

1                   **North Atlantic seasonal hurricane prediction:**  
2                   **Underlying science and an evaluation of statistical models**

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### **Abstract**

Statistically-based seasonal hurricane outlooks for the North Atlantic were initiated by Colorado State University (CSU) in 1984, and have been issued every year since that time by CSU. The National Oceanic and Atmospheric Administration (NOAA) Climate Prediction Center and the UK-based Tropical Storm Risk (TSR) have the next longest records (1998-present) of continuous outlooks. This chapter describes how these three forecasts have evolved with time, and documents the approaches, the environmental fields, and the lead times which underpin the models' operation. Some of the environmental parameters used in early seasonal outlooks are no longer employed, but new predictive fields have been found which appear to be more important for seasonal hurricane prediction. An assessment is made of the deterministic skill of the seasonal hurricane outlooks issued in real-time by CSU, NOAA, and TSR between 2003 and 2014. All methods show moderate-to-good skill for early August outlooks (prior to the most active portion of the hurricane season), low-to-moderate skill for outlooks issued in early June, and lesser skill for outlooks issued in early April. Overall, the TSR model has the most skillful predictions of Accumulated Cyclone Energy (ACE), while NOAA has the best named storm predictions issued in early August.

61 **1. Introduction**

62

63 Tropical cyclones (TC) are severe weather events that form in many parts of the  
64 tropics, and impact continents, including North America, Asia, Australia, and Africa. The  
65 damage caused by tropical cyclones can be catastrophic, and will only continue to  
66 increase as coastal developments expand and populations grow [Mendelsohn et al., 2012;  
67 Peduzzi et al., 2012]. Improving our ability to predict seasonal tropical cyclone activity is  
68 one way to mitigate this increase in damage [DeMaria et al. 2014].

69 The tropical climate system influences atmospheric dynamics and sea surface  
70 temperature (SST) anomaly patterns in all TC basins. Therefore, the climate system  
71 affects the strength of the hurricane seasons throughout the world. Because of this climate  
72 influence, some level of seasonal predictive skill is being achieved for most hurricane  
73 basins.

74 This chapter focuses on seasonal predictions of North Atlantic hurricane activity.  
75 The North Atlantic hurricane season lasts for six months from 1 June to 30 November.  
76 The season has a well-defined 3-month peak of August-September-October (ASO),  
77 during which 77% of all named storms, 84% of all hurricanes, and 93% of all major  
78 hurricanes have formed (1950-2014 data).

79 Atlantic hurricane seasons feature large year-to-year and decade-to-decade  
80 fluctuations in strength, primarily in response to differing amounts of activity during  
81 ASO. Figure 1 shows the set of conducive conditions within the MDR during ASO that  
82 produce a more active Atlantic hurricane season. Opposite conditions suppress hurricane  
83 formation and intensification in the MDR and produce a less active season. Gray [1984a,

84 b], Bell and Chelliah [2006] and others have linked active and inactive hurricane seasons  
85 to seasonal fluctuations in oceanic and atmospheric conditions during ASO within the  
86 Atlantic hurricane Main Development Region (MDR, yellow box in Figure 1), which  
87 spans the tropical North Atlantic Ocean and Caribbean Sea [Goldenberg et al., 2001].  
88 Such fluctuations often have strong climate links and involve a set of inter-related  
89 parameters, including SSTs, trade wind strength, vertical wind shear, atmospheric  
90 stability and the strength of the west African monsoon. Therefore, above-normal and  
91 below-normal Atlantic hurricane seasons are typically not random occurrences. Instead,  
92 they often reflect a strong climate influence over a set of atmospheric and oceanic  
93 conditions within the MDR, which then collectively determines the overall strength of the  
94 hurricane season.

95         The seasonal hurricane outlooks are designed primarily to predict oceanic and  
96 atmospheric conditions within the MDR during ASO. Two large-scale climate  
97 phenomena, the El Niño/Southern Oscillation (ENSO) and the Atlantic Multi-Decadal  
98 Oscillation (AMO) account for much of the coherent variability observed across the  
99 MDR in the atmosphere and ocean on both inter-annual and multi-decadal time scales  
100 [Goldenberg et al., 2001; Bell and Chelliah, 2006]. This high degree of control exerted by  
101 the tropical climate system on Atlantic hurricane activity provides the underlying  
102 scientific basis for making seasonal Atlantic hurricane outlooks. Studies have well-  
103 established that by monitoring, understanding, and predicting these climate patterns and  
104 their associated regional circulation features, it is often possible to confidently predict the  
105 nature of the upcoming hurricane season.

106           One benefit of issuing seasonal outlooks is to anticipate the likelihood of extreme  
107 events. While weak tropical storms can form in marginally favorable environments, a set  
108 of very conducive conditions (Fig. 1) is required to produce powerful hurricanes and an  
109 exceptionally active season. Seasonal prediction models typically forecast an aggregate  
110 measure of overall seasonal activity such as the Accumulated Cyclone Energy (ACE)  
111 index [Bell et al., 2000]. The ACE index measures the combined intensity and duration  
112 of all named storms during the season, and it is therefore a measure of the overall  
113 strength of the hurricane season. ACE correlates strongly with major hurricanes  
114 (Category 3-5 on the Saffir-Simpson wind scale). For example, in seasons classified as  
115 below-normal (<66 ACE units) by NOAA since 1966 (when daily geostationary satellite  
116 data became available), an average of 0.9 major hurricanes formed, compared with 3.9  
117 major hurricanes in above-normal seasons (>111 ACE units). This 4:1 ratio is especially  
118 important when one considers that major hurricanes cause approximately 80-85% of TC-  
119 related damage on an annual basis [Pielke Jr. et al., 2008].

120           This chapter evaluates the three longest-lived outlooks for North Atlantic  
121 hurricane activity. In order of longevity, these outlooks have been issued by: Colorado  
122 State University (CSU), the National Oceanic and Atmospheric Administration (NOAA)  
123 and Tropical Storm Risk (TSR). CSU started disseminating operational seasonal  
124 hurricane outlooks in 1984. NOAA's seasonal outlooks started in August 1998, and TSR  
125 began publishing seasonal outlooks in December 1998. Successful predictions of Atlantic  
126 basin seasonal hurricane activity are now also being made by dynamical models, such as  
127 those issued by the European Centre for Medium Range Weather Forecasts (ECMWF)  
128 [Vitart and Stockdale, 2001] and the UK Met Office [Camp et al., 2015].

129 Building upon Klotzbach [2007] this chapter provides an updated review of  
130 statistically-based seasonal hurricane outlooks for the North Atlantic basin, including an  
131 assessment of their skill. Section 2.1 summarizes the initial prediction scheme used by  
132 CSU in 1984. Section 2.2 discusses the development of CSU's seasonal hurricane  
133 outlooks since 1984. Section 2.3 describes the evolution of NOAA's outlooks since their  
134 original issuance in 1998. Section 2.4 provides a discussion of prediction development  
135 from TSR since 1999. In section 2.5 the real-time outlook skill of the three forecast  
136 models is evaluated and compared for the period 2003-2014. Potential future  
137 improvements to the statistical models are discussed in section 2.6. Section 3 concludes  
138 the chapter.

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## 141 **2. Statistically-Based Seasonal Hurricane Outlook Models**

142

143 The reason why the North Atlantic was chosen in 1984 for the first statistically-  
144 based seasonal tropical cyclone outlook was the greater year-to-year variability in TC  
145 activity present in this basin compared to the Northeast Pacific or Northwest Pacific  
146 basins (Gray, personal communication). Based on 1986-2005 data, the coefficient of  
147 variation (the ratio of the standard deviation to the mean) is nearly twice as large for the  
148 Atlantic as for the Northeast Pacific and about three times as large for the Atlantic as for  
149 the Northwest Pacific [Klotzbach 2007].

