Brain Impairment



Social Cognition, Behaviour and Relationship Continuity in Dementia of the Alzheimer Type

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| 15 | |
| 16 | Abstract |
| 17 | Social cognition can be impaired in a range of neurodegenerative conditions, yet the |
| 18 | impact of these difficulties on behaviour and social relationships is not yet fully understood. |
| 19 | This study assessed social cognition in 27 participants with Dementia of the Alzheimer Type |
| 20 | (DAT) and their co-residing partners ($n=27$) and explored the relationships between social |
| 21 | cognition, cognitive ability, relationship continuity and behaviour following diagnosis. In line |
| 22 | with previous research, participants with dementia scored lower on social cognition tasks |
| 23 | compared to their partners. Behaviour changes such as apathy, disinhibition and agitation in |
| 24 | participants with dementia were significantly related to relationship continuity, however no |
| 25 | significant associations were found with measures of social cognition. The results of this |
| 26 | study are discussed within a therapeutic context and in line with current guidelines and |
| 27 | policies. |

| | Social Cognition and Behaviour |
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| 30 | Keywords: Social cognition, Alzheimer's disease, dementia, Theory of Mind, emotion |
| 31 | recognition. |
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| 54 | The most commonly diagnosed dementia is Dementia of the Alzheimer's Type (DAT) |
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| 55 | (McKhann et al., 1984). Individuals with a diagnosis of probable DAT typically present with |
| 56 | primarily cognitive symptoms such as difficulties with memory, language or visual and |
| 57 | spatial functions (McKhann et al., 1984). However, since DAT does entail frontal atrophy, |
| 58 | particularly in the later stages of the disease, individuals may also experience difficulties in |
| 59 | attention, executive functioning, social cognition and behaviour (McKhann et al., 1984) |
| 60 | including agitation, apathy, mood changes, hallucinations or delusions (Jalbert, Daiello & |
| 61 | Lapane, 2008). These changes in social and emotional behaviour after dementia are a major |
| 62 | risk factor for increased carer burden (Coen et al., 1997; Burke & Morgenlander, 1999; |
| 63 | Cohen et al., 1993; Hebert, Dubois, Wolfson, Chambers & Cohen, 2001; Hsieh, Irish, |
| 64 | Daveson, Hodges, Piguet, 2013) and are often reported to be more distressing and disabling |
| 65 | than cognitive changes (Steele et al., 1990). |
| 66 | Importantly, carers for people with dementia are often intimate partners, who may |
| 67 | have difficulty adjusting to significant changes in the relationship (Garand et al., 2007). One |
| 68 | important variable related to wellbeing of the carer is their perceptions of the |
| 69 | continuity/discontinuity of the relationship. Perceived discontinuity refers to perceptions of |
| 70 | the relationship as being essentially changed and radically different as a result of dementia, |
| 71 | rather than as a continuation of the premorbid relationship. While it is well-established that |
| 72 | behavioural changes after dementia are related to carer burden, it is not yet known whether |
| 73 | these behavioural changes are associated with perceived discontinuity of the relationship. |
| 74 | This relationship might be expected since behavioural changes such as disinhibition, |
| 75 | aggression and apathy fundamentally affect the way in which a person interacts with others, |
| 76 | which is the cornerstone of interpersonal relationships. The current study was the first to |
| 77 | investigate this proposed relationship between behavioural change and discontinuity of |
| 78 | relationship. |

| 79 | The second aim of this study was to determine whether social cognition deficits |
|-----|--|
| 80 | underlie these disabling changes in behaviour after DAT. An individual's ability to respond |
| 81 | appropriately in a social situation relies on their skilful integration of semantic, syntactic, |
| 82 | contextual and paralinguistic information as well as on their pragmatic knowledge and ability |
| 83 | to take visual perspectives, understand emotions and utilise theory of mind (ToM) (Shany-Ur |
| 84 | et al., 2012). Impairments in these critical social cognitive skills may lead to behaviours such |
| 85 | as disinhibition, aggression, or even apathy. For example, if someone struggles to perceive |
| 86 | another person's emotions or understand negative social feedback, they may say things that |
| 87 | could be perceived as offensive or they may not react to other's feelings. Moreover, |
| 88 | difficulties understanding sarcasm or jokes, could lead to defensiveness or aggressive |
| 89 | behaviour. |
| 90 | Research into the role of social cognition in behaviour change in neurodegenerative |
| 91 | conditions is still within its infancy, with the majority of work conducted on bvFTD. Patients |
| 92 | with bvFTD not only experience changes in behaviour, personality and motivation |
| 93 | (Rascovsky, Hodges, Knopman et al., 2011), but also have severe deficits in emotion |
| 94 | processing and emotion recognition (Kumfor, Irish, Hodges, et al., 2015; Lavenu, Pasquier, |
| 95 | Lebert et al., 1999; Kipps, Nestor, Acosta-Cabronero et al., 2009). Keane and colleagues |
| 96 | (2002) found that five of their individuals with bvFTD were significantly impaired in |
| 97 | recognising emotions and suggested that impairments in emotion processing might underpin |
| 98 | reported changes in social behaviour. In line with this, Kipps and colleagues (2009a) reported |
| 99 | significant correlations between mood sub-scores, performance on an emotion recognition |
| 100 | task and the Cambridge Behaviour Inventory (CBI: Bozeat et al., 2000). Similarly, Shany-Ur |
| 101 | et al. (2012) reported a link between social cognition as assessed on the The Awareness and |
| 102 | Social Inference Test (TASIT, McDonald et al., 2003) and informants' ratings of empathy, |
| 103 | perspective taking and neuropsychiatric symptoms in a bvFTD sample. Further, Gregory et |

