## Pediatric Critical Care

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# Outcomes for Children Receiving Noninvasive Ventilation as the First-Line Mode of Mechanical Ventilation at Intensive Care Admission: A Propensity Score-Matched Cohort Study\*

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**Objectives:** To compare outcomes of children receiving noninvasive ventilation with those receiving invasive ventilation as first-line mode of mechanical ventilation following unplanned intensive care admission. **Design:** Propensity score-matched cohort study analyzing data prospectively collected by the Pediatric Intensive Care Audit Network over 8 years (2007–2014).

**Setting:** Thirty-one PICUs in the United Kingdom and Ireland; twenty-one of whom submitted Pediatric Critical Care Minimum Dataset data for the entire study period.

**Patients:** Children consecutively admitted to study PICUs. Planned admissions following surgery, unplanned admissions from other hospitals, those on chronic ventilation, and those who did not receive mechanical ventilation on the day of PICU admission were excluded. **Interventions:** Use of noninvasive ventilation, rather than invasive ventilation, as the first-line mode of mechanical ventilation.

**Measurements and Main Results:** PICU mortality, length of ventilation, length of PICU stay, and ventilator-free days at day 28. During the study period, there were 151,128 PICU admissions. A total of 15,144 admissions (10%) were eligible for analysis once predefined

#### \*See also p. 1103.

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exclusion criteria were applied: 4,804 (31.7%) received "noninvasive ventilation first," whereas 10,221 (67.5%) received "invasive ventilation first," 119 (0.8%) admissions could not be classified. Admitting PICU site explained 6.5% of the variation in first-line mechanical ventilation group (95% Cl, 2.0–19.0%). In propensity score-matched analyses, receiving noninvasive ventilation first was associated with a significant reduction in mortality by 3.1% (95% Cl, 1.7–4.6%), length of ventilation by 1.6 days (95% Cl, 1.0–2.3), and length of PICU stay by 2.1 days (95% Cl, 1.3–3.0), as well as an increase in ventilator-free days at day 28 by 3.7 days (95% Cl, 3.1–4.3).

**Conclusions:** Use of noninvasive ventilation as first-line mode of mechanical ventilation in critically ill children admitted to PICU in an unplanned fashion may be associated with significant clinical benefits. Further high-quality evidence regarding optimal patient selection and timing of initiation of noninvasive ventilation could lead to less variability in clinical care between institutions and improved patient outcomes. (*Crit Care Med* 2017; 45:1045–1053)

**Key Words:** invasive ventilation; mortality; noninvasive ventilation; pediatrics; pediatric intensive care unit

Invasive ventilation (IV), delivered through an endotracheal tube, has long been the mainstay of ventilator management in PICU worldwide (1). Randomized controlled trials (RCTs) in adults with chronic obstructive pulmonary disease and cardiogenic pulmonary edema, and in premature newborns with respiratory distress, have demonstrated that noninvasive ventilation (NIV) modalities such as continuous or bi-level positive airway pressure can reduce the need for endotracheal intubation and improve patient outcomes (2–6). However, there is a paucity of RCT evidence in critically ill children: just one clinical trial including only 50 patients, and three other small RCTs restricted to specific conditions such as bronchiolitis, asthma, and dengue shock syndrome exist (7–10). Despite this scarcity of evidence, national audit data from PICUs in the United Kingdom and Ireland have shown increasing

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use of NIV over the past 10 years (11), mirroring an international trend of greater adoption of NIV (12, 13).

The main benefits of NIV are that it may avoid several of the inherent risks associated with intubation such as upper airway trauma, postextubation vocal cord dysfunction, requirement for heavy sedation, and ventilator-associated complications such as pneumonia, barotrauma, or volutrauma to the lung (14, 15). On the other hand, a delay in intubation in a deteriorating patient on NIV itself appears to be an independent risk factor for mortality (16, 17). As such, the decision of whether to use NIV or IV as the first-line mode of mechanical ventilation (MV) is currently left to the discretion of the treating clinician. Although the choice of NIV or IV may be clear-cut in some patient groups, there is little evidence as to which mode of ventilation produces the most favorable outcomes in the majority of the remaining cases.

