# Reduction of Noise Spikes in Touch Screen Systems by Low Pass Spatial Filtering

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Abstract—This paper reports on a low-pass spatial filtering technique for reduction of noise spikes in capacitive touch screen panels. Filter bandwidth is adjusted by dynamically evaluating attenuation of signal and noise spikes. Based on the experimental results, we boost the signal-to-noise ratio by 15.6 dB and attenuate noise spikes by 19.25 dB. The processed signal yields higher detection accuracy and lower power consumption.

*Index Terms*—Capacitance touch screen panels (TSPs), low-pass spatial filtering, noise spike reduction and signal attenuation.

#### I. INTRODUCTION

OUCH based human-machine interactivity has become a ubiquitous technology used in displays [1], [2]. Among different sensing techniques, capacitive touch screen panels (TSPs) are widely used in mobile devices, where high touch accuracy is a fundamental requirement for good user experience. Besides, the power consumption is another key parameter for long battery life time. A factor directly associated with these two parameters is the noise, which adversely affects the detection accuracy hereby increasing power consumption to reach/maintain a desired performance. Hence, the noise reduction is one of the main considerations in TSP design. Many noise reduction techniques are presented [3]-[10]. In this context, noise refers to any unwanted signals that may lead to detection errors. Noise conventionally includes deterministic (e.g., display noise) and stochastic (e.g., thermal noise) components. Traditionally offset on a single electrode is not considered as this can be cancelled by deducting a dc value. However, in capacitance TSPs, the global multi-valued offset gives rise to potential errors [11], which ought to be accounted for as a noise component. In our previous work [11], the global multi-valued offset is removed along with the common-mode noise by correlated double sampling (CDS) [12]–[14]. However, when the correlation between the touch frames and the noise reference frame becomes weak, noise spikes still remain, giving rise to detection errors. By analyzing the characteristics of the touch signal and noise spikes, we learn that the touch signal is normally of a low spatial frequency compared to the surrounding noise spikes [15]–[35]. Therefore spatial low-pass spatial filters can be used for the reduction of

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noise spikes. As depicted in Fig. 1, the noise spike is averaged by adjacent pixel values. However, the touch signal is reduced by the low pass spatial filtering as well, called smoothing effect, which may decrease signal-to-noise ratio (SNR), depending on the bandwidth and the mask size of the spatial filter. The induced smoothing effect may also result in signal distortion in terms of touch presence and position, leading to detection errors, thus requiring further research.

In this paper, we investigate the induced smoothing effect on touch detection. In particular, we analyze the following aspects: SNR, signal distortion (in terms of the change of touch position and the attenuation) and noise spike attenuation. The optimization of the above parameters can be achieved by tuning the mask size and bandwidth of the spatial filter. Based on the analysis, we present an adaptive bandwidth tuning algorithm for the dynamic optimization of spatial filter when the signal and noise conditions are changed. In the algorithm, we evaluate the attenuation of the signal and noise spike, and select a suitable bandwidth to maintain the desired performance.

This paper is structured as follows. Section II covers a review of the main noise sources and low pass spatial filters for TSPs. A theoretical analysis of the relationship between the mask size and registered touch position is provided in Section III. Section IV summarizes the parameters of the test bed and describes the low pass spatial filtering based adaptive bandwidth tuning algorithm. Section V contains experimental/simulation results and discussions. Finally, conclusions presented in Section VI.

# II. THE MAIN NOISE SOURCES IN CAPACITIVE TSPS AND SPATIAL LOW PASS FILTERS

# A. Charger Noise

Ideally, the output from a chargerwould beconstant. However, in reality, the noise produced gives rise to common-mode fluctuation of the output, as shown in Fig. 2. An outstanding charger design can restrict common-mode noise below 3 V, whereas a poorly designed charger can sometimes introduce peak common-mode noise higher than 40V [36]. ATSP can work smoothlywith common-mode noise when it is not being touched, as the difference of the charger output is maintained. There are two scenarios for touch events: the machine may be held by a hand, or placed on a table. In the former case, the machine may be sufficiently grounded to the earth thus no crucial common-mode noise charge escapes to the earth [36]. However, if the TSP is placed on a table, the touch panel and human body don't share the same ground, leading to potential touch mis-registrations.