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| 104 | al. (2002) found a significant relation between performance on tests of theory of mind (ToM: |
|-----|--|
| 105 | an aspect of social cognition) and neuropsychiatric and behavioural dysfunction on the |
| 106 | Neuropsychiatric Inventory (NPI) in individuals with bvFTD. Thus, in the bvFTD literature, |
| 107 | the evidence suggests that social cognition plays an important role in neurobehavioral |
| 108 | outcome. |
| 109 | However, there is very little research examining the relationship between social |
| 110 | cognition and behaviour in DAT. This paucity of research may reflect the view that people |
| 111 | with DAT do not suffer from problems of social cognition. There is, however, increasing |
| 112 | evidence that individuals diagnosed with DAT do suffer from impairments in social |
| 113 | cognition, specifically emotion processing (Phillips, Scott, Henry, Mowat & Bell, 2010) |
| 114 | including recognising emotions from faces (Hargrave, Maddock, & Stone, 2002), voices |
| 115 | (Roberts, Ingram, Lamar, & Green, 1996) and body movements (Koff, Zaitchik, Montepare, |
| 116 | & Albert, 1999). Only one study to date has investigated the relationship between social |
| 117 | cognition and behaviour in DAT. Shimokawa et al. (2001) explored the relationship between |
| 118 | the Emotion Recognition Test (ERT; Shimokawa et al., 2001), and interpersonal behaviour |
| 119 | changes as assessed by the Interpersonal Behaviour Checklist (IBC, Shimokawa et al., 2001). |
| 120 | They found that behaviour change on the IBC scale correlated with ERT scores, but not with |
| 121 | MMSE performance, suggesting that the behaviour of patients with DAT does not depend on |
| 122 | deterioration of cognitive ability but rather on a decreased ability for emotion comprehension |
| 123 | (Shimokawa et al., 2001). |
| 124 | While this study does attest to the relationship between social cognition and behaviour |
| 125 | in DAT, a major drawback is the use of tests of social cognition that do not have ecological |
| 126 | validity. Studies exploring emotion recognition in individuals with DAT have largely relied |
| 127 | on Ekman and Friesen's (1976) 60 Faces Test (FEEST; Henry et al., 2008), a static display of |
| 128 | black and white photographs of human faces displaying one of the six basic emotions: |

| 129 | 'happy', 'disgust', 'fear', 'sadness', 'anger' and 'surprise', as well as neutral faces |
|-----|---|
| 130 | displaying no emotion. While results from these studies suggest impairments across all stages |
| 131 | of the disease progression (e.g. Phillips et al., 2010; Hargrave, Maddock, & Stone, 2002), |
| 132 | these tasks are highly artificial and therefore limited in the extent to which they reflect real- |
| 133 | life social exchanges (McDonald, Flanagan, Rollins & Kinch, 2003). Indeed, it has been |
| 134 | demonstrated that people with DAT perform much better when asked to identify emotions |
| 135 | from realistic, audiovisual displays compared to static black and white photos (Henry and |
| 136 | colleagues, 2008). Henry and colleagues (2008) concluded that it is possible that more |
| 137 | traditional measures of affect recognition, i.e. FEEST, over-estimate the degree of |
| 138 | impairment that participants with DAT experience in their day-to-day life. Thus, the current |
| 139 | study aimed to examine the relationship between social cognition and behaviour using an |
| 140 | ecologically valid measure of emotion recognition. |
| 141 | Finally, the current study also aimed to determine whether social cognition |
| 142 | impairments observed in people with DAT can be accounted for by their non-social cognitive |
| 143 | deficits. Real-time social exchanges make demands on attention, language, information |
| 144 | processing skills and memory. It is quite possible that these factors play an important role in |
| 145 | any social impairments experienced by people with DAT. Thus, it was predicted that general |
| 146 | cognitive ability will account for a significant amount of the variance in relation to social |
| 147 | cognition in participants with DAT. |
| 148 | Thus, the current study examined the relationships between general cognitive ability, |
| 149 | social cognition, problem behaviours and relationship continuity. The main hypotheses for |
| 150 | this study were: 1) Participants with DAT would be impaired on a social cognition task in |
| 151 | comparison to their partners and that this would be partially accounted for by general |
| 152 | cognitive ability and 2) there would be a significant negative correlation between the i.e. |
| 153 | social cognition task and behaviour ratings in participants with DAT particularly with regard |

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| 154 | to behaviours such as apathy, disinhibition, irritability and agitation and 3) that social |
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| 155 | cognition and behaviour would be related to relationship continuity as reported by partners. |
| 156 | |
| 157 | Method |
| 158 | Participants |
| 159 | |
| 160 | Twenty-seven participants with DAT and their partners were recruited. All couples |
| 161 | were currently living together and had been married between 22 and 73 years (M=51.81, |
| 162 | SD=10.83). Of the participants with dementia, 17 were female and 10 were male; age ranged |
| 163 | from 71 to 94 years (M=78.9, SD=4.83). Partners were aged between 65 and 96 (M=78, |
| 164 | SD=6.22). Consultant Old Age Psychiatrists within the relevant health boards had made all |
| 165 | dementia diagnoses, between one and 8 years previously (time since diagnosis $M=3.12$, |
| 166 | <i>SD</i> =1.68). |
| 167 | Inclusion criteria for participants with DAT were: i) a medical diagnosis of probable |
| 168 | DAT according to the National Institute of Neurological and Communicative Disorders and |
| 169 | Stroke and the Alzheimer's Disease and Related Disorders Association (NINDS-ADRDA; |
| 170 | McKhann et al., 2011) and DSM-V (APA, 2013) criteria, ii) mild to moderate DAT with a |
| 171 | score between 0.5 and 2 in the Clinical Dementia Rating (CDR; Morris, 1997), iii) an |
| 172 | absence of major depression or psychiatric disorder, as defined by DSM-V criteria (APA, |
| 173 | 2013), iv) living with a partner who is willing to participate, v) English spoken fluently, vi) |
| 174 | able to give informed consent to participate in the present research and vii) living at home |
| 175 | with their partner. |
| 176 | All participants were recruited through the Scottish Dementia Clinical Research |

177 Network (SDCRN) across two health boards in Scotland. The study received ethical approval

178 from the East of Scotland Research Ethics Service (EoSRES-1) in May 2012, was conducted

179 in accordance with the Declaration of Helsinki and all volunteers gave their informed consent

180 to participate.