150

### 151 **2.1 Early Research and Outlooks**

152           Before 1984 there was little way of knowing how active an upcoming hurricane  
153 season would be. CSU issued the first statistically-based seasonal hurricane outlooks for  
154 the North Atlantic basin in 1984 [Gray, 1984b]. Since then, the CSU outlooks have  
155 evolved and are currently available at <http://tropical.atmos.colostate.edu>. Early outlooks  
156 for the Atlantic basin were issued in June and updated in August. These outlooks utilized  
157 current and predicted strengths and phases of two large-scale climate phenomena: ENSO  
158 and the Quasi-Biennial Oscillation (QBO) [Gray, 1984a], along with forecasts of  
159 Caribbean basin sea level pressure (SLP). Figure 2 shows the six stations utilized to  
160 estimate Caribbean basin SLP anomalies. When an El Niño event was present, the  
161 predicted level of Atlantic hurricane activity was reduced, while both ENSO-neutral and  
162 La Niña events were treated equally. If the QBO was in its easterly phase at 30-hPa, or if  
163 the 30-hPa winds were increasing from the east, the predicted level of hurricane activity  
164 was reduced. If the QBO was in its westerly phase or the 30-hPa winds were increasing  
165 from the west, a stronger hurricane season was predicted. If SLP in the Caribbean basin  
166 was below average a stronger hurricane season was predicted, and if SLP in this region  
167 was above average a weaker hurricane season was predicted. This initial model showed  
168 considerable hindcast skill. The correlation between hindcast and observed named storms  
169 (tropical storms and hurricanes combined) was 0.82 for the period 1950-1982 (Fig. 3),  
170 and the correlation for hurricanes alone was 0.77.

171

## 172 **2.2 CSU Model Development: 1984-Present**

173



174 CSU's outlooks have undergone significant evolution since their original release.  
175 CSU began releasing early December predictions in 1990, while continuing to issue both  
176 June and August outlooks. Gray et al. [1992; 1993; 1994] detail the CSU prediction  
177 models used in the early 1990s. Figure 4 displays the predictors utilized in the early  
178 1990s for their early August prediction scheme.

179 While all of CSU's seasonal outlooks still retain an ENSO component, other  
180 predictors have been added or removed over the years. For example, the models used in  
181 the early 1990s included new predictors that were closely related to West African rainfall.  
182 As discussed by Landsea and Gray [1992], when rainfall in the Western Sahel is  
183 enhanced during June-July, Atlantic hurricane seasons tends to be more active. A  
184 stronger West African monsoon is associated with stronger and better defined easterly  
185 waves, weaker vertical wind shear, and warmer sea surface temperatures (SSTs) in the  
186 MDR, all of which favor more frequent and more intense tropical storms and hurricanes  
187 [Bell and Chelliah 2006]. In addition, Landsea and Gray [1992] found a significant  
188 relationship between Gulf of Guinea rainfall during August-November and Atlantic  
189 hurricanes the following year. This relationship was a key predictor in CSU's original  
190 early December prediction model. Figure 5 displays the tracks of major hurricanes  
191 during the ten wettest vs. ten driest years for the Gulf of Guinea region during the period  
192 from 1949 to 1989.

193 In the mid-1990s the development of the NCEP/NCAR Reanalysis products  
194 [Kistler et al., 2001] led to a transition from station-based predictors to grid-based  
195 predictors. In addition, the failure of previously-used predictors, such as the QBO  
196 [Camargo and Sobel, 2010] and direct African rainfall measurements [Klotzbach and

197 Gray, 2004] caused CSU to investigate other climate predictors. These failures also  
198 illustrated the challenges of making seasonal outlooks in an inherently non-stationary  
199 climate system.

200 While original forecast models were constructed using limited data (e.g., 1950-  
201 1980), longer periods of hindcast data are now available. In addition, with the  
202 development of the 20<sup>th</sup> Century Reanalysis [Compo et al., 2011], a full three-  
203 dimensional realization of the atmosphere is now available back to 1851. Obviously, as  
204 one goes back in time, there is increased uncertainty both in atmospheric parameters and  
205 levels of hurricane activity. However, being able to evaluate predictor skill over 100+  
206 years of prior data helps to avoid some of the pitfalls associated with predictor screening  
207 [DelSole and Shukla 2009].

208 CSU discontinued its December outlooks following the 2011 hurricane season  
209 due to a lack of real-time predictive skill. The project currently issues outlooks in April,  
210 June, July, and August [Klotzbach, 2014]. Figure 6 displays the three predictors  
211 currently used in CSU's early August outlook.

212 As indicated, the CSU outlooks now utilize the low-level wind flow across the  
213 Caribbean Sea as an important predictor. Using the ERA-Interim Reanalysis [Dee et al.,  
214 2011], July Caribbean trade winds correlate with post-1 August ACE at 0.78. This  
215 predictor had previously been noted by Saunders and Lea [2008] to explain a significant  
216 amount of variability of Atlantic TC activity. Indeed TSR has used the predicted speed  
217 of the August-September Caribbean trade winds as one of its two main predictors for  
218 seasonal hurricane activity since 2001.

219 This trade-wind predictor is important because it is associated with a set of  
220 conditions which together influence Atlantic hurricane activity. For example, reduced  
221 trade wind strength over the Caribbean Sea implies higher than normal pressure in the  
222 eastern tropical Pacific which is typically associated with La Niña conditions. Weaker  
223 trade winds are also typically associated with warmer than normal conditions in the  
224 tropical Atlantic and Caribbean Sea, along with an expanded Atlantic Warm Pool [Wang  
225 and Lee, 2007]. A larger warm pool generates a more conducive dynamic and  
226 thermodynamic environment for TC genesis and intensification.

227 Another predictor currently used by CSU is the SST anomaly in the northeastern  
228 subtropical Atlantic. The Atlantic tends to be more active when SSTs in this region are  
229 warmer than normal prior to the peak of the hurricane season [Klotzbach, 2011;  
230 Klotzbach, 2014], likely because these warm anomalies tend to get advected into the  
231 Atlantic by the peak of the hurricane season [Smirnov and Vimont, 2012]. Additionally,  
232 warmer temperatures in this region are typically associated with weaker trade winds and  
233 a more conducive configuration of the African Easterly Jet (AEJ) during the peak months  
234 of the hurricane season.

235

### 236 **2.3 NOAA Model Development: 1998-Present**

237

238 NOAA's seasonal hurricane outlooks for the North Atlantic basin are an official  
239 product of the Climate Prediction Center and are made in collaboration with NOAA's  
240 National Hurricane Center and Hurricane Research Division. NOAA began issuing  
241 seasonal hurricane outlooks in August 1998. The outlooks, beginning with May 1999, are

242 archived at: [www.cpc.ncep.noaa.gov/products/outlooks/hurricane-archive.shtml](http://www.cpc.ncep.noaa.gov/products/outlooks/hurricane-archive.shtml). These  
243 outlooks provide a general guide to the expected strength of the upcoming hurricane  
244 season. They are not a seasonal hurricane landfall outlook, and do not imply levels of  
245 activity for any particular location. NOAA's initial seasonal hurricane outlook is issued  
246 in late- May and is then updated in early August.