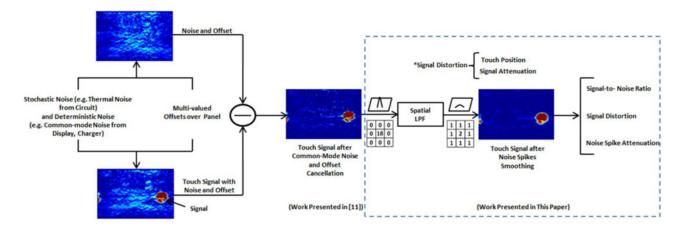


Fig. 1. Noise in touch screen systems; the CDS based technique for common-mode noise and global multi-valued offset cancellation; noise spikes attenuation by a spatial LPF and the corresponding smoothing effect.

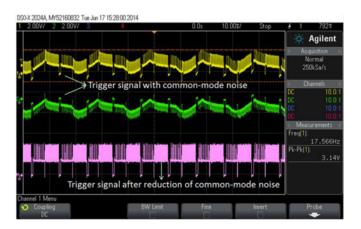


Fig. 2. The yellow signal (top) and the green signal (middle) are the trigger signals with common-mode noise introduced from the charger. The pink signal (bottom) is the trigger signal after common-mode noise reduction.



Fig. 4. LCD noise from the Dell e198wfp monitor (copper strip size was  $3 \text{ mm} \times 3 \text{ mm}$ ).

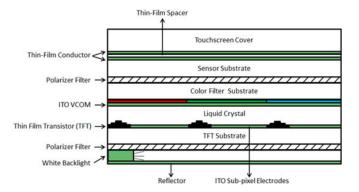


Fig. 3. Typical stack-up of a LCD pixel, modified from [37].

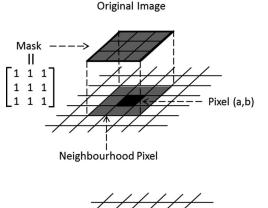
### B. Display Panel Noise

Mainstream displays in today's portable digital assistants (PDAs) are active-matrix organic light-emitting diode [37], [38] and liquid crystal [39] architectures. The former one possesses excellent viewing quality and less noise for touch screen sensors. However it requires higher cost, hence, the popularity of LCDs is maintained. Fig. 3 depicts a typical stack-up of an LCD, showing that two capacitors are formed. The first is constituted

of the sub-pixel electrode and the  $V_{\rm COM}$  layer, and shields the display noise since the  $V_{\rm COM}$  layer (e.g., made from ITO) has considerable resistance. The second one is constituted of the  $V_{\rm COM}$  layer and the sensing electrode, and couples the LCD noise to touch sensors. The coupled noise can be measured by detecting the voltage in a copper strip stacked directly on the LCD screen. The LCD noise from a commercial screen (Dell e198wfp) was measured using an oscilloscope (Agilent DSO-X 2024A). The area of the copper strip was 3 mm  $\times$  3 mm, which is in the same vein as that of a sensing electrode. Fig. 4 shows that the LCD noise involves a strong deterministic component, which mainly depends on the design of the product and does not significantly change after the product is fabricated [36]. Several methods are widely used to attenuate display noise: shielding, use of a different sensing frequency, and analog/digital filters.

# C. Low-Pass Spatial Filters and Corresponding Effect on Noise Reduction

Spatial LPFs are divided into two categories: linear (e.g., average filter) and non-linear types (e.g., median filter) [40]–[44]. A mask/kernel with size  $m \times n$  (m and n are normally odd



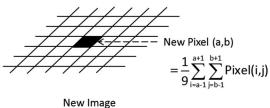


Fig. 5. Algorithm description of a spatially based average low-pass filter.

positive integers to ensure that there is only one pixel in the center of the mask) is employed in the spatial filter, using the same or different coefficients to control the bandwidth. Each pixel in the original image is computed along with its neighboring pixels, and the outcome is produced in a new image at the same position.

