation and connectivity are cluster-corrected ( $P<0.05$ ).

## DISCUSSION

In this study we investigated the effects of single-session cortical excitability-enhancing rTMS on working memory performance, task-related brain activation, and task-related brain connectivity in MS patients.

### *Baseline differences between patients and controls*

Patients that participated in this study were cognitively preserved (as measured using neuropsychological testing) and showed no differences in working memory accuracy (N-back task) compared to HCs. Additionally, no differences in functional connectivity from the right DLPFC with the rest of the brain during a working memory task were detected. However, compared to HCs, patients showed *higher task-related brain activation* at baseline in the left DLPFC (N2>N0) and the right temporal area (N3>N0) during the working memory task, suggestive of functional reorganization. The higher activation is hypothesized to 'compensate' for possible (subclinical) cognitive problems, a finding that has been reported in the previous literature<sup>5-7</sup>.

### *N-back task accuracy and reaction time after rTMS*

Compared to baseline, we found a slight improvement in N-back accuracy and reaction time in patients after real-rTMS, but not after sham-rTMS. This finding is promising, especially, as it is known that behavioral and neural effects of rTMS may increase more by applying multiple stimulation sessions<sup>19</sup>. In the current study, patients' accuracy after real-rTMS was not significantly different from the accuracy after sham-rTMS ( $P=0.077$ ). We speculate that multiple stimulation sessions combined with an increased sample size might render this difference significant.

### *Changes in task-related brain activation after rTMS*

Both patients and controls showed increased overall brain activation after real- and sham-rTMS compared to baseline. Interestingly, after real-rTMS (compared to baseline), the higher frontal activation (relative to HCs at baseline) disappeared in the patient group, resulting in similar brain activation patterns compared to the HCs. Concomitantly, improved accuracy and reaction time on the working memory task after real-rTMS was measured in patients only. This finding hints towards the hypothesis that any change in brain activation from the healthy control situation is unfavorable for the patients' functioning<sup>27, 28</sup>.

### *Changes in task-related brain connectivity after rTMS*

Increased functional connectivity during the task was detected between the right DLPFC (stimulated area), the right caudate nucleus, the bilateral paracingulate gyri, the left anterior cingulate and the frontal pole in patients with MS. We speculate that this increase in frontal connectivity after real-rTMS (compared to sham-rTMS) in MS patients may be linked to the normalization of frontal activation that becomes more identical to that of the healthy control subjects at baseline. In other words, the frontal changes seen after real-rTMS (decreased brain activation and increased functional connectivity) might reflect an improvement of frontal processing efficiency during a working memory task. Previous research in HCs showed that functional connectivity (during working memory) in the frontal gyrus correlates negatively with task-related activation and positively with working memory performance<sup>29</sup>. All brain regions that show increased connectivity after real-rTMS are known to be important for performing spatial working memory tasks<sup>30-32</sup>. Additional support comes from several studies on working memory, indicating that decreased frontal functional connectivity

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3 (compared to controls) is detrimental for the performance in patients with  
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5 neurodegenerative disease<sup>33</sup> or showing that differences, i.e. higher and occasionally  
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7 also lower functional connectivity (compared to controls) are associated with  
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9 preserved cognition in MS<sup>10, 34</sup>.  
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#### 11 12 13 14 *Different response to rTMS of patients and controls*

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16 Patients and controls responded differently to real-rTMS. Consistent with a similar  
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18 rTMS-study in HCs<sup>11</sup>, the HC group did not improve in N-back accuracy after real-  
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20 rTMS while patients did. Inconsistently with previous research<sup>11</sup> our HCs did not  
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22 improve on reaction time after rTMS. This difference might best be explained by the  
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24 differences in stimulation protocol (intensity, duration).  
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28 Also, in HCs no rTMS effects were seen on connectivity while there was evidence for  
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30 rTMS effects in patients. We can rule out that a training effect caused these  
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32 differences as the order of sham and real stimulation was counter-balanced for both  
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34 the patients and HCs. Patients with MS had higher scores on depression compared  
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36 to HCs, which is inherent to the disease. However, HADS-scores in 14 patients did  
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38 not exceed the clinically relevant cut-off of 11, suggesting a limited influence of  
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40 depressive symptoms on the outcome. For these reasons, we assume that disease-  
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42 specific differences such as the patients' higher activation at baseline might account  
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44 for the different effects of rTMS on activation, connectivity and N-back accuracy in  
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46 patients and HCs rather than any other difference.  
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#### 49 50 51 *Future perspectives*

52  
53 One of the main limitations of this study is the small sample size resulting in possible  
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55 underestimations of rTMS-induced changes in brain activation patterns and  
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connectivity measures; overestimations are less likely due to conservative cluster correction. In patients differences in task-related brain activation and changes in task-accuracy were found between baseline and real-rTMS, which ideally would also be seen between sham-rTMS and real-rTMS. However, differences between sham-rTMS and real-rTMS did not reach statistical significance in the current study. On the contrary, in patients differences in connectivity were only seen between real-rTMS and sham-rTMS and not between the two stimulation protocols and baseline.