247 For the outlooks issued from August 1998-May 2000, NOAA only indicated the  
248 most likely season strength. Since August 2000, the outlooks have indicated the  
249 probabilities for the three season classifications: above-, near-, and below-normal, as  
250 defined at  
251 [www.cpc.nce.noaa.gov/products/outlooks/background\\_information.shtml#NOAADEF](http://www.cpc.nce.noaa.gov/products/outlooks/background_information.shtml#NOAADEF).  
252 Since August 2001 the outlooks have also include probabilistic statements for the likely  
253 ranges of named storms, hurricanes, major hurricanes, and ACE. However, there was  
254 flexibility during 2001-2002 in what was referred to as a "likely" range. Since May 2003,  
255 the "likely" ranges of activity have been specified with an estimated 70% probability of  
256 occurrence.

257 NOAA's seasonal hurricane outlooks reflect predictions of the combined impacts  
258 of three climate factors: ENSO [Gray, 1984; Goldenberg and Shapiro, 1996], the AMO  
259 [Gray et al., 1996; Landsea et al., 1999], and the tropical multi-decadal signal (TMS)  
260 [Bell and Chelliah, 2006]. The TMS is the leading multi-decadal mode of tropical  
261 convective variability, and it captures the observed link between multi-decadal  
262 fluctuations in Atlantic SSTs (i.e. the AMO), the West African monsoon system  
263 [Hastenrath 1990; Gray, 1990; Landsea and Gray, 1992; Landsea et al., 1992;  
264 Goldenberg and Shapiro, 1996] and Amazon Basin rainfall [Chen et al., 2001; Chu et al.,

265 1994]. Together, these climate factors produce the inter-related set of atmospheric and  
266 oceanic conditions typically associated with both seasonal and multi-decadal fluctuations  
267 in Atlantic hurricane activity (Fig. 1).

268 Three types of forecast tools provide guidance for the outlooks [Bell and Blake,  
269 2015]. These include statistical tools, a hybrid statistical/dynamical ensemble forecast  
270 technique based on the NOAA Climate Forecast System (CFS) Version-2 (T-128), and  
271 purely dynamical model ensemble forecasts from the CFS high-resolution (T-382) model,  
272 the ECMWF, and the EUROpean Seasonal to Inter-annual Prediction (EUROSIP) model  
273 (Figure 7). The updated outlook issued in August also incorporates predictive information  
274 such as anomalous early season activity, and atmospheric and oceanic anomalies that  
275 may have developed which are not related to the dominant climate predictors.

276 One statistical prediction technique utilizes linear multiple regression equations to  
277 first establish the historical relationship between seasonal activity and the combined  
278 effects of the above climate factors. Forecasts of these climate factors are then input into  
279 the regression equations to predict the upcoming seasonal activity. In practice, the  
280 regression results for each prediction parameter are assembled into a look-up table [Bell  
281 and Blake, 2015], allowing forecasters to quickly assess a likely range of activity given  
282 uncertainties in the climate prediction itself. A second statistical technique uses climate-  
283 based analogues, which provide the forecaster with the observed ranges of activity in past  
284 seasons having similar climate conditions to those currently being predicted.

285 The hybrid statistical/dynamical technique [Wang et al., 2009] uses regression  
286 equations to relate historical CFS-V2 model forecasts of anomalous seasonal Atlantic  
287 SSTs and vertical wind shear to the observed seasonal hurricane activity in that year. The

288 results are used to quantify the observed ranges of activity during past seasons having  
289 model predictions similar to the present.

290         One purely dynamical forecast tool in use since 2009 is the set of ensemble  
291 forecasts obtained from the CFS high-resolution model [Schemm and Long, 2009]. This  
292 tool provides guidance to the seasonal hurricane outlooks in three main ways. First, it  
293 aids in the prediction of the climate predictors themselves. Second, it aids in predicting  
294 the strength of the regional circulation anomalies associated with those climate  
295 predictors, which is especially important when there are competing climate factors or  
296 when there is an expectation for a significant evolution in those climate factors (such as  
297 ENSO) as the season progresses. Third, the model provides independent, bias-corrected  
298 predictions of seasonal activity based purely on model-generated hurricane tracks. Along  
299 similar lines, in 2010 the outlooks also began taking into account ensemble dynamical  
300 model predictions obtained from the ECMWF and the EUROSIP.

301         To arrive at the final seasonal hurricane outlook, all predicted ranges obtained  
302 from the various prediction tools are first assembled. Consensus guidance outlook ranges  
303 are then obtained by averaging separately, over all the prediction tools, the lower bounds  
304 and the upper bounds of the predicted ranges. The individual team forecasters then use  
305 this guidance to make predictions for the likely ranges of activity (~70% confidence) for  
306 each prediction parameter. The final Atlantic outlook reflects a consensus of these  
307 individual forecaster predictions.

308

#### 309 **2.4 TSR Model Development: 1999-Present**

310

311 Tropical Storm Risk (TSR), based at University College London in the UK, has  
312 issued public outlooks for seasonal TC activity in the North Atlantic since December  
313 1998. The TSR venture developed from a UK government-supported initiative called  
314 TSUNAMI, which ran from 1998 to 2000, and whose aim was to assist the  
315 competitiveness of the UK insurance industry.

316 TSR predicts basin-wide TC activity (namely numbers of storms of different  
317 strengths and the ACE index), U.S. landfalling TC activity, and Caribbean Lesser  
318 Antilles landfalling TC activity. Outlooks are issued in deterministic and tercile  
319 probabilistic form. The TSR prediction models are statistical in nature, but are  
320 underpinned by predictors that have sound physical links to contemporaneous TC  
321 activity. TSR issues seasonal outlooks in early December, April, June, July and August.  
322 All historical TSR seasonal TC outlooks are available online at  
323 [www.tropicalstormrisk.com/forecasts.html](http://www.tropicalstormrisk.com/forecasts.html) thereby allowing assessments to be made of  
324 the TSR real-time forecast skill. However, during the period from December 1998  
325 through 2001 the TSR seasonal forecast models and their lead times of issue were  
326 evolving. For a consistent assessment of TSR prediction skill at set lead times, it is  
327 recommended to use only outlooks starting with the 2002 hurricane season. TSR also  
328 provides within its seasonal outlooks, the hindcast precision of each outlook parameter  
329 assessed over a prior 35-year period.

330 The TSR seasonal hurricane forecast model is sophisticated for a statistical model.  
331 The model divides the North Atlantic hurricane basin into three regions: (1) the tropical  
332 North Atlantic; (2) the Caribbean Sea and Gulf of Mexico; (3) the remainder of the North  
333 Atlantic outside regions (1) and (2). TSR employs separate outlook models for each of

334 the three regions before summing the regional hurricane outlooks to obtain an overall  
335 North Atlantic hurricane outlook.

336 For regions (1) and (2) the model pools different environmental fields involving  
337 predictions of August-September SST anomalies and July-September trade wind speed to  
338 select the environmental field or combination of two fields which gives the highest  
339 replicated real-time skill for individual predictands (number of tropical storms, number of  
340 hurricanes, number of major hurricanes, and ACE index) over the prior 10-year period.  
341 The nature of this process means that the details of the seasonal forecast model can vary  
342 subtly: (1) between individual predictands at the same lead time for a given year; (2) with  
343 lead time for the same predictand during the same year; and (3) from year-to-year for the  
344 same predictand at the same lead time. Separate forecast models are employed to predict:  
345 (1) July-September trade wind speed; (2) August-September SST anomalies for different  
346 regions in the tropical North Atlantic and Caribbean Sea; and (3) August-September SST  
347 anomalies for different Nino regions [Lloyd-Hughes et al., 2004]. Finally bias  
348 corrections are employed for each predictand based on the performance of that predictand  
349 over the prior 10 years.