Both linear and non-linear structures offer benefits and draw-backs to noise spikes reduction for different types of touch based interactivities (e.g., finger touch). For example, good noise spike attenuation is offered by non-linear LPFs, which unfortunately may remove a stylus touch completely and cannot effectively remove the noise on the electrode. Thus, linear filters (average and Gaussian filter) are employed and analyzed in this paper. An example of an average filter is described in Fig. 5, whose mask size is  $3\times 3$  and the coefficients equal to 1. Each pixel is added to the surrounding 8 pixels. The sum is then divided by the scaling constant 9 to generate a new pixel value.

In practice, this algorithm can be implemented by constructing an intermediate image in which each pixel contains the sum of 3 pixels in the x-direction. The final filtered image is obtained by performing the same process in the y-direction on the intermediate image, and then dividing by the scaling constant 9. This process is equally valid for Gaussian-weighted filters. This is especially useful for higher resolution devices, which would require larger masks than  $3 \times 3$ , as this implementation scales linearly with mask size rather than quadratically.

The presented algorithm in this paper focuses on smoothing noise spikes to boost SNR while maintaining a desired signal strength level in order to avoid detection errors.

#### III. THEORETICAL ANALYSIS

# A. Mask Size and Touch Position

To avoid the mis-registration at a wrong position and the interference from other touches, the mask size of the average filter is determined to be  $3 \times 3$ . This is because the touch event

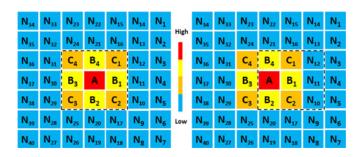


Fig. 6. Conceptual top-view of a finger touch on a mutual row-and-column capacitance TSP. A,  $B_1$  to  $B_4$ ,  $C_1$ , to  $C_4$  and  $N_1$  to  $N_4$ 0 indicate the values over the electrodes intersections. The average spatial filter is applied to the touch position pixel A (left) and the adjacent pixel  $B_1$  (right).

is limited in a certain region (e.g.,  $3 \times 3$  electrodes for a finger touch). If a largethe mask size is used, noise in the non-touch area weakens the strength of the touch signal, resulting in a high probability of touch mis-registration. Below we mathematically analyze the relationship between the mask sizes and touch position registration. Fig. 6 shows that a perpendicular finger touch occurring in the red region only affects the adjacent 8 pixels (yellow and green regions). A represents the value at the touch point,  $B_1$  to  $B_4$  and  $C_1$  to  $C_4$  are the adjacent region values, and  $N_1$  to  $N_{40}$  indicate the surrounding noise values. When a  $3 \times 3$  average filter is applied, the output at the same position in the new image is

$$A' = (A + B_1 + B_2 + B_3 + B_4 + C_1 + C_2 + C_3 + C_4)/9.$$
(1)

If we assume that pixels at equal distance from the touch point have similar values, then (1) is simplified as

$$A' = (A + 4B_1 + 4C_1)/9. (2)$$

In (2),  $B_1$  and  $C_1$  can be represented by A with scaling down factors (b and c), expressed as (3)

$$B_1 = A + /b, C_1 = A + /c, (b > 1, c > 1)$$
 (3)

thus A' is expressed as (4)

$$A' = (A + 4A/b + 4A/c)/9 = A(bc + 4b + 4c)/9bc.$$
 (4)

If the registered touch position in the new image is shifted, this most likely happens within the yellow regions which are geographically closest to the red. The yellow region with value  $B_1$  is analyzed to explain when the mis-registration in terms of position takes place. The filtered value  $B_1'$  is expressed as (5)

$$B_1' = (A + B_1 + B_2 + B_4 + C_1 + C_2 + N_{10} + N_{11} + N_{12})/9$$

$$\approx (A + 3B_1 + 2C_1 + 3N_{11})/9$$

$$= (A(bc + 3c + 2b) + 3bcN_{11})/9bc.$$
(5)