181 Measures

182 General Cognitive Ability

183 The Addenbrooke Cognitive Examination Revised (ACE-R; Mioshi et al., 2006) is a 184 brief, sensitive and specific cognitive screening test which incorporates five subdomain 185 scores: orientation/attention, memory, verbal fluency, language and visuo-spatial abilities. 186 Mioshi et al. (2006) found sound psychometric properties for this measure, with good 187 reliability (α = 0.8) and validity, showing significant correlations with the CDR (*r*=.321, 188 *p*<.01). Four participants with DAT and two partners were excluded from the analysis as they 189 were aged over 86 years.

190

191 Social Cognition

192 The Awareness of Social Inference Test (TASIT, McDonald, et al., 2003) is an audio-193 visual tool designed for the clinical assessment of social perception with alternate forms for 194 re-testing. Part I, the Emotion Recognition Test (TASIT-ERT), shows 28 short video-195 vignettes (20 to 40 seconds each) of individuals depicting one of seven emotions: 'happy', 196 'surprised', 'neutral', 'sad', 'angry', 'fear' or 'digusted'. Part II, the Social Inference-197 Minimal (TASIT-SIM) test, shows 15 short video-vignettes (20 to 40 second each) of 198 everyday conversational exchanges. This test examines a person's understanding of 199 conversational meanings determined by paralinguistic cues such as facial expression, tone of 200 voice or gestures. The video vignettes in this test use neutral scripts, which are enacted by 201 professional actors and can represent either 'sincere' or 'sarcastic' (simple and complex) 202 social exchanges. An individual's ability to understand these social exchanges is then 203 assessed using four questions regarding the actor's beliefs (i.e. what they know), meaning

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| 204 | (i.e. what they mean by what is said), intentions (i.e. what they intend to do) and feelings (i.e. |
| 205 | what they feel) (McDonald et al., 2003). |
| 206 | Part III, the Social Inference-Enriched test (TASIT-SIE), shows 16 short video- |
| 207 | vignettes (20-40 seconds each) of everyday conversational exchanges. Each vignette contains |
| 208 | a literally untrue comment enacted in one of two ways: as sarcasm meant to amplify the truth |
| 209 | or as a lie meant to conceal or minimise the truth. This test distinguishes between visual and |
| 210 | text cues to determine the meaning of paralinguistic features and assesses an individual's |
| 211 | understanding of the situation using the same four questions as in Part II: beliefs, meaning, |
| 212 | intentions and feelings (McDonald et al., 2003). As per TASIT manual (Rollins, Flanagan, & |
| 213 | McDonald, 2002), composite scores can be created in all three parts of the TASIT by adding |
| 214 | the relevant sub-scores. The composite scores in each part are: Part I include 'positive', (i.e. |
| 215 | the sum of 'surprise', 'happy' and 'neutral' scores) 'negative' (i.e. the sum of 'revolted', |
| 216 | 'sad', 'angry' and 'anxious' scores) and 'Total ERT' (i.e. the sum of both 'positive' and |
| 217 | 'negative' total scores), part II include, 'sincere', simple sarcasm', ' complex sarcasm' and |
| 218 | 'Total SIM' and part III include 'textual', 'visual' and 'Total SIE' composite scores. |
| 219 220 | Test re-test reliability for TASIT ranges from 0.74-0.88. TASIT has significant |
| 221 | associations with other measures of social cognition (e.g. FEEST, $r=.69$, $p<.01$ [TASIT- |
| 222 | ERT], $r=.50$, $p<.01$ [TASIT-SIM], $r=.37$, $p<.01$ [TASIT-SIE]; First/second order ToM $r=$ |
| 223 | .68, p<.05 [TASIT-SIM]) (McDonald, Bornhofen, Shum et al., 2006). |

224

225 Relationship Continuity

The *Birmingham Relationship Continuity Measure* (BRCM, Riley et al., 2013) has been validated to measure relationship continuity when caring for a partner with dementia. The BRCM is a 26-item instrument measuring carers' perceived continuity of spousal relationship, where one individual in the couple cares for the other, due to a diagnosis of

- 230 dementia. The BRCM contains six domains: i) changes in relationship; ii) changes to the
- 231 person; iii) changes in feelings; iv) sense of loss; v) sharing and togetherness and vi)
- 232 expressions of affection and attachment. Each item in the BRCM is scored using a Likert
- scale from 1 'disagree a lot' to 5 'agree a lot'. The psychometric properties of this scale were
- assessed by Riley et al. (2013) and showed good internal consistency (Cronbach's α = .94),
- 235 good test-retest reliability (α = .96) and good concurrent validity (e.g. Closeness and conflict
- scale, r=. .43, p<.05; (Marwit-Meuser Caregiver Grief Inventory [MMCG-I; Marwit &
- 237 Meuser, 2002] r = .54, p < .01). Scores for the relationship continuity scale, as rated by partners
- on this study were normally distributed (M=76.04, SD=22.39).