In the absence of RCT evidence confirming whether IV or NIV is the best initial mode of ventilation in the acute setting, we aimed to perform propensity score matching (PSM) analysis using a large observational dataset to compare patient outcomes for these two groups. PSM is a powerful, statistical, matching approach that allows the creation of quasirandomized trial conditions to facilitate direct comparison of treatment groups (18, 19) and has produced results that are generally consistent with RCT findings across a diverse range of critical care topics (20–25).

### METHODS

We performed a PSM cohort study utilizing prospectively collected data from the Pediatric Intensive Care Audit Network (PICANet) clinical audit database. A core admission dataset has been collected by PICANet on every admission to U.K. and Ireland PICUs since January 2004, containing clinical and demographic data (26, 27). An additional dataset, the Pediatric Critical Care Minimum Dataset (PCCMDS), has been collected for most PICUs since January 2007, on daily interventions for each patient. Data quality is ensured by regular training of staff, and with local and central validation checks (28). PICANet has approval to collect personally identifiable data under special circumstances from the Health Research Authority Confidentiality Advisory Group (ref: PIAG 4–07(c)/2002) and approval from the Trent Medical Research Ethics Committee (ref: 05/MRE04/17).

### Data

Our intention was to study only those patients who theoretically could have received either NIV or IV as first-line therapy at PICU admission. Therefore, we extracted data only for children (< 16 yr old) admitted to PICU during the 8-year period, January 2007 to December 2014, who received either NIV and/or IV on the calendar day of PICU admission, and applied a series of exclusion criteria to restrict the sample (**Fig. 1**). Postoperative admissions, elective admissions, and emergency admissions from another hospital were excluded due to the greater likelihood of IV during surgery, for elective procedures, or during transport, which may have led to bias in the exposure status. Additionally, patients with a tracheostomy on admission and those receiving



**Figure 1.** Flowchart detailing sample selection criteria. <sup>a</sup>It was not possible to classify patients who received both noninvasive ventilation (NIV) and invasive ventilation (IV) on the day of admission, as well as the subsequent day, according to Paediatric Critical Care Minimum Dataset (PCCMDS) daily data.

chronic ventilation prior to PICU admission were excluded. All remaining individuals were then classified into one of three groups, based on which type of ventilation they received first: "NIV-first," "IV-first," or "unable to classify." In cases where both types of MV were recorded on the calendar day of PICU admission, we checked MV status on the next calendar day; if only IV was recorded on the next calendar day, patients were classified as NIV-first, and if only NIV was recorded on the next calendar day, patients were classified as IV-first.

### Outcomes

The outcome variables were PICU mortality, length of ventilation (LOV), PICU length of stay (LOS), and ventilator-free days at 28 days (VFD-28). Participants' VFD-28 was calculated as 28-X, where X was the number of days spent receiving MV. Patients requiring MV for greater than or equal to 28 days

and those who died within 28 days of PICU admission were assigned a VFD-28 of zero (29). We performed a subgroup analysis to study outcomes in NIV-first children who failed NIV. "NIV failure" was defined as receiving IV the same or subsequent day of last receiving NIV.

### **Statistical Analysis**

*Analysis 1: Whole Cohort. Patient Characteristics Associated With Use of NIV as First-Line Treatment.* Descriptive statistics were calculated to explore differences between MV groups. Multilevel logistic regression analysis was carried out to investigate patient characteristics associated with the choice of first-line MV modality, as well as to quantify the effect of PICU site on choice of MV modality. Since Pediatric Index of Mortality (PIM)-2, the Severity of Illness Score used in U.K. PICUs, is calculated using a number of physiologic variables, including if the child is receiving MV within the first hour of PICU admission (30), the individual elements of the score (excluding the variable related to MV) were used rather than the calculated PIM-2 score. The multilevel model was created using backward elimination of covariates, and the model with the lowest Akaike's Information Criteria value chosen as the final model (31).

Analysis 1: Whole Cohort. Association Between MV Modality and Patient Outcome. All outcome variables were initially investigated for differences between MV modalities across the whole cohort.