To ensure that  $A' > B'_1$ , the following condition must be satisfied:

$$\frac{A}{N_{11}} > \frac{3bc}{2b+c}.\tag{6}$$

TABLE I	
PARAMETERS OF TEST B	ED

Parameter	Unit	Value
Diagonal	Inch	10.1
Aspect Ratio	None	16/9
Excitation Voltage	Volt	10
Display Pixel Size	(Micrometer) <sup>2</sup>	$56 \times 56$
TX Electrode Size	Millimeter	3
RX Electrode Size	Micrometer	449
Refreshing rate	Hertz	60
Sensing Array Size	(Millimeter) <sup>2</sup>	$3 \times 3$
Sensing Array Spacing	Millimeter	2

<sup>\*</sup>Note: TX and RX represent transmitter and receiver respectively.

Normally  $N_{11}$  is about two orders smaller than A; and b and c lie between 1 to 3. Hence, the condition expressed in Eq. 6 is satisfied. When the mask size increases to  $5 \times 5$ , the condition of  $A' > B'_1$  is completely determined by the surrounding noise values, resulting in a high probability of mis-registration.

#### B. Spatial Frequency Properties of Signal and Noise Spikes

Touch signal is normally low spatial frequency compared to the noise spikes. This is the fundamental assumption of low pass spatial filtering technique. However, in some cases the touch signal can offer high spatial frequency as well. For example, only one electrode may be affected when a stylus touch is applied. In contrast, when a noise spike happens within the touch region, it may have low spatial frequency property. The low pass spatial filtering cannot remove noise spikes and may result in the decrement of SNR when the spatial frequencies of touch signal and noise spikes are very close or overlapped. A possible solution of this is the time domain low pass filtering at pixel level.

In this paper, finger touch is used and analyzed, as this is the most preferred touch activity for PDAs. Finger touch is of low spatial frequency, and the bandwidth relies on the contact area, which is highly individual dependent. Even for the same user, the touch property can be changed when different applications are used. Hence, the filter bandwidth should be dynamically adjusted to keep the desired touch information while maximally reducing noise spikes.

#### IV. EXPERIMENTAL TEST BED AND ALGORITHM DESCRIPTION

The experiments were carried out on an  $80 \times 80$  TSP, and the details are provided in Table I.

To reduce the noise spikes in the frame after CDS [11], a spatial LPF with an initial bandwidth is used. Since the touch and noise properties are dynamically changed, the bandwidth of the spatial LPF is required to be adjusted in order to optimize the performance. As described in Fig. 7, the frame after CDS (denoted as  $f_{\rm CDS}$ ) is send to the spatial LPF for the noise spikes reduction. Then the filtered frame (denoted as  $f_{\rm LPF}$ ) enters into the touch decision function to determine if a touch happens or not. If a touch is registered, then the frame (denoted as  $f_{\rm Touch}$ ) is evaluated in terms of the signal and noise spike attenuation by the bandwidth decision function, to analyze if the bandwidth of the spatial LPF needs to be changed. If no touch is regis-

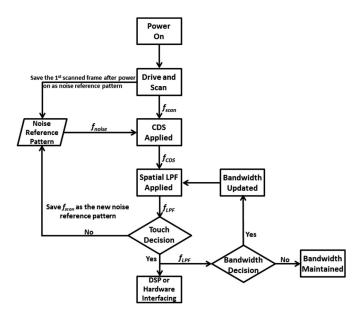


Fig. 7. Flowchart of the CDS and spatial LPF based noise reduction algorithm.

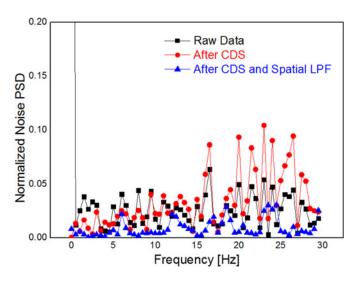


Fig. 8. Normalized PSD plots of the original output, CDS output with sampling frequency at 60 Hz and spatial average LPF output with mask size of  $3 \times 3$ .

tered, the scanned frame ( $f_{\rm scan}$ ) is updated as a new reference noise pattern, which will be used by CDS algorithm, as shown in Fig. 7.