239 Neurobehavioural Problems

240 The Neuropsychiatric Inventory Test (NPI; Cummings, 1994; Kaufer et al., 1998) is an

241 interview measure which assesses the presence of neuropsychiatric disturbances in a patient

242 with dementia from information provided by an informed caregiver. A clinician conducted

the interview which each of the carers enrolled in the study while not in the presence of the

244 partner with dementia. The NPI assesses twelve common neuropsychiatric disturbances in

245 dementia (delusions, hallucinations, agitation, dysphoria, anxiety, apathy, irritability,

- 246 euphoria, disinhibition, aberrant motor behaviour, night-time behaviour disturbances and
- 247 appetite and eating abnormalities). For each domain, a screening (yes/no) question is initially
- 248 given to determine whether problems in that domain are present. If the screening question

249 determines that problems in that domain are present, the informant is asked to indicate the

- 250 severity of behaviour within each domain, as measured on a 3-point Likert scale, the
- 251 frequency, as measured on a 4-point scale and the distress these behaviours cause is measured
- on a 5-point scale. For each behavioural domain there are four scores that can be calculated: a
- 253 frequency, severity, total (frequency x severity) and a caregiver distress score. Cummings

| 254 | (1997) established content validity (subjectively), concurrent validity (e.g. Hamilton |
|------------|--|
| 255 | Depression scale [HDS, Hamilton, 1960], all correlations p <.05), inter-rater reliability (93.6 |
| 256 | to 100%), and test re-test reliability ($r = .79$, $p < .01$ [frequency], $r = .86$, $p < .01$ [severity]) of |
| 257 | the NPI. A total NPI score can be calculated by adding the scores of the first 10 domain |
| 258 | scores together. In most cases, the two neurovegetative items (appetite and night time |
| 259 | behavior disturbance) are not included in the NPI total score. The distress score is not |
| 260 | included in the total NPI score. In this study, the total distress score is generated by adding |
| 261 | together the scores of the first 10 items of the NPI distress questions. |
| 262 | |
| 263 | Additional Measures |
| 264 | Additionally, a premorbid measure of intelligence, the Wechsler Test of Adult Reading |
| 265 | (WTAR; The Psychological Corporation, 2001) was administered to all participants (see |
| 266 | Duff, Chelune & Dennett, 2010). Finally, a screen for emotional distress, the Hospital |
| 267 | Anxiety and Depression Scale (HADS; Zigmond & Snaith, 1983) was taken for all |
| 268 | participants. |
| 269 | |
| 270 | Results |
| 271 272 | Exploratory Data Analysis |
| 273 | The study contacted 132 couples, of which 27 agreed to participate (20 percent). Data |
| 274 | was initially examined for normality of the distribution. Tests of skewness and kurtosis were |
| 275 | performed for the variables: age and total scores on the WTAR, ACE-R, BRCM, NPI-D, |
| 276 | HADS-A, HADS-D and TASIT (ERT, SIM and SIE). A ceiling effect was identified for |
| 277 | partners' ACE-R total scores. Partners' data were non-normally distributed for total scores on |
| 278 | the ACE-R (D [27] = .02, $p < .05$), HADS-A (D [27] = .0, $p < .05$), NPI (D [27] = .0, $p < .05$) |
| 279 | .05), TASIT-ERT (D [27] = .02, $p < .05$), and TASIT-SIE (D [27] = .0, $p < .05$). The |

| 280 | participants with DAT group data were found non-normally distributed for TASIT-SIE (D |
|-----|--|
| 281 | [22] = .04, p < .05), and HADS-D (D $[27] = .02, p < .05)$. Data for the relevant variables were |
| 282 | transformed using a Log10 transformation in an attempt to correct for distributional |
| 283 | difficulties. However, even after transformation, tests of normality still showed data for these |
| 284 | variables as non-normally distributed. Levene's test was significant ($p < .01$) for the ACE-R, |
| 285 | <i>F</i> (1,52)=16.70, <i>p</i> <.01, TASIT-SIM, <i>F</i> (1,551) = 14.52, <i>p</i> <.01 and TASIT-SIE, <i>F</i> |
| 286 | (1,48)=6.37, $p<.01$ indicating that variances were significantly different and the homogeneity |
| 287 | of variance assumption was not tenable for these scores. As such, non-parametric testing |
| 288 | (Mann-Whitney U) was used to compare groups on WTAR, ACE-R scores and social |
| 289 | cognition scores. Non-parametric measures of association (Spearman's Rho) were used to test |
| 290 | associations between social cognition scores, relationship continuity, partners' mood, |
| 291 | cognitive functioning skills and proxy ratings of behaviour. In order to account for Type I |
| 292 | error in multiple correlations, the critical α level was reduced to .01 as recommended by Field |
| 293 | (2009). Not all participants with DAT were able to complete every part of the TASIT, part I |
| 294 | (N= 26), part II (N= 23) and part III (N=22). The study used missing value analysis as |
| 295 | recommended by Field (2009) to manage missing data in our database, i.e. a numeric code |
| 296 | was used to represent the missing values in the data. Only five participants were unable to |
| 297 | complete TASIT-SIE, the most complex of the three TASIT tasks. |
| 298 | |

299 Premorbid IQ and Cognitive and Emotional Functioning

300 Descriptive statistics for age, standard WTAR score and ACE-R are displayed in

301 Table 1. The two groups were matched for age and estimated premorbid cognitive ability.

302 Significant differences, U(48)=13, Z=6.1, *p*=.0005, were found between participants with

303 DAT and their partners' general cognitive ability as measured by the ACE-R. No significant

304 differences were found in anxiety or depression scores on the HADS between partners and