350 Two environmental fields stand out amongst the fields which the TSR model  
351 pools in making its selection described above. These fields are: (1) Predicted speed of  
352 the trade winds for July-August-September for the region 7.5°-17.5°N, 100°W-30°W.  
353 The trade winds blow westward across the tropical Atlantic and Caribbean Sea and  
354 influence cyclonic vorticity and vertical wind shear over the MDR; and (2) Predicted SST  
355 anomaly for August-September for the region 10°-20°N, 60°W-20°W between West  
356 Africa and the Caribbean, which includes the central and eastern MDR where many



357 hurricanes develop during August and September. Waters here provide heat and  
358 moisture to help power the development of storms within the MDR. The nature of these  
359 two environmental fields and their anomalies, which are linked to active hurricane  
360 seasons, is shown in Figure 8. Further information on the TSR outlooks for North  
361 Atlantic TC activity and its underpinning methodology is described in Lea and Saunders  
362 [2004; 2006], Saunders [2006], and Saunders and Lea [2008].

363         TSR outlooks for US landfalling TC activity issued between December and July  
364 employ a historical thinning factor between ‘tropical’ North Atlantic activity and U.S.  
365 landfalling activity. The TSR outlook for U.S. landfalling activity issued in early August  
366 employs the persistence of July steering winds [Saunders and Lea, 2005]. These winds  
367 either favor or hinder evolving hurricanes from reaching U.S. shores during August and  
368 September. This model correctly anticipates whether U.S. hurricane losses are above-  
369 median or below-median in ~75% of the years between 1950 and 2013. For the U.S.  
370 ACE index, the TSR prediction skill increases from 3% (prior December) to 29% (early  
371 August) for the period 1980-2013.

372

## 373 **2.5 Assessment of Seasonal Hurricane Outlook Skill: 2003-2014**

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375         An assessment and inter-comparison of the real-time forecast skill of the CSU,  
376 NOAA and TSR hurricane outlooks was performed for the 12-year period 2003-2014.  
377 This period is chosen because the forecast methodologies employed by each group have  
378 remained relatively stable over this period (see sections 2.2, 2.3 and 2.4), and because  
379 these outlooks are available and archived on their public websites.

380           The deterministic August outlooks for the four main measures of hurricane  
381 activity; ACE, major hurricane numbers, hurricane numbers, and named storm numbers,  
382 that were issued by each forecast group during 2003-2014 are shown in Figure 9. Since  
383 NOAA does not issue deterministic outlook values, but instead issues an outlook range  
384 having a 70% probability of occurrence, the mid-point of their outlook range is used as a  
385 proxy for their deterministic value.

386           Notable forecast successes are evident (e.g. 2005, 2010 and 2014) as well as  
387 forecast failures (e.g. 2007 and 2013). The 12-year period includes the most active  
388 hurricane season on record (2005) as well as the quietest hurricane season since the early  
389 1980s (2013). Thus, although the assessment period is relatively short it provides a  
390 reasonable test of outlook performance.

391           The skill assessment and comparison is made separately for the four main  
392 measures of hurricane activity, and separately for the three outlook issue times of early  
393 April, early June (at the start of the official hurricane season) and early August (just prior  
394 to the main part of the hurricane season). It should be noted that only CSU and TSR issue  
395 outlooks in early April and that the NOAA outlook issued in late May is treated here as  
396 an early June outlook.

397           The assessment examines two measures of deterministic outlook skill. The first is  
398 the Spearman rank correlation ( $r_{\text{rank}}$ ), which is a robust and resistant alternative to the  
399 Pearson product-moment correlation coefficient [Wilks, 2006]. The second skill measure  
400 is the mean square skill score (MSSS), defined as the percentage reduction in mean  
401 square error of the outlooks compared to outlooks made with a climatological mean.  
402 MSSS is the skill metric recommended by the World Meteorological Organization

403 (WMO) for verification of deterministic seasonal outlooks [WMO, 2002; also see Déqué,  
404 2003]. The MSSS is calculated here with respect to two different climatologies: a fixed  
405 1951-2000 mean and a rolling prior 10-year mean. A prior 10-year mean is used, instead  
406 of the prior 5-year mean as recommended by the WMO [WMO, 2008], because the 10-  
407 year mean is found to be a tougher benchmark to beat for all measures of hurricane  
408 activity.

409         Figures 10 and 11 display the real-time skill of the seasonal hurricane outlooks  
410 computed for the different lead times and activity measures. Figure 10 shows the skill  
411 using the Spearman rank correlation ( $r_{\text{rank}}$ ), and Figure 11 shows the skill using the mean  
412 square skill score (MSSS). The findings from these two skill assessments are similar. For  
413 all models, the August outlooks are by far the most skillful, and the April outlooks are the  
414 least skillful. Overall, the TSR model is the most skillful predictor of the ACE index and  
415 is also the most skillful pre-season and early-season predictor for hurricane numbers.  
416 The NOAA model has the best August prediction for named storm numbers.

417         Benchmark skill values were then obtained by identifying the best performing  
418 statistical outlook model for each measure of hurricane activity based on the MSSS  
419 scores. The benchmark MSSS skill values for ACE, major hurricane numbers and  
420 hurricane numbers are 10-20% for early April outlooks, 20-30% for early June outlooks,  
421 and 40-60% for early August outlooks. For named storm numbers, the benchmark MSSS  
422 values are 0-40% for early April outlooks, 20-60% for early June outlooks, and then  
423 increase to 60-80% for early August outlooks. These are the largest skill scores of all  
424 predicted parameters. The lower value in these ranges correspond to the MSSS calculated

425 with respect to the prior 10-year mean (dashed lines) and the larger value corresponds to  
426 the MSSS calculated with respect to the 1951-2000 mean.

427         The benchmark MSSS values show that the best performing statistical seasonal  
428 model offers skill for all measures of hurricane activity and that this skill extends out to  
429 early April. This skill may be described as moderate-to-good for early August outlooks,  
430 low-to-moderate for early June outlooks, and low for early April outlooks.

431

## 432 **2.6 Future of Atlantic Basin Seasonal Hurricane Prediction**

433

434         Although seasonal Atlantic hurricane outlooks are showing skill from early April,  
435 it is likely that there are untapped sources of seasonal predictability which can further  
436 enhance the predictive skill. These untapped sources of predictability may come, for  
437 example, from the identification of significant additional forcing factor(s) in years when  
438 ENSO is neutral and/or from further developments in dynamical modeling. We  
439 anticipate that as model resolution, data assimilation techniques and model physics  
440 continue to improve, the utility of dynamical models for seasonal outlooks will continue  
441 to increase.

442         However, as this chapter focuses on statistical predictions, two areas of research  
443 related to future developments in statistical modeling are addressed. One promising area  
444 for further statistical model development is the ability to generate forecast models built  
445 over longer periods of data. In general, models built over long periods of data should  
446 prove to be more reliable in the future. The 20<sup>th</sup> Century Reanalysis developed by the  
447 Earth System Research Laboratory (ESRL) [Compo et al., 2011] as well as the ERA-20C

448 project from the ECMWF [Stickler et al., 2014] provide gridded datasets since the start of  
449 the 20<sup>th</sup> century. These datasets ingest surface data and then use an Ensemble Kalman  
450 filter (in the case of the 20<sup>th</sup> Century Reanalysis) and 4D variational data assimilation (in  
451 the case of the ERA-20C) to arrive at estimates of upper-air fields. The ECMWF is  
452 currently intensively involved in data rescue efforts from pibals and weather balloon data  
453 from the 1920s and 1930s in preparation for a fully-coupled three-dimensional realization  
454 of the atmosphere dating back to 1900. There is obviously increased uncertainty as one  
455 heads back in time, but these datasets have proved and will likely continue to prove  
456 useful in better estimating the stability of relationships between predictors and Atlantic  
457 hurricane activity.