# V. RESULTS AND DISCUSSION

To evaluate the smoothing effect, three factors are considered here: SNR, signal and noise attenuation. The normalized output (no touch event) PSD plots (see Fig. 8) are used to analyze the noise behavior after the average LPF. It can be observed that the high frequency noise generated by the CDS is suppressed. The SNR is boosted by 15.6 dB. The signal and noise spike are attenuated by 4.51 and 19.25 dB respectively. The attenuation of the signal strength is undesirable, thus different coefficients are used for the bandwidth adjustment to retain more signal

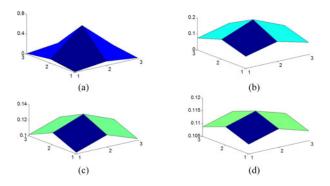


Fig. 9. Four Gaussian distribution based masks with different bandwidths (represented by standard deviations). (a)  $\sigma=0.5$ ; (b)  $\sigma=1$ ; (c)  $\sigma=2$ ; (d)  $\sigma=4$ .

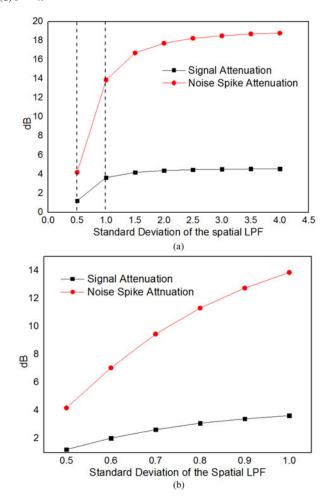


Fig. 10. Results of signal and noise spike attenuation by applying spatial LPFs with different standard deviations. (b) is the dashed part of (a).

information. The coefficients of the filter mask follow Gaussian distribution with different standard deviations  $(\sigma)$ , representing various bandwidths of the LPF. Four Gaussian distribution based filter masks are illustrated in Fig. 9. Here small standard deviation indicates that more information about the pixel itself is maintained. In contrast, large standard deviation implies that the pixel is strongly affected by the adjacent neighborhoods. The simulation results of applying spatial filter with different bandwidths are illustrated in Fig. 10. Due to the spatial high

frequency property, noise spike suffers severer attenuation compared to the touch signal. From Fig. 10(a), the signal and noise spike are attenuated dramatically when  $\sigma$  is within the range of 0.5 to 1. After  $\sigma>1.5$ , the trends of attenuation are reaching saturation. Thus the range of  $0.5<\sigma<1$  is further investigated, and the results are illustrated in Fig. 10(b). By evaluating the signal and noise spike attenuation dynamically, the filter bandwidth is updated. For example, if the accepted signal attenuation is 2 dB, then the initial Gaussian distribution based mask with standard deviation at 0.6 would be used to maximally attenuate the noise spike. Later, when the signal attenuation is found to be 1 dB, then a bigger standard deviation can be selected to further smooth noise spikes and boost SNR.

It is also important to investigate the computational time and energy consumption of the presented algorithm. Our system's scanning rate is 60 Hz, thus the computational time of the algorithm should be much lower than 16.7 ms. The required computational time depends on the complexity of the algorithm and the performance of the processor. The complexity of the spatially based algorithms used in this research is around O(3N), where N is equal to 6400. Current commercial processors in mobile phones can operate in the range of GHz. Hence, a computational time of approximately 19.2  $\mu$ s is required when a 1 GHz processor is equipped. Commercial processors can work at 20 MIPS/mW [45], therefore the power consumption of the algorithm is 21.6 nW which is negligible compared to that of scanning the whole panel.

#### VI. CONCLUSION

The noise spikes in TSPs give rise to "fake" touch, resulting in the high power consumption. In this paper, we present a low pass spatial filtering based technique for the noise spike reduction. By the approach of evaluating the spatial LPF induced smoothing effect, the filter bandwidth is dynamically adjusted to optimize the performance. Based on the experiment, a SNR enhancement of 15.6 dB and a noise spike attenuation of 19.25 dB are obtained. The filtered signal improves detection accuracy, thus less energy is required to maintain a desired performance.

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