*Analysis 1: Whole Cohort. Propensity Score (PS) Estimates.* Several PS estimates were then created, and covariate bias was compared between estimates, to find the estimates that removed systematic differences in the covariate bias between NIV-first and IV-first patients (32).

*Analysis 2a: PSM Sample.* The primary analysis was PSM, which used nearest-neighbor matching of the logit of the PS using caliper widths equal to 0.2 of the pooled sD of the logit of the PS, not allowing replacement. The "PS" is defined as the probability of treatment group assignment conditional on observed baseline covariates (18, 19). Therefore, this meant only one IV case could be matched with each NIV case, who theoretically had an equal chance of receiving NIV or IV based on key characteristics (19, 33). The PSM analysis compared patients receiving NIV-first with IV-first (baseline group) across all outcomes.

*Analysis 2b: Regression Adjustment (RA) Sample.* Additionally, we performed RA using the PS and assigned treatment group (18, 34). This method allows a regression model to be specified. Logistic regression was used to investigate mortality, whereas Poisson regression was used to investigate LOV, LOS, and VFDs. RA was carried out on all patients with a calculated PS to allow comparison with other study findings and to retain a larger sample size. Participants "excluded" from PSM without a match in the opposing treatment group were therefore included in the RA sample.

*Power Analysis.* Sample size calculations indicated that a total sample of 4,650 participants (3,100 IV and 1,550 NIV) would be sufficient to detect a significant increase in mortality by 2%, an increase in mean LOV and LOS by 1 day, and

a decrease in mean VFD-28 by 1 day in IV-first compared to NIV-first patients with 90% power. This sample size assumes a ratio of two IV admissions to one NIV admission and uses previous mean outcome statistics for NIV and IV patients (35).

### RESULTS

PICANet data were available on 151,128 consecutive admissions to 31 PICUs between January 2007 and December 2014. Twenty-one of these PICUs submitted data across the whole 8-year period. The remaining 10 varied from submitting 1 year of data (two PICUs) to 7 years of data (three PICUs). A total of 15,144 admissions (10%) met the study inclusion criteria (Fig. 1).

### Patients

It was possible to classify 99.2% of admissions in the restricted sample into one of the two groups: NIV-first or IV-first. NIV was used as the first-line therapy in 4,804 patients (32%), whereas 10,221 patients (68%) received IV-first. Those admissions that could not be classified (119/15,144; 0.8%) were not analyzed further. Cohort demographics are described in **Table 1**.

### Analysis 1: Whole Cohort (n = 15,025)

**Patient Characteristics Associated With Choice of First-Line MV Modality.** Patient characteristics were significantly different between the MV groups. In particular, IV-first patients had a significantly higher admission severity of illness (median PIM-2 score, 5.1% vs 2.8%), serum lactate (median, 1.9 vs 1.6), and were more likely to have an arterial  $Pao_2/Fio_2$  value recorded (42.1% vs 16.8%) compared to NIV-first patients (Table 1). In those patients who did have a  $Pao_2/Fio_2$  ratio recorded, NIV-first patients had a lower  $Pao_2/Fio_2$  value than IV-first patients.

Multilevel logistic regression revealed that PICU site explained 6.5% of the variation in first-line MV group (95% CI, 2.0–19.0%). The care area admitted from, primary diagnostic group, systolic blood pressure (SBP), base excess (BE), BE polarity (positive or negative), and Pao<sub>2</sub>/Fio<sub>2</sub> ratio measured within 1 hour of PICU admission were all associated with choice of MV modality (**Supplemental Table 1**, Supplemental Digital Content 1, http://links.lww.com/CCM/C531). Receiver operating characteristic (ROC) analysis calculated the area under the ROC curve for this model in predicting use of IV-first to be 0.85 (95% CI, 0.83–0.88), for the 1,657 patients with values for all of the covariates included in the model.