Due to our stringent safety measures for applying rTMS, patients with extensive gray matter pathology were excluded from participation to prevent epileptic seizures. This left us with cognitively preserved MS patients. While this group is particularly of interest to study potential cognitive rehabilitation strategies to prevent future cognitive deterioration, it would be highly interesting to study the effects of rTMS in a group of patients with MS that do have overt cognitive problems and to investigate the value of rTMS in treating cognitive deficits in MS as well.

Finally, this study needs to be replicated in a larger sample and should strive to enhance the rTMS effects by performing multiple stimulation sessions<sup>35</sup>. For clinical implications, it might be relevant to explore whether less conservative exclusion criteria are sufficiently safe, enabling inclusion of more severely impaired patients.

## Conclusions

This is, to our knowledge, the first study on the effects of rTMS on cognitive performance in MS. Real-TMS resulted in decreased task-related activation in the left DLPFC, increased functional connectivity between the stimulated right DLPFC and other task-relevant areas, together with an improvement in working memory accuracy in patients with MS. With caution, we interpret these findings as an rTMS-induced

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3 increase in processing efficiency in MS patients. This implicates a potential role for  
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5 rTMS in cognitive rehabilitation in MS.  
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## FIGURE LEGENDS

### **Figure 1.** Flow chart of the experimental design

Each participant underwent three sessions (baseline, real-rTMS and sham-rTMS). There was a minimum washout period of two weeks between session 2 and session 3. At baseline, structural MRI scans (T1, T2, proton-density, DIR) and functional MRI (visuo-spatial N-back task) were obtained. At baseline, the highest activation (peak-voxel) in the right DLPFC was determined during the N-back task (N123>N0). This was done for every participant individually and served as the target for neuronavigation to perform real-rTMS. The order of real and sham stimulation was randomized across participants to control for order effects.

### **Figure 2.** BOLD activation during N-back task at N2>N0 at baseline

Besides typical brain activation patterns in MS patients and controls during the N-back task at baseline, we found frontally higher brain activations in MS patients as compared to controls. A+B: Mean activation in MS patients (A) and HCs (B) at baseline show the expected fronto-parietal activation with a right-sided preponderance. C: Higher brain activation in MS patients as compared to controls at baseline (cluster-corrected ( $Z=2.3$ ),  $P<0.05$ ). The left DLPFC shows higher activation in MS patients than in controls during N-back task performance. R indicates right side, images are shown using radiological convention.

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5 **Figure 3.** Higher connectivity with right DLPFC in MS patients after real-rTMS  
6 compared to sham-rTMS  
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11 A+B: The head of the left caudate nucleus, bilateral paracingulate gyri, left anterior  
12 cingulate gyrus and frontal pole were more strongly connected with the stimulated  
13 area after real-rTMS compared to sham-rTMS (at N1>N0, cluster-corrected,  $P<0.05$ ).  
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17 C: stimulated area (right DLPFC, combined mask of 6 mm kernel around the baseline  
18 activation-peaks in the right DLPFC (N123>N0 contrast) of every subject). D:  
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21 Parameter estimates (PE) of the area with increased connectivity from the stimulated  
22 area after real-rTMS (seen in A+B). Connectivity after real-rTMS was significantly  
23 higher than after sham-rTMS at all task loads (N1>N0:  $P<0.001$ , N2>N0:  $P=0.001$ ,  
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N3>N0:  $P=0.003$ , paired tripled T-test) and connectivity increases with task load: at  
N3>N0 PE was higher than at N2>N0 and N1>N0 ( $P=0.012$  and  $P=0.003$ ,  
respectively, all sessions together, paired tripled T-test). R indicates right side,  
images are shown using radiological convention.