458         Another area that has helped with improving the accuracy of statistically-based  
459 seasonal outlooks has been the reanalysis of the Atlantic basin hurricane database  
460 (HURDAT2) [Landsea and Franklin, 2013]. As is the case with large-scale fields, there  
461 is increased uncertainty in observed hurricane activity earlier in the record. This  
462 uncertainty becomes especially large prior to the mid-1960s when no geostationary  
463 satellite data was available. The reanalysis has attempted to reconstruct historical  
464 hurricane tracks back to 1851 using historical records from newspapers, ship logs, and  
465 other sources. This project is currently in the middle of the 20<sup>th</sup> century and has likely  
466 provided more accurate estimates of historical ACE. Vecchi and Knutson [2008, 2011]  
467 have also provided an estimate of named storms and hurricanes; respectively, that were  
468 likely missed prior to 1965 through examination of ship traffic across the Atlantic basin.  
469 A similar adjusted ACE metric would be useful for continued improvement of  
470 statistically-based models of seasonal hurricane activity.

471

472 **3. Conclusions**

473

474 This chapter has described how statistically-based Atlantic basin seasonal  
475 hurricane outlooks have developed since their inception in 1984. The first seasonal  
476 outlooks were issued by CSU, and were based on the phase of ENSO, the phase of the  
477 QBO, and Caribbean sea level pressure anomalies. The CSU model has evolved and now  
478 employs a variety of predictors derived from the latest global reanalysis products. In the  
479 late 1990s statistically-based seasonal hurricane outlooks were initiated by two other  
480 groups: NOAA and TSR. The NOAA model is statistical-dynamical in form and utilizes  
481 statistical techniques analyzing the state of the AMO and ENSO, combined hybrid  
482 statistical/dynamical techniques, and dynamical model output. The TSR model is  
483 sophisticated for a statistical model but primarily utilizes two predictors: 1) predicted  
484 tropical Atlantic sea surface temperatures and 2) predicted low-level trade wind flow  
485 across the tropical Atlantic and Caribbean Sea.

486 All three prediction models (CSU, NOAA and TSR) show significant real-time  
487 skill for the 2003-2014 period, with the August outlooks being by far the most accurate.  
488 Overall, NOAA's August outlooks show the most skill in predicting named storm  
489 numbers. The TSR model shows the most skill in predicting ACE, and also has the  
490 highest pre-season and early-season skill in predicting hurricane numbers.

491 The benchmark MSSS values show that the best performing statistical seasonal  
492 model offers skill for all measures of hurricane activity and that this skill extends out to  
493 early April. This skill may be described as moderate-to-good for early August outlooks,

494 low-to-moderate for early June outlooks, and low for early April outlooks. It is likely that  
495 untapped sources of seasonal hurricane predictability remain to be discovered, and it is  
496 possible for statistical models to gain modest improvements upon the seasonal real-time  
497 outlook skills documented herein.

498

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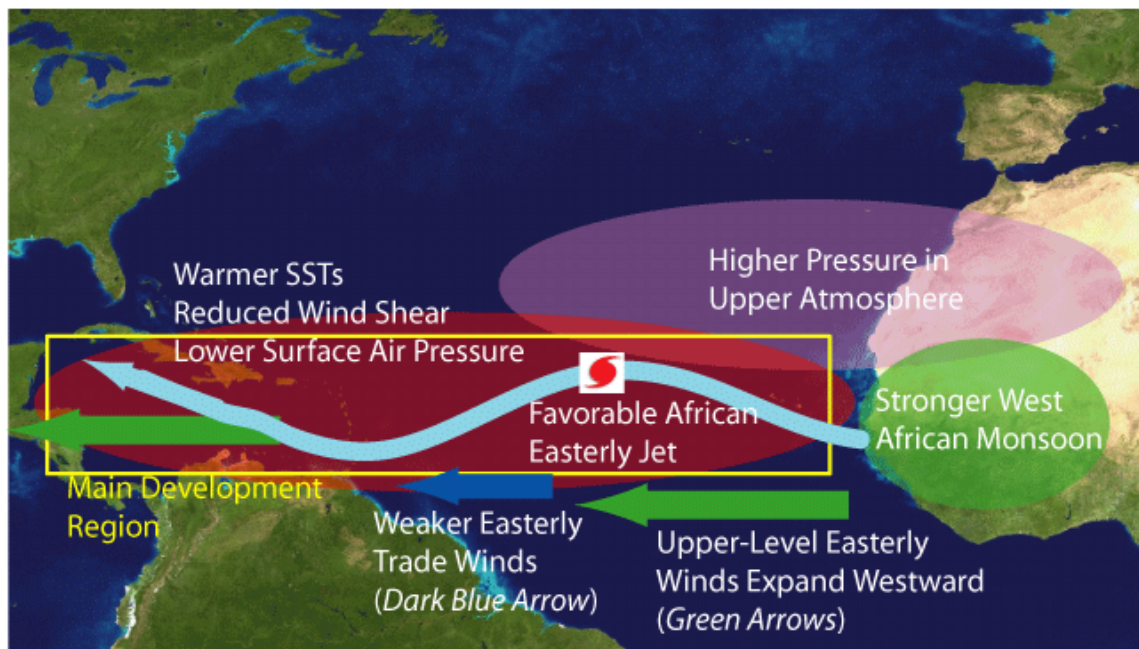
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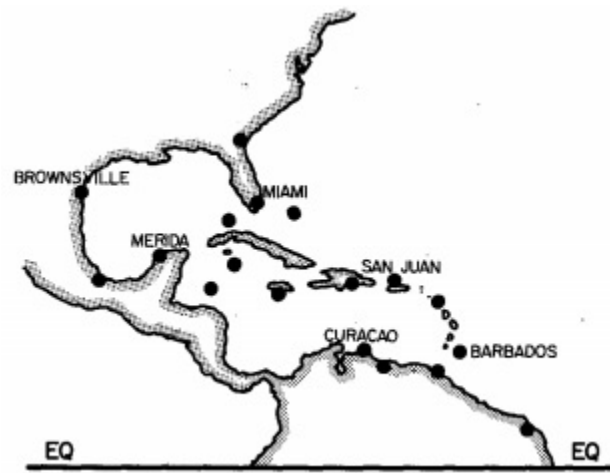
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653 Figure 1: Schematic of atmospheric and oceanic anomalies during August-October  
 654 associated with active Atlantic hurricane seasons and decades. Adapted from Bell and  
 655 Chelliah [2006].