Comparing Outcomes for NIV-First and IV-First Admissions. Crude mortality rate was significantly lower in patients receiving NIV-first (Table 2) compared to patients who received IV-first (4.4% vs 9.6%; chi-square test p < 0.001). LOV was also significantly different between NIV-first and IVfirst patients (median [interquartile range (IQR)], 4 [2–7] vs 4 [2–7]; p < 0.001), as well as LOS (median [IQR], 5 [2–9] vs 5 [3–8]; p < 0.001). Patients receiving NIV-first also had significantly greater VFD-28 than those patients receiving IV-first (median [IQR], 12 [0–22] vs 8 [0–24]; p = 0.016). The NIV

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# TABLE 1. Patient Demographic and Clinical Characteristics of the Whole Cohort (n = 15,025) and Propensity Score-Matched Cohort (n = 6,002)

	Whole Cohort ( <i>n</i> = 15,025)			Propensity Score Matching Cohort ( $n = 6,002$ )			
	Invasive Ventila- tion	Noninvasive Ventilation		Invasive Venti- lation	Noninvasive Ventilation		
Characteristics	( <i>n</i> = 10,221)	( <i>n</i> = 4,804)	р	( <i>n</i> = 3,001)	( <i>n</i> = 3,001)	р	
Age in weeks, median (IQR)	66 (12–279)	27 (7-144.5)	< 0.001	33 (8–162)	28 (7-174)	0.444	
Sex, <i>n</i> (%)							
Male	5,945 (58.1)	2,698 (56.2)	0.032	1,698 (56.6)	1,709 (57.0)	0.774	
Primary diagnostic group, <i>n</i> (%)							
Respiratory	3,292 (32.2)	3,427 (71.3)	< 0.001	1,857 (61.9)	1,853 (61.8)	0.993	
Cardiovascular	1,496 (14.6)	409 (8.5)		395 (13.2)	374 (12.5)		
Neurologic	2,217 (21.7)	182 (3.8)		141 (4.7)	148 (4.9)		
Infection	904 (8.8)	296 (6.2)		211 (7.0)	221 (7.4)		
Gastrointestinal	306 (3.0)	63 (1.3)		51 (1.7)	54 (1.8)		
Endocrine/metabolic	333 (3.3)	72 (1.5)		56 (1.9)	60 (2.0)		
Trauma	669 (6.6)	4 (0.1)		2 (0.1)	3 (0.1)		
Oncology	167 (1.6)	70 (1.5)		58 (1.9)	65 (2.2)		
Blood/lymphatic	149 (1.5)	68 (1.4)		54 (1.8)	56 (1.9)		
Other <sup>a</sup>	643 (6.3)	177 (3.7)		155 (5.2)	144 (4.8)		
Not recorded	45 (0.4)	36 (0.7)		21 (0.7)	23 (0.8)		
Main reason for admission, <sup>b</sup> <i>n</i> (%	%)						
Asthma	186 (1.8)	115 (2.4)	< 0.001	68 (2.3)	70 (2.3)		
Bronchiolitis	935 (9.2)	1,502 (31.5)		619 (20.6)	607 (20.2)	0.969	
Croup	108 (1.1)	16 (0.3)		15 (0.5)	15 (0.5)		
Obstructive sleep apnea	12 (0.1)	35 (0.7)		11 (0.4)	8 (0.3)		
Diabetic ketoacidosis	13 (0.1)	2 (0.1)		3 (0.1)	2 (0.1)		
Seizure disorder	78 (0.8)	5 (0.1)		3 (0.1)	5 (0.2)		
Other (none of the above)	8,804 (86.1)	3,099 (64.3)		2,282 (76.0)	2,294 (76.4)		
Not recorded	84 (0.8)	30 (0.6)		0	0		
Ethnicity, <sup>c</sup> <i>n</i> (%)							
White	6,175 (60.4)	2,678 (55.7)	< 0.001	1,719 (57.3)	1,760 (58.7)	0.842	
Mixed White	333 (3.3)	166 (3.5)		105 (3.5)	113 (3.8)		
Asian	1,297 (12.7)	732 (15.3)		478 (15.9)	449 (15.0)		
Black	550 (5.4)	314 (6.5)		205 (6.8)	197 (6.6)		
Other	1,592 (15.6)	800 (16.7)		422 (14.0)	409 (13.6)		
Not recorded	272 (2.6)	112 (2.3)		72 (2.4)	73 (2.4)		