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305 participants with DAT. 306 ***INSERT TABLE 1 HERE*** 307 **Social Cognition Results** 308 To compare performance between partners and participants with DAT on TASIT 309 (Part 1: ERT, Part 2: SIM and Part 3: SIE Subtest) scores, non-parametric Mann Whitney-U 310 tests were performed. Table 2 outlines the results of these group comparisons. On TASIT-311 ERT, there were significant differences between participants with DAT and their partners for 312 all emotions with the exception of happy. Overall, there were significant differences between 313 groups for positive, negative and total TASIT-ERT, where partners obtained higher scores. 314 On TASIT-SIM, the participants with DAT had lower composite 'sincere', 'simple sarcastic' 315 and 'complex sarcastic' scores, as well as lower overall scores compared to their partners. 316 Similarly, on TASIT-SIE participants with DAT had lower composite 'lie' and 'sarcasm' and 317 total scores compared to their partners. 318 Since the ACE-R scores were highly variable in the DAT group indicating a range of 319 severity of DAT, a follow-up analysis was conducting in order determine whether differences 320 between groups on social cognition were driven by the more severe dementia diagnoses. The 321 DAT group was split into two sub-groups based on a median split of their ACE-R scores. 322 These two severity groups were then compared (using Mann Whitney U tests) to the control 323 group on TASIT scores. The less severe DAT group had significantly lower median TASIT 324 scores (TASIT-ERT median = 18, TASIT-SIM median=38, TASIT-SIE median=34) than the 325 partner group (TASIT-ERT median=24, TASIT-SIM median=49, TASIT-SIE median=55), 326 all p's<.001. Similarly, the more severe group also had significantly lower median TASIT 327 scores (TASIT-ERT median = 12.5, TASIT-SIM median=33, TASIT-SIE median=33) than 328 the partner group, all *p*'s<.001.

329 ***INSERT TABLE 2 HERE***

| 330 | Association between social cognition and cognitive ability in participants with DAT |
|-----|---|
| 331 | Correlations between social cognition scores and cognitive scores are presented in |
| 332 | Table 3. TASIT total scores were not related to ACE-R Total or to any ACE-R sub scores. |
| 333 | TASIT-ERT totals scores were associated with ACE-R language ($rho=.55$, $p<.001$) and |
| 334 | ACE-R total (<i>rho</i> = .57, p < .001) scores. Further, scores for recognising both positive (<i>rho</i> = |
| 335 | .60, p <.001) and negative (<i>rho</i> = .53, p <.001) emotions on TASIT-ERT were also associated |
| 336 | with ACE-R total scores. The TASIT-SIM overall score was not related to any ACE-R |
| 337 | scores. However, the TASIT-SIM score for complex sarcasm items was related to fluency |
| 338 | (<i>rho</i> = .56, <i>p</i> < .001), language (<i>rho</i> = .59, <i>p</i> < .001), visuo-spatial (<i>rho</i> = .58, <i>p</i> < .001) and total |
| 339 | ACE-R (rho = .60, p < .001) scores. Neither the TASIT-SIE total score or any of the SIE sub |
| 340 | scores were related to any ACE-R scores. |
| 341 | *****INSERT TABLE 3 HERE***** |
| 342 | Five participants with DAT who were unable to complete some or all of the sections |
| 343 | in the TASIT also showed low scores on the ACE-R total score. A comparison between the |
| 344 | ACE-R scores of participants who had been able to complete all sub-tests on the TASIT and |
| 345 | those who had not revealed significant differences in their ACE-R total scores $U(27)=107$, |
| 346 | z= 3.25, <i>p</i> <.001. |
| 347 | Neurobehavioural Results |
| 348 | The NPI total scores were examined for participants with DAT. Apathy was reported |
| 349 | by the most partners (N=20), followed by anxiety (N=14), irritability (N=11), agitation |
| 350 | (N=10) and appetite changes (N=10). Behaviours reported to occur most frequently were |
| 351 | apathy, appetite disturbances, anxiety and irritability. Behaviours reported to be the most |
| 352 | severe were apathy, anxiety, irritability and depression. Finally, behaviours reported to cause |
| 353 | the most distress were apathy, anxiety, irritability and depression. Table 4 details the |
| 354 | frequency, severity and distress scores for each behaviour assessed. |

| 355 | ***FIGURE 1 HERE*** |
|-----|--|
| 356 | ***TABLE 4 HERE*** |
| 357 | Association between social cognition and behaviour change in participants with DAT |
| 358 | Spearman correlations were conducted between social cognition scores (TASIT ERT, |
| 359 | SIM and SIE) and behaviour ratings (NPI total and NPI apathy, anxiety, depression, |
| 360 | irritability and agitation). These behaviours were chosen for this analysis given they were the |
| 361 | most frequently encountered in our sample and most distressing as rated by partners. There |
| 362 | were no significant correlations between social cognition scores and total behaviour ratings |
| 363 | (all p's<.05). Similarly, no significant correlations were found between social cognition |
| 364 | scores and distress levels for each of the behaviours or the total distress level as measured by |
| 365 | the NPI. |
| 366 | Association between social cognition/behaviour in participants with DAT and partner's |
| 367 | ratings of relationship continuity |
| 368 | Spearman correlations revealed no significant correlations between partners' BRCM |
| 369 | ratings and social cognition of participants with DAT as measured by TASIT ERT, SIM and |
| 370 | SIE (all p's<.05). |
| 371 | Significant negative correlations were found between the BRCM total scores and the |
| 372 | NPI total scores, $rho =70$, $p < .001$, and NPI total distress scores, $rho =71$, $p < .001$, |
| 373 | suggesting that greater presence of problem behaviours and the distress they cause is related |
| 374 | to poorer perceived continuity of the relationship. BRCM scores were significantly correlated |
| 375 | with some specific behaviours on the NPI; <i>apathy</i> (rho =64, p < .001), it's severity (rho = - |
| 376 | .67, $p < .001$) and how distressing it is for partners (<i>rho</i> =63, p < .001), <i>disinhibition</i> |
| 377 | frequency (<i>rho</i> =53, p < .001), severity (<i>rho</i> =53, p < .001) and how distressing it is for |
| 378 | partners (<i>rho</i> =54, p < .001) and <i>agitation</i> (<i>rho</i> =51 p <.001). None of the other NPI |
| 379 | behaviours were correlated with BRCM scores. |