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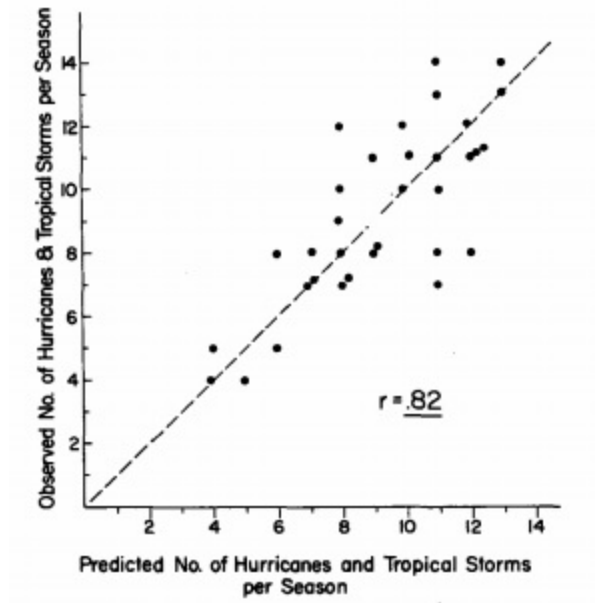
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660 Figure 2: Locations of six stations used to estimate Caribbean basin sea level pressure

661 anomalies in the original CSU outlook. Figure taken from Gray [1984b].

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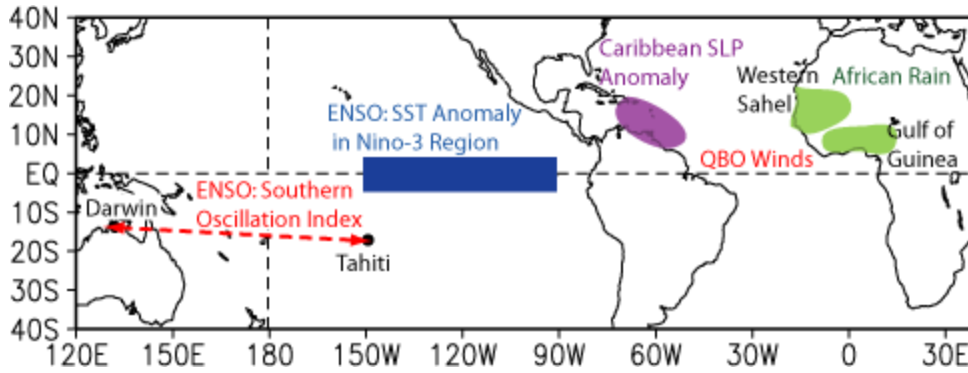




663

664 Figure 3: Hindcast skill based on the period 1950-1982 of the original early August  
 665 outlook issued by CSU. The correlation ( $r$ ) between the hindcast and observed number of  
 666 hurricanes and tropical storms combined is 0.82. Figure taken from Gray [1984b].

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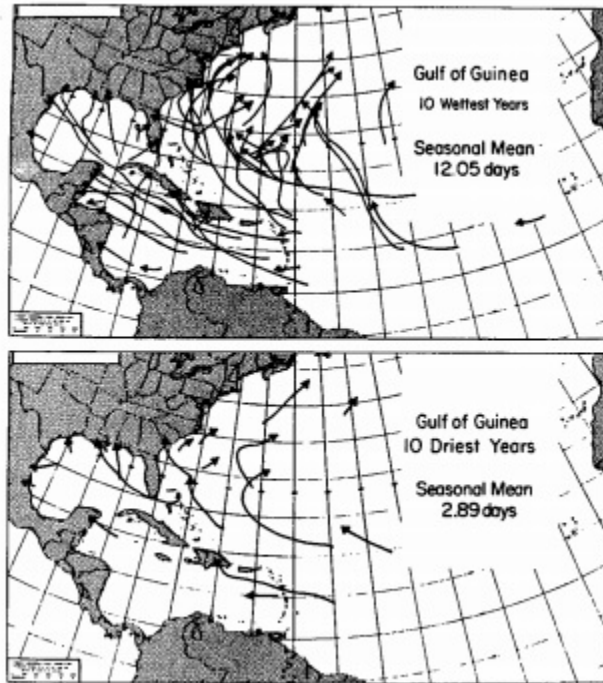


668

669 Figure 4: Predictors utilized by CSU in the early 1990s for their August seasonal  
 670 outlook. Labels not mentioned in the text include: 1) the Southern Oscillation,  
 671 which is used to monitor ENSO and is a measure of the anomalous sea-level pressure  
 672 difference between Darwin, Australia and Tahiti, and 2) the Niño-3 region, which is an  
 673 important area of the tropical Pacific used to monitor ENSO. Figure adapted from Gray  
 674 et al. [1993].

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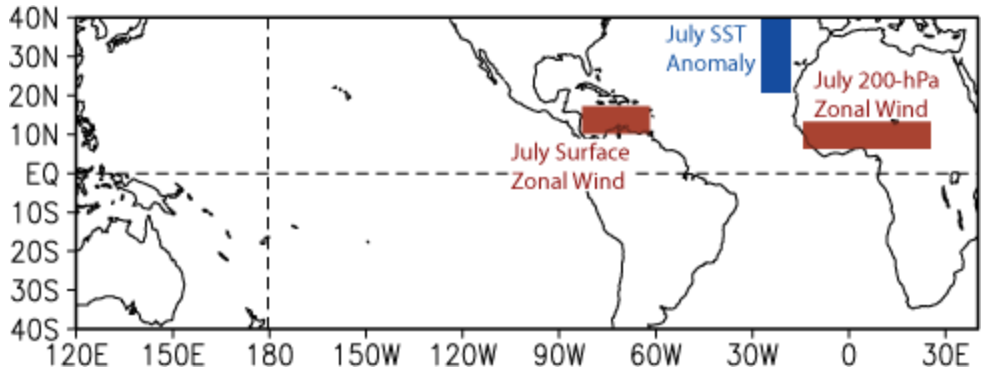
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677

678 Figure 5: Tracks of major hurricanes in the year following the ten wettest August-  
679 November periods in the Gulf of Guinea (top panel) and the ten driest August-November  
680 periods from 1949-1989. This finding was why the previous August-November Gulf of  
681 Guinea rainfall was utilized in the initial early December outlook scheme issued by CSU.  
682 Figure taken from Gray et al. [1992].

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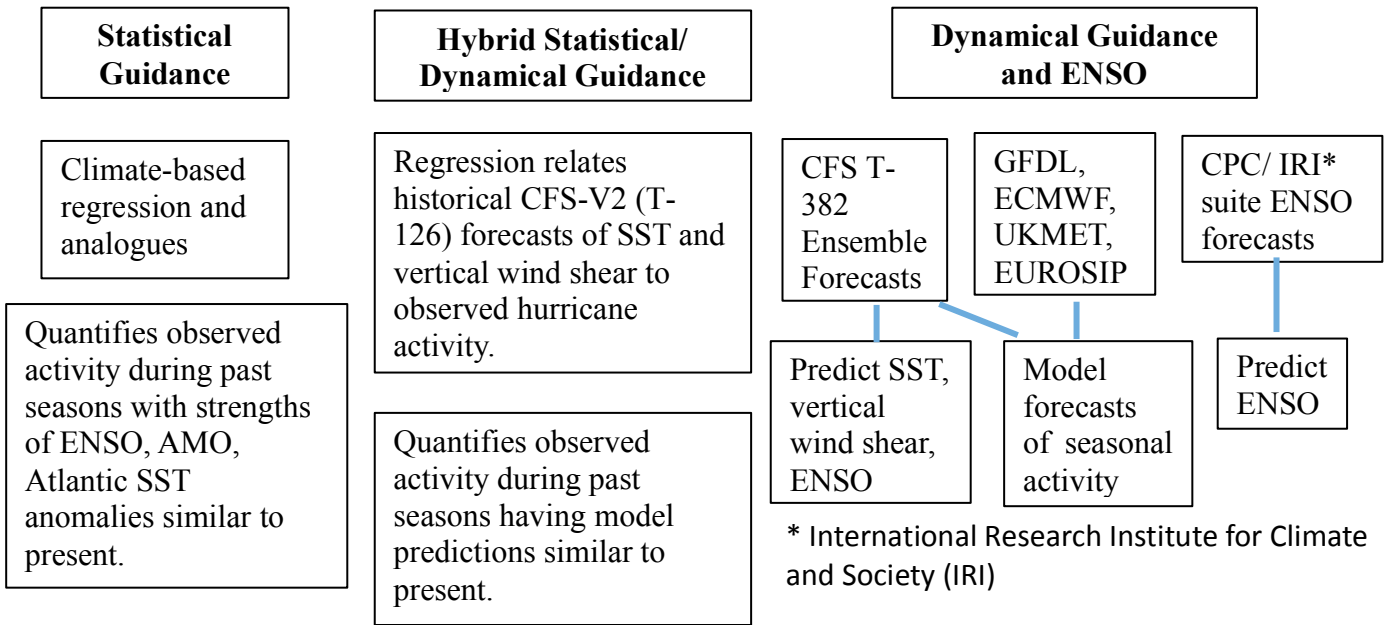