(Continued)

# TABLE 1. (*Continued*). Patient Demographic and Clinical Characteristics of the Whole Cohort (n = 15,025) and Propensity Score-Matched Cohort (n = 6,002)

	Whole Cohort ( <i>n</i> = 15,025)			Propensity Score Matching Cohort ( $n = 6,002$ )			
Characteristics	Invasive Ventila- tion	Noninvasive Ventilation (n = 4.804)	0	Invasive Venti- lation	Noninvasive Ventilation (n = 3 001)	0	
	(11 - 10,221)	(1 - 4,004)	Ρ	(1 – 0,001)	(1 – 0,001)	Ρ	
Vare area admitted from, n (%)	4 1 1 7 (40 2)	0.901 (60.0)	< 0.001	1775 (50.0)	1760 (590)	0544	
Vvaru V rov	4,117 (40.3)	2,091 (00.2)	< 0.001	9 (0.2)	5 (0.0)	0.544	
A-lay High dopondopoy unit	201(2.0)	7 (0.1)		0 (0.3)	5(0.2)		
Athen intermediate care area	137 (13)	96 (20)		432 (14.4)	419 (14.0)		
	159 (1.5)	36 (0.8)		30 (1.2)	40 (1.0)		
Emorgancy department	132 (1.3)	1 010 (05 0)		701 (04.0)	23 (0.0)		
	19 (0 1)	10 (0.2)		0	0		
	12 (0.1)	10(0.2)		0	0		
Admission year $\mathbf{n}$ (%)	11 (0.1)	12 (0.2)		0	0		
2007	133 (10)	105 (4 1)	< 0.001	105 (4 9)	107 (10)	0.040	
2007	1.054 (10.3)	133 (4.1)	< 0.001	250 (8.6)	127 (4.2) 264 (8.8)	0.940	
2008	1,034 (10.3)	414 (0.0)		209 (0.0)	204 (0.0)		
2005	1,213 (11.3)	5/13 (113)		364 (12.1)	358 (119)		
2010	1,379 (13 /)	611 (127)		379 (12.1)	413 (138)		
2011	1,572 (15.4)	847 (176)		530 (177)	513 (171)		
2012	1,002 (15.0)	042 (126)		500 (17.7)	533 (178)		
2013	1,003 (15.7)	841 (175)		408 (16.6)	402 (164)		
Distribution of admissions to each PICU <sup>d</sup>	NA	NA	< 0.001	NA	NA	0.486	
Pediatric Index of Mortality- 2-predicted probability of death (%), median (IQR)	5.1 (3.1–10.7)	2.8 (0.9–5.4)	< 0.001	4.5 (1.9–9.2)	4.0 (1.3–7.1)	< 0.001	
Arterial or capillary blood gas taken, <sup>b</sup> <i>n</i> (%)							
Yes	7,588 (74.2)	2,925 (60.9)	< 0.001	2,093 (69.7)	2,133 (71.1)	0.258	
Lactate, <sup>b</sup> median (IQR)	1.9 (1.1–3.7)	1.6 (1.1–2.6)	< 0.001	1.8 (1.0–3.5)	1.6 (1.1–2.6)	0.014	
Not recorded, n (%)	6,798 (66.5)	3,347 (69.7)		64.9	65.4		
Pao <sub>2</sub> /Fio <sub>2</sub> ratio, <sup>b</sup> median (IQR)	190.6 (102.6–354.6)	129.4 (85.7–200)	< 0.001	150 (86–258)	129 (84–202)	< 0.001	
Not recorded, n (%)	5,923 (57.9)	3,998 (83.2)		64.7	78.2		
Base excess, <sup>b</sup> median (IQR)	-3.1 (-7.0 to 0.3)	1.0 (-2.0 to 4.8)	< 0.001	-1.4 (-5.3 to 2.1)	0.9 (-2.3 to 4.9)	< 0.001	
Not recorded, <i>n</i> (%)	3,201 (31.3)	2,186 (45.5)		1,035 (34.5)	1,080 (36.0)		
Age-standardized systolic blood pressure <sup>b</sup> z score, mean (sd)	-0.03 (1.18)	0.13 (0.98)	< 0.001	0.061 (1.02)	0.089 (1.02)	0.288	

IQR = interquartile range, NA = not applicable.