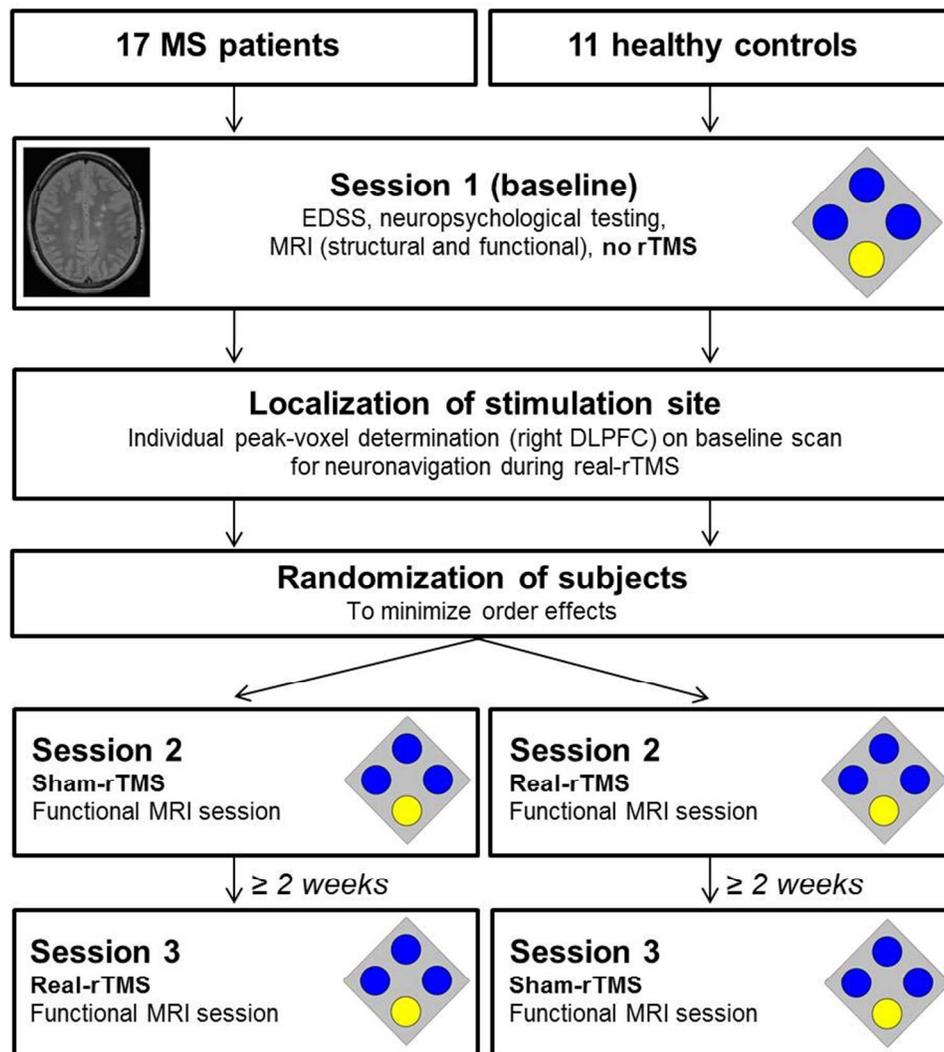


Figure 1  
Figure 1  
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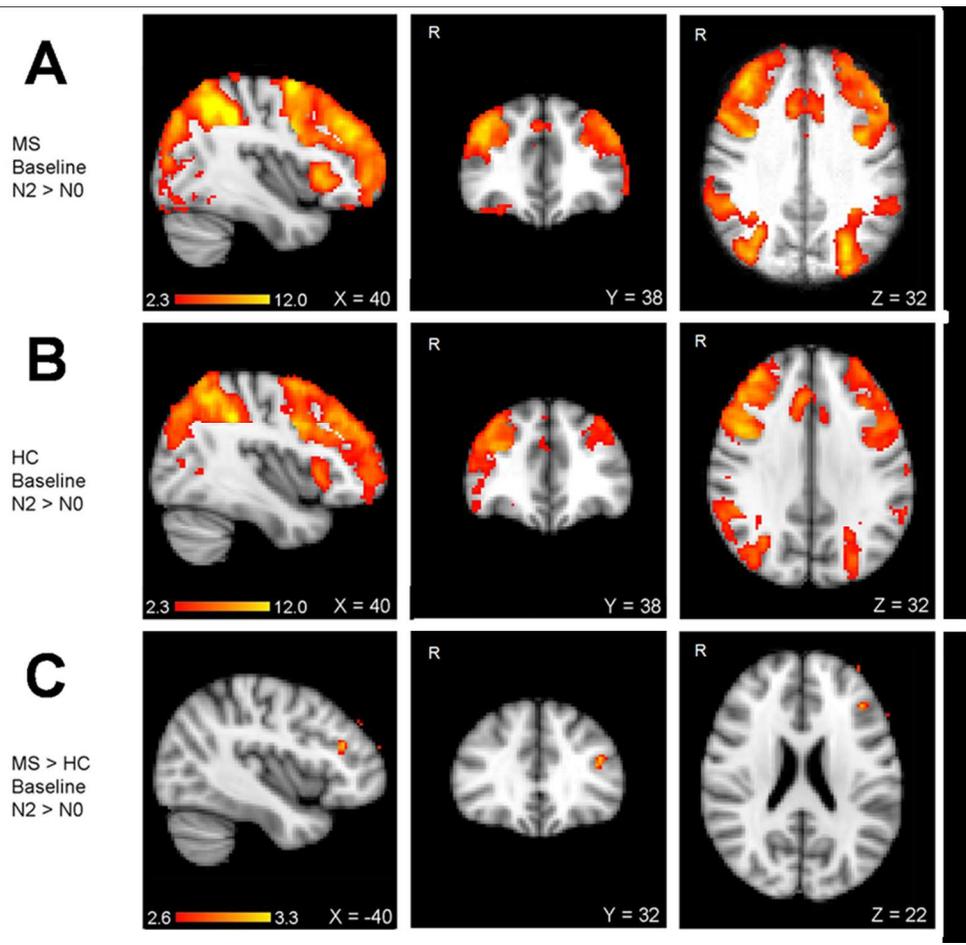