684

685 Figure 6: Location of predictors for CSU outlooks currently being issued in early

686 August.

## NOAA's Atlantic Hurricane Season Outlook Guidance



**Forecast tool consensus guidance provides 70% probability ranges of activity\*\***

**Forecast team members each predict 70% probability ranges of activity.**

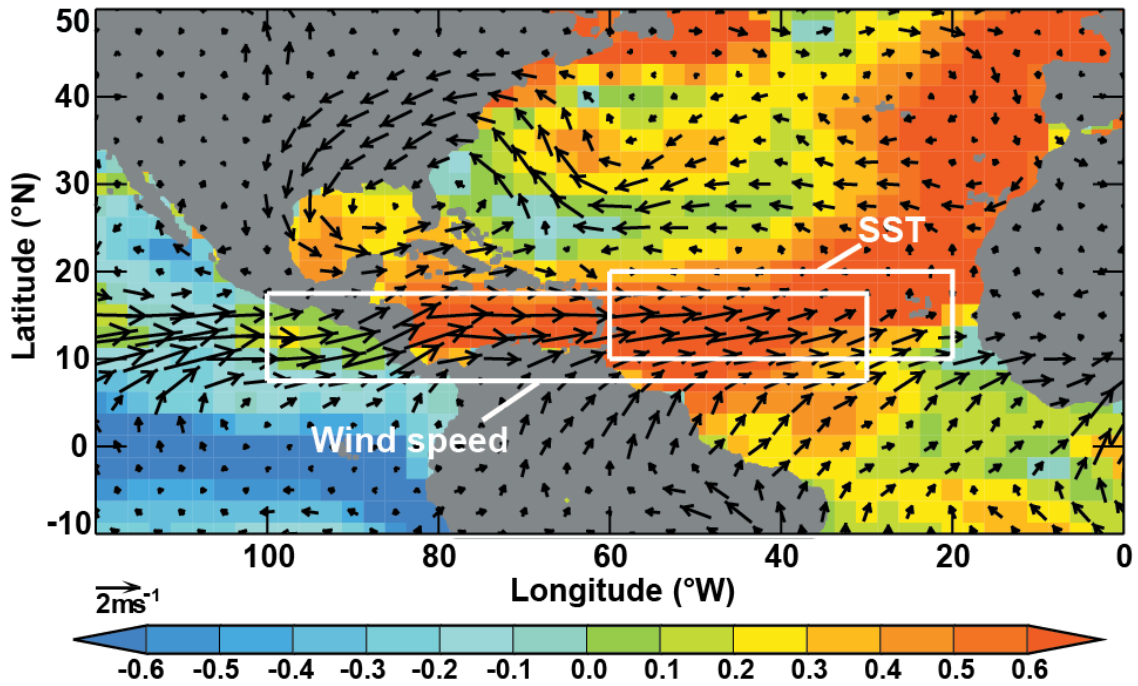
**Final outlook is consensus of individual forecaster predicted ranges.**

\*\* Prediction parameters include named storms, hurricanes, major hurricanes, ACE, and probabilities for the season being above-, near-, and below-normal

687

688 Figure 7: Schematic illustrating the tools which provide guidance for NOAA's Atlantic  
 689 hurricane season outlooks.

690



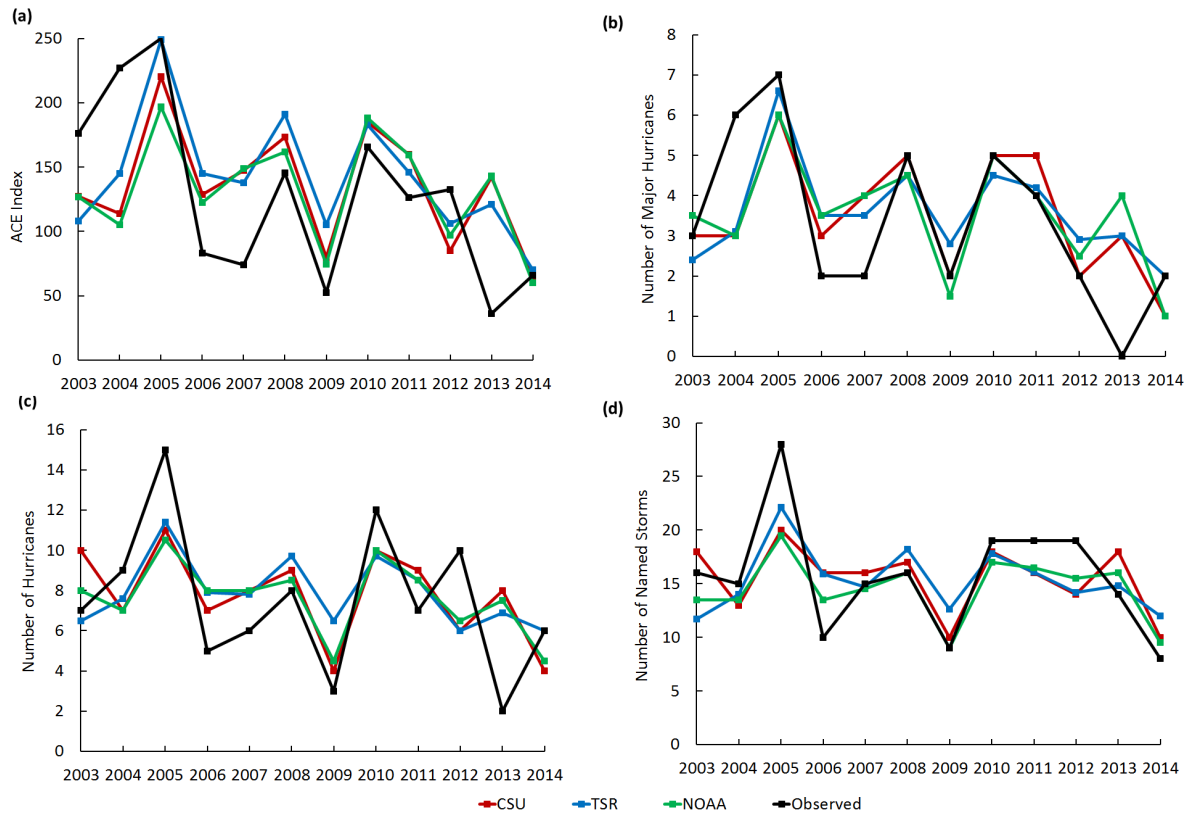
691

692 Figure 8: Nature of the TSR statistical model for replicating North Atlantic seasonal  
 693 hurricane activity. The figure displays the two August-September environmental field  
 694 areas that the TSR model employs most often in producing a seasonal hurricane outlook.  
 695 The figure also displays the anomalies in August-September SST (color coded in °C) and  
 696 925 hPa wind (arrowed) linked to active Atlantic hurricane years. Figure taken from  
 697 Saunders and Lea [2008].