<sup>a</sup>The "other" category included in primary diagnostic group also contains patients with the primary diagnostic groups: body wall and cavities, multisystem and musculoskeletal, all of which represent < 1% of the population each.

<sup>b</sup>Variables measured within 1 hr of admission and used to derive Pediatric Index of Mortality-2–predicted probability of death.

"The variable "ethnicity" has been transformed into six broad groups from the original 18 groups collected by Pediatric Intensive Care Audit Network.

<sup>d</sup>Number of noninvasive ventilation and invasive ventilation admissions per unit not displayed due to large number of units.

A Wilcoxon rank-sum test was used to compare all continuous variables presented as mean (interquartile range), a two sample *t* test was used to compare continuous variables presented as mean (sp), and chi-square test of independence compared all categorical variables presented as *n* (%).

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	Whole Cohort ( <i>n</i> = 15,025)			Propensity Score Matching Cohort ( $n = 6,002$ )			
	Invasive Ven- tilation	NIV		Invasive Ventilation	NIV		
Outcome	( <i>n</i> = 10,221)	( <i>n</i> = 4,804)	р	( <i>n</i> = 3,001)	( <i>n</i> = 3,001)	p	
PICU mortality (%)	9.6	4.4	< 0.001	8.5	5.9	< 0.001	
Length of ventilation (d), median (IQR)	4 (2–7)	4 (2-7)	< 0.001	5 (3–9)	4 (2-7)	< 0.001	
Length of stay (d), median (IQR)	5 (2–9)	5 (3–8)	< 0.001	6 (4-11)	5 (3–9)	< 0.001	
VFD-28-all patients, median (IQR)	8 (0-24)	12 (0-22)	0.016	0 (0-16)	8 (0-22)	< 0.001	
VFD-28-survivors only, median (IQR)	12 (0-24)	12 (0-22)	0.269	0 (0-16)	12 (0-22)	< 0.001	
NIV failure rate, n (%)	NA	1,237 (25.7)	NA	NA	948 (33.3)	NA	

# TABLE 2. Crude Outcomes for Patients Included in the Whole Cohort (n = 15,025) and Propensity Score-Matched Cohort (n = 6,002)

IQR = interquartile range, NA = not applicable, NIV = noninvasive ventilation, VFD-28 = ventilation-free days at day 28.

A Wilcoxon rank-sum test was used to compare all continuous variables presented as mean (interquartile range), a two sample *t* test was used to compare continuous variables presented as mean (sp), and chi-square test of independence compared all categorical variables presented as *n* (%).

failure rate of those receiving NIV-first was 25.7% (1,237 admissions). The crude outcomes for those participants who failed NIV compared to those who succeeded on NIV were mortality of 10.2% versus 2.1% (p < 0.001), median LOV of 8 (IQR, 5–14) versus 3 (IQR, 2–5) (p < 0.001), median LOS of 9 (IQR, 6–16) versus 4 (IQR, 3–6) (p < 0.001), and median VFD-28 of 0 (IQR, 0–0) versus 16 (IQR, 0–22) (p < 0.001). The median VFD-28 of NIV failure admissions remained when patients who had died within 28 days of admission were excluded.

LOV, LOS, and VFD-28 were significantly different in those patients who failed NIV compared to those patients receiving IV-first (p < 0.001). However, there was no difference in mortality (p = 0.503).

**PS Estimates.** PS estimates were created for 13,189 patients, who had recorded values for all of the matching variables (3,900 NIV and 9,289 IV). The final PSs adjusted for admitting PICU, primary diagnostic group, presence of a low-risk PIM-2 diagnosis, ethnicity, whether a blood gas (arterial or capillary) was measured within 1 hour of admission, the care area from which the child was admitted, age-standardized SBP *z* score, admission year, age, and sex. Of these 13,189 patients, 899 NIV-first patients did not have an IV-first match, and 6,288 IV-first patients did not have an NIV-first match, within the specified caliper distance. The NIV-first and IV-first patients thus excluded from the PSM analysis had significantly different PICU mortality rates (2.1% vs 9.8%; p < 0.001). **Figure** 



2 illustrates the distribution of PSs by MV modality.