Figure 2  
Figure 2  
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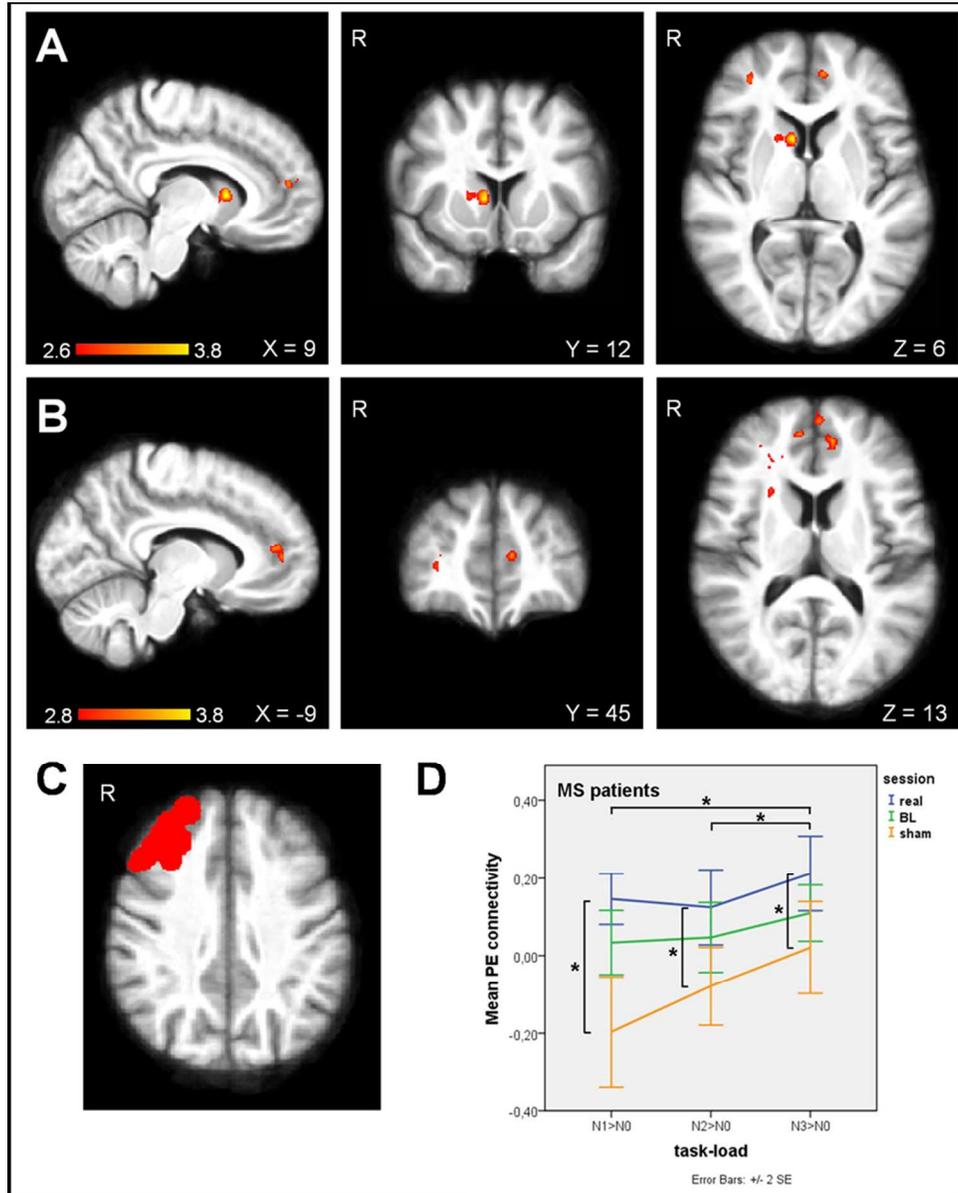


Figure 3  
Figure 3  
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