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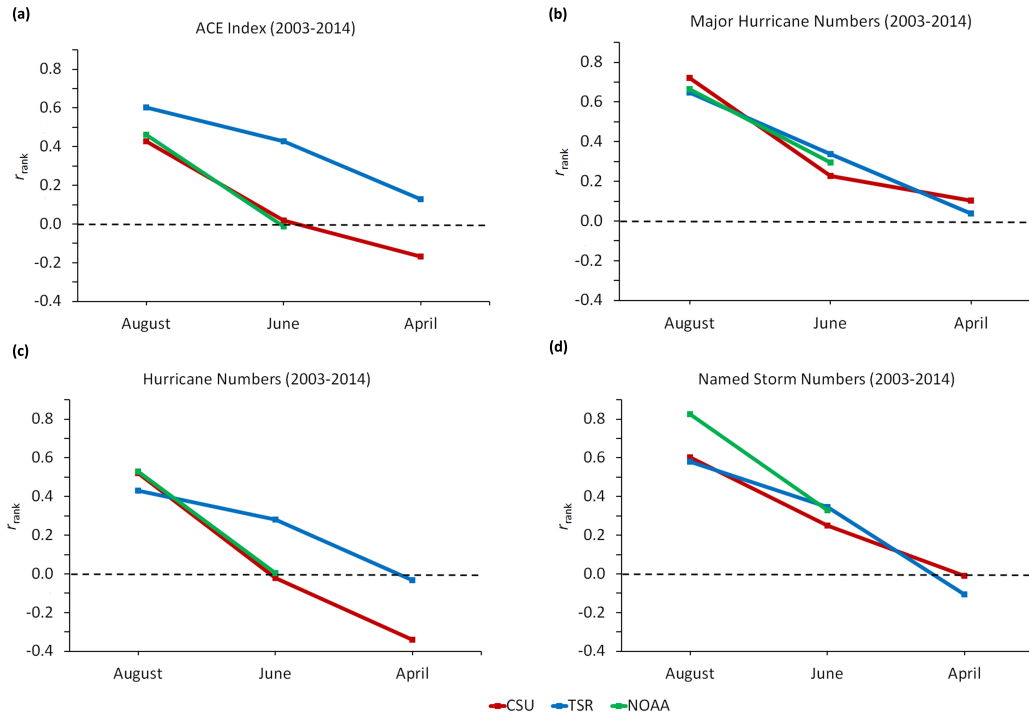
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702 Figure 9: Time series comparing the seasonal outlook values issued in early August  
703 2003-2014 by CSU, TSR and NOAA with observed values. The comparison is made  
704 for (a) ACE, (b) Major hurricane numbers, (c) Hurricane numbers, and (d) Named storm  
705 numbers.

706

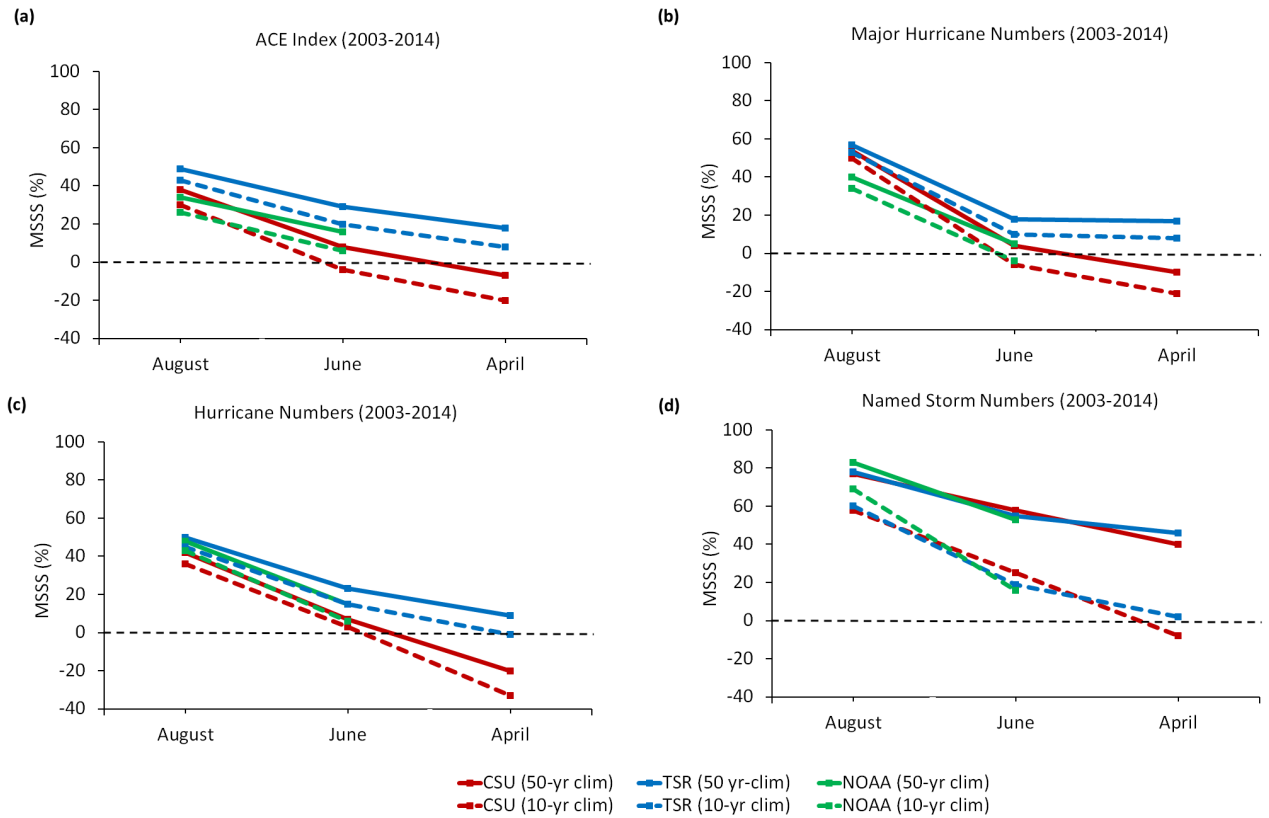


707

708 Figure 10: Skill of North Atlantic seasonal hurricane outlooks from 2003-2014  
 709 assessed using the Spearman rank correlation ( $r_{\text{rank}}$ ) between the forecast and  
 710 observed values. The assessment is made for (a) ACE, (b) Major hurricane numbers, (c)  
 711 Hurricane numbers, and (d) Named storm numbers. In each case the  $r_{\text{rank}}$  values are  
 712 computed for CSU, TSR and NOAA seasonal outlooks issued at lead times of early  
 713 August, early June and early April.

714





715

716 Figure 11: Skill of North Atlantic seasonal hurricane outlooks 2003-2014 assessed using  
 717 the mean square skill score (MSSS) and displayed in the same format as Figure 10. The  
 718 MSSS skill assessment is made with two different climatology forecasts: a fixed 1951-  
 719 2000 mean and a rolling prior 10-year mean.