# Analysis 2a: PSM Sample (*n* = 6,002)

The PSM analysis used 6,002 children (3,001 NIV and 3,001 IV). Their characteristics and crude outcomes are compared in Tables 1 and 2, and demonstrate that PSM matching produced comparable groups in terms of baseline variables. PSM analysis summarized in Table 3 found that receiving NIV-first was associated with a significant decrease in mortality by 3.1% (95% CI, 1.7-4.6%) to 5.4% compared to 8.5% in IV-first patients. LOV decreased by 1.6 days (95% CI, 1.0-2.3) from 8.4 to 6.8 days, and there was an associated decrease in LOS by 2.1 days

**Figure 2.** Distribution of the calculated propensity scores for noninvasive ventilation (NIV)-first and invasive ventilation (IV)-first patients, with or without a match (n = 13,189).

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TABLE 3. Results of Analyses Comparing Patients Receiving Noninvasive Ventilation First With the Control Group (Invasive Ventilation First), Across Four Patient Outcomes, Using Both Propensity Score Matching (n = 6,002) and Regression Adjustment (n = 13,189)

	Average Treatment Effect Coefficient for Noninvasive Ventilation First					
	Propensity Score Matching Using Nearest Neighbor Matching	Regression Adjustment				
Outcome	( <i>n</i> = 6,002)	95% CI	( <i>n</i> = 13,189)	95% CI		
PICU mortality (%)	-3.1	-4.6 to -1.7	-1.6ª	-3.0 to -0.3		
Length of ventilation (d)	-1.6	-2.3 to -1.0	-0.1 <sup>b</sup>	-0.6 to 0.4		
Length of stay (d)	-2.1	-3.0 to -1.3	-0.3 <sup>b</sup>	-1.1 to 0.5		
VFD-28-all patients	3.7	3.1-4.3	1.6 <sup>b</sup>	1.1-2.1		
VFD-28-survivors only	3.6	3.0-4.2	1.5 <sup>b</sup>	0.9-2.0		

VFD-28 = ventilation-free days at day 28.

<sup>a</sup>Calculated using logistic regression adjustment.

<sup>b</sup>Calculated using Poisson regression adjustment.

(95% CI, 1.3–3.0) from 10.7 to 8.6 days in NIV-first patients. Use of NIV-first was associated with an increase in VFD-28 by 3.7 days (95% CI, 3.1–4.3) to 10.8 days compared to 7.1 days in IV-first patients.

### Analysis 2b: RA Sample (*n* = 13,189)

Similar results were obtained from the RA using the full sample of 13,189 patients in whom PSs were calculated. The use of NIV-first was associated with a significant decrease in mortality by 1.6% (95% CI, 0.3–3.0), a decrease in LOV by 0.1 days (95% CI, -0.6 to 0.4) and LOS by 0.3 days (95% CI, -1.1 to 0.5), and a significant increase in VFD-28 by 1.6 days (95% CI, 1.1-2.1) (Table 3).

In both PSM and RA, a significant increase in VFD-28 of NIV-first remained when patients who had died within 28 days of admission were excluded. In PSM, a significant increase in VFD-28 by 3.6 days was reported (95% CI, 3.0–4.2), whereas RA found a significant increase of 1.5 days (95% CI, 0.9–2.0) using the sample of 13,189 patients.

### DISCUSSION

In this large study of critically ill children admitted to U.K. PICUs, we have identified several important clinical factors associated with the use of NIV as the first-line mode of MV. As well as significant inter-unit variability in the use of NIV, PSM analysis suggests that receiving NIV-first is associated with a significant reduction in mortality, LOV, LOS, and a significant increase in VFD-28. We recognize that these findings are only applicable to those patients for whom NIV is a clinically appropriate first-line option.