| 380 | Because self-reported relationship continuity was related to mood of the partner |
|-----|---|
| 381 | (HADS A: <i>rho</i> =580, <i>p</i> =.001 and HADS D: <i>rho</i> =43, <i>p</i> =.022), a second analysis strategy, a |
| 382 | hierarchical linear regression, was used to determine whether NPI total scores could improve |
| 383 | the prediction of self-reported relationship continuity over and above mood (measured by the |
| 384 | HADS). This analysis was appropriate for these variables since errors on the BRCM were |
| 385 | approximately normally distributed. The full model of HADS A, HADS D and NPI total |
| 386 | score to predict BRCM score was statistically significant, R^2 =.632, $F(2,27)$ =13.73, p <.0005; |
| 387 | adjusted R^2 =.886. The addition of NPI score to the prediction of BRCM score from the |
| 388 | HADS scores led to a statistically significant increase in R^2 of .241, $F(2,27)=15.71$, $p=.001$. |
| 389 | |
| 390 | Discussion |
| 391 | This study examined impairments in social cognition in patients with DAT and |
| 392 | whether these impairments were associated with behaviour changes. Further, this study |
| 393 | sought to determine whether these behaviour changes and social cognition impairments were |
| 394 | related to partner's perceptions of relationship continuity. |
| 395 | Participants with mild to moderate DAT showed difficulties on all three parts of |
| 396 | TASIT compared to their partners' performance, demonstrating impaired social cognition. |
| 397 | This is in line with previous research by Kipps et al. (2009), using the TASIT-ERT, which |
| 398 | suggested that people with DAT are poorer than controls at recognising emotions. |
| 399 | Interestingly, this was true for both DAT patients with ACE-R scores higher than the median |
| 400 | and for those with ACE-R score lower than the median, indicating that the social cognition |
| 401 | impairment was not driven by a particularly impaired subgroup of participants with DAT. |
| 402 | This suggests that social cognition impairments may be present even in the earlier stages of |
| 403 | the disease when cognitive decline is mild. Despite this, general cognitive deficits did |
| 404 | account for some of the variance in social cognition impairments, particularly with regards to |
| 405 | emotion perception. These findings are in line with suggestions by Shany-Ur et al. (2012) that |

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406 general cognitive decline may be partly responsible for difficulties in social cognition in 407 participants with DAT. Interestingly though, parts 2 and 3 of the TASIT, the more complex 408 parts, were largely unrelated to general cognition (with the exception of TASIT-SIM complex 409 sarcasm). Thus it seems that people with DAT are not only impaired at emotion recognition 410 but may also be impaired at decoding complex social messages, and that these impairments 411 are not accounted for by general cognitive decline. 412 Phillips et al. (2010) reported that in order to successfully recognise emotions, 413 individuals needed to rapidly detect the perceptual emotional stimulus and apply higher-level 414 decision making about what verbal descriptor best described a facial expression. It is thus 415 plausible that emotion recognition skills require intact executive functioning skills, such as 416 higher order decision-making skills (Phillips et al., 2010). There is evidence of a link between 417 perceptual decline and general cognitive ability in DAT (Buck & Radford, 2004). Indeed, on 418 tasks with relatively low cognitive and perceptual requirements, participants with DAT were 419 capable of recognising different emotions from nonverbal sources, including facial 420 expressions (Bucks & Radford, 2004; Burnham & Hogervorst, 2004) and vocal prosody 421 (Bucks & Radford, 2004). However, most studies on social cognition have opted for a less 422 sensitive (Feher et al., 1992), shorter, general cognitive ability test, the Mini-Mental State 423 Examination (MMSE; Folstein, Folstein & McHugh, 1975) (e.g. Phillips et al., 2010). The 424 MMSE has shown significant sensitivity problems, particularly to frontal, linguistic and early 425 memory difficulties (Feher et al., 1992). As such, results from studies using this measure as a 426 predictor of social cognition should be interpreted with caution, as this scale may not fully 427 capture impairments in executive and working memory functioning, which form part of an 428 individual's general cognitive skills. Thus, the current finding of an association between the 429 ACE-R and social cognition provides evidence that social cognition impairments in DAT are 430 at least partly attributable to general cognitive impairment.

| 431 | The current study found impairments in participants with DAT on recognition of all |
|-----|---|
| 432 | emotions except for happy, adding to a growing body of evidence that recognition of positive |
| 433 | emotions is preserved following DAT (Adolphs, 2001). This dichotomy is accompanied by |
| 434 | evidence of distinct neural substrates for positive versus negative emotion perception and |
| 435 | processing within the brain (Adolphs, 2001). Lesion studies appear to show the amygdala is |
| 436 | essential in the recognition of emotions from facial expressions, particularly negatively |
| 437 | valenced emotions such as fear (Adolphs, 2001). Developmentally, the amygdala has played |
| 438 | a crucial role in human survival, by providing almost automatic responses to negative |
| 439 | emotions. On the other hand, Rosen et al. (2004) have indicated an association between |
| 440 | recognition of positive emotions and damage to the frontal regions of the brain, particularly |
| 441 | in the behavioural variant of FTD. However, Rosenberg and colleagues (2014) explored the |
| 442 | hypothesis of distinct neural pathways for positive and negative emotions in people with |
| 443 | moderate-sever traumatic brain injury. There findings suggested that rather than distinct |
| 444 | pathways existing, happiness may simply be an 'easier' emotion to recognise as it relies on a |
| 445 | single facial feature, while other emotions require additional information about the complex |
| 446 | configuration of the face. They showed that the existing dichotomy in recognising positive |
| 447 | and negative emotions may be better explained by a valence difficulty effect on the |
| 448 | commonly used emotional expressions, further highlighting a general affect recognition |
| 449 | impairment (Rosenberg et al., 2014). |

450

This study also sought to determine whether behaviour changes after DAT were underpinned by impairments in social cognition. This link was proposed in view that as disease progresses in dementia, social cognition may become impaired and this may lead to behaviour changes in the individual with DAT, due to difficulties understanding and responding to social interactions and feedback. No significant associations were found between any of the social cognition subtests and behaviour ratings in the NPI. These results