To our knowledge, this is the first study to compare the benefits of NIV versus IV as first-line treatment in a large cohort of critically ill children. While not an RCT, the use of PSM to analyze a national high-quality, observational dataset covering an 8-year period allows our findings to be generalized to other similar developed healthcare systems. Additionally, PSM analysis comparing NIV and IV in adult ICU patients reported findings consistent with RCTs conducted on the same topic (20–25). In our study, when the unmatched cohorts were compared, IV-first patients were considerably sicker at admission and had worse outcomes. PSM allowed the two groups to be well matched across a range of key covariates, with better outcomes seen in patients treated with NIV-first.

Characteristics that influenced the choice of first-line MV type in our study are consistent with previous studies, which identified differences between NIV and IV patients in terms of illness severity, the ratio of oxygen saturation to  $Fio_2$  ( $Spo_2/Fio_2$ ), age, sex, whether a blood gas was taken (36–39), and variability between hospitals in the use of NIV in critically ill children (39). In our study, admitting PICU explained 6.5% of the variation in NIV or IV use, although the upper CI reached 19.0%. Our findings show that patients admitted to PICU in a more severe clinical status are more likely to receive IV so as not to delay intubation. Similarly, the presence of acute respiratory distress syndrome, indicated by a  $Pao_2/Fio_2$  ratio of less than 200, has been previously shown to be a strong risk factor for NIV failure (40, 41).

Several previous small observational studies support our findings of patient benefit with the use of NIV. In a study comparing two distinct 5-year epochs in one PICU, Essouri et al (42) showed that LOV and PICU LOS decreased significantly when NIV was introduced as the main mode of MV in children with acute bronchiolitis. Similarly, a comparison of two units (one delivering IV only and the other predominantly NIV) showed that the LOV for infants with bronchiolitis was significantly shorter with NIV use (36). A retrospective analysis of bronchiolitis admissions at a single center in Australia over a 10-year period showed that PICU LOS was nearly halved with the use of NIV (43). Similar data have been reported for acute asthma (39). However, not all studies adjusted for confounding factors, and the lack of multicenter involvement prevents widespread generalizability of their findings. Findings from the few RCTs available on this topic in critically ill children do support the premise of improved outcomes for selected patients treated with NIV in preference to IV (7–10).

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Our sample included data on almost all PICU admissions in the United Kingdom and Ireland, and therefore had sufficient power to detect clinically significant differences across all four outcomes. Furthermore, by excluding patients in whom PICU clinicians could not choose the first-line MV mode (planned admissions, unplanned admissions from other hospitals, patients on chronic ventilation, and those with a tracheostomy), we focused on those patients in whom our findings can be applied in the future. Several limitations of this study must also be noted: PSM can only match on measured variables and therefore cannot eliminate all potential confounding bias. PSM also reduced the sample to a smaller subset of the overall cohort, restricting the generalizability of our findings. Selection bias may be present as only 21 of 31 PICUs submitted PCCMDS data for the entire 8-year study period, and although PICANet stipulated that high-flow therapy should be classified as "supplemental oxygen," some units may have miscoded it as NIV. Potential confounding bias may have arisen from variables with multiple unrecorded values. This was particularly evident with the Pao<sub>2</sub>/Fio<sub>2</sub> ratio (missing in 83% of NIV-first patients). The unexpectedly lower value in the NIV-first group may be explained by the fact that only sicker patients were likely to have arterial catheters in this group. It was not appropriate to use multiple imputation to predict unrecorded values, as these values were not missing at random.

In addition, the study did not address other research questions such as complications of NIV use, nor does it clarify the reasons behind significant inter-unit variability or allow our findings to be easily generalized to postoperative patients or transported admissions.

### CONCLUSIONS

The use of acute NIV (rather than IV) as first-line MV therapy may be associated with a significant decrease in mortality, LOV, and LOS, as well as an increase in the number of VFD-28. Admitting PICU has a strong association with which type of MV is used first. Variation in the use of NIV and IV between PICUs may therefore directly influence clinical outcomes. Prospective clinical trials conducted through international collaborative networks and research-driven clinical guidelines are urgently needed to guide future NIV practice in critically ill children.

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