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| 457 | are in contrast with Shimokawa et al. (2001) who found a relationship between interpersonal |
|-----|---|
| 458 | behaviour, including indifference to interpersonal relationships and difficulties with patient |
| 459 | treatment/management, and recognition of static facial displays of emotion in a sample of 100 |
| 460 | patients with a diagnosis of DAT. Studies with FTD patients have also established this |
| 461 | relationship. For instance, Gregory et al. (2002) found an association between second order |
| 462 | ToM tasks and neurobehavioural symptoms on the NPI. This inconsistency in the literature is |
| 463 | likely driven by the array of different measurement tools used to tap social cognition and |
| 464 | neurobehavioural outcome. |
| 465 | Finally, this study aimed to determine whether behaviour changes and social |
| 466 | cognition were predictive of partner's perceptions of the continuity of relationship. |
| 467 | Correlational analyses revealed that social cognition was not related to partner's perceptions |
| 468 | of relationship continuity. Adolphs (2001) theorised a close association between social |
| 469 | cognition and functional outcomes on the basis that the ability to process social stimuli is |
| 470 | essential for social interactions which are, in turn, essential for the continuation of |
| 471 | functioning interpersonal relationships. Although there is evidence of this association |
| 472 | between social cognition and interpersonal factors in other neurological patient groups |
| 473 | (Bornhofen & McDonald, 2008), no study up to now has assessed this relationship in |
| 474 | neurodegenerative conditions. It is also possible that participants with DAT compensate for |
| 475 | social cognition difficulties in real-life situations by relying on other social and contextual |
| 476 | cues and, thus, that it may not be important in predicting relationship quality. During |
| 477 | conversation with couples, it became apparent that most partners' agreed that participants |
| 478 | with DAT needed additional support in social situations to understand sarcasm or others' |
| 479 | intentions. However, partners did not report this as significantly distressing. Qualitative |
| 480 | observations of participants with DAT behaviour in social situations may be useful in |
| 481 | understanding the extent of social cognition difficulties in DAT and the ways in which |

482 participants and families may have learnt to compensate for those impairments in real-life 483 situations. Most of the evidence relating to partners' or carers' mood in neurodegenerative 484 conditions or ABI has focused on the effects of behaviour difficulties on carer burden or 485 carers' mood symptomatology where increased behaviour change has a significantly negative 486 effect on carers' mood. Despite the lack of association found in the current study, a further 487 exploration both qualitatively and quantitatively of this relationship may provide further 488 insight into the needs and behaviour of individuals with a neurodegenerative condition and 489 the best support for their carers or partners.

490 Despite no association between social cognition and relationship continuity, the 491 current study found that the presence, frequency and severity of behaviour changes in 492 participants with DAT (particularly apathy, agitation or disinhibition) were negatively 493 associated with relationship continuity. Further, behavioural problems measured by the NPI 494 total scores predicted relationship continuity even after accounting for the mood of the 495 partners reporting. This result demonstrates that these behavioural changes are particularly 496 debilitating and threaten the quality of the affected person's relationship with their intimate 497 partner, who also is responsible for their care. Similarly, NPI scores have also been 498 associated with greater change in interpersonal relationships in brain injured populations 499 (Osborne-Crowley, McDonald and Francis, 2016). These findings are particularly important 500 when thinking about supporting an individual following a diagnosis of a possible dementia. 501 In many services the emphasis is placed on supporting the participants with DAT by 502 providing strategies, medication, psycho-education or social interaction, and support for the 503 partner, carer or family is usually only addressed as a reactive strategy or if problems arise 504 and a possible breakdown in relationship is likely. Acknowledging the links between 505 behaviour changes in DAT or mixed dementia and relationship continuity may help services 506 prevent such breakdown and crisis situations by providing guidance and support for families

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| 507 | and carers and diminish caregiving burden (DoH, 2009). Placing such emphasis in supporting |
|-----|--|
| 508 | carers and families would also be in line with current national priorities, Scotland (DoH, |
| 509 | 2009). This study has added to our understanding of the negative effects that behavioural |
| 510 | changes in DAT can have on a couple's relationship quality. It has highlighted the |
| 511 | importance of managing the behaviour changes in DAT, particularly 'disinhibition', 'apathy' |
| 512 | and 'agitation', given the consequences it can have on relationship quality, and stresses the |
| 513 | need to conduct more research into how to manage these behaviours in order to improve |
| 514 | relationship satisfaction in a couple where one member has a diagnosis of DAT. |
| 515 | A few limitations of the current research should be noted. Foremost, the current study |
| 516 | was unable to compare social cognition to another dementia patient group in order to |
| 517 | determine whether they are a non-specific effect of pathology or a specific DAT effect. |
| 518 | Further, only TASIT was used to assess social cognition in this study. Considering the lack of |
| 519 | a normative sample for older people on this task, it would have been beneficial to include |
| 520 | additional ToM and emotion processing tasks in order to establish concurrent reliability and |
| 521 | be able to determine 'impaired' performance and cut-off scores by examining standardised |
| 522 | scores allowing for more detailed statistical methodology. |
| 523 | The current study was the first to assess the relationship between social cognition, |
| 524 | behaviour and relationship continuity in DAT. Previous publications have commented on the |
| 525 | lack of research available on the relationship between these factors (e.g. Kipps et al., 2009, |
| 526 | Shany-Ur et al., 2012). Significant differences were found in the ability of people with DAT |
| 527 | to correctly identify emotions and sarcasm, compared to their partners. Although social |
| 528 | cognition scores did not appear to associate with behaviour changes in DAT, the present |
| 529 | study found associations between behaviours such as apathy, disinhibition and agitation in |
| 530 | DAT with relationship quality, supporting the need to focus interventions in management of |
| 531 | these behaviours and minimise the impact on a couple's relationship status. |

532

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| 555 | |
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760 Table 1. Results of Group Comparisons for Cognitive and Emotional Functioning Variables

| | | DAT | DAT Partners U- | | | | _ | Sia | |
|------------|----|--------|-----------------|----|--------|--------|-------|------|---------------|
| | N | Median | Range | N | Median | Range | test | Z | 51 <u>g</u> . |
| Age | 27 | 77.5 | 71-94 | 27 | 78 | 65-96 | 456.5 | 1.59 | n.s |
| WTAR std. | 26 | 110 | 86- | 25 | 112 | 92-125 | 275.5 | 1.54 | n.s |
| | | | 123 | | | | | | |
| ACE-R | 23 | 70.50 | 41-83 | 25 | 94 | 81-100 | 13 | 6.10 | p<.001 |
| Anxiety | 27 | 9 | 3-14 | 27 | 4 | 1-12 | 298.5 | - | n.s |
| | | | | | | | | 1.15 | |
| Depression | 27 | 4 | 0-8 | 27 | 4 | 0-11 | 354.5 | 18 | n.s |
| NPI Total | 27 | 8 | 0-60 | | | | | | |
| BRCM | | | | 27 | 81 | 23-108 | | | |

761 Note. N: number of participants, WTAR std: Wechsler Test of Adult Reading Standard

762 Score, ACE-R: Addenbrooke's Cognitive Examination Revised, NPI: Neuropsychiatric

763 Inventory; U-test: Mann-Whitney U statistic, z: standardised test statistic, Sign: significance

764 level, *n.s.* not significant.

Social Cognition and Behaviour

Table 2. Performance on the TASIT for participants with DAT and their partners

| | DAT dementia | | | | Partners | | | | |
|-----------------------|--------------|--------|-------|----|----------|-------|----------|-------|-------|
| | | Median | Range | N | Median | Range | <u> </u> | 2 | Γ |
| TASIT-ERT Total | 26 | 15 | 7-26 | 27 | 24 | 20-28 | 20 | 5.91* | -0.81 |
| ERT Negative Emotions | 26 | 6.5 | 3-12 | 27 | 11 | 8-12 | 36 | 5.64* | -0.77 |
| ERT Positive Emotions | 26 | 9 | 3-14 | 27 | 15 | 9-16 | 29 | 5.77* | -0.79 |
| TASIT-SIM Total | 23 | 38 | 11-47 | 27 | 49 | 38-58 | 29 | 5.49* | -0.78 |
| SIM Sincere | 23 | 14 | 9-20 | 27 | 18 | 14-20 | 124 | 3.66* | -0.52 |
| SIM Simple Sarcasm | 23 | 10 | 2-20 | 27 | 16 | 8-20 | 123 | 3.66* | -0.52 |
| SIM Complex Sarcasm | 23 | 11 | 0-15 | 27 | 17 | 7-20 | 56.5 | 4.97* | -0.71 |
| TASIT-SIE Total | 22 | 17.5 | 10-27 | 27 | 12 | 8-15 | 12 | 5.76 | -0.82 |
| SIE Lie | 22 | 10 | 4-14 | 27 | 14 | 10-16 | 44 | 5.12 | -0.73 |
| SIE Sarcasm | 22 | 10 | 2-20 | 27 | 16 | 8-20 | 31.5 | 5.38 | -0.77 |

Note. N: number of participants, U-test: Mann-Whitney U statistic, *r*: effect size, z: standardised test statistic, *: significant at p<.001 ERT:

Emotion recognition test, SIM: Test of social inference minimal, SIE: Test of social inference enriched.

| | | ACE-R | BRCM | NPI Total |
|---------------------|-----|-------|-------|-----------|
| | | Total | Total | |
| TASIT-ERT Total | Rho | .57** | .03 | 16 |
| ERT Positive | Rho | .60** | .09 | 32 |
| ERT Negative | Rho | .53** | 00 | 08 |
| TASIT-SIM Total | Rho | 0.30 | .19 | 14 |
| SIM Sincere | Rho | 0.04 | .16 | 20 |
| SIM Simple Sarcasm | Rho | 0.13 | .21 | 07 |
| SIM Complex Sarcasm | Rho | .60** | .16 | 22 |
| TASIT-SIE Total | Rho | 0.30 | .04 | 13 |
| SIE Lie | Rho | -0.07 | .21 | 20 |
| SIE Sarcasm | Rho | 0.34 | .02 | .04 |

Table 3. Spearman Correlations between the ACE-R and TASIT ERT, SIM and SIE Scores.

Note. ACE-R: Addenbrooke's Cognitive Examination Revised; ERT: Emotion Recognition Test from TASIT, SIM: Social Inference-Minimal Test from TASIT SIE: Social Inference-Enriched Test from TASIT, Rho: Spearman's Correlation Coefficient, Sig.: Significance level. ** Significant at p <.001

| | Ν | Frequency | Severity | Total (Frequency x Severity) | Distress |
|--------------------------|----|-----------|----------|---------------------------------|----------|
| Apathy | 20 | 50 | 27 | 75 | 43 |
| Anxiety | 14 | 29 | 19 | 47 | 27 |
| Irritability | 11 | 20 | 15 | 43 | 27 |
| Agitation | 10 | 13 | 12 | 20 | 18 |
| Appetite Disturbances | 10 | 32 | 19 | 41 | 20 |
| Delusions | 4 | 7 | 4 | 8 | 9 |
| Hallucinations | 4 | 8 | 6 | 8 | 8 |
| Depression | 9 | 18 | 15 | 28 | 25 |
| Elation | 4 | 15 | 7 | 22 | 14 |
| Aberrant Motor Behaviour | 8 | 18 | 10 | 24 | 13 |
| Disinhibition | 4 | 10 | 6 | 13 | 9 |
| Night time Behaviours | 6 | 14 | 11 | 26 | 15 |

Table 4. Neurobehavioural Problems in participants with DAT reported by carers on the Neuropsychiatric Inventory





Figure 1. Frequency of Behaviours as Reported by Carers in the NPI